NATIONAL INSTITUTE FOR HEALTH AND CARE EXCELLENCE

Interventional Procedures Programme

Specialist Adviser questionnaire

Before completing this questionnaire, please read <u>Conflicts of Interest for Specialist Advisers</u>. Certain conflicts exclude you from offering advice, however, please return the questionnaire to us incomplete for our records.

Plea	se respond in the boxes prov	vided.		
Pleas	Please complete and return to: azad.hussain@nice.org.uk			
Proc	edure Name:	Platelet-rich plasma injections for osteoarthritis of the knee		
Nam	e of Specialist Advisor:	Dave Baker		
Spec	cialist Society:	Chartered Society of Physiotherapists (CSP)		
1	Do you have adequate know	vledge of this procedure to provide advice?		
X	Yes.			
	No – please return the form/answer no more questions.			
1.1	Does the title used above de	escribe the procedure adequately?		
X	Yes.			
	No. If no, please enter any other titles below.			
Com	ments:			
2	Your involvement in the pro	cedure		
2.1	Is this procedure relevant to	your specialty?		
X	Yes.			
	Is there any kind of inter-spe	ecialty controversy over the procedure?		

	No. If no, then answer no more questions, but please give any information you can about who is likely to be doing the procedure.	
Comi	ments:	
	ing in musculoskeletal physiotherapy we advise and treat patients who may rhave had this procedure or be contemplating having this procedure.	
patie pleas	next 2 questions are about whether you carry out the procedure, or referents for it. If you are in a specialty that normally carries out the procedure see answer question 2.2.1. If you are in a specialty that normally selects or spatients for the procedure, please answer question 2.2.2.	
2.2.1	If you are in a specialty that does this procedure, please indicate your experience with it:	
X	I have never done this procedure.	
	I have done this procedure at least once.	
	I do this procedure regularly.	
Comi	ments:	
2.2.2	If your specialty is involved in patient selection or referral to another specialty for this procedure, please indicate your experience with it.	
	I have never taken part in the selection or referral of a patient for this procedure.	
X	I have taken part in patient selection or referred a patient for this procedure at least once.	
	I take part in patient selection or refer patients for this procedure regularly.	
Comments:		
2.3	Please indicate your research experience relating to this procedure (please choose one or more if relevant):	
	I have done bibliographic research on this procedure.	
	I have done research on this procedure in laboratory settings (e.g. device-related research).	
	I have done clinical research on this procedure involving patients or healthy volunteers.	
X	I have had no involvement in research on this procedure.	

	Other (please comment)	
Com	ments:	
3	Status of the procedure	
3.1	Which of the following best describes the procedure (choose one):	
x	Established practice and no longer new.	
	A minor variation on an existing procedure, which is unlikely to alter the procedure's safety and efficacy.	
	Definitely novel and of uncertain safety and efficacy.	
	The first in a new class of procedure.	
Com	ments:	
3.2	What would be the comparator (standard practice) to this procedure?	
	servative management, steroid injection, hyaluronic injections or in end stage / re cases knee replacement surgery	
3.3	Please estimate the proportion of doctors in your specialty who are doing this procedure (choose one):	
	More than 50% of specialists engaged in this area of work.	
□ X	10% to 50% of specialists engaged in this area of work. Fewer than 10% of specialists engaged in this area of work.	
	Cannot give an estimate.	
Com	ments:	
4	Safety and efficacy	
4.1	What is the potential harm of the procedure?	
Please list adverse events and major risks (even if uncommon) and, if possible,		

1. Adverse events reported in the literature (if possible please cite literature)

estimate their incidence, as follows:

Very few adverse effects described in the literature. Occasional post-injection flare of pain reported

2. Anecdotal adverse events (known from experience)

None

3. Theoretical adverse events

As with any injection potential risk of infection

4.2 What are the key efficacy outcomes for this procedure?

Reduction of pain, stiffness and improvement in function

4.3 Are there uncertainties or concerns about the *efficacy* of this procedure? If so, what are they?

Yes, the outcomes have been questioned regarding significance versus cost. There are also issues in the literature and in clinical practice regarding technique and methodology of the procedure and whether that has an impact on outcome

