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4 The Cost-Effectiveness of Midwifery Staffing and Skill 5 Mix on Maternity Outcomes

6 A Report for The National Institute for Health and Care Excellence

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25 **Final Report: December 2014**

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28 **University of Surrey**

29 One of the UK's leading professional, scientific and technological universities in the UK, the
30 University of Surrey ranked 39th in the prestigious Top 100 List of the world's most international
31 universities, part of the Times Higher Education (THE) World University rankings for 2013-
32 14. Actively involved in successive research collaborations with industrial and research partners
33 across Europe since the Fourth Framework Programme, the University of Surrey received funding for
34 160 projects in the Seventh Framework Programme, including 26 Marie Curie fellowships.

35

36

37 **Department of Health Care Management & Policy**

38 The Department of Health Care Management and Policy (DHCMP) at the University of Surrey has
39 been involved in quality improvement interventions over the last 15 years, primarily for long term
40 conditions in the UK and internationally. Our interests are how to measure quality and health
41 outcomes from routine data, quality improvement and technology trials, and integrating the use of
42 the computer into the clinical consultation.

43

44 Despite being a small group, we have over 150 full length peer review scientific research
45 publications; in addition to over 100 other peer review journal articles, letters or editorials and in
46 excess of this number of conference abstracts. We have direct links with an excellent group of
47 international collaborators; and links through the primary care informatics working groups of IMIA
48 and EFMI (the International and European informatics organisations).

49

50 The Economics group in DHCMP has 10 members and is led by Professor Graham Cookson. The
51 principal focus of the group is on the determinants of health care provider's productivity, the
52 efficiency and effectiveness trade-off in health care, and the role of the health care workforce in this
53 relationship.

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56

57 **Acknowledgements**

58 In November 2013, National Institute for Health and Care Excellence (NICE) was asked by the
59 Department of Health (DH) and NHS England to develop new guideline outputs which focus on safe
60 staffing. In June 2014, NICE commissioned Professor Graham Cookson and his team at the University
61 of Surrey to produce an economic evaluation of the effects of midwifery staffing and skill mix on
62 outcomes of care in maternity settings. This report is the result of that work. GC took overall
63 responsibility for the project, produced the report and conducted the economic evaluation. JvV
64 performed the statistical analysis with assistance from SJ and IL performed the econometric analysis,
65 both contributed to writing the report. SJ was responsible for internal quality assurance. Rachel
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70 insights into the production of this report.

71 Any errors or omissions remain our own.

72

73 **Disclaimer and Declaration of Interests**

74 Professor Cookson was a co-investigator of an NIHR funded study¹ of staffing and outcomes in
75 maternity services, and was a co-author of the final project report (Sandall et al., 2014) which is
76 referred to in this report as well as in both the Bazian (2014) and Hayre (2014) evidence reviews
77 used by the SSAC. Additionally, he was also one of Vania Gerova's Ph.D. supervisors whose research
78 has been reviewed in Bazian (2014). GC performed the economic evaluation for the acute nursing
79 NICE Safe Staffing Guideline. He currently receives funding from The Leverhulme Trust² which is
80 partially supporting research on the healthcare workforce including maternity services. IL also works
81 on this project. JvV and SJ have nothing to declare.

82

¹ NIHR study HS&DR - 10/1011/94: The efficient use of the maternity workforce and the implications for safety & quality in maternity care: An economic perspective, March 2012-October 2014. Further details are available from: <http://www.nets.nihr.ac.uk/projects/hsdr/10101194>. The final report can be accessed at: <http://www.journalslibrary.nihr.ac.uk/hsdr/volume-2/issue-38#hometab4>

² Further details can be found at <http://www.deliveringbetter.com>

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157 **1 Executive Summary**

158 In November 2013, National Institute for Health and Care Excellence (NICE) was asked by the
159 Department of Health (DH) and NHS England to develop new guideline outputs which focus on safe
160 staffing. In July 2014, NICE commissioned this report which aims to estimate the cost-effectiveness
161 of altering midwifery staffing and skill mix on outcomes of care in hospital maternity wards.
162 Following a systematic Evidence Review (Bazian, 2014), the Safe Staffing Advisory Committee (SSAC)
163 set the scope of this report to consider five outcomes: maternal and infant mortality, healthy
164 mother and baby and bodily integrity.

165 There is limited evidence on the association between midwifery staffing levels, skill mix and clinical
166 outcomes in the UK, and the two studies that provide any economic insights are severely limited.
167 The evidence suggests that increased midwife staffing may be associated with an increased
168 likelihood of delivery with bodily integrity (no uterine damage, 2nd/3rd/4th degree tear, stitches,
169 episiotomy, or Caesarean-section), reduced maternal readmissions within 28 days, and reduced
170 decision-to-delivery times for emergency Caesarean-sections. A number of issues were identified
171 with the extant literature including potential endogeneity. As a result, new statistical analysis was
172 commissioned to produce effectiveness measures for the economic evaluation. This research
173 analysed delivery records from Hospital Episode Statistics from 2003-2013 linked to staffing data
174 from the Workforce Census.

175 At present, this is the largest and most robust study of maternal outcomes using administrative data.
176 The study found that midwifery staffing levels (FTE midwife per 100 deliveries) was positively and
177 statistically significantly associated with healthy mother and delivery with bodily integrity rates,
178 although the relationships were weak. Most of the variation in outcomes occurred at the individual,
179 patient level rather than at trust level, with clinical risk having the largest effect.

180 The trust-level intervention considered was an increase in 1 FTE midwife per 100 deliveries. The
181 effectiveness of the intervention was taken from the new statistical analysis. It was not possible to
182 combine the benefits of the intervention into a common metric (e.g. QALYs) therefore it is
183 impossible to ascertain the overall cost-effectiveness of changing midwife staffing or skill mix.
184 Instead a Cost-Effectiveness Analysis was performed and Incremental Cost Effectiveness Ratios
185 (ICERs) were computed separately for each maternity service outcome which was shown to have an
186 association with staffing during the statistical analysis.

187 The reported ICERs were £85,560 per additional “healthy mother” and £193,426 per mother with
188 “bodily integrity”. No other outcomes were found to be associated with staffing levels. However,

189 despite the findings being based upon the best available evidence, caution should be exercised when
190 using these results as there is great uncertainty as to the benefits of staffing interventions due to
191 potential endogeneity and as a result of aggregate staffing measures. Further research and primary
192 data collection may be required to resolve these issues.

193

194 **2 Introduction**

195 **2.1 The Role of Economic Evaluation in the NICE process**

196 The NHS has limited resources and almost endless uses of those resources. Therefore, when a new
197 intervention or technology is adopted some amount of the existing health care provision will be
198 displaced. This is what economists refer to as the ‘opportunity cost’ of an intervention. To maximise
199 society’s health gain from the NHS’s limited budget, and to make decisions on whether to adopt new
200 interventions in a coherent and transparent manner an economic evaluation is performed.

201 NICE plays a central role in the process by advising the NHS on the (clinical) effectiveness and cost-
202 effectiveness of health care interventions and technologies. An intervention is cost-effective if it
203 generates more health gain than it displaces as a result of the additional costs imposed on the
204 system. Sometimes a new intervention dominates the existing best practice by being both cheaper
205 and more effective, in which case the outcome is clear. More often the proposed intervention is
206 more expensive and may be more effective.

207 An economic analysis is usually required because the costs and/or benefits of a new intervention are
208 uncertain. There are numerous reasons for this uncertainty. For example, there may be several
209 small-scale studies reporting conflicting levels of effectiveness of a new treatment, or the context or
210 population of these studies may not be wholly representative of the NHS patient population.
211 Alternatively, widespread adoption of a new intervention may alter the market and therefore the
212 price of the intervention. Frequently, the costs of an intervention are borne today but the benefits
213 occur over several years into the future. All of these situations require careful modelling to enable a
214 fair comparison of alternative outcomes. Inevitably, the economist must make assumptions about
215 the most plausible values of the costs and benefits of an intervention based upon the best available
216 evidence.

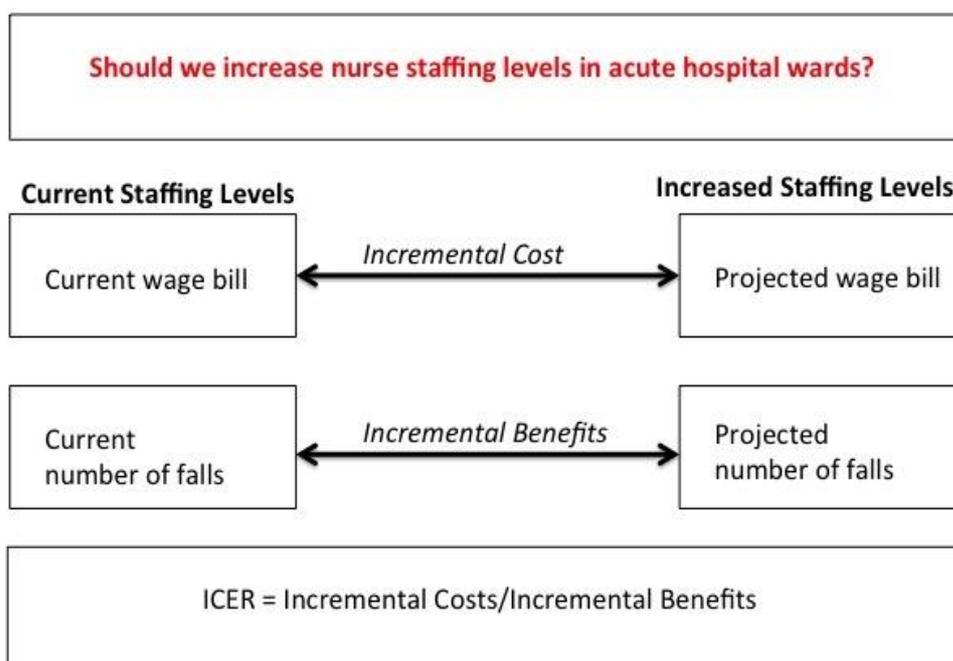
217 To illustrate the impact of these assumptions on the results of the economic analysis a sensitivity
218 analysis is performed. This technique varies the main assumptions used to produce the base case to
219 include plausible but extreme values of these assumptions. If varying these assumptions has little

220 effect on the result of the economic analysis then we can be confident that the findings are robust
221 and representative of the truth. If the results of the economic analysis vary considerably during the
222 sensitivity analysis then additional research or evidence may be required to establish the truth, and
223 less weight should be given to the economic evaluation in any decision making process.

224 NICE prefers that cost-effectiveness is reported as a cost per quality-adjusted life year (QALY)
225 because this enables comparisons across different disease areas, populations or even between
226 service level and disease-specific treatments to be made on a common metric. Additionally, it has
227 the benefit of combining the multiple benefits of an intervention into a single outcome measure.
228 QALYs are measured by estimating the health utility or value of being in different health states
229 (where 1 is equivalent to a notional health state of perfect health and 0 is being dead) and are
230 combined with the length of time spent in each of these health states as a result of the intervention.
231 When it is not possible to measure QALYs, it is appropriate to report the benefits of the intervention
232 in terms of some disease or topic specific outcome. For example, in terms of increasing ward level
233 staffing the outcome may be the number of falls prevented.

234 Once the costs and benefits of an intervention have been measured, calculating the cost-
235 effectiveness of the proposed intervention is straightforward as **Error! Reference source not found.**
236 illustrates. It is usual to compare the new intervention with current or best practice. Dividing the
237 incremental or additional costs by the incremental or additional benefits produces the Incremental
238 Cost Effectiveness Ratio (ICER).

239 **Figure 1: Incremental Cost-Effectiveness Ratio**



240

241 As a concrete example, consider a hypothetical situation where the increase in staffing intervention
242 was to add one additional nurse per ward at a cost of £31,867³ per annum and in one year the only
243 effect was to reduce the number of falls by 4. The ICER in this example would be £7,967 per averted
244 fall.

245 If the new intervention is less effective *and* more costly than existing practice it is not cost-effective,
246 and if it is more effective *and* cheaper than existing practice it is cost-effective. In these
247 circumstances the outcome is straightforward. Usually however, the new intervention is either less
248 effective but significantly cheaper, or more effective but also more expensive. In these
249 circumstances the ICER is compared to the value of the interventions or treatments which are
250 displaced if the new intervention is adopted: the opportunity cost. This is usually thought to be in
251 the region of £20,000-£30,000 per QALY. There is little guidance available when the ICER is
252 expressed in the original units of effects (e.g. falls prevented) and careful consideration needs to be
253 given as to the value-for-money represented by the intervention in these situations.

254

255 **2.2 Safe Staffing**

256 Ensuring that staffing levels are sufficient to maximise patient safety and quality of care, whilst
257 optimising the allocation of financial resources, is an important challenge for the NHS. The National
258 Institute for Health and Care Excellence (NICE) has been asked by the Department of Health and NHS
259 England to develop an evidence-based guideline on safe and cost-effective midwifery staffing levels
260 in NHS trusts.

261 A systematic literature review concluded that the amount of evidence on the relationship between
262 midwifery staffing and outcomes is limited (Bazian, 2014). Their review included 8 studies with most
263 of them using cross-sectional designs, which severely limited their ability to detect potential
264 causality. However, all of the included studies were carried out in the UK and are therefore expected
265 to be applicable to the UK.

266 Overall few significant associations between midwife staffing levels and outcomes were identified.
267 The evidence suggests that increased midwife staffing may be associated with an increased
268 likelihood of delivery with bodily integrity (no uterine damage, 2nd/3rd/4th degree tear, stitches,
269 episiotomy, or Caesarean-section), reduced maternal readmissions within 28 days, and reduced

³ This figure calculated by adding the mean annual basic salary (excluding overtime) of an Agenda for Change Band 5 nurse of £25,744 to the mean on-costs of employing the nurse of £6,123 taken from the Personal Social Services Research Unit costings for July 2013-June 2013. It excludes overheads, capital costs, overtime, London weightings or training and qualification costs.

270 decision-to-delivery times for emergency Caesarean -sections. However, it may not be associated
271 with overall Caesarean -section rates, composite 'healthy mother' or 'health baby' outcomes, rates
272 of 'normal' or 'straightforward' births, or stillbirth or neonatal mortality. Interpretation is also
273 complicated by the use of differing, but overlapping, outcomes in different studies. For example,
274 although delivery with bodily integrity was increased in one study, another study suggested a
275 possible reduction in straightforward birth with increasing levels of midwife staffing, and
276 straightforward birth includes some of the same outcomes (no intrapartum Caesarean-section or
277 3rd/4th degree perineal trauma, as well as no birth without forceps or ventouse or blood transfusion).

278 Only one study formally assessed the interaction between modifying factors (maternal clinical risk
279 and parity) and midwife staffing levels, therefore limited conclusions can be drawn about their
280 effects. No studies were identified which assessed the links between midwife staffing and on
281 maternal mortality or never events (such as maternal death due to post-partum haemorrhage after
282 elective caesarean section, wrongly prepared high-risk injectable medication, intravenous
283 administration of epidural medication, or retained foreign objects post-procedure) or serious
284 fetal/neonatal events such as Erb's palsy secondary to shoulder dystocia, meconium aspiration
285 syndrome, hypoxic ischaemic encephalopathy (HIE). The SSAC requested that maternal mortality be
286 added to the analysis as none of the included studies in Evidence Review 1 included this outcome
287 (Bazian, 2014).

288 Limited evidence was identified on potential modifiers of the effect of midwife staffing levels on
289 outcomes, therefore limited conclusions can be drawn about their effects. Only one study (Sandall et
290 al. 2014) formally assessed potential interactions between modifying factors and midwife staffing
291 levels. Its findings suggested that, maternal clinical risk and parity both appear to be modifiers, and
292 to themselves have a large impact on outcomes. This is a serious weakness of the other evidence
293 because it is probable that clinical risk is associated with staffing decisions. Excluding a measure of
294 clinical risk from models may invalidate the findings due to omitted variable bias, which leads to an
295 overestimation of the effect of staffing levels on outcomes.

296

297 **2.3 Purpose of this report**

298 This report aims to assess the cost-effectiveness of altering midwifery staffing levels and skill mix in
299 the English NHS. It accompanies the Evidence Review produced by the Bazian (2014) and Hayre
300 (2014).

301 **2.4 Structure of this report**

302 The next section details the methodologies and data used for both the economic evaluation and the
303 statistical analysis. Whilst the economic evaluation is the main aim of this report, it was necessary to
304 perform a detailed statistical analysis to determine the effectiveness of staffing on outcomes in
305 maternity services. Section 4 presents the findings and discusses the sensitivity analyses performed.
306 Finally Section 5 discusses the findings alongside the existing evidence base and presents a summary
307 of the limitations of the study. The reference list is found in Section 6 and the appendices contain
308 additional modelling results.

309

310 **3 Methods**

311 **3.1 Economic Model Scope**

312 Following the systematic Evidence Review (Bazian, 2014) performed by Bazian Limited, the Safe
313 Staffing Advisory Committee restricted the scope of the economic analysis to five main outcomes
314 thought to be sensitive to midwifery staffing but for which the evidence base was currently
315 inconclusive. These outcomes were: maternal mortality, maternal health, stillbirth, baby health and
316 bodily integrity⁴.

317 The formal scope of the economic evaluation was agreed as:

Population:	Women who deliver in a obstetric or maternity unit based in an NHS trust
Interventions:	Increasing midwifery staffing levels by 1 FTE per 100 deliveries
Comparators:	“Current” practice – where “current” is defined by the available datasets
Outcomes:	To be performed only where the statistical analysis indicates there is an association between staffing levels and the outcomes: Incremental cost per additional healthy mother Incremental cost per additional maternal death avoided Incremental cost per additional stillbirth avoided Incremental cost per additional healthy baby Incremental cost per additional mother delivered with bodily integrity
Perspective:	National Health Service and Personal Social Services
Evaluation method:	Cost-Effectiveness Analysis (CEA)
Time:	One year. No discounting is required.
Valuing Benefits:	A utility measure (e.g. QALY) is neither available nor appropriate in this setting.
Evidence Synthesis:	The results from the Evidence Review by Bazian (2014) will inform the statistical and economic modelling.

318

⁴ Full definitions and details of how these variables are operationalized are provided in Section 3.4 which details the methodology and data used in the statistical analysis.

3.2 CEA Methodology

The Cost-Effectiveness Analysis (CEA) will estimate the Incremental Cost-Effectiveness Ratio for increasing midwifery staffing by 1 FTE per 100 deliveries maternal mortality, maternal health, stillbirth, baby health and bodily integrity. The 5 outcomes will be considered separately due to a lack of common metric (e.g. QALYs or money). The analysis will be performed at trust level. Whilst a longitudinal/panel dataset will be used for the statistical analysis, the base case values will be taken from the latest available year as they will be most representative.

Table 1 lists the parameters used in the CEA and, taking falls as an example, the CEA uses them in the following steps:

Incremental Cost Effectiveness Ratio: Incremental cost/incremental benefit

Incremental benefit: effectiveness of intervention x exposure

Effectiveness: change in the rate at which the outcome occurs

Exposure: number of deliveries per trust per year

As the intervention is an increase in midwifery numbers, it will only be necessary to calculate the incremental cost and not the baseline cost as the remainder of the cost is still incurred after the intervention. For example, if we consider increasing registered midwifery staffing by 1 FTE per 100 deliveries from 3.34, then the incremental cost is the wage of 46 FTE midwives⁵ for the average trust from 143 because both the current practice and intervention will incur the cost of the other 143 FTE midwives.

The following assumptions are also made:

- That the data used in the statistical analysis is representative of English NHS trusts i.e. that there is no selection bias
- That there has been accurate recording of the outcomes
- That any unobserved patient, ward or trust level characteristics do not confound the results
- That the relationships are constant

The importance of these assumptions for the validity of the findings and the likelihood that they hold are discussed in Section 5. The impact of these assumptions on the CEA cannot be modelled through sensitivity analysis. The computer code used to generate the statistical results and the CEA

⁵ Based on the average trust employing 143 midwives and having 4620 deliveries per annum.

347 calculations have been checked by the authors, plus another colleague from the Department of
 348 Health Care Management & Policy. Finally, a sensitivity analysis is performed in Section 4 to
 349 determine the sensitivity of the findings and conclusions to the values chosen for the parameters in
 350 Table 1.

Parameter	Definition	Source and value
Exposure	Number of deliveries (thousands)	HES (2014). Hospital Episode Statistics
Effectiveness	Change in rate of outcome	Odds ratio from results Section 4.1.3.
Midwives	FTE registered midwives per 100 deliveries	HSCIC (2014). Workforce Census
Cost	The cost per FTE Midwife	Public and Social Services Research Unit (2013). Section 3.3

351 **Table 1** Economic Model Parameters and Sources

352

353 **Table 2:** NHS Employment Costs – Source: PSSRU 2013.

Grade	AfC Band	Salary	On-Costs	Total Cost	Total Cost x 0.96	Total Cost x 1.19
Qualified Midwife (Average)	6	£31,752	£7,888	£39,640	£38,054	£47,171
Qualified Midwife (Top of band)	6	£34,530	£8,674	£43,204	£41,476	£51,413
Newly Qualified Midwife (Average)	5	£25,744	£6,188	£31,932	£30,654	£37,999
Newly Qualified Midwife (Bottom of Band)	5	£21,478	£4,980	£26,458	£25,400	£31,485

354

355 **3.1 Costs**

356 From an NHS perspective, only direct costs are considered. As this is a midwife staffing intervention
 357 this is understood to be the wage plus the on-costs (employer’s national insurance and pension

358 contributions). Overtime, training costs, and capital costs are excluded. Costs are taken from
359 PSSRU's Unit Cost of Health and Social Care 2013 report (Curtis, 2013) and are national averages in
360 UK pounds for the period July 2012 to June 2013. The employment costs which are reported in Table
361 2 can be weighted for London trust by multiplying by a factor of 1.19 or reduced for trusts outside
362 London by multiplying by a factor of 0.96. A newly qualified midwife is placed on a band 5 salary
363 raising to band 6 after 12 months or at most after 24 months. As a result, the average band 6 salary
364 is taken as the base case cost in the economic evaluation. The highest and lowest plausible cost are
365 taken as the upper and lower bounds for the sensitivity analysis. These are the bottom of band 5
366 discounted for being outside London, and the top of band 6 weighted by the inner London cost.
367 These three salary values are highlighted in red in Table 2.

368

369 **3.2 Evidence of cost-effectiveness of interventions**

370 There are no existing economic evaluations of interventions to alter midwifery staffing levels and/or
371 skill mix that provide suitable estimates of the cost-effectiveness of the interventions (Hayre, 2014).
372 Evidence Review 3 (Hayre, 2014) found two "partially applicable" studies (Allen and Thornton, 2013;
373 Sandall et al., 2014) that provided minimal economic evidence. The studies were reviewed in detail
374 by Hayre (2014) and the findings of the economic evidence review are therefore only summarised
375 below.

