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# **Generalised anxiety disorder and panic disorder (with or without agoraphobia) in adults: management in primary, secondary and community care (partial update)**

**National Clinical Guideline Number X**

**National Collaborating Centre for Mental Health  
Commissioned by the  
National Institute for Health and Clinical  
Excellence**

This is a partial update of Clinical Guideline 22.

For the purposes of consultation, this document contains only the updated sections of the guideline which relate primarily to generalised anxiety disorder, except for a review of Computerised Cognitive Behavioural Therapy (CCBT) which relates to both generalised anxiety disorder and panic disorder.

The remaining 2004 recommendations on panic disorder are unchanged, as shown in the NICE version of this guideline, and do not form part of this consultation.

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## 22 **1 PREFACE**

23 This guideline is a partial update of the first anxiety guideline published in  
24 December 2004, which looked at the management of panic disorder, with or  
25 without agoraphobia, and generalised anxiety disorder (NICE, 2004). The  
26 present guideline updates part of the original guideline on the management  
27 of generalised anxiety disorder (GAD), panic disorder is not included. Other  
28 anxiety disorders for which there are NICE guidelines are post traumatic  
29 stress disorder and obsessive compulsive disorder (NICE 2005a, 2005b). The  
30 guideline does not address the management of GAD in children and  
31 adolescents.

32  
33 The scope for this guideline (see Appendix 1 for more details) also includes  
34 the partial update of a NICE technology appraisal published in February 2006  
35 which looked at computerised cognitive behaviour therapy (CCBT) for  
36 depression and anxiety (NICE, 2006). This update focuses on CCBT for panic  
37 disorder.

38  
39 The guideline recommendations have been developed by a multidisciplinary  
40 team of healthcare professionals, people who have experienced anxiety  
41 problems, a carer and guideline methodologists after careful consideration of  
42 the best available evidence. It is intended that the guideline will be useful to  
43 clinicians and service commissioners in providing and planning high-quality

1 care for people with GAD whilst also emphasising the importance of the  
2 experience of care for them and their carers.

3  
4 Although the evidence base is rapidly expanding, there are a number of major  
5 gaps, and further revisions of this guideline will incorporate new scientific  
6 evidence as it develops. The guideline makes a number of research  
7 recommendations specifically to address gaps in the evidence base. In the  
8 meantime, it is hoped that the guideline will assist clinicians, people with  
9 GAD and their carers by identifying the merits of particular treatment  
10 approaches where the evidence from research and clinical experience exists.

## 11 **1.1 NATIONAL GUIDELINE**

### 12 **1.1.1 What are clinical practice guidelines?**

13 Clinical practice guidelines are ‘systematically developed statements that  
14 assist clinicians and patients in making decisions about appropriate treatment  
15 for specific conditions’ (Mann, 1996). They are derived from the best available  
16 research evidence, using predetermined and systematic methods to identify  
17 and evaluate the evidence relating to the specific condition in question. Where  
18 evidence is lacking, the guidelines incorporate statements and  
19 recommendations based upon the consensus statements developed by the  
20 Guideline Development Group (GDG).

21  
22 Clinical guidelines are intended to improve the process and outcomes of  
23 healthcare in a number of different ways. They can:

- 24 • provide up-to-date evidence-based recommendations for the  
25 management of conditions and disorders by healthcare professionals
- 26 • be used as the basis to set standards to assess the practice of healthcare  
27 professionals
- 28 • form the basis for education and training of healthcare professionals
- 29 • assist people with GAD and their carers in making informed decisions  
30 about their treatment and care
- 31 • improve communication between healthcare professionals, people with  
32 GAD and their carers
- 33 • help identify priority areas for further research.

### 35 **1.1.2 Uses and limitations of clinical guidelines**

36 Guidelines are not a substitute for professional knowledge and clinical  
37 judgement. They can be limited in their usefulness and applicability by a  
38 number of different factors: the availability of high-quality research evidence,  
39 the quality of the methodology used in the development of the guideline, the  
40 generalisability of research findings and the uniqueness of individuals with  
41 GAD.

