

Obstructive sleep apnoea/ hypopnoea syndrome and obesity hypoventilation syndrome in over 16s

Evidence review B: Assessment tools for people with suspected OSAHS, OHS or COPD-OSAHS overlap syndrome

NICE guideline

Diagnostic evidence review

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1 Assessment scales for suspected obstructive sleep apnoea/hypopnoea syndrome, obesity hypoventilation syndrome or COPD-OSAHS overlap syndrome

1.1 Review question: What assessment scales should be used if obstructive sleep apnoea/hypopnoea syndrome, obesity hypoventilation syndrome or COPD-OSAHS overlap syndrome is suspected (for example, the Epworth sleepiness scale, STOP-Bang sleep apnoea questionnaire or Berlin questionnaire)?

1.2 Introduction

Assessment scales are used to help with the identification of obstructive sleep apnoea/hypopnoea syndrome (OSAHS), obesity hypoventilation syndrome (OHS) and COPD-OSAHS overlap syndrome. These enable any healthcare professional assess patients in standardised way and help ensure only those most likely to have one of these conditions are referred onward to a sleep clinic for further investigation and diagnosis. Current assessment tools are usually the Epworth sleepiness score, the Stop Bang Questionnaire and the Berlin Questionnaire. There is no national guidance on which is the preferred option at the present time. This review aims to identify which, if any, of these should be used in practice.

1.3 PICO table

For full details see the review protocol in appendix A.

Table 1: PICO characteristics of review question

Population	People in whom OSAHS/OHS/ COPD-OSAHS overlap syndrome is suspected based on symptoms or co-existing conditions
Target condition	OSAHS/OHS/ COPD-OSAHS overlap syndrome
Index tests	Assessment scales including any one or more of the below: <ul style="list-style-type: none"> • Epworth sleepiness scale • STOP-BANG questionnaire • Berlin questionnaire
Reference standards	<p>Accuracy</p> <p>For diagnosis of OSAHS reference standard will be AHI/RDI/ODI >5 by hospital polysomnography</p> <p>For diagnosis of OHS reference standard will be hypercapnia on arterial/capillary blood gases</p>

	<p>Test and treat Any strategy compared with any other</p>
Statistical measures and Outcomes	<p>Accuracy outcomes:</p> <ul style="list-style-type: none"> • sensitivity • specificity • positive predictive value (PPV) • negative predictive value (NPV) <p>Test and treat outcomes:</p> <p>Critical</p> <ul style="list-style-type: none"> • mortality (dichotomous) • generic or disease specific quality of life (continuous) <p>Important</p> <ul style="list-style-type: none"> • sleepiness scores (continuous, e.g. Epworth) • apnoea-hypopnoea index or respiratory disturbance index (continuous) • oxygen desaturation index (continuous) • healthcare resource use (rates/dichotomous) • impact on co-existing conditions: <ul style="list-style-type: none"> o HbA1c for diabetes (continuous) o cardiovascular events for cardiovascular disease (dichotomous) o systolic blood pressure for hypertension (continuous)
Study design	<p>Single gate cross-sectional study designs will be included in the accuracy review. Two gate study designs will be excluded from the accuracy review</p> <p>RCTs will be prioritised for test and treat comparisons, if insufficient RCTs are found, non-randomised studies will be considered if they adjust for key confounders (age, BMI, co-existing conditions)</p>

1 1.4 Clinical evidence

21.4.1 Included studies

3 OSAHS

4 This review aimed to assess which assessment scales are most useful in identifying possible
5 cases of obstructive sleep apnoea/hypopnoea syndrome, obesity hypoventilation syndrome
6 or COPD-OSAHS overlap syndrome. Ten studies were included in the review.^{18, 68, 93, 96, 108,}
7 ^{126, 164, 416, 480, 553} Evidence from these studies is summarised in the clinical evidence summary
8 below (Table 2).

9 Three studies assessed the accuracy of the Berlin questionnaire, 4 studies assessed
10 Epworth Sleepiness Scale (ESS), 7 studies assessed STOP BANG questionnaire, and one
11 study assessed a combination of STOP BANG and Epworth Sleepiness Scale (ESS). Some
12 studies assessed more than one questionnaire.

13 Studies using modified assessment scales and/or using assessment scales which were not
14 in English, were not included in this review.

15 No test and treat studies were identified.

1 **OHS**

2 No studies were identified for people with suspected OHS.

3 **COPD-OSAHS overlap syndrome**

4 Two diagnostic accuracy studies in people with suspected COPD-OSAHS overlap syndrome
5 were included in this review.^{579, 582} One study assessed 3 questionnaires (Epworth
6 Sleepiness scale, Berlin questionnaire and STOP-BANG questionnaire) and another study
7 assessed 2 questionnaires (Berlin questionnaire and STOP-BANG questionnaire). No test
8 and treat studies (RCTs) were identified.

9 Evidence from these studies is summarised in the clinical evidence summary below (Table
10 2).

11 See also the study selection flow chart in appendix C, sensitivity and specificity Forest plots
12 in appendix E, and study evidence tables in appendix D.

13 **131.4.2 Excluded studies**

14 See the excluded studies list in appendix H.

Summary of clinical studies included in the evidence review

Table 2: Summary of studies included in the evidence review

Study	Population	Target condition	Assessment scale	Reference standard	Comments
Ahmadi 2008 ¹⁸ Retrospective chart review Canada	N = 130 analysed People referred to sleep and alertness clinic Age: mean 42.2 (male), 45.1 (female) Male/female ratio: 70:60 Ethnicity not reported	Sleep apnoea/hypopnoea syndrome	Berlin questionnaire	Laboratory PSG with a pre-specified diagnostic RDI of >5	Setting: Respiratory ward or sleep laboratory
Boynton 2013 ⁶⁸ Cross-sectional USA	N = 219 recruited and analysed People referred for diagnostic PSG with suspicion of OSA Age: mean 46.3 (SD 13.9) Male/female ratio: 91/74 of those identified as being high risk for OSA Ethnicity not reported	OSA	Self-reported STOP-BANG questionnaire, high risk if score of 3 or more	Single nocturnal lab based PSG, with a pre-specified diagnostic AHI of >5	Setting: Respiratory ward or sleep laboratory

Study	Population	Target condition	Assessment scale	Reference standard	Comments
<p>Cowan 2014⁹³ Cross-sectional UK</p>	<p>N = 129 analysed</p> <p>People referred to sleep centre for assessment of possible OSA</p> <p>Age: mean 49 (11)</p> <p>Male/female ratio: 82/47</p> <p>Ethnicity: not reported</p>	<p>Obstructive sleep apnoea</p>	<p>ESS ($\geq 11/24$), Berlin, STOP-BANG ($\geq 3/8$)</p>	<p>Home limited polygraphy with AHI ≥ 5</p>	<p>Setting: sleep centre</p>
<p>de Carvalho 2020⁹⁶</p>	<p>N = 66 recruited and N = 60 analysed</p> <p>Adults with down syndrome attending Down Syndrome Reference Center of Hospital Regional da Asa Norte (HRAN) linked to Faculdade de Medicina da Escola Superior de Ciências da Saúde (ESCS), Brasília, Federal District, Brazil.</p> <p>Age: mean 27.7 (SD 9.1)</p> <p>Male/female ratio: 33/27</p>	<p>Obstructive sleep apnoea</p>	<p>STOP-Bang questionnaire</p>	<p>Laboratory polysomnography with a prespecified diagnostic AHI ≥ 5</p>	<p>Setting: laboratory</p>

Study	Population	Target condition	Assessment scale	Reference standard	Comments
	Ethnicity: not reported				
Duarte 2020 ¹⁰⁸	<p>N = 8138 recruited and N = 7377 analysed, patients grouped into two large and independent cohorts: derivation (N=3771) and validation (N=3606)</p> <p>People referred to specialist centre for suspected obstructive sleep apnoea</p> <p>Age: derivation cohort - mean 45.9 (SD 14.6) validation cohort – mean 45.7(14.6)</p> <p>Male/female ratio: Derivation cohort – 1983/1788 Validation cohort – 1961/1645</p> <p>Ethnicity: not reported</p>	Obstructive sleep apnoea	STOP-Bang questionnaire	Laboratory polysomnography with a prespecified diagnostic AHI ≥ 5	Setting: laboratory

Study	Population	Target condition	Assessment scale	Reference standard	Comments
Felfeli 2020 ¹²⁶	<p>N=27 patients analysed</p> <p>Consecutive adult patients with new diagnosis of retinal vein occlusion confirmed with intravenous fluorescein angiography were enrolled and screened for obstructive sleep apnoea.</p> <p>Age: mean 69.6 (SD 11.5)</p> <p>Male/female ratio: 11/16</p> <p>Ethnicity: not reported</p>	Obstructive sleep apnoea	Berlin and Stop-Bang questionnaires	Laboratory polysomnography with a prespecified diagnostic AHI ≥ 15	Setting: laboratory
<p>Hesselbacher 2012¹⁶⁴</p> <p>Cross-sectional</p> <p>USA</p>	<p>N = 2112 studied, 1900 analysed</p> <p>People referred to specialist centre for suspected obstructive sleep apnoea</p> <p>Age: mean 54 (SD 15)</p> <p>Male/female ratio: 109:81</p> <p>Ethnicity</p>	Obstructive sleep apnoea	Epworth Sleepiness Scale	PSG, RDI >15	Setting: sleep centre
<p>Pereira 2013⁴¹⁶</p> <p>Cross-sectional</p>	<p>N=128 recruited and analysed</p> <p>People undergoing screening for obstructive sleep apnoea</p>	Obstructive sleep apnoea	Berlin questionnaire; ; Stop Bang questionnaire;	Laboratory polysomnography with no pre-specified diagnostic AHI, RDI or ODI	Setting: Home then laboratory

Study	Population	Target condition	Assessment scale	Reference standard	Comments
Canada	Age: mean 50 (SD 12.3) Male/Female ratio: 84/44 Ethnicity: not reported		portable sleep monitor, with RDI and AHI		
Sangkum 2017 ⁴⁸⁰ Cross-sectional USA	N=208 recruited and analysed People with suspected obstructive sleep apnoea Age: mean 52.9 (SD 0.9) Male/Female ratio: 75/133 Ethnicity: African American (69%); white (28%); Hispanic (0.5%)	Obstructive sleep apnoea	STOP-BANG questionnaire	Laboratory polysomnography with a pre-specified OSA diagnostic AHI >5 events/hour	Setting: initial clinical evaluation site and laboratory
Vana 2013 ⁵⁵³ Cross-sectional USA	N=60 recruited, 47 analysed People undergoing screening for obstructive sleep apnoea and sleep-disordered breathing Age: mean 46.4 (SD 13.2) Male/Female ratio: 16/31	Obstructive sleep apnoea and sleep-disordered breathing	Epworth Sleepiness Scale questionnaire; STOP-Bang questionnaire	Polysomnography with a pre-specified diagnostic AHI ≥5 for OSA and RDI ≥5 for SDB	Setting: not reported

Study	Population	Target condition	Assessment scale	Reference standard	Comments
	Ethnicity: Caucasian (76.6%); African American (10.6%); Native American/Asian/multiracial/'Mexican' (12.8%). 68.1% identified as Hispanic or Latino				
Wu 2020 ⁵⁷⁹	<p>N = 116 recruited and analysed</p> <p>COPD subjects with suspected sleep apnoea</p> <p>Age: mean 63 (Range 57, 68)</p> <p>Male/female ratio: 101/15</p> <p>Ethnicity: not reported</p>	Overlap syndrome	Berlin and STOP-BANG questionnaires	Laboratory polysomnography with a prespecified diagnostic AHI ≥ 5	Setting: laboratory
Xiong 2019 ⁵⁸²	<p>N = 476 recruited and N = 431 analysed</p> <p>Patients with COPD and suspected OSA</p>	Overlap syndrome	ESS, Berlin and STOP-BANG questionnaires	Laboratory polysomnography with a prespecified diagnostic AHI ≥ 5	Setting: laboratory

Study	Population	Target condition	Assessment scale	Reference standard	Comments
	Age: mean 67.4 (SD 8.9) Male/female ratio: 388/43 Ethnicity: not reported				

See appendix D for full evidence tables.

11.4.4 Quality assessment of clinical studies included in the evidence review

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Table 3: Clinical evidence summary for assessment scales in people with suspected OSAHS

Index Test (Threshold)	Number of studies	N	Sensitivity % (95% CI)	Quality	Specificity % (95% CI)	Quality
Berlin questionnaire	4	410	Pooled ⁵ : 77.52% (39.99 to 94.51)	VERY LOW ^{1,2,4} due to risk of bias, very serious inconsistency and very serious imprecision	Pooled ⁵ : 31.34% (6.1 to 75.26)	VERY LOW ^{1,2,4} due to risk of bias, serious inconsistency and serious imprecision
Epworth Sleepiness Scale	3	2067	Pooled ⁵ : 52.42% (18.11 to 83.16)	VERY LOW ^{1,2,4} due to risk of bias, serious inconsistency and serious imprecision	Pooled ⁵ : 50.75% (21.08 to 79.37%)	VERY LOW ^{1,2,4} due to risk of bias, serious inconsistency and serious imprecision
STOP BANG questionnaire	7	8129	Pooled ⁵ : 90.31% (83.96 to 94.67)	VERY LOW ^{1,2,4} due to risk of bias, serious inconsistency and serious imprecision	Pooled ⁵ : 40.81% (27.19 to 55.01)	LOW ^{1,2} due to risk of bias and serious inconsistency
STOP BANG or Epworth questionnaires (positive on either)	1	47	97% (84 to 100%)	LOW ^{1,4} due to risk of bias, and serious imprecision	20% (4 to 48%)	MODERATE ¹ due to risk of bias

- (1) Risk of bias was assessed using the QUADAS-2 checklist. The evidence was downgraded by 1 increment if the majority of studies were rated at high risk of bias and downgraded by 2 increments if the majority of studies were rated at very high risk of bias.
- (2) Inconsistency was assessed by inspection of the sensitivity and specificity plots. The evidence was downgraded by 1 increment if the individual studies varied across 2 areas [(for example, 50–90% and 90–100%)] and by 2 increments if the individual studies varied across 3 areas [(for example, 0–50%, 50–90% and 90–100%)].
- (3) Subgroup analysis was conducted for BMI and coexisting conditions. Subgroup analysis by BMI did not explain heterogeneity. Subgroup analysis by coexisting conditions could not be conducted because there was no sufficient information to conduct a subgroup analysis. Indirectness was assessed using the QUADAS-2 checklist items referring to applicability. The evidence was downgraded by 1 increment if the majority of studies were seriously indirect, and downgraded by 2 increments if the majority of studies are very seriously indirect
- (4) Imprecision was assessed based on inspection of the confidence region in the diagnostic meta-analysis or, where diagnostic meta-analysis has not been conducted, assessed according to the range of confidence intervals in the individual studies. Two clinical decision thresholds were determined at the value above which a test would be recommended (90%), and a second below which a test would be considered of no clinical use (60%). The evidence was downgraded by 1 increment when the range of the confidence interval around the point estimate crossed one threshold, and downgraded by 2 increments when the range covered two thresholds
- (5) Pooled sensitivity/specificity from diagnostic meta-analysis

Table 4: Clinical evidence summary for assessment scales in people with suspected OSAHS (patients with Down syndrome)

Index Test (Threshold)	Number of studies	N	Sensitivity % (95% CI)	Quality	Specificity % (95% CI)	Quality
STOP BANG questionnaire	1	60	100 % (93 to 100%)	VERY LOW ^{1,3} due to very serious risk of bias, and indirectness	45% (17 to 77%)	VERY LOW ^{1,3,4} due to very serious risk of bias, indirectness and serious imprecision

- (1) Risk of bias was assessed using the QUADAS-2 checklist. The evidence was downgraded by 1 increment if the majority of studies were rated at high risk of bias and downgraded by 2 increments if the majority of studies were rated at very high risk of bias.
- (2) Inconsistency was assessed by inspection of the sensitivity and specificity plots. The evidence was downgraded by 1 increment if the individual studies varied across 2 areas [(for example, 50–90% and 90–100%)] and by 2 increments if the individual studies varied across 3 areas [(for example, 0–50%, 50–90% and 90–100%)].
- (3) Indirectness was assessed using the QUADAS-2 checklist items referring to applicability. The evidence was downgraded by 1 increment if the majority of studies were seriously indirect, and downgraded by 2 increments if the majority of studies are very seriously indirect
- (4) Imprecision was assessed based on inspection of the confidence region in the diagnostic meta-analysis or, where diagnostic meta-analysis has not been conducted, assessed according to the range of confidence intervals in the individual studies. Two clinical decision thresholds were determined at the value above which a test would be recommended (90%), and a second below which a test would be considered of no clinical use (60%). The evidence was downgraded by 1 increment when the range of the confidence interval around the point estimate crossed one threshold, and downgraded by 2 increments when the range covered two thresholds

Table 5: Clinical evidence summary for assessment scales in people with suspected COPD-OSAHS overlap syndrome

Index Test (Threshold)	Number of studies	N	Sensitivity % (95% CI)	Quality	Specificity % (95% CI)	Quality
Berlin questionnaire	2	547	Pooled ⁵ : 69.48% (11.28 to 97.87)	VERY LOW ^{1,4} due to risk of bias and very serious imprecision	Pooled ⁵ : 68.41% (2.7 to 99.39%)	VERY LOW ^{1,2,4} due to risk of bias, inconsistency and very serious imprecision
Epworth Sleepiness Scale	1	431	72% (67 to 77%)	MODERATE ¹ due to risk of bias	47% (37 to 57%)	MODERATE ¹ due to risk of bias
STOP BANG questionnaire	2	547	Pooled ⁵ : 89.78% (38.95 to 99.26%)	VERY LOW ^{1,2,4}	Pooled ⁵ : 49.25% (6.6 to 92.34%)	VERY LOW ^{1,2,4}

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Index Test (Threshold)	Number of studies	N	Sensitivity % (95% CI)	Quality	Specificity % (95% CI)	Quality
				due to risk of bias, inconsistency and very serious imprecision		due to risk of bias, inconsistency and very serious imprecision

- (1) Risk of bias was assessed using the QUADAS-2 checklist. The evidence was downgraded by 1 increment if the majority of studies were rated at high risk of bias and downgraded by 2 increments if the majority of studies were rated at very high risk of bias.
- (2) Inconsistency was assessed by inspection of the sensitivity and specificity plots. The evidence was downgraded by 1 increment if the individual studies varied across 2 areas [(for example, 50–90% and 90–100%)] and by 2 increments if the individual studies varied across 3 areas [(for example, 0–50%, 50–90% and 90–100%)]. Subgroup analysis by BMI and coexisting conditions could not be conducted because there was no sufficient information to conduct subgroup analysis.
- (3) Indirectness was assessed using the QUADAS-2 checklist items referring to applicability. The evidence was downgraded by 1 increment if the majority of studies were seriously indirect, and downgraded by 2 increments if the majority of studies are very seriously indirect
- (4) Imprecision was assessed based on inspection of the confidence region in the diagnostic meta-analysis or, where diagnostic meta-analysis has not been conducted, assessed according to the range of confidence intervals in the individual studies. Two clinical decision thresholds were determined at the value above which a test would be recommended (90%), and a second below which a test would be considered of no clinical use (60%). The evidence was downgraded by 1 increment when the range of the confidence interval around the point estimate crossed one threshold, and downgraded by 2 increments when the range covered two thresholds
- (5) Pooled sensitivity/specificity from diagnostic meta-analysis

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1 1.5 Economic evidence

21.5.1 Included studies

3 No health economic studies were included.

41.5.2 Excluded studies

5 No relevant health economic studies were excluded due to assessment of limited
6 applicability or methodological limitations.

7 See also the health economic study selection flow chart in appendix F.

81.5.3 Health economic modelling

9 Original modelling was not conducted for this question.
10

111.5.4 Health economic evidence statements

12 No evidence was found

13 1.6 The committee's discussion of the evidence

141.6.1 Interpreting the evidence

15.6.1.1 The diagnostic measures that matter most

16 Questionnaires

17 The committee reviewed the evidence on sensitivity and specificity of the various
18 questionnaires and tests; sensitivity as a screening measure was considered most important.

19.6.1.2 The quality of the evidence

20 OSAHS

21 Questionnaires

22 There was evidence from ten diagnostic accuracy studies in people with suspected OSAHS;
23 four studies assessed the accuracy of the Berlin questionnaire, three studies assessed the
24 accuracy of the Epworth Sleepiness Scale, seven studies assessed the accuracy of the
25 STOP BANG questionnaire, one study assessed the accuracy of a combination of STOP
26 BANG and Epworth. Some studies assessed more than one questionnaire. Studies varied in
27 size however most of the studies consisted of medium to large size populations ranging from
28 60 to 354 participants and two studies included large populations of 2112 and 7377
29 participants respectively.

30 There was also one diagnostic accuracy study in people with suspected OSAHS and with
31 Down syndrome, with the diagnostic accuracy of the Stop Bang questionnaire assessed in
32 60 patients. This study was analysed separately because patients with Down's syndrome
33 tend to have higher incidence of OSAHS compared to the general population.

34 No test and treat studies (RCTs) were identified.

1 The quality of the evidence varied from moderate to very low quality; the majority of evidence
2 was downgraded due to risk of bias, imprecision and inconsistency. Risk of bias was most
3 commonly due to selection bias. The committee also acknowledged that some uncertainty
4 existed across the effect sizes seen within the evidence, with some confidence intervals
5 crossing the MID thresholds or line of no effect. Inconsistency was found in majority of
6 comparisons (Berlin questionnaire, Epworth sleepiness scale and STOP BANG
7 questionnaires). For inconsistency the evidence was downgraded by 1 increment if the
8 individual studies varied across 2 areas [(for example, 50–90% and 90–100%)] and by 2
9 increments if the individual studies varied across 3 areas [(for example, 0–50%, 50–90% and
10 90–100%)] . Subgroup analysis was conducted for BMI and coexisting conditions. Subgroup
11 analysis by BMI did not explain heterogeneity. Subgroup analysis by coexisting conditions
12 could not be conducted because there was no sufficient information to conduct a subgroup
13 analysis

14 OHS

15 No evidence was identified for people with suspected OHS.

16 COPD-OSAHS overlap syndrome

17 Questionnaires

18 There was evidence from two diagnostic accuracy studies in people with suspected COPD-
19 OSAHS overlap syndrome: one study assessed 3 questionnaires (Epworth Sleepiness scale,
20 Berlin questionnaire and STOP-BANG questionnaire) and another study assessed 2
21 questionnaires (Berlin questionnaire and STOP-BANG questionnaire). The studies included
22 116 and 431 participants respectively.

23 No test and treat studies (RCTs) were identified.

24 The quality of the evidence varied from moderate to very low quality; the majority of evidence
25 was downgraded due to risk of bias, imprecision and inconsistency. Risk of bias was most
26 commonly due to selection bias. The committee also acknowledged that some uncertainty
27 existed across the effect sizes seen within the evidence, with some confidence intervals
28 crossing the MID thresholds or line of no effect. Inconsistency was commonly due to overlap
29 between studies and were downgraded by one increment if the individual study values
30 varied across 2 areas: where values of individual studies are both above and below 50%, or
31 both above and below 90% and downgraded by 2 increments if the individual study values
32 varied across 3 areas, where values of individual studies are above and below 50%, and also
33 above and below 90%. Subgroup analysis by BMI and coexisting conditions could not be
34 conducted because there was no sufficient information to conduct subgroup analysis.

35.6.1.3 Benefits and harms

36 Questionnaires

37 OSAHS

38 The Epworth sleepiness scale is intended to assess for sleepiness rather than to diagnose
39 OSAHS, and the limited evidence reflected this, showing that it performed poorly both for
40 sensitivity and specificity in diagnosing OSAHS. The committee noted that some people with
41 OSAHS do not have excessive sleepiness and that not all healthcare professionals are
42 aware of this. However, the committee agreed that it has a useful role in assessment and in
43 monitoring, and noted that it is part of the information required by the DVLA from medical
44 professionals in assessing licencing in drivers with moderate and severe OSAHS once their
45 condition is controlled (see also evidence report L on monitoring). They therefore agreed
46 that it should be used, but not as the sole means of assessing the presence of OSAHS or as
47 the sole basis for referral.

1 The committee wanted to emphasise that the Epworth sleepiness scale should not be used
 2 as a gateway for further diagnostic assessment as it has low sensitivity and specificity.
 3 However, assessment of sleepiness is vital for determining treatment and assessing
 4 response to treatment and the Epworth sleepiness scale is the standard tool for doing so.

5 Limited evidence showed that the STOP-Bang questionnaire has high sensitivity and low
 6 specificity for diagnosing OSAHS in both general population and in patients with Down's
 7 syndrome. Sensitivity is a priority for questionnaires because they are used only for initial
 8 assessment., The committee had some concerns about the accuracy of STOP-Bang
 9 questionnaire in people with less common presentations and in women. The committee from
 10 their experience noted that females snore less than males and their symptoms may also be
 11 different for example more sleep disturbance and less sleepiness so conventional tools
 12 which rely on former may not be as accurate. The committee agreed that it could have a role
 13 in assessment, alongside the Epworth sleepiness scale, for preliminary understanding of the
 14 persons' symptoms and concerns. Epworth sleepiness scale is used to assess only
 15 sleepiness whereas STOP-Bang questionnaire is used to assess risk of having OSAHS and
 16 includes parameters such as: snoring, tiredness, history of high blood pressure, BMI, age,
 17 neck size and gender. With this in mind the committee recommended using the Epworth
 18 questionnaire and to consider using the STOP-Bang questionnaire.

19 The committee did not want to make a specific recommendation for people with Down's
 20 syndrome, as the evidence was based on one small very low-quality study.

21 The committee agreed that the recommended questionnaires are widely used in current
 22 practice, so the recommendations are not expected to involve a change in practice.

23 **OHS**

24 The committee noted the lack of evidence for assessment scales in OHS and decided to
 25 make consensus recommendations based on experience and current practice.

26 The committee agreed that the Epworth sleepiness scale to assess sleepiness has a useful
 27 role in monitoring and assessment of sleepiness in people with OHS. However, it was noted
 28 that not all people with OHS have excessive sleepiness and that healthcare professionals
 29 may not always be aware of this. Therefore, the committee recommended to use Epworth
 30 sleepiness scale for preliminary assessment of sleepiness and not to use it as the sole basis
 31 to determine if referral is needed because not all people with OHS have excessive
 32 sleepiness.

33 The committee agreed that the Epworth sleepiness scale is widely used in current practice,
 34 so the recommendations are not expected to involve a change in practice.

35 As the committee made a strong recommendation for Epworth sleepiness scale, they did not
 36 want to make any research recommendation for this questionnaire.

37 The evidence for STOP-Bang questionnaire was limited to OSAHS only and there was no
 38 validation for its use in OHS. The committee agreed that the STOP-Bang questionnaire is not
 39 used in practice for OHS and therefore the committee did not make a recommendation or a
 40 research recommendation for this.

41 **COPD-OSAHS overlap syndrome**

42 Evidence from one study showed that Epworth sleepiness scale had moderate sensitivity
 43 and low specificity for diagnosing COPD-OSAHS overlap syndrome. Due to limited evidence,
 44 the committee also used their experience and knowledge of current practice to make the
 45 recommendations. The committee agreed that the Epworth sleepiness scale has a useful
 46 role in monitoring and preliminary assessment of sleepiness in COPD-OSAHS overlap
 47 syndrome. However, it was noted that not all people with COPD-OSAHS overlap syndrome
 48 have excessive sleepiness and that healthcare professionals may not always be aware of

1 this. However, the committee agreed that the it has a useful role in assessment and in
2 monitoring, and noted that it is part of the DVLA requirements for drivers with suspected
3 OSAHS (which will include those with COPD-OSAHS overlap syndrome) to be assessed with
4 the Epworth sleepiness scale. With this in mind the committee recommended to use Epworth
5 sleepiness scale for preliminary assessment of sleepiness and not to use it as the sole basis
6 determine if referral to a sleep service is needed as not all people with COPD-OSAHS
7 syndrome have excessive sleepiness.

8 Limited evidence showed that STOP-Bang questionnaire had high sensitivity and low
9 specificity for diagnosing COPD-OSAHS overlap syndrome. Sensitivity is a priority for
10 questionnaires used for initial assessment, however the committee had some concerns
11 about its accuracy in people with less common presentations and in women. The committee
12 from their experience noted that females snore less than males and their symptoms may also
13 be different for example more sleep disturbance and less sleepiness, so conventional tools
14 which rely on former may not be as accurate. The committee agreed that it could have a role
15 in assessment, alongside the Epworth sleepiness scale, at referral for preliminary
16 understanding of the persons' symptoms and concerns. Epworth questionnaire is used to
17 assess only sleepiness whereas STOP-Bang questionnaire is used to assess risk of having
18 OSAHS and includes parameters such as: snoring, tiredness, history of high blood pressure,
19 BMI, age, neck size and gender. With this in mind the committee recommended using the
20 Epworth questionnaire and to consider using the STOP-Bang questionnaire.

