

Self-harm: assessment, management and preventing recurrence

NICE guideline: methods

NICE guideline number tbc

Methods

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Draft for Consultation

Evidence reviews were developed by the National Guideline Alliance hosted by the Royal College of Obstetricians and Gynaecologists

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1 Development of the guideline

2 Remit

3 The National Institute for Health and Care Excellence (NICE) commissioned the
4 National Guideline Alliance (NGA) to update the following 2 guidelines on self-harm:

- 5 • Self-harm in over 8s: short-term management and prevention of recurrence
6 (CG16)
- 7 • Self-harm in over 8s: long-term management (GC133)

8 To see “What this guideline covers” and “What this guideline does not cover” please
9 see the [guideline scope](#).

1 Methods

2 This guideline was developed using the methods described in [Developing NICE](#)
3 [guidelines: the manual](#).

4 Declarations of interest were recorded according to the [NICE conflicts of interest](#)
5 [policy](#).

6 Developing the review questions and outcomes

7 The review questions developed for this guideline were based on the key areas
8 identified in the guideline [scope](#). They were drafted by the NGA technical team, and
9 refined and validated by the guideline committee.

10 The review questions were based on the following frameworks:

- 11 • population, intervention, comparator and outcome (PICO) for reviews of
12 interventions
- 13 • qualitative reviews – using population, phenomenon of interest and context (PICo)

14 Full literature searches, critical appraisals and evidence reviews were completed for
15 all review questions.

16 The review questions and evidence reviews corresponding to each question (or
17 group of questions) are summarised below.

18 **Table 1: Summary of review questions and index to evidence reviews**

Evidence review	Review question	Type of review
A – information and support needs of people who have self-harmed	What are the information and support needs of people who have self-harmed?	Qualitative
B – information and support needs of families and carers of people who have self-harmed	What are the information and support needs of the families and carers of people who have self-harmed?	Qualitative
C – consent, confidentiality and safeguarding	What is the most effective approach to obtain consent, ensure confidentiality and promote safeguarding when people have self-harmed?	Intervention
D - involving family and carers in the management of people who have self-harmed	What are the views and preferences of people who have self-harmed, their families and carers, and staff working with people who have self-harmed about the best ways of involving family and carers in the management of people who have self-harmed?	Qualitative
E –assessment in specialist settings	How should assessment for people who have self-harmed be undertaken in specialist settings, such as: community mental health services, emergency	Intervention

Evidence review	Review question	Type of review
	departments (by specialist staff), inpatient mental health services?	
F – assessment in non-specialist settings	How should assessment for people who have self-harmed be undertaken in non-specialist settings, such as: primary care, social care, community pharmacy, ambulances, emergency departments (by non-specialist staff), schools, colleges and universities, the criminal justice system and immigration removal centres and acute general hospitals?	Intervention
G – risk assessment and formulation	What are the benefits and harms of a risk assessment and formulation including those models or tools that combine elements of machine learning and artificial intelligence for people who have self-harmed?	Intervention
H – admission to hospital	What are the benefits and harms associated with admission to acute general hospital for people who have self-harmed but no longer require physical care?	Intervention
I – initial after-care	How should initial after-care be provided to people following an episode of self-harm?	Intervention
J – psychological and psychosocial interventions	What psychological and psychosocial interventions (including safety plans and electronic health-based interventions) are effective for people who have self-harmed?	Intervention ¹
K – pharmacological interventions	What pharmacological interventions are effective for people who have self-harmed?	Intervention
L – harm minimisation strategies	What is the effectiveness of harm minimisation strategies for people who have self-harmed?	Intervention
M – therapeutic risk-taking strategies	What is the effectiveness of therapeutic risk-taking strategies for people who have self-harmed?	Intervention
N – supporting people to be safe after self-harm	What are the most effective ways of supporting people to be safe after self-harm?	Intervention
O – safer prescribing	What are the key principles of safer prescribing for people who have self-harmed?	Intervention
P – skills required for staff in specialist mental health settings who assess and treat people who have self-harmed	What are the views and preferences of staff in specialist mental health settings, people who have self-harmed and their family members/carers about what skills are required for staff in specialist mental health settings who assess and treat people who have self-harmed?	Qualitative
Q – supervision required for staff in specialist mental health settings who assess and treat	What are the views and preferences of staff in specialist mental health settings about what supervision is required for staff in specialist mental health settings who	Qualitative

Evidence review	Review question	Type of review
people who have self-harmed	assess and treat people who have self-harmed?	
R – skills required for staff in non-specialist settings who assess and treat people who have self-harmed	What are the views and preferences of staff in non-specialist settings, people who have self-harmed and their family members/carers about what skills are required for staff in non-specialist settings who assess and treat people who have self-harmed?	Qualitative
S – supervision required for staff in non-specialist mental health settings who assess and treat people who have self-harmed	What are the views and preferences of staff in non-specialist mental health settings about what supervision is required for staff in non-specialist mental health settings who assess and treat people who have self-harmed?	Qualitative
T models of care	What are the most effective models of care for people who have self-harmed?	Intervention

1 ¹Original health economic analysis conducted

2 The COMET database was searched for core outcome sets relevant to this guideline.
3 No core outcome sets were identified and therefore the outcomes were chosen
4 based on committee discussions.