1 Although the quality of research in this field is variable, the methodology  
2 used here reflects current international understanding on the appropriate  
3 practice for guideline development (AGREE: Appraisal of Guidelines for  
4 Research and Evaluation Instrument; [www.agreecollaboration.org](http://www.agreecollaboration.org) [AGREE,  
5 2003]), ensuring the collection and selection of the best research evidence  
6 available and the systematic generation of treatment recommendations  
7 applicable to the majority of people with these disorders and situations.  
8 However, there will always be some people and situations for which clinical  
9 guideline recommendations are not readily applicable. This guideline does  
10 not, therefore, override the individual responsibility of healthcare  
11 professionals to make appropriate decisions in the circumstances of the  
12 individual, in consultation with the person with GAD or their carer.

13  
14 In addition to the clinical evidence, cost-effectiveness information, where  
15 available, is taken into account in the generation of statements and  
16 recommendations of the clinical guidelines. While national guidelines are  
17 concerned with clinical and cost effectiveness, issues of affordability and  
18 implementation costs are to be determined by the National Health Service  
19 (NHS).

20  
21 In using guidelines, it is important to remember that the absence of empirical  
22 evidence for the effectiveness of a particular intervention is not the same as  
23 evidence for ineffectiveness. In addition, of particular relevance in mental  
24 health, evidence-based treatments are often delivered within the context of an  
25 overall treatment programme including a range of activities, the purpose of  
26 which may be to help engage the person and to provide an appropriate  
27 context for the delivery of specific interventions. It is important to maintain  
28 and enhance the service context in which these interventions are delivered;  
29 otherwise the specific benefits of effective interventions will be lost. Indeed,  
30 the importance of organising care in order to support and encourage a good  
31 therapeutic relationship is at times as important as the specific treatments  
32 offered.

### 33 **1.1.3 Why develop national guidelines?**

34 The National Institute for Health and Clinical Excellence (NICE) was  
35 established as a Special Health Authority for England and Wales in 1999, with  
36 a remit to provide a single source of authoritative and reliable guidance for  
37 patients, professionals and the public. NICE guidance aims to improve  
38 standards of care, to diminish unacceptable variations in the provision and  
39 quality of care across the NHS and to ensure that the health service is patient  
40 centred. All guidance is developed in a transparent and collaborative manner  
41 using the best available evidence and involving all relevant stakeholders.

42  
43 NICE generates guidance in a number of different ways, three of which are  
44 relevant here. First, national guidance is produced by the Technology  
45 Appraisal Committee to give robust advice about a particular treatment,



1 intervention, procedure or other health technology. Second, NICE  
2 commissions public health intervention guidance focused on types of activity  
3 (interventions) that help to reduce people's risk of developing a disease or  
4 condition or help to promote or maintain a healthy lifestyle. Third, NICE  
5 commissions the production of national clinical practice guidelines focused  
6 upon the overall treatment and management of a specific condition. To enable  
7 this latter development, NICE has established seven National Collaborating  
8 Centres in conjunction with a range of professional organisations involved in  
9 healthcare.

#### 10 **1.1.4 The National Collaborating Centre for Mental Health**

11 This guideline has been commissioned by NICE and developed within the  
12 National Collaborating Centre for Mental Health (NCCMH). The NCCMH is  
13 a collaboration of the professional organisations involved in the field of  
14 mental health, national patient and carer organisations, and a number of  
15 academic institutions and NICE. The NCCMH is funded by NICE and is led  
16 by a partnership between the Royal College of Psychiatrists' Research and  
17 Training Unit and the British Psychological Society's equivalent unit (Centre  
18 for Outcomes Research and Effectiveness).

#### 19 **1.1.5 From national guidelines to local implementation**

20 Once a national guideline has been published and disseminated, local  
21 healthcare groups will be expected to produce a plan and identify resources  
22 for implementation, along with appropriate timetables. Subsequently, a  
23 multidisciplinary group involving commissioners of healthcare, primary care  
24 and specialist mental health professionals, people with GAD and carers  
25 should undertake the translation of the implementation plan locally taking  
26 into account both the recommendations set out in this guideline and the  
27 priorities set in the National Service Framework for Mental Health  
28 (Department of Health, 1999) and related documentation. The nature and  
29 pace of the local plan will reflect local healthcare needs and the nature of  
30 existing services; full implementation may take a considerable time, especially  
31 where substantial training needs are identified.