21 The committee from their experience discussed that spirometry is routinely measured in
22 clinical practice to assess the severity of COPD and aids the understanding of the relative
23 contribution of COPD and OSAHS to symptom load and pathophysiology. With this in mind
24 the committee recommended offering spirometry to assess the severity of COPD in people
25 with suspected COPD-OSAHS overlap syndrome and cross-referred to the
26 recommendations on spirometry in the NICE guideline on Chronic obstructive pulmonary
27 disease in over 16s: diagnosis and management.

28 The committee agreed that the Epworth sleepiness scale and STOP-Bang questionnaire are
29 widely used in current practice, so the recommendations are not expected to involve a
30 change in practice. Spirometry is routinely used in the assessment of COPD patients, so the
31 recommendations are not expected to involve a change in practice.

32 As the committee made strong recommendations for Epworth sleepiness scale and STOP-
33 Bang questionnaire, they did not want to make any research recommendations for these
34 questionnaires.

351.6.2 Cost effectiveness and resource use

36 There were no economic evaluations identified for this review question.

37 The STOP-Bang score and Epworth Sleepiness Scale (ESS) are commonly used in the
38 assessment of OSAHS. The committee did not feel that completing both questionnaires
39 would result in increased resource use (staff time), as both are short in length and, in their
40 absence, similar questions would be asked by the clinician, which would take the same
41 length of time or longer.

42 As the recommended questionnaires are widely used in current practice, the committee was
43 of the view that their recommendation would not result in increased expenditure for the NHS.

44 Finally, the committee made weak consensus recommendations for the OHS/COPD-OSAHS
45 overlap syndrome population based on their clinical expertise and current practice as there
46 was no clinical or economic evidence available to steer recommendations.

47

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Appendices

Appendix A: Review protocols

Table 6: Review protocol diagnosis of obstructive sleep apnoea/hypopnoea syndrome, obesity hypoventilation syndrome and COPD-OSAHS overlap syndrome

Field	Content
PROSPERO registration number	Not registered
Review title	Assessment scales
Review question	What assessment scales should be used if obstructive sleep apnoea/hypopnoea syndrome, obesity hypoventilation syndrome or COPD-OSAHS overlap syndrome is suspected (for example, the Epworth sleepiness scale, STOP-Bang sleep apnoea questionnaire or Berlin questionnaire)?
Objective	To determine which assessment scales are most useful in identifying possible cases of obstructive sleep apnoea/hypopnoea syndrome, obesity hypoventilation syndrome or COPD-OSAHS overlap syndrome.
Searches	<p>The following databases (from inception) will be searched:</p> <ul style="list-style-type: none"> • Cochrane Central Register of Controlled Trials (CENTRAL) • Cochrane Database of Systematic Reviews (CDSR) • Embase • MEDLINE • Epistemonikos <p>Searches will be restricted by:</p> <ul style="list-style-type: none"> • English language studies <p>The searches may be re-run 6 weeks before the final committee meeting and further studies retrieved for inclusion if relevant.</p> <p>The full search strategies will be published in the final review.</p>
Condition or domain being studied	Obstructive sleep apnoea/hypopnoea syndrome is the most common form of sleep disordered breathing. The guideline will also cover obesity hypoventilation syndrome and COPD-OSAHS overlap syndrome (the coexistence of obstructive sleep apnoea/hypopnoea syndrome and chronic obstructive pulmonary disease).
Population	<p>Inclusion:</p> <p>People in whom OSAHS/OHS/COPD-OSAHS overlap syndrome is suspected based on symptoms or co-existing conditions</p> <p>Population will be stratified by:</p> <p>Suspicion of OSAHS vs OHS vs COPD-OSAHS overlap syndrome</p>
Intervention/Exposure/Test	<ul style="list-style-type: none"> • Epworth sleepiness scale • STOP-BANG questionnaire • Berlin questionnaire

	For test and treat studies, negative test results must receive no OSAHS/OHS/overlap syndrome treatment and positive test results should receive some form of OSAHS/OHS/COPD-OSAHS overlap syndrome (including CPAP, surgery, mandibular devices – directness to be assessed against results of intervention reviews elsewhere in the guideline).
Comparator/Reference standard/Confounding factors	<p>Accuracy</p> <p>For diagnosis of OSAHS reference standard will be AHI/RDI/ODI >5 by hospital polysomnography</p> <p>For diagnosis of OHS reference standard will be hypercapnia on arterial/capillary blood gases</p> <p>Test and treat</p> <p>Any testing strategy compared with any other including the reference standards listed above</p>
Types of study to be included	<p>Single gate cross-sectional study designs will be included in the accuracy review. Two gate study designs will be excluded from the accuracy review</p> <p>RCTs will be prioritised for test and treat comparisons, if insufficient RCTs are found, non-randomised studies will be considered if they adjust for key confounders (age, BMI, co-existing conditions).</p>
Other exclusion criteria	None
Context	NA
Primary outcomes (critical outcomes)	<p>Accuracy outcomes:</p> <ul style="list-style-type: none"> • Sensitivity • Specificity • PPV • NPV <p>Test and treat outcomes:</p> <ul style="list-style-type: none"> • Mortality (dichotomous) • Generic or disease specific quality of life (continuous)
Secondary outcomes (important outcomes)	<p>Test and treat outcomes:</p> <ul style="list-style-type: none"> • Sleepiness scores (continuous, e.g. Epworth) • Apnoea-Hypopnoea index or respiratory disturbance index (continuous) • Oxygen desaturation index (continuous) • Healthcare resource use (rates/dichotomous) • Impact on co-existing conditions: <ul style="list-style-type: none"> ○ HbA1c for diabetes (continuous) ○ Cardiovascular events for cardiovascular disease (dichotomous) ○ Systolic blood pressure for hypertension (continuous)

<p>Data extraction (selection and coding)</p>	<p>EndNote will be used for reference management, sifting, citations and bibliographies. All references identified by the searches and from other sources will be screened for inclusion. 10% of the abstracts will be reviewed by two reviewers, with any disagreements resolved by discussion or, if necessary, a third independent reviewer. The full text of potentially eligible studies will be retrieved and will be assessed in line with the criteria outlined above.</p> <p>A standardised form will be used to extract data from studies (see Developing NICE guidelines: the manual section 6.4).</p>														
<p>Risk of bias (quality) assessment</p>	<p>Risk of bias will be assessed using the appropriate checklist as described in Developing NICE guidelines: the manual.</p> <ul style="list-style-type: none"> • Diagnostic test accuracy studies: QUADAS-2 • Standard RCT checklists will be used to critically appraise individual studies for the test and treat evidence. <p>10% of all evidence reviews are quality assured by a senior research fellow. This includes checking:</p> <ul style="list-style-type: none"> • papers were included /excluded appropriately • a sample of the data extractions • correct methods are used to synthesise data • a sample of the risk of bias assessments <p>Disagreements between the review authors over the risk of bias in particular studies will be resolved by discussion, with involvement of a third review author where necessary.</p>														
<p>Strategy for data synthesis</p>	<p>RevMan will be used for production of paired forest plots and pairwise meta-analysis of test and treat outcomes.</p> <p>WinBUGS will be used for meta-analysis of diagnostic accuracy studies.</p> <p>GRADEpro will be used to assess the quality of evidence for each test and treat outcome.</p> <p>For test and treat studies</p> <p>Heterogeneity between the studies in effect measures will be assessed using the I² statistic and visually inspected. An I² value greater than 50% will be considered indicative of substantial heterogeneity. Sensitivity analyses will be conducted based on pre-specified subgroups using stratified meta-analysis to explore the heterogeneity in effect estimates. If this does not explain the heterogeneity, the results will be presented pooled using random-effects.</p>														
<p>Analysis of sub-groups</p>	<p>Subgroups that will be investigated if heterogeneity is present:</p> <ul style="list-style-type: none"> • BMI – obese vs non-obese • Co-existing conditions vs no co-existing conditions 														
<p>Type and method of review</p>	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50px; text-align: center;"><input type="checkbox"/></td> <td>Intervention</td> </tr> <tr> <td style="text-align: center;"><input checked="" type="checkbox"/></td> <td>Diagnostic</td> </tr> <tr> <td style="text-align: center;"><input type="checkbox"/></td> <td>Prognostic</td> </tr> <tr> <td style="text-align: center;"><input type="checkbox"/></td> <td>Qualitative</td> </tr> <tr> <td style="text-align: center;"><input type="checkbox"/></td> <td>Epidemiologic</td> </tr> <tr> <td style="text-align: center;"><input type="checkbox"/></td> <td>Service Delivery</td> </tr> <tr> <td style="text-align: center;"><input type="checkbox"/></td> <td>Other (please specify)</td> </tr> </table>	<input type="checkbox"/>	Intervention	<input checked="" type="checkbox"/>	Diagnostic	<input type="checkbox"/>	Prognostic	<input type="checkbox"/>	Qualitative	<input type="checkbox"/>	Epidemiologic	<input type="checkbox"/>	Service Delivery	<input type="checkbox"/>	Other (please specify)
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OSAHS: DRAFT FOR CONSULTATION

Assessment scales for suspected obstructive sleep apnoea/hypopnoea syndrome, obesity hypoventilation syndrome or COPD-OSAHS overlap syndrome

Language	English
Country	England
Anticipated or actual start date	NA
Anticipated completion date	NA
Named contact	<p>5a. Named contact</p> <p>National Guideline Centre</p> <p>5b Named contact e-mail</p> <p>SleepApnoHypo@nice.org.uk</p> <p>5e Organisational affiliation of the review</p> <p>National Institute for Health and Care Excellence (NICE) and the National Guideline Centre</p>
Review team members	<p>From the National Guideline Centre:</p> <p>Carlos Sharpin, Guideline lead</p> <p>Sharangini Rajesh, Senior systematic reviewer</p> <p>Audrius Stonkus, Systematic reviewer</p> <p>Emtiyaz Chowdhury (until January 2020), Health economist</p> <p>David Wonderling, Head of health economics</p> <p>Agnes Cuyas, Information specialist (till December 2019)</p> <p>Jill Cobb, , Information specialist</p>
Funding sources/sponsor	This systematic review is being completed by the National Guideline Centre which receives funding from NICE.
Conflicts of interest	All guideline committee members and anyone who has direct input into NICE guidelines (including the evidence review team and expert witnesses) must declare any potential conflicts of interest in line with NICE's code of practice for declaring and dealing with conflicts of interest. Any relevant interests, or changes to interests, will also be declared publicly at the start of each guideline committee meeting. Before each meeting, any potential conflicts of interest will be considered by the guideline committee Chair and a senior member of the development team. Any decisions to exclude a person from all or part of a meeting will be documented. Any changes to a member's declaration of interests will be recorded in the minutes of the meeting. Declarations of interests will be published with the final guideline.
Collaborators	Development of this systematic review will be overseen by an advisory committee who will use the review to inform the development of evidence-based recommendations in line with section 3 of Developing NICE guidelines: the manual . Members of the guideline committee are available on the NICE website: https://www.nice.org.uk/guidance/indevelopment/gid-ng10098
Other registration details	NA – not registered
Reference/URL for published protocol	NA – not registered

Dissemination plans	NICE may use a range of different methods to raise awareness of the guideline. These include standard approaches such as: <ul style="list-style-type: none"> • notifying registered stakeholders of publication • publicising the guideline through NICE's newsletter and alerts • issuing a press release or briefing as appropriate, posting news articles on the NICE website, using social media channels, and publicising the guideline within NICE.
Keywords	-
Details of existing review of same topic by same authors	NA
Additional information	-
Details of final publication	www.nice.org.uk

1

Table 7: Health economic review protocol

Review question	All questions – health economic evidence
Objectives	To identify health economic studies relevant to any of the review questions.
Search criteria	<ul style="list-style-type: none"> • Populations, interventions and comparators must be as specified in the clinical review protocol above. • Studies must be of a relevant health economic study design (cost–utility analysis, cost-effectiveness analysis, cost–benefit analysis, cost–consequences analysis, comparative cost analysis). • Studies must not be a letter, editorial or commentary, or a review of health economic evaluations. (Recent reviews will be ordered although not reviewed. The bibliographies will be checked for relevant studies, which will then be ordered.) • Unpublished reports will not be considered unless submitted as part of a call for evidence. • Studies must be in English.
Search strategy	A health economic study search will be undertaken using population-specific terms and a health economic study filter – see appendix B below.
Review strategy	<p>Studies not meeting any of the search criteria above will be excluded. Studies published before 2003, abstract-only studies and studies from non-OECD countries or the USA will also be excluded.</p> <p>Each remaining study will be assessed for applicability and methodological limitations using the NICE economic evaluation checklist which can be found in appendix H of Developing NICE guidelines: the manual (2014).³⁵³</p> <p>Inclusion and exclusion criteria</p> <ul style="list-style-type: none"> • If a study is rated as both ‘Directly applicable’ and with ‘Minor limitations’ then it will be included in the guideline. A health economic evidence table will be completed and it will be included in the health economic evidence profile. • If a study is rated as either ‘Not applicable’ or with ‘Very serious limitations’ then it will usually be excluded from the guideline. If it is excluded, then a health economic evidence table will not be completed and it will not be included in the health economic evidence profile.

- If a study is rated as 'Partially applicable', with 'Potentially serious limitations' or both then there is discretion over whether it should be included.

Where there is discretion

The health economist will make a decision based on the relative applicability and quality of the available evidence for that question, in discussion with the guideline committee if required. The ultimate aim is to include health economic studies that are helpful for decision-making in the context of the guideline and the current NHS setting. If several studies are considered of sufficiently high applicability and methodological quality that they could all be included, then the health economist, in discussion with the committee if required, may decide to include only the most applicable studies and to selectively exclude the remaining studies. All studies excluded on the basis of applicability or methodological limitations will be listed with explanation in the excluded health economic studies appendix below.

The health economist will be guided by the following hierarchies.

Setting:

- UK NHS (most applicable).
- OECD countries with predominantly public health insurance systems (for example, France, Germany, Sweden).
- OECD countries with predominantly private health insurance systems (for example, Switzerland).
- Studies set in non-OECD countries or in the USA will be excluded before being assessed for applicability and methodological limitations.

Health economic study type:

- Cost–utility analysis (most applicable).
- Other type of full economic evaluation (cost–benefit analysis, cost-effectiveness analysis, cost–consequences analysis).
- Comparative cost analysis.
- Non-comparative cost analyses including cost-of-illness studies will be excluded before being assessed for applicability and methodological limitations.

Year of analysis:

- The more recent the study, the more applicable it will be.
- Studies published in 2003 or later but that depend on unit costs and resource data entirely or predominantly from before 2003 will be rated as 'Not applicable'.
- Studies published before 2003 will be excluded before being assessed for applicability and methodological limitations.

Quality and relevance of effectiveness data used in the health economic analysis:

- The more closely the clinical effectiveness data used in the health economic analysis match with the outcomes of the studies included in the clinical review the more useful the analysis will be for decision-making in the guideline.

1

Appendix B: Literature search strategies

1 **Sleep Apnoea search strategy 3 diagnostic tests/assessment**

2 This literature search strategy was used for the following reviews;

- 3 • What assessment scales should be used if obstructive sleep apnoea/hypopnoea
4 syndrome, obesity hypoventilation syndrome or COPD-OSAHS overlap syndrome is
5 suspected (for example, the Epworth sleepiness scale, STOP-Bang sleep apnoea
6 questionnaire or Berlin questionnaire)?

7 The literature searches for this review are detailed below and complied with the methodology
8 outlined in Developing NICE guidelines: the manual.³⁵³

9 For more information, please see the Methods Report published as part of the accompanying
10 documents for this guideline.

11 **B.1 Clinical search literature search strategy**

12 Searches were constructed using a PICO framework where population (P) terms were
13 combined with Intervention (I) and in some cases Comparison (C) terms. Outcomes (O) are
14 rarely used in search strategies for interventions as these concepts may not be well
15 described in title, abstract or indexes and therefore difficult to retrieve. Search filters were
16 applied to the search where appropriate.

17 **Table 8: Database date parameters and filters used**

Database	Dates searched	Search filter used
Medline (OVID)	1946 – 6 July 2020	Exclusions Randomised controlled trials Systematic review studies Observational studies Diagnostic tests studies
Embase (OVID)	1974 – 6 July 2020	Exclusions Randomised controlled trials Systematic review studies Observational studies Diagnostic tests studies
The Cochrane Library (Wiley)	Cochrane Reviews to 2020 Issue 7 of 12 CENTRAL to 2020 Issue 7 of 12	None
Epistemonikos (Epistemonikos Foundation)	Inception – 29 November 2018	None

18 **Medline (Ovid) search terms**

1.	exp Sleep Apnea Syndromes/
2.	(sleep* adj4 (apn?ea* or hypopn?ea*)).ti,ab.
3.	(sleep* adj4 disorder* adj4 breath*).ti,ab.
4.	(OSAHS or OSA or OSAS).ti,ab.
5.	(obes* adj3 hypoventil*).ti,ab.
6.	pickwick*.ti,ab.
7.	or/1-6

OSAHs: DRAFT FOR CONSULTATION

Assessment scales for suspected obstructive sleep apnoea/hypopnoea syndrome, obesity hypoventilation syndrome or COPD-OSAHs overlap syndrome

8.	letter/
9.	editorial/
10.	news/
11.	exp historical article/
12.	Anecdotes as Topic/
13.	comment/
14.	case report/
15.	(letter or comment*).ti.
16.	or/8-15
17.	randomized controlled trial/ or random*.ti,ab.
18.	16 not 17
19.	animals/ not humans/
20.	exp Animals, Laboratory/
21.	exp Animal Experimentation/
22.	exp Models, Animal/
23.	exp Rodentia/
24.	(rat or rats or mouse or mice).ti.
25.	or/18-24
26.	7 not 25
27.	limit 26 to English language
28.	(exp child/ or exp pediatrics/ or exp infant/) not (exp adolescent/ or exp adult/ or exp middle age/ or exp aged/)
29.	27 not 28
30.	(Epworth or ESS or ESS-CHAD).ti,ab.
31.	(STOP-bang or stopbang or "snoring tired observed pressure").ti,ab.
32.	((sleep* or Berlin or STOP*) adj3 (questionair* or questionair*)).ti,ab.
33.	((score* or scoring or stratif* or assess*) adj3 (system* or schem*)).ti,ab.
34.	exp Oximetry/
35.	(oxymet* or oximet*).ti,ab.
36.	Capnography/
37.	capnogra*.ti,ab.
38.	(oxi-capnogra* or oxicapnogra* or oxy-capnogra* or oxycapnogra*).ti,ab.
39.	POLYSOMNOGRAPHY/
40.	(polysomnogra* or PSG).ti,ab.
41.	(polygraph* or HRP).ti,ab.
42.	ACTIGRAPHY/
43.	actigraph.ti,ab.
44.	(venous adj3 bicarbonat*).ti,ab.
45.	or/30-44
46.	29 and 45
47.	randomized controlled trial.pt.
48.	controlled clinical trial.pt.
49.	randomi#ed.ti,ab.
50.	placebo.ab.
51.	randomly.ti,ab.

52.	Clinical Trials as topic.sh.
53.	trial.ti.
54.	or/47-53
55.	Meta-Analysis/
56.	exp Meta-Analysis as Topic/
57.	(meta analy* or metanaly* or metaanaly* or meta regression).ti,ab.
58.	((systematic* or evidence*) adj3 (review* or overview*)).ti,ab.
59.	(reference list* or bibliograph* or hand search* or manual search* or relevant journals).ab.
60.	(search strategy or search criteria or systematic search or study selection or data extraction).ab.
61.	(search* adj4 literature).ab.
62.	(medline or pubmed or cochrane or embase or psychlit or psyclit or psychinfo or psycinfo or cinahl or science citation index or bids or cancerlit).ab.
63.	cochrane.jw.
64.	((multiple treatment* or indirect or mixed) adj2 comparison*).ti,ab.
65.	or/55-64
66.	exp "sensitivity and specificity"/
67.	(sensitivity or specificity).ti,ab.
68.	((pre test or pretest or post test) adj probability).ti,ab.
69.	(predictive value* or PPV or NPV).ti,ab.
70.	likelihood ratio*.ti,ab.
71.	likelihood function/
72.	((area under adj4 curve) or AUC).ti,ab.
73.	(receive* operat* characteristic* or receive* operat* curve* or ROC curve*).ti,ab.
74.	(diagnos* adj3 (performance* or accurac* or utilit* or value* or efficien* or effectiveness)).ti,ab.
75.	gold standard.ab.
76.	or/66-75
77.	Epidemiologic studies/
78.	Observational study/
79.	exp Cohort studies/
80.	(cohort adj (study or studies or analys* or data)).ti,ab.
81.	((follow up or observational or uncontrolled or non randomi#ed or epidemiologic*) adj (study or studies or data)).ti,ab.
82.	((longitudinal or retrospective or prospective or cross sectional) and (study or studies or review or analys* or cohort* or data)).ti,ab.
83.	Controlled Before-After Studies/
84.	Historically Controlled Study/
85.	Interrupted Time Series Analysis/
86.	(before adj2 after adj2 (study or studies or data)).ti,ab.
87.	exp case control studies/
88.	case control*.ti,ab.
89.	Cross-sectional studies/
90.	(cross sectional and (study or studies or review or analys* or cohort* or data)).ti,ab.
91.	or/77-90

92.	46 and (54 or 65 or 76 or 91)
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1

Embase (Ovid) search terms

1.	exp Sleep Disordered Breathing/
2.	(sleep* adj4 (apn?ea* or hypopn?ea*)).ti,ab.
3.	(sleep* adj4 disorder* adj4 breath*).ti,ab.
4.	(OSAHs or OSA or OSAS).ti,ab.
5.	(obes* adj3 hypoventil*).ti,ab.
6.	pickwick*.ti,ab.
7.	or/1-6
8.	letter.pt. or letter/
9.	note.pt.
10.	editorial.pt.
11.	case report/ or case study/
12.	(letter or comment*).ti.
13.	or/8-12
14.	randomized controlled trial/ or random*.ti,ab.
15.	13 not 14
16.	animal/ not human/
17.	nonhuman/
18.	exp Animal Experiment/
19.	exp Experimental Animal/
20.	animal model/
21.	exp Rodent/
22.	(rat or rats or mouse or mice).ti.
23.	or/15-22
24.	7 not 23
25.	limit 24 to English language
26.	(exp child/ or exp pediatrics/) not (exp adult/ or exp adolescent/)
27.	25 not 26
28.	(Epworth or ESS or ESS-CHAD).ti,ab.
29.	(STOP-bang or stopbang or "snoring tired observed pressure").ti,ab.
30.	((sleep* or Berlin or STOP*) adj3 (questionair* or questionair*)).ti,ab.
31.	((score* or scoring or stratif* or assess*) adj3 (system* or schem*)).ti,ab.
32.	oximetry/ or transcutaneous oxygen monitoring/
33.	(oxymet* or oximet*).ti,ab.
34.	capnometry/
35.	capnogra*.ti,ab.
36.	(oxi-capnogra* or oxicapnogra* or oxy-capnogra* or oxycapnogra*).ti,ab.
37.	polysomnography/
38.	(polysomnogra* or PSG).ti,ab.
39.	(polygraph* or HRP).ti,ab.
40.	actimetry/
41.	actigraph.ti,ab.
42.	(venous adj3 bicarbonat*).ti,ab.

OSAHs: DRAFT FOR CONSULTATION

Assessment scales for suspected obstructive sleep apnoea/hypopnoea syndrome, obesity hypoventilation syndrome or COPD-OSAHs overlap syndrome

43.	or/28-42
44.	27 and 43
45.	random*.ti,ab.
46.	factorial*.ti,ab.
47.	(crossover* or cross over*).ti,ab.
48.	((doubl* or singl*) adj blind*).ti,ab.
49.	(assign* or allocat* or volunteer* or placebo*).ti,ab.
50.	crossover procedure/
51.	single blind procedure/
52.	randomized controlled trial/
53.	double blind procedure/
54.	or/45-53
55.	systematic review/
56.	meta-analysis/
57.	(meta analy* or metanaly* or metaanaly* or meta regression).ti,ab.
58.	((systematic* or evidence*) adj3 (review* or overview*)).ti,ab.
59.	(reference list* or bibliograph* or hand search* or manual search* or relevant journals).ab.
60.	(search strategy or search criteria or systematic search or study selection or data extraction).ab.
61.	(search* adj4 literature).ab.
62.	(medline or pubmed or cochrane or embase or psychlit or psyclit or psychinfo or psycinfo or cinahl or science citation index or bids or cancerlit).ab.
63.	cochrane.jw.
64.	((multiple treatment* or indirect or mixed) adj2 comparison*).ti,ab.
65.	or/55-64
66.	exp "sensitivity and specificity"/
67.	(sensitivity or specificity).ti,ab.
68.	((pre test or pretest or post test) adj probability).ti,ab.
69.	(predictive value* or PPV or NPV).ti,ab.
70.	likelihood ratio*.ti,ab.
71.	((area under adj4 curve) or AUC).ti,ab.
72.	(receive* operat* characteristic* or receive* operat* curve* or ROC curve*).ti,ab.
73.	(diagnos* adj3 (performance* or accurac* or utilit* or value* or efficien* or effectiveness)).ti,ab.
74.	diagnostic accuracy/
75.	diagnostic test accuracy study/
76.	gold standard.ab.
77.	or/66-76
78.	Clinical study/
79.	Observational study/
80.	family study/
81.	longitudinal study/
82.	retrospective study/
83.	prospective study/
84.	cohort analysis/

85.	follow-up/
86.	cohort*.ti,ab.
87.	85 and 86
88.	(cohort adj (study or studies or analys* or data)).ti,ab.
89.	((follow up or observational or uncontrolled or non randomi#ed or epidemiologic*) adj (study or studies or data)).ti,ab.
90.	((longitudinal or retrospective or prospective or cross sectional) and (study or studies or review or analys* or cohort* or data)).ti,ab.
91.	(before adj2 after adj2 (study or studies or data)).ti,ab.
92.	or/78-84,87-91
93.	exp case control study/
94.	case control*.ti,ab.
95.	cross-sectional study/
96.	(cross sectional and (study or studies or review or analys* or cohort* or data)).ti,ab.
97.	or/92-96
98.	44 and (54 or 65 or 77 or 97)

1

Cochrane Library (Wiley) search terms

#1.	MeSH descriptor: [Sleep Apnea Syndromes] explode all trees
#2.	(sleep* near/4 (apn?ea* or hypopn?ea*)):ti,ab
#3.	(sleep* near/4 disorder* near/4 breath*):ti,ab
#4.	(OSAHS or OSA or OSAS):ti,ab
#5.	(obes* near/3 hypoventil*):ti,ab
#6.	pickwick*:ti,ab
#7.	(OR #1-#6)
#8.	(Epworth or ESS or ESS-CHAD):ti,ab
#9.	(STOP-bang or stopbang or "snoring tired observed pressure"):ti,ab
#10.	((sleep* or Berlin or STOP*) near/3 (questionnair* or questionair*)):ti,ab
#11.	((score* or scoring or stratif* or assess*) near/3 (system* or schem*)):ti,ab
#12.	MeSH descriptor: [Oximetry] explode all trees
#13.	(oxymet* or oximet*):ti,ab
#14.	MeSH descriptor: [Capnography] this term only
#15.	capnogra*:ti,ab
#16.	(oxi-capnogra* or oxicapnogra* or oxy-capnogra* or oxycapnogra*):ti,ab
#17.	MeSH descriptor: [Polysomnography] this term only
#18.	(polysomnogra* or PSG):ti,ab
#19.	(polygraph* or HRP):ti,ab
#20.	MeSH descriptor: [Actigraphy] this term only
#21.	actigraph:ti,ab
#22.	(venous near/3 bicarbonat*):ti,ab
#23.	(OR #8-#22)
#24.	#7 and #23

2

Epistemonikos search terms

1.	((title:((sleep apnea syndromes) OR (sleep* AND (apn?ea* OR hypopn?ea*)) OR (sleep* AND (apn?ea* OR hypopn?ea*)) OR (sleep* AND (disorder* OR breath*)) OR (OSAHS OR OSA OR OSAS) OR (obes* AND hypoventil*) OR pickwick*) OR
----	---

abstract:((sleep apnea syndromes) OR (sleep* AND (apn?ea* OR hypopn?ea*)) OR (sleep* AND (apn?ea* OR hypopn?ea*)) OR (sleep* AND (disorder* OR breath*)) OR (OSAHS OR OSA OR OSAS) OR (obes* AND hypoventil*) OR pickwick*))
--

1 B.2 Health Economics literature search strategy

2 Health economic evidence was identified by conducting a broad search relating to sleep
 3 apnoea population in NHS Economic Evaluation Database (NHS EED – this ceased to be
 4 updated after March 2015) and the Health Technology Assessment database (HTA – this
 5 ceased to be updated after March 2018) with no date restrictions. NHS EED and HTA
 6 databases are hosted by the Centre for Research and Dissemination (CRD). Additional
 7 searches were run on Medline and Embase for health economics and quality of life studies.