376 The applicability criteria rate the applicability of the studies to the NICE reference case (in this study
377 health outcomes in NHS settings). This partially applicable rating means that the studies fail to meet
378 one or more of the applicability criteria, and this would change the conclusions about cost
379 effectiveness. Neither included study performed an incremental cost-effectiveness analysis or
380 considered the relationship between staffing costs and outcomes. In addition the limitations criteria
381 measures the methodological quality of the study. A rating of "potentially serious limitations"
382 indicates that the study fails to meet one or more quality criteria, and *this could change* the
383 conclusions about cost effectiveness. "Very serious limitations" would indicate that the study fails to
384 meet one or more quality criteria, and this is *highly likely to change* the conclusions about cost
385 effectiveness. Such studies should usually be excluded from further consideration.

386 One partially applicable study (Allen and Thornton, 2013) with very serious limitations suggested a
387 25% reduction in midwifery overload (the number of women exceed the scheduled workload) could
388 be achieved with a 4% increase in budget. A 15% reduction in midwifery overload could be achieved
389 by reducing staffing on Saturday night and all of Sunday and reapplied at peak weekday times with

390 no increase in costs. The study did not describe the simulation model in detail, the cost perspective,
391 resource estimates, unit cost estimates and sources were not stated. The study also used evidence
392 for one ward in England and may not be generalisable to other wards. The analysis was not a fully
393 incremental analysis and no sensitivity analysis was undertaken to investigate uncertainty. Given the
394 very serious limitations the study should be excluded from further consideration.

395 The other partially applicable study with potentially serious limitations (Sandall et al, 2014) showed
396 higher midwife staffing levels were associated with higher costs of each delivery. Adding an
397 additional midwife would increase the number of deliveries possible in a trust by approximately 18
398 deliveries per year. The study also showed that midwives are substitutes (can replace one another)
399 with support workers but complements (should be used in conjunction) with doctors and
400 consultants in terms of the total number of deliveries handled by a trust. Only 1– 2% of the total
401 variation in the outcome indicators was attributable to differences between trusts whereas 98– 99%
402 of the variation was attributable to differences between mothers within trusts, mostly due to clinical
403 risk, parity and age. The linear effects of the staffing variables were not statistically significant for
404 eight indicators. Increased investment in staff did not necessarily have an effect on the outcome and
405 experience measures chosen, although there was a higher rate of intact perineum and also of
406 delivery with bodily integrity in trusts with greater levels of midwifery staffing. The odds of having a
407 delivery with bodily integrity increase by 10 percent per additional midwife per 100 maternities⁶.
408 Adding an additional midwife per 100 maternities is equivalent to adding an additional 46 midwives
409 to the FTE headcount for the average trust⁷, representing a 33% increase in the midwifery
410 workforce.

411 However, the study was considered to have potentially serious limitations because it was unclear if
412 all relevant long terms costs and consequences were considered (i.e. long term implications of
413 mother and baby safety concerns). The analysis was not a fully incremental analysis. The time spent
414 between roles in obstetric versus gynaecology could not be separated, and there was no
415 consideration of bank and agency staff. Multicollinearity (a strong correlation between explanatory
416 variables used in the model) between many variables was identified. Endogeneity (the error term
417 and the explanatory variables are correlated) was also a potential concern. The combination of both
418 multicollinearity and endogeneity could result in potentially biased results, or incorrectly accepting
419 or rejecting a null hypothesis.

⁶ The odds ratio was 1.10 so the odds can be calculated as $(1.1-1)*100=10\%$

⁷ The mean FTE midwives per 100 maternities was 3.08 in Sandal et al. (2014) and the average number of deliveries was 4,620. See Table 16 on page 32 of the report. This implies an increase of 46.2 FTE midwives moving from 142.3 to 188.5 FTE on average.

420 Given the limited relevance of the existing literature, alongside the poor quality of the results, it will
421 be necessary to generate effectiveness measures before the cost-effectiveness can proceed. The
422 next section details the data sets and methods used to determine the effects of altering staffing
423 levels and skill mix on outcomes of care in maternity settings.

424

425 **3.3 Effectiveness of Staffing on Outcomes**

426 Following Evidence Review 1 (Bazian, 2014), the SSAC felt that the extant evidence was not robust
427 enough to inform the guideline development. Certainly, the existing evidence finds only weak or
428 inconsistent evidence of the positive effect of staffing on outcomes, even in highly powered studies.
429 A major limitation of most studies, as discussed in Section 2.2, is the omission of clinical risk
430 measures that may bias the findings. The best available study (Sandall et al., 2014) identified in
431 Evidence Review 1 (Bazian, 2014) which does control for clinical risk, reported a single year,
432 observational study and may suffer from further sources of endogeneity.

433 Crudely, statistical models attempt to measure the effects of some variables of interest on an
434 outcome of interest. For example, the effect of staffing levels on intrapartum maternal health. A
435 number of conditions must hold for the results of such statistical modelling to be valid for decision-
436 making purposes. Both Evidence Review 1 (Bazian, 2014) and the economists on the SSAC have
437 raised concerns that the extant evidence *may* suffer from endogeneity.

438 Endogeneity is a technical term that refers to the situation where there is a correlation or
439 relationship between the explanatory variables in a statistical model and the error term. The error
440 term captures the variation in the outcome that isn't explained by the explanatory variables.
441 Whenever this error is correlated with the explanatory variables the problem of endogeneity arises
442 and the estimated relationships between these explanatory variables and the outcome are biased or
443 untrustworthy. The estimated effects may be over or under estimates of the true relationship and
444 this makes decision-making difficult, if not impossible. These are several potential causes of
445 endogeneity, the most common of which are omitted variables and simultaneity.

446 Endogeneity is most commonly caused by omitted variables. There are may be a relationship
447 between clinical risk and staffing levels; a trust may employ more staff than another trust if a greater
448 proportion of their patients are "higher risk". At the same time we think that both staffing levels and
449 high risk independently effect clinical outcomes. Excluding one of these variables from our model
450 will therefore cause endogeneity because we have omitted a variable. We rarely have all of the
451 potential explanatory variables in a model because either (i) we don't know what all of them are, or

452 (ii) we haven't observed them. However, omitted variable bias only occurs when the excluded
453 explanatory variables are related to the included explanatory variables. Using longitudinal data
454 where trusts are repeatedly observed over time removes some omitted variable bias, to the extent
455 to which these omitted variables are time invariant. For example, if management quality is
456 potentially correlated with both staffing levels and patient outcomes it could induce endogeneity.
457 However this could be removed if management quality is constant for each trust over time.

458 Alternatively endogeneity may be caused by simultaneity. This is where the outcome and one (or
459 more) of the explanatory variables are jointly determined. For example, whilst staffing may determine
460 how many deliveries a maternity service can handle, the number (or expected number) of deliveries
461 may determine the amount of staff a provider employs. This indicates that it may be difficult to
462 determine which way the causal relationship flows. This is less of a problem in the estimation of
463 outcomes but more in the estimation of the effects of staffing levels on output (i.e. the number of
464 deliveries). This could be addressed through econometric techniques such as generalized method of
465 moments where historical values of output (deliveries) are included as an explanatory variable.

466 Sandall et al. (2014) suggests that increased midwife staffing may be associated with an increased
467 likelihood of delivery with bodily integrity (no uterine damage, 2nd/3rd/4th degree perineal tear,
468 stitches, episiotomy, or Caesarean section), but not with a healthy mother or healthy baby. It
469 doesn't explicitly consider maternal mortality. To perform an economic evaluation evidence is
470 needed of the effectiveness of altering staffing or skill mix on these outcomes, but this is evidently
471 missing. NICE therefore commissioned further research into the association between outcomes and
472 staffing. Specifically this work focused on the five outcomes that the SSAC would most benefit their
473 deliberations: maternal and infant mortality, healthy mother and baby and bodily integrity. Whilst
474 the results of the statistical modelling – presented in Section 4.1 – may aid the SSAC in their
475 decision-making they were primarily intended to support the economic evaluation. This subsection
476 details the data and methods used in this new analysis. At present, we believe that this is the largest
477 and most robust observational study of maternity staffing levels, skill mix and outcomes. Yet as with
478 all research, there remain some limitations with this analysis which are discussed in Section 5.1.

479

480 **3.3.1 Data and Variables**

481 Hospital Episode Statistics (HES) is a pseudo-anonymous patient level administrative database
482 containing details of all admissions, outpatient appointments and Accident & Emergency
483 attendances at all NHS trusts in England, including acute hospitals, primary care trusts and mental
484 health trusts. Each HES record contains details of a single consultant episode: a period of patient

485 care overseen by a consultant or other suitably qualified healthcare professional (e.g. a midwife). It
486 is more common to work with spells or admissions, which is a continuous period of time spent as a
487 patient within a trust. This may include more than one episode.

488 This study worked with delivery spells as the basic unit of observation, although exploiting the
489 anonymous but unique patient identifiers in the HES records relevant information from previous
490 delivery and non-delivery spells can be appended or derived. For example, parity - the number of
491 live births (over 24 weeks) that a woman has had. This allowed for a more complete picture of a
492 woman's obstetric history to be compiled. Primary care trusts, mental health trusts and private
493 providers were excluded from the dataset.

494 Attached to a mother's delivery episode is 1-9 baby records for up to 9 babies called the maternity
495 tail. Each baby has its own HES birth record, but this is not linked to the mother's delivery record.
496 Delivery (mother) and birth (baby) records were extracted from the Hospital Episode Statistics
497 database for the period 2003-2013 by The Health and Social Care Information Centre along with
498 non-delivery episodes for these mothers. These were stored in a SQL database on a secure, private
499 network. Full details of data storage, data management and information governance procedures are
500 available upon request. The University of Surrey is compliant with the research and Information
501 Governance frameworks for health and social care in the United Kingdom and is compliant with the
502 University's best practice standards. It adheres to all of the conditions imposed by NHS HSCIC under
503 the HES and ESR data sharing agreements. Information Governance in the Department of Health
504 Care Management & Policy is managed by Dr Tom Chan.

505 The statistical analysis included NHS hospital deliveries resulting in a registerable birth between
506 2003 and 2013. A registrable birth occurs when a baby is born alive, or stillborn, after 24 completed
507 weeks. Duplicate delivery and birth records were removed from the dataset. Episodes were
508 converted to spells. The data were cleaned and the variables extracted or derived as defined in Table
509 3 and

510 Table 4 following the procedures outlined in Appendix 2 of Sandall et al. (2014).

511 **Table 3: Outcome Variable Names & Definitions**

Variable	Values	Definition
Maternal Mortality	1 = dead	Death listed as a discharge destination
Healthy Mother	1= healthy mother	A delivery with bodily integrity, no instrumental delivery, no maternal sepsis, no anaesthetic complication, mother returns home ≤ 2 days, mother not readmitted within 28 days
Stillborn	1 = stillborn	Either an antepartum or intrapartum stillbirth as identified in the "BIRSTAT" field of HES
Healthy Baby	1 = healthy baby	A live baby, with gestational age of between 37-42 weeks, and baby's weight is between 2.5-4.5kg
Delivery with Bodily Integrity	1 = bodily integrity	Delivery without uterine damage, 2nd/3rd/4th degree perineal tear, stitches, or episiotomy

512

513 Maternal mortality is generally considered a poor indicator of quality of care due to its rarity⁸ and
 514 questions about the relationship with factors controlled by care providers. A recently reported study
 515 by Knight et al. (2014) showed that two thirds of women who die during pregnancy or shortly
 516 afterwards die from non-pregnancy related medical conditions— for instance, heart disease,
 517 neurological conditions, or mental health problems — that have deteriorated because they were not
 518 well controlled. However as none of the included studies in Evidence Review 1 (Bazian, 2014)
 519 covered maternal mortality, the SSAC were keen to include this in the current study. In-hospital
 520 maternal death was identified through the discharge destination. Given the time available for the
 521 study it was not possible to request data linkage (based upon NHS number⁹) to ONS birth and death
 522 records. Therefore it wasn't possible to consider maternal mortality within 42 days – the most
 523 commonly used definition – or 1 year of delivery.

524 Whilst maternal mortality is incredibly rare, unfortunately the same cannot be said for babies. In
 525 2011, 1 in 133 babies were stillborn or died within seven days of birth (NAO, 2013). Whilst this

⁸ The maternal death rate is approximately 11 per 100,000 live births, which equates to 60-70 deaths per annum (CMACE, 2011). The rate has been declining steadily over the past decade.

⁹ This data linkage requires special permissions and that the NHS number on the ONS data are encrypted with exactly the same algorithm as that used by HSCIC for a recipient's HES extract. Both processes take a long time and due to the severe backlog in data requests at HSCIC this was not feasible within the time constraints of this project.

526 mortality rate has been historically declining, there is significant variation both across UK countries
527 and across individual trusts within countries. Stillbirth, either antepartum or intrapartum, is
528 therefore an important outcome indicator. It is derived from the birth status field for each baby in
529 the maternity tail.

530 The SSAC were also interested in a range of other outcomes that were developed in Sandall et al.
531 (2014), and which are replicated here. Whilst mother and baby mortality are important indicators
532 they affect a small fraction of the patient population. Whether or not the mother and/or baby are
533 healthy following the birth are more widely applicable measures of quality of care. The definitions of
534 “healthy” are those adopted in Sandall et al. (2014). A healthy baby is a live, full term (37-42 week)
535 baby weighing more 2.5-4.5 kg. Gestational age and weight are expected to be correlated and
536 themselves important predictors of a live birth. If all three conditions are met then a baby is defined
537 as “healthy.” Unfortunately the baby weight and gestational age fields are the most poorly coded in
538 the maternity episodes.

539 A healthy mother experiences a normal birth with bodily integrity (defined below), without
540 instrumental delivery, maternal sepsis or anesthetic complications, and returns home within 2 days
541 of delivery not to be readmitted within 28 days. The final outcome variable selected by the SSAC was
542 delivery with bodily integrity This term means that, following birth, the woman has not sustained
543 any of the following: an abdominal wound (caesarean), an episiotomy (incision at the vaginal
544 opening to facilitate birth), or a second-, third- or fourth-degree perineal tear¹⁰. She has therefore
545 not required any stitches.

546 Although the principal aim of the statistical analysis is to determine the effect of staffing on
547 maternal outcomes, a number of patient level explanatory variables were also extracted or derived
548 from the HES records. These were considered to partially explain the variation in the outcomes
549 between mothers. As the composition of mothers (case-mix) varies from trust to trust, it is
550 important to include these variables to prevent confounding variations in the service user
551 population with variations in the service itself. For example, if clinical risk is an important predictor
552 of outcomes – with higher risk mother’s having worse outcomes for themselves and their babies –

¹⁰ A first-degree tear is skin only, often does not require suturing and heals spontaneously; a second-degree tear involves injury to the perineum involving perineal muscles but not involving the anal sphincter; a third-degree tear involves partial or complete disruption of the anal sphincter muscles which may involve both the external and internal anal sphincter muscles; and a fourth-degree tear is where the anal sphincter muscles and anal mucosa have been disrupted.

553 variation in clinical risk profiles from trust to trust would appear to show trusts with a greater
554 proportion of higher risk woman to have worst outcomes if this variable is excluded from the
555 analysis. This is a problem of confounding. Further as explained in Section 3.3, as these patient level
556 variables may be correlated with the trust level staffing variables omitting them from the analysis
557 could induce bias in the form of endogeneity.

558

559 Table 4 lists the included patient level variables. This included maternal age, parity, clinical risk at the
560 end of pregnancy as measured by the NICE guideline for intrapartum care (NICE, 2007), ethnicity,
561 area socioeconomic deprivation as measured by the Index of Multiple Deprivation (IMD) (DCLG,
562 2011), geographical location (urban/rural) and region. As in other studies, important explanatory
563 variables such as smoking status, drug/alcohol use and maternal obesity are not available. However
564 as they are likely to be correlated with a number of the co-morbidities and conditions included in the
565 clinical risk variable, and because they are unlikely to be correlated with staffing levels their
566 omission is unlikely to bias the results.

567 This study adopted the innovative method developed in Sandal et al. (2014) to exploit the rich
568 clinical history available in HES records to identify women with “higher risk” pregnancies because of
569 pre-existing medical conditions, a complicated previous obstetric history or conditions that develop
570 during pregnancy. These women and their babies may have different outcomes from women
571 regarded as at “lower risk”. They used the NICE (2007) intrapartum care guideline and matched the
572 conditions listed in the guideline to relevant four-alphanumeric digit ICD-10 codes. For certain
573 conditions, other types of codes were matched, such as OPCS-4 or HES Data Dictionary data items,
574 for example to identify breech presentation or multiple pregnancy. See pages 23-24 of Sandal et al.
575 (2014) for further details.

576 The HES data were extracted to a secure, private R Studio server for statistical analysis where they
577 were matched to the trust level dataset. The trust level dataset was assembled from three distinct
578 sources. The HSCIC provided staffing data for English trusts under a Data Sharing Agreement. The
579 staffing data were Full Time Equivalent (FTE) members by occupational group (e.g. registered
580 midwife). Data provided for 2004 to 2013 are taken from the Non-Medical Workforce Census as at
581 30 September in each specified year. NHS Hospital and Community Health Service (HCHS) medical
582 staff in Obstetrics and Gynaecology by organisation and grade are taken from the Medical
583 Workforce Census as at 30 September in each specified year. In addition, a dummy (binary) variable
584 for whether the hospital was a University Teaching Hospital was generated from data provided by
585 Association of University Hospital Trusts (2014). Lastly, the number of maternities was included as a
586 proxy for organisation size using data provided by the Office for National Statistics (ONS).

587 These are the same variables as used in Sandall et al. (2014) with the exception of service
588 configuration. Sandall et al. (2014) included a categorical variable that captured the service
589 configuration (e.g. Midwifery Led Unit) that was provided by BirthChoiceUK. However Sandall et al.
590 (2014) only required data for 2010 whilst this study required data for the decade 2003-2013. In the
591 time that was available, BirthChoiceUK did not have the resources available to provide this

592 information. However, this variable was not found to be statistically significantly related to
593 outcomes in Sandal et al. (2014), and to the extent to which configuration is largely expected to be
594 time invariant the longitudinal nature of this dataset should remove any potential confounding
595 problems. Similarly, any other trust level variables that are fixed over time will be controlled for
596 through the longitudinal nature of the data.

597 As discussed in Section 3.3, the staffing variable is a proxy variable and may not adequately reflect
598 the staffing levels on a delivery suite at the time of delivery. For example, the staffing numbers are a
599 census figure at 30 September and mask any variation in staffing over a year. Further the numbers
600 do not indicate how staff are split between obstetrics and gynaecology, or between the various
601 wards or units within the maternity service (e.g. antenatal or antenatal care). Finally, it is
602 impossible to determine how mother to staff ratios vary over time in response to changes in
603 demand, staff absence or rotas. If these aspects do not vary across providers then the model
604 remains valid in terms of the strength of the relationship, but the scale of the effect will be wrong.

605 What was evident from Sandall et al. (2014) was that there was little variation in the ratio of staff to
606 maternities, and weak or non-existent relationships between staffing levels and outcomes. The lack
607 of variation in staffing within trusts may be one explanation for these findings. Therefore a new
608 variable – Hospital Load Ratio¹¹ – was added as a patient level fixed effect, which is derived from HES
609 and the staffing data. Delivery dates were used to estimate the number of mothers who gave birth
610 on the same day at the same provider: Hospital Load. This is a crude measure of service demand
611 because it ignores the length of delivery and other patients who may be admitted to the maternity
612 service but who did not deliver on that day. However the variable does create significant variation in
613 service demand, as the brief description in Section 4.1.1 illustrate.

614 This Hospital Load was then divided by the total FTE maternity staff a trust employed that year to
615 give a crude estimate of deliveries per staff that varies by day: Hospital Load Ratio. Obviously all staff
616 are not working at the same time, or even all work on the delivery ward. But if it can be assumed
617 that the rota/shift pattern and split between wards follows the same pattern the relationship should
618 hold. In summary, the variation in service demand has been used to generate greater variation in the
619 staffing variable.

620 Whilst the quality of HES data has been steadily improving since its introduction a number of key
621 fields are still miscoded or incomplete. For example, gestational age is frequently miscoded because
622 a number of trusts enter the age in days rather than weeks required in HES. This results in a

¹¹ Thanks to Dr Chris Bojke at Centre for Health Economics, University of York for suggesting this potential solution.

623 truncation of, for example, a 40-week term pregnancy to a 28-week pre-term pregnancy because
624 the trust entered 280 days (40 x 7) in the patient's gestational age field. These trusts were identified
625 during the data cleaning stage and the gestational age set to "UNKNOWN." A similar practice was
626 applied to the other fields.

627 An exclusion criterion was therefore applied to the final dataset based upon the quality of clinical
628 coding. Trusts were excluded for a particular outcome in a particular year if their coding
629 completeness was less than 80 per cent for that outcome in that year. This approach maximised the
630 available data for each analysis whilst ensuring generally high quality coding. Other studies have
631 demonstrated that high quality coding trusts are representative of all trusts, and that the results of
632 statistical analyses are not sensitive to the exclusion of low quality coding trusts (Murray et al., 2012;
633 Knight et al., 2013).

Variable	Categories/definition
<i>Mother's characteristics</i>	
Mother's age (years)	≤ 19, 20–24, 25–29, 30–34, 35–39, 40–44, ≥ 45
Mother's parity ^a	0, 1, 2, 3, 4 or more
Clinical risk ^b	Lower, higher (includes individual assessment)
Ethnicity ^a	Not given/not known/not stated English/Welsh/Scottish/Northern Irish/British (white) Irish (white) Gypsy or Irish traveller Any other white background White and black Caribbean (mixed) White and black African (mixed) White and Asian (mixed) Any other mixed/multiple ethnic background Indian (Asian or Asian British) Pakistani (Asian or Asian British) Bangladeshi (Asian or Asian British) Chinese Any other Asian background African (black or black British) Caribbean (black or black British) Any other black/African/Caribbean background Arab Any other ethnic group, please describe
<i>Postcode-linked data</i>	
IMD ^a	Quintiles 1 = most deprived to 5 = least deprived
Rural/urban classification ^a	No information/other postcode Urban ≥ 10,000 – sparse Urban ≥ 10,000 – less sparse Town and fringe – sparse Town and fringe – less sparse Village – sparse Village – less sparse Hamlet and isolated dwelling – sparse Hamlet and isolated dwelling – less sparse
Strategic Health Authority ^a	North East North West Yorkshire and Humber East Midlands West Midlands East of England London South East Coast South Central South West

Trust-level data

Trust size ^c	ONS maternities (in thousands)
Doctors ^d	FTE doctors per 100 maternities
Midwives ^e	FTE midwives per 100 maternities
Support Workers ^e	FTE support workers per 100 maternities
Consultants ^d	FTE consultants per 100 maternities

Data Sources:

a Source: Hospital Episode Statistics with categories defined in Data Dictionary (NHS HSCIC, 2010)

b Derived from NICE Clinical Guideline 55 for intrapartum care (NICE, 2007) following the methods outlined in Sandall et al. (2014) using Hospital Episode Statistics

c Source: ONS Birth Records

d Source: Health and Social Care Information Centre (2003-2013) Medical Workforce Census

e Source: Health and Social Care Information Centre (2003-2013) Non-Medical Workforce Census

635

636

637 3.3.2 Statistical Methodology

638 A generalised linear mixed model is applied to each of the five outcome variables in turn using R¹².

639 Generalized linear models are appropriate when the response function is non-linear such as the case
640 of binary (0,1) outcomes such as these. In this case logistic regression is used. A mixed model is used
641 to capture the multilevel or hierarchical nature of the data (patients are nested within trusts). All
642 sorts of data are naturally multilevel, hierarchical or nested. Students nested within classes within
643 schools, and patients nested within wards within hospitals are two examples. Using techniques that
644 are specifically designed for data generated under such hierarchical structures provides many
645 statistical and practical advantages, including:

646 **Correct inferences:** As the observations are not independent the standard errors from a traditional
647 will be underestimated leading to an overstatement of statistical significance. This could be
648 corrected for using other methods such as clustered standard errors.