#### 32 **1.1.6 Auditing the implementation of guidelines**

33 This guideline identifies key areas of clinical practice and service delivery for  
34 local and national audit. Although the generation of audit standards is an  
35 important and necessary step in the implementation of this guidance, a more  
36 broadly based implementation strategy will be developed. Nevertheless, it  
37 should be noted that the Care Quality Commission will monitor the extent to  
38 which Primary Care Trusts, trusts responsible for mental health and social  
39 care and Health Authorities have implemented these guidelines.

## 1 **1.2 THE NATIONAL GENERALISED ANXIETY** 2 **DISORDER GUIDELINE**

### 3 **1.2.1 Who has developed this guideline?**

4 The GDG was convened by the NCCMH and supported by funding from  
5 NICE. The GDG included service user and carer representatives, and  
6 professionals from psychiatry, clinical psychology, general practice and  
7 nursing.

8  
9 Staff from the NCCMH provided leadership and support throughout the  
10 process of guideline development, undertaking systematic searches,  
11 information retrieval, appraisal and systematic review of the evidence.  
12 Members of the GDG received training in the process of guideline  
13 development from NCCMH staff, and the service user and carer  
14 representatives received training and support from the NICE Patient and  
15 Public Involvement Programme. The NICE Guidelines Technical Advisor  
16 provided advice and assistance regarding aspects of the guideline  
17 development process.

18  
19 All GDG members made formal declarations of interest at the outset, which  
20 were updated at every GDG meeting. The GDG met a total of fourteen times  
21 throughout the process of guideline development. It met as a whole, but key  
22 topics were led by a national expert in the relevant topic. The GDG was  
23 supported by the NCCMH technical team, with additional expert advice from  
24 special advisors where needed. The group oversaw the production and  
25 synthesis of research evidence before presentation. All statements and  
26 recommendations in this guideline have been generated and agreed by the  
27 whole GDG.

### 28 **1.2.2 For whom is this guideline intended?**

29 This guideline is relevant for adults with GAD as the primary diagnosis and  
30 covers the care provided by primary, community, secondary, tertiary and  
31 other healthcare professionals who have direct contact with, and make  
32 decisions concerning the care of, adults with GAD.

33  
34 The guideline will also be relevant to the work, but will not specifically cover  
35 the practice, of those in:

- 36 • occupational health services
- 37 • social services
- 38 • forensic services
- 39 • the independent sector.

- 1 • The experience of anxiety problems can affect the whole family and  
2 often the community. The guideline recognises the role of both in the  
3 treatment and support of people with GAD.

### 4 **1.2.3 Specific aims of this guideline**

5 The guideline makes recommendations for the treatment and management of  
6 GAD. It aims to:

- 7 • improve access and engagement with treatment and services for people  
8 with GAD
- 9 • evaluate the role of specific psychological and psychosocial  
10 interventions in the treatment of GAD
- 11 • evaluate the role of specific pharmacological interventions in the  
12 treatment of GAD
- 13 • integrate the above to provide best-practice advice on the care of  
14 people with GAD and their family and carers
- 15 • promote the implementation of best clinical practice through the  
16 development of recommendations tailored to the requirements of the  
17 NHS in England and Wales.

### 18 **1.2.4 The structure of this guideline**

19 The guideline is divided into chapters, each covering a set of related topics.  
20 The first three chapters provide an introduction to guidelines, the topic of  
21 GAD and the methods used to update this guideline. Chapters 5 to 9 provide  
22 the evidence that underpins the recommendations about the treatment and  
23 management of GAD, with Chapter 4 providing personal accounts from  
24 people with anxiety problems and carers, giving an insight into their  
25 experience of GAD.

26

27 Each evidence chapter begins with a general introduction to the topic that sets  
28 the recommendations in context. Depending on the nature of the evidence,  
29 narrative reviews or meta-analyses were conducted, and the structure of the  
30 chapters varies accordingly. Where appropriate, details about current  
31 practice, the evidence base and any research limitations are provided. Where  
32 meta-analyses were conducted, information is given about the review  
33 protocol and studies included in the review. Clinical evidence summaries are  
34 then used to summarise the data presented. Health economic evidence is then  
35 presented (where appropriate), followed by a section (from evidence to  
36 recommendations) that draws together the clinical and health economic  
37 evidence and provides a rationale for the recommendations. On the CD-ROM,  
38 further details are provided about included/excluded studies, the evidence,  
39 and the previous guideline methodology (see for Table 1 for details).