8 B.2.1 Health economic studies strategy

9 **Table 9: Database date parameters and filters used**

Database	Dates searched	Search filter used
Medline	2014 – 6 July 2020	Exclusions Health economics studies
Embase	2014 – 6 July 2020	Exclusions Health economics studies
Centre for Research and Dissemination (CRD)	HTA - Inception – 31 March 2018 NHSEED - Inception to March 2015	None

10 Medline (Ovid) search terms

	exp Sleep Apnea Syndromes/
1.	(sleep* adj4 (apn?ea* or hypopn?ea*)).ti,ab.
2.	(sleep* adj4 disorder* adj4 breath*).ti,ab.
3.	(OSAHS or OSA or OSAS).ti,ab.
4.	(obes* adj3 hypoventil*).ti,ab.
5.	pickwick*.ti,ab.
6.	or/1-6
7.	limit 7 to English language
8.	letter/
9.	editorial/
10.	news/
11.	exp historical article/
12.	Anecdotes as Topic/
13.	comment/
14.	case report/
15.	(letter or comment*).ti.
16.	or/9-16
17.	randomized controlled trial/ or random*.ti,ab.
18.	17 not 18

19.	animals/ not humans/
20.	exp Animals, Laboratory/
21.	exp Animal Experimentation/
22.	exp Models, Animal/
23.	exp Rodentia/
24.	(rat or rats or mouse or mice).ti.
25.	or/19-25
26.	8 not 26
27.	Economics/
28.	Value of life/
29.	exp "Costs and Cost Analysis"/
30.	exp Economics, Hospital/
31.	exp Economics, Medical/
32.	Economics, Nursing/
33.	Economics, Pharmaceutical/
34.	exp "Fees and Charges"/
35.	exp Budgets/
36.	budget*.ti,ab.
37.	cost*.ti.
38.	(economic* or pharmaco?economic*).ti.
39.	(price* or pricing*).ti,ab.
40.	(cost* adj2 (effective* or utilit* or benefit* or minimi* or unit* or estimat* or variable*)).ab.
41.	(financ* or fee or fees).ti,ab.
42.	(value adj2 (money or monetary)).ti,ab.
43.	or/28-43
44.	27 and 44

1

Embase (Ovid) search terms

1.	exp Sleep Disordered Breathing/
2.	(sleep* adj4 (apn?ea* or hypopn?ea*)).ti,ab.
3.	(sleep* adj4 disorder* adj4 breath*).ti,ab.
4.	(OSAHs or OSA or OSAS).ti,ab.
5.	(obes* adj3 hypoventil*).ti,ab.
6.	pickwick*.ti,ab.
7.	or/1-6
8.	limit 7 to English language
9.	letter.pt. or letter/
10.	note.pt.
11.	editorial.pt.
12.	case report/ or case study/
13.	(letter or comment*).ti.
14.	or/9-13
15.	randomized controlled trial/ or random*.ti,ab.

16.	14 not 15
17.	animal/ not human/
18.	nonhuman/
19.	exp Animal Experiment/
20.	exp Experimental Animal/
21.	animal model/
22.	exp Rodent/
23.	(rat or rats or mouse or mice).ti.
24.	or/16-23
25.	8 not 24
26.	health economics/
27.	exp economic evaluation/
28.	exp health care cost/
29.	exp fee/
30.	budget/
31.	funding/
32.	budget*.ti,ab.
33.	cost*.ti.
34.	(economic* or pharmaco?economic*).ti.
35.	(price* or pricing*).ti,ab.
36.	(cost* adj2 (effective* or utilit* or benefit* or minimi* or unit* or estimat* or variable*)).ab.
37.	(financ* or fee or fees).ti,ab.
38.	(value adj2 (money or monetary)).ti,ab.
39.	or/26-38
40.	25 and 39

1 **NHS EED and HTA (CRD) search terms**

#1.	MeSH DESCRIPTOR Sleep Apnea Syndromes EXPLODE ALL TREES
#2.	(sleep* adj4 (apn?ea* or hypopn?ea*))
#3.	(sleep* adj4 disorder* adj4 breath*)
#4.	(OSAHS or OSA or OSAS)
#5.	(obes* adj3 hypoventil*)
#6.	(pickwick*)
#7.	#1 OR #2 OR #3 OR #4 OR #5 OR #6

2 **B.2.2 Quality of life studies strategy**

3 **Table 10: Database date parameters and filters used**

Database	Dates searched	Search filter used
Medline	1946 – 26 November 2019	Exclusions Quality of life studies
Embase	1974 – 26 November 2019	Exclusions Quality of life studies

4 **Medline (Ovid) search terms**

OSAHS: DRAFT FOR CONSULTATION

Assessment scales for suspected obstructive sleep apnoea/hypopnoea syndrome, obesity hypoventilation syndrome or COPD-OSAHS overlap syndrome

1.	exp Sleep Apnea Syndromes/
2.	(sleep* adj4 (apn?ea* or hypopn?ea*)).ti,ab.
3.	(sleep* adj4 disorder* adj4 breath*).ti,ab.
4.	(OSAHS or OSA or OSAS).ti,ab.
5.	(obes* adj3 hypoventil*).ti,ab.
6.	pickwick*.ti,ab.
7.	or/1-6
8.	limit 7 to English language
9.	letter/
10.	editorial/
11.	news/
12.	exp historical article/
13.	Anecdotes as Topic/
14.	comment/
15.	case report/
16.	(letter or comment*).ti.
17.	or/9-16
18.	randomized controlled trial/ or random*.ti,ab.
19.	17 not 18
20.	animals/ not humans/
21.	exp Animals, Laboratory/
22.	exp Animal Experimentation/
23.	exp Models, Animal/
24.	exp Rodentia/
25.	(rat or rats or mouse or mice).ti.
26.	or/19-25
27.	8 not 26
28.	quality-adjusted life years/
29.	sickness impact profile/
30.	(quality adj2 (wellbeing or well being)).ti,ab.
31.	sickness impact profile.ti,ab.
32.	disability adjusted life.ti,ab.
33.	(qal* or qtime* or qwb* or daly*).ti,ab.
34.	(euroqol* or eq5d* or eq 5*).ti,ab.
35.	(qol* or hqi* or hqol* or h qol* or hrqol* or hr qol*).ti,ab.
36.	(health utility* or utility score* or disutilit* or utility value*).ti,ab.
37.	(hui or hui1 or hui2 or hui3).ti,ab.
38.	(health* year* equivalent* or hye or hyes).ti,ab.
39.	discrete choice*.ti,ab.
40.	rosser.ti,ab.

41.	(willingness to pay or time tradeoff or time trade off or tto or standard gamble*).ti,ab.
42.	(sf36* or sf 36* or short form 36* or shortform 36* or shortform36*).ti,ab.
43.	(sf20 or sf 20 or short form 20 or shortform 20 or shortform20).ti,ab.
44.	(sf12* or sf 12* or short form 12* or shortform 12* or shortform12*).ti,ab.
45.	(sf8* or sf 8* or short form 8* or shortform 8* or shortform8*).ti,ab.
46.	(sf6* or sf 6* or short form 6* or shortform 6* or shortform6*).ti,ab.
47.	or/28-46
48.	27 and 47

1

Embase (Ovid) search terms

1.	exp Sleep Disordered Breathing/
2.	(sleep* adj4 (apn?ea* or hypopn?ea*)).ti,ab.
3.	(sleep* adj4 disorder* adj4 breath*).ti,ab.
4.	(OSAHS or OSA or OSAS).ti,ab.
5.	(obes* adj3 hypoventil*).ti,ab.
6.	pickwick*.ti,ab.
7.	or/1-6
8.	limit 7 to English language
9.	letter.pt. or letter/
10.	note.pt.
11.	editorial.pt.
12.	case report/ or case study/
13.	(letter or comment*).ti.
14.	or/9-13
15.	randomized controlled trial/ or random*.ti,ab.
16.	14 not 15
17.	animal/ not human/
18.	nonhuman/
19.	exp Animal Experiment/
20.	exp Experimental Animal/
21.	animal model/
22.	exp Rodent/
23.	(rat or rats or mouse or mice).ti.
24.	or/16-23
25.	8 not 24
26.	quality adjusted life year/
27.	"quality of life index"/
28.	short form 12/ or short form 20/ or short form 36/ or short form 8/
29.	sickness impact profile/
30.	(quality adj2 (wellbeing or well being)).ti,ab.
31.	sickness impact profile.ti,ab.
32.	disability adjusted life.ti,ab.
33.	(qal* or qtime* or qwb* or daly*).ti,ab.

OSAHS: DRAFT FOR CONSULTATION

Assessment scales for suspected obstructive sleep apnoea/hypopnoea syndrome, obesity hypoventilation syndrome or COPD-OSAHS overlap syndrome

34.	(euroqol* or eq5d* or eq 5*).ti,ab.
35.	(qol* or hql* or hqol* or h qol* or hrqol* or hr qol*).ti,ab.
36.	(health utility* or utility score* or disutilit* or utility value*).ti,ab.
37.	(hui or hui1 or hui2 or hui3).ti,ab.
38.	(health* year* equivalent* or hye or hyes).ti,ab.
39.	discrete choice*.ti,ab.
40.	rosser.ti,ab.
41.	(willingness to pay or time tradeoff or time trade off or tto or standard gamble*).ti,ab.
42.	(sf36* or sf 36* or short form 36* or shortform 36* or shortform36*).ti,ab.
43.	(sf20 or sf 20 or short form 20 or shortform 20 or shortform20).ti,ab.
44.	(sf12* or sf 12* or short form 12* or shortform 12* or shortform12*).ti,ab.
45.	(sf8* or sf 8* or short form 8* or shortform 8* or shortform8*).ti,ab.
46.	(sf6* or sf 6* or short form 6* or shortform 6* or shortform6*).ti,ab.
47.	or/26-46
48.	25 and 47

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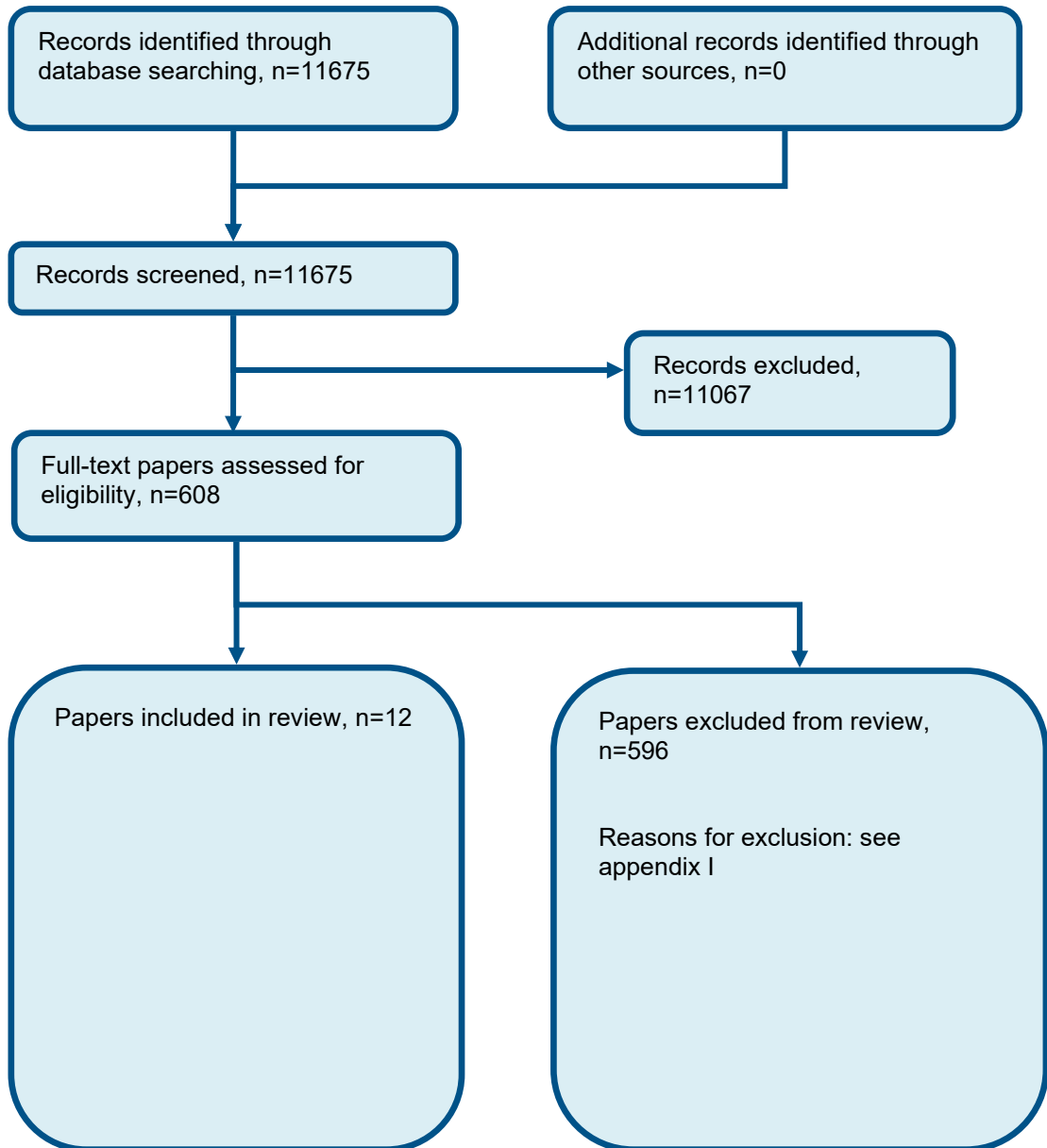
1

2

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Appendix C: Clinical evidence selection

Figure 1: Flow chart of clinical study selection for the review of diagnosis



4

5

Appendix D: Clinical evidence tables

Reference	Ahmadi 2008¹⁸
Study type	Retrospective chart review
Study methodology	<p>Data source: charts identified in order from alphabetically filed charts, and the first 130 charts were selected that met study inclusion/exclusion criteria</p> <p>Recruitment: For the chart collection, the person collecting the data chose charts in order from the alphabetically filed charts in the clinic. Those charts that met the criteria were selected, and the process was continued until 130 qualified charts, as determined by the inclusion criteria, were collected.</p>
Number of patients	n = 130 analysed
Patient characteristics	<p>Age, mean (SD): male: 42.2 (SD not reported); female: 45.1 (SD not reported)</p> <p>Gender (male to female ratio): 70/50</p> <p>Ethnicity: not reported</p> <p>Setting: respiratory ward or sleep laboratory</p> <p>Country: Canada</p> <p>Inclusion criteria: referral for sleepiness and/or poor sleep; recordings available from two overnight PSGs; a completed questionnaire battery including the Berlin questionnaire.</p> <p>Exclusion criteria: Charts of patients with incomplete or missing data for the respiratory variables.</p> <p>Sleep disorders included those secondary to psychiatric or neurological disorders. Charts of patients who slept less than 240 minutes on either of the two nights were excluded from the study.</p>
Target condition(s)	Sleep apnoea/ hypopnoea syndrome
Index test(s) and reference standard	<p><u>Index test</u></p> <p>The Berlin questionnaire was scored as previously reported by Netzer and colleagues. Berlin questionnaire, scoring positively on less than 2 categories were identified as being low risk of having sleep apnoea.</p>

Reference	Ahmadi 2008¹⁸			
	<p><u>Reference standard</u> Laboratory PSG with no pre-specified diagnostic AHI, RDI or ODI: apnoeas/hypopnoeas were scored where there was a 50% or greater reduction in the baseline amplitude of respiration or at least 3% reduction in oxygen saturation, either lasting for a minimum of 10 seconds. Maximum RDI across two nights as observed by PSG, multiple cut-offs reported, RDI of 5 (as per protocol) extracted Prevalence 56 subjects (RDI>5)</p> <p>Time between measurement of index test and reference standard: not reported</p>			
2x2 table		Reference standard +	Reference standard -	Total
	Index test +	38	38	76
	Index test -	18	36	54
	Total	56	74	130
Statistical measures	<p><u>Index test: BQ (≥3% oxygen desaturation)</u> Sensitivity: 67.8% (54 – 79) Specificity: 48.6% (37 – 60)</p> <p>Positive predictive value: not reported Negative predictive value: not reported Area under the curve (95% confidence interval): not reported</p>			
Source of funding	Not reported			
Limitations	Risk of bias: Very serious. Retrospective chart review; unclear if study inclusions/exclusions appropriate as part of study criteria; test results could have been interpreted with knowledge of the other test results; time interval between the index test and reference standard not reported Indirectness: None			
Comments	Study population included people with sleep disorders secondary to psychiatric or neurological disorders; RERA not included in definition of RDI from laboratory PSG. Sensitivity and specificity calculated by excel spreadsheet by NGC.			

Reference	Boynton 2013⁶⁸
Study type	Cross-sectional
Study methodology	<p>Data source: Adults referred for diagnostic polysomnography completed the STOP questions and answered four yes/no questions (BANG self-reported) about their body mass index (weight and height), age, neck circumference, and gender, which were also assessed by laboratory technologists (BANG-measured).</p> <p>Recruitment: not reported</p>
Number of patients	n = 219 recruited and analysed
Patient characteristics	<p>Age, mean (SD): 46.3 (SD 13.9)</p> <p>Gender (male to female ratio): 91/74 of those identified as being high risk for OSA</p> <p>Ethnicity: not reported</p> <p>Setting: respiratory ward or sleep laboratory</p> <p>Country: USA</p> <p>Inclusion criteria: at least 18 years old; English-speaking; referred for diagnostic, baseline polysomnography to assess for OSA. Exclusion criteria: unable to read, sign, or understand informed consent; previously diagnosed or treated for OSA</p>
Target condition(s)	Obstructive sleep apnoea
Index test(s) and reference standard	<p><u>Index test</u> STOP-BANG questionnaire: patients were classified as having high risk for OSA if they had a total STOP-BANG score ≥ 3 points, out of a possible 8 points. As both self-reported and measured or observed values for BMI, age, neck circumference, and gender were collected, two sets of scores were calculated. One STOP-BANG score was based entirely on patient responses to STOP questions and their self-reported BANG values. The second STOP-BANG score was based on patient responses to STOP questions and the BANG values that were measured by technicians or obtained from patient health records. This version of the questionnaire was completed by the research team after the study appointment.</p> <p>(STOP-BANG questionnaire, self-reported, high risk if score of 3 or more out of 8)</p> <p><u>Reference standard</u></p>

Reference	Boynton 2013⁶⁸				
	<p>In centre polysomnography (PSG): the results of a single nocturnal, laboratory-based sleep study were used. The diagnosis of OSA required an AHI >5 events per hour of sleep, coupled with daytime sleepiness or symptoms of disturbed sleep. Obstructive and central apnoeas were defined as the complete absence of airflow for at least 10 seconds, in the presence or absence of continued respiratory effort respectively. Hypopnoeas were defined as a $\geq 50\%$ decrease in airflow followed by an arousal, awakening, or $\geq 3\%$ desaturation from baseline levels, consistent with American Academy of Sleep Medicine guidelines available at the time the studies were performed. Both the technologists who scored the studies and the physicians who interpreted them were masked to STOP-BANG scores. Prevalence – 169 subjects (AHI>5)</p> <p>(In centre PSG, multiple AHI cut-offs provided included >5 (extracted below))</p>				
2x2 table		Reference standard +	Reference standard -	Total	Calculated by NGC
	Index test +	134	25	159	
	Index test -	35	25	60	
	Total	169	50	219	
Statistical measures	<p><u>Index test: STOP-BANG ($\geq 3\%$ oxygen desaturation)</u> Sensitivity: 79.3% (75.6-82.8) Specificity: 50% (37.6-62.0) Positive predictive value: 84.3% Negative predictive value: 41.7%</p> <p>Area under the curve (95% confidence interval) All OSA (AHI≥ 5): 0.722 (0.645 – 0.799) Moderate-severe (AHI≥ 15): 0.746 (0.682 – 0.811) Severe (AHI≥ 30): 0.762 (0.696 - 0.827)</p>				
Source of funding	Supported by the National Institutes of Health, the University of Michigan Medical School Summer biomedical Research Program, and the University of Michigan Sleep Disorders Centre				
Limitations	Risk of bias: Serious. Enrolment method unclear; index test results could have been interpreted with knowledge of the reference standard results. Indirectness: None				
Comments	Incomplete reporting of the reference standard methods. Paper only provides totals and not TP, FP, FN, or TN. These have been calculated using diagnostic calculation spreadsheet using sensitivity, specificity, PPV, NPV and totals.				

Reference	Cowan 2014⁹³
Study type	Cross-sectional
Study methodology	Data source: Prospective observational study conducted during May-December 2012. Recruitment: consecutive
Number of patients	n = 129 analysed
Patient characteristics	Age, mean (SD): 49 (SD 11) Gender (male to female ratio): 82/47 Ethnicity: not reported Setting: Tertiary sleep centre Country: UK Inclusion criteria: Consecutive patients aged ≥ 16 years referred to the North Glasgow sleep service (a tertiary centre) for assessment of possible OSA were invited to participate Exclusion criteria: not reported
Target condition(s)	<u>Suspected obstructive sleep apnoea</u>
Index test(s) and reference standard	<u>Index tests</u> ESS – a validated measure of daytime sleepiness including eight questions, each with four possible responses, that assess the likelihood of dozing in different situations; score of $\geq 11/24$ denotes excessive daytime somnolence. Berlin questionnaire – includes questions in three categories that relate, first, to snoring and witnessed apnoeas, second to tiredness, fatigue and sleepiness, and third, to hypertension and obesity. High risk of OSA is defined by scoring positively in ≥ 2 categories. STOP-BANG – includes four yes/no questions that relate to snoring, tiredness, observed apnoeas, and high blood pressure it also includes four additional questions relating to BMI, age, neck circumference and gender, and high risk OSA is defined as a score of ≥ 3 .

Reference	Cowan 2014 ⁹³				
	<p><u>Reference standard</u> – Home polygraphy studies were performed using the SOMNOmedics SOMNOscreen kit (Randersacker, Germany) with channels that recorded body position, thoraco abdominal movements, oronasal airflow, heart rate, pulse oximetry and snoring. An Apnoea was defined as cessation of nasal flow for ≥ 10s, while a hypopnea was defined as 50% reduction in nasal flow for ≥ 10 s, or lesser reduction in flow associated with oxygen desaturation of $\geq 4\%$.</p> <p>Prevalence (ESS $\geq 11/24$) – 92 patients had AHI ≥ 5 Prevalence (Berlin positive)– 94 patients had AHI ≥ 5 Prevalence (STOP-BANG $\geq 3/8$) – 93 patients had AHI ≥ 5</p> <p>Time between measurement of index test and reference standard: not reported</p>				
2x2 table	ESS	Reference standard +	Reference standard –	Total	Calculated by NGC
	Index test +	63	17	80	
	Index test –	29	11	40	
	Total	92	28	120	
2x2 table	Berlin	Reference standard +	Reference standard –	Total	Calculated by NGC
	Index test +	87	29	116	
	Index test –	7	2	9	
	Total	94	31	125	
2x2 table	SB	Reference standard +	Reference standard –	Total	Calculated by NGC
	Index test +	88	21	109	
	Index test –	5	9	14	
	Total	93	30	123	

Reference	Cowan 2014 ⁹³
Statistical measures	<p><u>Index text ESS</u> Sensitivity 68.4% Specificity 39.2% Positive predictive value: not reported Negative predictive value: not reported</p> <p>Area under the curve (95% confidence interval) All OSA (AHI≥5): not reported Moderate-severe (AHI≥15): not reported Severe (AHI≥30): not reported</p> <p><u>Index text Berlin</u> Sensitivity 92.6% Specificity 6.5% Positive predictive value: 75% Negative predictive value: 22%</p> <p>Area under the curve (95% confidence interval) All OSA (AHI≥5): not reported Moderate-severe (AHI≥15): not reported Severe (AHI≥30): not reported</p> <p><u>Index text SB</u> Sensitivity 94.6% Specificity 30% Positive predictive value: 81% Negative predictive value: 64%</p> <p>Area under the curve (95% confidence interval) All OSA (AHI≥5): not reported Moderate-severe (AHI≥15): not reported Severe (AHI≥30): not reported</p>

Reference	Cowan 2014⁹³
Source of funding	This research received no specific grant from any funding agency in the public, commercial or not-for-profit sectors.
Limitations	Risk of bias: Serious. Exclusion criteria not reported Indirectness: none
Comments	Study reported number of patients positive on questionnaires (ESS, Berlin and STOP-BANG) and with AHI ≥ 5 , sensitivity, specificity was calculated using 2x2 tables

Reference	de Carvalho 2020⁹⁶
Study type	Cross-sectional
Study methodology	Data source: This study was carried out from October 2017 to October 2018 and included 66 adults with Down's Syndrome (DS) attending the Down Syndrome Reference Center (CRISDOWN) of Hospital Regional da Asa Recruitment: not reported
Number of patients	n = 66 recruited, 60 analysed
Patient characteristics	Age, mean (SD): 27.7 (SD 9.1) Gender (male to female ratio): 33/27 Ethnicity: not reported Setting: sleep laboratory Country: Brazil Inclusion criteria: The inclusion criteria comprised individuals with DS treated at the service, of both genders, good overall health status, aged 18 years and older, capable to understand and accept the study and its procedures. Exclusion criteria: individuals under 18 years of age; patients undergoing treatment for sleep disorders; patients with a history of conditions that could affect brain structure or function (such as cerebrovascular accident or head trauma); those who refused to participate in the study.
Target condition(s)	Sleep apnoea/hypopnoea syndrome

Reference	de Carvalho 2020⁹⁶				
Index test(s) and reference standard	<p><u>Index test:</u> STOP-BANG questionnaire: due to absence of cut-off points specifically defined for the adult population with the Down's syndrome the following was used - risk for OSA in the SBQ (3 or more affirmative answers)</p> <p>These questionnaire was answered by the patients' proxies, in agreement with other studies in individuals with DS.</p> <p><u>Reference standard</u> Polysomnography (PSG), with pre-specified AHI >15, In the present study, the equipment used to perform the type III PSG assessments was the ApneaLink Air (ResMed Germany Inc.), which has been previously used in other important studies. This equipment allowed monitoring with the use of nasal pressure cannula for airflow and snore detection), chest piezoelectric strap (for respiratory effort detection) and pulse oximetry (to monitor peripheral arterial oxygen saturation—SpO2 and heart rate). The total recording time was used as the denominator to calculate the respiratory event index (REI). The PSG was assembled by a specialized technician from the Sleep Laboratory of our service. The respiratory events were defined as follows: (1) hypopnea, when there was a 30% reduction in airflow for at least 10 seconds, observed through the nasal cannula, associated with a decrease in SpO2 of at least 3%; (2) obstructive apnoea, due to the absence or reduction 90% of airflow for at least 10 seconds in the presence of respiratory effort; (3) mixed apnoea, due to the absence or reduction 90% of airflow, without the presence of respiratory effort only at the beginning of the event; (4) central apnoea, due to absence or 90% reduction in airflow for at least 10 seconds associated with absence of respiratory effort throughout the event. Prevalence – 49 subjects (AHI>15)</p> <p>Time between measurement of index test and reference standard: not reported but the ESS, STOP-BANG and Berlin questionnaires were completed prior to polysomnography</p>				
2x2 table All OSAS (AHI ≥ 15) STOP-BANG questionnaire		Reference standard +	Reference standard -	Total	Calculated by NGC
	Index test +	49	6	55	
	Index test -	0	5	5	
	Total	49	11	60	

Reference	de Carvalho 2020⁹⁶
Statistical measures	<p><u>Index text: STOP-BANG questionnaire, AHI>15.</u> Sensitivity: 100% Specificity: 45% Positive predictive value: not reported Negative predictive value: not reported</p> <p>Area under the curve, Area under the curve, not reported</p>
Source of funding	This study was supported by grants from the Fundação de Ensino e Pesquisa em Ciências da Saúde (FEPECS), process number 064.000.560/2015, of Public Notice number 40 of 10/29/2015, published in DODF n. 213, of 11/06/2015, regarding the Homologation of the Final Selection Result of Research Projects. The funders had no role in study design, data collection and analysis, decision to publish, or preparation of the manuscript. Fundação de Ensino e Pesquisa em Ciências da Saúde (FEPECS)
Limitations	Risk of bias: Serious. Included patients with down syndrome. Indirectness: Serious. AHI ≥15
Comments	Paper only provides total number of patients diagnosed by polysomnography, number of people at risk of OSA using STOP-BANG questionnaire. Sensitivity, specificity and TP, FN, FP, TN values not provided.