5 Additional information related to development of the guideline is contained in:

- 6 • Supplement 3 (NGA staff list).

7 Searching for evidence

8 Scoping search

9 During the scoping phase, searches were conducted for previous guidelines,
10 systematic reviews, policy papers, economic evaluations and health technology
11 assessments.

12 Systematic literature search

13 Systematic literature searches were undertaken to identify published evidence
14 relevant to each review question.

15 Databases were searched using subject headings, free-text terms and, where
16 appropriate, study type filters. Where possible, searches were limited to retrieve
17 studies published in English. All the searches were conducted in the following
18 databases: Embase, Medline, Medline-in-Process, Cochrane Central Register of
19 Controlled Trials (CCTR), Cochrane Database of Systematic Reviews (CDSR),
20 Database of Abstracts of Reviews of Effects (DARE), International Health
21 Technology Assessments (IHTA) and PsycINFO. For review questions related to
22 nursing, Emcare and CINAHL were also searched. For review questions where key
23 papers were supplied pre-search, forward and backward citation searching was
24 undertaken in the Web of Science along with checking the reference lists.

1 Searches were run once for all reviews during development. Searches for the
2 following question were updated seven weeks in advance of the final committee
3 meeting.

- 4 • H. What are the benefits and harms associated with admission to acute
5 general hospital for people who have self-harmed but no longer require
6 physical care?

7 Details of the search strategies, including the study-design filters used and
8 databases searched, are provided in Appendix B of each evidence review.

9 **Economic systematic literature search**

10 Systematic literature searches were also undertaken to identify published economic
11 evidence. Databases were searched using subject headings, free-text terms and,
12 where appropriate, an economic evaluations search filter.

13 A single search, using the population search terms used in the evidence reviews,
14 was conducted to identify economic evidence in the NHS Economic Evaluation
15 Database (NHS EED) and IHTA. Another single search, using the population search
16 terms used in the evidence reviews combined with an economic evaluations search
17 filter, was conducted in Medline, Medline in Process, CCTR and Embase. Where
18 possible, searches were limited to studies published in English.

19 As with the general literature searches, the economic literature searches were
20 updated seven weeks in advance of the final committee meeting before consultation
21 on the draft guideline.

22 Details of the search strategies, including the study-design filter used and databases
23 searched, are provided in in Appendix B of each evidence review.

24 **Quality assurance**

25 Search strategies were quality assured by cross-checking reference lists of relevant
26 studies, analysing search strategies from published systematic reviews and asking
27 members of the committee to highlight key studies. The principal search strategies
28 for each search were also quality assured by a second information scientist using an
29 adaptation of the PRESS 2015 Guideline Evidence-Based Checklist
30 (McGowan 2016). In addition, all publications highlighted by stakeholders at the time
31 of the consultation on the draft scope were considered for inclusion.

32 **Reviewing research evidence**

33 **Systematic review process**

34 When the guideline started development, the NGA was at the beginning of a phased
35 transition from using STAR software to manage the evidence reviews to using EPPI
36 Reviewer software. Moreover, EPPI Reviewer was also undergoing further
37 development during the development of this guideline. As a consequence, the initial
38 review conducted for the guideline (“H. What are the benefits and harms associated
39 with admission to acute general hospital for people who have self-harmed but no
40 longer require physical care?”) was undertaken in STAR with the subsequent reviews

1 undertaken in EPPI. Although the content of the reviews does not differ between
2 STAR and EPPI Reviewer or between the different EPPI Reviewer updates, the
3 presentation of the contents do in some cases in terms of style and formatting, for
4 example for references, PRISMA diagram, evidence tables and excluded studies.
5 The evidence was reviewed in accordance with the following approach.

- 6 • Potentially relevant articles were identified from the search results for each review
7 question by screening titles and abstracts. Full-text copies of the articles were
8 then obtained.
- 9 • Full-text articles were reviewed against pre-specified inclusion and exclusion
10 criteria in the review protocol (see Appendix A of each evidence review).
- 11 • Key information was extracted from each article on study methods and results, in
12 accordance with factors specified in the review protocol. The information was
13 presented in a summary table in the corresponding evidence review and in a more
14 detailed evidence table (see Appendix D of each evidence review).
- 15 • Included studies were critically appraised using an appropriate checklist as
16 specified in [Developing NICE guidelines: the manual](#). Further detail on appraisal
17 of the evidence is provided below.
- 18 • Summaries of evidence by outcome were presented in the corresponding
19 evidence review and discussed by the committee.

20 All review questions were subject to dual screening and study selection through a
21 10% random sample of articles. Any discrepancies were resolved by discussion
22 between the first and second reviewers or by reference to a third (senior) reviewer.
23 All the review questions were also subject to internal (NGA) quality assurance
24 processes including consideration of the outcomes of screening, study selection and
25 data extraction, and the committee reviewed the results of study selection and data
26 extraction. Drafts of all evidence reviews were quality assured by a senior reviewer.

27 The process of study selection for review questions selected as high priorities for
28 economic analysis (and those selected as medium priorities and where economic
29 analysis could influence recommendations), were checked by a senior health
30 economist.

31 **Type of studies and inclusion/exclusion criteria**

32 Inclusion and exclusion of studies was based on criteria specified in the
33 corresponding review protocol.

34 Systematic reviews with meta-analyses were considered to be the highest quality
35 evidence that could be selected for inclusion.