40

<b>Table 1: Appendices on CD-ROM.</b>	
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Clinical study characteristics tables	Appendix 16
Clinical evidence forest plots	Appendix 17
Methodology checklists for economic studies	Appendix 18
Clinical evidence profiles	Appendix 19

1  
2  
3

# 1 2 GENERALISED ANXIETY 2 DISORDER

## 3 2.1 INTRODUCTION

4 This guideline is concerned with the treatment and management of adults  
5 with a diagnosis of generalised anxiety disorder (GAD) in primary and  
6 secondary care. GAD is one of a range of anxiety disorders including panic  
7 disorder (with and without agoraphobia), post traumatic stress disorder,  
8 obsessive compulsive disorder, social phobia, specific phobias (e.g. of  
9 spiders), and acute stress disorder.

10

11 GAD commonly coexists both with other anxiety disorders and with  
12 depressive disorders, as well as a variety of physical health disorders. "Pure"  
13 GAD in the absence of another anxiety or depressive disorder is less typical  
14 than comorbid GAD. This guideline is relevant to both pure and comorbid  
15 cases. The NICE guideline on case identification and referral for common  
16 mental health disorders will provide further guidance on identification and  
17 treatment where there are comorbid conditions (NICE, 2011).

## 18 2.2 THE DISORDER

### 19 2.2.1 Symptoms, presentation and patterns of illness

20 Anxiety is a prominent symptom of many psychiatric disorders but it is only  
21 comparatively recently that several distinct anxiety disorders have been  
22 recognised in classificatory systems. The key feature of GAD is worry and  
23 apprehension that is out of proportion to the circumstances. The worries are  
24 typically widespread, involve everyday issues and have a shifting focus of  
25 concern. The affected person finds the worries difficult to control, and this can  
26 result in decreased occupational and social functioning (Tyrer and Baldwin,  
27 2006, Bitran *et al.*, 2009).

28

29 As well as worry that is excessive, generalised and difficult to control, people  
30 with GAD experience other psychological and bodily symptoms of anxiety.  
31 Psychological symptoms include irritability, poor concentration, increased  
32 sensitivity to noise and sleep disturbance, typically difficulty falling asleep.  
33 Bodily symptoms of GAD can manifest in many different ways. For example,  
34 an overactive autonomic nervous system can lead to sweating, dry mouth,  
35 palpitations, urinary frequency, epigastric discomfort and frequent or loose  
36 bowel motions, while hyperventilation may result in feelings of shortness of  
37 breath and dizziness. Increased muscle tension is a common accompaniment  
38 of persistent anxiety and may be experienced as restlessness, inability to relax,  
39 headache and aching pains, particularly in shoulders and back (Gelder *et al.*,  
40 2006).

1  
2 GAD is frequently comorbid with other mental health conditions which can  
3 complicate the presentation of the disorder. The rates of comorbidity vary  
4 between studies with estimates of from 68% to 93% of cases being comorbid  
5 with another axis 1 mental health disorder (Carter *et al.*, 2001; Hunt *et al.*,  
6 2002; ESEMeD/MHEDEA Investigators 2004). Particularly common comorbid  
7 disorders are depressive disorders (specifically major depression and  
8 dysthymia), other anxiety disorders (especially panic disorder, social phobia  
9 and specific phobias) and somatoform disorders (Bitren *et al.*, 2009; Carter *et*  
10 *al.*, 2001; Hunt *et al.*, 2002; Grant *et al.*, 2005; Kessler *et al.*, 2005b). There is also  
11 significant comorbidity with substance misuse especially among men (Grant  
12 *et al.*, 2005; Kessler *et al.*, 2005b).

13  
14 GAD also often co-occurs with physical medical disorders such as arthritis,  
15 gastrointestinal and respiratory disorders and may mimic the presentation of  
16 some medical conditions (e.g. hyperthyroidism) (Culpepper, 2009, Roy-Byrne  
17 *et al.*, 2008, Sareen *et al.*, 2006). Due to the somatic symptoms of anxiety which  
18 are central GAD and these physical medical comorbidities, patients with  
19 GAD who present in primary care may well emphasise somatic problems or  
20 sleep disturbance rather than excessive worry or psychological symptoms of  
21 anxiety (Rickels & Rynn, 2001).

