

National Institute for Health and Care Excellence

Draft for consultation

Maternal and child nutrition

Methods

NICE guideline number tbc

Supplement 1

June 2024

Draft for Consultation

*Commissioned by the National Institute
for Health and Care Excellence*

Disclaimer

The recommendations in this guideline represent the view of NICE, arrived at after careful consideration of the evidence available. When exercising their judgement, professionals are expected to take this guideline fully into account, alongside the individual needs, preferences and values of their patients or service users. The recommendations in this guideline are not mandatory and the guideline does not override the responsibility of healthcare professionals to make decisions appropriate to the circumstances of the individual patient, in consultation with the patient and/or their carer or guardian.

Local commissioners and/or providers have a responsibility to enable the guideline to be applied when individual health professionals and their patients or service users wish to use it. They should do so in the context of local and national priorities for funding and developing services, and in light of their duties to have due regard to the need to eliminate unlawful discrimination, to advance equality of opportunity and to reduce health inequalities. Nothing in this guideline should be interpreted in a way that would be inconsistent with compliance with those duties.

NICE guidelines cover health and care in England. Decisions on how they apply in other UK countries are made by ministers in the [Welsh Government](#), [Scottish Government](#), and [Northern Ireland Executive](#). All NICE guidance is subject to regular review and may be updated or withdrawn.

Copyright

© NICE, 2019. All rights reserved. Subject to [Notice of rights](#).

ISBN:

Contents

Development of the guideline	5
Remit.....	5
What this guideline covers.....	5
Groups that will be covered.....	5
Settings that will be covered.....	5
Key areas that will be covered in this update.....	56
What this guideline does not cover.....	56
Areas that will not be covered by this update.....	56
Methods	78
Developing the review questions and outcomes.....	78
Searching for evidence.....	942
Scoping search.....	942
Systematic literature search.....	942
Economic systematic literature search.....	1043
Reviewing research evidence.....	1143
Systematic review process.....	1143
Type of studies and inclusion/exclusion criteria.....	1144
Methods of combining evidence.....	1245
Data synthesis for intervention studies.....	1245
Data synthesis for prognostic reviews.....	1447
Data synthesis for qualitative reviews.....	1547
Appraising the quality of evidence.....	1548
Intervention studies.....	1548
Prognostic studies.....	2123
Qualitative studies.....	2225
Reviewing economic evidence.....	2628
Appraising the quality of economic evidence.....	2729
Inclusion and exclusion of health state utility studies.....	2730
Economic modelling.....	2830
Cost effectiveness criteria.....	2834
Developing recommendations.....	2932
Guideline recommendations.....	2932
Research recommendations.....	2932
Validation process.....	2932
Updating the guideline.....	2932
References	3133

1 Development of the guideline

2 Remit

3 This guideline will update and amalgamate:

- 4 • the NICE guideline on maternal and child nutrition (PH11), and
- 5 • the recommendations on weight management during pregnancy in the NICE
6 guideline on weight management before, during and after pregnancy (PH27).

7 (Note that the recommendations on weight management before and after pregnancy
8 will be covered in [NICE guideline on overweight and obesity management](#)).

9 What this guideline covers

10 Groups that will be covered

- 11 • Women during a single or multiple pregnancy (weight management and nutrition)
- 12 • Breastfeeding women (uptake of vitamins and maintaining breastfeeding)
- 13 • Preconception in relation to folic acid supplements only
- 14 • Babies and children from birth to 5 years and their parents and carers

15 Breastfeeding will only be covered from 8 weeks after birth. Feeding up to 8 weeks is
16 covered in the [NICE guideline on postnatal care](#).

17 We will give specific consideration to women living with underweight, overweight or
18 obesity during pregnancy.

19 Settings that will be covered

20 All settings where publicly funded maternal and child nutrition assessment, advice
21 and support is provided.

22 Key areas that will be covered in this update

23 We will look at evidence in the areas below when developing the guideline. We will
24 consider making new recommendations or updating existing recommendations in
25 these areas only. It may not be possible to make recommendations in all the areas.

- 26 1) Vitamin supplementation.
- 27 2) Weight management and healthy eating during pregnancy.
- 28 3) Breastfeeding and formula feeding.
- 29 4) Healthy eating behaviours in children up to 5 years.

30 This guideline will also link to any relevant recommendations on dietary advice,
31 allergies and oral health in other NICE and government guidance.

32 What this guideline does not cover

33 Areas that will not be covered by this update

- 34 • Population-based screening programmes.

- 1 • Specialist dietary interventions for women and children following a specific diet for
2 a medical condition.
- 3 • National maternal and child nutrition policies that are already covered by the
4 Department of Health and Social Care (advised by SACN) and the Food
5 Standards Agency (advised by the Committee on Toxicity), such as population-
6 based dietary recommendations, national advice on food safety, the nutritional
7 composition of infant formula and the fortification of foods.
- 8 • Interventions, information and support for breastfeeding and formula feeding of
9 babies up to 8 weeks, as this is covered in the NICE guideline on postnatal care.
- 10 • Weight management for women before and after pregnancy, as these are covered
11 by the update to the NICE guidelines on weight management.
- 12 • Weight management for children. Children aged over 2 years are covered by the
13 update to the NICE guidelines on weight management. Weight management for
14 children under 2 years will not be considered by this guideline or the weight
15 management guideline. It is felt that concerns in this area could be appropriately
16 addressed by regular weight monitoring and by health professionals implementing
17 existing advice on healthy eating behaviours in this population group.
- 18 • Care of preterm babies and low-birth-weight babies (defined by the World Health
19 Organization as a birth weight less than 2,500 g).
- 20 • Complementary therapy

1 Methods

2 This guideline was developed using the methods described in the [Developing NICE](#)
3 [guidelines: the manual](#).

4 Declarations of interest were recorded according to the [NICE conflicts of interest](#)
5 [policy](#).

6 Developing the review questions and outcomes

7 The review questions developed for this guideline were based on the key areas
8 identified in the guideline [scope](#). They were drafted by the NGA technical team, and
9 refined and validated by the guideline committee.

10 The review questions were based on the following frameworks:

- 11 • population, intervention, comparator and outcome (PICO) for reviews of
12 interventions
- 13 • prognostic reviews – using population, presence or absence of a prognostic, risk
14 or predictive factor and outcome (PPO)
- 15 • qualitative reviews – using population, phenomenon of interest and context (PICo)

16 Full literature searches, critical appraisals and evidence reviews were completed for
17 all review questions.

18 The review questions and evidence reviews corresponding to each question (or
19 group of questions) are summarised below.

20 **Table 12: Summary of review questions and index to evidence reviews**

Evidence review	Review question	Type of review
[A] High-dose folic acid supplementation before and during the first 12 weeks of pregnancy	Which groups of women should be advised to take high-dose folic acid supplements before and during the first 12 weeks of pregnancy?	Intervention
[B] Optimum folic acid supplementation dose before and during the first 12 weeks of pregnancy for women with a BMI \geq 25 kg/m ² or more	What is the optimum dose of folic acid supplementation before and during the first 12 weeks of pregnancy for women with a BMI \geq 25 kg/m ² or more?	Intervention
[C] Interventions to increase uptake of folic acid supplementation before and during the first 12 weeks of pregnancy	What interventions are effective to increase uptake of folic acid supplementation before and during the first 12 weeks of pregnancy?	Intervention
[D] Optimum vitamin D dose during pregnancy for women medically classified as overweight or obese	What dose of vitamin D is appropriate during pregnancy for women medically classified as overweight or obese?	Intervention