649 **Substantive interest in trust level effects:** Multilevel modeling allows researchers to study the
650 residual variation in the outcomes after controlling for patient level factors. It allows us to determine
651 what proportion of the variation in outcomes is determined by patient level factors and which by
652 trust level factors.

¹² The R code used to generate the models is available upon request. The glmer function in the lme4 package was used.

653 **Estimating trust effects simultaneously with the trust of group-level predictors:** The effect of
654 staffing, which is a trust level rather than patient level variable, is of substantive interest in the
655 analysis. In a fixed effects model, the effects of group-level predictors are confounded with the
656 effects of the group dummies, i.e. it is not possible to separate out effects due to observed and
657 unobserved group characteristics. In a multilevel (*random effects*) model, the effects of both types of
658 variable can be estimated.

659 **Inference to a population of trusts:** In a multilevel model the groups (trusts in this case) in the
660 sample are treated as a random sample from a population of groups/trusts. Using a fixed effects
661 model, inferences cannot be made beyond the groups in the sample. This is particularly relevant in
662 this study where not all trusts are included for all outcomes.

663 Arguably an ordered multinomial logistic regression could be used instead of the logistic regression
664 adopted here. For example, instead of running two separate models for (i) maternal mortality (0 =
665 alive, 1 = dead), and (ii) healthy mother (0 = unhealthy, 1 = healthy) we could adopt an ordered
666 logistic model with outcomes (1 = dead, 2 = alive but unhealthy, and 3 = alive and healthy). However
667 these can be considered equivalent (Allison, 1984: 46-47) whilst running the simpler logistic model
668 over an ordered logistic model is computationally simpler and therefore faster. This is an important
669 consideration with multilevel models applied to large datasets such as this sample because the
670 statistical models can take a long time to run and often experience problems converging at all.

671 Each of the five outcomes were considered in turn with the set of explanatory variables listed in

672 Table 4 entered as fixed effects. Patients were nested within years within trusts and these were
673 estimated as random effects. Odds ratios are estimated from the regression results. The standard
674 errors are extracted from the diagonal of the variance-covariance matrix but as these are
675 approximations they are unreliable for performing statistical inference (i.e. for generating p-values
676 for producing confidence intervals). Instead, Likelihood Ratio (hypothesis) tests of the groups of
677 parameters are performed and the statistical significance of these are reported¹³.

678 To facilitate this, the explanatory variables were added in blocks starting with mother-level clinical
679 variables (age, parity and risk), then socio-demographics (ethnicity, deprivation and urban/rural),
680 trust-level variables (trust size and SHA) and finally staffing variables (both the hospital load variable
681 and the staffing levels). The intercept, through a random effect, was the only parameter allowed to
682 vary between trusts, to ensure that clustering of mothers and babies within trusts was properly
683 accounted for in the estimation of the parameter estimate standard errors (SEs). All other variables
684 were entered as fixed effects i.e. the relationship between the variable of interest (e.g. deprivation)
685 was the same for all mothers regardless of which trust she gave birth in.

686 Commonly used measures of model fit (e.g. R-squared) are largely meaningless with non-linear
687 models such as logistic regressions. A more appropriate measure is the discrimination properties of
688 the model – how often the model correctly predicts the outcome under study. In essence it
689 compares the predicted values with the actual observations. The area under the ROC curve (AUC)
690 statistic indicates how well a model fits the data. An AUC of 0.5 is no better than tossing a coin
691 (which would be correct 50% of the time) whereas an AUC of 1 implies perfect prediction.

692

693 **3.3.3 Econometric Methodology**

694 Skill mix is an important topic, specifically the questions of the extent to which staff groups and
695 professions are substitutes (can replace each other) or complements (should be used together).
696 Understanding the relationships between staff groups is important for optimising the healthcare
697 workforce to maximise the amount of work that can be done. Changes in healthcare staffing in
698 recent years has implicitly assumed that staff groups are substitutes, at least for certain tasks. For
699 instance, the greater use of healthcare assistants. Production economics can be used to test
700 whether this assumption is correct and could provide important insights into the optimal skill mix for
701 maternity services. This analysis is focused on the amount of output (the total number of deliveries)
702 rather than on the outcomes of this work.

¹³ Specifically, the difference in the Log-Likelihood of the two models (one with and one without the parameter(s) of interest) are distributed as a Chi-Squared variable for hypothesis testing.

703 In economics, a production function describes the mechanism for converting a vector of inputs (e.g.
 704 midwives) into output (deliveries). After selecting the appropriate functional form, econometric
 705 estimation of the function's parameters allows the output elasticities to be calculated and returns to
 706 scale to be found. The output elasticity measures how responsive output is (the number of
 707 deliveries) to a change in the amount of input (e.g. staff). Due to the absence of data on input prices
 708 at the maternity services level of analysis, we adopted a production (i.e. quantity) function
 709 approach. Many healthcare studies using production functions (as opposed to cost functions) have
 710 adopted Reinhardt's (1972) specification of the production function, which was the first to include
 711 multiple labour inputs (registered nurses, technicians, administrative staff and doctors). However,
 712 this function assumes all inputs to be substitutes (solely due to the absence of cross-products) and
 713 discounts the possibility that different staff groups could be complements. The advance in
 714 production function analysis of the 1970s gave rise to two flexible econometric specifications which
 715 allows researchers to relax this overly strict assumption. Berndt and Christensen (1973) introduced
 716 the transcendental-logarithmic (translog) production function and Diewart (1971) introduced the
 717 generalized linear production function (also known as the Allen, McFadden and Samuelson
 718 production function).

719 Using either of these functions would have allowed us to estimate the relationship between the
 720 labour inputs because the regression coefficient on the cross-products (interaction effects) can be
 721 simply used to calculate the Hicks (1970) elasticity of complementarity (see Sato and Koizumi (1973)
 722 or Syrquin and Hollender (1982), for an explanation). However, an advantage of the Diewart (1971)
 723 specification is that it allows zero quantities for some inputs which may be a more realistic
 724 assumption when labour inputs are disaggregated as they are in our study. This modelling enabled
 725 us to examine the output contribution of the different staff inputs (output elasticities) and their
 726 influence upon the productivity of other staff inputs (i.e. whether they are complements or
 727 substitutes). With these results available, we were able to investigate the input substitution
 728 possibilities available to hospitals under different scenarios.

729 Following Diewart (1971) we adopted a generalized linear production function defined as:

$$Y = F(X) = F(X_1, \dots, X_K) = \sum_{i=1}^K \sum_{j=1}^K \alpha_{ij} \sqrt{X_i} \sqrt{X_j}$$

730 where in our study $K= 4$, $X = \{\text{consultants, doctors, midwives and support staff}\}$ and $Y = Q$,
 731 corresponding to the number of deliveries. To examine the q-complementarity (and therefore to

732 answer the question relating to skill mix), we calculated the Hick's elasticity of complementarity⁶⁹, η^H
733 defined for any two staffing inputs i, j ($i, \neq j$):

$$\eta_{ij}^H = \frac{f f_{ij}}{f_i f_j} \forall i \neq j$$

734 where

$$f_{ij} = \frac{\partial^2 f}{\partial x_i \partial x_j}$$

735 The elasticities were computed at the means and the standard errors via the delta method.

736 We used the total number of deliveries within a hospital trust for a given year as the output measure
737 and adopted a generalized linear production function suggested from Diewert (1971) and recently
738 used by Sandall *et al.* (2014) in order to model the output of maternity services in the English NHS.
739 However, instead of using a single cross-section, we use a panel dataset at the trust level so we can
740 control for year effects and unobserved For the purposes of the analysis¹⁴, the decision making unit
741 was the hospital trust at a given year. The data cover the period between the financial years
742 2004/05 and 2013/14. More specifically, the results are based on matching information extracted
743 from the Maternity Workforce Census for the period 2004/05 to 2013/14 (as at 30 September of
744 each year) and the ONS Birth Registration Records for the period 2004/05 to 2012/13.¹⁵ Merging the
745 data resulting in an unbalanced panel dataset of 352 distinct providers for 10 years, where 228 of
746 them were observed in every year. Table 1 presents some descriptive statistics, regarding the total
747 sample, for the variables used in the subsequent analysis. The output measure was the total number
748 of deliveries within the trust which has a sample mean of 4255.5 maternities and a standard
749 deviation of 2168.2 which indicates a large degree of variation.

750 From the staffing data, the main focus is on the following four categories: registered midwives,
751 support workers, consultants and all other doctors. The last two categories are considered
752 separately in order to examine their substitutability and complementarity with the rest labour input
753 types. Registered midwives are clearly the largest group with a mean FTE of 110.10, followed by
754 doctors (21.73), consultants (10.03) and support workers (4.73). The mean FTE of support workers

¹⁴ This analysis was performed whilst the research team were waiting for the full HES dataset. We therefore used aggregated (non-patient level) data and the data will therefore be slightly different to the data used in the main analysis. This analysis should therefore be considered subsidiary to the main analysis, but nevertheless it provides interesting insights into the skill mix questions.

¹⁵ Workforce data for 2013/14 is taken from the Provisional NHS Hospital & Community Health Service (HCHS) Monthly Workforce Statistics and is at 31 May 2014.

755 may seem small, however, a simple descriptive analysis indicates that their use has been following a
756 steadily upward trend during the period under investigation, from a mean FTE of 2.99 in 2004/05 to
757 a mean FTE of 7.31 in 2013/14. The evolution in the use of doctors and consultants has been rather
758 stable throughout the total period while the mean FTE of registered midwives has been increased
759 from 97.37 in 2004/05 to 132.05 in 2013/14. The data are therefore comparable to that used in the
760 main statistical analysis.

761

762

763 4 Results

764 4.1 Statistical Analysis

765 The final dataset consisted of 5,753,551 valid deliveries over 10 years from 2004 from 157 trusts.
766 The dataset is an unbalanced panel in that not all trusts are observed for all outcome variables in all
767 years. This was either due to the exclusion criteria (data quality) or because trusts changed provider
768 code (e.g. due to merger or closure).

769

770 4.1.1 Descriptive Analysis

771 The descriptive analysis reports the changing structure of the dataset over the 10-year period. Table
772 5 presents the descriptive statistics for the outcomes. A universal pattern across the indicators is
773 that there is relatively little variation over time, but high levels of variation across trusts within years.
774 For instance the bodily integrity rate is double that for the top performing trusts when compared to
775 the least performing trust. A similar pattern emerges for healthy mother. There is a prima face case
776 to explore, although these are the raw outcome rates and are not adjusted for clinical risk.

777

778 **Table 5: Descriptive Statistics of Outcomes**

Healthy Mother	Mean	Std.Dev	Min	Max
2004	52%	5.15%	39%	64%
2005	51%	5.12%	38%	67%
2006	50%	5.02%	38%	66%
2007	48%	4.67%	34%	62%
2008	47%	4.81%	34%	60%
2009	47%	5.04%	33%	63%
2010	46%	4.83%	31%	61%
2011	45%	4.99%	29%	57%
2012	45%	4.96%	31%	55%

Maternal Mortality	Mean	Std.Dev	Min	Max
2004	0.005%	0.012%	0.000%	0.049%
2005	0.004%	0.011%	0.000%	0.070%
2006	0.003%	0.008%	0.000%	0.035%
2007	0.002%	0.007%	0.000%	0.035%
2008	0.003%	0.009%	0.000%	0.065%
2009	0.004%	0.009%	0.000%	0.047%
2010	0.003%	0.009%	0.000%	0.047%
2011	0.004%	0.012%	0.000%	0.105%
2012	0.002%	0.007%	0.000%	0.049%

Bodily Integrity	Mean	Std.Dev	Min	Max
2004	38%	7.10%	23%	66%
2005	37%	6.80%	21%	65%
2006	36%	6.25%	23%	56%
2007	35%	6.03%	17%	51%
2008	34%	5.91%	21%	51%
2009	34%	5.77%	22%	50%
2010	32%	5.73%	20%	51%
2011	31%	5.94%	18%	54%
2012	30%	5.60%	15%	45%

Stillbirth	Mean	Std.Dev	Min	Max
2004	0.521%	0.196%	0.000%	1.211%
2005	0.511%	0.166%	0.139%	1.007%
2006	0.548%	0.182%	0.000%	1.184%
2007	0.511%	0.183%	0.039%	1.102%
2008	0.497%	0.169%	0.060%	0.941%
2009	0.513%	0.154%	0.000%	0.954%
2010	0.516%	0.153%	0.126%	1.048%
2011	0.524%	0.151%	0.178%	0.942%
2012	0.485%	0.159%	0.128%	0.899%

Healthy Baby	Mean	Std.Dev	Min	Max
2004	89%	3%	82%	93%
2005	89%	2%	82%	94%
2006	89%	2%	82%	93%
2007	89%	2%	82%	93%
2008	89%	2%	83%	93%
2009	89%	2%	78%	93%
2010	89%	2%	78%	93%
2011	89%	2%	84%	93%
2012	89%	2%	84%	94%

779

780 Never event outcomes such as maternal or baby mortality have been steadily declining, although
781 they have always been rare. However there has been a worsening in the healthy mother and bodily
782 integrity variable. As bodily integrity is a component of the healthy mother variable, it is expected
783 that they share the same trend. The worsening of the healthy mother variable could be to increased
784 proportion of the population giving birth and the very slight changes in the demographic profile. This
785 could result in more interventions (e.g. planned caesarean sections), which would affect the healthy
786 mother outcome rate. Alternatively it could simply be the result of an improvement in the quality of
787 clinical coding.

788 As the statistics in Table 6 illustrate, there is remarkably little variation in the profile of woman giving
789 birth over the past decade with respect to all of the variables except clinical risk which has increased
790 from 41% in 2004 to 53% in 2013. This could, in part, be explained by an improvement in the level of
791 clinical coding of particular conditions or procedures that would render a woman at “higher risk” of
792 a difficult delivery. Further, the age profile has altered very slightly with both a greater proportion of
793 younger and older woman giving birth. Whilst the statistical models will include fixed time effects to
794 test whether there is a time trend in the data (equivalent to estimating a different intercept or
795 baseline for each year), it is unlikely to provide much explanatory power. The SHA of each trusts
796 remains constant over the period and therefore only one observation is presented. However, the
797 substantial variation in outcomes across trusts may be the result of variations in the case-mix or by
798 variations in hospital level factors such as staffing. The multilevel modelling introduced in Section
799 3.3.2 will allow for this to be tested and for the effect of both individual (patient level) and group
800 (trust level) predictors to determine the outcomes.

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Table 6: Descriptive Statistics – Hospital Episode Statistics Data

	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	All Years
Maternal Age											
<20	3%	3%	4%	4%	4%	4%	4%	4%	4%	4%	4%
20-24	0%	0%	0%	0%	0%	0%	0%	1%	1%	1%	0%
25-29	7%	7%	7%	6%	6%	6%	6%	5%	5%	4%	6%
30-34	19%	19%	19%	19%	19%	19%	19%	19%	18%	17%	19%
35-39	25%	25%	26%	27%	27%	27%	27%	28%	28%	28%	27%
>40	30%	29%	28%	28%	27%	27%	28%	28%	29%	29%	28%
Missing	16%	16%	16%	17%	16%	16%	16%	16%	15%	16%	16%
Parity											
0	49%	48%	48%	49%	48%	44%	44%	43%	42%	41%	46%
1	31%	32%	32%	33%	32%	33%	32%	32%	33%	33%	32%
2	11%	12%	12%	11%	12%	13%	14%	14%	14%	15%	13%
3	5%	5%	5%	4%	4%	5%	5%	6%	6%	6%	5%
4+	4%	4%	4%	3%	4%	5%	5%	5%	5%	5%	4%
Clinical Risk											
Higher Risk	41%	43%	44%	45%	46%	48%	50%	52%	53%	55%	47%
Deprivation (IMD Quintiles)											
1	27%	28%	28%	28%	28%	28%	28%	28%	28%	28%	28%
2	21%	21%	21%	22%	22%	22%	22%	22%	22%	22%	22%
3	18%	18%	18%	18%	18%	18%	18%	18%	18%	18%	18%
4	16%	16%	16%	16%	16%	16%	16%	16%	16%	16%	16%
5	16%	16%	16%	16%	15%	15%	15%	15%	15%	14%	15%
Missing	1%	1%	1%	1%	1%	1%	1%	1%	2%	2%	1%
	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Ethnicity											
British (White)	65%	65%	66%	65%	66%	66%	66%	66%	65%	65%	66%
Irish (White)	0%	0%	0%	0%	0%	0%	0%	0%	0%	0%	0%

Any other White background	5%	5%	6%	7%	7%	8%	9%	9%	9%	9%
White and Black Caribbean	0%	0%	0%	0%	0%	0%	0%	0%	1%	1%
White and Black African	0%	0%	0%	0%	0%	0%	0%	0%	0%	0%
White and Asian	0%	0%	0%	0%	0%	0%	0%	0%	0%	0%
Any other Mixed background	0%	1%	1%	1%	1%	1%	1%	1%	1%	1%
Indian	3%	3%	3%	3%	3%	3%	3%	3%	3%	3%
Pakistani	4%	4%	4%	4%	4%	4%	4%	4%	4%	4%
Bangladeshi	1%	1%	1%	1%	1%	1%	1%	1%	1%	1%
Any other Asian background	1%	1%	2%	2%	2%	2%	2%	2%	2%	2%
Caribbean	1%	1%	1%	1%	1%	1%	1%	1%	1%	1%
African	3%	3%	3%	3%	3%	4%	3%	3%	3%	3%
Any other Black background	1%	1%	1%	1%	1%	1%	1%	1%	1%	1%
Chinese	0%	0%	1%	1%	1%	1%	1%	1%	1%	1%
Not known	3%	2%	2%	2%	1%	1%	1%	1%	1%	1%
Not stated	9%	8%	6%	5%	5%	4%	3%	3%	4%	4%
Any other ethnic group	2%	2%	2%	3%	3%	3%	3%	3%	3%	3%

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	2004	2005	2006	2007	2008	2009	2010	2011	2012
Rural/Urban Indicator									
Urban =>10K - sparse	0%	0%	0%	0%	0%	0%	0%	0%	0%

Town and Fringe - sparse	0%	0%	0%	0%	0%	0%	0%	0%	0%
Village - sparse	0%	0%	0%	0%	0%	0%	0%	0%	0%
Hamlet and Isolated dwelling - sparse	0%	0%	0%	0%	0%	0%	0%	0%	0%
Urban =>10K - less sparse	85%	85%	85%	85%	85%	86%	86%	85%	85%
Town and Fringe - less sparse	7%	7%	7%	7%	7%	7%	7%	7%	7%
Village - less sparse	5%	5%	5%	5%	4%	4%	4%	4%	4%
Rest of UK	0%	0%	0%	0%	0%	0%	0%	0%	0%
Hamlet and Isolated Dwelling - less sparse	2%	2%	2%	2%	2%	2%	2%	2%	2%
Missing	1%	1%	0%	1%	1%	1%	1%	1%	2%

SHA

- South West
- East Midlands
- East of England
- London
- North East
- North West
- Not known
- South East
- West Midlands
- Yorkshire and The Humber

Total Records	568950	573957	593480	611593	636564	633409	654060	658566	668797
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814 *4.1.1.1 Staffing Trends*

815 Table 7 presents some descriptive statistics on the staffing data. Again, there is relatively little
816 variation in the average number of staff per 100 deliveries for each of the staffing groups over the
817 decade, but greater variation within a year across trusts. The minimum and maximum values, whilst
818 plausible, are very far apart and the standard deviation is relatively high. For example in 2013 the
819 range of registered midwives per 100 deliveries is 1.55-16.71. This points to a fair degree of trust
820 level variation in the staff to patient ratio. Recall, however, that this represents the total number of
821 these staff (e.g. registered midwives) in the whole trust and there may be variation across trusts in
822 how these staff are deployed across different maternity services, wards or between obstetrics and
823 gynaecology. It also doesn't capture differences in service configuration e.g. obstetric-led versus
824 midwife-led units. This is one of the major limitations of these aggregate data.

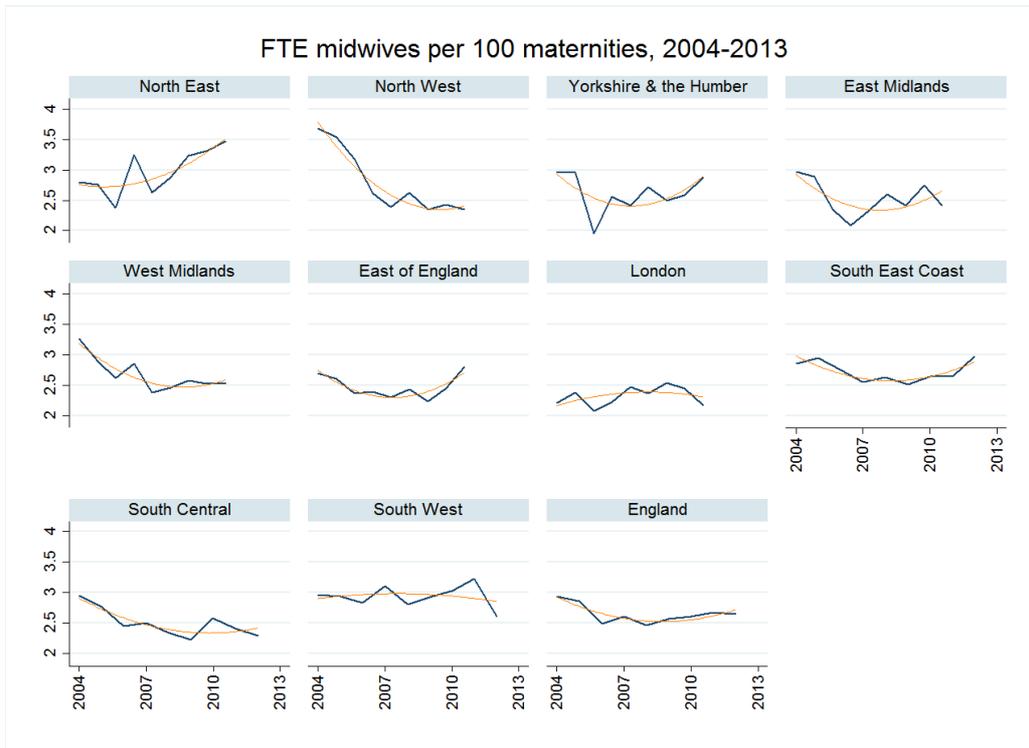
825 Comparing the data in Table 6 with those reported in Sandall et al. (2014) they are broadly similar
826 despite the dataset being slightly different. Similarly to the HES patient level data described in the
827 previous section, there is a strong correlation between these figures and those reported in Sandall et
828 al. (2014). For instance, the 2010 FTE midwives per 100 deliveries is 3.10 in this study and 3.08 in
829 Sandall et al. (2014).