36 For intervention reviews, randomised controlled trials (RCTs) were prioritised for
37 inclusion because they are considered to be the most robust type of study design
38 that could produce an unbiased estimate of intervention effects. Where there was
39 limited evidence from RCTs, non-randomised studies (NRS) were considered for
40 inclusion.

41 For qualitative reviews, studies using focus groups, structured interviews or semi-
42 structured interviews were considered for inclusion. Where qualitative evidence was
43 sought, data from surveys or other types of questionnaire were considered for
44 inclusion only if they provided data from open-ended questions, but not if they
45 reported only quantitative data.

1 The committee was consulted about any uncertainty regarding inclusion or exclusion
2 of studies. A list of excluded studies for each review question, including reasons for
3 exclusion is presented in Appendix J of the corresponding evidence review.

4 Narrative reviews, posters, letters, editorials, comment articles, unpublished studies
5 and studies published in languages other than English were excluded. Conference
6 abstracts were not considered for inclusion because conference abstracts typically
7 do not have sufficient information to allow for full critical appraisal.

8 **Methods of combining evidence**

9 When planning reviews (through preparation of protocols), the following approaches
10 for data synthesis were discussed and agreed with the committee.

11 **Data synthesis for intervention studies**

12 ***Pairwise meta-analysis***

13 Meta-analysis to pool results from comparative intervention studies was conducted
14 where possible using Cochrane Review Manager (RevMan5) software.

15 For dichotomous outcomes, such as rate of self-harm, the Mantel–Haenszel method
16 with a fixed effect model was used to calculate risk ratios (RRs). For all outcomes
17 with zero events in both arms the risk difference was presented. For outcomes in
18 which the majority of studies had low event rates (<1%), Peto odds ratios (ORs) were
19 calculated as this method performs well when events are rare (Bradburn 2007).

20 For continuous outcomes, measures of central tendency (mean) and variation
21 (standard deviation; SD) are required for meta-analysis. Data for continuous
22 outcomes, such as quality of life, were meta-analysed using an inverse-variance
23 method for pooling weighted mean differences (WMDs). Where SDs were not
24 reported for each intervention group, the standard error (SE) of the mean difference
25 was calculated from other reported statistics (p values or 95% confidence intervals;
26 CIs) and then meta-analysis was conducted as described above.

27 If a study reported only the summary statistic and 95% CI the generic-inverse
28 variance method was used to enter data into RevMan5. If the control event rate was
29 reported this was used to generate the absolute risk difference in GRADEpro. If
30 multivariable analysis was used to derive the summary statistic but no adjusted
31 control event rate was reported, no absolute risk difference was calculated.

32 When evidence was based on studies that reported descriptive data or medians with
33 interquartile ranges or p values, this information was included in the corresponding
34 GRADE tables (see below) without calculating relative or absolute effects. Although
35 effects were not included in the GRADE tables, these data were considered during
36 committee discussions of the evidence.

37 For some reviews, evidence was either stratified from the outset or separated into
38 subgroups when heterogeneity was encountered. The stratifications and potential
39 subgroups were pre-defined at the protocol stage (see the protocols for each review
40 for further detail). Where evidence was stratified or subgrouped the committee
41 considered on a case by case basis if separate recommendations should be made
42 for distinct groups. Separate recommendations may be made where there is

1 evidence of a differential effect of interventions in distinct groups. If there is a lack of
2 evidence in one group, the committee considered, based on their experience,
3 whether it was reasonable to extrapolate and assume the interventions will have
4 similar effects in that group compared with others

5 When meta-analysis was undertaken, the results were presented visually using forest
6 plots generated using RevMan5 (see Appendix E of relevant evidence reviews).

7 **Included Cochrane Reviews**

8 During the development of this guideline, two registered Cochrane protocols were
9 identified which matched the committee's intended review questions:

- 10 • J – psychological and psychosocial interventions
- 11 • K – pharmacological interventions.

12 The Cochrane review team completed two reviews investigating the effectiveness of
13 psychosocial interventions in adults (Witt 2021a) and psychosocial and
14 pharmacological interventions in children and young people (CYP) (Witt 2021b)
15 during guideline development and presented their results to the guideline committee,
16 which used them to make recommendations.

17 Cochrane's methods are closely aligned to standard NICE methods, minor deviations
18 (the use of GRADE only on main outcomes with no overall quality rating for those
19 with zero events in either arm, summary of findings tables instead of full GRADE
20 tables, defining primary and secondary outcomes as opposed to critical and
21 important and including countries from a broader range of income categories than the
22 majority of the other reviews in the guideline) relevant to the topic area were
23 highlighted to the committee and taken into account in discussions of the evidence.

24 **Data synthesis for qualitative reviews**

25 Where possible, a meta-synthesis was conducted to combine evidence from
26 qualitative studies. Whenever studies identified a qualitative theme relevant to the
27 protocol, this was extracted and the main characteristics were summarised. When all
28 themes had been extracted from studies, common concepts were categorised and
29 tabulated. This included information on how many studies had contributed to each
30 theme identified by the NGA technical team.