Reference	Duarte 2020¹⁰⁸
Study type	Cross-sectional
Study methodology	<p>Data source: This was a cross-sectional study, comprising the period from January 2017 to June 2019, including adults which were consecutively referred for PSG evaluation due to suspected sleep disordered breathing by their attending physicians</p> <p>Recruitment: not reported</p>
Number of patients	n = 8138 recruited, 7377 analysed (patients grouped into two large and independent cohorts: derivation (N=3771) and validation (N=3606))

Reference	Duarte 2020 ¹⁰⁸
Patient characteristics	<p>Age, mean (SD) derivation cohort: 45.9 (SD 14.6) Age, mean (SD) validation cohort: 45.9 (SD 14.6)</p> <p>Gender (male to female ratio) derivation cohort: 1983/1788 Gender (male to female ratio) validation cohort: 1961/1645</p> <p>Ethnicity: not reported</p> <p>Setting: sleep laboratory</p> <p>Country: Brazil</p> <p>Inclusion criteria: of both genders, aged ≥18 years and with suspected of OSA. Exclusion criteria: previously diagnosed OSA, use of home sleep study for diagnosis, incomplete clinical data and technically inadequate PSG</p> <p>This was a cross-sectional study, comprising the period from January 2017 to June 2019, including adults which were consecutively referred for PSG evaluation due to suspected sleep disordered breathing by their attending physicians. Then, all subjects were grouped into two separate cohorts: derivation (from January 2017 to February 2018) and validation (from May 2018 to June 2019).</p>
Target condition(s)	Sleep apnoea/hypopnoea syndrome
Index test(s) and reference standard	<p><u>Index test for both derivation and validation cohorts:</u> STOP-BANG questionnaire (final score from 0 to 8, high risk with 3 or more points) consists of 8 yes-or-no questions (1 point for each affirmative answer): loud snoring, tiredness, observed apnoea, hypertension, BMI >35 kg/m², age >50 years, NC > 40 cm, and male gender.</p> <p><u>Reference standard</u> Polysomnography (PSG), with a prespecified diagnostic AHI ≥ 5, All subjects underwent an attended, in-lab PSG (EMBLA® S7000, Embla Systems, Inc., Broomfield, Colorado, United States) consisting of continuous monitoring of electroencephalogram, electrooculogram, electromyogram (chin and legs), electrocardiogram, airflow, thoracic and abdominal impedance belts, oxygen saturation, snoring microphone and sensors for body position. Polysomnographic records were manually interpreted by two board-certified sleep physicians, according to a guideline previously published in 2012 by the American Academy of Sleep Medicine (AASM),²⁸ which were blinded to the values of all screening instruments collected prior to PSG. Apnoeas were classified from a drop ≥90% of baseline airflow lasting at least 10 s,</p>

Reference	Duarte 2020 ¹⁰⁸				
	<p>while hypopneas were classified from a $\geq 30\%$ pre-event drop over ≥ 10 s associated with desaturation of oxygen $\geq 3\%$ or an arousal.²⁸ The AHI was calculated as the number of apnoea plus hypopnea/total sleep time (in hours). Polysomnographic diagnosis of OSA was based on apnoea/hypopnea index (AHI) $\geq 5.0/h$ and its severity was classified as follows: $\geq 5.0/h$ as any OSA (OSA≥ 5), $\geq 15.0/h$ as moderate/ severe OSA (OSA≥ 15), and $\geq 30.0/h$ as severe OSA (OSA≥ 30).</p> <p>Prevalence: derivation cohort 2984 subjects (AHI>5), validation cohort 2842 subjects (AHI>5)</p> <p>Time between measurement of index test and reference standard: not reported</p>				
2x2 table Derivation cohort All OSAS (AHI ≥ 5) STOP-BANG questionnaire		Reference standard +	Reference standard -	Total	Calculated by NGC
	Index test +	2602	389	2991	
	Index test -	382	398	780	
	Total	2984	787	3771	
2x2 table Validation cohort All OSAS (AHI ≥ 5) STOP-BANG questionnaire		Reference standard +	Reference standard -	Total	
	Index test +	2501	366	2867	
	Index test -	341	398	739	
	Total	2842	764	3606	
Statistical measures	<p><u>Index text derivation cohort: STOP-BANG questionnaire, AHI ≥ 5</u> Sensitivity: 87.2% Specificity: 50.6% Positive predictive value: 87% Negative predictive value: 51.1%</p> <p>Area under the curve, manually scored, (95% confidence interval) All OSA (AHI≥ 5): 0.789(0.771-0.806)</p> <p><u>Index text derivation cohort: STOP-BANG questionnaire, AHI ≥ 5</u> Sensitivity: 88% Specificity: 52.1% Positive predictive value: 87.2% Negative predictive value: 53.9%</p> <p>Area under the curve, manually scored, (95% confidence interval) All OSA (AHI≥ 5): 0.797(0.779-0.815)</p>				

Reference	Duarte 2020¹⁰⁸
Source of funding	Funding not stated
Limitations	Risk of bias: None Indirectness: None
Comments	Paper only provides sensitivity specificity, positive predictive value, negative predictive value totals and not TP, FP, FN, or TN. These have been calculated using diagnostic calculation spreadsheet using sensitivity, specificity, PPV, NPV and totals.

Reference	Felfeli 2020¹²⁶
Study type	Cross-sectional
Study methodology	Data source: The study was conducted at a tertiary academic centre between March 22, 2017, and April 7, 2018. Patients completed the Berlin and STOP-BANG questionnaires screening for OSA at presentation. Diagnostic test properties of the 2 questionnaires compared with polysomnography at a certified sleep laboratory centre as the gold standard for detection of OSA were calculated Recruitment: consecutive
Number of patients	n = 27 analysed
Patient characteristics	Age, mean (SD): 69.6 (SD 11.5) Gender (male to female ratio): 11/15 Ethnicity: not reported Setting: Tertiary sleep centre

Reference	Felfeli 2020¹²⁶			
	Country: Canada			
	Inclusion criteria: Consecutive adult patients (>18 years of age) with a new diagnosis of RVO confirmed with intravenous fluorescein angiography were enrolled.			
	Exclusion criteria: Patients with a known diagnosis of OSA were excluded			
Target condition(s)	<u>Suspected obstructive sleep apnoea</u>			
Index test(s) and reference standard	<u>Index tests</u> Berlin questionnaire – cut-off not stated STOP-BANG questionnaire – cut-off not stated <u>Reference standard</u> – All patients were then scheduled to undergo a polysomnography at a certified University of Toronto - affiliated academic sleep laboratory centre (Sleep and Alertness Clinic, Toronto, Ont.), which has maintained standards endorsed by the Ontario Ministry of Health for over 30 years. The polysomnography was conducted using the following channels: tracheal sounds by microphone tapes to the neck, nasal flow by cannula linked to a pressure transducer, oxygen saturation by digital oximetry, heart rate through the pulse oximetry signal, thoracic and abdominal movements by piezoelectric sensors, and body position by mercury sensors and actigraphy. Patients required overnight stay at the sleep clinic for completion of the study. Apnoeic episodes were defined as complete cessation of breathing for at least 10 seconds, or hypopnea in which airflow is decreased by 50% for 10 seconds, or hypopnea in which airflow is decreased by 30% for 10 seconds with decreased oxygen saturation. Polysomnography data were analysed by both a certified technician and a physician with specialisation in sleep disorders who were masked to the patients’ disease and groupings. The AHI (number of events/hour) was assigned to each patient’s polysomnography. Severity of OSA was classified as mild (AHI 5-15), moderate (AHI 16-30), and severe (AHI >30). An AHI <5 was considered a normal test and thus negative for OSA. The other parameters recorded from polysomnography were the AHI during rapid eye movement (REM) sleep (AHI-REM, commonly measured owing to the expected decrease in muscle tone in REM sleep), the percentage of lowest saturation of peripheral oxygen (SPO2- min), and the cumulative time of SPO2 below 90% (CT90%). Prevalence 21 subjects (AHI>15) Time between measurement of index test and reference standard: not reported			
2x2 table	Berlin	Reference standard +	Reference standard –	Total

Reference	Felfeli 2020¹²⁶				
Berlin	Index test +	9	2	11	
	Index test -	12	4	16	
	Total				
2x2 table Stop-Bang		21	6	27	
	SB	Reference standard +	Reference standard -	Total	
	Index test +	18	3	21	
	Index test -	3	3	6	
	Total				
		21	6	27	
Statistical measures	<p><u>Index text Berlin</u> Sensitivity 42.6% Specificity 66.7% Positive predictive value: 81.8% Negative predictive value: 25%</p> <p>Area under the curve, not reported</p> <p><u>Index text SB</u> Sensitivity 85.7% Specificity 50% Positive predictive value: 81% Negative predictive value: 64%</p> <p>Area under the curve, not reported</p>				
Source of funding	Not stated				
Limitations	Risk of bias: Serious. Indirectness: serious AHI>15 for reference standard				
Comments	Paper only provides sensitivity specificity, positive predictive value, negative predictive value totals and not TP, FP, FN, or TN. These have been calculated using diagnostic calculation spreadsheet using sensitivity, specificity, PPV, NPV and totals.				

Reference	Hesselbacher 2012¹⁶⁴
Study type	Cross-sectional

Reference	Hesselbacher 2012¹⁶⁴			
Study methodology	<p>Data source: patients referred for polysomnographic diagnosis of OSA completed questionnaires, including demographic data and ESS. OSA was determined based on a respiratory disturbance index (RDI) 15 by polysomnography.</p> <p>Recruitment: consecutive</p>			
Number of patients	n = 2112 studied, 1900 analysed			
Patient characteristics	<p>Age, mean (SD): 54 (15)</p> <p>Gender (male to female ratio):</p> <p>Ethnicity: Caucasian (males 53%, females 50%), Hispanic (males 43%, 48%), Other (males 3%, females 2 %)</p> <p>Setting: sleep centre</p> <p>Country: USA</p> <p>Inclusion criteria: not reported Exclusion criteria: not reported</p> <p>All study participants were aged ≥12 years prior to a diagnosis of OSA.</p>			
Target condition(s)	Obstructive sleep apnoea			
Index test(s) and reference standard	<p><u>Index test: ESS</u> Cut-off not stated</p> <p><u>Reference standard</u> PSG RDI ≥15</p> <p>Prevalence – study did not report prevalence, however it did report % of people without OSA – 17% (~323 subjects), prevalence was calculated using sensitivity, specificity and number of people without OSA.</p>			
2x2 table		Reference standard +	Reference standard -	Total
	Index test +	852	139	991
	Index test -	725	184	909
	Total	1577	323	1900

Reference	Hesselbacher 2012¹⁶⁴
Statistical measures	<p><u>Index text ESS (cut-off not stated)</u> Sensitivity: 54% Specificity: 57% Positive predictive value: 64% Negative predictive value: 47%</p> <p>Area under the curve (95% confidence interval): not reported</p>
Source of funding	<u>Funding not stated</u>
Limitations	<p>Risk of bias: serious. Inclusion and exclusion criteria not reported Indirectness: serious RDI ≥15 for reference standard</p>
Comments	This study included adults and children (aged ≥12 years), paper provided sensitivity, specificity and number of people with no OSA. TP, FP, FN and TN values were calculated using 2x2 tables. Positive predictive value and negative predictive value reported in the paper seems to be inaccurate.

Reference	Pereira 2013⁴¹⁶
Study type	Cross-sectional
Study methodology	<p>Data source: Consecutive patients referred to the Sleep Disorders Clinic completed 3 testing components: (1) 3 questionnaires (Berlin, STOP-Bang, and Sleep Apnea Clinical Score [SACS]); (2) Level III at-home PM (MediByte) study; and (3) Level I in-laboratory PSG. The utility of individual questionnaires, the Level III device alone, and the combination of questionnaires and the Level III device were compared with the PSG.</p> <p>Recruitment: consecutive</p>
Number of patients	n = 128 recruited and analysed
Patient characteristics	Age, mean (SD): 50 (12.3)

Reference	Pereira 2013⁴¹⁶
	<p>Gender (male to female ratio): 84/44</p> <p>Ethnicity: not reported</p> <p>Setting: Home then laboratory</p> <p>Country: Canada</p> <p>Inclusion criteria: the ability to apply the Level III monitoring equipment without supervision (after brief initial training) and a primary residence within 100 miles of the sleep clinic (for returning the portable monitor equipment)</p> <p>Exclusion criteria: known COPD; congestive heart failure; uncontrolled asthma</p>
Target condition(s)	Obstructive sleep apnoea
Index test(s) and reference standard	<p><u>Index tests</u></p> <p>Berlin questionnaire: details not reported; completed prior to portable sleep monitoring and PSG</p> <p>Sleep Apnoea Clinical Score questionnaire: details not reported; completed prior to portable sleep monitoring and PSG</p> <p>Stop Bang questionnaire: details not reported; completed prior to portable sleep monitoring and PSG</p> <p>Portable sleep monitor (MediByte; Braebon Medical Corporation, Ottawa, ON): this was a level III device, worn on two consecutive nights at home. The first night of recording was used in the analysis, with the second night as a back-up if recording from the first night did not provide sufficient data. The device consists of two inductance bands for thoracic and abdomen measurement, a nasal cannula pressure transducer airflow signal, finger pulse oximetry, and a body position sensor. Patients were given the option to either manually turn on the device before switching off the lights at night and turn off the device once awake in the morning, or to have the device start and stop automatically at predetermined times. RDI was the outcome measure for data from the monitor, defined as the number of apnoeas and hypopnoeas per hour of recording time.</p> <p><u>Reference standard</u></p> <p>Laboratory polysomnography (PSG) with no pre-specified diagnostic AHI, RDI or ODI: full, overnight PSG recordings were conducted using Sandman Elite SD32+ digital sleep recording system (Natus [Embla]; Ottawa, ON) and included 4 EEG channels, 2 EOG channels, submental EMG, intercostal (diaphragmatic surface) EMG, bilateral anterior tibialis EMG, ECG, respiratory piezo bands (chest and abdomen), finger pulse oximetry, a vibration snore sensor, nasal pressure airflow, and oronasal thermocouple. PSG recordings were conducted as either a diagnostic study or, in the event of severe OSA, a split-night study. For split-night studies, the initial diagnostic period was followed by the introduction of treatment during the night, and only the diagnostic part of the recording was used for comparison.</p>

Reference	Pereira 2013 ⁴¹⁶				
	<p>Data from the questionnaires and portable monitoring were manually scored by an experienced scorer who was blinded to the results of the in-lab PSG. The PSGs were manually scored using standard criteria by registered polysomnographic technologists, who in turn were blinded to results of the questionnaires and the portable monitoring device. Sixty-four percent of the scored portable monitoring device data were reviewed by an experienced technologist (with concordance between the two scorers of 99.2%), and all PSG studies were reviewed by a sleep specialist. For both the index test and PSG data, apnoeas were scored as a cessation of airflow $\geq 50\%$ for ≥ 10 seconds, and hypopnoeas were scored as a reduction in pressure-derived airflow of 50% to 90% from baseline for ≥ 10 seconds followed by $\geq 3\%$ oxygen desaturation. For the PSG, the definition of hypopnoea also included $\geq 50\%$ reduction in pressure-derived airflow amplitude associated with arousal, in the absence of a desaturation $\geq 3\%$ (alternative criteria). The agreement of each of the four screening tools was assessed, compared with PSG, at different AHI thresholds</p> <p>Prevalence – 116 subjects (AHI ≥ 5)</p> <p>Time between measurement of index test and reference standard: PSG completed following portable sleep monitoring at home, time point not reported</p>				
2x2 table Berlin questionnaire		Reference standard +	Reference standard -	Total	
	Index test 1 +	100	9	109	
	Index test 1 -	16	3	19	
	Total	116	12	128	
2x2 table Sleep Apnea clinical score questionnaire		Reference standard +	Reference standard -	Total	
	Index test 1 +	38	2	40	
	Index test 1 -	78	10	88	
	Total	116	12	128	
2x2 table Stop Bang questionnaire		Reference standard +	Reference standard -	Total	
	Index test 1 +	104	7	111	
	Index test 1 -	12	5	17	
	Total	116	12	128	

Reference	Pereira 2013 ⁴¹⁶
Statistical measures	<p><u>Index text 1, Berlin questionnaire</u> Sensitivity 86% Specificity 25% Positive predictive value: 91.7% Negative predictive value: 15.8%</p> <p>Area under the curve (95% confidence interval) at PSG cut-off AHI\geq10 All OSA (AHI\geq10): 0.565 (CI not reported) Moderate-severe (AHI\geq15): Severe (AHI\geq30):</p> <p><u>Index text 2, Sleep Apnoea Clinical Score questionnaire</u> Sensitivity 33% Specificity 83% Positive predictive value: 95% Negative predictive value: 11.4%</p> <p>Area under the curve (95% confidence interval) at PSG cut-off AHI\geq10 All OSA (AHI\geq10): 0.540 (CI not reported) Moderate-severe (AHI\geq15): not reported Severe (AHI\geq30): not reported</p> <p><u>Index text 3, Stop Bang questionnaire</u> Sensitivity 90% Specificity 42% Positive predictive value: 93.7% Negative predictive value: 29.4%</p> <p>Area under the curve (95% confidence interval) at PSG cut-off AHI\geq10 All OSA (AHI\geq10): 0.575 (CI not reported) Moderate-severe (AHI\geq15): not reported Severe (AHI\geq30): not reported</p>

Reference	Pereira 2013⁴¹⁶
Source of funding	Innovation Fund, the Ontario Ministry of Health and the William M. Spear Foundation from the Queen's University
Limitations	Risk of bias: Serious. Unclear if all study exclusions appropriate as part of study exclusion criteria and unclear time between index testing and measurement of the reference standard. Indirectness: None
Comments	

Reference	Sangkum 2017⁴⁸⁰
Study type	Cross-sectional
Study methodology	Data source: Two hundred and eight subjects who were referred for an evaluation of possible OSA at Tulane Comprehensive Sleep Center Recruitment: not reported
Number of patients	n = 208 recruited and analysed
Patient characteristics	Age, mean (SD): 52.9 (0.9) Gender (male to female ratio): 75/133 Ethnicity: African American (69%); white (28%); Hispanic (0.5%) Setting: initial clinical evaluation site and laboratory Country: USA

Reference	Sangkum 2017⁴⁸⁰
Target condition(s)	<p>Inclusion criteria: not reported Exclusion criteria: age <18 years old; incomplete or absent questionnaire; incomplete body type identification; PSG refusal; pregnant women</p> <p>Participants undergoing OSA evaluation with polysomnography were recruited</p>
Index test(s) and reference standard	<p><u>Index tests</u> STOP-BANG questionnaire: administered during the initial clinical evaluation, a score greater than or equal to 3 was determined as 'high risk' for OSA</p> <p>STOP-BANG-Apple questionnaire: as above but with additional data included on fat distribution type (apple, pear or indeterminate); apple and pear body type is defined as excess upper body fat and lower body fat respectively. Indeterminate body type refers to fat distribution that cannot categorise as apple or pear such as a patient with low body fat or generalised distribution of excess body fat. Fat distribution type was determined by subjective visual inspection (i.e. eyeball test).</p> <p>STOP questionnaire: not pre-specified in methods but results reported (cut-off score=2)</p> <p>STOP-Apple questionnaire: not pre-specified in methods but results reported (cut-off score=2)</p> <p><u>Reference standard</u> Laboratory polysomnography with a pre-specified AHI >5 events per hour diagnostic of OSA: overnight PSG included sleep staging, monitored using electroencephalogram, bilateral electro-oculogram, and a surface submental electromyogram. Respiratory parameters were monitored using pulse oximetry, snoring microphone, nasal thermistors and pressure transducer, and thoracic and abdominal inductance plethysmograms. The heart rate was continuously monitored using an electrocardiogram. Bilateral tibialis EMG leads were placed to detect periodic limb movements. A registered PSG technologist under the supervision of the sleep physician visually scored all studies. The technologist was blinded to clinical information and the results of STOP-BANG-apple scores. Using the 2012 American Academy of Sleep Medicine for Scoring of Sleep and Associated Events, apnoea was defined as cessation of the airflow $\geq 90\%$ detected through the nasal thermistor sensor for at least 10 seconds. Hypopnoea was defined by a peak airflow signal excursion of $\geq 30\%$ using nasal pressure, with $\geq 3\%$ oxygen desaturation or associated arousal. Prevalence – 165 subjects (AHI>5)</p> <p>Time between measurement of index test and reference standard: not reported</p>

Reference	Sangkum 2017 ⁴⁸⁰			
2×2 table STOP-BANG questionnaire (cut-off of 3)	STOP-BANG questionnaire (cut-off of 3)	Reference standard +	Reference standard –	Total
	Index test 1 +	156	37	193
	Index test 1 –	6	9	15
	Total	162	46	208
2×2 table STOP-BANG-Apple questionnaire (cut-off of 4)	STOP-BANG-Apple questionnaire (cut-off of 4)	Reference standard +	Reference standard –	Total
	Index test 2 +	146	28	174
	Index test 2 –	16	18	34
	Total	162	46	208
2×2 table STOP questionnaire (cut-off score of 2)	STOP questionnaire (cut-off score of 2)	Reference standard +	Reference standard –	Total
	Index test 3 +	157	41	198
	Index test 3 –	5	5	10
	Total	162	46	208
Index text 24 STOP-Apple questionnaire (cut-off score of 3)	Index text 24 STOP-Apple questionnaire (cut-off score of 3)	Reference standard +	Reference standard –	Total
	Index test 4 +	143	28	171
	Index test 4 –	19	18	37
	Total	162	46	208

Reference	Sangkum 2017 ⁴⁸⁰
Statistical measures	<p><u>Index text 1, STOP-BANG questionnaire (cut-off of 3)</u> Sensitivity 96.3% Specificity 19.6% Positive predictive value: 81% Negative predictive value: 60%</p> <p>Area under the curve (95% confidence interval) All OSA (AHI≥5): 0.7760 (CI not reported) Moderate-severe (AHI≥15): Severe (AHI≥30):</p> <p><u>Index text 2 STOP-BANG-Apple questionnaire (cut-off of 4)</u> Sensitivity 90.1% Specificity 39.1% Positive predictive value: 84% Negative predictive value: 53%</p> <p>Area under the curve (95% confidence interval) All OSA (AHI≥5): 0.7982 (CI not reported) Moderate-severe (AHI≥15): not reported Severe (AHI≥30): not reported</p> <p><u>Index text 3, STOP questionnaire (cut-off score of 2)</u> Sensitivity 96.9% Specificity 10.9% Positive predictive value: 79.3% Negative predictive value: 50%</p> <p>Area under the curve (95% confidence interval) All OSA (AHI≥5): 0.6262 (CI not reported) Moderate-severe (AHI≥15): not reported Severe (AHI≥30): not reported</p> <p><u>Index text 4 STOP-Apple questionnaire (cut-off score of 3)</u></p>

Reference	Sangkum 2017⁴⁸⁰
	Sensitivity 88.3% Specificity 39.1% Positive predictive value: 83.6% Negative predictive value: 48.6% Area under the curve (95% confidence interval) All OSA (AHI≥5): 0.6789 (CI not reported) Moderate-severe (AHI≥15): not reported Severe (AHI≥30): not reported
Source of funding	National Institute of General Medical Sciences of the National Institutes of Health
Limitations	Risk of bias: Serious. Enrollment method unclear; unclear if all study exclusions appropriate, and test results could have been interpreted with knowledge of the other test results. Indirectness: None
Comments	

Reference	Vana 2013⁵⁵³
Study type	Cross sectional
Study methodology	Data source: This study compared the predictive abilities of the STOP-Bang and Epworth Sleepiness Scale (ESS) for screening sleep clinic patients for obstructive sleep apnoea (OSA) and sleep-disordered breathing (SDB). Recruitment: 'a convenience sample', consecutive
Number of patients	n = 60 recruited, 47 analysed

Reference	Vana 2013 ⁵⁵³
Patient characteristics	<p>Age, mean (SD): 46.4 (13.2)</p> <p>Gender (male to female ratio): 16/31</p> <p>Ethnicity: Caucasian (76.6%); Native American/Asian/multiracial/'Mexican' (12.8%); African American (10.6%). 68.1% identified as Hispanic or Latino</p> <p>Setting: not reported</p> <p>Country: USA</p> <p>Inclusion criteria: over 18 years old; no previous diagnosis of OSA</p> <p>Exclusion criteria: health conditions that could affect electroencephalogram tracings and sleep staging in PSG, such as dementia or daily forgetfulness, severe brain injuries, developmental delays, or stimulant use.</p> <p>Study participants all spoke English or Spanish</p>
Target condition(s)	Obstructive sleep apnoea and sleep- disordered breathing
Index test(s) and reference standard	<p><u>Index tests</u></p> <p>Epworth Sleepiness Scale questionnaire: a validated questionnaire (8 items; scale 0-24 where 0=unlikely to fall asleep in any situation and 24=high chance of falling asleep in all eight situations) that measures subjective sleepiness. The ESS final scores were dichotomised into ≤10 (low risk for sleepiness) and >10 (high risk).</p> <p>STOP-Bang questionnaire (SB35 and SB 30): this questionnaire evaluated eight risk factors for OSA (snoring, tiredness, observed apnoeas, blood pressure, body mass index >35 or >30kg/m², age >50 years, neck circumference, and male gender). Each participant had two STOP-Bang total scores: one total score calculated with the >30kg/m² cut point and one total score calculated with the >35kg/m² cut point. High risk for SDB was defined as three or more affirmative answers to the eight STOP-Bang items. Low risk was defined as two or fewer affirmative answers.</p> <p><u>Reference standard</u></p> <p>Polysomnography (PSG) with a pre-specified diagnostic AHI ≥5 for OSA and RDI ≥5 for SDB: a one-night diagnostic or split PSG was completed on participants who agreed to undergo PSG. The following leads were used: central and occipital electroencephalograms; bilateral electro-oculograms; submental electromyograms; continuous pulse oximetry; nasal and oral thermistors; nasal pressure transducer; snoring microphone; thoracic and abdominal piezo electrodes; electrocardiogram; bilateral tibialis electromyographic leads. Acceptable polysomnograms had at least 120 minutes of total sleep time, were based on 4% oxyhaemoglobin desaturations,</p>

Reference	Vana 2013 ⁵⁵³				
	<p>were completed within 3 months of screenings, and met established polysomnographic standards for evaluating OSA. All tracings were visually scored by sleep technologists using updated American Academy of sleep Medicine scoring criteria (1999) and were reviewed and interpreted by a board-certified sleep physician. Apnoea was defined as a complete cessation of airflow >10 seconds. Hypopnoeas were defined as decreased nasal pressure transducer amplitudes of 30% or more lasting >10 seconds and accompanied by 4% oxyhaemoglobin desaturations or arousals. Respiratory effort related arousals were defined as respiratory events that demonstrated increased respiratory efforts, clear drops in airflow, and arousals, but did not meet the criteria for apnoeas or hypopnoeas. The AHI was calculated by summing the number of apnoeas and hypopnoeas during sleep and dividing by the number of hours of sleep. Similarly, the RDI was calculated by summing the number of apnoeas, hypopnoeas, and respiratory effort related arousals and dividing by the number of hours of sleep.</p> <p><u>Prevalence – 32 subjects</u></p> <p>Time between measurement of index test and reference standard: not reported</p>				
2x2 table	ESS	Reference standard +	Reference standard –	Total	
	Index test 1 +	10	7	17	
	Index test 1 –	22	8	30	
	Total	32	15	47	
2x2 table	STOP-Bang questionnaire, SB30	Reference standard +	Reference standard –	Total	
	Index test 2 +	31	10	41	
	Index test 2 –	1	5	6	
	Total	32	15	47	
2x2 table	STOP-Bang questionnaire, SB35	Reference standard +	Reference standard –	Total	
	Index test 3 +	30	10	40	
	Index test 3 –	2	5	7	
	Total	32	15	47	
2x2 table	STOP-Bang questionnaire, SB35 OR ESS	Reference standard +	Reference standard –	Total	
	Index test 4 +	31	12	43	