Evidence review	Review question	Type of review
[E] Interventions to increase uptake of vitamin supplements (including Healthy Start vitamins) in line with government advice	What interventions are effective to increase uptake of vitamin supplements (including Healthy Start vitamins) in line with government advice for pregnant women, breastfeeding women, babies and children up to 5 years?	Intervention
[F] Healthy and appropriate weight change during pregnancy	What gestational weight change is healthy and appropriate during pregnancy?	Prognostic
[G] Interventions for helping to achieve healthy and appropriate weight change during pregnancy	What are the most effective and cost-effective interventions for helping women to achieve healthy and appropriate weight change during pregnancy?	Intervention
[H] Healthy lifestyle interventions for those with gestational diabetes	What are the most effective and cost-effective healthy lifestyle interventions for women with gestational diabetes?	Intervention
[I] Interventions to increase uptake of healthy eating and drinking advice during pregnancy	What interventions are effective to increase uptake of healthy eating and drinking advice during pregnancy in line with government advice?	Intervention
[J] Approaches and interventions for maintaining breastfeeding beyond 8 weeks after birth	What approaches and interventions are effective in maintaining breastfeeding after 8 weeks?	Intervention
[K] Facilitators and barriers for maintaining breastfeeding beyond 8 weeks after birth	What do parents perceive to be facilitators and barriers for maintaining breastfeeding after 8 weeks?	Qualitative
[L] Facilitators and barriers to follow existing government advice on safe and appropriate formula feeding	What are the facilitators and barriers for parents to follow existing government advice on safe and appropriate formula feeding?	Qualitative
[M] Facilitators and barriers to continue breastfeeding when returning to work or study	What are the facilitators and barriers to help women returning to work and study to continue breastfeeding?	Qualitative
[N] Interventions to promote appropriate and timely introduction to solids (complementary feeding) for babies from 6 to 12 months	What interventions are effective to promote appropriate and timely introduction to solids (complementary feeding) for babies from 6 to 12 months (in line with government advice)?	Intervention
[O] Interventions to promote healthy eating and drinking practices, including	What interventions are effective to promote healthy eating and drinking practices, including complementary	Intervention

Evidence review	Review question	Type of review
complementary feeding, in children from 12 months to 5 years	feeding, in children from 12 months to 5 years (in line with government advice)?	
[P] Facilitators and barriers to increase the uptake of government advice on folic acid and vitamin supplements	What are the barriers and facilitators to increasing the uptake of government advice for women and families with children up to five years in the following areas: <ul style="list-style-type: none"> folic acid supplements (including before pregnancy) vitamin supplements (including Healthy Start vitamins)? 	Qualitative
[Q] Facilitators and barriers to increase the uptake of government advice on healthy eating and drinking in pregnancy	What are the barriers and facilitators to increasing the uptake of government advice for women and families with children up to five years in the following areas: <ul style="list-style-type: none"> healthy eating and drinking in pregnant women? 	Qualitative
[R] Facilitators and barriers to increase the uptake of government advice on appropriate and timely introduction to solids and healthy eating and drinking in children	What are the barriers and facilitators to increasing the uptake of government advice for women and families with children up to five years in the following areas: <ul style="list-style-type: none"> appropriate and timely introduction to solids (complementary feeding) for babies from 6 to 12 months healthy eating and drinking in children from 12 months to 5 years? 	Qualitative

- 1 The COMET database was searched for core outcome sets relevant to this guideline.
- 2 A core outcome set for maternal and fetal/childhood outcomes (set by Mehra 2012
- 3 and Farpour-Lambert 2018) were used in the evidence reviews. Additional outcomes
- 4 on healthy eating and drinking during pregnancy and in children were chosen based
- 5 on committee discussions.
- 6 Additional information related to development of the guideline is contained in
- 7 Supplement 2 NICE technical team list.

8 Searching for evidence

9 Scoping search

- 10 During the scoping phase, searches were conducted for previous guidelines,
- 11 economic evaluations, health technology assessments, systematic reviews,
- 12 randomised controlled trials, observational studies and qualitative research.

13 Systematic literature search

- 14 Systematic literature searches were undertaken to identify published evidence
- 15 relevant to each review question.

1 Databases were searched using subject headings, free-text terms and, where
2 appropriate, study type filters. Where possible, searches were limited to retrieve
3 studies published in English. All the searches were conducted in the following
4 databases: Medline ALL and Embase.

5 For review questions related to interventions the following databases were also
6 searched: Cochrane Central Register of Controlled Trials (CENTRAL), Cochrane
7 Database of Systematic Reviews (CDSR), Epistemonikos and Cinahl. For qualitative
8 review questions Emcare and PsycINFO were also searched.

9 Searches were run once for all reviews during development. Searches for the
10 following questions were updated in December 2023, 13 weeks in advance of the
11 final committee meeting.

- 12 • [A] High dose folic acid supplementation
- 13 • [B] Folic acid supplementation for women with a BMI \geq 25 kg/m² or more
- 14 • [D] Vitamin D dose during pregnancy for women medically classified as
15 overweight or obese
- 16 • [E] Interventions to increase uptake of vitamin supplements (including Healthy
17 Start vitamins) in line with government advice

18 Details of the search strategies, including the study-design filters used and
19 databases searched, are provided in Appendix B of each evidence review.

20 **Economic systematic literature search**

21 Systematic literature searches were also undertaken to identify published economic
22 evidence. Databases were searched using subject headings, free-text terms and,
23 where appropriate, an economic evaluations search filter.

24 Searches using the search strategies derived from the review questions, combined
25 with a search filter for economic evaluations, were conducted in Medline ALL,
26 Embase, INAHTA (International HTA Database) and CRD HTA. Where possible,
27 searches were limited to studies published in English. Limits to exclude animal
28 studies, letters, editorials, news were applied where possible.

29 As with the general literature searches, the economic literature searches were run
30 once for all reviews during development. Searches for the following questions were
31 updated in December 2023, 13 weeks in advance of the final committee meeting.

- 32 • [A] High dose folic acid supplementation
- 33 • [B] Folic acid supplementation for women with a BMI \geq 25 kg/m² or more
- 34 • [D] Vitamin D dose during pregnancy for women medically classified as
35 overweight or obese
- 36 • [E] Interventions to increase uptake of vitamin supplements (including Healthy
37 Start vitamins) in line with government advice

38 Details of the search strategies, including the study-design filter used and databases
39 searched, are provided in the evidence reviews.

40 **Quality assurance**

41 Search strategies were quality assured by cross-checking reference lists of relevant
42 studies, analysing search strategies from published systematic reviews and asking

1 members of the committee to highlight key studies. The principal search strategies
2 for each search were also quality assured by a second information scientist using an
3 adaptation of the PRESS 2015 Guideline Evidence-Based Checklist
4 (McGowan 2016).

5 **Reviewing research evidence**

6 **Systematic review process**

7 The evidence was reviewed in accordance with the following approach.

- 8 • Potentially relevant articles were identified from the search results for each review
9 question by screening titles and abstracts. Full-text copies of the articles were
10 then obtained.
- 11 • Full-text articles were reviewed against pre-specified inclusion and exclusion
12 criteria in the review protocol (see Appendix A of each evidence review).
- 13 • Key information was extracted from each article on study methods and results, in
14 accordance with factors specified in the review protocol. The information was
15 presented in a summary table in the corresponding evidence review and in a more
16 detailed evidence table (see Appendix D of each evidence review).
- 17 • Included studies were critically appraised using an appropriate checklist as
18 specified in [Developing NICE guidelines: the manual](#). Further detail on appraisal
19 of the evidence is provided below.
- 20 • Summaries of quantitative evidence by outcome and qualitative evidence by
21 theme were presented in the corresponding evidence review and discussed by the
22 committee.

23 Review questions selected as high priorities for economic analysis (and those
24 selected as medium priorities and where economic analysis could influence
25 recommendations) and complex review questions were subject to dual screening and
26 study selection through a 10% random sample of articles. Any discrepancies were
27 resolved by discussion between the first and second reviewers or by reference to a
28 third (senior) reviewer. For the remaining review questions, internal (NGA) quality
29 assurance processes included consideration of the outcomes of screening, study
30 selection and data extraction and the committee reviewed the results of study
31 selection and data extraction. The review protocol for each question specifies
32 whether dual screening and study selection was undertaken for that particular
33 question. Drafts of all evidence reviews were quality assured by a senior reviewer.

34 **Type of studies and inclusion/exclusion criteria**

35 Inclusion and exclusion of studies was based on criteria specified in the
36 corresponding review protocol.

37 Systematic reviews with meta-analyses were considered to be the highest quality
38 evidence that could be selected for inclusion.