830 A descriptive analysis of trends in staffing levels and skill mix variables over the decade to 2013
831 provides some interesting insights for the following variables:

- 832 1. *FTE doctors per 100 maternities*
- 833 2. *FTE midwives per 100 maternities*
- 834 3. *FTE support workers per 100 maternities*
- 835 4. *FTE all staff per 100 maternities*
- 836 5. *FTE managers per 100 maternities*
- 837 6. *Doctors to midwives ratio*
- 838 7. *Support workers to midwives ratio*
- 839 8. *Managers to total staff ratio*

840 *To understand the variation between regions, the trust level data were collapsed by year and Strategic Health Authority*
841 *Strategic Health Authority (SHA), and each index is plotted separately for each one of the ten SHAs as well as for the*
842 *as well as for the country as a whole. The yellow curve superimposed on each plot is a 3rd degree polynomial which*
843 *polynomial which smooths out the general trend. Unlike the data reported in Table 7, the following figures describe the*
844 *figures describe the full sample of staffing data including trusts which were excluded from the statistical analysis (either*
845 *statistical analysis (either as a result of poor quality coding or due to a lack of matching) and primary care trusts. Primary*
846 *care trusts. Primary trusts provide a great deal of community based midwifery care (e.g. antenatal care and home*

847 care and home deliveries), which will distort the representation somewhat. Figure 2: FTE Registered Midwives per 100
 848 Maternities 2003-2013



849
 850 **Figure 3: FTE Doctors per 100 maternities 2003-2013**

851
 852 displays the evolution in the doctor to patient ratio captured by the FTE doctors per 100
 853 maternities. It has steadily risen from 0.69 in 2004-05 to 0.76 in 2012-13, yet there is considerable
 854 variation at the regional level. Notably, it has decreased, on average, for trusts located in the South
 855 West and the South East Central SHAs.

856 **The analysis is repeated for the midwife to patient ratios through the number of FTE registered midwives per 100**
 857 **midwives per 100 maternities for each SHA and for the whole country. Over the period it has slightly decreased for the**
 858 **decreased for the whole country. A large reduction is observed for trusts located in the North West SHA and only those**
 859 **SHA and only those in the North East SHA display an average increase. A differentiated picture (**

860 Figure 4) emerges for the support work to patient ratio, the number of FTE support workers per 100
 861 maternities, which have been found to be substitutes to midwives, especially in low-risk women.
 862 Apart from trusts located in the North West and the East of England, their overall use seems to have
 863 increased in the rest of the regions, sharply in some cases, and in the country as a whole as well. This
 864 mirrors trends seen in nursing more broadly.

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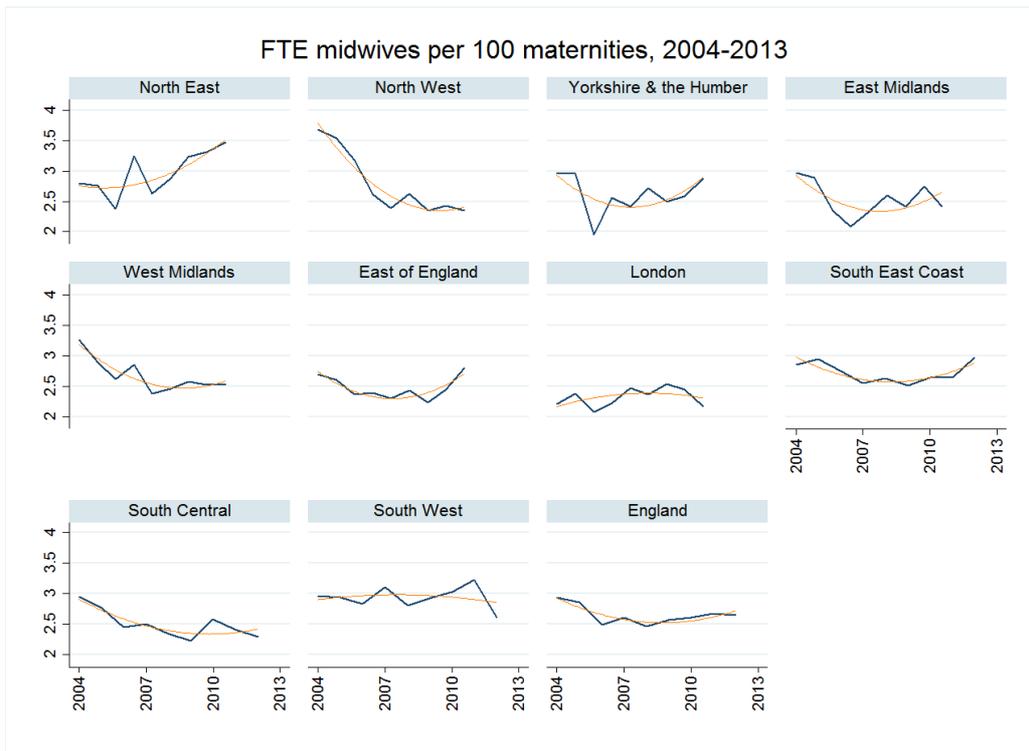
878 **Table 7: Staffing Data Descriptive Statistics – FTE per 100 deliveries**

Year	Midwives			Support Workers			Doctors			Consultants		
	Mean	S.D.	Range	Mean	S.D.	Range	Mean	S.D.	Range	Mean	S.D.	Range
2004	3.13	0.72	1.80 - 7.80	0.09	0.16	0.00 - 0.84	0.53	0.21	0.11 - 1.95	0.23	0.09	0.12 - 0.98
2005	3.20	1.08	0.91 - 9.62	0.10	0.17	0.00 - 1.10	0.57	0.20	0.06 - 1.69	0.24	0.11	0.11 - 1.04
2006	2.94	0.82	0.98 - 7.43	0.10	0.19	0.00 - 1.23	0.51	0.15	0.05 - 0.94	0.23	0.10	0.08 - 1.05
2007	2.97	0.75	1.38 - 7.41	0.10	0.18	0.00 - 0.98	0.52	0.19	0.21 - 1.87	0.23	0.10	0.08 - 1.03
2008	3.09	1.75	1.50 - 21.64	0.11	0.21	0.00 - 1.00	0.54	0.21	0.18 - 1.94	0.27	0.36	0.08 - 4.27
2009	3.09	0.90	1.07 - 9.22	0.13	0.23	0.00 - 1.31	0.57	0.19	0.07 - 1.79	0.26	0.17	0.08 - 1.77
2010	3.10	0.92	1.15 - 9.69	0.13	0.22	0.00 - 0.98	0.57	0.22	0.05 - 1.92	0.28	0.16	0.07 - 1.60
2011	3.29	1.70	1.33 - 18.71	0.14	0.22	0.00 - 0.94	0.58	0.30	0.03 - 3.18	0.29	0.22	0.06 - 1.91
2012	3.34	1.65	1.55 - 16.71	0.16	0.27	0.00 - 1.99	0.59	0.31	0.13 - 3.02	0.30	0.20	0.06 - 1.63
All Years	3.13	1.14	0.91 - 21.64	0.12	0.21	0.00 - 1.99	0.55	0.22	0.03 - 3.18	0.26	0.17	0.06 - 4.27

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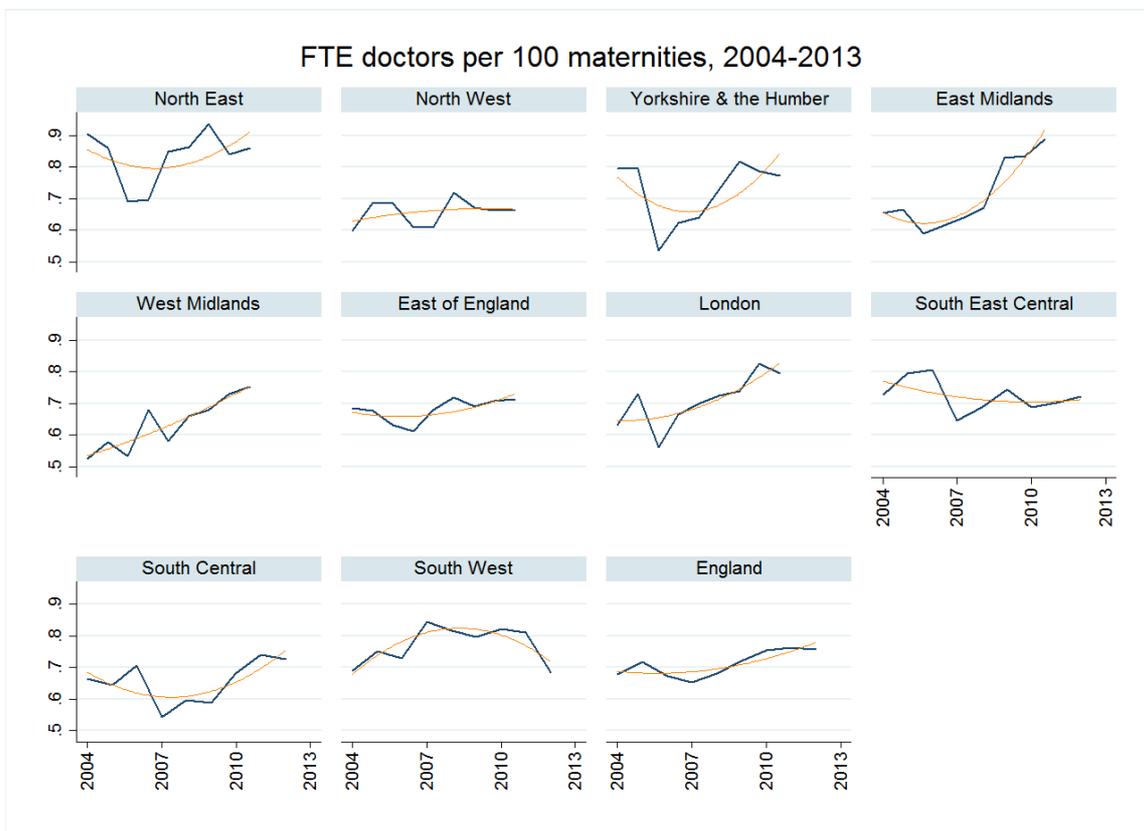
881 **Figure 2: FTE Registered Midwives per 100 Maternities 2003-2013**



882

883 **Figure 3: FTE Doctors per 100 maternities 2003-2013**

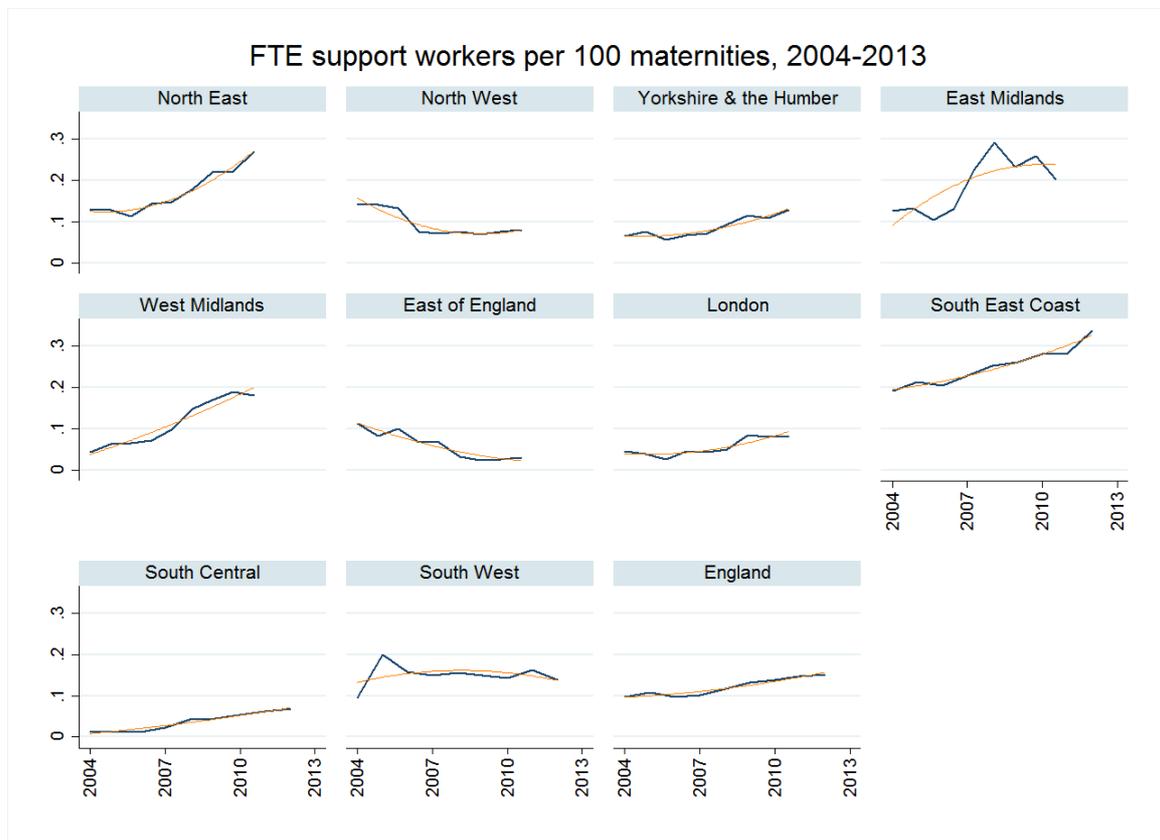
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887 **Figure 4: FTE Support Workers per 100 maternities 2003-2013**



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889 Aggregating all of the staff groups together, the total number of FTE staff (medical plus clinical) per
 890 100 maternities seems to have followed a rather negative trend during the period under
 891 examination, with the exceptions of the North East and, to a lesser extent, the East Midlands SHAs.
 892 This is depicted in Figure 5. This trend is most pronounced in the North West where there was a very
 893 strong downward trend in the registered midwife to patient ratio.

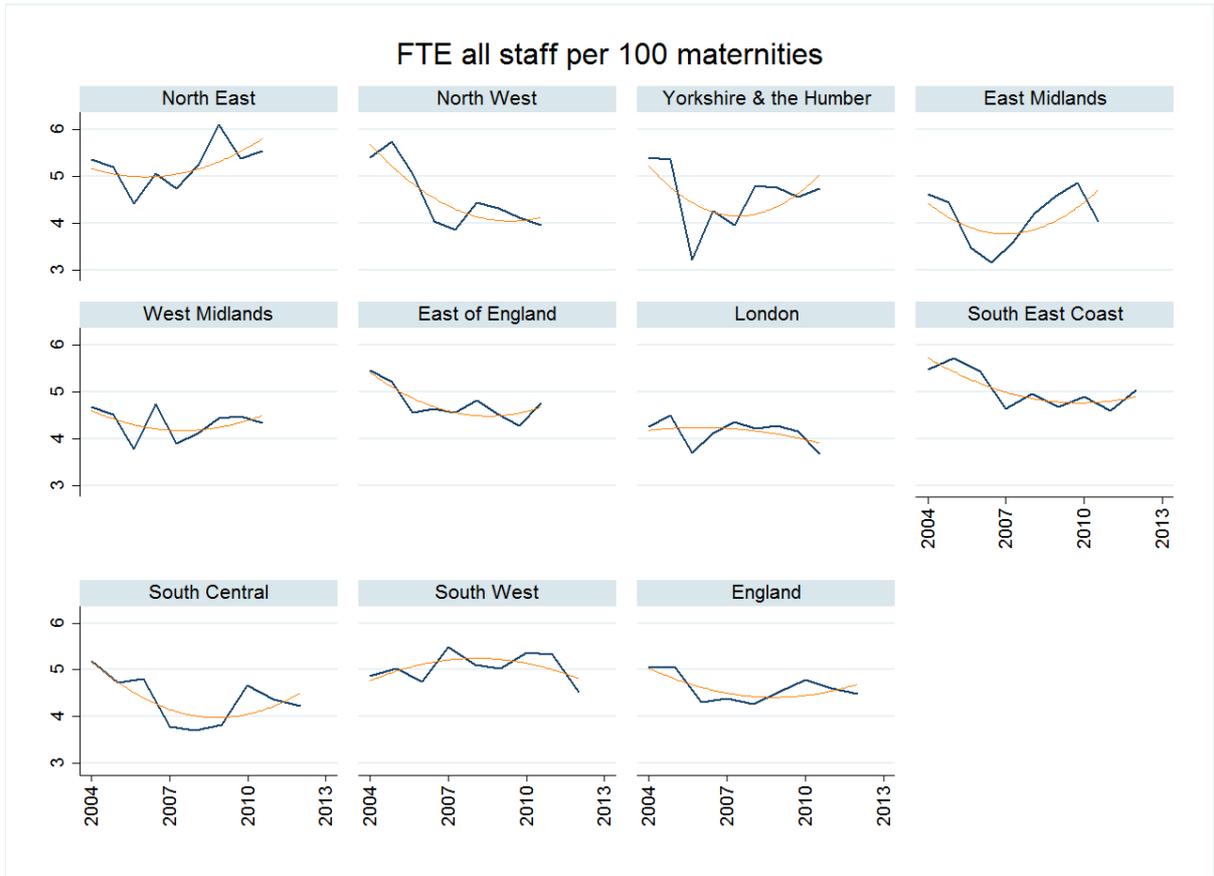
894 **The next three figures plot the trend in skill mix over the past decade. Figure 6 displays the doctors to midwives ratio,**
 895 **which has increased for the total country on average. Considering each SHA separately, it has either increased or**
 896 **remained relatively stable, except for trusts belonging to the North East SHA for increase between 2007 and 2009). The**
 897 **ratio of support workers to midwives, shown in**

898 Figure 7, has also increased as the substitution of these two labour inputs is generally considered to
 899 be quite cost effective. Apart from the North West and East of England SHAs, it seems to have been
 900 steadily increasing over the period 2004-2013.

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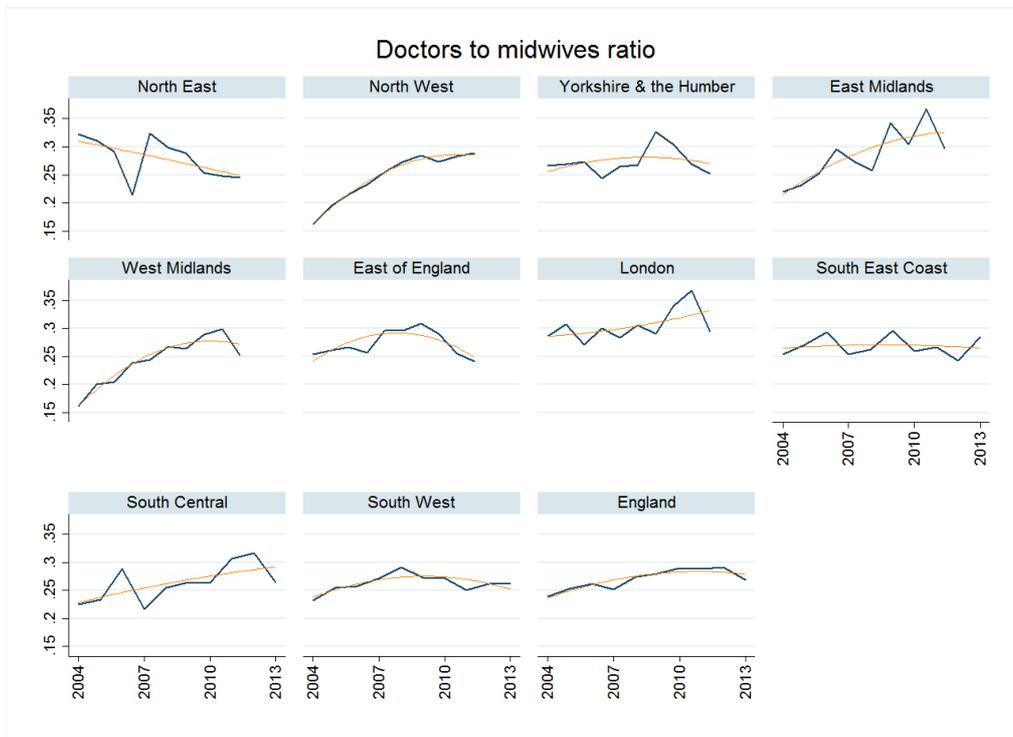
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903 **Figure 5: Total Staff per 100 Maternities 2003-2013**



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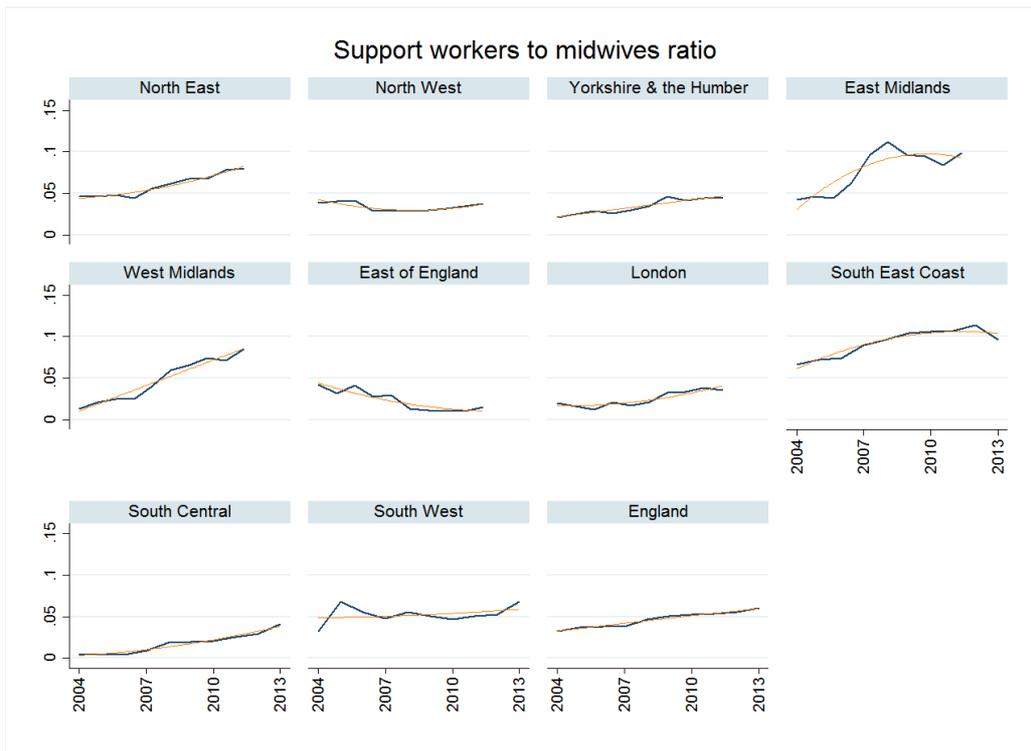
905 **Figure 6: Doctors to Midwives Ratio 2003-2013**



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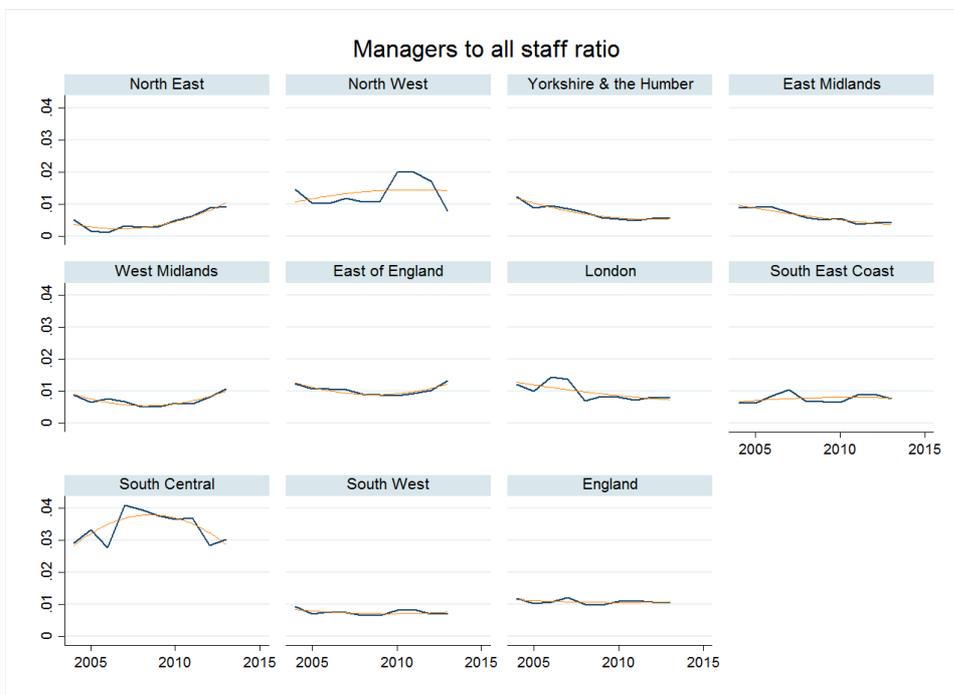
908 **Figure 7: Support Workers to Midwives Ratio 2003-2013**



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910 Finally, the trend in the ratio of managers to all staff is presented in Figure 8. Overall it has remained
 911 rather stable over the time with small increases and decreases in most SHAs. Only in the North West
 912 and the South Central is there a considerable variation over time.