## 22 **2.2.2 Course and prognosis**

23 Most clinical studies suggest that GAD is typically a chronic condition with  
24 low rates of remission over the short and medium-term. Evaluation of  
25 prognosis is complicated by the frequent comorbidity with other anxiety  
26 disorders and depression, which worsen the long-term outcome and  
27 accompanying burden of disability (Tyrer & Baldwin, 2006). In the Harvard-  
28 Brown Anxiety Research Program, which recruited patients from Boston  
29 Hospitals, the mean age of onset of GAD was 21 years, although many  
30 patients had been unwell since their teens. The average duration of illness in  
31 this group was about 20 years and despite treatment the outcome over the  
32 next three years was relatively poor, with only one in four patients showing  
33 symptomatic remission from GAD (Yonkers *et al.*, 1996). The proportion of  
34 patients who became free from all psychiatric symptomatology was still less,  
35 about one in six. In patients who remitted from GAD the risk of relapse over  
36 the next year was about 15%, increasing to about 30% in those who achieved  
37 only partial symptomatic remission (Yonkers *et al.*, 1996).

38  
39 The participants in the above study were recruited from hospital services and  
40 may not be representative of GAD in general. In a naturalistic study in the  
41 United Kingdom, Tyrer and colleagues (2004) followed up patients with  
42 anxiety and depression identified in psychiatric clinics in primary care and  
43 found that 12 years later, 40% of those initially diagnosed with GAD had  
44 recovered, in the sense of no longer meeting criteria for any DSM-III  
45 psychiatric disorder. The remaining participants remained symptomatic but

1 in only 3% was GAD still the principal diagnosis; in the vast majority of  
2 patients conditions such as dysthymia, major depression and agoraphobia  
3 were now more prominent. This study confirms the chronic and fluctuating  
4 symptomatic course of GAD in clinically identified patients. It should be  
5 noted, however, that the majority of patients with GAD in the community do  
6 not seek medical help for their symptoms (Wittchen & Jacobi, 2005) and the  
7 course of the illness in these circumstances is not established.

### 8 **2.2.3 Disability and mortality**

9 Like major depression GAD is associated with a substantial burden of  
10 disability, equivalent to those of other chronic medical conditions such as  
11 arthritis and diabetes (Wittchen, 2002). Outcome studies suggest that anxiety  
12 disorders are more chronic than other common mental disorders, (Tyrer *et al.*,  
13 2004) and there is evidence that comorbid depression and anxiety has a  
14 worse prognosis than either alone, with more associated disability and more  
15 persistent symptoms than either depression or anxiety disorders alone  
16 (Kroenke *et al.*, 2007). There is also evidence that anxiety disorders are  
17 independently associated with several physical conditions in the community,  
18 and this comorbidity is significantly associated with poor quality of life and  
19 disability (Sareen *et al.* 2006). This morbidity comes with high associated  
20 health and social costs (Simon *et al.*, 1995).

21  
22 Studies have shown that the presence of GAD is also associated with  
23 significant impairments in occupational and social functioning. For example,  
24 over 30% of patients with GAD showed an annual reduction of work  
25 productivity of 10% or more compared to 8% of people with major  
26 depression. The figure for people with comorbid GAD and depression was  
27 over 45% (Wittchen *et al.*, 2000). A large part of the economic cost of anxiety  
28 disorders is attributable to the costs of non-medical psychiatric treatment.  
29 Patients with GAD have increased numbers of visits not only to primary care  
30 doctors but also to hospital specialists, particularly, gastroenterologists  
31 (Kennedy & Schwab, 1997, Wittchen, 2002). This may be a consequence of the  
32 distressing somatic symptoms which many GAD patients experience.

33  
34 GAD also carries a considerable cost in personal suffering and difficulties, In  
35 the Harvard-Brown Program noted above, one third of patients had never  
36 married and unemployment was higher than average (Yonkers *et al.*, 1996).  
37 Suicidal ideation and suicide attempts are significantly increased in GAD,  
38 particularly in women and this increase is still greater in the presence of  
39 comorbid major depression (Cougler *et al.*, 2009).

40

### 41 **2.2.4 Incidence and prevalence**

42 The estimated proportion of people in England with GAD was 4.4% in the  
43 most recent Adult Psychiatric Morbidity Survey (McManus *et al.*, 2009), a  
44 figure that has varied little across the three survey years 1993, 1997 and 2007.