31 In qualitative synthesis, a theme being reported more than other themes across
32 included studies does not necessarily mean that the theme is more important than
33 other themes. The aim of qualitative research is to identify new perspectives on a
34 particular topic. Study types and populations in qualitative research can differ widely,
35 meaning that themes identified by just one or a few studies can provide important
36 new information on a given topic. Therefore, for the purpose of the qualitative reviews
37 in this guideline, it was planned that further studies would not be added when they
38 reported the same themes as had already been identified from other UK-based
39 studies because the emphasis was to be on conceptual robustness and relevance
40 rather than quantitative completeness of the evidence.

41 Themes from individual studies were integrated into a wider context and, when
42 possible, overarching categories of themes with sub-themes were identified. Themes
43 were derived from data presented in individual studies. When themes were extracted
44 from 1 primary study only, theme names used in the guideline mirrored those in the

1 source study. However, when themes were based on evidence from multiple studies,
2 the theme names were assigned by the NGA technical team. The names of
3 overarching categories of themes were also assigned by the NGA technical team.

4 Emerging themes were placed into a thematic map representing the relationship
5 between themes and overarching categories. The purpose of such a map is to show
6 relationships between overarching categories and associated themes.

7 **Appraising the quality of evidence**

8 **Intervention studies**

9 *Pairwise meta-analysis*

10 **Modified GRADE methodology for intervention reviews**

11 For intervention reviews, the evidence for outcomes from included RCTs and
12 comparative non-randomised studies was evaluated and presented using a modified
13 version of the Grading of Recommendations Assessment, Development and
14 Evaluation (GRADE) methodology developed by the international GRADE working
15 group.

16 When GRADE was applied, software developed by the GRADE working group
17 (GRADEpro) was used to assess the certainty of evidence for each outcome, taking
18 account of individual study quality factors and any meta-analysis results. Results
19 were presented in GRADE profiles (GRADE tables).

20 The selection of outcomes for each review question was agreed during development
21 of the associated review protocol in discussion with the committee. The evidence for
22 each outcome was examined separately for the quality elements summarised in
23 Table 2. Criteria considered in the rating of these elements are discussed below.
24 Each element was graded using the quality ratings summarised in Table 3. Footnotes
25 to GRADE tables were used to record reasons for grading a particular quality
26 element as having a 'serious' or 'very serious' quality issue. The ratings for each
27 component were combined to obtain an overall assessment of quality for each
28 outcome as described in Table 4.

29 The initial quality rating was based on the study design: RCTs and NRS assessed by
30 ROBINS-I start as 'high' quality evidence, other non-randomised studies start as 'low'
31 quality evidence. The rating was then modified according to the assessment of each
32 quality element (Table 2). Each quality element considered to have a 'serious' or
33 'very serious' quality issue was downgraded by 1 or 2 levels respectively (for
34 example, evidence starting as 'high' quality was downgraded to 'moderate' or 'low'
35 quality). In addition, there was a possibility to upgrade evidence from non-
36 randomised studies (provided the evidence for that outcome had not previously been
37 downgraded) if there was a large magnitude of effect, a dose–response gradient, or if
38 all plausible confounding would reduce a demonstrated effect or suggest a spurious
39 effect when results showed no effect.

1 **Table 2: Summary of quality elements in GRADE for intervention reviews**

Quality element	Description
Risk of bias ('Study limitations')	This refers to limitations in study design or implementation that reduce the internal validity of the evidence
Inconsistency	This refers to unexplained heterogeneity in the results
Indirectness	This refers to differences in study populations, interventions, comparators or outcomes between the available evidence and inclusion criteria specified in the review protocol
Imprecision	This was not included in the GRADE table, but was considered during committee discussions of the evidence, taking into account 95% confidence intervals around the point estimate of the effect, any relevant MIDs, committee expertise and the effect of a single intervention based on multiple outcomes.
Publication bias	This refers to systematic under- or over-estimation of the underlying benefit or harm resulting from selective publication of study results

2 **Table 3: GRADE quality ratings (by quality element)**

Quality issues	Description
None or not serious	No serious issues with the evidence for the quality element under consideration
Serious	Issues with the evidence sufficient to downgrade by 1 level for the quality element under consideration
Very serious	Issues with the evidence sufficient to downgrade by 2 levels for the quality element under consideration

3 **Table 4: Overall quality of the evidence in GRADE (by outcome)**

Overall quality grading	Description
High	Further research is very unlikely to change the level of confidence in the estimate of effect
Moderate	Further research is likely to have an important impact on the level of confidence in the estimate of effect and may change the estimate
Low	Further research is very likely to have an important impact on the level of confidence in the estimate of effect and is likely to change the estimate
Very low	The estimate of effect is very uncertain

4 *Assessing risk of bias in intervention reviews*

5 Bias is a systematic error, or consistent deviation from the truth in results obtained.
6 When a risk of bias is present the true effect can be either under- or over-estimated.

7 Risk of bias in RCTs was assessed using the revised Cochrane risk of bias tool (RoB
8 2; see [Appendix H](#) in Developing NICE guidelines: the manual; NICE 2014).

9 The Cochrane risk of bias tool assesses the following possible sources of bias:

- 1 • risk of bias arising from the randomization process
- 2 • risk of bias due to deviations from the intended interventions
- 3 • risk of bias due to missing outcome data
- 4 • risk of bias due to measurement of the outcome
- 5 • risk of bias in selection of the reported result

6 A study with a poor methodological design does not automatically imply high risk of
7 bias; the bias is considered individually for each outcome and it is assessed whether
8 the chosen design and methodology will impact on the estimation of the intervention
9 effect.