913 **Figure 8: Managers to All Staff Ratio 2003-2013**



914

915 Overall there has been some variation in staffing levels and skill mix both over time and in regional
916 variation. The time trend may provide some useful variation in staffing levels to identify a
917 relationship between staffing and outcomes in the regression models. Whilst these descriptive
918 figures do not control for clinical risk (case-mix) they do control for demand (the number of
919 deliveries), which makes the regional variations of interest for future research. Whilst the SHA is
920 included in the statistical models no substantive interest is paid to the regional trends identified in
921 this section.

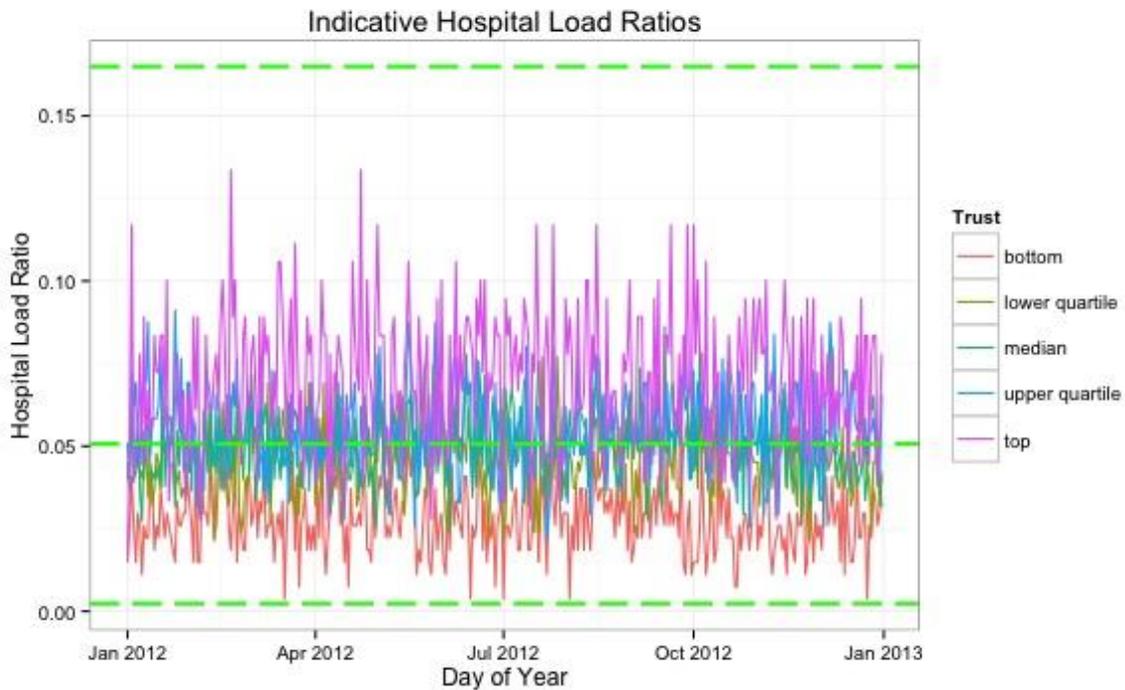
922 The Hospital Load Ratio variable is an interesting addition to the dataset. The staffing data described
923 above are annual census data so provide only one observation per trust per year. As a result there is
924 little variation and few observations to drive the precision of the models. By dividing the Hospital
925 Load – the number of deliveries each day – by the total number of staff the Hospital Load Ratio
926 provides some temporal and intra-trust variation in staffing ‘intensity.’ For example, if a hospital has
927 200 staff on the payroll and on a particular day there are 12 deliveries then this variable would be
928 0.06. If the next day there are only 6 deliveries this variable now falls to 0.03. Therefore an
929 increasing Hospital Load Ratio may be considered an undesirable event.

930 Displaying the variable is difficult as there are over 0.5 million observations. However to illustrate
931 how the variable captures the variation in staff-patient ratios consider Figure 9. This plots 5 trusts
932 data from 2013. All 157 trusts in the dataset were ordered by their 2013 average Hospital Load Ratio
933 and the trusts at each of the quartiles (0, 25, 50, 75 and 100) were plotted day by day for the whole
934 of 2013. Superimposed onto the plot are the entire sample’s minimum, maximum and mean values
935 as dotted horizontal lines.

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949 **Figure 9: Hospital Load Ratio Variation 2013**



950

951 **4.1.2 Statistical (Regression) Results**

952 Multilevel models were fitted to the data as described in Section 3.3.2 in detail. Whilst the models
953 took a relatively long time to be estimated due to their complexity and the choice of an optimization
954 algorithm that favoured precision over speed, the fitted models had good convergence properties.
955 The following tables present a simplified set of results for the statistical analysis, presenting the
956 findings of relevance for the economic evaluation. Full results are reserved to the appendix for
957 interested readers.

958 Logistic regression models to outcomes using the logit function, that is the log of the odds of the
959 outcome. It is more common to exponentiate the regression (beta) coefficients to produce odds
960 ratios. For categorical variables such as clinical risk, the interpretation is easy. The odds ratio is the
961 difference in the odds of the outcomes between the categories of the variable. For instance, if the
962 odds ratio for higher risk for maternal mortality was 2 then mothers in the higher risk category are
963 twice as likely to die than those in the lower risk category. Odds ratios (OR) also provide a way of
964 categorising the strength of association between multiple explanatory variables: strong (OR > 3),
965 moderate (OR = 1.6-3.0), or weak (OR=1.1-1.5). Attention is therefore focused on the odds ratio.

966 The statistical significance of the variables can be determined in two ways. Firstly, asterisks indicate
967 whether the estimated p-value of each coefficient is less than 10 per cent (*), 5 per cent (**) or 1

968 per cent (***)). The standard errors, t-statistics and actual p-values are reported in full in the
969 appendix. Caution should be used when relying solely on the p-values as the standard errors are
970 unreliable as discussed in the methods section. Secondly, the results of the Likelihood Ratio tests are
971 reported as Chi-Squared tests at the foot of each regression model. This tests the statistical
972 significance of the improvement in the model fit of adding groups of coefficients to the model.

973 Very few of the explanatory variables were statistically significant in the maternal mortality model,
974 although the AUC was quite high (0.76) indicating that the model was able to discriminate cases.
975 Clinical risk has the largest effect, with mothers in the higher risk category 4.25 times more likely to
976 die than those in the lower risk category. It should be stressed that this is from a very low
977 unconditional probability of death of 0.002% on average. Maternal age was also an important
978 predictor of maternal death, with mothers aged 25-35 approximately half as likely to die than those
979 aged over 40. For women under 25 they were less than a third as likely to die than those aged over
980 40. Some of the ethnicity categories were statistically significant predictors with large odds ratios.
981 However as they are marginally statistically significant despite their large regression coefficients and
982 given the approximate nature of the standard errors in the model, too much confidence should not
983 be placed in this finding unless strongly supported by theory.

984 The healthy mother and bodily integrity outcomes have very similar regression results. This is not
985 surprising as bodily integrity is a component indicator of healthy mother. There is a clear time
986 dimension to the results, with each year being strongly significantly related to the outcome. When
987 compared to 2004 (the base year) each year since has a lower rate of healthy mothers and bodily
988 integrity. This was also clear in the descriptive statistics in Section 4.1.1. For instance, a mother
989 giving birth in 2012 is more than 30% less likely to be “healthy” or have “bodily integrity” than those
990 giving birth in 2004.

991 Patient level factors are clearly very important, with age, ethnicity and parity being associated with
992 both outcomes and deprivation also being associated with bodily integrity. In both cases, the largest
993 odds ratio is for the clinical risk variable. A mother classed as “higher risk” is half as likely to deliver
994 with bodily integrity than a mother classed as “lower risk”.

995 In terms of the trust level variables, larger trusts have lower healthy mother rates but this effect is
996 weak. The association between support worker staffing levels and both outcomes is marginal both in
997 terms of effect size and statistical significance. There is a stronger relationship between medical staff
998 (both junior doctors and consultants) and both outcomes. This is to be expected but the relationship
999 could be reverse causal. Trusts that perform more planned caesareans for example will require more
1000 consultants, *ceteris paribus*, but will by definition have lower healthy mother and bodily integrity

1001 rates due to the procedure. Midwifery levels are positively associated with healthy mother and
1002 bodily integrity rates but these relationships are weak (OR: 1.019 and 1.01). The statistical
1003 significance of the findings likely comes from the very large dataset and the associated improvement
1004 in precision.

1005 All of these findings are congruent with those of the extant literature, especially with Sandall et al.
1006 (2014); the difference in the statistical significance of the staffing variables being explained by the
1007 larger sample. The most interesting and novel finding is with respect to the Hospital Load Ratio. This
1008 variable was included to proxy the effect of shift-by-shift variation in staff to patient ratios. As no
1009 staffing data are available at this level or frequency, the variation in “demand” was exploited under
1010 the assumption of constant staffing levels to create variation in the staff to patient ratios. Whilst
1011 interpretation of the variable is impossible, days in which there are higher patient loads have much
1012 worse outcomes. The odds ratio is strong and statistically significant for healthy mother.

1013 This may be the subtle but important difference between staffing levels and skill mix which may be a
1014 fruitful avenue for future research. For instance, a low ratio of staff to patients on a shift-by-shift
1015 basis, caused either by staff shortage or excess patients, may result in poorer outcomes for mothers.
1016 This may lead to complications such as, inter alia, maternal sepsis or other problems that result in
1017 longer lengths of stay or readmission. However, skill mix which wasn’t captured in this pseudo shift
1018 level variable may be the critical factor in outcomes relating to interventionist procedures such as
1019 caesarean sections or episiotomy. At present this must be left as a hypothesis for further research
1020 but it is a possible explanation for the finding.

1021 Confusingly there is an inverse relationship with both bodily integrity (a subset of healthy mother)
1022 and healthy baby outcomes. However, the statistical significance is marginal and these findings may
1023 be the result of underestimated standard errors as discussed in the methodology section. The odds
1024 ratios are also relatively weak (healthy baby = 1.32; bodily integrity = 1.16). Yet at present the
1025 findings cannot be discounted. For these two outcomes therefore a worsening Hospital Load Ratio
1026 would improve outcomes.

1027 Neither baby outcomes were significantly associated with midwifery staffing levels. However higher
1028 levels of support workers (*ceteris paribus*) was associated with lower healthy baby rates whilst
1029 higher consultant and doctor staffing levels were associated with higher healthy baby rates. As per
1030 the maternal outcomes, there was a clear association between maternal age, clinical risk, ethnicity
1031 and parity and both baby outcomes. Yet again, clinical risk had the largest odds ratios, with a mother
1032 classified as higher risk being 32 times more likely to have a stillborn baby than lower risk mothers.
1033 Unlike the other regression models, area deprivation and the geographic variables (SHA and

1034 rural/urban classification) were statistically significant predictors of the baby outcomes. Compared
1035 to the South West for example, each other SHA was 30-50% more likely to have a healthy baby.

1036 In all cases the AUC statistics indicate that the models had good discriminatory properties and
1037 correctly identify outcomes most of the time. With the exception of the healthy mother indicator
1038 (AUC = 0.67), the AUC were high (>0.7) and for healthy baby it was very high (AUC = 0.81). In every
1039 model the variation in the outcome attributed to the trust is less than 2% with 98-99% of the
1040 variance in the outcomes due to mothers' characteristics. Therefore as staffing is determine at the
1041 trust level it is unlikely to have a large effect on the outcomes.

Table 8: Simplified Statistical Findings

		Healthy Mother		Maternal Mortality		Healthy Baby		Stillbirth		Bodily Integrity	
		Odds Ratio		Odds Ratio		Odds Ratio		Odds Ratio		Odds Ratio	
Intercept		0.829	***	0.00	***	18.30	***	0.00	***	2.08	***
Maternal Age	Missing	1.201	***	0.00		1.15		0.69	***	1.35	***
	<20	0.621	***	0.31	*	0.96	***	0.95		2.81	***
	20-24	0.607	***	0.28	***	1.06	***	0.78	***	2.14	***
	25-29	0.654	***	0.42	***	1.14	***	0.72	***	1.54	***
	30-34	0.718	***	0.47	***	1.15	***	0.70	***	1.20	***
	35-39	0.815	***	0.65		1.10	***	0.80	***	1.06	***
	>40	0.000		0.00		0.00		0.00		0.00	
Higher Risk Ethnicity		2.980	***	4.25	***	0.18	***	32.21	***	0.50	***
	British (White)	0.878	***	1.35		0.92	***	0.87	***	1.12	***
	Irish (White)	0.997		0.00		0.99		1.16	*	0.90	***
	Any other White background	0.926	***	0.41		1.02	*	0.88	***	1.05	***
	White and Black Caribbean	1.006		0.00		0.79	***	1.27	***	1.65	***
	White and Black African	1.265	***	0.00		1.03		1.11		1.13	***
	White and Asian	0.951	*	0.00		0.86	***	1.02		1.01	
	Any other Mixed background	0.984		3.37		0.94	*	0.76	***	1.15	***
	Indian	1.098	***	2.21		0.77	***	1.11	*	0.65	***
	Pakistani	1.094	***	1.49		0.87	***	1.38	***	0.95	***
	Bangladeshi	1.087	***	2.94		0.82	***	1.05		0.88	***
	Any other Asian background	1.070	***	4.49	*	0.90	***	1.17	***	0.76	***
	Caribbean	1.197	***	3.30		0.75	***	1.36	***	1.82	***
	African	1.428	***	3.02	*	0.97	*	1.37	***	1.01	
	Any other Black background	1.309	***	0.77		0.85	***	1.33	***	1.24	***
	Chinese	0.948	***	3.09		1.27	***	0.76	***	0.66	***
Not known	0.846	***	3.87	*	0.97		0.66	***	1.08	***	

	Not stated	0.876	***	4.53	*	0.98		0.78	***	1.07	***
	Any other ethnic group	0.000		0.00		0.00		0.00		0.00	
Parity	0	1.863	***	1.38		0.80	***	1.63	***	0.09	***
	1	1.031	***	0.72		1.29	***	0.86	***	0.28	***
	2	0.976	***	1.23		1.25	***	0.85	***	0.52	***
	3	0.963	***	0.90		1.13	***	0.93	*	0.74	***
	4>	0.000		0.00		0.00		0.00		0.00	
IMD	Missing	0.983		0.00		0.65	***	1.65	***	1.30	***
	1	0.995		1.41		0.78	***	1.34	***	1.58	***
	2	0.994	*	1.31		0.84	***	1.24	***	1.36	***
	3	0.996		0.92		0.89	***	1.18	***	1.20	***
	4	0.997		0.84		0.94	***	1.07	***	1.10	***
	5	0.000		0.00		0.00		0.00		0.00	
Rural/Urban	Missing	1.117	***	2009613.00				1.28	*		
	Urban =>10K - sparse	1.022		1.99		0.85	***	0.94		1.04	
	Town and Fringe - sparse	1.199	***	0.00		0.93	*	1.03		1.06	***
	Village - sparse	1.117	***	1.00		1.00		1.08		1.04	*
	Hamlet and Isolated dwelling - sparse	1.157	***	0.00		1.02		1.17		0.95	*
	Urban =>10K - less sparse	0.981	***	0.47	*	0.97	*	0.97		1.03	***
	Town and Fringe - less sparse	0.985	*	0.51		0.96	***	1.00		1.04	***
	Village - less sparse	0.989		0.34		1.01		0.97		1.02	*
	Rest of UK	1.145	*	0.63		0.62	***	1.39		1.14	*
	Hamlet and Isolated Dwelling - less sparse	0.000		0.00		0.00	***	0.00		0.00	***
SHA	East Midlands	1.020		0.81		1.49	***	0.96		1.15	
	East of England	0.933		0.70		1.28	***	1.06		0.89	
	London	1.102	*	0.98		1.29	***	1.07		0.79	***
	North East	1.091		1.32		1.33	***	1.04		1.12	
	North West	1.222	***	0.73		1.34	***	0.99		0.90	
	South East	1.032		0.83		1.34	***	1.01		0.86	*

	West Midlands	1.071		0.88		1.33 ***	0.92	0.91	
	Yorkshire & Humberside	1.086		0.47 *		1.24 ***	1.09	1.08	
	South West	0.000 ***		0.00		0.00	0.00	0.00 ***	
Maternities (thousands)		0.988 ***		1.03		1.00	1.01	1.00 ***	
Staffing	Midwives	1.019 ***		1.17		1.00	1.01	1.01 ***	
	Support Workers	0.983 *		0.83		0.95 ***	1.03	0.91 ***	
	Doctors	0.961 ***		0.84		0.97	0.92	0.99	
	Consultants	0.878 ***		0.26		1.10 *	0.94	0.84 ***	
Hospital Load Ratio		0.485 ***		0.07		1.32 *	0.68	1.16 *	
Year	2004	0.000		0.00		0.00	0.00	0.00 ***	
	2005	0.945 ***		0.75		1.05 ***	0.95 *	0.95 ***	
	2006	0.887 ***		0.61 *		1.06 ***	0.94 *	0.92 ***	
	2007	0.801 ***		0.52 **		1.10 ***	0.88 ***	0.91 ***	
	2008	0.777 ***		0.69		1.14 ***	0.84 ***	0.86 ***	
	2009	0.776 ***		0.64		1.14 ***	0.84 ***	0.78 ***	
	2010	0.726 ***		0.63		1.19 ***	0.82 ***	0.74 ***	
	2011	0.680 ***		0.77		1.24 ***	0.81 ***	0.71 ***	
	2012	0.673 ***		0.32 ***		1.28 ***	<u>0.72</u> ***	0.68 ***	
<hr/>									
N (trusts)		157		157		147	156	154	
AUC		1		1		1	1	1	
Random variance	Null model	0 0		0 0		0 0	0 0	0 0	
	plus Year	0 0		0 0		0 0	0 0	0 0	
	plus Mother's covariates	0 0		0 0		0 0	0 0	0 0	
	plus Socioeconomic covariates	0 0		0 0		0 0	0 0	0 0	
	plus Trust covariates	0 0		0 0		0 0	0 0	0 0	
	plus Staffing covariates	0 0		0 0		0 0	0 0	0 0	
Chi-squared tests	Null model	- -		- -		- -	- -	- -	
	plus Year	10804 ***		14 .		56 ***	14 .	13269 ***	

plus Mother's covariates	420220	***	109	***	224406	***	35515	***	608798	***
plus Socioeconomic covariates	13975	***	93	***	25174	***	5438	***	74671	***
plus Trust covariates	553704	***	7	1	32	***	11	0	67	***
plus Staffing covariates	21009	***	3	1	6979	***	630	***	11507	***

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4.2 Econometric Analysis

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The following tables report the results from the estimation of the production function for maternity services in the English NHS. The total number of deliveries within a hospital trust for a given year was used as the output measure and a generalized linear production function was adopted following Sandall et al. (2014). However, instead of using a single cross-section, a panel dataset at the trust level was created which can control for year/time effects as well as unobserved heterogeneity at the trust level. The panel data structure may alleviate some sources of endogeneity. The main advantage of adopting the generalized linear production function is that it allowed us to examine the effects of both the staffing levels and the skill mix through the use of the interaction terms. Given its flexible form, it does not force all staff groups to be substitutes but it allows us to examine whether some labour inputs are complements. Moreover, it also allows for some inputs to have zero values.

The presentation of our results begins with Table 9 which reports some basic Ordinary Least Squares estimates of the specified production function. The vector of explanatory variables is gradually augmented with different labour inputs (i.e. the staffing levels), their cross-products (i.e. the skill-mix), year and Strategic Health Authority (SHA) fixed effects in order to assess the sensitivity of the results to different model specifications. These fixed effects help in controlling for factors which are common across trusts for each year and for each SHA region. Finally, a lagged dependent variable is also inserted into the model in order to account for the past behaviour of hospital trusts with respect to the total number of maternities. Even if not of primarily interest and not being easily interpreted within this context, controlling for dynamics can help into removing some bias from the estimated coefficients of the rest dependent variables. In order to produce more precise estimates, the standard errors have been corrected for clustering at the trust level in order to account for any unobserved factors which cannot be attributed to the explanatory variables.