Reference	Vana 2013 ⁵⁵³			
	Index test 4 –	1	3	4
	Total	32	15	47
Statistical measures	<p><u>Index test 1, Epworth Sleepiness Scale questionnaire</u> Sensitivity 31.3% Specificity 53.3% Positive predictive value: 58.8% Negative predictive value: 26.7%</p> <p>Area under the curve (95% confidence interval) All OSA (AHI≥5): 0.423 (0.269 – 0.577) Moderate-severe (AHI≥15): not reported Severe (AHI≥30): not reported</p> <p><u>Index test 2, STOP-Bang questionnaire, SB30</u> Sensitivity 96.9% Specificity 33.3% Positive predictive value: 75.6% Negative predictive value: 33.3%</p> <p>Area under the curve (95% confidence interval) All OSA (AHI≥5): 0.651(0.524 – 0.778) Moderate-severe (AHI≥15): not reported Severe (AHI≥30): not reported</p> <p><u>Index test 3, STOP-Bang questionnaire, SB35</u> Sensitivity 93.8% Specificity 33.3% Positive predictive value: 75% Negative predictive value: 71.4%</p> <p>Area under the curve (95% confidence interval) All OSA (AHI≥5): 0.635 (0.505 – 0.766) Moderate-severe (AHI≥15): not reported Severe (AHI≥30): not reported</p>			

Reference	Vana 2013⁵⁵³
	<p><u>Index test 4, STOP-Bang questionnaire, SB35 OR ESS</u> Sensitivity 96.9% Specificity 20% Positive predictive value: 72.1% Negative predictive value: 75%</p> <p>Area under the curve (95% confidence interval) All OSA (AHI≥5): 0.584 (0.475 – 0.694) Moderate-severe (AHI≥15): not reported Severe (AHI≥30): not reported</p>
Source of funding	Not reported
Limitations	<p>Risk of bias: Serious. Unclear if all study exclusions appropriate, and test results could have been interpreted with knowledge of the other test results. Thirteen of 60 (22%) did not undergo PSG and were excluded from analysis</p> <p>Indirectness: None</p>
Comments	

Reference	Wu 2020⁵⁷⁹
Study type	Cross-sectional
Study methodology	<p>Data source: Patients from the Pneumology Department of Zhongshan hospital were invited, screened, and enrolled into this study from September 2015 to October 2019.</p> <p>Recruitment: not reported</p>
Number of patients	n = 328 recruited, 116 analysed
Patient characteristics	<p>Age, mean (SD): 63 (range 57, 68)</p> <p>Gender (male to female ratio): 101/5</p> <p>Ethnicity: not reported</p> <p>Setting: sleep laboratory</p>

Reference	Wu 2020⁵⁷⁹
	<p>Country: China</p> <p>Inclusion criteria: Age ≥ 40 years, ≤ 80; Diagnosis of COPD by GOLD guidelines.</p> <p>Exclusion criteria: Sleep less than 4 hours tested by PSG; Patients on home oxygen therapy or mechanical ventilation; Acute exacerbation of COPD in the preceding month; Other lung diseases; Sleep disorders other than OSA; Active or unstable cardiovascular diseases; Non-controlled arterial hypertension; Severe dementia; Severe untreated psychiatric conditions; Neuromuscular disease; Unwilling or undisciplined patient.</p>
Target condition(s)	Overlap syndrome
Index test(s) and reference standard	<p><u>Index test 1:</u> Berlin questionnaire - comprises three categories including 10 questions. Part (category) 1 of BQ includes information on snoring and apnea, part 2 reflects daytime sleepiness or fatigue, and part 3 combines information about obesity and hypertension. BMI cut-off point was adjusted from 30.0 to 25.0 in MBQ compare to BQ. High risk of OSA is defined as ≥ 2 positive results of the three categories of BQ or MBQ.</p> <p><u>Index test 2:</u> STOP-BANG questionnaire is a tool involving 3 subjective items (snoring, tiredness, and observed apnoea) and 5 objective items (hypertension, age, sex, body mass index (BMI), and neck circumference), a score ≥ 3 is regarded as having a moderate to severe risk of OSA.</p> <p><u>Reference standard</u> PSG was tested in Sleep Center of Zhongshan Hospital by a PSG recorder (Respironics, Alice-5 Respironics, Pittsburgh, Pennsylvania, USA) within 1 week after pulmonary function examination, including electromyogram, electrocardiogram, electrooculogram, oronasal flow, thoracoabdominal movements, arterial oxygen saturation, body position, and snoring sounds. Breathing was recorded with nasal pressure transducer. PSG reports were analysed by two skilled specialists followed by guideline.²¹ Apnoea was defined as a decrease of at least 90% of airflow from baseline, lasting 10 s or longer, and hypopnea was defined as $\geq 30\%$ decrease of airflow Lasting at least 10 s, associated with either an arousal or a $\geq 3\%$ O₂ saturation according to American Academy of Sleep Medicine criteria.²² The mean number of apnoeas and hypopneas per hour of sleep (Apnoea–Hypopnea Index [AHI]) was calculated, and OSA was diagnosed if the Apnoea–Hypopnea Index (AHI) was ≥ 5 events per hour.</p> <p>Prevalence – 62 subjects</p>

Reference	Wu 2020 ⁵⁷⁹				
	Time between measurement of index test and reference standard: not reported but polysomnography was performed after the questionnaires were completed.				
2×2 table All OSAS (AHI ≥ 5) Berlin questionnaire		Reference standard +	Reference standard -	Total	Calculated by NGC
	Index test +	33	66	39	
	Index test -	29	48	77	
	Total	62	54	116	
2×2 table All OSAS (AHI ≥ 5) STOP-BANG questionnaire		Reference standard +	Reference standard -	Total	Calculated by NGC
	Index test +	52	22	74	
	Index test -	10	32	42	
	Total	62	54	116	
Statistical measures	<p><u>Index test: Berlin questionnaire, AHI ≥5</u> Sensitivity: 53% Specificity: 89% Positive predictive value: 85% Negative predictive value: 62%</p> <p>Area under the curve, manually scored, (95% confidence interval) All OSA (AHI≥5): 0.71 (0.64-0.79)</p> <p><u>Index test: STOP-BANG questionnaire, AHI ≥5</u> Sensitivity: 84% Specificity: 59% Positive predictive value: 70% Negative predictive value: 76%</p> <p>Area under the curve, manually scored, (95% confidence interval) All OSA (AHI≥5): 0.72 (0.64 – 0.80)</p>				
Source of funding	This work was supported by grants from the National Key Research and Development Program of China (NO. 2018YFC1313600) and the National Natural Science Foundation of China (No. 81570081, 81770083).				
Limitations	Risk of bias: None. Indirectness: None				

Reference	Wu 2020⁵⁷⁹
Comments	Paper only provides totals and not TP, FP, FN, or TN. These have been calculated using diagnostic calculation spreadsheet using sensitivity, specificity, PPV, NPV and totals.

Reference	Xiong 2019⁵⁸²
Study type	Cross-sectional
Study methodology	<p>Data source: From Dec 2016 to Dec 2018, a total of 476 consecutive patients with suspected COPD were enrolled as the study candidates, those who met the inclusion criteria and exclusion criteria were enrolled as study participants. The inclusion criteria were: subjects aged at least 40 years old with a diagnosis of COPD conforming to GOLD guideline.</p> <p>Recruitment: not reported</p>
Number of patients	n = 476 recruited, 431 analysed
Patient characteristics	<p>Age, mean (SD): 67.4 (SD 8.9)</p> <p>Gender (male to female ratio): 388/43</p> <p>Ethnicity: not reported</p> <p>Setting: sleep laboratory</p> <p>Country: China</p> <p>Inclusion criteria: subjects aged at least 40 years old with a diagnosis of COPD conforming to GOLD guideline</p> <p>Exclusion criteria: those were less than 40 years old or pregnant; patients with evidence of bronchial asthma, bronchiectasis, pulmonary fibrosis, intratracheal neoplasms, destructive sequelae of tuberculosis, etc; 3) patients with combined other diseases affecting survival, such as neoplastic diseases, renal insufficiency, or acute myocardial infarction; those with history of stroke, heart failure, neuromuscular, cognitive impairment or other mental and psychological diseases that would prevent completion of pulmonary function test, questionnaire or PSG; and 5) those who had other sleep disorders such as obesity hypoventilation syndrome.</p>
Target condition(s)	COPD-OSAHS Overlap syndrome

Reference	Xiong 2019 ⁵⁸²				
Index test(s) and reference standard	<p><u>Index test 1:</u> ESS questionnaire - Epworth sleepiness scale (ESS) is used to measure drowsiness of subjects in different situations during the day. In China, a subject with a score of ≥ 9 is considered at high risk of excessive daytime sleepiness.</p> <p><u>Index test 2:</u> Berlin questionnaire - (BQ) comprises three categories including 10 questions, high risk of OSA is defined as \geq two positive results of the three categories.</p> <p><u>Index test 3:</u> STOP-BANG - (SBQ) is a tool involving 4 dichotomous items and 4 clinical parameter items, a score ≥ 3 is regarded as having a moderate to severe risk of OSA.</p> <p><u>Reference standard</u> Polysomnography (PSG), all subjects with confirmed COPD underwent assessment of sleep events with a multichannel sleep diagnostic system (SOMNOscreen Plus Tele PSG, SOMNOmedics GmbH, Germany) in the sleep laboratory for no less than 7 hrs monitoring at night. All tracings were manually scored according to the American Academy of Sleep Medicine criteria. 20 Subjects who experienced $\text{AHI} \geq 5$ events/hour during sleep were considered to have OSA. Depending on the AHI, OSA severity is divided into mild (5–14.9), moderate (15–29.9), or severe (≥ 30).</p> <p>Prevalence – 335 subjects</p> <p>Time between measurement of index test and reference standard: not reported</p>				
2x2 table All OSAS (AHI ≥ 5) ESS questionnaire		Reference standard +	Reference standard –	Total	Calculated by NGC
	Index test +	242	51	293	
	Index test –	93	45	138	
	Total	335	96	431	
2x2 table All OSAS (AHI ≥ 5) Berlin questionnaire		Reference standard +	Reference standard –	Total	Calculated by NGC
	Index test +	272	58	330	
	Index test –	63	38	101	
	Total	335	96	431	
2x2 table All OSAS (AHI ≥ 5)		Reference standard +	Reference standard –	Total	Calculated by NGC
	Index test +	311	57	368	

Reference	Xiong 2019⁵⁸²			
STOP-BANG questionnaire	Index test –	24	39	63
	Total	335	96	431
Statistical measures	<p><u>Index text: ESS questionnaire, AHI ≥5 (≥3% oxygen desaturation)</u> Sensitivity: 72.2% Specificity: 46.9% Positive predictive value: not reported Negative predictive value: not reported</p> <p>Area under the curve, manually scored, (95% confidence interval) All OSA (AHI≥5): 0.609(0.561 – 0.655)</p> <p><u>Index text: Berlin questionnaire, AHI ≥5 (≥3% oxygen desaturation)</u> Sensitivity: 81.2% Specificity: 39.6% Positive predictive value: not reported Negative predictive value: not reported</p> <p>Area under the curve, manually scored, (95% confidence interval) All OSA (AHI≥5): 0.634(0.578 – 0.680)</p> <p><u>Index text: Stop-Bang questionnaire, AHI ≥5 (≥3% oxygen desaturation)</u> Sensitivity: 92.8% Specificity: 40.6% Positive predictive value: not reported Negative predictive value: not reported</p> <p>Area under the curve, manually scored, (95% confidence interval) All OSA (AHI≥5): 0.723 (0.723 (0.678 – 0.764)</p>			
Source of funding	This work was supported by the National Key Research and Development Program of China (project number: 2016YFC1304403). The sponsor had no role in the design or conduct of this research.			
Limitations	Risk of bias: Serious. Enrolment method unclear and inclusion/exclusion criteria not reported Indirectness: Serious. AHI ≥10			
Comments	<p>Paper only provides totals and not TP, FP, FN, or TN.</p> <p>These have been calculated using diagnostic calculation spreadsheet using sensitivity, specificity and totals.</p>			

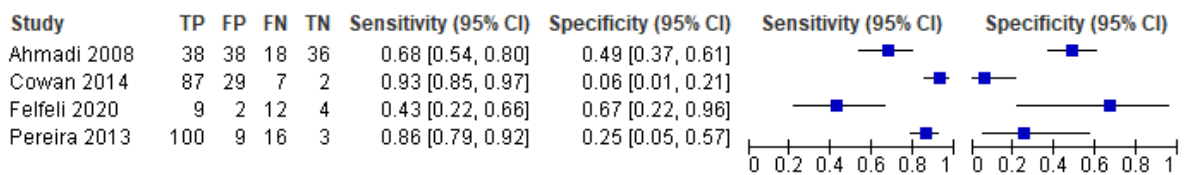
Reference	Xiong 2019⁵⁸²
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Appendix E: Coupled sensitivity and specificity forest plots and sROC curves

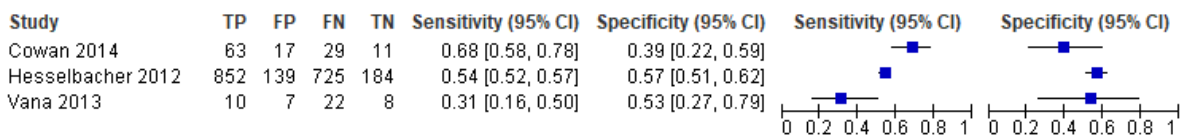
E.1 Coupled sensitivity and specificity forest plots-OSAHS

Figure 2: Berlin questionnaire (reference standard: AHI/RDI/ODI >5 by hospital polysomnography)



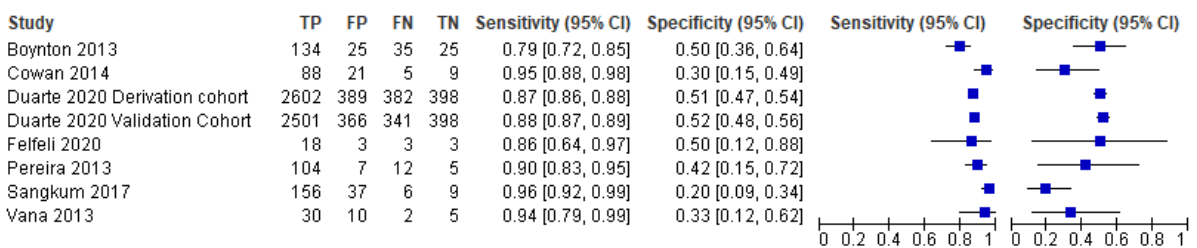
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Figure 3: Epworth Sleepiness scale (reference standard: AHI/RDI/ODI >5 by hospital polysomnography)



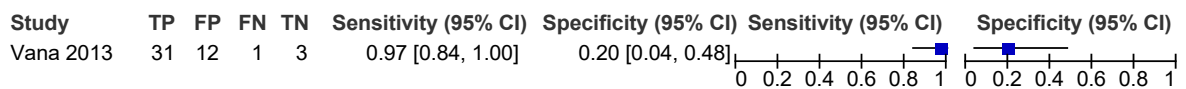
6

Figure 4: STOP BANG (reference standard: AHI/RDI/ODI >5 by hospital polysomnography)



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Figure 5: STOP BANG or ESS (reference standard: AHI/RDI/ODI >5 by hospital polysomnography)

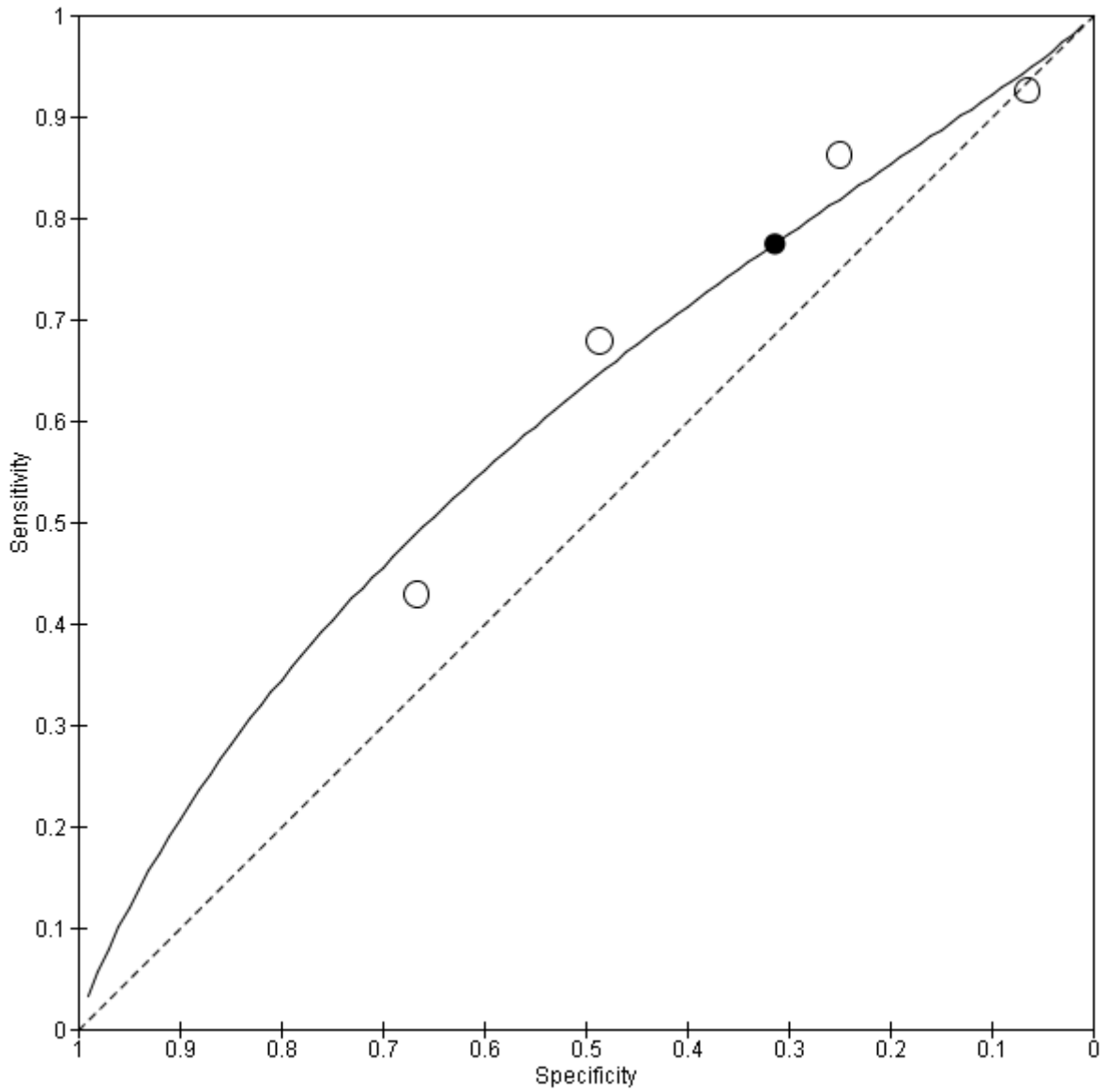


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sROC curves

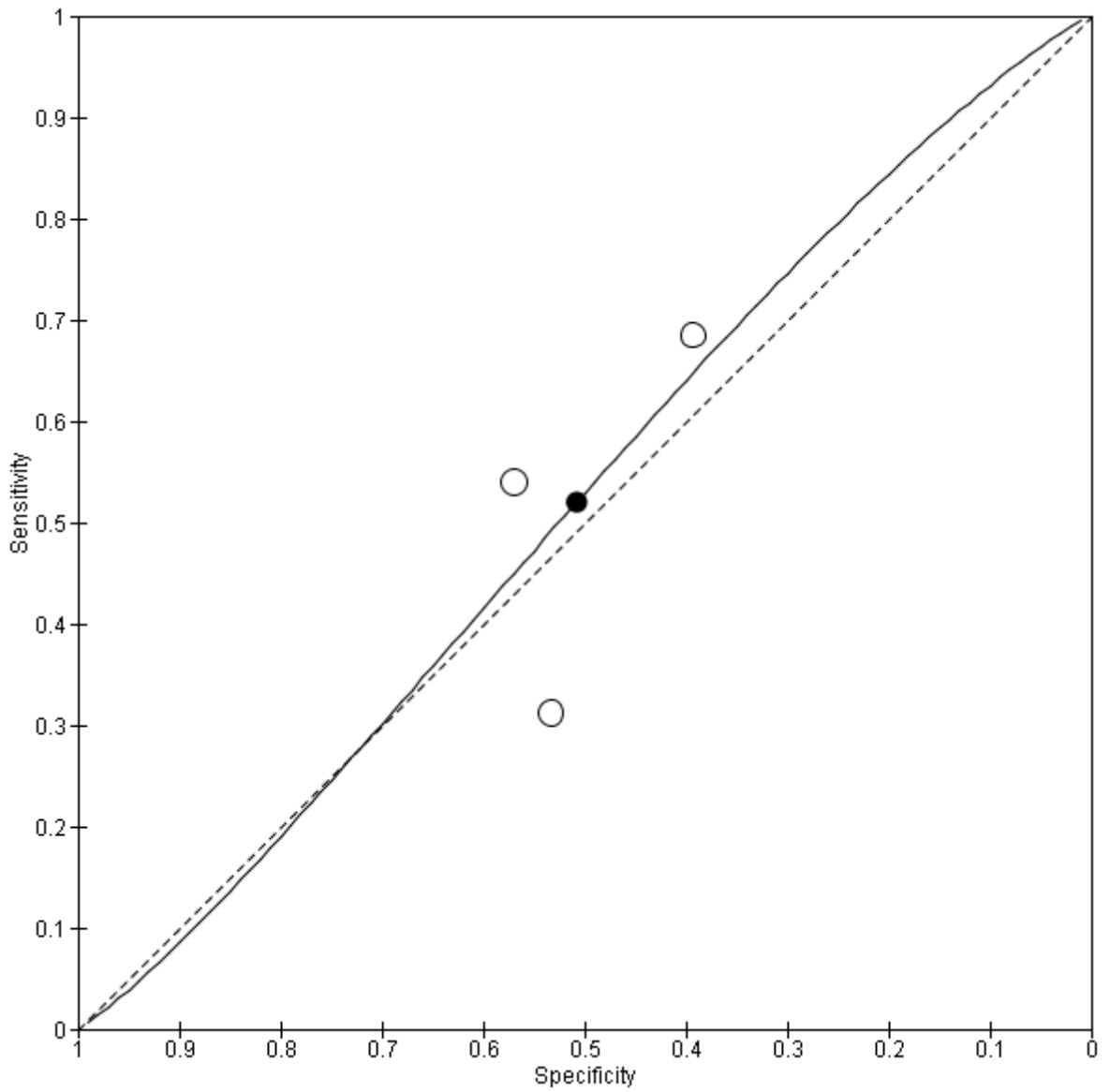
Figure 6: Berlin questionnaire



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1 Figure 7: Epworth Sleepiness scale

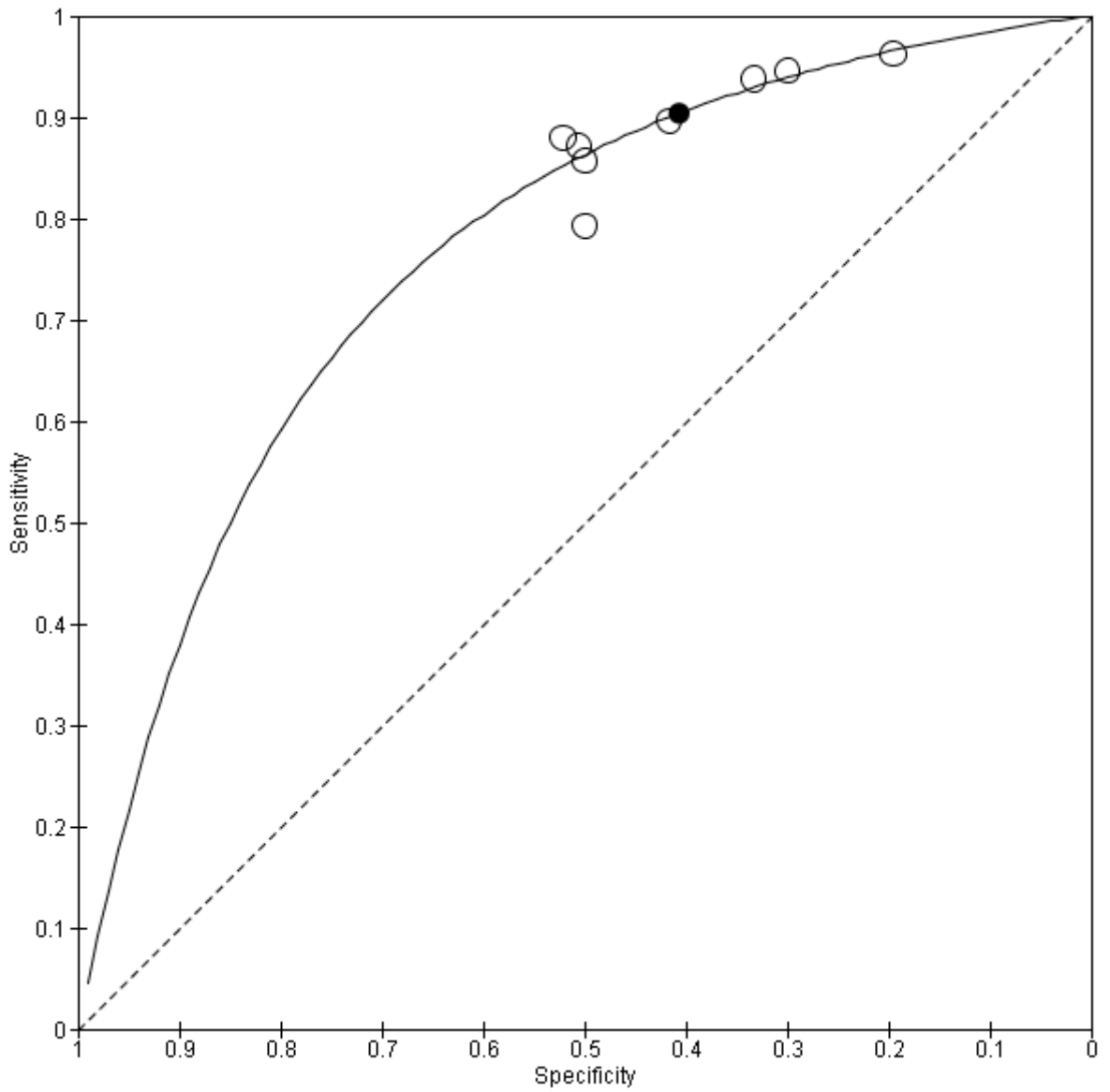
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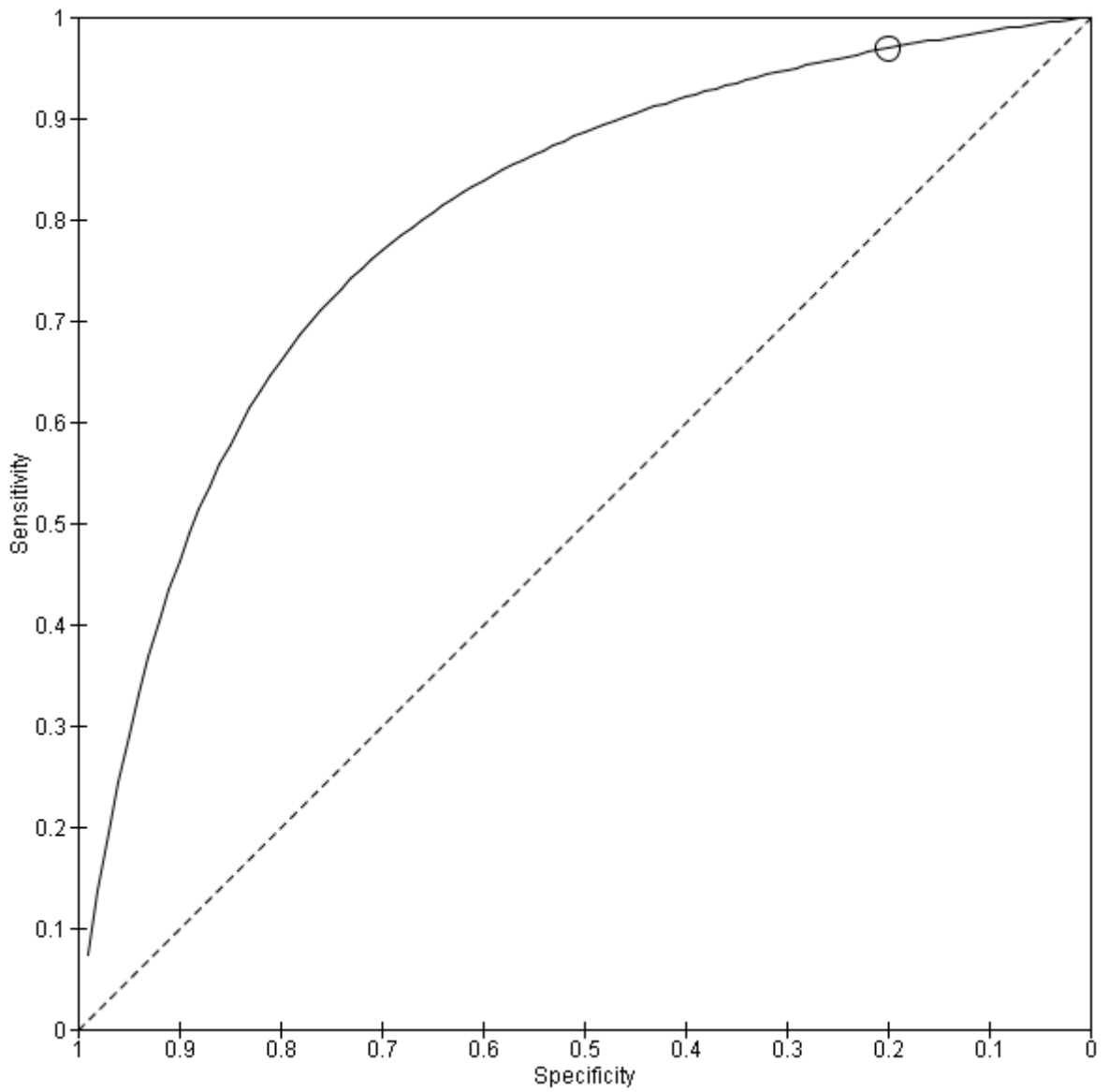
1 Figure 8: STOP BANG