10 More details about the Cochrane risk of bias tool can be found in Section 8 of the
11 Cochrane Handbook for Systematic Reviews of Interventions (Higgins 2011).

12 For systematic reviews of RCTs the AMSTAR checklist was used and for systematic
13 reviews of other study types the ROBIS checklist was used (see [Appendix H](#) in
14 Developing NICE guidelines: the manual; NICE 2014).

15 For non-randomised studies the ROBINS-I checklist was used (see [Appendix H](#) in
16 Developing NICE guidelines: the manual; NICE 2014).

17 *Assessing inconsistency in intervention reviews*

18 Inconsistency refers to unexplained heterogeneity in results of meta-analysis. When
19 estimates of treatment effect vary widely across studies (that is, there is
20 heterogeneity or variability in results), this suggests true differences in underlying
21 effects. Inconsistency is, thus, only truly applicable when statistical meta-analysis is
22 conducted (that is, results from different studies are pooled). When outcomes were
23 derived from a single study the rating 'no serious inconsistency' was used when
24 assessing this domain, as per GRADE methodology (Santesso 2016).

25 Inconsistency was assessed visually by inspecting forest plots and observing
26 whether there was considerable heterogeneity in the results of the meta-analysis (for
27 example if the point estimates of the individual studies consistently showed benefits
28 or harms). This was supported by calculating the I-squared statistic for the meta-
29 analysis with an I-squared value of more than 50% indicating serious heterogeneity,
30 and more than 80% indicating very serious heterogeneity. When serious or very
31 serious heterogeneity was observed, possible reasons were explored and subgroup
32 analyses were performed as pre-specified in the review protocol where possible. In
33 the case of unexplained heterogeneity, sensitivity analyses were planned based on
34 the quality of studies, eliminating studies at high risk of bias (in relation to
35 randomisation, allocation concealment and blinding, and/or missing outcome data).

36 When no plausible explanation for the serious or very serious heterogeneity could be
37 found, the quality of the evidence was downgraded in GRADE for inconsistency and
38 the meta-analysis was re-run using the Der-Simonian and Laird method with a
39 random effects model and this was used for the final analysis.

40 *Assessing indirectness in intervention reviews*

41 Directness refers to the extent to which populations, interventions, comparisons and
42 outcomes reported in the evidence are similar to those defined in the inclusion
43 criteria for the review and was assessed by comparing the PICO elements in the

1 studies to the PICO defined in the review protocol. Indirectness is important when
2 such differences are expected to contribute to a difference in effect size, or may
3 affect the balance of benefits and harms considered for an intervention.

4 *Assessing imprecision and importance in intervention reviews*

5 A modified version of the GRADE approach to rate the certainty of evidence in
6 systematic reviews was used. The modification of the usual GRADE approach was
7 part of a pilot project undertaken by NICE, to examine the assessment of certainty of
8 evidence in systematic reviews. Instead of using predefined clinical decision/minimal
9 important difference (MID) thresholds to assess imprecision in GRADE tables,
10 imprecision was assessed qualitatively during committee discussions. These
11 discussions involved consideration of published MIDs where they existed (see also
12 next section), but the committee were also encouraged to make judgements of
13 imprecision based on the 95% confidence intervals and sample sizes reported in the
14 GRADE profiles. The committee were not aware of any published MIDs for any of the
15 outcomes in the intervention reviews and so the discussions were based on the width
16 of confidence intervals and whether they crossed the line of no effect. This should
17 enable judgements of clinical importance to be made in the context of wider decision
18 making, taking into account evidence across all outcomes and analyses, including
19 health economic analyses.

20 Committee discussions regarding the clinical importance of effects was recorded in
21 the 'imprecision and clinical importance of effects' section of the evidence review. In
22 particular, this included consideration of whether the whole effect of a treatment
23 (which may be felt across multiple independent outcome domains) would be likely to
24 be clinically meaningful, rather than simply whether each individual sub outcome
25 might be meaningful in isolation. The impact of imprecision on the recommendations
26 was presented in the 'quality of the evidence' section of the committee discussion in
27 the evidence review

28 *Defining minimally important differences for intervention reviews*

29 The Core Outcome Measures in Effectiveness Trials (COMET) database was
30 searched to identify published minimal clinically important difference (MID) thresholds
31 relevant to this guideline. Identified MIDs were assessed to ensure they had been
32 developed and validated in a methodologically rigorous way, and were applicable to
33 the populations, interventions and outcomes specified in this guideline. In addition,
34 the Guideline Committee were asked to prospectively specify any outcomes where
35 they felt a consensus MID could be defined from their experience. MIDs identified
36 through this process were intended to be used to inform discussions on the clinical
37 importance of effects and the precision of effect estimates. No published MIDs were
38 found through this process and the committee did not wish to pre specify consensus
39 MIDs for any outcome. The clinical importance of effects was judged by the
40 committee taking into account evidence across all outcomes and absolute effect
41 estimates. These discussions are documented in the committee discussion section of
42 each evidence review.