Despite the fact that all the models appear to have a high adjusted R-squared, the estimated regression coefficients are rather unhelpful in examining the impact of staffing levels and skill mix on the total output measure. Instead, the elasticities of substitution and complementarity reported in Table 10 can be more informative. The marginal productivities are calculated using the estimated regression coefficients and the sample means from the estimation sample and they inform us about the number of additional deliveries that would be expected, on average, if the FTE of a particular staffing group was marginally increased, *ceteris paribus*. More specifically, the following formula was used in order to obtain the estimated marginal productivities for each labour type:

$$\text{Marginal productivity}_i = a_i + \frac{1}{2} \sum_{j=2}^K a_{ij} \sqrt{\frac{X_j}{X_i}}$$

1081 **Table 9:** Baseline parameter results for a generalized linear production function (Ordinary Least Squares
1082 estimates). The total number of deliveries is used as the output measure

Variable name	[1]	[2]	[3]	[4]	[5]	[6]	[7]	[8]
Number of deliveries _{t-1}	-	-	-	-	-	-	-	.550 ^a (.073)
Registered Midwife	32.024 ^a (1.059)	23.490 ^a (1.432)	22.614 ^a (1.817)	22.562 ^a (1.809)	36.336 ^a (10.884)	36.842 ^a (10.616)	39.592 ^a (8.378)	22.707 ^a (6.762)
Doctor	-	47.933 ^a (8.034)	44.326 ^a (8.138)	44.636 ^a (7.947)	128.650 ^b (51.480)	124.46 ^b (51.972)	92.266 ^c (51.534)	37.917 (25.083)
Consultant	-	-	19.364 (17.715)	18.266 (18.168)	140.200 (121.01)	152.671 (107.35)	149.755 ^c (83.011)	80.578 ^c (43.444)
Support worker	-	-	-	1.976 (5.090)	13.128 (13.225)	12.775 (13.283)	5.618 (14.564)	3.313 (7.451)
Reg. midwife ^{1/2} X Sup. worker ^{1/2}	-	-	-	-	-34.804 (23.637)	-34.194 (23.939)	-44.325 ^b (22.573)	-24.200 ^b (12.068)
Reg. midwife ^{1/2} X Consultant ^{1/2}	-	-	-	-	-25.587 (69.446)	-31.314 (64.985)	-46.190 (54.328)	-37.901 (30.249)
Reg. midwife ^{1/2} X Doctor ^{1/2}	-	-	-	-	-38.607 (37.081)	-37.507 (36.177)	-28.227 (31.241)	-20.305 (17.168)
Sup. worker ^{1/2} X Consultant ^{1/2}	-	-	-	-	54.702 (80.206)	50.345 (80.160)	86.374 (73.906)	27.848 (43.353)
Sup. worker ^{1/2} X Doctor ^{1/2}	-	-	-	-	33.271 (46.479)	35.712 (46.397)	47.116 (27.229)	37.857 (26.383)
Consultant ^{1/2} X Doctor ^{1/2}	-	-	-	-	-124.621 (110.02)	-119.937 (109.43)	-95.861 (93.309)	-17.163 (59.379)
Year fixed effects	No	No	No	No	No	Yes	Yes	Yes
SHA fixed effects	No	No	No	No	No	No	Yes	Yes
Adjusted R-squared	.784	.804	.804	.804	.806	.811	.828	.865
Observations	1260	1231	1231	1231	1231	1231	1229	1060
Source: ONS Birth registration Records (2004/05 – 2012/13); Maternity Workforce Census (2004-2014).								
Notes: Standard errors are corrected for clustering by trust. ^a , ^b and ^c denote statistical significance at the 1%, 5% and 10% level, respectively.								

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1084 These marginal products are reported in Table 10 based on the columns 7 and 8 of Table 9. The
1085 upper panel of Table 10 reports the marginal productivities based on the model that does not
1086 account for dynamics (column 7) while in the lower part we have calculated the marginal
1087 productivities of each labour type based on the model which controls for inertia in the delivery of
1088 total maternities at the trust level. The marginal productivities are all positive, indicating that
1089 increasing any staffing level would increase the total number of deliveries in a given provider. The
1090 marginal productivities are highest for the doctors (38 additional deliveries), followed by consultants
1091 (28 additional deliveries), registered midwives (23 additional deliveries) and support workers (6
1092 additional deliveries). Repeating the same exercise based on the model which incorporates
1093 dynamics, seems to remove a significant degree of bias, however, the same pattern remains. A
1094 marginal increase in the FTE of doctors would result in 17 additional deliveries, while the marginal
1095 products for consultants, registered midwives and support workers are 12, 10 and 3, respectively.

1096 Table 10 also reports the Hicks elasticities of complementarity between the different staffing groups
1097 in the production of deliveries within a given hospital trust each year. A positive elasticity indicates
1098 that the two labour inputs are complements (i.e. they need to be used together) while a negative
1099 elasticity indicates that the two staffing groups are substitutes (i.e. one can be used in the place of
1100 another). The elasticities were obtained using the following formula (again using the estimated
1101 regression coefficients and the sample means from the estimation sample):

$$Hicks\ elasticity_{ij} = \frac{a_{ij}}{4\sqrt{\bar{X}_i}\sqrt{\bar{X}_j}}$$

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1103 Regardless from the incorporation of any dynamics, the results indicate that doctors and consultants
1104 are quantity-complements with support workers, while all other combination of labour inputs are
1105 quantity-substitutes. The elasticity of substitution between registered midwives and support
1106 workers is the highest one.

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1112 **Table 10 Estimates of marginal productivities and Hicks elasticities of complementarity**

<i>Panel A: Based on the results of Column 7 of Table 9</i>					
		Reg. midwives	Support workers	Consultants	Doctors
Marginal productivity		22.582	5.798	28.091	37.883
Hicks elasticities	Support workers	-14.146	-	-	-
	Consultants	-2.176	78.051	-	-
	Doctors	-0.664	21.251	-6.382	-
<i>Panel B: Based on the results of Column 8 of Table 9</i>					
		Reg. midwives	Support workers	Consultants	Doctors
Marginal productivity		10.487	2.807	11.624	17.405
Hicks elasticities	Support workers	-33.876	-	-	-
	Consultants	-9.278	123.400	-	-
	Doctors	-2.240	75.400	-5.978	-

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1114 However, a major problem with the OLS estimates is that they do not account for any unobserved
 1115 factors at the trust level. Not controlling for trust-level unobserved heterogeneity may lead to the
 1116 estimation of biased estimates. Given that the matching of different data sources enabled us to
 1117 construct a trust-level panel, we adopted a fixed effects estimator which can tackle this important
 1118 issue. Table 11 and Table 12 report the results for the estimated parameters of the generalized
 1119 linear production function as well as the marginal productivities alongside the elasticities of
 1120 complementarity, respectively. The marginal productivities are once again all positive. However,
 1121 consultants now appear to have the highest marginal productivity (32.4 additional deliveries based
 1122 on the model not incorporating dynamics), followed by doctors (12.8 additional deliveries),
 1123 registered midwives (6 additional deliveries) and support workers (3.3 additional deliveries). The
 1124 results have the same pattern, however their magnitude is lower, when the marginal product of
 1125 each labour input is calculated based on the model incorporating dynamics (lower panel of Table 5).
 1126 Once again, we find that registered midwives are quantity-substitutes with all the other three labour
 1127 types. Still, the elasticity of substitution is higher in the case of registered midwives and support
 1128 workers. Yet, based on the regression coefficients obtained from the fixed effects model, we find
 1129 that doctors and support workers are quantity-substitutes while there is evidence that doctors and
 1130 consultants can be used together in the production of deliveries in the English NHS.

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1133 **Table 11: Baseline parameter results for a generalized linear production function (Fixed Effects estimates). The**
 1134 **total number of deliveries is used as the output measure**

Variable name	[1]	[2]	[3]	[4]	[5]	[6]	[7]	[8]
Number of deliveries _{t-1}	-	-	-	-	-	-	-	.0229 (.063)
Registered Midwife	10.117 ^a (2.512)	8.735 ^a (2.510)	6.771 ^b (2.826)	6.662 ^b (2.879)	25.016 ^a (14.206)	25.520 ^c (14.437)	25.506 ^c (14.445)	23.077 ^a (15.574)
Doctor	-	22.835 ^a (5.346)	19.007 ^a (5.197)	18.701 ^a (5.168)	54.364 ^a (20.641)	39.322 ^c (20.615)	39.459 ^c (20.705)	35.211 ^c (23.821)
Consultant	-	-	43.959 ^b (18.298)	42.805 ^b (18.250)	40.875 (118.93)	4.675 (134.62)	4.479 (134.69)	-10.111 (155.80)
Support worker	-	-	-	3.912 (5.001)	3.501 (10.199)	0.437 (10.078)	0.433 (10.077)	-2.719 (13.448)
Reg. midwife ^{1/2} X Sup. worker ^{1/2}	-	-	-	-	-19.056 (16.215)	-15.641 (15.357)	-15.658 (15.360)	-14.404 (18.784)
Reg. midwife ^{1/2} X Consultant ^{1/2}	-	-	-	-	-43.532 (70.633)	-49.509 (76.272)	-49.342 (76.357)	-41.206 (87.516)
Reg. midwife ^{1/2} X Doctor ^{1/2}	-	-	-	-	-51.528 ^b (26.043)	-51.507 ^c (29.374)	-51.776 ^c (29.378)	-51.756 (32.867)
Sup. worker ^{1/2} X Consultant ^{1/2}	-	-	-	-	86.123 (55.858)	82.984 (54.855)	83.054 (54.872)	63.461 (63.938)
Sup. worker ^{1/2} X Doctor ^{1/2}	-	-	-	-	-11.760 (24.149)	-15.980 (24.213)	-15.982 (24.210)	-2.200 (29.068)
Consultant ^{1/2} X Doctor ^{1/2}	-	-	-	-	82.253 (90.206)	114.739 (91.720)	114.543 (91.802)	115.263 (101.92)
Year fixed effects	No	No	No	No	No	Yes	Yes	Yes
SHA fixed effects	No	No	No	No	No	No	Yes	Yes
Adjusted R-squared	.060	.083	.093	.093	.100	.154	.153	.091
Observations	1260	1231	1231	1231	1231	1231	1229	1061
Source: ONS Birth registration Records (2004/05 – 2012/13); Maternity Workforce Census (2004-2014).								
Notes: Standard errors are corrected for clustering by trust. ^a , ^b and ^c denote statistical significance at the 1%, 5% and 10% level, respectively.								

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1138 **Table 12:** Estimates of marginal productivities and Hicks elasticities of complementarity

<i>Panel A: Based on the results of Column 7 of Table 11</i>					
		Reg. midwives	Support workers	Consultants	Doctors
Marginal productivity		5.992	3.343	32.367	12.789
Hicks elasticities	Support workers	-32.669	-	-	-
	Consultants	-7.603	112.988	-	-
	Doctors	-13.591	-37.041	19.606	-
<i>Panel B: Based on the results of Column 8 of Table 11</i>					
		Reg. midwives	Support workers	Consultants	Doctors
Marginal productivity		4.470	4.404	26.185	12.454
Hicks elasticities	Support workers	-30.993	-	-	-
	Consultants	-9.907	86.503	-	-
	Doctors	-17.653	-4.254	24.906	-

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1141 **4.3 Cost-Effectiveness Analysis**

1142 **4.3.1 Economic Model Parameters**

1143 The results of the economic evidence review 3 (Hayre, 2014) identified two economic studies of
 1144 midwifery staffing and outcomes. To reiterate, only one study reported findings for delivery with
 1145 bodily integrity but the study was rated as having potentially serious weakness. This study therefore
 1146 used a larger dataset and attempted to fix the limitations identified in Hayre (2014), namely:
 1147 endogeneity and the staffing variables. The results of this analysis can be summarised as:

- 1148 • The results of this analysis are broadly similar to those from Sandall et al. (2014). However,
 1149 the inclusion of more years of data increased the precision of the regression estimates. The
 1150 result was that an additional outcome indicator was shown to be associated with staffing
 1151 levels – healthy mother – although the effect size was small. This was also true of bodily
 1152 integrity. In both cases the odds ratios were barely over 1 but with incredibly small standard
 1153 errors.
- 1154 • Midwifery staffing levels were shown to affect a minority of the outcomes considered. The
 1155 statistically significant relationships were with healthy mother (OR: 1.02) and bodily integrity
 1156 (OR: 1.01). These results imply that increasing the number of registered midwives per 100
 1157 deliveries by one FTE would increase the odds of these outcomes by 2% and 1% respectively.

- 1158 • There was no statistically significant relationship between any of the staffing groups and
1159 maternal mortality, stillborn of healthy baby so these outcomes were not considered
1160 further.
- 1161 • Besides reducing the uncertainty of the parameter estimates, the use of longitudinal data in
1162 this study has also allowed for the control of time invariant unobserved heterogeneity which
1163 may have confounded earlier studies. This combined with the inclusion of a patient level risk
1164 measure should reduce the risk of omitted variable bias but it is impossible to guarantee
1165 this. Attempts to econometrically solve the problem were unsuccessful.
- 1166 • The addition of the Hospital Load Variable provided inconsistent results. Worsening staff to
1167 patient ratios had a strong and statistically significant effect on the healthy mother outcome,
1168 but had positive but weak and marginally significant effects on both the healthy baby and
1169 bodily integrity. There is no practical interpretation of the odds ratio. These contradictory
1170 findings are confusing but indicate that further work around improving the measurement of
1171 the staffing variables may be fruitful.

1172 Table 13 presents the parameter values that will be used as the base case for the analysis alongside
1173 the upper and lower values to be used in the sensitivity analysis, which are derived from the
1174 descriptive and inferential statistical analysis reported in Section 4. The lower and upper values are
1175 based upon a plausible range of values.

1176 For staff costs, the lower value is set to the bottom of the relevant Agenda for Change band (e.g.
1177 Band 5) discounted by the out of London factor of 0.96, and for the upper value the top of the
1178 relevant Agenda for Change band (e.g. band 6) is used, multiplied by the London weighting factor
1179 used by PSSRU of 1.19. In both cases the employer's on-costs (14% pension contributions and 13.8%
1180 national insurance contributions above £146 per week) are included to make this comparable to the
1181 national mean wages reported by PSSRU (Curtis, 2013). This provides an average cost of £39,640 for
1182 per additional midwife, with a range of £25,400 to £51,413. The base case level of staffing was set at
1183 the average number of midwives per 100 deliveries in 2013, which was 3.34. The minimum staffing
1184 ratio that year was 1.55 and the maximum 16.71. These values are highly unlikely but provide a good
1185 test of the sensitivity of the model to the underlying assumptions.

1186 The number of expected cases of, for example, healthy mother in the average trust is determined by
1187 their rate and the number of deliveries. The base case number of deliveries (4,620) is set at the
1188 sample mean for the most recent year of data (2013), and the upper (10,680) and lower values
1189 (1,210) are set at the maximum and minimum values. Similarly, the current (before intervention)
1190 rate of occurrence is set at the 2013 sample average for the base case and the minimum and

1191 maximum values for the lower and upper values of the sensitivity analysis. For example for bodily
1192 integrity that would be an average trust rate of 30% in 2013 with a range of 15% to 45%.

1193 Due to the nature of the odds ratio that is calculated from a logistic regression, the most natural
1194 intervention to consider is increasing the staffing variable by one unit at a time. That corresponds to
1195 1 FTE midwife per 100 deliveries, and for the average trust that is equivalent to increasing the
1196 midwifery workforce by 46 FTE or roughly 33%: a substantial intervention. Against this a lower
1197 bound of 0.5 units and an upper bound of 2 were chosen for comparison.

1198 **Table 13: Sensitivity Modelling Parameters**

Parameters	Healthy Mother			Bodily Integrity		
	Base	Lower	Upper	Base	Lower	Upper
Deliveries (Thousands)	4.62	1.21	10.68	4.62	1.21	10.68
Current Outcome Rate (%)	45	31	55	30	15	45
Effectiveness (Odds Ratio)	1.02	1.01	1.02	1.01	1	1.2
Midwifery Cost	£39,640	£25,400	£51,413	£39,640	£25,400	£51,413
Midwifery FTE per 100 deliveries	3.34	1.55	16.71	3.34	1.55	16.71
Intervention	1	0.5	2	1	0.5	2

1199

1200

1201 **Table 14: ICER for Maternal Outcomes**

Cost	Healthy Mother			Bodily Integrity		
	Before	After	Increment	Before	After	Increment
FTE Midwives per 100 deliveries	3.34	4.34	1	3.34	4.34	1
FTE Midwives	154.308	200.508	46.2	154.308	200.508	46.2
Total Cost	£6,116,769	£7,987,777	£1,871,008	£6,116,769	£7,987,777	£1,871,008
Effectiveness	Before	After	Increment	Before	After	Increment
Outcome Rate (%)	45.00	45.47	0.47	30.00	30.21	0.21
Total Outcomes	2079.00	2100.87	21.87	1386.00	1395.67	9.67
ICER	£85,560			£193,426		

1202

1203 **4.3.2 Cost-Effectiveness**

1204 The incremental cost of increasing the number of midwives by 1 FTE per 100 deliveries is the same
1205 irrespective of the outcome under consideration. The incremental cost is £1.8 million for the
1206 average trust as Table 14 illustrates. This represents an approximate expansion of the midwifery
1207 workforce of a third, whilst the statistical analysis predicted an improvement in the outcomes of 1-
1208 2% in the odds.

1209 The Incremental Cost Effectiveness Ratios (ICERs) are therefore £85,560 per healthy mother and
1210 £193,426 per mother with bodily integrity. This is in comparison to current practice i.e. the current
1211 level of staffing and skill mix. ICERs were not calculated for the remaining outcomes as there is no
1212 evidence that they are effective at present.

1213 As we cannot express this ICER in a universal 'currency' such as QALYs, it is difficult to establish
1214 whether this represents value for money: whether it generates more health benefit than another
1215 intervention it may displace. However, taking a broad threshold of cost-effectiveness of £20-30,000
1216 per QALY then a 'healthy mother' would need to generate 2.9-4.3 QALYs to be in the borderline
1217 region and over 4.3 to be cost-effective. Similarly, each mother with bodily integrity would need to
1218 generate QALYs equivalent to 6.4-9.7.

1219 However this underestimates the cost-effectiveness in a number of ways. First, it hasn't been
1220 possible to net off the NHS savings from the intervention e.g. reduced overnight stays associated
1221 with a healthy mother. Second, one intervention (increasing the number of midwives by 1 FTE per
1222 100 deliveries) generates both positive outcomes and they need to be combined some how to give a
1223 fairer representation of the true cost-effectiveness. In the absence of a common metric this is not
1224 possible.

1225

1226 **4.3.3 Sensitivity Analysis**

1227 A one-way sensitivity analysis was performed whereby each of the parameters in Table 13 were
1228 varied from the base case to their upper and lower values. The sensitivity analysis demonstrates to
1229 what extent the results of the CEA are influenced by the assumptions that have been made, and
1230 allow the uncertainty in the parameter values to be illustrated. Table 15 presents the results of the
1231 sensitivity analysis for the ICER for all outcomes.

1232 As there is an assumption that the effect of increasing staffing levels on the outcome is constant
1233 across all levels of the staffing variable then altering the assumptions around the size of the

1234 intervention, the current level of staffing or the number of deliveries per trust does not alter the
1235 ICERs. The assumptions that alter the ICER are the cost of staffing (cost), the effect of staffing on the
1236 outcomes in question (effectiveness) and the current level of the outcome (baseline).

1237 If the assumption is that all midwives regardless of grade 'produce' the same effect on the
1238 outcomes, *ceteris paribus*, then it is fairly obvious that employing lower grade and therefore
1239 cheaper staff as part of the intervention will be more cost-effective. This report has not considered
1240 the impact on the supply of midwives of an introduction of the proposed intervention across all
1241 providers simultaneously. There are unanswered questions surrounding the availability of additional
1242 midwives (especially at the lower grades) and the effect on market conditions of an increase in
1243 demand for midwives.

1244 It is clear that the effectiveness is the most important assumption with respect to the cost-
1245 effectiveness of the intervention. For example, as the odds ratio on bodily integrity is weak (1.01)
1246 this assumption is both fragile and important. A small change in this assumption can have dramatic
1247 effects on the ICER as Table 15 clearly illustrates.

1248 **Table 15: Sensitivity Analysis Results**

ICER	Healthy Mother			Bodily Integrity		
	Base	Lower	Upper	Base	Lower	Upper
Deliveries (Thousands)	£83,747	£83,747	£83,747	£189,328	£189,328	£189,328
Current Outcome Rate (%)	£83,747	£96,643	£83,907	£189,328	£311,368	£160,882
Effectiveness (Odds Ratio)	£83,747	£111,410	£67,083	£189,328	£1,831,368	£10,004
Midwifery Cost	£83,747	£53,662	£108,620	£189,328	£121,315	£245,558
Midwifery FTE per 100 deliveries	£83,747	£83,747	£83,747	£189,328	£189,328	£189,328
Intervention	£83,747	£83,747	£83,747	£189,328	£189,328	£189,328

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1250

1251

1252 **5 Discussion**

1253 Generally, staffing levels (per 100 deliveries) were not related to the outcomes under consideration.
1254 The exception was midwifery staffing levels (per 100 deliveries), which were associated with the
1255 healthy mother (OR: 1.02) and bodily integrity (OR: 1.01) outcomes. However, these relationships
1256 were weak; the odds ratios imply that increasing the number of registered midwives per 100
1257 deliveries by one FTE would increase the odds of these outcomes by 2% and 1% respectively.

1258 Based upon these measures of effectiveness Incremental Cost Effectiveness Ratios were estimated:
1259 £85,560 per healthy mother and £193,426 per mother with bodily integrity. This is in comparison to
1260 current practice i.e. the current level of staffing and skill mix. ICERs were not calculated for the
1261 remaining outcomes as there was no evidence that they were effective at present.

1262 The econometric modelling attempted to model the effect of skill mix on hospital production. It did
1263 not consider the outcomes. The results were very similar with or without the inclusion of dynamic
1264 effects which indicates that endogeneity is not biasing the results. The econometric results indicate
1265 that doctors and consultants are quantity-complements with support workers, while all other
1266 combination of labour inputs are quantity-substitutes.

1267 The data and results are consistent with the extant literature. For instance comparing the descriptive
1268 statistics produced from the HES data in Table 6 with those reported in Sandall et al. (2014), they are
1269 broadly similar despite the current analysis expanding the dataset from one to ten years. Similarly to
1270 the HES patient level data, there is a strong correlation between the trust level data (e.g. staffing)
1271 and those reported in Sandall et al. (2014). For instance, the 2010 FTE midwives per 100 deliveries is
1272 3.10 in this study and 3.08 in Sandall et al. (2014).

1273

1274 **5.1 Statistical Analysis Limitations**

1275 The outcome analyses presented here have the limitation present in all observational studies in that
1276 they do not test causal associations. We are therefore unable to conclude that alteration of staffing
1277 skill mix or any of the other predictor variables will have a beneficial (or detrimental) impact on
1278 patient outcomes. A cluster-randomised controlled trial may be required to identify causal
1279 associations and the impact of staffing changes. Finally, there may be an endogeneity problem in
1280 that trusts with better patient outcomes may also have higher levels of staffing or richer skill mixes
1281 for another reason (e.g. high quality management) which is excluded from the models. Some of the
1282 potential causes of omitted variable bias have been removed through the use of longitudinal data, to
1283 the extent to which these factors are fixed over time. Early attempts have been made to tackle the

1284 potential endogeneity problem through econometric means but further research with more time
1285 could make more headway. This was only possible with the econometric models which tackled the
1286 issue of skill mix and staffing levels on the number of deliveries. It was not possible to make similar
1287 progress with the statistical modelling of the outcomes.