4.4 What training and facilities are needed to do this procedure safely?

Lab for spinning / centrifuged to separate PRP from red blood cells and plasma blood prior to injection. Training for performing guided injection procedures

4.5 Are there any major trials or registries of this procedure currently in progress? If so, please list.

Not known – outside of already published literature

4.6 Are you aware of any abstracts that have been *recently* presented/ published on this procedure that may not be listed in a standard literature search, for example PUBMED? (This can include your own work). If yes, please list.

Please note that NICE will do a literature search: we are only asking you for any very recent or potentially obscure abstracts and papers. Please do not feel the need to supply a comprehensive reference list (but you may list any that you think are particularly important if you wish).

Not aware of any research that is not already listed in standard lit searches

4.7 Is there controversy, or important uncertainty, about any aspect of the way in which this procedure is currently being done or disseminated?

The specific processing methods (centrifuged to separate PRP from red blood cells and plasma) sometimes is debated in terms of lack of standardisation in some research. Also issues regarding freezing the PRP versus delivering injection same

day. Some studies using autologous blood rather than PRP – often these differences in methodology sited as possible causes of discrepancy in outcomes

5	Δı	ιιd	it i	Crit	eria
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Please suggest a minimum dataset of criteria by which this procedure could be audited.

5.1 Outcome measures of benefit (including commonly used clinical outcomes, both short and long - term; and quality-of-life measures). Please suggest the most appropriate method of measurement for each:

Patient Specific Functional Scale, EQ 5D5L, Arthritis Research UK MSK HQ, Western Ontario and McMaster Universities Osteoarthritis Index Physical Function subscale (WOMAC-PF) , Lower Extremity Functional Scale (LEFS), Knee Injury and Osteoarthritis Outcome Score Physical Function Short Form (KOOS-PS)

5.2 Adverse outcomes (including potential early and late complications). Please state timescales for measurement e.g. bleeding complications up to 1 month post-procedure:

None that I've read reported

- 6 Trajectory of the procedure
- 6.1 In your opinion, how quickly do you think use of this procedure will spread?

Expect a slow increase in coming years. Certainly appears to be increase in private practice

6.2 (choo	This procedure, if safe and efficacious, is likely to be carried out in se one):	
	Most or all district general hospitals.	
x	A minority of hospitals, but at least 10 in the UK.	
	Fewer than 10 specialist centres in the UK.	
	Cannot predict at present.	
Comments:		

6.3 The potential impact of this procedure on the NHS, in terms of numbers of patients eligible for treatment and use of resources, is:

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7.4	In these case of her information of out this was so done that uninformation		
7	Other information		
Comments:			
X	Minor.		
	Moderate.		

7.1 Is there any other information about this procedure that might assist NICE in assessing the possible need to investigate its use?

Growing use of minimally invasive procedures and again population would imply that there is potential for a growing market if outcomes are deemed favourable and cost-effective

8 Data protection and conflicts of interest

8. Data protection, freedom of information and conflicts of interest

8.1 Data Protection

The information you submit on this form will be retained and used by the NICE and its advisers for the purpose of developing its guidance and may be passed to other approved third parties. Your name and specialist society will be published in NICE publications and on the NICE website. The specialist advice questionnaire will be published in accordance with our guidance development processes and a copy will be sent to the nominating Specialist Society. Please avoid identifying any individual in your comments.

I have read and understood this statement and accept that personal information
sent to us will be retained and used for the purposes and in the manner specified
above and in accordance with the Data Protection Act 1998.

8.2 Declarations of interest by Specialist Advisers advising the NICE Interventional Procedures Advisory Committee

Nothing in your submission shall restrict any disclosure of information by NICE that is required by law (including in particular, but without limitation, the Freedom of Information Act 2000).