43 *Assessing publication bias in intervention reviews*

44 Where 10 or more studies were included as part of a single meta-analysis, a funnel
45 plot was produced to graphically assess the potential for publication bias. Where
46 fewer than 10 studies were included for an outcome, the committee subjectively

1 assessed the likelihood of publication bias based on factors such as the proportion of
2 trials funded by industry and the propensity for publication bias in the topic area.

3 **Qualitative studies**

4 ***GRADE-CERQual methodology for qualitative reviews***

5 For qualitative reviews an adapted GRADE Confidence in the Evidence from
6 Reviews of Qualitative research (GRADE-CERQual) approach (Lewin 2018) was
7 used. In this approach the quality of evidence is considered according to themes in
8 the evidence. The themes may have been identified in the primary studies or they
9 may have been identified by considering the reports of a number of studies. Quality
10 elements assessed using GRADE-CERQual are listed and defined in Table 5. Each
11 element was graded using the levels of concern summarised in Table 6.

12 The ratings for each component were combined (as with other types of evidence) to
13 obtain an overall assessment of quality for each theme as described in Table 7.
14 'Confidence' in this context refers to the extent to which the review finding is a
15 reasonable representation of the phenomenon of interest set out in the protocol.
16 Similar to other types of evidence all review findings start off with 'high confidence'
17 and are rated down by one or more levels if there are concerns about any of the
18 individual CERQual components. In line with advice from the CERQual developers,
19 the overall assessment does not involve numerical scoring for each component but in
20 order to ensure consistency across and between guidelines, the NGA established
21 some guiding principles for overall ratings. For example, a review finding would not
22 be downgraded (and therefore would be assessed with 'high' confidence) if all 4
23 components had 'no or very minor' concerns or 3 'no or very minor' and 1 'minor'. At
24 the other extreme, a review finding would be downgraded 3 times (to 'very low') if at
25 least 2 components had serious concerns or at least 3 had moderate concerns. A
26 basic principle was that if any components had serious concerns then overall
27 confidence in the review finding would be downgraded at least once (potentially more
28 depending on the other ratings). Transparency about overall judgements is provided
29 in the CERQual tables, including a brief reference to components for which there
30 were concerns in the 'overall confidence' cell.

31 **Table 5: Adaptation of GRADE quality elements for qualitative reviews**

Quality element	Description
Risk of bias ('Methodological limitations')	Limitations in study design and implementation may bias interpretation of qualitative themes identified. High risk of bias for the majority of the evidence reduces our confidence that the review findings reflect the phenomena of interest. Qualitative studies are not usually randomised and therefore would not be downgraded for study design from the outset (they start as high quality)
Relevance (or applicability) of evidence	This refers to the extent to which the context of the studies supporting the review findings is applicable to the context specified in the review question
Coherence of findings	This refers to the extent to which review findings are well grounded in data from the contributing primary studies and provide a credible explanation for patterns identified in the evidence. If the data from the underlying studies are ambiguous or contradict the review finding this would reduce our confidence in the finding.

Quality element	Description
Adequacy of data (theme saturation or sufficiency)	This corresponds to a similar concept in primary qualitative research, that is, whether a theoretical point of theme saturation was achieved, at which point no further citations or observations would provide more insight or suggest a different interpretation of the particular theme. Judgements are not based on the number of studies but do take account of the quantity and also richness of data underpinning a finding. The more complex the finding, the more detailed the supporting data need to be. For simple findings, relatively superficial data would be considered adequate to explain and explore the phenomenon being described.

1 **Table 6: CERQual levels of concern (by quality element)**

Level of concern	Definition
None or very minor concerns	Unlikely to reduce confidence in the review finding
Minor concerns	May reduce confidence in the review finding
Moderate concerns	Will probably reduce confidence in the review finding
Serious concerns	Very likely to reduce confidence in the review finding

2 **Table 7: Overall confidence in the evidence in CERQual (by review finding)**

Overall confidence level	Definition
High	It is highly likely that the review finding is a reasonable representation of the phenomenon of interest
Moderate	It is likely that the review finding is a reasonable representation of the phenomenon of interest
Low	It is possible that the review finding is a reasonable representation of the phenomenon of interest
Very low	It is unclear whether the review finding is a reasonable representation of the phenomenon of interest

3 *Assessing methodological limitations in qualitative reviews*

4 Methodological limitations in qualitative studies were assessed using the Critical
5 Appraisal Skills Programme (CASP) checklist for qualitative studies (see Appendix H
6 in Developing NICE guidelines: the manual). Overall methodological limitations were
7 derived by assessing the methodological limitations across the 6 domains
8 summarised in Table 8.

9 **Table 8: Methodological limitations in qualitative studies**

Aim and appropriateness of qualitative evidence	This domain assesses whether the aims and relevance of the study were described clearly and whether qualitative research

	methods were appropriate for investigating the research question
Rigour in study design or validity of theoretical approach	This domain assesses whether the study approach was documented clearly and whether it was based on a theoretical framework (such as ethnography or grounded theory). This does not necessarily mean that the framework has to be stated explicitly, but a detailed description ensuring transparency and reproducibility should be provided
Sample selection	This domain assesses the background, the procedure and reasons for the method of selecting participants. The assessment should include consideration of any relationship between the researcher and the participants, and how this might have influenced the findings
Data collection	This domain assesses the documentation of the method of data collection (in-depth interviews, semi-structured interviews, focus groups or observations). It also assesses who conducted any interviews, how long they lasted and where they took place
Data analysis	This domain assesses whether sufficient detail was documented for the analytical process and whether it was in accordance with the theoretical approach. For example, if a thematic analysis was used, the assessment would focus on the description of the approach used to generate themes. Consideration of data saturation would also form part of this assessment (it could be reported directly or it might be inferred from the citations documented that more themes could be found)
Results	This domain assesses any reasoning accompanying reporting of results (for example, whether a theoretical proposal or framework is provided)

1 *Assessing relevance of evidence in qualitative reviews*

2 Relevance (applicability) of findings in qualitative research is the equivalent of
3 indirectness for quantitative outcomes, and refers to how closely the aims and
4 context of studies contributing to a theme reflect the objectives outlined in the
5 guideline review protocol.