1288 Secondary data analysis is always dependent upon the quality of the data. A full census of women's
1289 deliveries from HES (2003-2013) was used so there was no bias caused by non-response. Instead,
1290 biases could be caused by missing data, poorly coded data or omitted variables from the clinical risk
1291 model. Extensive data cleaning was conducted to remove duplicate records and records which did
1292 not relate to a delivery episode. Trusts were excluded where fewer than 80% of women could be
1293 coded for a particular indicator, which limited the dataset for some potential indicators.

1294 A problem when using routinely collected data is that analysis is limited to variables that are
1295 (reliably) collected. For example, body mass index and smoking or drug use were excluded from the
1296 models because of data quality issues, although they are known to be important risk factors. Only a
1297 limited set of trust-level variables were used. Organisational variables (e.g. organisational climate),
1298 service configuration (e.g. Obstetric led unit) and models of care that could be important predictors
1299 were not available. The models may also have omitted other variables, either known or unknown,
1300 that are predictive of outcome.

1301 Staffing data were available only at trust level so we could not explore the effects of staffing at the
1302 unit level. The data for trusts that have multiple units could not be disaggregated. Aggregated trust-
1303 level data makes the assumption that unit-level effects within a trust are similar, which may not be
1304 true. The staffing data are taken from a census undertaken every September. This single-point
1305 estimate will hide any fluctuations that may occur over time. We analysed data that were
1306 aggregated over a period of a year. These data will therefore miss those occasions when the service
1307 is placed under stress, or reaching a critical point, because of excess deliveries, low staffing levels or
1308 other factors. Further it was not possible to divide the staffing numbers between obstetric and
1309 gynaecology services.

1310 An attempt was made to create variation in staffing levels by creating a new Hospital Load Ratio
1311 variable. This divided the approximate number of deliveries each day by the total staffing numbers
1312 to create variation in staffing driven by changes in service demand. As not all staff will be working on
1313 any particular day on the delivery ward this is a particularly crude proxy. Despite this, the variable
1314 provided some important insights being a statistically significant predictor of the outcomes. This is
1315 significant improvement over existing studies. However, interpreting the coefficient on this variable
1316 for practical purposes is impossible. Instead it underlines the importance of collecting better ward

1317 level staffing data on a daily or shift basis, as well as being able to link these back to patient's and
1318 therefore their outcomes.

1319 Finally, whilst the significantly larger dataset used here made previously insignificant relationships
1320 statistically significant, the effect sizes were marginal. A large change (circa a third of the workforce)
1321 would be required to generate a very small change in the outcome (typically 10-20 cases per
1322 annum).

1323

1324 **5.2 Cost-Effectiveness Analysis limitations**

1325 The economic evaluation was stymied by the lack of clear evidence on the effectiveness of the
1326 intervention i.e. on the relationship between staffing levels, skill mix and outcomes in maternity
1327 services. The limitations described in the previous section indicate that the current statistical
1328 analysis is imperfect. However, it is a significant improvement over the existing evidence base and
1329 short of an experiment (natural, quasi, or real) it is difficult to imagine how better evidence could be
1330 assembled. As a result, a cost-effectiveness analysis could only be performed for healthy mother and
1331 bodily integrity.

1332 No universal outcome measure (e.g. QALY) was available to aggregate all of the possible benefits of
1333 altering staffing levels. When calculating the cost-effectiveness of the interventions other potential
1334 effects have been omitted. Thus, while it is uncertain, the estimate of the cost-effectiveness could
1335 be an underestimate of the true benefit of increasing nurse staffing or skill mix changes.

1336 As a result of poor staffing variables that do not adequately measure the true patient-staff ratio and
1337 its variation over time and provider, the resulting statistical findings were marginal. This study found
1338 more of the outcomes to be statistically significantly related to staffing but this was driven by the
1339 size of the dataset which improves the precision of the estimates. However, the effect sizes like in
1340 previous studies are practically very small. The findings of this study are therefore congruent with
1341 the extant evidence.

1342

1343 **5.3 Recommendations for Future Research**

1344 There are three main areas for future research. First, improving the collection of ward level staffing
1345 data that could be linked to patients and their outcomes is important. A major weakness of this and
1346 existing research is the aggregate nature of the staffing data. The progress made through the
1347 inclusion of the Hospital Load Ratio demonstrates that this would be a fruitful avenue of research.

1348 Second, designing the implementation of a Maternity Safe Staffing guideline or other staffing
1349 intervention in such a way as to create a quasi or natural experiment would be invaluable. At
1350 present there is no casual link between staffing and outcomes and the best available evidence does
1351 not support a strong relationship between these variables. An experimental design would enable
1352 researchers to answer the question conclusively but randomising the numerous omitted variables.
1353 Finally, in the absence of an experimental research design more work could be done to find
1354 econometric solutions to the endogeneity problem. Early steps were taken in this study to address
1355 the issue but time constraints limited the progress that could be made. Future research could adopt
1356 the approach used in this study (generalized methods of moments to exploit the time dimension in
1357 the data) or careful consideration could be given to instruments for the staffing variables that would
1358 remove any potential endogeneity. A good instrument would need to be strongly correlated with
1359 the staffing variable of interest (e.g. midwife numbers) but uncorrelated with the outcome directly.

1360

1361 **5.4 Evidence Summary**

1362 This report complements the systematic Evidence Reviews [1-3] produced by Bazian Ltd and NICE,
1363 and aimed to produce a cost-effectiveness analysis of increasing midwife staffing levels on five
1364 maternity outcomes: maternal and infant mortality, healthy mother and baby and bodily integrity.
1365 The evidence contained in this report can be summarised as follows:

- 1366 • Due to a lack of published applicable studies, the relationship between midwifery staffing
1367 levels and a range of outcomes were analysed using HES data from English NHS trusts 2003-
1368 2014.
- 1369 • The results were largely consistent with the existing evidence, although this work corrected
1370 a number of issues with the extant literature.
- 1371 • Midwifery staffing levels were shown to affect a minority of the outcomes considered. The
1372 statistically significant relationships were with healthy mother (OR: 1.02) and bodily integrity
1373 (OR: 1.01). These results imply that increasing the number of registered midwives per 100
1374 deliveries by one FTE would increase the odds of these outcomes by 2% and 1% respectively.
- 1375 • There was no statistically significant relationship between any of the staffing groups and
1376 maternal mortality, stillborn of healthy baby so these outcomes were not considered
1377 further.
- 1378 • Based upon these measures of effectiveness Incremental Cost Effectiveness Ratios were
1379 estimated as £85,560 per healthy mother and £193,426 per mother with bodily integrity.

- 1380 • Decision making in the absence of a universal measure, such as QALYS, is difficult but if the
1381 outcomes were each generating 2-3 QALYs they *could* be considered cost-effective. This is
1382 not currently known. Ultimately, the cost-effectiveness will depend upon the NHS's
1383 willingness to pay for these particular outcomes.
- 1384 • The econometric results indicate that doctors and consultants are quantity-complements
1385 with support workers, while all other combination of labour inputs are quantity-substitutes.
1386 The elasticity of substitution between registered midwives and support workers is the
1387 highest one. All marginal productivities are positive. The econometric findings were robust
1388 to the inclusion of dynamic effects.
- 1389 • Most variation in the outcomes was accounted for at the individual, patient level (within
1390 trust level) rather than between trusts. Regression models explain the variation in the
1391 outcome variable by the variation in the explanatory variables. As the staffing variables did
1392 not vary within trusts they were unable to explain much of the variation in the outcomes.
- 1393 • The addition of the Hospital Load Variable provided inconsistent results. Worsening staff to
1394 patient ratios had a strong and statistically significant effect on the healthy mother outcome,
1395 but had positive but weak and marginally significant effects on both the healthy baby and
1396 bodily integrity.
- 1397 • The last two points indicate that further works needs to be done on collecting unit level data
1398 that varies over time (e.g shift by shift), especially where this can be matched to patient
1399 demand data.
- 1400 • Patient level factors are clearly very important, with age, ethnicity and parity being
1401 associated with both outcomes and deprivation also being associated with bodily integrity.
1402 In both cases, the largest odds ratio is for the clinical risk variable. A mother classed as
1403 "higher risk" is half as likely to deliver with bodily integrity than a mother classed as "lower
1404 risk".
- 1405 • There is a clear time dimension to the results, with each year being strongly significantly
1406 related to the outcome. When compared to 2004 (the base year) each year since has a lower
1407 rate of healthy mothers and bodily integrity. This was also clear in the descriptive statistics in
1408 Section 4.1.1.
- 1409 • In terms of the trust level variables, larger trusts have lower healthy mother rates but this
1410 effect is weak.
- 1411 • This is the largest study on this topic to date. The use of longitudinal data combined with
1412 the inclusion of a patient level risk measure should reduce the risk of omitted variable bias

1413 but it is impossible to guarantee this. Attempts to econometrically solve the problem were
1414 largely unsuccessful.

1415 • Trusts should be encouraged to monitor both planned and actual staffing levels at ward level
1416 and to match these to outcomes of care. The adoption of Safe Staffing tools that include the
1417 monitoring of staffing and patient acuity data should be welcomed.

1418 • Future research should concentrate on (i) improving the collection of staffing data to allow
1419 for within trust variation in staffing, (ii) tackling the inherent problem of endogeneity most
1420 likely through innovative research designs, and (iii) measuring the utility of the outcomes to
1421 enable the calculation of QALYs and the aggregation of the outcomes into a single measure.

1422

1423

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1425

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1483 **7 Appendix A: Full Statistical Results**

1484 For clarity and for ease of interpretation, only a summary of the statistical findings are presented in the main report in Section 4.1.2. This Appendix contains
 1485 all of the relevant regression output.

1486 **Table 16: Healthy Mother Full Regression Results**

		Beta	Std. Error	t-statistic	p-value	Odds Ratio	Lower CI	Upper CI
Intercept		-0.188	0.045	0.045	0.000	0.829	0.758	0.906
Maternal Age	Missing	0.183	0.021	0.021	0.000	1.201	1.153	1.251
	<20	-0.476	0.006	0.006	0.000	0.621	0.614	0.629
	20-24	-0.499	0.005	0.005	0.000	0.607	0.601	0.614
	25-29	-0.425	0.005	0.005	0.000	0.654	0.647	0.660
	30-34	-0.331	0.005	0.005	0.000	0.718	0.711	0.726
	35-39	-0.205	0.005	0.005	0.000	0.815	0.807	0.824
	>40	0.000		0.000		0.000		0.000
Higher Risk		1.092	0.002	0.002	0.000	2.980	2.969	2.992
Ethnicity	British (White)	-0.130	0.006	0.006	0.000	0.878	0.867	0.888
	Irish (White)	-0.003	0.015	0.015	0.839	0.997	0.969	1.026
	Any other White background	-0.077	0.007	0.007	0.000	0.926	0.914	0.938
	White and Black Caribbean	0.006	0.015	0.015	0.678	1.006	0.976	1.037
	White and Black African	0.235	0.018	0.018	0.000	1.265	1.221	1.310
	White and Asian	-0.050	0.020	0.020	0.013	0.951	0.914	0.990
	Any other Mixed background	-0.016	0.014	0.014	0.230	0.984	0.958	1.010
	Indian	0.094	0.008	0.008	0.000	1.098	1.081	1.116
	Pakistani	0.090	0.008	0.008	0.000	1.094	1.078	1.110
	Bangladeshi	0.084	0.010	0.010	0.000	1.087	1.066	1.109
	Any other Asian background	0.067	0.009	0.009	0.000	1.070	1.051	1.088

	Caribbean	0.180	0.011	0.011	0.000	1.197	1.173	1.222
	African	0.356	0.008	0.008	0.000	1.428	1.407	1.450
	Any other Black background	0.270	0.011	0.011	0.000	1.309	1.281	1.339
	Chinese	-0.053	0.013	0.013	0.000	0.948	0.924	0.973
	Not known	-0.167	0.010	0.010	0.000	0.846	0.830	0.862
	Not stated	-0.133	0.007	0.007	0.000	0.876	0.863	0.888
	Any other ethnic group	0.000		0.000		0.000		0.000
Parity	0	0.622	0.005	0.005	0.000	1.863	1.845	1.881
	1	0.031	0.005	0.005	0.000	1.031	1.022	1.041
	2	-0.024	0.005	0.005	0.000	0.976	0.966	0.986
	3	-0.037	0.006	0.006	0.000	0.963	0.952	0.975
	4>	0.000		0.000		0.000		0.000
IMD	Missing	-0.017	0.022	0.022	0.450	0.983	0.941	1.027
	1	-0.005	0.004	0.004	0.173	0.995	0.988	1.002
	2	-0.006	0.003	0.003	0.060	0.994	0.987	1.000
	3	-0.004	0.003	0.003	0.219	0.996	0.989	1.002
	4	-0.003	0.003	0.003	0.413	0.997	0.991	1.004
	5	0.000		0.000		0.000		0.000
Rural/Urban	Missing	0.110	0.027	0.027	0.000	1.117	1.060	1.177
	Urban =>10K - sparse	0.022	0.025	0.025	0.380	1.022	0.974	1.072
	Town and Fringe - sparse	0.182	0.017	0.017	0.000	1.199	1.159	1.241
	Village - sparse	0.110	0.018	0.018	0.000	1.117	1.078	1.157
	Hamlet and Isolated dwelling - sparse	0.146	0.023	0.023	0.000	1.157	1.105	1.211
	Urban =>10K - less sparse	-0.020	0.007	0.007	0.005	0.981	0.967	0.994
	Town and Fringe - less sparse	-0.016	0.008	0.008	0.044	0.985	0.970	1.000
	Village - less sparse	-0.011	0.008	0.008	0.174	0.989	0.973	1.005
	Rest of UK	0.135	0.059	0.059	0.021	1.145	1.020	1.284
	Hamlet and Isolated Dwelling - less sparse	0.000		0.000		0.000		0.000
SHA	East Midlands	0.019	0.070	0.070	0.783	1.020	0.889	1.170

	East of England	-0.069	0.061	0.061	0.256	0.933	0.829	1.051
	London	0.097	0.054	0.054	0.071	1.102	0.992	1.225
	North East	0.087	0.075	0.075	0.249	1.091	0.941	1.264
	North West	0.200	0.057	0.057	0.000	1.222	1.092	1.367
	South East	0.031	0.057	0.057	0.583	1.032	0.923	1.153
	West Midlands	0.068	0.062	0.062	0.267	1.071	0.949	1.208
	Yorkshire & Humberside	0.083	0.064	0.064	0.193	1.086	0.959	1.231
	South West	0.000	0.000	0.000	0.000	0.000	0.000	0.000
	Maternities (thousands)	-0.012	0.001	0.001	0.000	0.988	0.986	0.991
	FTE per 100 maternities							
	Midwives	0.019	0.002	0.002	0.000	1.019	1.014	1.024
	Support Workers	-0.017	0.009	0.009	0.054	0.983	0.966	1.000
	Doctors	-0.039	0.010	0.010	0.000	0.961	0.943	0.981
	Consultants	-0.130	0.025	0.025	0.000	0.878	0.837	0.921
	Hospital Load Ratio	-0.724	0.061	0.061	0.000	0.485	0.430	0.546
	Year							
	2004	0.000	0.000	0.000		0.000	0.000	0.000
	2005	-0.056	0.004	0.004	0.000	0.945	0.938	0.952
	2006	-0.120	0.004	0.004	0.000	0.887	0.879	0.894
	2007	-0.222	0.004	0.004	0.000	0.801	0.795	0.808
	2008	-0.252	0.004	0.004	0.000	0.777	0.771	0.784
	2009	-0.254	0.004	0.004	0.000	0.776	0.770	0.782
	2010	-0.320	0.004	0.004	0.000	0.726	0.720	0.733
	2011	-0.386	0.004	0.004	0.000	0.680	0.674	0.686
	2012	-0.396	0.004	0.004	0.000	0.673	0.668	0.679

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Table 17: Maternal Mortality Full Results

		Beta	Std. Error	t-statistic	p-value	Odds Ratio	Lower CI	Upper CI
Intercept		-9.84	0.94	0.94	0.00	0.00	0.00	0.00
Maternal Age	Missing	-16.07	1410.63	1410.63	0.99	0.00	0.00	Inf
	<20	-1.18	0.47	0.47	0.01	0.31	0.12	0.77
	20-24	-1.29	0.35	0.35	0.00	0.28	0.14	0.54
	25-29	-0.86	0.30	0.30	0.00	0.42	0.24	0.76
	30-34	-0.76	0.29	0.29	0.01	0.47	0.27	0.83
	35-39	-0.43	0.29	0.29	0.14	0.65	0.36	1.15
	>40	0.00				0.00		
Higher Risk		1.45	0.18	0.18	0.00	4.25	2.97	6.09
Ethnicity	British (White)	0.30	0.59	0.59	0.61	1.35	0.42	4.34
	Irish (White)	-14.71	1261.13	1261.13	0.99	0.00	0.00	Inf
	Any other White background	-0.90	0.82	0.82	0.27	0.41	0.08	2.02
	White and Black Caribbean	-14.54	1321.07	1321.07	0.99	0.00	0.00	Inf
	White and Black African	-14.74	1574.28	1574.28	0.99	0.00	0.00	Inf
	White and Asian	-14.57	1791.14	1791.14	0.99	0.00	0.00	Inf
	Any other Mixed background	1.21	0.91	0.91	0.18	3.37	0.56	20.17
	Indian	0.79	0.68	0.68	0.24	2.21	0.58	8.37
	Pakistani	0.40	0.70	0.70	0.57	1.49	0.38	5.86
	Bangladeshi	1.08	0.73	0.73	0.14	2.94	0.70	12.42
	Any other Asian background	1.50	0.66	0.66	0.02	4.49	1.23	16.33
	Caribbean	1.19	0.73	0.73	0.10	3.30	0.79	13.87
	African	1.10	0.64	0.64	0.08	3.02	0.86	10.55
	Any other Black background	-0.26	1.16	1.16	0.82	0.77	0.08	7.43
	Chinese	1.13	0.91	0.91	0.22	3.09	0.52	18.53
	Not known	1.35	0.71	0.71	0.06	3.87	0.96	15.68

	Not stated	1.51	0.62	0.62	0.01	4.53	1.34	15.27
	Any other ethnic group	0.00				0.00		
Parity	0	0.32	0.33	0.33	0.33	1.38	0.72	2.66
	1	-0.33	0.35	0.35	0.34	0.72	0.37	1.42
	2	0.20	0.35	0.35	0.56	1.23	0.61	2.46
	3	-0.10	0.44	0.44	0.82	0.90	0.38	2.13
	4>	0.00				0.00		
IMD	Missing	-15.10	1703.88	1703.88	0.99	0.00	0.00	Inf
	1	0.34	0.27	0.27	0.20	1.41	0.83	2.40
	2	0.27	0.26	0.26	0.30	1.31	0.79	2.20
	3	-0.08	0.28	0.28	0.77	0.92	0.53	1.60
	4	-0.18	0.29	0.29	0.54	0.84	0.47	1.49
	5	0.00				0.00		
Rural/Urban	Missing	14.51	1703.88	1703.88	0.99	2009613.00	0.00	Inf
	Urban =>10K - sparse	0.69	1.09	1.09	0.53	1.99	0.23	16.82
	Town and Fringe - sparse	-15.54	1393.68	1393.68	0.99	0.00	0.00	Inf
	Village - sparse	0.00	1.09	1.09	1.00	1.00	0.12	8.37
	Hamlet and Isolated dwelling - sparse	-15.64	1937.45	1937.45	0.99	0.00	0.00	Inf
	Urban =>10K - less sparse	-0.76	0.43	0.43	0.08	0.47	0.20	1.08
	Town and Fringe - less sparse	-0.67	0.51	0.51	0.19	0.51	0.19	1.39
	Village - less sparse	-1.07	0.61	0.61	0.08	0.34	0.11	1.13
	Rest of UK	-0.46	5047.15	5047.15	1.00	0.63	0.00	Inf
	Hamlet and Isolated Dwelling - less sparse	0.00				0.00		
SHA	East Midlands	-0.21	0.40	0.40	0.60	0.81	0.37	1.77
	East of England	-0.35	0.37	0.37	0.34	0.70	0.34	1.46
	London	-0.02	0.34	0.34	0.95	0.98	0.51	1.89
	North East	0.28	0.40	0.40	0.49	1.32	0.60	2.89
	North West	-0.32	0.34	0.34	0.35	0.73	0.37	1.42
	South East	-0.18	0.34	0.34	0.59	0.83	0.43	1.62

	West Midlands	-0.13	0.35	0.35	0.71	0.88	0.44	1.75
	Yorkshire & Humberside	-0.76	0.42	0.42	0.07	0.47	0.21	1.06
	South West	0.00				0.00		
Maternities (thousands)		0.03	0.03	0.03	0.42	1.03	0.96	1.10
FTE per 100 maternities								
	Midwives	0.16	0.13	0.13	0.21	1.17	0.91	1.51
	Support Workers	-0.18	0.39	0.39	0.64	0.83	0.39	1.79
	Doctors	-0.18	0.60	0.60	0.77	0.84	0.26	2.70
	Consultants	-1.33	1.40	1.40	0.34	0.26	0.02	4.11
Hospital Load Ratio		-2.61	4.49	4.49	0.56	0.07	0.00	483.07
Year								
	2004	0.00				0.00		
	2005	-0.29	0.28	0.28	0.30	0.75	0.44	1.29
	2006	-0.50	0.30	0.30	0.10	0.61	0.34	1.09
	2007	-0.65	0.32	0.32	0.04	0.52	0.28	0.98
	2008	-0.37	0.29	0.29	0.20	0.69	0.39	1.22
	2009	-0.45	0.29	0.29	0.12	0.64	0.36	1.12
	2010	-0.46	0.30	0.30	0.13	0.63	0.35	1.14
	2011	-0.26	0.28	0.28	0.36	0.77	0.44	1.35
	2012	-1.15	0.38	0.38	0.00	0.32	0.15	0.66

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Table 18: Bodily Integrity Full Regression Results