39 For intervention reviews, randomised controlled trials (RCTs) were prioritised for
40 inclusion because they are considered to be the most robust type of study design
41 that could produce an unbiased estimate of intervention effects. Where there was
42 insufficient evidence from RCTs to inform guideline decision making, non-
43 randomised studies (NRS) were considered for inclusion. Sufficiency was judged

- 1 taking into account the number, quality and sample size of RCTs, as well as
2 outcomes reported and availability of data from subgroups of interest. When NRS
3 were considered for inclusion, priority was given to controlled studies, with separate
4 control groups that were not allocated on the basis of the outcome, that adjusted for
5 relevant confounders or matched participants on important confounding domains.
- 6 For prognostic reviews, prospective and retrospective cohort studies were
7 considered for inclusion. Studies that included multivariable analysis were prioritised.
- 8 For qualitative reviews, studies using focus groups, structured interviews or semi-
9 structured interviews were considered for inclusion.
- 10 The committee was consulted about any uncertainty regarding inclusion or exclusion
11 of studies. A list of excluded studies for each review question, including reasons for
12 exclusion is presented in Appendix J of the corresponding evidence review.
- 13 Narrative reviews, posters, letters, editorials, comment articles, unpublished studies
14 and studies published in languages other than English were excluded. Conference
15 abstracts were not considered for inclusion because conference abstracts typically
16 do not have sufficient information to allow for full critical appraisal.

17 **Methods of combining evidence**

- 18 When planning reviews (through preparation of protocols), the following approaches
19 for data synthesis were discussed and agreed with the committee.

20 **Data synthesis for intervention studies**

21 ***Pairwise meta-analysis***

- 22 Meta-analysis to pool results from comparative intervention studies was conducted
23 where possible using Cochrane Review Manager (RevMan5) software.
- 24 For dichotomous outcomes, such as mortality, the Mantel–Haenszel method with a
25 fixed effect model was used to calculate risk ratios (RRs). For all outcomes with zero
26 events in both arms the risk difference was presented. For outcomes in which the
27 majority of studies had low event rates (<1%), Peto odds ratios (ORs) were
28 calculated as this method performs well when events are rare (Bradburn 2007).
- 29 For continuous outcomes, measures of central tendency (mean) and variation
30 (standard deviation; SD) are required for meta-analysis. Where SDs were not
31 reported for each intervention group, the standard error (SE) of the mean difference
32 was calculated from other reported statistics (p values or 95% confidence intervals;
33 CIs) and then meta-analysis was conducted as described above.
- 34 If a study reported only the summary statistic and 95% CI the generic-inverse
35 variance method was used to enter data into RevMan5. If the control event rate was
36 reported this was used to generate the absolute risk difference in GRADEpro. If
37 multivariable analysis was used to derive the summary statistic but no adjusted
38 control event rate was reported, no absolute risk difference was calculated. Where a
39 study reported multiple adjusted estimates for the same outcome, the one that
40 minimised the risk of bias due to confounding was chosen.
- 41 When evidence was based on studies that reported descriptive data or medians with
42 interquartile ranges or p values, this information was included in the corresponding

1 GRADE tables (see below) without calculating relative or absolute effects.
2 Consequently, certain aspects of quality assessment such as imprecision of the
3 effect estimate could not be assessed as per standard methods for this type of
4 evidence and subjective ratings or ratings based on sample size cut-offs were
5 considered instead.

6 For some reviews, evidence was either stratified from the outset or separated into
7 subgroups when heterogeneity was encountered. The stratifications and potential
8 subgroups were pre-defined at the protocol stage (see the protocols for each review
9 for further detail). Where evidence was stratified or sub-grouped the committee
10 considered on a case-by-case basis if separate recommendations should be made
11 for distinct groups. Separate recommendations may be made where there is
12 evidence of a differential effect of interventions in distinct groups. If there is a lack of
13 evidence in one group, the committee considered, based on their experience,
14 whether it was reasonable to extrapolate and assume the interventions will have
15 similar effects in that group compared with others.

16 Where applicable, data from RCTs and NRS, or from NRS with substantially different
17 designs (i.e., cohort studies and case-control studies), that were theoretically
18 possible to pool were entered into RevMan5 as subgroups based on study design.
19 This was to take into account the likelihood of increased heterogeneity from studies
20 with different design features and different approaches to appraising the quality of
21 evidence based on study design (see appraising the quality of evidence: intervention
22 studies below).

23 When meta-analysis was undertaken, the results were presented visually using forest
24 plots generated using RevMan5 (see Appendix E of relevant evidence reviews).

25 **Meta-regression**

26 Meta-regression analysis was considered appropriate to assess the effectiveness of
27 education, advice or support interventions aimed to maintain breastfeeding beyond 8
28 weeks after birth, covered in evidence review J. Meta-regression is used in meta-
29 analysis to simultaneously investigate the impact of moderator variables on study
30 effect size. In this case meta-regression was considered appropriate because there
31 was a large volume of included studies (n=69) each with different intervention
32 characteristics (or 'moderator variables'), for example where the intervention was
33 delivered, how long it lasted for, how the intervention was delivered and how often.

34 For the purpose of this meta-regression analysis, each study was categorised using
35 the following variables.

- 36 • Number of contact visits: 0, 1, 2-3, 4-8 and 9+.
- 37 • How delivered: face to face on an individual basis, face to face in a group, remote,
38 self-help.
- 39 • Duration of contact: contact with the intervention lasted less than 8 weeks, contact
40 with the intervention lasted more than 8 weeks.
- 41 • Where the intervention was delivered: at home, in a healthcare setting,
42 combination of both home and healthcare setting.

43 The following analyses were conducted for each outcome (i.e. any breastfeeding at
44 6-12 weeks, exclusive breastfeeding at 6-12 weeks, any breastfeeding at 16-26
45 weeks, exclusive breastfeeding at 16-26 weeks).

- 46 • How delivered

- 1 ○ Face to face as an individual versus standard care
- 2 ○ Remote versus standard care
- 3 ○ Self-help versus standard care
- 4 ● Number of contacts
- 5 ○ 0-1 versus standard care
- 6 ○ 2-3 versus standard care
- 7 ○ 4-8 versus standard care
- 8 ○ 9+ versus standard care
- 9 ● Duration of contact
- 10 ○ Less than 8 weeks versus standard care
- 11 ○ More than 8 weeks versus standard care
- 12 ● Where delivered
- 13 ○ Healthcare setting versus standard care
- 14 ○ Home setting versus standard care
- 15 ○ Both healthcare and home setting versus standard care

16 Individual models were first run for each of the variable categories (number of
17 contacts, how delivered, duration of contact and where the intervention was
18 delivered). We attempted to run a final 'combined' model, ideally incorporating all
19 variables in one analysis. However, there was significant collinearity between the
20 variables, which did not allow the model to converge. To avoid this, a number of
21 variables and/or categories within variables needed to be omitted or merged – this
22 considerably reduced the information provided by the combined model and increased
23 the uncertainty around the resulting study effects, so it was decided not to consider
24 an analysis using the combined model.

25 Meta-regression was implemented in WinBUGS 1.4.3 (Spiegelhalter 2003). A sample
26 WinBUGS code for the analysis of any breastfeeding at 16 to 26 weeks, including the
27 variables how the intervention was delivered, the number of contacts for the
28 intervention and where the intervention was delivered is given in evidence review J,
29 appendix M. Other analyses used the same substantive code as the one provided,
30 modified to include the relevant predictor variables for the model under consideration.

31 See evidence review J for further details of the meta-regression methods and results.

32 **Data synthesis for prognostic reviews**

33 ORs or RRs with 95% CIs reported in published studies were extracted or calculated
34 by the NGA technical team to examine relationships between risk factors and
35 outcomes of interest. Ideally analyses would have adjusted for key confounders
36 (such as age or parity) to be considered for inclusion. Meta-analysis using the same
37 methods as for intervention reviews outlined above was performed where possible
38 (for example, if there were at least 2 studies reporting the same risk factor and in
39 populations with the same/similar characteristics) and where there was no significant
40 variation between studies or very serious heterogeneity. For those where meta-
41 analysis could not be performed, the results for each individual study have been
42 reported in the review.