6 *Assessing coherence of findings in qualitative reviews*

7 For qualitative research, a similar concept to inconsistency is coherence, which
8 refers to the way findings within themes are described and whether they make sense.
9 This concept was used in the quality assessment across studies for individual

1 themes. This does not mean that contradictory evidence was automatically
2 downgraded, but that it was highlighted and presented, and that reasoning was
3 provided. Provided the themes, or components of themes, from individual studies fit
4 into a theoretical framework, they do not necessarily have to reflect the same
5 perspective. It should, however, be possible to explain these by differences in context
6 (for example, the views of healthcare professionals might not be the same as those
7 of family members, but they could contribute to the same overarching themes).

8 *Assessing adequacy of data in qualitative reviews*

9 Adequacy of data (theme saturation or sufficiency) corresponds to a similar concept
10 in primary qualitative research in which consideration is made of whether a
11 theoretical point of theme saturation was achieved, meaning that no further citations
12 or observations would provide more insight or suggest a different interpretation of the
13 theme concerned. As noted above, it is not equivalent to the number of studies
14 contributing to a theme, but rather to the depth of evidence and whether sufficient
15 quotations or observations were provided to underpin the findings.

16 **Reviewing economic evidence**

17 Systematic reviews of economic literature were conducted for all review questions
18 covered in the guideline.

19 **Inclusion and exclusion of economic studies**

20 Titles and abstracts of articles identified through the economic literature searches
21 were assessed for inclusion using the predefined eligibility criteria listed in Table 9.

1 **Table 9: Inclusion and exclusion criteria for systematic reviews of economic**
2 **evaluations**

Inclusion criteria
Only studies from Organisation for Economic Co-operation and Development member countries were included, as the aim of the review was to identify economic information transferable to the UK context.
Intervention or comparators in accordance with the guideline scope
Study population in accordance with the guideline scope and review protocols for each review question
Full economic evaluations (cost-utility, cost effectiveness, cost-benefit or cost-consequence analyses) assessing both costs and outcomes associated with interventions of interest, as well as costing analyses that compared only costs between 2 or more interventions of interest were included in the review
Studies were included provided that sufficient details regarding methods and results were available to enable the methodological quality of the study to be assessed, and provided that the study's data and results were extractable.
Clinical effectiveness data utilised in the economic study should have been derived from a clinical trial, a prospective or retrospective cohort study (including before-after study designs), or from a literature review.
The outcome measure of the economic analysis should be the Quality Adjusted Life Year (QALY) or one of the measures considered in the clinical review.
Exclusion criteria
Poster presentations, conference abstracts and letters containing insufficient methodological details
Non-English language papers
Cost-of-illness type studies
Non-comparative studies
Studies that considered exclusively intervention costs, e.g. drug acquisition costs, without considering wider healthcare costs associated with the management of people self-harming

Inclusion criteria

Only studies from Organisation for Economic Co-operation and Development member countries were included, as the aim of the review was to identify economic information transferable to the UK context.

Intervention or comparators in accordance with the guideline scope

Study population in accordance with the guideline scope

Full economic evaluations (cost-utility, cost effectiveness, cost-benefit or cost-consequence analyses) assessing both costs and outcomes associated with interventions of interest, as well as costing analyses that compared only costs between 2 or more interventions of interest were included in the review

Studies were included provided that sufficient details regarding methods and results were available to enable the methodological quality of the study to be assessed, and provided that the study's data and results were extractable.

Clinical effectiveness data utilised in the economic study should have been derived from a clinical trial, a prospective or retrospective cohort study, or from a literature review.

The outcome measure of the economic analysis should be the Quality Adjusted Life Year (QALY) or one of the measures considered in the clinical review.

Exclusion criteria

Poster presentations, conference abstracts and letters containing insufficient methodological details

Non-English language papers

Cost-of-illness type studies

Non-comparative studies

Studies that considered exclusively intervention costs, e.g. drug acquisition costs, without considering wider healthcare costs associated with the management of acne

Studies that compared costs of branded vs generic forms of the same drug

1 Once the screening of titles and abstracts was completed, full-text copies of
2 potentially relevant articles were requested for detailed assessment. Inclusion and
3 exclusion criteria were applied to articles obtained as full-text copies.

4 Eleven economic studies met inclusion criteria for the review. The PRISMA for the
5 search of economic evaluations is presented in the appendix G of each evidence
6 review. Summaries of economic evidence including economic evidence tables are
7 presented in the respective evidence reports for each review question. Lists of
8 economic studies excluded after obtaining full text with reasons for exclusion are
9 provided in the appendix J of the relevant evidence reviews..