		Beta	Std. Error	t-statistic	p-value	Odds Ratio	Lower CI	Upper CI
Intercept		0.73	0.07	0.07	0.00	2.08	1.81	2.40
Maternal Age	Missing	0.30	0.05	0.05	0.00	1.35	1.21	1.50
	<20	1.03	0.01	0.01	0.00	2.81	2.77	2.85
	20-24	0.76	0.01	0.01	0.00	2.14	2.11	2.16
	25-29	0.43	0.01	0.01	0.00	1.54	1.52	1.56
	30-34	0.19	0.01	0.01	0.00	1.20	1.19	1.22
	35-39	0.05	0.01	0.01	0.00	1.06	1.04	1.07
	>40	0.00				0.00		
Higher Risk		-0.69	0.00	0.00	0.00	0.50	0.50	0.50
Ethnicity	British (White)	0.11	0.01	0.01	0.00	1.12	1.10	1.13
	Irish (White)	-0.10	0.02	0.02	0.00	0.90	0.87	0.93
	Any other White background	0.05	0.01	0.01	0.00	1.05	1.03	1.06
	White and Black Caribbean	0.50	0.02	0.02	0.00	1.65	1.60	1.70
	White and Black African	0.12	0.02	0.02	0.00	1.13	1.09	1.18
	White and Asian	0.01	0.02	0.02	0.76	1.01	0.96	1.05
	Any other Mixed background	0.14	0.01	0.01	0.00	1.15	1.12	1.19
	Indian	-0.43	0.01	0.01	0.00	0.65	0.64	0.66
	Pakistani	-0.05	0.01	0.01	0.00	0.95	0.94	0.97
	Bangladeshi	-0.13	0.01	0.01	0.00	0.88	0.86	0.89
	Any other Asian background	-0.27	0.01	0.01	0.00	0.76	0.75	0.78
	Caribbean	0.60	0.01	0.01	0.00	1.82	1.78	1.86
	African	0.01	0.01	0.01	0.20	1.01	0.99	1.03
	Any other Black background	0.21	0.01	0.01	0.00	1.24	1.21	1.27
	Chinese	-0.42	0.02	0.02	0.00	0.66	0.64	0.68
	Not known	0.08	0.01	0.01	0.00	1.08	1.06	1.11

	Not stated	0.07	0.01	0.01	0.00	1.07	1.05	1.09
	Any other ethnic group	0.00				0.00		
Parity	0	-2.38	0.01	0.01	0.00	0.09	0.09	0.09
	1	-1.28	0.01	0.01	0.00	0.28	0.28	0.28
	2	-0.65	0.01	0.01	0.00	0.52	0.52	0.53
	3	-0.29	0.01	0.01	0.00	0.74	0.74	0.75
	4>	0.00				0.00		
IMD	Missing	0.26	0.02	0.02	0.00	1.30	1.24	1.36
	1	0.46	0.00	0.00	0.00	1.58	1.56	1.59
	2	0.30	0.00	0.00	0.00	1.36	1.35	1.37
	3	0.19	0.00	0.00	0.00	1.20	1.19	1.21
	4	0.10	0.00	0.00	0.00	1.10	1.09	1.11
	5	0.00				0.00		
Rural/Urban	Missing							
	Urban =>10K - sparse	0.04	0.03	0.03	0.15	1.04	0.99	1.09
	Town and Fringe - sparse	0.06	0.02	0.02	0.00	1.06	1.02	1.10
	Village - sparse	0.04	0.02	0.02	0.06	1.04	1.00	1.08
	Hamlet and Isolated dwelling - sparse	-0.05	0.03	0.03	0.04	0.95	0.90	1.00
	Urban =>10K - less sparse	0.03	0.01	0.01	0.00	1.03	1.01	1.05
	Town and Fringe - less sparse	0.04	0.01	0.01	0.00	1.04	1.03	1.06
	Village - less sparse	0.02	0.01	0.01	0.02	1.02	1.00	1.04
	Rest of UK	0.13	0.06	0.06	0.04	1.14	1.00	1.29
	Hamlet and Isolated Dwelling - less sparse	0.00				0.00		
SHA	East Midlands	0.14	0.11	0.11	0.20	1.15	0.93	1.42
	East of England	-0.12	0.09	0.09	0.20	0.89	0.74	1.07
	London	-0.24	0.08	0.08	0.01	0.79	0.67	0.93
	North East	0.11	0.12	0.12	0.33	1.12	0.89	1.41
	North West	-0.10	0.09	0.09	0.26	0.90	0.76	1.08
	South East	-0.15	0.09	0.09	0.08	0.86	0.72	1.02

	West Midlands	-0.10	0.10	0.10	0.30	0.91	0.75	1.09
	Yorkshire & Humberside	0.08	0.10	0.10	0.41	1.08	0.89	1.32
	South West	0.00				0.00		
Maternities (thousands)		0.00	0.00	0.00	0.00	1.00	1.00	1.01
FTE per 100 maternities	Midwives	0.01	0.00	0.00	0.00	1.01	1.00	1.02
	Support Workers	-0.09	0.01	0.01	0.00	0.91	0.89	0.93
	Doctors	-0.01	0.01	0.01	0.21	0.99	0.96	1.01
	Consultants	-0.18	0.03	0.03	0.00	0.84	0.79	0.89
Hospital Load Ratio		0.15	0.07	0.07	0.02	1.16	1.02	1.32
Year	2004	0.00				0.00		
	2005	-0.06	0.00	0.00	0.00	0.95	0.94	0.95
	2006	-0.08	0.00	0.00	0.00	0.92	0.92	0.93
	2007	-0.10	0.00	0.00	0.00	0.91	0.90	0.91
	2008	-0.15	0.00	0.00	0.00	0.86	0.85	0.87
	2009	-0.24	0.00	0.00	0.00	0.78	0.78	0.79
	2010	-0.30	0.00	0.00	0.00	0.74	0.74	0.75
	2011	-0.35	0.00	0.00	0.00	0.71	0.70	0.71
	2012	-0.39	0.00	0.00	0.00	0.68	0.67	0.68

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Table 19: Stillborn Full Regression Results

		Beta	Std. Error	t-statistic	p-value	Odds Ratio	Lower CI	Upper CI
Intercept		-7.80	0.11	0.11	0.00	0.00	0.00	0.00
Maternal Age	Missing	-0.37	0.10	0.10	0.00	0.69	0.57	0.84
	<20	-0.05	0.04	0.04	0.20	0.95	0.89	1.02
	20-24	-0.25	0.03	0.03	0.00	0.78	0.74	0.83
	25-29	-0.33	0.03	0.03	0.00	0.72	0.68	0.76
	30-34	-0.36	0.03	0.03	0.00	0.70	0.66	0.74
	35-39	-0.23	0.03	0.03	0.00	0.80	0.75	0.84
	>40	0.00				0.00		
Higher Risk		3.47	0.03	0.03	0.00	32.21	30.30	34.23
Ethnicity	British (White)	-0.14	0.04	0.04	0.00	0.87	0.81	0.94
	Irish (White)	0.15	0.09	0.09	0.10	1.16	0.97	1.38
	Any other White background	-0.13	0.04	0.04	0.00	0.88	0.80	0.96
	White and Black Caribbean	0.24	0.09	0.09	0.01	1.27	1.07	1.50
	White and Black African	0.10	0.10	0.10	0.33	1.11	0.90	1.36
	White and Asian	0.02	0.13	0.13	0.87	1.02	0.79	1.31
	Any other Mixed background	-0.28	0.10	0.10	0.01	0.76	0.62	0.92
	Indian	0.11	0.05	0.05	0.03	1.11	1.01	1.23
	Pakistani	0.32	0.05	0.05	0.00	1.38	1.26	1.51
	Bangladeshi	0.05	0.06	0.06	0.43	1.05	0.93	1.18
	Any other Asian background	0.16	0.05	0.05	0.00	1.17	1.05	1.30
	Caribbean	0.31	0.06	0.06	0.00	1.36	1.21	1.52
	African	0.31	0.05	0.05	0.00	1.37	1.25	1.50
	Any other Black background	0.28	0.06	0.06	0.00	1.33	1.18	1.50
	Chinese	-0.27	0.10	0.10	0.01	0.76	0.63	0.93
	Not known	-0.41	0.07	0.07	0.00	0.66	0.57	0.77

	Not stated	-0.25	0.05	0.05	0.00	0.78	0.71	0.86
	Any other ethnic group	0.00				0.00		
Parity	0	0.49	0.03	0.03	0.00	1.63	1.54	1.72
	1	-0.15	0.03	0.03	0.00	0.86	0.81	0.91
	2	-0.16	0.03	0.03	0.00	0.85	0.80	0.90
	3	-0.08	0.04	0.04	0.03	0.93	0.86	0.99
	4>	0.00				0.00		
IMD	Missing	0.50	0.13	0.13	0.00	1.65	1.29	2.11
	1	0.29	0.02	0.02	0.00	1.34	1.28	1.41
	2	0.22	0.02	0.02	0.00	1.24	1.18	1.30
	3	0.16	0.02	0.02	0.00	1.18	1.12	1.23
	4	0.07	0.02	0.02	0.01	1.07	1.02	1.13
	5	0.00				0.00		
Rural/Urban	Missing	0.24	0.15	0.15	0.10	1.28	0.95	1.71
	Urban =>10K - sparse	-0.06	0.17	0.17	0.72	0.94	0.67	1.32
	Town and Fringe - sparse	0.03	0.12	0.12	0.81	1.03	0.81	1.31
	Village - sparse	0.08	0.12	0.12	0.54	1.08	0.85	1.37
	Hamlet and Isolated dwelling - sparse	0.15	0.15	0.15	0.30	1.17	0.87	1.57
	Urban =>10K - less sparse	-0.04	0.05	0.05	0.49	0.97	0.87	1.07
	Town and Fringe - less sparse	0.00	0.06	0.06	0.97	1.00	0.90	1.11
	Village - less sparse	-0.03	0.06	0.06	0.64	0.97	0.87	1.09
	Rest of UK	0.33	0.28	0.28	0.24	1.39	0.81	2.39
	Hamlet and Isolated Dwelling - less sparse	0.00				0.00		
SHA	East Midlands	-0.04	0.08	0.08	0.60	0.96	0.81	1.13
	East of England	0.06	0.07	0.07	0.41	1.06	0.92	1.22
	London	0.07	0.07	0.07	0.31	1.07	0.94	1.22
	North East	0.04	0.09	0.09	0.67	1.04	0.87	1.24
	North West	-0.01	0.07	0.07	0.90	0.99	0.87	1.13
	South East	0.01	0.07	0.07	0.84	1.01	0.88	1.16

	West Midlands	-0.08	0.07	0.07	0.25	0.92	0.79	1.06
	Yorkshire & Humberside	0.08	0.08	0.08	0.28	1.09	0.94	1.26
	South West	0.00				0.00		
Maternities (thousands)		0.01	0.01	0.01	0.17	1.01	1.00	1.02
FTE per 100 maternities								
	Midwives	0.01	0.02	0.02	0.52	1.01	0.98	1.04
	Support Workers	0.03	0.05	0.05	0.57	1.03	0.93	1.14
	Doctors	-0.09	0.06	0.06	0.18	0.92	0.81	1.04
	Consultants	-0.07	0.15	0.15	0.67	0.94	0.69	1.27
Hospital Load Ratio		-0.39	0.40	0.40	0.33	0.68	0.31	1.49
Year	2004	0.00				0.00		
	2005	-0.05	0.03	0.03	0.05	0.95	0.90	1.00
	2006	-0.06	0.03	0.03	0.03	0.94	0.89	0.99
	2007	-0.12	0.03	0.03	0.00	0.88	0.84	0.93
	2008	-0.17	0.03	0.03	0.00	0.84	0.80	0.89
	2009	-0.17	0.03	0.03	0.00	0.84	0.80	0.89
	2010	-0.19	0.03	0.03	0.00	0.82	0.78	0.87
	2011	-0.22	0.03	0.03	0.00	0.81	0.76	0.85
	2012	-0.33	0.03	0.03	0.00	0.72	0.68	0.76

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Table 20: Full Results Healthy Baby Regression

		Beta	Std. Error	t-statistic	p-value	Odds Ratio	Lower CI	Upper CI
Intercept		2.91	0.06	0.06	0.00	18.30	16.38	20.46
Maternal Age	Missing	0.14	0.09	0.09	0.11	1.15	0.97	1.36
	<20	-0.04	0.01	0.01	0.00	0.96	0.94	0.98
	20-24	0.06	0.01	0.01	0.00	1.06	1.04	1.08
	25-29	0.13	0.01	0.01	0.00	1.14	1.12	1.16
	30-34	0.14	0.01	0.01	0.00	1.15	1.13	1.17
	35-39	0.09	0.01	0.01	0.00	1.10	1.08	1.12
	>40	0.00				0.00		
Higher Risk		-1.72	0.00	0.00	0.00	0.18	0.18	0.18
Ethnicity	British (White)	-0.09	0.01	0.01	0.00	0.92	0.90	0.94
	Irish (White)	-0.01	0.03	0.03	0.73	0.99	0.94	1.05
	Any other White background	0.02	0.01	0.01	0.09	1.02	1.00	1.05
	White and Black Caribbean	-0.24	0.03	0.03	0.00	0.79	0.75	0.83
	White and Black African	0.03	0.03	0.03	0.38	1.03	0.96	1.10
	White and Asian	-0.16	0.04	0.04	0.00	0.86	0.80	0.92
	Any other Mixed background	-0.06	0.03	0.03	0.03	0.94	0.90	0.99
	Indian	-0.27	0.01	0.01	0.00	0.77	0.75	0.79
	Pakistani	-0.14	0.01	0.01	0.00	0.87	0.85	0.90
	Bangladeshi	-0.20	0.02	0.02	0.00	0.82	0.79	0.85
	Any other Asian background	-0.10	0.02	0.02	0.00	0.90	0.87	0.93
	Caribbean	-0.28	0.02	0.02	0.00	0.75	0.73	0.78
	African	-0.03	0.01	0.01	0.03	0.97	0.94	1.00
	Any other Black background	-0.16	0.02	0.02	0.00	0.85	0.82	0.89
	Chinese	0.24	0.03	0.03	0.00	1.27	1.21	1.34
	Not known	-0.03	0.02	0.02	0.18	0.97	0.94	1.01
	Not stated	-0.02	0.01	0.01	0.15	0.98	0.95	1.01
	Any other ethnic group	0.00				0.00		

Parity	0	-0.22	0.01	0.01	0.00	0.80	0.79	0.82
	1	0.25	0.01	0.01	0.00	1.29	1.27	1.31
	2	0.22	0.01	0.01	0.00	1.25	1.23	1.27
	3	0.12	0.01	0.01	0.00	1.13	1.11	1.15
	4>	0.00				0.00		
IMD	Missing	-0.42	0.03	0.03	0.00	0.65	0.61	0.70
	1	-0.25	0.01	0.01	0.00	0.78	0.77	0.79
	2	-0.17	0.01	0.01	0.00	0.84	0.83	0.86
	3	-0.11	0.01	0.01	0.00	0.89	0.88	0.90
	4	-0.06	0.01	0.01	0.00	0.94	0.93	0.95
	5	0.00				0.00		
Rural/Urban	Missing							
	Urban =>10K - sparse	-0.16	0.05	0.05	0.00	0.85	0.78	0.93
	Town and Fringe - sparse	-0.07	0.03	0.03	0.02	0.93	0.87	0.99
	Village - sparse	0.00	0.03	0.03	0.96	1.00	0.94	1.07
	Hamlet and Isolated dwelling - sparse	0.02	0.04	0.04	0.66	1.02	0.94	1.11
	Urban =>10K - less sparse	-0.03	0.01	0.01	0.01	0.97	0.94	0.99
	Town and Fringe - less sparse	-0.04	0.01	0.01	0.01	0.96	0.93	0.99
	Village - less sparse	0.01	0.02	0.02	0.41	1.01	0.98	1.04
	Rest of UK	-0.47	0.08	0.08	0.00	0.62	0.53	0.73
	Hamlet and Isolated Dwelling - less sparse	0.00				0.00		
SHA	East Midlands	0.40	0.08	0.08	0.00	1.49	1.28	1.74
	East of England	0.25	0.07	0.07	0.00	1.28	1.12	1.46
	London	0.26	0.06	0.06	0.00	1.29	1.15	1.46
	North East	0.28	0.08	0.08	0.00	1.33	1.13	1.56
	North West	0.29	0.06	0.06	0.00	1.34	1.18	1.52
	South East	0.29	0.06	0.06	0.00	1.34	1.18	1.52
	West Midlands	0.28	0.07	0.07	0.00	1.33	1.16	1.52
	Yorkshire & Humberside	0.21	0.07	0.07	0.00	1.24	1.08	1.42
	South West	0.00				0.00		
Maternities (thousands)	0.00	0.00	0.00	0.34	1.00	1.00	1.01	

FTE per 100 maternities	Midwives	0.00	0.00	0.00	0.92	1.00	0.99	1.01
	Support Workers	-0.05	0.02	0.02	0.00	0.95	0.92	0.98
	Doctors	-0.03	0.02	0.02	0.19	0.97	0.94	1.01
	Consultants	0.09	0.05	0.05	0.07	1.10	0.99	1.21
Hospital Load Ratio		0.28	0.11	0.11	0.02	1.32	1.05	1.65
Year	2004	0.00				0.00		
	2005	0.05	0.01	0.01	0.00	1.05	1.04	1.07
	2006	0.06	0.01	0.01	0.00	1.06	1.04	1.07
	2007	0.10	0.01	0.01	0.00	1.10	1.09	1.12
	2008	0.13	0.01	0.01	0.00	1.14	1.12	1.16
	2009	0.13	0.01	0.01	0.00	1.14	1.13	1.16
	2010	0.17	0.01	0.01	0.00	1.19	1.17	1.21
	2011	0.21	0.01	0.01	0.00	1.24	1.22	1.26
	2012	0.25	0.01	0.01	0.00	1.28	1.26	1.30

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Table 21: Healthy Baby Full Regression Results

	Beta	Std. Error	t-	p-	Odds Ratio	Odds Ratio	
						Lower	Upper

		statistic	value	CI	CI			
Intercept		2.91	0.06	0.06	0.00	18.30	16.38	20.46
Maternal Age	Missing	0.14	0.09	0.09	0.11	1.15	0.97	1.36
	<20	-0.04	0.01	0.01	0.00	0.96	0.94	0.98
	20-24	0.06	0.01	0.01	0.00	1.06	1.04	1.08
	25-29	0.13	0.01	0.01	0.00	1.14	1.12	1.16
	30-34	0.14	0.01	0.01	0.00	1.15	1.13	1.17
	35-39	0.09	0.01	0.01	0.00	1.10	1.08	1.12
	>40	0.00				0.00		
Higher Risk		-1.72	0.00	0.00	0.00	0.18	0.18	0.18
Ethnicity	British (White)	-0.09	0.01	0.01	0.00	0.92	0.90	0.94
	Irish (White)	-0.01	0.03	0.03	0.73	0.99	0.94	1.05
	Any other White background	0.02	0.01	0.01	0.09	1.02	1.00	1.05
	White and Black Caribbean	-0.24	0.03	0.03	0.00	0.79	0.75	0.83
	White and Black African	0.03	0.03	0.03	0.38	1.03	0.96	1.10
	White and Asian	-0.16	0.04	0.04	0.00	0.86	0.80	0.92
	Any other Mixed background	-0.06	0.03	0.03	0.03	0.94	0.90	0.99
	Indian	-0.27	0.01	0.01	0.00	0.77	0.75	0.79
	Pakistani	-0.14	0.01	0.01	0.00	0.87	0.85	0.90
	Bangladeshi	-0.20	0.02	0.02	0.00	0.82	0.79	0.85
	Any other Asian background	-0.10	0.02	0.02	0.00	0.90	0.87	0.93
	Caribbean	-0.28	0.02	0.02	0.00	0.75	0.73	0.78
	African	-0.03	0.01	0.01	0.03	0.97	0.94	1.00
	Any other Black background	-0.16	0.02	0.02	0.00	0.85	0.82	0.89
	Chinese	0.24	0.03	0.03	0.00	1.27	1.21	1.34
	Not known	-0.03	0.02	0.02	0.18	0.97	0.94	1.01
	Not stated	-0.02	0.01	0.01	0.15	0.98	0.95	1.01
	Any other ethnic group	0.00				0.00		
Parity	0	-0.22	0.01	0.01	0.00	0.80	0.79	0.82
	1	0.25	0.01	0.01	0.00	1.29	1.27	1.31
	2	0.22	0.01	0.01	0.00	1.25	1.23	1.27
	3	0.12	0.01	0.01	0.00	1.13	1.11	1.15

	4>	0.00				0.00		
IMD	Missing	-0.42	0.03	0.03	0.00	0.65	0.61	0.70
	1	-0.25	0.01	0.01	0.00	0.78	0.77	0.79
	2	-0.17	0.01	0.01	0.00	0.84	0.83	0.86
	3	-0.11	0.01	0.01	0.00	0.89	0.88	0.90
	4	-0.06	0.01	0.01	0.00	0.94	0.93	0.95
	5	0.00				0.00		
Rural/Urban	Missing							
	Urban =>10K - sparse	-0.16	0.05	0.05	0.00	0.85	0.78	0.93
	Town and Fringe - sparse	-0.07	0.03	0.03	0.02	0.93	0.87	0.99
	Village - sparse	0.00	0.03	0.03	0.96	1.00	0.94	1.07
	Hamlet and Isolated dwelling - sparse	0.02	0.04	0.04	0.66	1.02	0.94	1.11
	Urban =>10K - less sparse	-0.03	0.01	0.01	0.01	0.97	0.94	0.99
	Town and Fringe - less sparse	-0.04	0.01	0.01	0.01	0.96	0.93	0.99
	Village - less sparse	0.01	0.02	0.02	0.41	1.01	0.98	1.04
	Rest of UK	-0.47	0.08	0.08	0.00	0.62	0.53	0.73
	Hamlet and Isolated Dwelling - less sparse	0.00				0.00		
SHA	East Midlands	0.40	0.08	0.08	0.00	1.49	1.28	1.74
	East of England	0.25	0.07	0.07	0.00	1.28	1.12	1.46
	London	0.26	0.06	0.06	0.00	1.29	1.15	1.46
	North East	0.28	0.08	0.08	0.00	1.33	1.13	1.56
	North West	0.29	0.06	0.06	0.00	1.34	1.18	1.52
	South East	0.29	0.06	0.06	0.00	1.34	1.18	1.52
	West Midlands	0.28	0.07	0.07	0.00	1.33	1.16	1.52
	Yorkshire & Humberside	0.21	0.07	0.07	0.00	1.24	1.08	1.42
	South West	0.00				0.00		
Maternities (thousands)		0.00	0.00	0.00	0.34	1.00	1.00	1.01
FTE per 100 maternities	Midwives	0.00	0.00	0.00	0.92	1.00	0.99	1.01
	Support Workers	-0.05	0.02	0.02	0.00	0.95	0.92	0.98
	Doctors	-0.03	0.02	0.02	0.19	0.97	0.94	1.01

	Consultants	0.09	0.05	0.05	0.07	1.10	0.99	1.21
Hospital Load Ratio		0.28	0.11	0.11	0.02	1.32	1.05	1.65
Year	2004	0.00				0.00		
	2005	0.05	0.01	0.01	0.00	1.05	1.04	1.07
	2006	0.06	0.01	0.01	0.00	1.06	1.04	1.07
	2007	0.10	0.01	0.01	0.00	1.10	1.09	1.12
	2008	0.13	0.01	0.01	0.00	1.14	1.12	1.16
	2009	0.13	0.01	0.01	0.00	1.14	1.13	1.16
	2010	0.17	0.01	0.01	0.00	1.19	1.17	1.21
	2011	0.21	0.01	0.01	0.00	1.24	1.22	1.26
	2012	0.25	0.01	0.01	0.00	1.28	1.26	1.30

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