1 This figure is at the upper end of estimates of point and annual prevalence of  
2 2.1 – 4.4% in English speaking countries (Grant *et al.*, 2005; Hunt *et al.*, 2002;  
3 Kessler & Wang, 2008) with lower rates of 0.8 – 2.2% reported from other  
4 European countries (Lieb *et al.*, 2005; Wittchen & Jacobi 2005). Worldwide  
5 estimates of the proportion who are likely to suffer from GAD in their lifetime  
6 vary between 0.8% and 6.4% (Lieb *et al.*, 2005; Grant *et al.*, 2005; Kessler &  
7 Wang, 2008)

8  
9 Prevalence rates have generally been found to be between 1.5 and 2.5 times  
10 higher in women than men. In the recent APMS survey cited above, the rates  
11 were 3.4% for men and 5.3% for women. In terms of age, epidemiological  
12 studies have generally found GAD to be less common in older age groups  
13 (above age 55 or 65), although there are some exceptions. Some studies have  
14 also found GAD to be less common in younger adult age groups (below age  
15 35).

16  
17 Evidence from the USA on ethnicity and race differences in GAD rates is  
18 inconsistent, with studies finding increased (Blazer *et al.*, 1991), decreased  
19 (Grant *et al.*, 2005) and no difference (Wittchen *et al.*, 1994) in rates between  
20 White and one or more of Black, Asian and Hispanic groups. Numbers of  
21 minority ethnic groups sampled in the 2007 APMS survey in England were  
22 too small to draw conclusions about possible differences, although  
23 proportions of the Black and South Asian groups with GAD in the sample,  
24 both male and female, were higher than the equivalent proportions for White  
25 interviewees.

26  
27 Socio-economic factors associated with GAD are lower household income  
28 (Grant *et al.*, 2005; McManus *et al.*, 2009), lack of tertiary qualifications (Hunt  
29 *et al.*, 2002) and unemployment (Hunt *et al.*, 2002). Divorce, separation and  
30 being widowed are also associated with an increased likelihood of GAD.

### 32 **2.2.5 Diagnosis**

33 Diagnostic criteria and methods of classification of anxiety disorders have  
34 changed substantially over the years. Historically what we now consider as  
35 GAD was subsumed under anxiety neurosis. It first appeared as a separate  
36 diagnosis in 1980 with the introduction of DSM-III. In DSM-III it was a  
37 residual category to be used only when an anxiety disorder could not be  
38 classified under another diagnosis. It was only with the DSM-III revision in  
39 1987 (DSM-III-R) that it became a well defined condition in its own right.  
40 DSM-III-R also changed the DSM-III minimum duration requirement from  
41 one month to six months and introduced excessive worry as a central feature.  
42 Some of the developments in DSM-III-R were later reflected in ICD-10 (WHO,  
43 1992), although without the same focus on worry. The introduction of DSM-  
44 IV in 1994 (APA) further streamlined and refined the criteria, in particularly  
45 focusing less on somatic symptoms of anxiety and replacing the DSM-III-R



1 criterion that the worry is “unrealistic” with a criterion that the worry is  
2 “difficult to control”.

3  
4 DSM-IV and ICD-10, have overlapping but different diagnostic features for  
5 GAD. DSM-IV emphasises worry (“apprehensive expectation”), including the  
6 feature that the worry is difficult to control, while ICD-10 focuses more on  
7 somatic symptoms of anxiety, particularly autonomic reactivity and tension.  
8 DSM-IV requires 2 major symptoms (6 months or more of excessive anxiety  
9 and worry, occurring more days than not, about a number of events and  
10 activities + difficulty controlling the worry) and 3 or more additional  
11 symptoms out of a list of 6. ICD-10, as operationalised in the ICD-10 Mental  
12 Health Disorders Diagnostic Criteria for Research (ICD-10-DCR: WHO, 1993),  
13 requires 6 months or more prominent tension, worry and feelings of  
14 apprehension and 4 out of a list of 22 symptoms of which at least one must be  
15 from a list of 4 autonomic symptoms (palpitations, sweating, trembling, dry  
16 mouth).

17  
18 In line with the previous anxiety guideline and other NICE guidelines for  
19 anxiety disorders and depression (NICE, 2004; 2009b) we have used DSM-IV,  
20 rather than ICD-10 to define the diagnosis of GAD, because the evidence base  
21 for treatments nearly always uses DSM-IV.