1 Data synthesis for qualitative reviews

2 Where possible, a meta-synthesis was conducted to combine evidence from more
3 than one study into a theme or sub-theme. Whenever studies identified a qualitative
4 theme relevant to the protocol, this was extracted, and the main characteristics were
5 summarised. When all themes had been extracted from studies, common concepts
6 were categorised and tabulated. This included information on how many studies had
7 contributed to each theme identified by the NGA technical team.

8 The technical team were guided in their data extraction, synthesis and formulation of
9 review findings, or themes, by a framework of phenomena developed by the
10 guideline committee. This framework consisted of the themes that the committee
11 anticipated would be covered by the included studies and these were set out a priori
12 in the corresponding review protocol. As well as guiding the data extraction and
13 synthesis, the framework also underpinned the approach referred to in the protocol
14 as 'thematic saturation'. Essentially, data or themes from included studies would not
15 be extracted if they contributed to review findings which were judged to be 'adequate'
16 and 'coherent' following assessment using the GRADE-CERQual approach; that is,
17 they were not downgraded for either domain. Themes identified from the included
18 studies, which were not set out in the protocol but which were considered relevant to
19 answering the review question, were also extracted and the same approach to
20 'thematic saturation' would have been applied. Thematic saturation was not reached
21 for any themes in any of the qualitative components of the reviews in this guideline.
22 Therefore, all relevant data from all included qualitative studies were extracted and
23 analysed.

24 Themes from individual studies were integrated into a wider context and, when
25 possible, overarching categories of themes with sub-themes were identified. Themes
26 were derived from data presented in individual studies. When themes were extracted
27 from 1 primary study only, theme names used in the guideline mirrored those in the
28 source study. However, when themes were based on evidence from multiple studies,
29 the theme names were assigned by the NGA technical team. The names of
30 overarching categories of themes were also assigned by the NGA technical team.

31 Emerging themes were placed into a thematic map representing the relationship
32 between themes and overarching categories. The purpose of such a map is to show
33 relationships between overarching categories and associated themes.

34 Appraising the quality of evidence

35 Intervention studies

36 *Pairwise meta-analysis*

37 **GRADE methodology for intervention reviews**

38 For intervention reviews, the evidence for outcomes from included RCTs and
39 comparative non-randomised studies was evaluated and presented using the
40 Grading of Recommendations Assessment, Development and Evaluation (GRADE)
41 methodology developed by the international [GRADE working group](#).

42 When GRADE was applied, software developed by the GRADE working group
43 (GRADEpro) was used to assess the quality of each outcome, taking account of

1 individual study quality factors and any meta-analysis results. Results were
2 presented in GRADE profiles (GRADE tables).

3 The selection of outcomes for each review question was agreed during development
4 of the associated review protocol in discussion with the committee. The evidence for
5 each outcome was examined separately for the quality elements summarised in
6 [Table 2](#)[Table 3](#). Criteria considered in the rating of these elements are discussed
7 below. Each element was graded using the quality ratings summarised in [Table](#)
8 [3](#)[Table 4](#). Footnotes to GRADE tables were used to record reasons for grading a
9 particular quality element as having a 'serious' or 'very serious' quality issue. The
10 ratings for each component were combined to obtain an overall assessment of
11 quality for each outcome as described in [Table 4](#)[Table 5](#).

12 The initial quality rating was based on the study design: RCTs and NRS assessed by
13 ROBINS-I start as 'high' quality evidence, other non-randomised studies start as 'low'
14 quality evidence. The rating was then modified according to the assessment of each
15 quality element ([Table 2](#)[Table 3](#)). Each quality element considered to have a 'serious'
16 or 'very serious' quality issue was downgraded by 1 or 2 levels respectively (for
17 example, evidence starting as 'high' quality was downgraded to 'moderate' or 'low'
18 quality). In addition, there was a possibility to upgrade evidence from non-
19 randomised studies (provided the evidence for that outcome had not previously been
20 downgraded) if there was a large magnitude of effect, a dose–response gradient, or if
21 all plausible confounding would reduce a demonstrated effect or suggest a spurious
22 effect when results showed no effect.

23 **Table 23: Summary of quality elements in GRADE for intervention reviews**

Quality element	Description
Risk of bias ('Study limitations')	This refers to limitations in study design or implementation that reduce the internal validity of the evidence
Inconsistency	This refers to unexplained heterogeneity in the results
Indirectness	This refers to differences in study populations, interventions, comparators or outcomes between the available evidence and inclusion criteria specified in the review protocol
Imprecision	This occurs when a study has few participants or few events of interest, resulting in wide confidence intervals that cross minimally important thresholds
Publication bias	This refers to systematic under- or over-estimation of the underlying benefit or harm resulting from selective publication of study results

24 **Table 34: GRADE quality ratings (by quality element)**

Quality issues	Description
None or not serious	No serious issues with the evidence for the quality element under consideration
Serious	Issues with the evidence sufficient to downgrade by 1 level for the quality element under consideration
Very serious	Issues with the evidence sufficient to downgrade by 2 levels for the quality element under consideration

Table 45: Overall quality of the evidence in GRADE (by outcome)

Overall quality grading	Description
High	Further research is very unlikely to change the level of confidence in the estimate of effect
Moderate	Further research is likely to have an important impact on the level of confidence in the estimate of effect and may change the estimate
Low	Further research is very likely to have an important impact on the level of confidence in the estimate of effect and is likely to change the estimate
Very low	The estimate of effect is very uncertain

2 *Assessing risk of bias in intervention reviews*

3 Bias is a systematic error, or consistent deviation from the truth in results obtained.
4 When a risk of bias is present the true effect can be either under- or over-estimated.

5 Risk of bias in RCTs was assessed using the Cochrane risk of bias tool (RoB 2; see
6 [Appendix H in Developing NICE guidelines: the manual](#)).

7 The Cochrane risk of bias tool assesses the following possible sources of bias:

- 8 • selection bias
- 9 • performance bias
- 10 • attrition bias
- 11 • detection bias
- 12 • reporting bias.

13 A study with a poor methodological design does not automatically imply high risk of
14 bias; the bias is considered individually for each outcome and it is assessed whether
15 the chosen design and methodology will impact on the estimation of the intervention
16 effect.

17 More details about the Cochrane risk of bias tool can be found in Section 8 of the
18 [Cochrane Handbook for Systematic Reviews of Interventions](#) (Higgins 2011).

19 For systematic reviews the ROBIS checklist was used (see [Appendix H in](#)
20 [Developing NICE guidelines: the manual](#)).

21 For non-randomised controlled studies, cohort studies, [uncontrolled before after](#)
22 [studies](#) or historical controlled studies the ROBINS-I checklist was used (see
23 [Appendix H in Developing NICE guidelines: the manual](#)).

24 For controlled before after studies, the EPOC risk of bias tool was used (see
25 [Appendix H in Developing NICE guidelines: the manual](#)).

26 For cross sectional studies-, the JBI Checklist for Analytical Cross Sectional Studies
27 was used (see [Appendix H in Developing NICE guidelines: the manual](#)).

1 Wang 2021 checklist was used for assessing the methodological quality of IPD meta-
2 analysis (Wang 2021) (see [Appendix H in Developing NICE guidelines: the manual](#)).

3 *Assessing inconsistency in intervention reviews*

4 Inconsistency refers to unexplained heterogeneity in results of meta-analysis. When
5 estimates of treatment effect vary widely across studies (that is, there is
6 heterogeneity or variability in results), this suggests true differences in underlying
7 effects. Inconsistency is, thus, only truly applicable when statistical meta-analysis is
8 conducted (that is, results from different studies are pooled). When outcomes were
9 derived from a single study the rating 'no serious inconsistency' was used when
10 assessing this domain, as per GRADE methodology (Santesso 2016).

11 Inconsistency was assessed visually by inspecting forest plots and observing
12 whether there was considerable heterogeneity in the results of the meta-analysis (for
13 example if the point estimates of the individual studies consistently showed benefits
14 or harms). This was supported by calculating the I-squared statistic for the meta-
15 analysis with an I-squared value of more than 50% indicating serious heterogeneity,
16 and more than 80% indicating very serious heterogeneity. When serious or very
17 serious heterogeneity was observed, possible reasons were explored and subgroup
18 analyses were performed as pre-specified in the review protocol where possible. In
19 the case of unexplained heterogeneity, sensitivity analyses were planned based on
20 the quality of studies, eliminating studies at high risk of bias (in relation to
21 randomisation, allocation concealment and blinding, and/or missing outcome data).