Please submit a conflicts of interest declaration form listing any potential conflicts of interest including any involvement you may have in disputes or complaints relating to this procedure.

Please use the "Conflicts of Interest for Specialist Advisers" policy as a guide when declaring any conflicts of interest. Specialist Advisers should seek advice if needed from the Associate Director – Interventional Procedures.

Do you or a member of your family¹ have a **personal pecuniary** interest? The main examples are as follows:

Consultancies or directorships attracting regular or occasional payments in cash or kind	X □	YES
		NO
Fee-paid work – any work commissioned by the healthcare industry – this includes income earned in the course of private practice	X	YES
		NO
Shareholdings – any shareholding, or other beneficial interest, in shares		YES
of the healthcare industry	X	NO
Expenses and hospitality – any expenses provided by a healthcare industry company beyond those reasonably required for accommodation,		YES
meals and travel to attend meetings and conferences	X	NO
Investments – any funds that include investments in the healthcare		YES
industry	X	NO
Do you have a personal non-pecuniary interest – for example have you made a public statement about the topic or do you hold an office in a		YES
professional organisation or advocacy group with a direct interest in the topic?		NO
Do you have a non-personal interest? The main examples are as follows:		
Fellowships endowed by the healthcare industry		YES
	X	NO
Support by the healthcare industry or NICE that benefits his/her position or department, eg grants, sponsorship of posts		YES
position of department, eg grants, sponsorship of posts		NO

If you have answered YES to any of the above statements, please describe the nature of the conflict(s) below.

Comments:

Currently work soley in private physiotherapy practice and am director of Complete Physio Limited and Dave Baker Physio Limited – we do not offer Platelet-rich plasma injections for osteoarthritis of the knee, however along with conservative management we offer corticosteroid injections and hyaluronic acid injections.

¹ 'Family members' refers to a spouse or partner living in the same residence as the member or employee, children for whom the member or employee is legally responsible, and adults for whom the member or employee is legally responsible (for example, an adult whose full power of attorney is held by the individual).

I also am part of the teaching faculty 'The Ultrasound Site Limited' and teach on range of courses which include ultrasound guided injection procedures, but again, not actually Platelet-rich plasma injections for osteoarthritis of the knee.

Thank you very much for your help.

Dr Tom Clutton-Brock, Interventional Procedures Advisory Committee Chair

Professor Carole Longson, Director, Centre for Health Technology Evaluation.

Jan 2016

Conflicts of Interest for Specialist Advisers

- 1 Declarations of interest by Specialist Advisers advising the NICE Interventional Procedures Advisory Committee
- 1.1 Any conflicts of interest set out below should be declared on the questionnaire the Specialist Adviser completes for the procedure.
- 1.2 Specialist Advisers should seek advice if required from the Associate Director Interventional Procedures.

2 Personal pecuniary interests

- 2.1 A personal pecuniary interest involves a current personal payment to a Specialist Adviser, which may either relate to the manufacturer or owner of a product or service being evaluated, in which case it is regarded as 'specific' or to the industry or sector from which the product or service comes, in which case it is regarded as 'non-specific'. The main examples are as follows.
- 2.1.1 **Consultancies** any consultancy, directorship, position in or work for the healthcare industry that attracts regular or occasional payments in cash or kind (this includes both those which have been undertaken in the 12 months preceding the point at which the declaration is made and which are planned but have not taken place).
- 2.1.2 **Fee-paid work** any work commissioned by the healthcare industry for which the member is paid in cash or in kind (this includes both those which have been undertaken in the 12 months preceding the point at which the declaration is made and which are planned but have not taken place).
- 2.1.3 Shareholdings any shareholding, or other beneficial interest, in shares of the healthcare industry that are either held by the individual or for which the individual has legal responsibility (for example, children, or relatives whose full Power of Attorney is held by the individual). This does not include shareholdings through unit trusts, pensions funds, or other similar arrangements where the member has no influence on financial management.
- 2.1.4 **Expenses and hospitality** any expenses provided by a healthcare industry company beyond that reasonably required for accommodation, meals and travel to attend meetings and conferences (this includes both those which have been undertaken in the 12 months preceding the point at which the declaration is made and which are planned but have not taken place.
- 2.1.5 **Investments** any funds which include investments in the healthcare industry that are held in a portfolio over which individuals have the ability to instruct the fund manager as to the composition of the fund.
- 2.2 No personal interest exists in the case of:
- 2.2.1 assets over which individuals have no financial control (for example, wide portfolio unit trusts and occupational pension funds) and where the fund manager has full discretion as to its composition (for example, the Universities Superannuation Scheme)
- 2.2.2 accrued pension rights from earlier employment in the healthcare industry.