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1 Figure 9: STOP BANG or ESS



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1 **E.2 Coupled sensitivity and specificity forest plots-OSAHS**
2 **(patients with Down syndrome)**

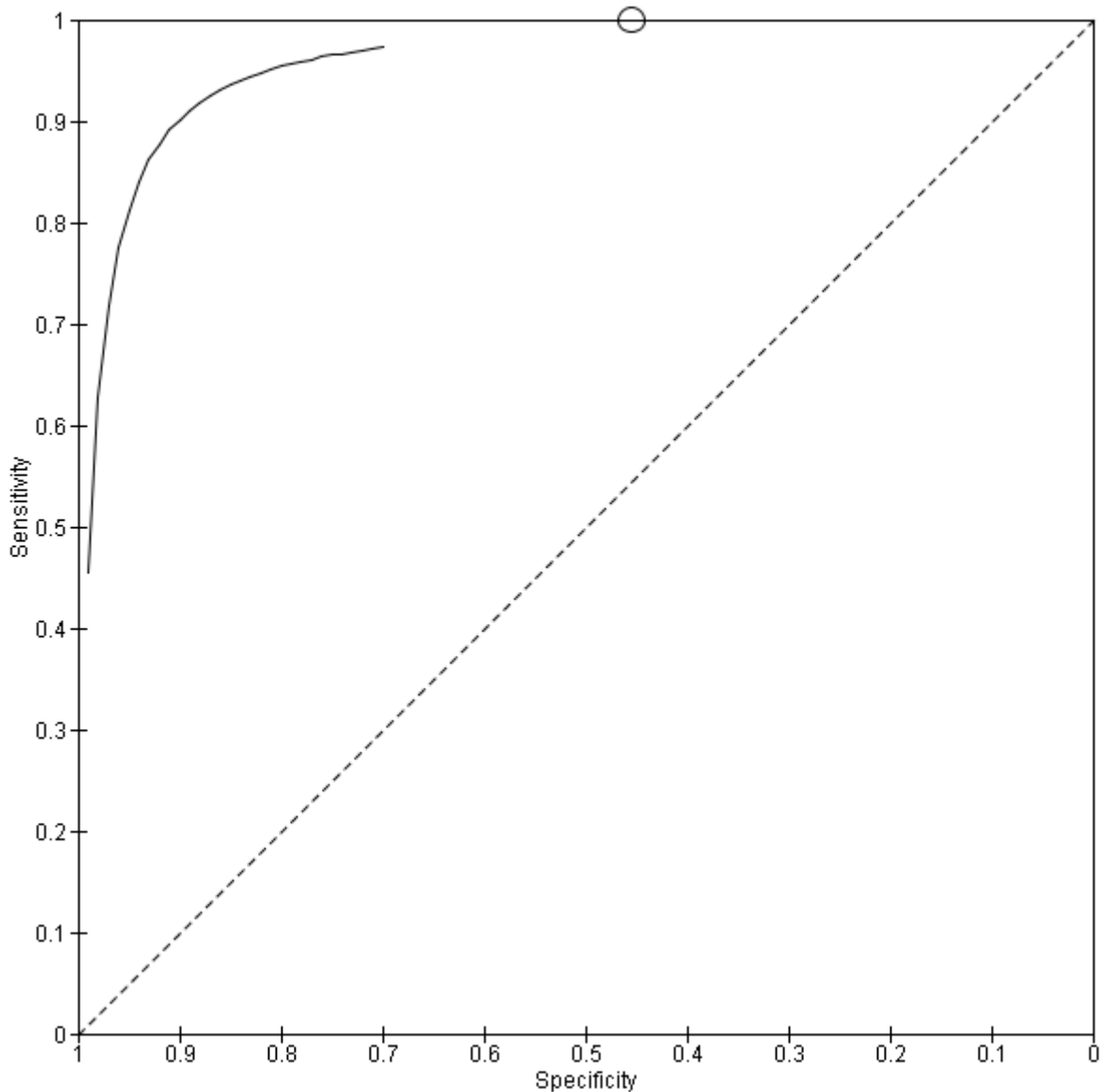
3 **Figure 10: STOP-BANG**

Study	TP	FP	FN	TN	Sensitivity (95% CI)	Specificity (95% CI)	Sensitivity (95% CI)	Specificity (95% CI)
de Carvalho, 2020	49	6	0	5	1.00 [0.93, 1.00]	0.45 [0.17, 0.77]		

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5 **sROC curves**

6 **Figure 11: STOP-BANG**

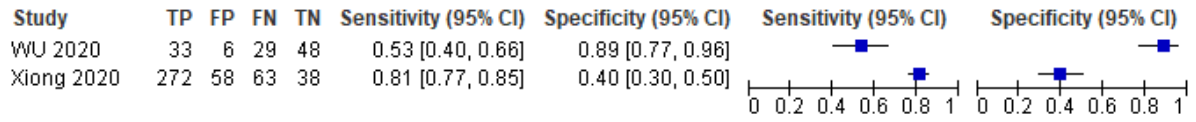


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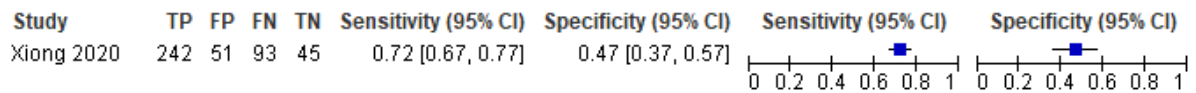
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1 **E.3 Coupled sensitivity and specificity forest plots-COPD-**
 2 **OSAHS overlap syndrome**

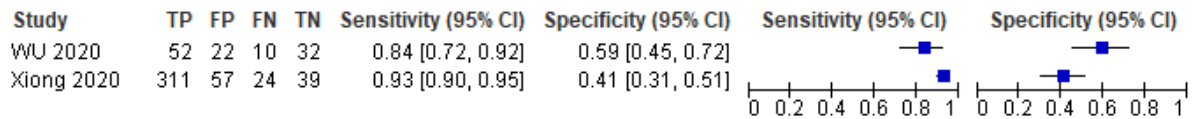
3 Figure 12: Berlin



4
 5 Figure 13: Epworth Sleepiness scale



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 8 Figure 14: Stop-Bang



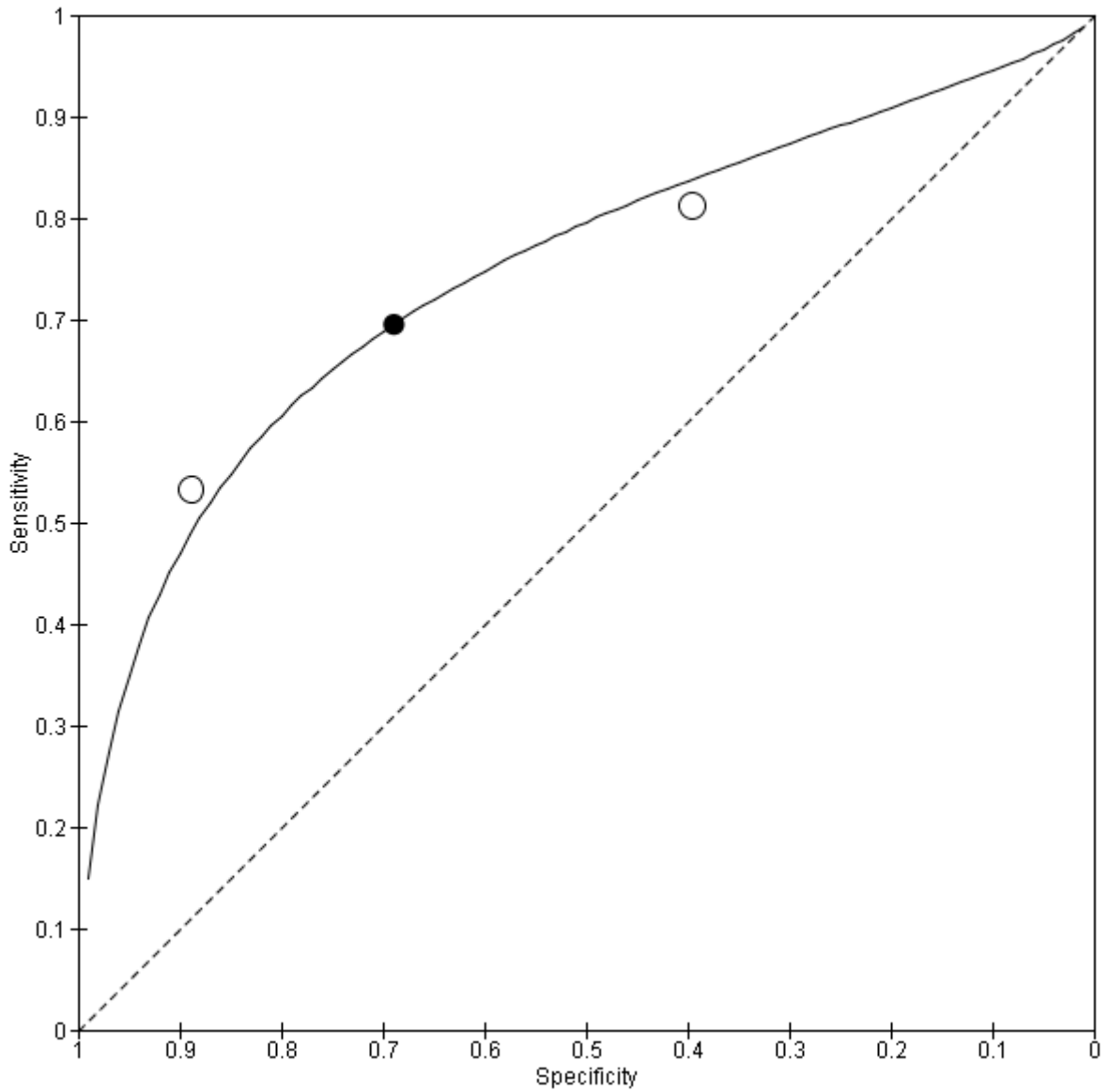
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sROC curves

2

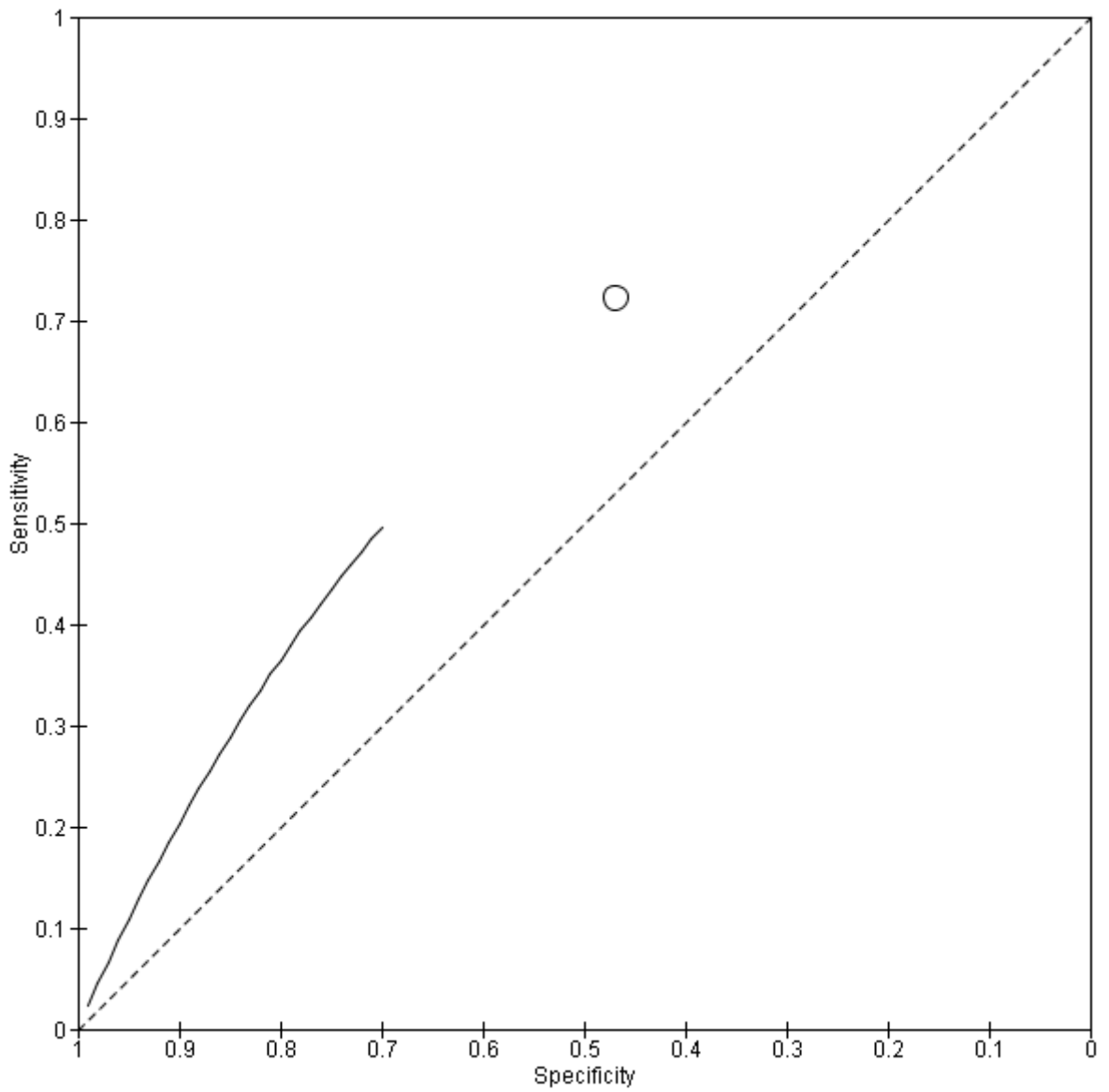
Figure 15: Berlin



3

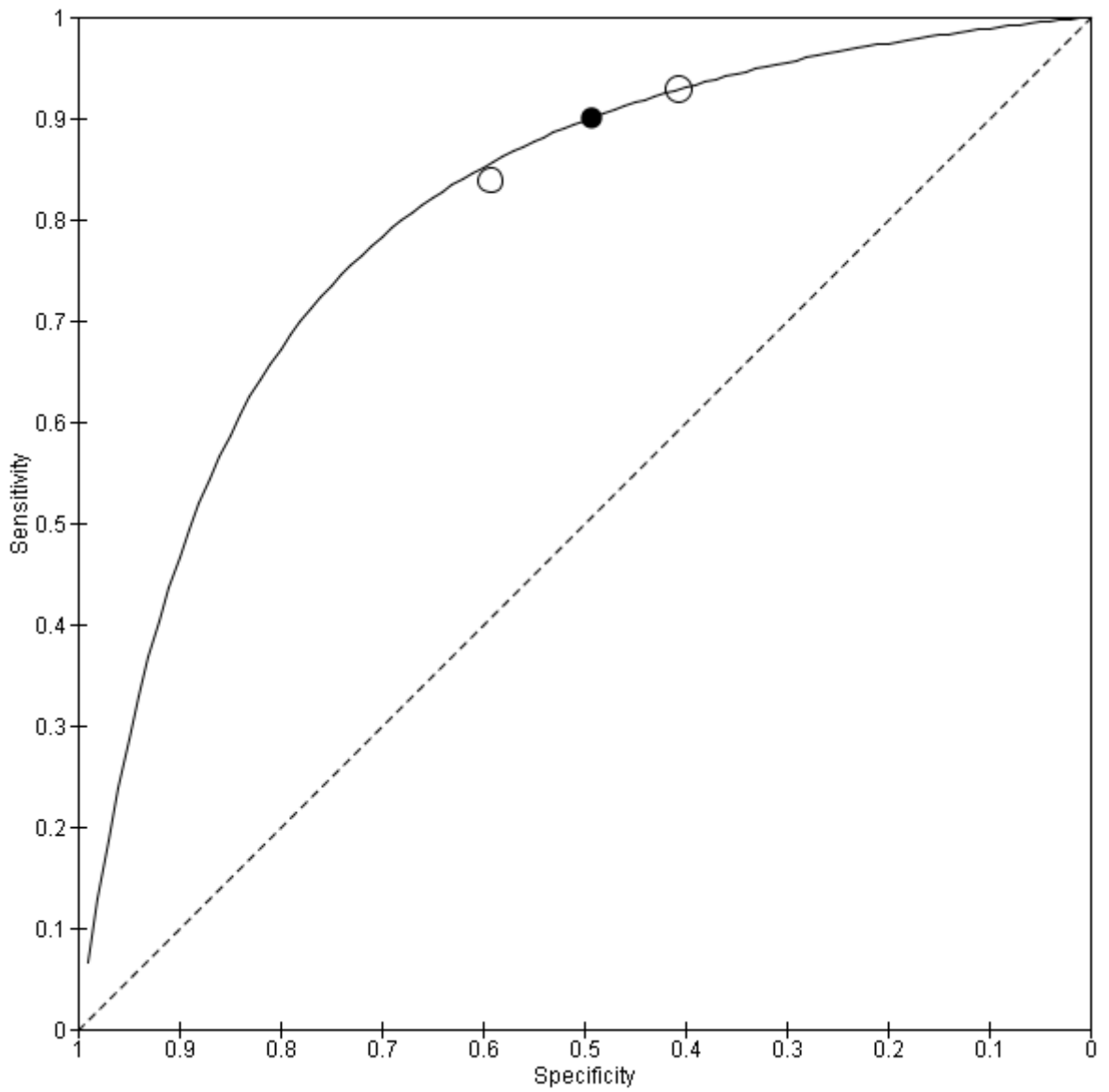
4

1 Figure 16: Epworth sleepiness scale



2
3

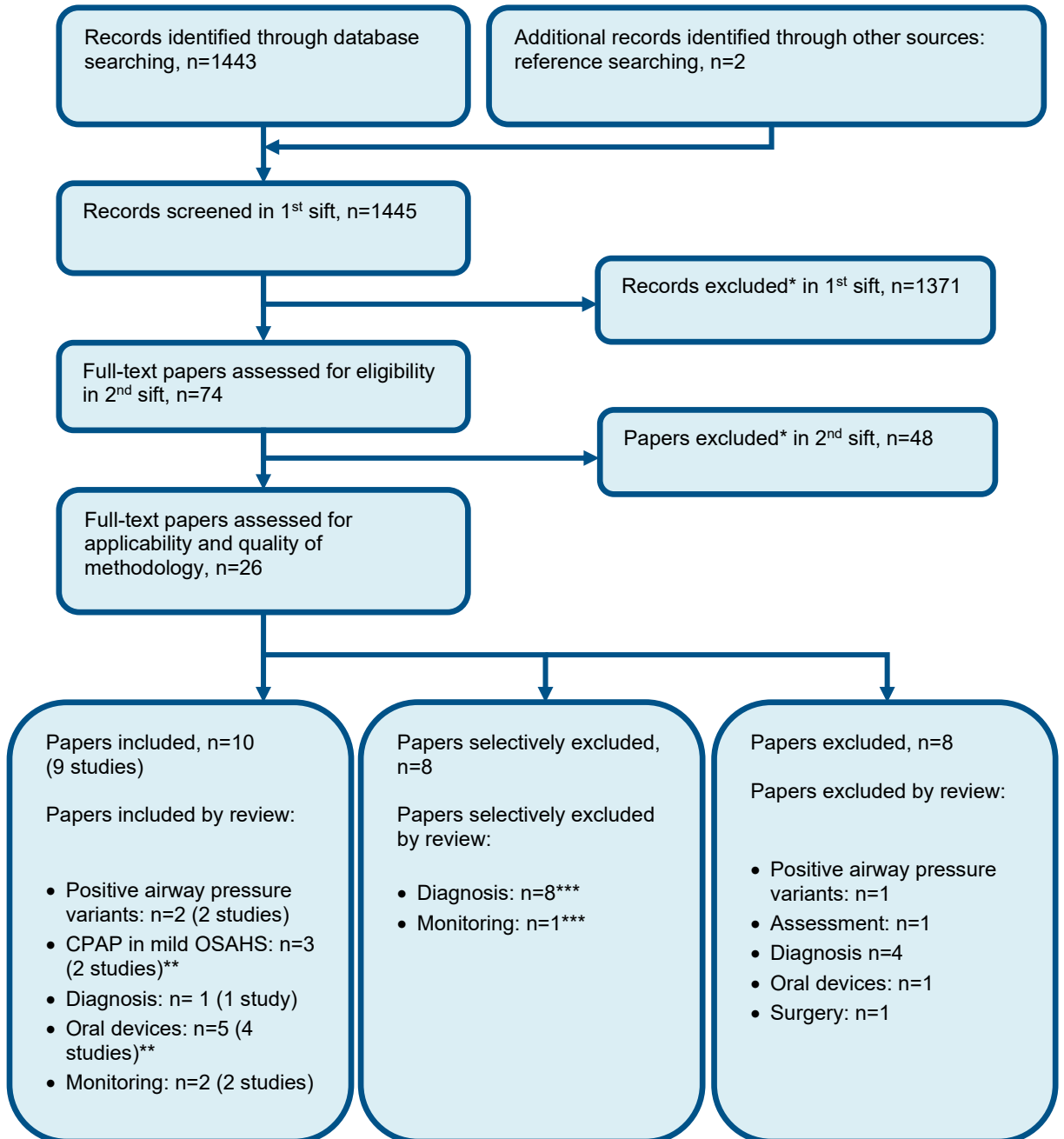
1 Figure 17: Stop-Bang



2
3
4

Appendix F: Health economic evidence selection

Figure 18: Flow chart of health economic study selection for the guideline



* Non-relevant population, intervention, comparison, design or setting; non-English language

** Two studies (in three papers) were included for two different questions

*** One study was considered for two different questions

4
5
6

Appendix G: Health economic evidence tables

None

Appendix H: Excluded studies

H.1 Excluded clinical studies

Table 11: Studies excluded from the clinical review

Reference	Exclusion Reason
Aaronson 2012 ²	Not an assessment scale – hospital oximetry, ODI recorded using polygraph Inappropriate population -stroke patients
Aaronson 2014 ¹	Inappropriate assessment scale - SAS questionnaire Inappropriate reference standard -hospital oximetry Inappropriate population –stroke patients
Abad 2016 ³	Not an assessment scale – SleepWise nonintrusive video system
Abdelghani 2004 ⁴	Inappropriate reference standard – PSG at home or in hospital
Abdeyrim 2015 ⁷	No usable outcomes – no diagnostic accuracy data
Abdeyrim 2016 ⁵	Inappropriate study design – case control study/ no diagnostic accuracy study
Abdeyrim 2016 ⁶	Not an assessment scale - impulse oscillometry
Abdullah 2018 ⁸	Inappropriate assessment scale - The Bahasa Malaysia version of the STOP-BANG questionnaire
Abeyratne 2005 ¹⁰	Not an assessment scale - novel feature termed the 'intra-snore-pitch-jump' (ISPJ) to diagnose OSA.
Abeyratne 2013 ⁹	Inappropriate assessment scale - snore based multi-feature class OSA screening tool
Abraham 2006 ¹¹	Inappropriate population - class III systolic heart failure patients with suspected sleep disordered breathing Not an assessment scale - cardiorespiratory testing system (ClearPath).
Abrahamyan 2018 ¹²	Systematic review - references checked
Abrishami 2010 ¹³	Systematic review - references checked
Abumuamar 2018 ¹⁴	Inappropriate population - patients with atrial fibrillation, recruited from arrhythmia clinics. Not general population
Acharya 2011 ¹⁵	Not an assessment scale – electrocardiogram signals
Adachi 2003 ¹⁶	Not an assessment scale – pulse rate rise
Adams 2016 ¹⁷	Inappropriate reference standard – home unattended polysomnography
Akhter 2018 ¹⁹	Not an assessment scale – snoring sound

Reference	Exclusion Reason
Alakujjala 2016 ²⁰	Not an assessment scale – snoring sound
Alchakaki 2016 ²¹	Not an assessment scale – snoring sound
Alhouqani 2015 ²²	Inappropriate assessment scale – Arabic version of stop bang questionnaire
Almazaydeh 2012 ²³	Not an assessment scale – ECG data
Alshaer 2013 ²⁴	Not an assessment scale – acoustic analysis of breathing sounds
Alshaer 2016 ²⁵	Not an assessment scale - cordless acoustic portable device (BresoDx™)
Alvarez 2006 ²⁸	Not an assessment scale – hospital oximetry
Alvarez 2006 ³¹	Not an assessment scale - nocturnal oximetry using Cross Approximate Entropy (Cross-ApEn).
Alvarez 2007 ³⁰	Not an assessment scale – hospital oximetry
Alvarez 2009 ²⁹	Not an assessment scale – hospital oximetry
Alvarez 2010 ²⁷	Not an assessment scale – oxygen desaturation derived from PSG
Alvarez 2020 ²⁶	Inappropriate reference standard - home polysomnography
Amra 2013 ³³	Not an assessment scale - pulmonary function tests Inappropriate population – patients with sleep disordered breathing
Amra 2018 ³⁴	Systematic review - references checked
Amra 2018 ³²	Inappropriate assessment scale – Persian questionnaires
Andres-Blanco 2017 ³⁵	Not an assessment scale – laboratory oximetry
Andreu 2012 ³⁶	Inappropriate study design – RCT patients with negative tests were also followed up
Araujo 2018 ³⁷	Not an assessment scale – Apnea link Tm single channel device
Arrazola-Cortes 2017 ³⁸	Inappropriate study design – all patients underwent polysomnography only
Arunsurat 2016 ³⁹	Inappropriate study design – not a diagnostic accuracy study, patients got Berlin questionnaire, no reference standard
Assefa 2016 ⁴⁰	Not an assessment scale – ApneaStrip device
Aurora 2018 ⁴¹	Inappropriate population – Patients with heart failure scored for obstructive and central disordered breathing (ApneaLink Plus) Inappropriate index test - The nasal pressure transducers for polysomnography and respiratory polygraphy units were

Reference	Exclusion Reason
	connected to one nasal cannula through a three-way valve for contemporaneous nasal airflow measurement. The two recording systems were synchronized such that the both tests had equivalent total recording time
Avincsal 2017 ⁴²	Inappropriate assessment scale – modified Stop Bang questionnaire, using modified modified Mallampi score
Ayappa 2008 ⁴³	Inappropriate population – patients with suspected sleep disordered breathing Not an assessment scale - The ARES™ consists of the Unicorder device, a self-administered questionnaire, and off-line analysis software.
Ayas 2003 ⁴⁴	Inappropriate population – patients without suspected OSA
Babaeizadeh 2011 ⁴⁵	Not an assessment scale - electrocardiogram derived respiration Inappropriate population –sleep disordered breathing
Bagnato 2000 ⁴⁶	Not an assessment scale – AutoSet™ (AS) system
BaHamam 2015 ⁴⁷	Inappropriate assessment scale – Arabic version of Stop Bang questionnaire
BaHamam 2011 ⁴⁸	Not an assessment scale - ApneaLink™ (AL) is a single-channel type-4 device
Ballester 2000 ⁴⁹	Not an assessment scale – portable respiratory recordings device Inappropriate population – general population, not people with suspected OSAHS
Baltzan 2000 ⁵⁰	Not an assessment scale - oximetry, but not oximetry alone - OxiFlow (OF) device which combines oximetry with recording of thermistor airflow.
Banhiran 2014 ⁵¹	Not an assessment scale – home polysomnography
Banhiran 2014 ⁵²	Inappropriate assessment scale – Thai version of Stop-Bang questionnaire
Barak-Shinar 2013 ⁵³	Inappropriate population – Sleep disordered breathing
Barreiro 2003 ⁵⁴	Inappropriate study design/inappropriate comparison – polysomnography automatic reading was compared to polysomnography manual reading
Bausmer 2010 ⁵⁵	No relevant outcomes – no diagnostic accuracy data
Bauters 2020 ⁵⁶	Inappropriate reference standard – home polygraphy

Reference	Exclusion Reason
Beattie 2013 ⁵⁷	Not an assessment scale – LC system consists of pressure sensors (i.e. LCs) that are placed under the supports of a bed. The LCs detect movement on the bed as fluctuations in the forces supported by each of the bed legs.
Behar 2015 ⁵⁸	Not assessment scale – Machine learning, screening application for smartphones was analysed
Behar 2020 ⁵⁹	Not an assessment scale - OxyDOSA, a published machine learning model, was trained to distinguish between non-OSA and OSA individuals using the ODI computed while including versus excluding overnight desaturations overlapping with a wake period, thus mimicking portable and PSG oximetry analyses, respectively
Ben-Israel 2012 ⁶⁰	Not an assessment scale - Snore sounds were recorded using a directional condenser microphone placed 1 m above the bed.
Berry 2008 ⁶¹	Inappropriate study design – RCT patients randomised to PM-APAP and polysomnography, no diagnostic accuracy data
Best 2013 ⁶²	Inappropriate population – patients with treatment resistant depression. Not general population.
Bille 2015 ⁶³	Inappropriate reference standard - cardiorespiratory monitoring
Bingol 2016 ⁶⁴	Inappropriate assessment scale – Stop – Bang questionnaire was used to predict OHS syndrome
Bohning 2011 ⁶⁵	Not an assessment scale – hospital oximetry
Borsini 2015 ⁶⁷	Inappropriate reference standard – respiratory polygraphy
Borsini 2019 ⁶⁶	Inappropriate reference standard - respiratory polygraphy
Bradley 1995 ⁶⁹	Not an assessment scale - Autoset Inappropriate population – unclear what population was included
Braganza 2020 ⁷⁰	Inappropriate study design - non diagnostic accuracy study, study looked at threshold values for excluding CPAP failure
Bravata 2018 ⁷¹	Not assessment scale - patients were randomised to enhanced intervention, standard intervention and control group.
Brown 2014 ⁷²	Inappropriate population – patients within 45 days of stroke onset, patients with predominantly central sleep apnoea were not excluded.