22 When no plausible explanation for the serious or very serious heterogeneity could be
23 found, the quality of the evidence was downgraded in GRADE for inconsistency and
24 the meta-analysis was re-run using the Der-Simonian and Laird method with a
25 random effects model.

26 *Assessing indirectness in intervention reviews*

27 Directness refers to the extent to which populations, interventions, comparisons and
28 outcomes reported in the evidence are similar to those defined in the inclusion
29 criteria for the review and was assessed by comparing the PICO elements in the
30 studies to the PICO defined in the review protocol. Indirectness is important when
31 such differences are expected to contribute to a difference in effect size, or may
32 affect the balance of benefits and harms considered for an intervention.

33 *Assessing imprecision and importance in intervention reviews*

34 Imprecision in GRADE methodology refers to uncertainty around the effect estimate
35 and whether or not there is an important difference between interventions (that is,
36 whether the evidence clearly supports a particular recommendation or appears to be
37 consistent with several candidate recommendations). Therefore, imprecision differs
38 from other aspects of evidence quality because it is not concerned with whether the
39 point estimate is accurate or correct (has internal or external validity). Instead, it is
40 concerned with uncertainty about what the point estimate actually represents. This
41 uncertainty is reflected in the width of the CI.

42 The 95% CI is defined as the range of values within which the population value will
43 fall on 95% of repeated samples, were the procedure to be repeated. The larger the
44 study, the smaller the 95% CI will be and the more certain the effect estimate.

1 Imprecision was assessed in the guideline evidence reviews by considering whether
 2 the width of the 95% CI of the effect estimate was relevant to decision making,
 3 considering each outcome independently. This is illustrated in Figure 1, which
 4 considers a positive outcome for the comparison of two treatments. Three decision-
 5 making zones can be differentiated, bounded by the thresholds for minimal
 6 importance (minimally important differences; MIDs) for benefit and harm.

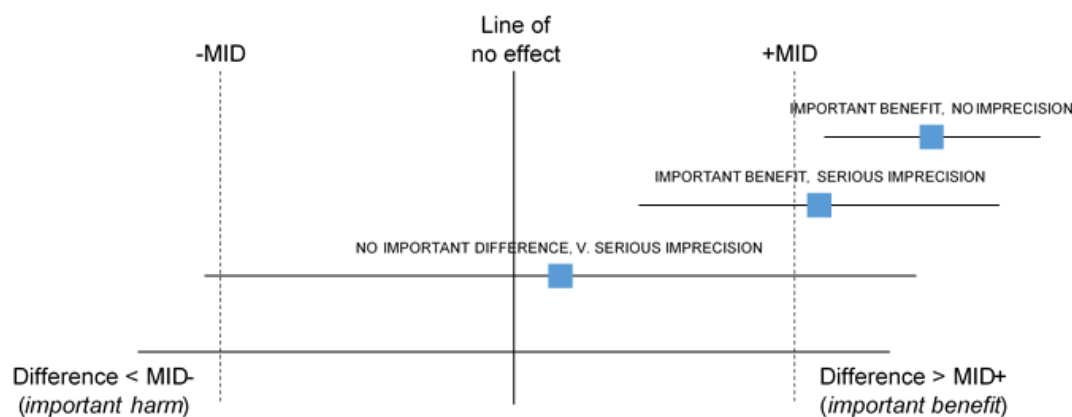
7 When the CI of the effect estimate is wholly contained in 1 of the 3 zones there is no
 8 uncertainty about the size and direction of effect, therefore, the effect estimate is
 9 considered precise; that is, there is no imprecision.

10 When the CI crosses 2 zones, it is uncertain in which zone the true value of the effect
 11 estimate lies and therefore there is uncertainty over which decision to make. The CI
 12 is consistent with 2 possible decisions, therefore, the effect estimate is considered to
 13 be imprecise in the GRADE analysis and the evidence is downgraded by 1 level
 14 ('serious imprecision').

15 When the CI crosses all 3 zones, the effect estimate is considered to be very
 16 imprecise because the CI is consistent with 3 possible decisions and there is
 17 therefore a considerable lack of confidence in the results. The evidence is therefore
 18 downgraded by 2 levels in the GRADE analysis ('very serious imprecision').

19 Implicitly, assessing whether a CI is in, or partially in, an important zone, requires the
 20 guideline committee to estimate an MID or to say whether they would make different
 21 decisions for the 2 confidence limits.

22 **Figure 1: Assessment of imprecision and importance in intervention reviews**
 23 **using GRADE**



24
 25

MID, minimally important difference

26 *Defining minimally important differences for intervention reviews*

27 The committee was asked whether there were any recognised or acceptable MIDs in
 28 the published literature and community relevant to the review questions under
 29 consideration. The committee was not aware of any MIDs that could be used for the
 30 guideline.

31 The committee agreed that there were a number of outcomes, namely caesarean
 32 birth, hypertensive disorders of pregnancy, gestational diabetes, small for gestational
 33 age or large for gestational age, that were sufficiently serious that any statistically

1 significant difference would be considered clinically important. In such cases,
2 imprecision was assessed based on the total number of events (>300 events: no
3 imprecision; 150-300 events: serious imprecision; <150 events: very serious
4 imprecision) for dichotomous outcomes and total sample size for continuous
5 outcomes (>400 people: no imprecision; 200-400 people: serious imprecision; <200
6 people: very serious imprecision). The committee used these numbers based on
7 commonly used optimal information size thresholds.

8 For the remaining outcomes, in the absence of published or accepted MIDs, the
9 committee agreed to use the GRADE default MIDs to assess imprecision. For
10 dichotomous outcomes minimally important thresholds for a RR of 0.8 and 1.25,
11 respectively, were used as default MIDs in the guideline. The committee also chose
12 to use 0.8 and 1.25 as the MIDs for ORs & HRs in the absence of published or
13 accepted MIDs. ORs were predominantly used in the guideline when Peto OR were
14 indicated due to low event rates, at low event rates OR are mathematically similar to
15 RR making the extrapolation appropriate. While no default MIDs exist for HR, the
16 committee agreed for consistency to continue to use 0.8 and 1.25 for these
17 outcomes.

18 If risk difference was used for meta-analysis, for example if the majority of studies
19 had zero events in either arm, imprecision was assessed based on sample size using
20 200 and 400 as cut-offs for very serious and serious imprecision, respectively.

21 For continuous outcomes GRADE default MIDs are equal to half the median SD of
22 the control groups at baseline (or at follow-up if the SD is not available at baseline).
23 Where results were reported as medians, imprecision was assessed based on
24 sample size using 200 and 400 as cut-offs for very serious and serious imprecision,
25 respectively.

26 MIDs, the line of no effect, and both 95% and 90% confidence intervals (CIs) were
27 used to assess whether there were important differences in outcomes between
28 groups. Outcomes were considered to have an important benefit/harm, possible
29 important benefit/harm, no evidence of an important difference, or no important
30 difference using the following approach:

- 31 • Where the point estimate (PE) was greater than the upper MID and the 95% CI
32 did not cross line of no effect, an intervention was described as having an
33 important benefit
- 34 • Where the PE was greater than the upper MID and the 95% CI crossed the line of
35 no effect, but the 90% CI did not, an intervention was described as having a
36 possible important benefit
- 37 • Where the PE was greater than the upper MID or lower than the lower MID, and
38 the 90% CI crossed the line of no effect, the result was described as no evidence
39 of an important difference
- 40 • Where the PE was between two MIDs, the result was described as no important
41 difference
- 42 • Where the PE was lower than the lower MID and the 95% CI crossed the line of
43 no effect, but the 90% CI did not, an intervention was described as having a
44 possible important harm
- 45 • Where the PE was lower than the lower MID and the 95% CI did not cross line of
46 no effect, an intervention was described as having an important harm.