22  
23 As there is now greater recognition of the need to consider ‘subthreshold’  
24 depression in terms of human and economic costs and the risk of future major  
25 depression (Rowe & Rapaport, 2006), there has also been recent attention  
26 given to subthreshold GAD. Relaxing the DSM-IV requirements of duration,  
27 excessive worry and/or three associated symptoms, more than doubles the  
28 estimated prevalence of GAD (Ruscio *et al.*, 2007). Subthreshold GAD cases  
29 have similar but reduced comorbidities, with persistence, impairment and  
30 sociodemographic correlates all being significantly related to an elevated risk  
31 of subsequent psychopathology (Kessler *et al.*, 2005a; Ruscio *et al.*, 2007). The  
32 implication is that, in clinical practice, identification of subthreshold GAD  
33 cases may be helpful for prevention of future disorder..

## 35 **2.3 AETIOLOGY**

36 The aetiology of GAD is multifactorial and involves psychological, social and  
37 biological factors. Interpretation of experimental data is complicated by  
38 changes in diagnostic practice and the frequent occurrence of comorbidity in  
39 GAD, particularly with major depression (Yonkers *et al.*, 1996). On the other  
40 hand, anxiety (or more precisely, fear) is readily modelled in animal  
41 experimental studies and the brain circuitry relevant to fear has been  
42 characterised in both animals and humans (Engel *et al.*, 2009). One influential  
43 formulation (“the theory of triple vulnerability”) regards GAD as arising from  
44 three distinct kinds of vulnerability, a generalised biological vulnerability, a

1 generalised psychological vulnerability and a specific psychological  
2 vulnerability (Barlow, 2000; Bitran *et al.*, 2009).

3  
4 Anxiety disorders run in families. For example, a family study found that the  
5 risk of GAD in first degree relatives of patients with GAD was five times that  
6 of controls (Noyes *et al.*, 1987), although specific genes conferring  
7 vulnerability to GAD have not yet been reliably identified. Indeed the genes  
8 involved in the transmission of GAD appear to increase susceptibility to other  
9 anxiety disorders such as panic disorder and agoraphobia as well as major  
10 depression (Kendler, 1996; Hettema *et al.*, 2001; 2005). There is also genetic  
11 overlap between GAD and the temperamental trait of neuroticism, which is  
12 itself a predisposing factor for GAD (Hettema *et al.*, 2004). Overall the  
13 findings suggest that genetic factors play a significant though moderate role  
14 in the aetiology of GAD, that these factors predispose to a range of anxiety  
15 and depressive disorders rather than GAD specifically, and that  
16 environmental factors are important in determining the nature of the  
17 emotional disorder experienced by a particular individual.

18  
19 Several environmental factors are known to predispose to GAD. These can act  
20 remotely or as contemporaneous triggers to the disorder. For example, good  
21 parenting experiences are important in providing children with a secure base  
22 from which to explore the world and problems in child-parent attachment  
23 have been linked to feelings of diminished personal control of potentially  
24 threatening events (Barlow, 2000). Such feelings could plausibly contribute to  
25 the risk of experiencing anxiety disorders. Studies suggest that adults with  
26 GAD report experiencing parental styles characterised by overprotection and  
27 lack of emotional warmth (Silove *et al.*, 1991). Similar findings have been  
28 reported in other anxiety disorders and depression (Parker *et al.*, 1995), which  
29 suggests that that certain parenting styles may act as a psychological  
30 vulnerability factor for a range of subsequent emotional disorders. Similar  
31 comments apply to other kinds of childhood adversity such as neglect, abuse,  
32 maternal depression and family disruption, which increase the risk in  
33 adulthood of experiencing GAD as well as other anxiety and depressive  
34 disorders (Brown and Harris, 1993; Halligan *et al.*, 2007; Safren *et al.*, 2002).  
35 More recent stressful life events are also known to be involved in the onset of  
36 emotional disorders, including GAD (Roemer *et al.*, 1996). A study by Kendler  
37 *et al.* (2003) showed that stressful life events characterised by loss increased  
38 the risk of both depression and GAD; however, life events characterised by  
39 'danger' (where the full import of the event was yet to be realised) were more  
40 common in those who subsequently developed GAD.