10 Appraising the quality of economic evidence

11 The applicability and quality of economic evidence, including economic evidence
12 derived from primary economic modelling conducted for the guideline, was assessed
13 using the economic evaluations checklist specified in [Developing NICE guidelines:
14 the manual](#) (NICE 2020), Appendix H, for all studies that met the inclusion criteria.

15 The methodological assessment of economic studies considered in this guideline has
16 been summarised in economic evidence profiles that were developed for each review
17 question for which economic evidence was available. All studies that fully or partially
18 met the applicability and quality criteria described in the methodology checklist were
19 considered during the guideline development process; whereas studies rated as

1 either 'not applicable', with 'very serious limitations' or both were excluded from the
2 committee discussion of the evidence.

3 Economic profiles of all economic studies that were considered during guideline
4 development, including de novo economic analyses undertaken for this guideline, are
5 provided in the heading 'Summary of included economic evidence' in the relevant
6 evidence reviews.

7 **Economic modelling**

8 The aims of the economic input to the guideline were to inform the guideline
9 committee of potential economic issues to ensure that recommendations represented
10 a cost effective use of healthcare resources. Economic evaluations aim to integrate
11 data on healthcare benefits (ideally in terms of quality-adjusted life-years; QALYs)
12 with the costs of different options. In addition, the economic input aimed to identify
13 areas of high resource impact, as these need to be supported by robust evidence on
14 cost effectiveness.

15 Areas for economic modelling were prioritised by the committee. The rationale for
16 prioritising review questions for economic modelling was set out in an economic plan
17 agreed between NICE, the committee, and members of the NGA technical team.
18 Economic modelling was undertaken in areas with likely major resource implications,
19 where the current extent of uncertainty over cost effectiveness was significant and
20 economic analysis was expected to reduce this uncertainty. The guideline committee
21 prioritised the following review questions for economic modelling where it was
22 thought that economic considerations would be particularly important in formulating
23 recommendations:

- 24 • Cost-effectiveness of psychological and psychosocial interventions for people who
25 have self-harmed. The methods and results of the 2 de novo economic analyses
26 are fully reported in appendix I of evidence review J under the headings 'CBT-
27 based psychotherapy for adults who have self-harmed' and 'DBT-A for children
28 and young people who have self-harmed'.
- 29 • Cost-effectiveness associated with admission to acute general hospital for people
30 who have self-harmed but no longer require physical care. This question was not
31 possible to model due to lack of sufficient clinical evidence, as reported in
32 evidence review T. For the same reason, this topic was later disregarded as a
33 priority for bespoke economic modelling by the committee.

34

35 When relevant economic evidence was not available and new economic analysis
36 was not prioritised, the committee made a qualitative judgement regarding cost
37 effectiveness by considering expected differences in resource and cost use between
38 options, alongside clinical effectiveness evidence identified from the clinical evidence
39 review.

40 **Cost effectiveness criteria**

41 NICE's report [Our principles](#) sets out the principles that committees should consider
42 when judging whether an intervention offers good value for money. In general, an
43 intervention was considered to be cost effective if any of the following criteria applied
44 (provided that the estimate was considered plausible):

- 1 • the intervention dominated other relevant strategies (that is, it was both less costly
2 in terms of resource use and more effective compared with all the other relevant
3 alternative strategies)
- 4 • the intervention cost less than £20,000 per QALY gained compared with the next
5 best strategy
- 6 • the intervention provided important benefits at an acceptable additional cost when
7 compared with the next best strategy.
- 8 The committee's considerations of cost effectiveness are discussed explicitly under
9 the heading 'Cost effectiveness and resource use' in the relevant evidence reviews.

10 **Developing recommendations**

11 **Guideline recommendations**

12 Recommendations were drafted on the basis of the committee's interpretation of the
13 available evidence, taking account of the balance of benefits, harms and costs
14 between different courses of action. When effectiveness and economic evidence was
15 of poor quality, conflicting or absent, the committee drafted recommendations based
16 on their expert opinion. The considerations for making consensus-based
17 recommendations include the balance between potential benefits and harms, the
18 economic costs or implications compared with the economic benefits, current
19 practices, recommendations made in other relevant guidelines, person's preferences
20 and equality issues.

21 The main considerations specific to each recommendation are outlined under the
22 heading 'The committee's discussion of the evidence' within each evidence review.

23 For further details refer to [Developing NICE guidelines: the manual](#).

24 **Research recommendations**

25 When areas were identified for which evidence was lacking, the committee
26 considered making recommendations for future research. For further details refer to
27 Developing NICE guidelines: the manual and NICE's Research recommendations
28 process and methods guide.

29 **Validation process**

30 This guideline was subject to a 6-week public consultation and feedback process. All
31 comments received from registered stakeholders were responded to in writing and
32 posted on the NICE website at publication. For further details refer to Developing
33 NICE guidelines: the manual.

34 **Updating the guideline**

35 Following publication, NICE will undertake a surveillance review to determine
36 whether the evidence base has progressed sufficiently to consider altering the
37 guideline recommendations and warrant an update. For further details refer to
38 Developing NICE guidelines: the manual.

1 **Funding**

- 2 The NGA was commissioned by NICE to develop this guideline.

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