1 This approach was used for all evidence reviews which informed decision making on
 2 the guideline. Please note that the above descriptions were based on positive
 3 outcomes (where high values indicate better outcomes or events are positive). If the
 4 outcomes were negative (where high values indicate worse outcomes or events are
 5 negative) then whether an intervention is considered to have an important benefit or
 6 important harm would be switched (for example, where the PE is greater than the
 7 upper MID and the 95% CI do not cross the line of no effect, an intervention would be
 8 described as having an important harm; where the PE is lower than the lower MID
 9 and the 95% CI do not cross line of no effect, an intervention would be described as
 10 having an important benefit).

11 *Assessing publication bias in intervention reviews*

12 We did not assess publication bias for intervention reviews in this guideline.

13 **Prognostic studies**

14 ***Adapted GRADE methodology for prognostic reviews***

15 For prognostic reviews with evidence from comparative studies an adapted GRADE
 16 approach was used. As noted above, GRADE methodology is designed for
 17 intervention reviews but the quality assessment elements were adapted for
 18 prognostic reviews.

19 The evidence for each outcome in the prognostic reviews was examined separately
 20 for the quality elements listed and defined in [Table 5](#)~~Table 6~~. The criteria considered
 21 in the rating of these elements are discussed below. Each element was graded using
 22 the quality levels summarised in [Table 3](#)~~Table 4~~. Footnotes to GRADE tables were
 23 used to record reasons for grading a particular quality element as having 'serious' or
 24 'very serious' quality issues. The ratings for each component were combined to
 25 obtain an overall assessment of quality for each outcome as described in [Table](#)
 26 [4](#)~~Table 5~~.

27 **Table 56: Adaptation of GRADE quality elements for prognostic reviews**

Quality element	Description
Risk of bias ('Study limitations')	Limitations in study design and implementation may bias estimates and interpretation of the effect of the prognostic/risk factor. High risk of bias for the majority of the evidence reduces confidence in the estimated effect. Prognostic studies are not usually randomised and therefore would not be downgraded for study design from the outset (they start as high quality)
Inconsistency	This refers to unexplained heterogeneity between studies looking at the same prognostic/risk factor, resulting in wide variability in estimates of association (such as RRs or ORs), with little or no overlap in confidence intervals
Indirectness	This refers to any departure from inclusion criteria listed in the review protocol (such as differences in study populations or prognostic/risk factors), that may affect the generalisability of results
Imprecision	This occurs when a study has relatively few participants and also when the number of participants is too small for a multivariable analysis (as a rule of thumb, 10 participants are needed per variable). This was assessed by considering the confidence

Quality element	Description
	interval in relation to the point estimate for each outcome reported in the included studies

1 *RR, relative risk; OR, odds ratio*

2 *Assessing risk of bias in prognostic reviews*

3 The Quality in Prognosis Studies (QUIPS) tool developed by Hayden 2013 was used
4 to assess risk of bias in studies included in prognostic reviews (see [Appendix H in](#)
5 [the Developing NICE guidelines: the manual](#)). The risk of bias in each study was
6 determined by assessing the following domains:

- 7 • selection bias
- 8 • attrition bias
- 9 • prognostic factor bias
- 10 • outcome measurement bias
- 11 • control for confounders
- 12 • appropriate statistical analysis.

13 *Assessing inconsistency in prognostic reviews*

14 Where multiple results were deemed appropriate to meta-analyse (that is, there was
15 sufficient similarity between risk factor and outcome under investigation)
16 inconsistency was assessed by visually inspecting forest plots and observing
17 whether there was considerable heterogeneity in the results of the meta-analysis.
18 This was assessed by calculating the I-squared statistic for the meta-analysis with an
19 I-squared value of more than 50% indicating serious heterogeneity, and more than
20 80% indicating very serious heterogeneity. When serious or very serious
21 heterogeneity was observed, possible reasons were explored and subgroup analyses
22 were performed as pre-specified in the review protocol where possible.

23 When no plausible explanation for the heterogeneity could be found, data were not
24 pooled.

25 *Assessing indirectness in prognostic reviews*

26 Indirectness in prognostic reviews was assessed by comparing the populations,
27 prognostic factors and outcomes in the evidence to those defined in the review
28 protocol.

29 *Assessing imprecision and importance in prognostic reviews*

30 Prognostic studies may have a variety of purposes, for example, establishing typical
31 prognosis in a broad population, establishing the effect of patient characteristics on
32 prognosis, and developing a prognostic model. While by convention MIDs relate to
33 intervention effects, the committee agreed to use GRADE default MIDs for
34 intervention studies to assess imprecision. Clinical importance was assessed by the
35 association between the risk factor and the outcome, and the committee agreed that
36 any statistically significant association between the risk factors and outcomes was
37 clinically important.

1 Qualitative studies

2 *GRADE-CERQual methodology for qualitative reviews*

3 For qualitative reviews an adapted GRADE Confidence in the Evidence from
 4 Reviews of Qualitative research (GRADE-CERQual) approach (Lewin 2018) was
 5 used. In this approach the quality of evidence is considered according to themes in
 6 the evidence. The themes may have been identified in the primary studies or they
 7 may have been identified by considering the reports of a number of studies. Quality
 8 elements assessed using GRADE-CERQual are listed and defined in [Table 6](#)~~Table 8~~.
 9 Each element was graded using the levels of concern summarised in [Table 7](#)~~Table 9~~.

10 The ratings for each component were combined (as with other types of evidence) to
 11 obtain an overall assessment of quality for each theme as described in [Table 8](#)~~Table~~
 12 [40](#). 'Confidence' in this context refers to the extent to which the review finding is a
 13 reasonable representation of the phenomenon of interest set out in the protocol.
 14 Similar to other types of evidence all review findings start off with 'high confidence'
 15 and are rated down by one or more levels if there are concerns about any of the
 16 individual CERQual components. In line with advice from the CERQual developers,
 17 the overall assessment does not involve numerical scoring for each component but in
 18 order to ensure consistency across and between guidelines, the NGA established
 19 some guiding principles for overall ratings. For example, a review finding would not
 20 be downgraded (and therefore would be assessed with 'high' confidence) if at least 2
 21 of the individual components were rated as 'no or very minor; and none of the
 22 components were rated as having moderate or serious concerns.

23 At the other extreme, a review finding would be downgraded 3 times (to 'very low') if
 24 at least 2 components had serious concerns or 3 had moderate concerns (as long as
 25 the 4th component was rated 'serious') or if all components had moderate concerns.
 26 A basic principle was that if any components had any serious concerns, then overall
 27 confidence in the review finding would be downgraded at least twice, to low.
 28 Transparency about overall judgements is provided in the CERQual tables, with
 29 explanations for downgrading given in table footnotes.

30 **Table 68: Adaptation of GRADE quality elements for qualitative reviews**

Quality element	Description
Methodological limitations	Limitations in study design and implementation may bias interpretation of qualitative themes identified. High risk of bias for the majority of the evidence reduces our confidence that the review findings reflect the phenomena of interest. Qualitative studies are not usually randomised and therefore would not be downgraded for study design from the outset (they start as high quality)
Relevance (or applicability) of evidence	This refers to the extent to which the context of the studies supporting the review findings is applicable to the context specified in the review question
Coherence of findings	This refers to the extent to which review findings are well grounded in data from the contributing primary studies and provide a credible explanation for patterns identified in the evidence. If the data from the underlying studies are ambiguous or contradict the review finding this would reduce our confidence in the finding.
Adequacy of data (theme saturation or sufficiency)	This corresponds to a similar concept in primary qualitative research, that is, whether a theoretical point of theme saturation was achieved, at which point no further citations or observations would provide more insight or suggest a different interpretation of the particular theme.

Quality element	Description
	Judgements are not based on the number of studies but do take account of the quantity and also richness of data underpinning a finding. The more complex the finding, the more detailed the supporting data need to be. For simple findings, relatively superficial data would be considered adequate to explain and explore the phenomenon being described.