3 Personal family interest

- 3.1 This relates to the personal interests of a family member and involves a **current payment** to the family member of the Specialist Adviser. The interest may relate to the manufacturer or owner of a product or service being evaluated, in which case it is regarded as '**specific**', or to the industry or sector from which the product or service comes, in which case it is regarded as '**non-specific**'. The main examples include the following.
- 3.1.1 Any consultancy, directorship, position in or work for a healthcare industry that attracts regular or occasional payments in cash or in kind.
- 3.1.2 Any fee-paid work commissioned by a healthcare industry for which the member is paid in cash or in kind.
- 3.1.3 Any shareholdings, or other beneficial interests, in a healthcare industry which are either held by the family member or for which an individual covered by this Code has legal responsibility (for example, children, or adults whose full Power of Attorney is held by the individual).
- 3.1.4 Expenses and hospitality provided by a healthcare industry company (except where they are provided to a general class of people such as attendees at an open conference)
- 3.1.5 Funds which include investments in the healthcare industry that are held in a portfolio over which individuals have the ability to instruct the fund manager as to the composition of the fund.
- 3.2 No personal family interest exists in the case of:
- 3.2.1 assets over which individuals have no financial control (for example, wide portfolio unit trusts and occupational pension funds) and where the fund manager has full discretion as to its composition (for example, the Universities Superannuation Scheme)
- 3.2.2 accrued pension rights from earlier employment in the healthcare industry.

4 Personal non-pecuniary interests

These might include, but are not limited to:

- 4.1 a clear opinion, reached as the conclusion of a research project, about the clinical and/or cost effectiveness of an intervention under review
- 4.2 a public statement in which an individual covered by this Code has expressed a clear opinion about the matter under consideration, which could reasonably be interpreted as prejudicial to an objective interpretation of the evidence
- 4.3 holding office in a professional organisation or advocacy group with a direct interest in the matter under consideration
- 4.4 other reputational risks in relation to an intervention under review.

5 Non-personal interests

5.1 A non-personal interest involves payment that benefits a department or organisation for which a Specialist Advisor is responsible, but that is not received by the Specialist Advisor personally. This may either relate to the product or service being evaluated, in which case it is regarded as 'specific,' or to the manufacturer or owner of the product or service, but is unrelated to the matter under consideration, in which case it is regarded as 'non-specific'. The main examples are as follows.

- 5.1.1 **Fellowships** the holding of a fellowship endowed by the healthcare industry.
- 5.1.2 **Support by the healthcare industry or NICE** any payment, or other support by the healthcare industry or by NICE that does not convey any pecuniary or material benefit to a member personally but that does benefit his/her position or department. For example:
- a grant from a company for the running of a unit or department for which a Specialist Advisor is responsible
- a grant, fellowship or other payment to sponsor a post or member of staff in the unit for which a Specialist Adviser is responsible. This does not include financial assistance for students
- the commissioning of research or other work by, or advice from, staff who work in a unit for which the specialist advisor is responsible
- one or more contracts with, or grants from, NICE.
- 5.2 Specialist Advisers are under no obligation to seek out knowledge of work done for, or on behalf of, the healthcare industry within departments for which they are responsible if they would not normally expect to be informed.