Reference	Exclusion Reason
	Not an assessment scale – ApneaLink Plus – 3 channels
Bsoul 2011 ⁷³	Not an assessment scale - Real-time sleep apnea monitor using single-lead ECG
Cai 2013 ⁷⁴	Not an assessment scale – Chinese version of ESS questionnaire
Calleja 2002 ⁷⁵	Not assessment scale: /diagnostic accuracy study of diagnostic tests: home oximetry, hospital and home RP
Carter 2004 ⁷⁶	Not an assessment scale – LifeShirt (LS, VivoMetrics, Inc; Ventura CA)
Chai-Coetzer 2017 ⁷⁷	Not an assessment scale–patients randomised full PSG and home RP all participants including those with negative tests were followed up
Chen 2011 ⁷⁸	Inappropriate assessment scale - Chinese ESS/ Inappropriate population - sleep disordered breathing
Chiner 1999 ⁷⁹	Not an assessment scale – hospital oximetry
Chiu 2017 ⁸⁰	Systematic review - references checked
Christensson 2018 ⁸¹	Inappropriate reference standard- hospital polygraphy
Chu 2020 ⁸²	Inappropriate study design - patients were randomised to high flux haemodialysis (HF-HD) followed by 2 month haemodiafiltration or vice-versa with 1 month washout via HF-HD
Chung 2007 ⁸⁵	Inappropriate population – sleep disordered breathing
Chung 2008 ⁸⁸	Inappropriate population – surgical patients, tertiary care
Chung 2012 ⁸³	Inappropriate reference standard – sleep disordered breathing
Chung 2012 ⁸⁴	Inappropriate population – preoperative patients, tertiary care
Chung 2013 ⁸⁷	Inappropriate population – preoperative patients
Chung 2014 ⁸⁶	Inappropriate population – preoperative patients, tertiary care
Claman 2001 ⁸⁹	Inappropriate study design - not questionnaire/diagnostic accuracy study of diagnostic tests: home oximetry, hospital and home RP
Clark 2009 ⁹⁰	Inappropriate reference standard – Embletta polygraphy
Cooper 1991 ⁹¹	Not an assessment scale - Biox IIA ear oximeter with the output signal connected to a Rikadenki three channel chart recorder.

Reference	Exclusion Reason
Corral 2017 ⁹²	–Not assessment scale: : home oximetry, hospital and home RP
Crowley 2013 ⁹⁴	Inappropriate population – sleep disordered breathing
Damiani 2013 ⁹⁵	No relevant outcomes
de Oliveira 2009 ⁹⁷	Not assessment scale: home oximetry, hospital and home RP
de Silva 2011 ⁹⁸	Not an assessment scale – snoring sounds
de Vries 2015 ¹⁰⁰	Inappropriate population patients with heart failure/2 channel sleep screening tool
de Vries 2018 ⁹⁹	Inappropriate population – bariatric surgery patients
Deflandre 2017 ¹⁰¹	Inappropriate population – surgical patients, tertiary care
Deflandre 2018 ¹⁰²	Inappropriate comparison – questionnaires compared with each other
del Campo 2006 ¹⁰³	Not an assessment scale – hospital oximetry
Dette 2016 ¹⁰⁴	Inappropriate population – sleep disordered breathing
Donovan 2020 ¹⁰⁵	Inappropriate study design - not a diagnostic accuracy study, study looked at agreement between sleep specialists and registered nurses
Doshi 2015 ¹⁰⁶	Inappropriate reference standard – portable monitoring
Douglas 1992 ¹⁰⁷	Not an assessment scale - polysomnography
Duarte 2017 ¹⁰⁹	Not appropriate assessment scale – Portuguese Stop-bang questionnaire Inappropriate study design – accuracy of conditional probabilities was analysed
Dzieciolowska-Baran 2020 ¹¹⁰	Book chapter
Ebben 2016 ¹¹¹	Not an assessment scale – hospital oximetry
Ehsan 2020 ¹¹²	Not assessment scale - accuracy of combined home and hospital oximetry in infants was analysed
El Shayeb 2014 ¹¹³	Systematic review - references checked
Ellingsen 2020 ¹¹⁴	Not assessment scale- accuracy of combined home and hospital oximetry in infants was analysed
Emsellem 1990 ¹¹⁵	Not an assessment scale - diagnostic accuracy study of diagnostic tests: home oximetry, hospital and home RP
Epstein 1998 ¹¹⁶	Not an assessment scale - hospital oximetry
Eris Gulbay 2014 ¹¹⁷	Inappropriate study design – not diagnostic accuracy study

Reference	Exclusion Reason
Erman 2007 ¹¹⁸	Not an assessment scale - single channel ApneaLink
Ernst 2015 ¹¹⁹	Inappropriate population - snoring, sleep apnea, or diurnal somnolence
Esnaola 1996 ¹²⁰	No relevant outcomes/inappropriate comparison - selected cut-off points corresponding to the specificity closest to 0.97
Fabius 2019 ¹²¹	Inappropriate reference standard - portable monitoring
Faria 2015 ¹²²	Inappropriate assessment scale – Portuguese version Berlin and ESS questionnaires
Farney 1986 ¹²³	Not an assessment scale – hospital oximetry
Fasbender 2019 ¹²⁴	Not an assessment scale - photoplethysmography
Fawale 2016 ¹²⁵	No relevant outcomes – no diagnostic accuracy data
Firat 2012 ¹²⁷	Inappropriate population - all heavy-vehicle driver's license applicants
Fletcher 2000 ¹²⁸	Inappropriate reference standard – no polysomnography
Forni Ognà 2015 ¹²⁹	Inappropriate population – hemodialysis patients
Frangopoulos 2019 ¹³⁰	Inappropriate reference standard -no polysomnography
Fry 1998 ¹³¹	No relevant outcomes – no diagnostic accuracy data
Fuller 2014 ¹³²	Inappropriate comparison – patients randomised to risk assessment only vs risk assessment+ nasal flow group
Gabryelska 2020 ¹³³	inappropriate assessment scale - BOAH scale
Gagnadoux 2002 ¹³⁴	Not an assessment scale – home polysomnography
Gantner 2010 ¹³⁵	Inappropriate reference standard – home polysomnography
Garg 2014 ¹³⁶	Not an assessment scale - diagnostic accuracy study of diagnostic tests: home oximetry, hospital and home RP
Gasa 2013 ¹³⁷	Inappropriate population- bariatric patients Inappropriate study design – predictive models using anthropometric and clinical predictors were analysed
Geessinck 2018 ¹³⁸	Inappropriate study design – Markov model
Gergely 2009 ¹³⁹	Not an assessment scale – sleep strip
Giampa 2018 ¹⁴⁰	Inappropriate assessment scale – NoSAS questionnaire

Reference	Exclusion Reason
Gjerve 2011 ¹⁴¹	Not assessment scale: home oximetry, hospital and home RP
Glantz 2013 ¹⁴²	Inappropriate population – coronary artery disease patients No relevant outcomes – no diagnostic accuracy data
Glazer 2018 ¹⁴³	Inappropriate population- patients undergoing bariatric surgery, tertiary care
Goldstein 2018 ¹⁴⁴	Not an assessment scale – HSAT, no diagnostic accuracy data
Golpe 1999 ¹⁴⁶	No relevant outcomes – validity indices of oximetry parameters were calculated
Golpe 2002 ¹⁴⁵	Inappropriate study design - not questionnaire/diagnostic accuracy study of diagnostic tests: home oximetry, hospital and home RP
Goodrich 2009 ¹⁴⁷	Not assessment scale: home oximetry, hospital and home RP
Graco 2018 ¹⁴⁸	Inappropriate population - chronic tetraplegia Inappropriate assessment scale – tetraplegia specific questionnaire
Gros 2015 ¹⁴⁹	Inappropriate population – Parkinson’s disease Not an assessment scale – Embletta gold Natus, three channels
Grover 2008 ¹⁵¹	Inappropriate population – sleep disordered breathing
Grover 2018 ¹⁵⁰	No relevant outcomes – no diagnostic accuracy data
Gu 2020 ¹⁵²	Not an assessment scale - Belun ring platform, which captures oxygen saturation, photoplethysmography accelerometers signals
Gugger 1997 ¹⁵³	Not an assessment scale – Resmed AutoSet
Guimaraes 2012 ¹⁵⁴	Not in English
Gumb 2018 ¹⁵⁵	Inappropriate population – patients recruited without regard to OSA symptoms
Gunduz 2018 ¹⁵⁶	No relevant outcomes – no diagnostic accuracy data
Gupta 2016 ¹⁵⁷	Inappropriate assessment scale - Hindi Berlin questionnaire
Gyulay 1993 ¹⁵⁸	Inappropriate study design/ Not an assessment scale - diagnostic accuracy study of diagnostic tests: home oximetry, hospital and home RP
Ha 2014 ¹⁵⁹	Inappropriate assessment scale – Chinese questionnaires
Hara 2006 ¹⁶⁰	Not an assessment scale – voice program

Reference	Exclusion Reason
Hashizaki 2014 ¹⁶¹	Not an assessment scale - contactless biomotion sensor
Heneghan 2008 ¹⁶²	Not an assessment scale - Electrocardiogram recording
Herer 2002 ¹⁶³	Inappropriate population – Sleep disordered breathing
Hilmisson 2019 ¹⁶⁵	Not an assessment scale - ECG analysis
Holmedahl 2019 ¹⁶⁶	Not assessment scale- patients were randomised to beetroot juice containing nitrate or placebo
Hong 2018 ¹⁶⁷	Inappropriate population – sleep disordered breathing
Horvath 2018 ¹⁶⁸	Inappropriate population – bariatric surgery patients, tertiary care Inappropriate reference standard – hospital polygraphy
Hui 2017 ¹⁶⁹	Not assessment scale- ambulatory approach versus the hospital-based approach
Hussain 2003 ¹⁷⁰	Not assessment scale- patients with normal oximetry results were recruited
Iber 2004 ¹⁷¹	Not an assessment scale – home polysomnography
Ibrahim 2007 ¹⁷²	No relevant outcomes – nodiagnostic accuracy data
Ioachimescu 2020 ¹⁷³	Not assessment scale- non diagnostic accuracy study, study analysed performance of peripheral arterial tonometry
Isaac 2017 ¹⁷⁴	Inappropriate population – patients admitted for any medical reason
Jen 2020 ¹⁷⁵	Not assessment scale: home oximetry, hospital and home RP
Jobin 2007 ¹⁷⁶	Systematic review - references checked
Kahal 2020 ¹⁷⁷	Inappropriate comparison - respiratory poligraphy manual scoring compared to respiratory polygraphy automatic scoring
Kaminska 2010 ¹⁷⁸	Systematic review - references checked
Karakoc 2014 ¹⁷⁹	Inappropriate reference standard – no polysomnography
Karaloglu 2017 ¹⁸⁰	Inappropriate comparison – polysomnography vs polysomnography
Katzan 2016 ¹⁸¹	Inappropriate population – cerebrovascular patients (ischemic stroke, intracerebral haemorrhage and carotid occlusion)
Khandoker 2009 ¹⁸²	Not an assessment scale - short-term electrocardiogram recordings
Kicinski 2016 ¹⁸³	Inappropriate population – sleep disordered breathing
Kiely 1996 ¹⁸⁴	Not an assessment scale -ResCare Autoset

Reference	Exclusion Reason
Kim 2015 ¹⁸⁵	Inappropriate assessment scale – Korean questionnaires
Kim 2015 ¹⁸⁶	Inappropriate study design - economic analysis
Korvel-Hanquist 2018 ¹⁸⁷	Inappropriate assessment scale– Danish Stop Bang questionnaire
Kristiansen 2020 ¹⁸⁸	Inappropriate comparison - manual respiratory polygraphy compared to automatic respiratory polygraphy
Kukwa 2020 ¹⁸⁹	Inappropriate study design - study comparing in-laboratory PSG and HSAT using a peripheral arterial tone (PAT) technology device. No diagnostic accuracy data
Kum 2015 ¹⁹¹	Inappropriate assessment scale – Turkish ESS questionnaire
Kum 2018 ¹⁹⁰	Not an assessment scale – oximetry from polysomnography
Kuna 2011 ¹⁹²	Not assessment scale– analysis under 3 conditions 1. traditional PSG, 2. modified PSG + Lifeshirt, 3. Lifeshirt at home. Lifeshirt – 3 channels
Lachapelle 2019 ¹⁹³	Inappropriate population– patients with inconclusive home study results were included in the analysis
Lado 2011 ¹⁹⁴	Not assessment scale– assessment of ECG databases
Lajoie 2020 ¹⁹⁵	Not assessment scale- aim of the study was to determine the accuracy of home oximetry to distinguish between nocturnal oximetry desaturation relapsed to COPD alone or to sleep apnoea in patients with moderate to severe COPD who have significant nocturnal hypoxemia with clinical changes in saturation/ no relevant outcomes - no sensitivity or specificity data
Lam 2010 ¹⁹⁶	Inappropriate population – patients screened from Diabetes mellitus database No relevant outcomes
Laohasiriwong 2013 ¹⁹⁷	No relevant outcomes – no diagnostic accuracy data
Laporta 2012 ¹⁹⁸	Inappropriate population – Ischemic heart disease patients. Patients recruited from cardiology clinic. Not general population
Laranjeira 2018 ¹⁹⁹	Inappropriate study design – not a diagnostic accuracy study
Lauritzen 2018 ²⁰⁰	Inappropriate assessment scale – Danish Berlin questionnaire
Lazaro 2020 ²⁰¹	Not in English

Reference	Exclusion Reason
Le 2016 ²⁰²	Inappropriate study design – not diagnostic accuracy study
Leclerc 2014 ²⁰³	No relevant outcomes - No diagnostic accuracy data
Lee 2008 ²⁰⁴	Not an assessment scale – multisensory manometry No relevant outcomes – no diagnostic accuracy data
Lee 2011 ²⁰⁸	Inappropriate population – patients with diagnosed OSA
Lee 2012 ²¹²	Inappropriate population – patients with diagnosed OSA
Lee 2013 ²⁰⁷	Not assessment scale- snoring detection method based on hidden Markov models
Lee 2015 ²⁰⁶	Not assessment scale- Nasal pressure recordings for automatic snoring detection
Lee 2015 ²¹⁰	Inappropriate population – patients with diagnosed OSA
Lee 2015 ²¹¹	Inappropriate population – patients with diagnosed OSA
Lee 2016 ²⁰⁵	Inappropriate population – patients with diagnosed OSA
Lee 2016 ²⁰⁹	Inappropriate population – patients with diagnosed OSA
Leitzen 2014 ²¹³	No relevant outcomes – no diagnostic accuracy data
Lentini 2006 ²¹⁴	Not an assessment scale – serum creatine phosphokinase
Leppanen 2016 ²¹⁵	Not assessment scale– study analysed RemLogic™ plug-in
Levartovsky 2016 ²¹⁶	Not an assessment scale – breathing and snoring sounds recorded by polysomnography
Levendowski 2009 ²¹⁷	Inappropriate population – untreated OSA patients
Levendowski 2015 ²¹⁹	Not an assessment scale - neck device measuring loud snoring
Levendowski 2018 ²¹⁸	No usable outcomes – no diagnostic accuracy data
Levy 1996 ²²⁰	Not an assessment scale – hospital oximetry
Li 2014 ²²³	Inappropriate population – confirmed OSA
Li 2017 ²²²	Not an assessment scale - photoplethysmograph
Li 2018 ²²¹	Not an assessment scale - single-lead ECG signal
Liam 1996 ²²⁴	Not an assessment scale – Edentrace II
Liesching 2004 ²²⁵	Not assessment scaleSNAP technology sleep sonography

Reference	Exclusion Reason
Lim 2008 ²²⁷	Not an assessment scale – polysomnography data was analysed
Lim 2018 ²²⁶	Not an assessment scale – Soft palate length with velum obstruction
Lin 2009 ²²⁸	Inappropriate population – patients with diagnosed OSA
Ling 2012 ²²⁹	Not an assessment scale – hospital oximetry
Linz 2018 ²³⁰	Not an assessment scale - hospital oximetry
Lipatov 2018 ²³¹	Inappropriate population – patients with negative polysomnography
Littner 2005 ²³²	Inappropriate study design – Literature review
Liu 2012 ²³³	No relevant outcomes – no diagnostic accuracy data
Liu 2017 ²³⁴	Not assessment scale– support vector machine was used to predict model for severity of OSA
Lloberes 2001 ²³⁵	Not assessment scale: home oximetry, hospital and home RP
Logar 2013 ²³⁶	Not assessment scale- modern machine learning method, the support vector machine to establish a predicting model for the severity of OSA
Lopes 2008 ²³⁷	Inappropriate study design – not a diagnostic accuracy study
Lopez-Acevedo 2009 ²³⁹	Inappropriate study design – not a diagnostic accuracy study
Lopez-Acevedo 2009 ²³⁸	Inappropriate study design – not a diagnostic accuracy study
Lu 2017 ²⁴⁰	Inappropriate population – asthma patients
Lucey 2016 ²⁴¹	Not an assessment scale – single channel EEG
Luo 2014 ²⁴²	Inappropriate assessment scale – Chinese questionnaires
Luo 2014 ²⁴³	Inappropriate assessment scale – Chinese questionnaires
Luo 2015 ²⁴⁴	Not an assessment scale - nomogram
Macavei 2013 ²⁴⁵	Inappropriate reference standard – partial pressure of carbon dioxide (pCO ₂)
MacGregor 2013 ²⁴⁶	Not an assessment scale - tracheal breath sounds
MacGregor 2014 ²⁴⁷	Inappropriate study design – conference proceedings
Mador 2005 ²⁴⁸	Inappropriate study design – not a diagnostic accuracy study
Maeder 2015 ²⁴⁹	Inappropriate study design – not a diagnostic accuracy study
Maestri 2011 ²⁵⁰	Inappropriate study design – not a diagnostic accuracy study

Reference	Exclusion Reason
Magalang 2003 ²⁵¹	Not an assessment scale – hospital oximetry
Magnusdottir 2018 ²⁵²	Not an assessment scale - single-lead electrocardiogram signal
Mahakit 2012 ²⁵³	Not an assessment scale – daytime polysomnography
Maier 2006 ²⁵⁴	Not an assessment scale - electrocardiogram
Maier 2011 ²⁵⁶	Not an assessment scale - electrocardiogram
Maier 2014 ²⁵⁵	Not an assessment scale - electrocardiogram
Maimon 2010 ²⁵⁷	Not an assessment scale - snoring
Maislin 1995 ²⁵⁸	Inappropriate study design – not diagnostic accuracy study
Makarie Rofail 2008 ²⁵⁹	Not an assessment scale – nasal flow
Malbois 2010 ²⁶⁰	Inappropriate comparison – oximetry compared to polygraphy
Man 1995 ²⁶¹	Inappropriate population - SDB
Mandal 2014 ²⁶²	Inappropriate population – sleep disordered breathing
Manoochehri 2018 ²⁶³	Not an assessment scale – models LRM and C5.0
Manoochehri 2018 ²⁶⁴	Not an assessment scale – support vector machine based algorithm
Manser 2001 ²⁶⁵	Inappropriate study design – different scoring methods analysed, not diagnostic accuracy study
Manuel 2015 ²⁶⁶	Inappropriate study design – not a diagnostic accuracy study
Maranate 2015 ²⁶⁷	Not an assessment scale – not a diagnostic accuracy study
Marcos 2007 ²⁷⁰	Inappropriate study design – conference proceedings
Marcos 2008 ²⁷¹	Inappropriate population – patients with atrial fibrillation
Marcos 2008 ²⁷⁴	Not an assessment scale – not a diagnostic accuracy study
Marcos 2009 ²⁷³	Inappropriate study design – not a diagnostic accuracy study
Marcos 2009 ²⁷²	Inappropriate study design – not a diagnostic accuracy study
Marcos 2010 ²⁶⁹	Inappropriate study design – not a diagnostic accuracy study
Marcos 2010 ²⁷⁵	Inappropriate study design – not a diagnostic accuracy study
Marcos 2011 ²⁷⁶	Inappropriate study design – not a diagnostic accuracy study
Marcos 2012 ²⁶⁸	Inappropriate study design – not a diagnostic accuracy study

Reference	Exclusion Reason
Marcos 2016 ²⁷⁷	Inappropriate study design – not a diagnostic accuracy study
Margallo 2014 ²⁷⁸	Inappropriate population- patients with resistant hypertension. Patients recruited from hypertension outpatient clinic (tertiary care University Hospital)
Marrone 2001 ²⁷⁹	Not assessment scale: home oximetry, hospital and home RP
Martinez 2005 ²⁸⁵	Not an assessment scale – hospital oximetry
Martinez 2009 ²⁸⁴	Inappropriate study design – not a diagnostic accuracy study
Martinez 2011 ²⁸²	Inappropriate population – sleep disordered breathing
Martinez 2012 ²⁸³	Inappropriate population – coronary artery disease/angina complaints Inappropriate reference standard – home polysomnography
Martinez-Garcia 2018 ²⁸¹	Inappropriate population – patients with resistant hypertension No relevant outcomes – no diagnostic accuracy data
Martinot 2017 ²⁸⁶	Not an assessment scale – Mandibular position and movements
Martinot 2017 ²⁸⁷	Inappropriate population – sleep disordered breathing
Martins 2020 ²⁸⁸	no relevant outcomes -sensitivity and specificity not reported
Martinot 2017 ²⁸⁷	Inappropriate population – sleep disordered breathing
Marti-Soler 2016 ²⁸⁰	Inappropriate population – sleep disordered breathing
Masa 2011 ²⁹²	Inappropriate study design – patients randomised to home RP vs hospital PSG, no relevant outcomes
Masa 2013 ²⁸⁹	Inappropriate study design – RCT, no relevant outcomes
Masa 2014 ²⁹⁴	Not an assessment scale - single channel (ApneaLink; Resmed)
Masa 2011 ²⁹¹	Inappropriate study design - RCT, no relevant outcomes
Masa 2013 ²⁹⁰	Inappropriate study design - RCT, no relevant outcomes
Masa 2013 ²⁹³	Inappropriate study design - RCT, no relevant outcomes
Massie 2018 ²⁹⁵	Not an assessment scale – hospital NightOWL
Maury 2013 ²⁹⁶	Not an assessment scale – oximetry + nasal flow
Maury 2014 ²⁹⁷	Inappropriate population – sleep disordered breathing

Reference	Exclusion Reason
Mayer 1998 ²⁹⁹	Inappropriate population – snoring or suspected OSAHS
Mayer 2019 ²⁹⁸	Not assessment scale- different heart rate acceleration and pulse transit time cut-offs calculated with total sleep time, all patients underwent polysomnography
Maziere 2014 ³⁰⁰	Inappropriate reference standard – hospital pulse oximetry
Mazza 2017 ³⁰¹	Inappropriate population – atrial fibrillation patients who received dual-chamber pacemaker No relevant outcomes – no diagnostic accuracy data
McArdle 2000 ³⁰²	Inappropriate study design – long term outcomes were assessed in people from CPAP trial
McArdle 2020 ³⁰³	No relevant outcomes - no diagnostic accuracy data
McCall 2009 ³⁰⁴	Inappropriate population – depressed patients with insomnia No usable outcomes – no diagnostic accuracy data
McCarter 2014 ³⁰⁵	Not assessment scale– study analysed RSWA phasic burst durations
Mclsaac 2015 ³⁰⁶	Not assessment scale- accuracy of case-ascertainment algorithms for identifying patients with OSA
McMahon 2017 ³⁰⁷	Inappropriate population – Sleep disordered breathing patients
McMillan 2015 ³⁰⁸	Inappropriate study design – health technology assessment
Medarov 2020 ³⁰⁹	Inappropriate reference standard - home polysomnography vs hospital polysomnography
Mehra 2008 ³¹⁰	Not an assessment scale - wrist actigraphy Inappropriate population – sleep disordered breathing
Meissner 2014 ³¹¹	Not assessment scale– multiple system atrophy/ home RP (oximetry, nasal flow, abdominal movements) polysomnography performed after 4 weeks.
Mendelson 1994 ³¹²	Inappropriate study design – not a diagnostic accuracy study
Mendez 2010 ³¹³	Not an assessment scale - ECG based on empirical mode decomposition and wavelet analysis
Meng 2016 ³¹⁴	Not an assessment scale - micromovement sensitive mattress
Mergen 2019 ³¹⁵	No relevant outcomes - specificity was not reported

Reference	Exclusion Reason
Mesquita 2012 ³¹⁶	Not an assessment scale – respiratory sounds
Methipisit 2016 ³¹⁷	Not assessment scale– linguistic validation of THAI version ESS questionnaire
Meurgey 2018 ³¹⁸	Inappropriate population – sleep disordered breathing in bariatric patients
Michaelson 2006 ³¹⁹	Not an assessment scale – SNAP testing
Mihaicuta 2017 ³²⁰	Inappropriate study design – not diagnostic accuracy study, patient network analysis
Miller 2018 ³²¹	Inappropriate analysis – unclear calculations
Miller 2018 ³²²	Systematic review - references checked
Minic 2014 ³²³	Inappropriate population - Sleep disordered breathing in group 1 pulmonary arterial hypertension
Miyata 2020 ³²⁴	Not an assessment scale - sheet like device called SD 102 with SPO2 monitoring
Mokhlesi 2007 ³²⁵	Inappropriate study design – prevalence in OHS was measured in the population with confirmed OSA
Morales 2012 ³²⁶	Not an assessment scale – single channel ResCare AutoSet
Morales Divo 2009 ³²⁷	Not an assessment scale - ApneaGraph
Morgan 2010 ³²⁸	Inappropriate population- Sleep-disordered Breathing
Morgenstern 2010 ³³⁰	Not assessment scalestudy assessed automatic differentiation of central hypopnea
Morgenstern 2013 ³²⁹	Not an assessment scale – nasal airflow
Morillo 2009 ³³²	Not assessment scale- Poincare analysis of an overnight arterial oxygen saturation
Morillo 2013 ³³¹	Not assessment scale- Probabilistic neural network approach for the detection
Moro 2016 ³³³	Not an assessment scale – economical study
Morrell 2012 ³³⁴	Inappropriate population – sleep disordered breathing
Morris 2005 ³³⁵	Not an assessment scale - acoustic rhinometry
Morris 2008 ³³⁶	Not an assessment scale – snoring severity score
Mou 2019 ³³⁷	Inappropriate study design – validation of STOP-Bang among clinically referred patients and tested alternative scoring designs on tool performance, with a focus on gender differences in OSA.
Mueller 2006 ³³⁸	Not an assessment scale - transthoracic impedance recording integrated into a Holter ECG system
Mulgrew 2007 ³³⁹	Not assessment scale- compared