1 **Table 79: CERQual levels of concern (by quality element)**

Level of concern	Definition
None or very minor concerns	Unlikely to reduce confidence in the review finding
Minor concerns	May reduce confidence in the review finding
Moderate concerns	Will probably reduce confidence in the review finding
Serious concerns	Very likely to reduce confidence in the review finding

2 **Table 840: Overall confidence in the evidence in CERQual (by review**
3 **finding)**

Overall confidence level	Definition
High	It is highly likely that the review finding is a reasonable representation of the phenomenon of interest
Moderate	It is likely that the review finding is a reasonable representation of the phenomenon of interest
Low	It is possible that the review finding is a reasonable representation of the phenomenon of interest
Very low	It is unclear whether the review finding is a reasonable representation of the phenomenon of interest

4 *Assessing methodological limitations in qualitative reviews*

5 Methodological limitations in qualitative studies were assessed using the Critical
6 Appraisal Skills Programme (CASP) checklist for qualitative studies (see [Appendix H](#)
7 [in Developing NICE guidelines: the manual](#)). Overall methodological limitations were
8 derived by assessing the methodological limitations across the 6 domains
9 summarised in [Table 9Table 11](#).

10 **Table 944: Methodological limitations in qualitative studies**

Aim and appropriateness of qualitative evidence	This domain assesses whether the aims and relevance of the study were described clearly and whether qualitative research methods were appropriate for investigating the research question
Rigour in study design or validity of theoretical approach	This domain assesses whether the study approach was documented clearly and whether it was based on a theoretical framework (such as ethnography or grounded

	theory). This does not necessarily mean that the framework has to be stated explicitly, but a detailed description ensuring transparency and reproducibility should be provided
Sample selection	This domain assesses the background, the procedure and reasons for the method of selecting participants. The assessment should include consideration of any relationship between the researcher and the participants, and how this might have influenced the findings
Data collection	This domain assesses the documentation of the method of data collection (in-depth interviews, semi-structured interviews, focus groups or observations). It also assesses who conducted any interviews, how long they lasted and where they took place
Data analysis	This domain assesses whether sufficient detail was documented for the analytical process and whether it was in accordance with the theoretical approach. For example, if a thematic analysis was used, the assessment would focus on the description of the approach used to generate themes. Consideration of data saturation would also form part of this assessment (it could be reported directly or it might be inferred from the citations documented that more themes could be found)
Results	This domain assesses any reasoning accompanying reporting of results (for example, whether a theoretical proposal or framework is provided)

1 *Assessing relevance of evidence in qualitative reviews*

2 Relevance (applicability) of findings in qualitative research is the equivalent of
3 indirectness for quantitative outcomes and refers to how closely the aims and context
4 of studies contributing to a theme reflect the objectives outlined in the guideline
5 review protocol.

6 *Assessing coherence of findings in qualitative reviews*

7 For qualitative research, a similar concept to inconsistency is coherence, which
8 refers to the way findings within themes are described and whether they make sense.
9 This concept was used in the quality assessment across studies for individual
10 themes. This does not mean that contradictory evidence was automatically
11 downgraded, but that it was highlighted and presented, and that reasoning was
12 provided. Provided the themes, or components of themes, from individual studies fit
13 into a theoretical framework, they do not necessarily have to reflect the same
14 perspective. It should, however, be possible to explain these by differences in context
15 (for example, the views of health or social care professionals might not be the same
16 as those of family members, but they could contribute to the same overarching
17 themes).

18 *Assessing adequacy of data in qualitative reviews*

19 Adequacy of data (theme saturation or sufficiency) corresponds to a similar concept
20 in primary qualitative research in which consideration is made of whether a
21 theoretical point of theme saturation was achieved, meaning that no further citations
22 or observations would provide more insight or suggest a different interpretation of the

1 theme concerned. As noted above, it is not equivalent to the number of studies
 2 contributing to a theme, but it does take account of the quantity of data supporting a
 3 review finding (for instance whether sufficient quotations or observations were
 4 provided to underpin the findings) and in particular the degree of 'richness' of
 5 supporting data. Concerns about richness arise when insufficient details are provided
 6 by the data to enable an understanding of the phenomenon being described.
 7 Generally, if a review finding is fairly simple then relatively superficial data will be
 8 needed to understand it. Data underpinning a more complex finding would need to
 9 offer greater detail, allowing for interpretation and exploration of the phenomenon
 10 being described. Therefore, in assessing adequacy our downgrading involved
 11 weighing up the complexity of the review finding against the explanatory contribution
 12 of the supporting data.

13 *Assessing importance in qualitative reviews*

14 For themes stemming from qualitative findings, importance was agreed by the
 15 committee taking account of the generalisability of the context from which the theme
 16 was derived and whether it was sufficiently convincing to support or warrant a
 17 change in current practice, as well as the quality of the evidence.

18 **Reviewing economic evidence**

19 Systematic reviews of economic evidence were conducted in all areas covered in the
 20 guideline, as relevant. Reviews of economic evidence were not relevant for questions
 21 addressed by reviews of qualitative evidence. Titles and abstracts of articles
 22 identified through the economic literature searches were independently assessed for
 23 inclusion using the predefined eligibility criteria listed in [Table 10Table 13](#).

24 **Table 1013: Inclusion and exclusion criteria for systematic reviews of**
 25 **economic evaluations**

Inclusion criteria
For each review question, selection criteria regarding the study population and the interventions or conditions assessed were identical to those described in the respective effectiveness review protocol.
Only studies from the Organisation for Economic Co-operation and Development member countries were included, as the aim of the review was to identify economic information transferable to the UK context.
Only studies published from 2002 onwards were included in the review. This date restriction was imposed so that retrieved economic evidence was relevant to current healthcare settings and costs.
Only studies that reported sufficient details regarding methods and results, to enable the methodological quality of the study to be assessed were included, provided also that the study's data and results were extractable.
Full economic evaluations that compared 2 or more relevant options and considered both costs and consequences as well as costing analyses that compared only costs between 2 or more interventions.
Clinical effectiveness data utilised in the analysis should have been derived from a literature review, a clinical trial, a prospective or retrospective cohort study, or a study with a before-and-after design.
Studies should be reporting separately costs for each option assessed, from a healthcare perspective.
Exclusion criteria

Inclusion criteria

Poster presentations and abstracts in conference proceedings.

Non-English language papers.

Non-comparative studies.

Studies not reporting intervention costs.

Studies reporting exclusively intervention and/or implementation costs without any assessment of benefits or cost-savings.

Studies that adopted a non-healthcare perspective and did not consider healthcare costs.

- 1 Once the screening of titles and abstracts was completed, full-text copies of
2 potentially relevant articles were obtained for detailed assessment. Inclusion and
3 exclusion criteria were applied to articles obtained as full-text copies.
- 4 Details of economic evidence study selection, lists of excluded studies, economic
5 evidence tables, the results of quality assessment of economic evidence (see below)
6 and health economic evidence profiles are presented in respective evidence reviews.

7 Appraising the quality of economic evidence

- 8 The applicability and quality of economic evidence, including economic evidence
9 derived from primary economic modelling conducted for the guideline, was assessed
10 using the economic evaluations checklist specified in [Developing NICE guidelines:
11 the manual](#), Appendix H, for all studies that met the inclusion criteria.

- 12 The methodological assessment of economic studies considered in this guideline has
13 been summarised in economic evidence profiles that were developed for each review
14 question for which economic evidence was available. All studies that fully or partially
15 met the applicability and quality criteria described in the methodology checklist were
16 considered during the guideline development process.

17 Inclusion and exclusion of health state utility studies

- 18 Literature on the health-related quality of life of populations covered in this guideline
19 was systematically searched to identify studies reporting appropriate utility scores
20 that could be utilised in a primary economic modelling. The titles and abstracts of
21 papers identified through the searches were independently assessed for inclusion
22 using predefined eligibility criteria defined in Table 11.

**23 Table 11: Inclusion and exclusion criteria for the systematic review of health
24 state utility values****Inclusion criteria**

Only studies from Organisation for Economic Co-operation and Development member countries were included, as the aim of the review was to identify utility data transferable to the UK context.

Studies should report utility data for health states associated with the populations covered in the guideline.

Studies should report health-related quality of life ratings made using a validated generic or harmful gambling-specific preference-based measure directly or via mapping from another validated non-preference-based measure. Utility values should have been elicited from the general population using a choice-based method, such as time trade-off (TTO) or standard gamble (SG).

Inclusion criteria**Exclusion criteria**

Poster presentations and abstracts in conference proceedings

Non-English language papers

1 Once the screening of titles and abstracts was complete, full versions of the selected
2 papers were acquired for assessment.