Reference	Exclusion Reason
	standard PSG with ambulatory CPAP titration in high-risk patients identified by a diagnostic algorithm.
Munoz-Ferrer 2020 ³⁴⁰	Not assessment scaledesign - the study aimed to evaluate the degree of measurement agreement between stepwise, in laboratory attended polysomnography and a home, no sleep apnea test diagnostic accuracy data
Musman 2011 ³⁴¹	Economic model with no new clinical evidence
Mutlu 2020 ³⁴²	No relevant outcomes- no diagnostic accuracy data
Nagappa 2015 ³⁴³	Systematic review - references checked
Nagubadi 2016 ³⁴⁴	Inappropriate population – sleep disordered breathing
Nahapetian 2016 ³⁴⁵	Inappropriate study design – prevalence in OHS was measured in the population with confirmed OSA
Nakano 2004 ³⁴⁷	Not an assessment scale - Tracheal Sound Analysis
Nakano 2004 ³⁴⁹	Inappropriate comparison – BMI compared to ODI
Nakano 2007 ³⁵⁰	Not an assessment scale – single channel airflow signal
Nakano 2008 ³⁴⁶	Not an assessment scale – snoring intensity/ no diagnostic accuracy data
Nakano 2008 ³⁵¹	Not assessment scale- Somnie (1 channel)
Nakano 2014 ³⁴⁸	Not an assessment scale – snoring sound recorded via smartphone
Narayan 2019 ³⁵²	Not an assessment scale - smartphone-recorded sounds validated by polysomnography
Netzer 1999 ³⁵⁴	Inappropriate reference standard – home respiratory polygraphy
Ng 2007 ³⁵⁸	Not an assessment scale – snore signals
Ng 2008 ³⁵⁶	Not an assessment scale - frequencies of snore signals
Ng 2009 ³⁵⁵	Not an assessment scale – snore signals
Ng 2009 ³⁵⁷	Inappropriate study design - acoustical and perceptual impacts of changing the cross-sectional areas (CSA) of the pharynx and oral cavity on the production of snores
Ng 2017 ³⁶²	Not an assessment scale - Apnea link-ox (3 channels)
Ng 2019 ³⁶¹	Not assessment scale– study investigated acoustical and perceptual impacts of changing the cross sectional areas (CSA) of the pharynx and oral cavity on the production of snores

Reference	Exclusion Reason
Ng 2009 ³⁵⁹	Not an assessment scale - Apnea link-ox (3 channels)
Ng 2010 ³⁶⁰	Inappropriate study design - not questionnaire/diagnostic accuracy study of diagnostic tests: home oximetry, hospital and home RP
Nicholl 2012 ³⁶⁴	Inappropriate study design – not a diagnostic accuracy study
Nicholl 2013 ³⁶³	Inappropriate population patients with CKD and end-stage renal disease Inappropriate reference standard –home cardiopulmonary study
Nigro 2009 ³⁶⁵	Not an assessment scale – hospital oximetry
Nigro 2011 ³⁷²	Not an assessment scale - ApneaLink (1 channel)
Nigro 2012 ³⁶⁹	Not an assessment scale - ApneaLink (1 channel)
Nigro 2012 ³⁷¹	Not an assessment scale – hospital oximetry
Nigro 2015 ³⁷³	Not an assessment scale - diagnostic accuracy of autoscoring from auto-CPAP using different cut-off points
Nigro 2016 ³⁶⁸	Inappropriate study design – accuracy of clinical criteria to diagnose OSA and prescribe CPAP
Nigro 2011 ³⁶⁷	Not an assessment scale - Apnea link single channel
Nigro 2013 ³⁷⁰	Not an assessment scale - Apnea link-ox (3 channels)
Nigro 2012 ³⁷⁴	Inappropriate study design- skilled observer compared to observer with no experience
Nigro 2010 ³⁷⁵	Not an assessment scale –ApneaLink 1 channel
Nigro 2019 ³⁶⁶	Not an assessment scale - pulse oximetry recorded from hospital polysomnography
Niiijima 2007 ³⁷⁶	Inappropriate population/inappropriate study design – workers in transport, construction, retail and security companies/no diagnostic accuracy study
Nilius 2017 ³⁷⁷	Inappropriate study design – not diagnostic accuracy study, study assessed diagnostic agreement between PSG vs PDX
Nishiyama 2014 ³⁷⁸	Not an assessment scale – polysomnography recordings
Norman 2017 ³⁷⁹	Not assessment scale– Polysomnography at home vs polysomnography in hospital
Novkovic 2019 ³⁸⁰	no relevant outcomes - no diagnostic accuracy data
O'Brien 2007 ³⁸¹	Inappropriate study design – conference paper on ECG derived respiratory signals

Reference	Exclusion Reason
O'Driscoll 2013 ³⁸²	No relevant outcomes - accuracy data for determination of sleep and wake between SenseWear and PSG
Oeverland 2002 ³⁸³	Inappropriate population – Sleep disordered breathing
Oktaç 2011 ³⁸⁴	Not an assessment scale - ApneaLink-ox (1 channel)
Oliveira 2012 ³⁸⁵	Not an assessment scale – Stardust, 3 channel portable recorder
Oliveira 2015 ³⁸⁶	Not an assessment scale – Stardust II 3 channel recorder
Olson 1999 ³⁸⁷	Inappropriate study design – diagnostic accuracy of cumulative percentage time at SaO ₂ < 90% (CT90) and a saturation variability index
Onder 2012 ³⁸⁸	No relevant outcomes – no diagnostic accuracy data
Onen 2008 ³⁸⁹	Not an assessment scale - Observation-based Nocturnal Sleep Inventory
Ong 2010 ³⁹⁰	Not an assessment scale – simplified Stop-Bang questionnaire
Ortiz-Tudela 2014 ³⁹¹	Not an assessment scale - wrist Temperature, motor Activity and body Position (TAP)
Ozegowski 2007 ³⁹²	Not an assessment scale - ambulatory ECG
Ozmen 2011 ³⁹³	Not an assessment scale – sleep strip, 3 channels
Pallin 2014 ³⁹⁴	Not an assessment scale – SleepMinder™ biomotion sensor
Pamidi 2011 ³⁹⁵	No usable outcomes – no diagnostic accuracy data
Panchasara 2017 ³⁹⁶	Inappropriate study design – not diagnostic accuracy study
Pang 2006 ³⁹⁷	Not an assessment scale - SleepStrip
Pang 2007 ³⁹⁸	No usable outcomes – prevalence not reported
Park 2015 ³⁹⁹	Not an assessment scale – polysomnography automated vs polysomnography manual methods
Park 2015 ⁴⁰⁰	Inappropriate population – sleep disordered breathing
Parra 1997 ⁴⁰¹	No usable outcomes – diagnostic accuracy presented on a ROC curve only
Passali 2011 ⁴⁰²	No usable outcomes – no diagnostic accuracy data
Pataka 2014 ⁴⁰³	Inappropriate assessment scale – Greek questionnaires
Pataka 2019 ⁴⁰⁴	Inappropriate assessment scale – Greek questionnaires

Reference	Exclusion Reason
Pataka 2016 ⁴⁰⁶	Inappropriate analysis - unclear calculation methods used, sensitivity and specificity was calculated including symptoms however it is unclear from the paper how those symptoms were used
Pataka 2020 ⁴⁰⁵	Inappropriate reference standard - Embla Embletta® GOLD Portable respiratory polygraphy REI>15
Patout 2020 ⁴⁰⁷	Inappropriate study design - patients randomised to automated expiratory positive airway pressure (AVAPS-AE) or pressure support ventilation (ST)
Peker 2018 ⁴⁰⁸	No usable outcomes - no diagnostic accuracy data
Pelletier-Fleury 2001 ⁴⁰⁹	Not an assessment scale – home polysomnography
Penacoba 2020 ⁴¹⁰	Inappropriate study design - non diagnostic accuracy study, diagnostic agreement between primary and specialized care was measured
Peng 2018 ⁴¹¹	Inappropriate population – suspected sleep disordered breathing
Penzel 2002 ⁴¹²	Inappropriate population - patients with obstructive sleep apnea and arterial hypertension
Penzel 2004 ⁴¹³	No relevant outcomes – no diagnostic accuracy data
Penzel 2004 ⁴¹⁴	Inappropriate study design – conference paper
Pepin 2009 ⁴¹⁵	Not an assessment scale - ECG Holter device including a nasal pressure
Peto 2017 ⁴¹⁷	Not an assessment scale – Brussels questionnaire
Phua 2020 ⁴¹⁸	Not assessment scale- Study investigated if WatchPat reduces time to diagnosis and treatment, no diagnostic accuracy study
Pichel 2006 ⁴¹⁹	No usable outcomes – No diagnostic accuracy data
Pietzsch 2011 ⁴²⁰	Economic model with no new clinical evidence
Pihitili 2017 ⁴²¹	Inappropriate study design – not a diagnostic accuracy study, study investigated frequency of predictors of OHS in obese patients
Pillar 1994 ⁴²²	No usable outcomes – diagnostic accuracy of OSA predictions made from questionnaires, clinical interviews and physical examinations
Pinna 2014 ⁴²³	Inappropriate population – sleep disordered breathing in heart failure patients
Pinto 2015 ⁴²⁴	Not an assessment scale – peripheral arterial tonometry

Reference	Exclusion Reason
Pissulin 2018 ⁴²⁵	Inappropriate assessment scale – Portuguese questionnaire
Pittman 2004 ⁴²⁶	Not an assessment scale – home and hospital watchPAT 100
Pittman 2004 ⁴²⁷	Not an assessment scale - Polysomnography
Planes 2010 ⁴²⁸	Not assessment scale– automatic polysomnography scoring compared to manual scoring polysomnography at home
Polese 2013 ⁴²⁹	Not assessment scale: home oximetry, hospital and home RP
Popovic 2009 ⁴³⁰	Not an assessment scale– ARES™ Unicorder, Advanced Brain Monitoring/no diagnostic accuracy data
Poupard 2012 ⁴³²	Not an assessment scale inappropriate population - ECG Holter monitor/sleep disordered breathing
Poupard 2012 ⁴³³	Not an assessment scale – hospital oximetry
Pouliot 1997 ⁴³¹	Incorrect cut-off was used for reference standard AI<20
Pradhan 1996 ⁴³⁴	Not an assessment scale – Pittsburgh sleep quality index
Prasad 2017 ⁴³⁵	Inappropriate assessment scale – Modified Berlin questionnaire
Prikladnicki 2018 ⁴³⁶	Not an assessment scale - Orofacial Myofunctional Evaluation with Scores
Quaranta 2016 ⁴³⁷	Inappropriate reference standard - Somnea, polygraphy
Quintana-Gallego 2004 ⁴³⁸	Inappropriate population – sleep disordered breathing in heart failure
Rajeswari 2020 ⁴³⁹	Inappropriate study design - not a diagnostic accuracy study, different questionnaires were compared, no polysomnography
Randerath 2013 ⁴⁴⁰	Not an assessment scale - oesophageal manometry
Rashid 2020 ⁴⁴¹	systematic review references checked
Rathnayake 2010 ⁴⁴²	Not an assessment scale – single channel airflow measurement. /Inappropriate population sleep disordered breathing
Rauhala 2009 ⁴⁴³	Not an assessment scale - Periodic limb movement screening
Rauscher 1993 ⁴⁴⁴	Not an assessment scale – hospital oximetry
Ravelo-Garcia 2014 ⁴⁴⁵	Not an assessment scale - electrocardiogram
Raymond 2003 ⁴⁴⁶	Not an assessment scale - Combined index of heart rate variability and oximetry, hospital setting

Reference	Exclusion Reason
Rebello-Marques 2018 ⁴⁴⁷	Inappropriate assessment scale – Portuguese version of Stop Bang questionnaire
Reda 2001 ⁴⁴⁸	Not an assessment scale - pharyngo-eosophageal manometry.
Rees 1998 ⁴⁴⁹	No relevant outcomes – no diagnostic accuracy data
Reichert 2003 ⁴⁵⁰	Not assessment scale: home oximetry, hospital and home RP
Reis 2015 ⁴⁵¹	Not an assessment scale - Portuguese version of the STOP-Bang questionnaire
Reisch 2000 ⁴⁵²	Not assessment scale– forced oscillation techniques compared to three standard polysomnographic signals
Reuven 2001 ⁴⁵³	No relevant outcomes - economic analysis with no diagnostic accuracy data
Roche 1999 ⁴⁵⁶	Not an assessment scale - heart rate variability
Roche 2002 ⁴⁵⁵	Not an assessment scale - ECG Holter monitoring
Roche 2002 ⁴⁵⁸	Not an assessment scale – hospital oximetry
Roche 2004 ⁴⁵⁷	Not an assessment scale - electrocardiogram Holter monitoring
Roche 2007 ⁴⁵⁴	Not an assessment scale - electrocardiogram Holter monitoring
Rodrigues Filho 2020 ⁴⁵⁹	Not an assessment scale - oximetry of all PSG performed by the LabSono
Rodsutti 2004 ⁴⁶⁰	Inappropriate study design – not diagnostic accuracy study
Rofail 2010 ⁴⁶¹	Not assessment scale: home oximetry, hospital and home RP
Rofail 2010 ⁴⁶²	Not an assessment scale - single channel nasal airflow
Rolon 2017 ⁴⁶³	Inappropriate study design – polysomnography using only oximetry signals
Romano 2011 ⁴⁶⁴	Not an assessment scale - diurnal negative expiratory pressure test
Romem 2014 ⁴⁶⁵	Not an assessment scale – hospital oximetry
Romero-Lopez 2011 ⁴⁶⁶	Inappropriate assessment scale – Spanish language questionnaire
Rosen 2012 ⁴⁶⁷	Not an assessment scale – patients were randomised to hospital polysomnography and portable monitoring, patients with ahi>15 started CPAP therapy
Rosen 2018 ⁴⁶⁸	Inappropriate study design - literature review

Reference	Exclusion Reason
Rosenthal 2008 ⁴⁶⁹	Unclear analysis – prevalence not reported
Rosenwein 2015 ⁴⁷⁰	Not an assessment scale - non-contact audio recordings
Ross 1998 ⁴⁷²	Systematic review - references checked
Ross 2000 ⁴⁷³	Systematic review - references checked
Ross 2000 ⁴⁷¹	Abstract only
Roth 2002 ⁴⁷⁴	Inappropriate assessment scale - Global Sleep Assessment Questionnaire
Rowley 2000 ⁴⁷⁵	inappropriate assessment scale – SACS questionnaire
Ryan 1995 ⁴⁷⁶	Not assessment scale: home oximetry, hospital and home RP
Saarelainen 2003 ⁴⁷⁷	Not an assessment scale - whole-body impedance cardiography
Saha 2020 ⁴⁷⁸	Not an assessment scale - patch wearable device used to record respiratory sounds and neck position and movement
Saleh 2011 ⁴⁷⁹	Inappropriate assessment scale - Arabic version of Berlin questionnaire
Santaolalla Montoya 2007 ⁴⁸¹	Not an assessment scale – clinical prediction algorithm using various epidemiological parameters
Saricam 2020 ⁴⁸²	Inappropriate reference standard/ - Berlin questionnaire
Savage 2016 ⁴⁸³	Inappropriate population – sleep disordered breathing in patients with heart failure
Scarlata 2013 ⁴⁸⁴	Inappropriate reference standard - 35 patients polysomnography and 219 cardiorespiratory monitoring
Schafer 1997 ⁴⁸⁵	Not an assessment scale – oximetry measured with a four channel MESAM 4 device
Scharf 2004 ⁴⁸⁶	Not an assessment scale – cardiac pacemaker
Senaratna 2017 ⁴⁸⁷	Systematic review - references checked
Senn 2006 ⁴⁸⁸	Not assessment scale– patients randomised to CPAP vs polysomnography
Sergi 1998 ⁴⁸⁹	Inappropriate comparison – daytime polysomnography was compared to daytime polysomnography
Series 1991 ⁴⁹⁰	Not an assessment – daytime polysomnography was compared to daytime polysomnography
Sériès 1993 ⁴⁹²	Not an assessment scale - oximetry
Series 1999 ⁴⁹¹	Not an assessment scale – nasal pressure tracing
Serrano 2018 ⁴⁹³	Not assessment scale – clinical prediction rules were analysed

Reference	Exclusion Reason
Sert Kuniyoshi 2011 ⁴⁹⁴	Inappropriate population – sleep disordered breathing in patients with a recent myocardial infarction
Sforza 2007 ⁴⁹⁵	Not assessment scale - heart-rate variability (HRV) measures on the degree of sleep fragmentation.
Shalaby 2006 ⁴⁹⁶	Not an assessment scale - The pacemaker trans-thoracic impedance signal
Shams 2012 ⁴⁹⁷	Not assessment scale - tracheal breath sounds
Shi 2018 ⁴⁹⁸	Inappropriate study design – conference paper, algorithm analysis
Shin 2010 ⁴⁹⁹	Inappropriate study design – algorithm analysis
Shochat 2002 ⁵⁰⁰	Not an assessment scale - SleepStrip
Shokrollahi 2016 ⁵⁰¹	Inappropriate study design – conference paper, snoring sound analysis
Siegel 2000 ⁵⁰²	Not an assessment scale – ultrasonic imaging
Silva 2011 ⁵⁰³	Inappropriate population – sleep disordered breathing
Sivam 2018 ⁵⁰⁴	Not an assessment scale – oximetry and transcutaneous CO2 measured during polysomnography in OHS population
Skiba 2015 ⁵⁰⁵	Not assessment scale– retrospective review of Polysomnography results
Skomro 2007 ⁵⁰⁶	Not assessment scale - retrospective study of all patients who had been offered empirical CPAP therapy for suspected OSA was conducted.
Smith 2020 ⁵⁰⁷	Not an assessment scale - 2 channel apnealink tm, oximetry and nasal flow
Sola-Soler 2007 ⁵¹⁰	Inappropriate study design – conference paper
Sola-Soler 2012 ⁵⁰⁸	Not an assessment scale - snoring analysis
Sola-Soler 2014 ⁵⁰⁹	Not an assessment scale - tracheal breath sound analysis
Sommermeier 2012 ⁵¹¹	Not appropriate assessment scale– cardiorespiratory polygraphy
Song 2016 ⁵¹²	Inappropriate study design - Markov model from ECG Signals
Stein 2003 ⁵¹³	Not an assessment scale test- Holter recordings
Stelmach-Mardas 2017 ⁵¹⁴	Inappropriate assessment scale – Polish Berlin questionnaire
Stendardo 2018 ⁵¹⁵	Inappropriate study design – not diagnostic accuracy study
Stoohs 1990 ⁵¹⁶	Not an assessment scale – MESAM device
Stoohs 1992 ⁵¹⁷	Not an assessment scale – MESAM device

Reference	Exclusion Reason
Su 2004 ⁵¹⁹	Not an assessment scale – SNAP digital recorder
Su 2012 ⁵¹⁸	No usable outcomes – no diagnostic accuracy data
Subramanian 2011 ⁵²⁰	Not an assessment scale – NAMES assessment
Suksakorn 2014 ⁵²¹	Inappropriate assessment scale – Thai version of Berlin questionnaire in patients with sleep disordered breathing
Sun 2011 ⁵²²	Inappropriate study design – artificial intelligence method to screen OSA
Sun 2019 ⁵²³	inappropriate study design - patients completed, home portable monitoring and echocardiography
Takama 2010 ⁵²⁴	Inappropriate population – sleep disordered breathing in patients with cardiovascular disease
Takeda 2006 ⁵²⁵	Not an assessment scale – Apnomonitor III test, not oximetry alone
Tanaka 2009 ⁵²⁶	No usable outcomes – no diagnostic accuracy data
Tauman 2006 ⁵²⁷	No usable outcomes no diagnostic accuracy data
Teferra 2014 ⁵²⁸	Inappropriate study design – analysis of artificial neural network sleep apnea tool for sleep studies
Teklu 2020 ⁵²⁹	Inappropriate study design/inappropriate comparison- no diagnostic accuracy data
Teramoto 2002 ⁵³⁰	Not an assessment scale – hospital oximetry
Terjung 2016 ⁵³²	Inappropriate population - mixed OSA and PLM population
Terjung 2018 ⁵³¹	Not an assessment scale – VitaLog, no diagnostic accuracy data
Thong 2008 ⁵³³	No relevant outcomes – no diagnostic accuracy data
Thornton 2012 ⁵³⁴	Not an assessment scale - previously scored polysomnography was reviewed
Tian 2005 ⁵³⁵	Inappropriate study design conference paper
Tiihonen 2009 ⁵³⁶	Inappropriate reference standard – hospital polygraphy
Ting 2014 ⁵³⁷	Inappropriate study design – validation of prediction system to diagnose OSA
To 2009 ⁵³⁹	Not an assessment scale – ARES (apnea risk evaluation system)
To 2012 ⁵³⁸	Not assessment scale – CPAP compared with portable sleep monitoring
Tong 2014 ⁵⁴⁰	Not an assessment scale - ECG derived respiration

Reference	Exclusion Reason
Topor 2020 ⁵⁴¹	Not an assessment scale - MATRx plus(ZephyrSleep Technologies) - level 3 device consists of microphone and accelerometer
Traxdorf 2017 ⁵⁴²	Not an assessment scale – Erlangen questionnaire
Tsai 2003 ⁵⁴³	Not an assessment scale – decision rule(cricomental space, pharyngeal grade)
Tsukahara 2014 ⁵⁴⁴	Not an assessment scale – sheet type portable monitor SD-101
Ugon 2016 ⁵⁴⁵	No relevant outcomes – no diagnostic accuracy study
Ulasli 2014 ⁵⁴⁶	Inappropriate assessment scale – Turkish version of Berlin and ESS questionnaires
Unal 2002 ⁵⁴⁷	Not an assessment scale – polysomnography recordings were analysed
Ustun 2016 ⁵⁴⁸	Not an assessment scale – SLIM and 7 state of the art classification methods
Valipour 2007 ⁵⁴⁹	No relevant outcomes – no diagnostic accuracy data
Van Brunt 1997 ⁵⁵⁰	Not an assessment scale – snoring sounds
Van Meerhaeghe 2004 ⁵⁵¹	Not an assessment scale – NEP (negative pressure) procedure
Van Surell 1995 ⁵⁵²	Not an assessment scale – CID 102 device
Varady 2002 ⁵⁵⁴	Not assessment scale – artificial neural networks for the recognition of three different patterns in the respiration signals were analysed
Vaughan 2016 ⁵⁵⁵	No relevant outcomes – no diagnostic accuracy data
Vaz 2011 ⁵⁵⁶	Not in English
Vazquez 2000 ⁵⁵⁷	Not an assessment scale – hospital oximetry
Ventura 2007 ⁵⁵⁸	Not an assessment scale - hospital oximetry
Victor Marcos 2008 ⁵⁵⁹	Inappropriate study design – oxygen saturation recordings were used. The performance of two different ensemble classifiers was analysed.
Virkkula 2002 ⁵⁶¹	No usable outcomes – no diagnostic accuracy data
Virkkula 2005 ⁵⁶⁰	No usable outcomes – no diagnostic accuracy data
Wang 2014 ⁵⁶²	No usable outcomes – no diagnostic accuracy data
Ward 2009 ⁵⁶³	Abstract only
Ward 2012 ⁵⁶⁵	Not an assessment scale - Hospital oximetry
Ward 2015 ⁵⁶⁴	Inappropriate test - ApneaLink (3 channels)

Reference	Exclusion Reason
Weinreich 2008 ⁵⁶⁶	Inappropriate population – 11 patients with OSA, 10 with hypopnea, 11 with Cheyne-Stokes respiration and 5 with normal breathing
Weinreich 2014 ⁵⁶⁸	Not an assessment scale – SleepMinder
Weinreich 2018 ⁵⁶⁷	Not an assessment scale - non-contact device emits a very weak electromagnetic radiation and detects body movement by measuring the Doppler effect
Westerlund 2014 ⁵⁶⁹	Not an assessment scale - Karolinska Sleep Questionnaire
White 1994 ⁵⁷¹	Not an assessment scale - sound recording and oxygen saturation
White 1995 ⁵⁷⁰	Not an assessment scale - Healthdyne NightWatch (NW) System
Whitelaw 2005 ⁵⁷²	Not assessment scale – patients were randomised to polysomnography or home monitoring all patients used CPAP for 4 weeks
Wieczorek 2018 ⁵⁷³	Not an assessment scale – PADSS (Paris Arousal Disorder Severity Scale)
Williams 1991 ⁵⁷⁴	Not an assessment scale – hospital oximetry + clinical score
Williams 2017 ⁵⁷⁵	No usable outcomes – no diagnostic accuracy data
Wiltshire 2001 ⁵⁷⁶	Not an assessment scale - diagnostic accuracy study of diagnostic tests: home oximetry, hospital and home RP
Wong 2008 ⁵⁷⁷	Not an assessment scale – nasal flow monitor
Wu 2017 ⁵⁷⁸	Not an assessment scale – fuzzy evaluation system (NFES)
Xie 2012 ⁵⁸⁰	Not an assessment scale – ECG and Peripheral SpO2 from polysomnography
Xie 2020 ⁵⁸¹	Not assessment scale - Data were collected using Brief ICF-Sleep Disorders and Obesity Core Set Polysomnography was performed and basic characteristics of the patients were recorded.
Xu 2017 ⁵⁸³	Not assessment scale: home oximetry, hospital and home RP
Yaddanapudi 2018 ⁵⁸⁴	Inappropriate population/ stroke patients who underwent HRPO,. No diagnostic accuracy data
Yagi 2009 ⁵⁸⁵	No usable outcomes – Only sensitivity and positive predictive values presented in the paper
Yalamanchali 2013 ⁵⁸⁶	Systematic review - references checked
Yamaguchi 2007 ⁵⁸⁷	Not an assessment scale - SleepStrip
Yamashiro 1995 ⁵⁸⁸	Inappropriate population – Sleep disordered breathing

Reference	Exclusion Reason
Yang 2011 ⁵⁸⁹	Not an assessment scale - plethysmography
Yang 2013 ⁵⁹⁰	Inappropriate study design – literature review
Yin 2005 ⁵⁹²	No relevant outcomes – no diagnostic accuracy data
Yin 2006 ⁵⁹¹	No usable outcomes – study reported only sensitivity and positive predictive value, prevalence unclear
Yuceege 2014 ⁵⁹³	Not an assessment scale - neck/thyromental distance
Yuceege 2015 ⁵⁹⁴	Inappropriate assessment scale – Turkish version Berlin questionnaire + gender
Yunus 2013 ⁵⁹⁵	Inappropriate assessment scale – Malay version of Berlin questionnaire
Zaffaroni 2009 ⁵⁹⁶	Not an assessment scale – SleepMinder
Zaffaroni 2013 ⁵⁹⁷	Not an assessment scale – SleepMinder
Zamarron 1999 ⁶⁰¹	Not an assessment scale – hospital oximetry
Zamarron 2001 ⁶⁰⁰	Not an assessment scale – hospital oximetry
Zamarron 2003 ⁵⁹⁸	Not an assessment scale – hospital oximetry
Zamarron 2006 ⁵⁹⁹	Not an assessment scale – hospital oximetry
Zarei 2018 ⁶⁰²	Not an assessment scale - Single-Lead ECG Signal.
Zhang 2011 ⁶⁰³	Inappropriate population– sleep disordered breathing/no diagnostic accuracy data
Zhang 2018 ⁶⁰⁴	Not in English
Zou 2013 ⁶⁰⁵	Inappropriate assessment scale – Chinese ESS questionnaire
Zou 2015 ⁶⁰⁶	Not an assessment scale - The SleepView device is a 2-channel diagnostic tool designed for screening of sleep-disordered breathing
Zucconi 1996 ⁶⁰⁷	Not an assessment scale - unattended recording device (MicroDigitrapper-S) (M-S).
Zywietz 2004 ⁶⁰⁸	Not an assessment scale - single channel ECG

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2 H.2 Excluded health economic studies

3 Published health economic studies that met the inclusion criteria (relevant population,
4 comparators, economic study design, published 2003 or later and not from non-OECD
5 country or USA) but that were excluded following appraisal of applicability and
6 methodological quality are listed below:

Table 12: Studies excluded from the health economic review

Reference	Reason for exclusion
Geessinck 2018 ¹³⁸	Since there was no evidence for DiagnOSAS tool that could be included in the clinical review, this economic model evaluating the tool was considered to be not applicable.