3 Utility studies that met inclusion criteria and those that were excluded after full text
4 was obtained are listed in evidence review F, which included economic modelling.

5 **Economic modelling**

6 The aims of the economic input to the guideline were to inform the guideline
7 committee of potential economic issues to ensure that recommendations represented
8 a cost-effective use of healthcare resources. Economic evaluations aim to integrate
9 data on healthcare benefits (ideally in terms of quality-adjusted life-years; QALYs)
10 with the costs of different options. In addition, the economic input aimed to identify
11 areas of high resource impact; these are recommendations which (while cost-
12 effective) might have a large impact on Clinical Commissioning Group or Trust
13 finances and so need special attention.

14 Areas for economic modelling were prioritised by the committee. The rationale for
15 prioritising review questions for economic modelling was set out in an economic plan
16 agreed between the guideline technical team, the committee, and the NICE quality
17 assurance team. Economic modelling was undertaken in areas with likely major
18 resource implications, where the current extent of uncertainty over cost effectiveness
19 was significant and economic analysis was expected to reduce this uncertainty. The
20 following economic questions were selected as key issues to be addressed by
21 economic modelling:

- 22 • Cost-effectiveness of interventions aimed to increase uptake of folic acid before
23 and during the first 12 weeks of pregnancy, focusing on health technologies. No
24 economic modelling was carried out for this question, due to the limited amount
25 and quality of the clinical evidence, which did not allow for a robust model to be
26 developed or for recommendations on specific interventions to be made.
- 27 • Cost-effectiveness of interventions aimed to increase uptake of vitamin
28 supplements (including Healthy Start vitamins) in line with government advice for
29 pregnant women, breastfeeding women, babies and children up to 5 years,
30 focusing on health technologies. No economic modelling was carried out for this
31 question, due to the limited amount and quality of the clinical evidence, which did
32 not allow for a robust model to be developed or for recommendations on specific
33 interventions to be made.
- 34 • Cost-effectiveness of interventions that help women to achieve healthy and
35 appropriate weight gain during pregnancy (for example, dietary interventions,
36 regular weighing, physical activity). No economic modelling was carried out for this
37 question, as the clinical evidence showed very small benefits and the committee
38 did not wish to make recommendations on specific interventions; therefore,
39 development of an economic model was not deemed useful.
- 40 • Cost-effectiveness of education, advice or support interventions aimed to maintain
41 breastfeeding beyond 8 weeks after birth.

1 The methods and results of the de novo economic analysis carried out for the
2 guideline are reported in Appendix I of the relevant evidence review. Where new
3 economic analysis was not prioritised and no economic evidence was identified, the
4 committee made a qualitative judgement regarding cost effectiveness by considering
5 expected differences in resource and cost use between options, alongside clinical
6 effectiveness evidence identified from the clinical evidence review.

7 **Cost effectiveness criteria**

8 NICE's report [Our principles](#) sets out the principles that committees should consider
9 when judging whether an intervention offers good value for money. In general, an
10 intervention was considered to be cost effective if any of the following criteria applied
11 (provided that the estimate was considered plausible):

- 12 • the intervention dominated other relevant strategies (that is, it was both less costly
13 in terms of resource use and more effective compared with all the other relevant
14 alternative strategies)
- 15 • the intervention cost less than £20,000 per QALY gained compared with the next
16 best strategy
- 17 • the intervention provided important benefits at an acceptable additional cost when
18 compared with the next best strategy.

19 The committee's considerations of cost effectiveness are discussed explicitly under
20 the heading 'The committee's discussion of the evidence' under subheading 'Cost
21 effectiveness and resource use' in the relevant evidence reviews.

22 **Developing recommendations**

23 **Guideline recommendations**

24 Recommendations were drafted on the basis of the committee's interpretation of the
25 available evidence, taking account of the balance of benefits, harms and costs
26 between different courses of action. When effectiveness, qualitative and economic
27 evidence was of poor quality, conflicting or absent, the committee drafted
28 recommendations based on their expert opinion. The considerations for making
29 consensus-based recommendations include the balance between potential benefits
30 and harms, the economic costs or implications compared with the economic benefits,
31 current practices, recommendations made in other relevant guidelines, person's
32 preferences and equality issues.

33 The main considerations specific to each recommendation are outlined under the
34 heading 'The committee's discussion of the evidence' within each evidence review.

35 For further details refer to [Developing NICE guidelines: the manual](#).

36 **Research recommendations**

37 When areas were identified for which evidence was lacking, the committee
38 considered making recommendations for future research. For further details refer to
39 [Developing NICE guidelines: the manual](#) and [NICE's Research recommendations
40 process and methods guide](#).

1 **Validation process**

2 This guideline was subject to a 6-week public consultation and feedback process. All
3 comments received from registered stakeholders were responded to in writing and
4 posted on the NICE website at publication. For further details refer to [Developing](#)
5 [NICE guidelines: the manual](#).

6 **Updating the guideline**

7 Following publication, NICE will undertake a surveillance review to determine
8 whether the evidence base has progressed sufficiently to consider altering the
9 guideline recommendations and warrant an update. For further details refer to
10 [Developing NICE guidelines: the manual](#).

References

Bradburn 2007

Bradburn, M. J., Deeks, J. J., Berlin, J. A., & Localio, A. R. Much ado about nothing: A comparison of the performance of meta-analytical methods with rare events. *Statistics in Medicine*, 26, 53–77, 2007.

Dixon-Woods 2005

Dixon-Woods M, Agarwal S, Jones D et al. (2005) Synthesising qualitative and quantitative evidence: a review of possible methods. *Journal of Health Services Research & Policy* 10(1), 45–53

Farpour-Lambert Nathalie 2018

Farpour-Lambert Nathalie J. , Ells Louisa J. , Martinez de Tejada Begoña , Scott Courtney. Obesity and Weight Gain in Pregnancy and Postpartum: an Evidence Review of Lifestyle Interventions to Inform Maternal and Child Health Policies. *Frontiers in Endocrinology*. Volume 9. 2018.
<https://www.frontiersin.org/journals/endocrinology/articles/10.3389/fendo.2018.00546> (accessed on 8th March 2024)

Hayden 2013

Jill A. Hayden, Danielle A. van der Windt, Jennifer L. Cartwright, Pierre Côté, Claire Bombardier. Assessing Bias in Studies of Prognostic Factors. *Ann Intern Med*. 2013;158:280–286. doi: 10.7326/0003-4819-158-4-201302190-00009

Higgins 2011

Higgins JPT, Green S (editors) (2011) *Cochrane Handbook for Systematic Reviews of Interventions* Version 5.1.0 [updated 2019] The Cochrane Collaboration. Available from www.handbook.cochrane.org (accessed on 1st Feb 2024)

Lewin 2018

Lewin S, Booth A, Glenton C, Munthe-Kaas H et al. (2018) Applying GRADE-CERQual to qualitative evidence synthesis findings: introduction to the series. *Implement Sci*. 2018 Jan 25;13 (Suppl1): 2

Mehra 2012

Mehra H, Thangaratinam S. Prioritisation of outcomes in the evaluation of weight management interventions in pregnancy: a DELPHI survey. *Archives of Disease in Childhood-Fetal and Neonatal Edition*. 2012 Apr 1;97(Suppl 1):A38

McGowan 2016

McGowan J, Sampson M, Salzwedel DM et al. (2016) [PRESS Peer Review of Electronic Search Strategies: 2015 guideline statement](#). *Journal of Clinical Epidemiology* 75: 40–6

Santesso 2016

Santesso N, Carrasco-Labra A, Langendam M et al. (2016) Improving GRADE evidence tables part 3: detailed guidance for explanatory footnotes supports creating

1 and understanding GRADE certainty in the evidence judgments. Journal of clinical
2 epidemiology 74, 28-39

3 **Wang 2021**

4 Huan Wang, Yancong Chen, Yali Lin, Julius Abesig, Irene XY Wu, Wilson Tam
5 (2021) The methodological quality of individual participant data meta-analysis on
6 intervention effects: systematic review. [The methodological quality of individual
7 participant data meta-analysis on intervention effects: systematic review \(bmj.com\)](#)
8 (accessed on 2nd Feb 2024)