41  
42 Particular coping and cognitive styles also predispose individuals to the  
43 development of GAD, although it is not always easy to distinguish  
44 predisposition from the abnormal cognitions seen in the illness itself. As  
45 noted above, it is believed that people who lack a sense of control of events  
46 and personal effectiveness, perhaps through early life experiences, are more

1 prone to anxiety disorders (Barlow, 2000). Such individuals may also  
2 demonstrate trait-like cognitive biases in the form of increased attention to  
3 potentially threatening stimuli, overestimation of environmental threat and  
4 enhanced memory of threatening material. This has been referred to as the  
5 “looming cognitive style” which appears to be a general psychological  
6 vulnerability factor for a number of anxiety disorders (Reardon and Nathan,  
7 2007). More recent cognitive formulations have focused on the process of  
8 worrying itself, which is of course of central importance in the diagnosis of  
9 GAD. Studies suggest that people at risk of GAD use worry as a positive  
10 coping strategy to deal with potential threats, whereby the person worries  
11 until they feel reassured that they have appraised all possible dangers and  
12 identified ways of dealing with them. However, this can lead to ‘worry about  
13 worry’, when individuals come to believe, for example, that worrying in this  
14 way, while necessary for them, is also uncontrollable and harmful. This  
15 ‘metacognitive belief’ may form a transition between excessive, but normal  
16 worrying, and GAD (Wells, 2005).

17  
18 Both animal and human studies suggest that a brain structure called the  
19 amygdala plays a central role in the processing of information relevant to  
20 threat and fear (Le Doux, 2000). Activation of the amygdala can occur prior to  
21 conscious appreciation of threat but there are strong connections between the  
22 amygdala and areas of prefrontal cortex involved in the conscious experience  
23 and regulation of emotion (Le Doux, 2000; Phillips *et al.*, 2003). Another  
24 structure involved in anxiety is the hippocampus which is important in  
25 relating fearful memories to their environmental context (Fanselow, 2000).  
26 The hippocampus forms part of a “behavioural inhibition system”, which is  
27 activated by potential threats, and has the ability in these circumstances to  
28 suspend ongoing behaviours (Gray, 1982). Brain imaging studies in  
29 individuals with high trait anxiety and patients with GAD have shown  
30 exaggerated responses in both amygdala and prefrontal cortex during  
31 presentation of emotionally threatening stimuli (Bishop *et al.*, 2004; Nitschke  
32 *et al.*, 2009). It is therefore possible that pre-existing abnormalities in this  
33 circuitry might predispose to GAD and other anxiety disorders.

34  
35 The neural circuitry involved in fear and anxiety is modulated by brain  
36 neurotransmitters and other chemical mediators including hormones  
37 (Dedovik *et al.*, 2009). A relevant hormonal system is the hypothalamo-  
38 pituitary-adrenal axis (HPA), which regulates cortisol secretion. Both  
39 childhood adversity and current stresses can alter the pattern of cortisol  
40 secretion in adult life and there is an extensive literature on the role of HPA  
41 axis dysfunction in major depression (see Pariante and Lightman, 2008). HPA  
42 axis activity in patients with GAD has been much less studied but there is  
43 some evidence that GAD, like depression, is associated with excessive  
44 glucocorticoid secretion (Mantella *et al.*, 2008). The monoamine  
45 neurotransmitters, serotonin and noradrenaline, can alter fear processes in  
46 animals and have extensive inputs to the relevant neural circuitry, including

1 the amygdala and the behavioural inhibition system (see Garner *et al.*, 2009;  
2 Bitran *et al.*, 2009). In addition, selective serotonin re-uptake inhibitors (SSRIs)  
3 are widely used in the treatment of GAD (Baldwin *et al.*, 2005). Despite this  
4 there is only modest evidence that abnormalities in serotonin and  
5 noradrenaline are involved in the pathophysiology of GAD, though more  
6 work needs to be carried out with ligand neuroimaging to resolve this issue  
7 (Garner *et al.*, 2009). In the same way, pharmacological manipulation of  $\gamma$ -  
8 aminobutyric acid (GABA) neurones and their associated benzodiazepine  
9 receptors clearly have profound effects on the experience of fear and anxiety  
10 in animals and humans (Kalueff & Nutt, 2007) but again there is only modest  
11 current evidence that abnormalities in GABA neurotransmission or  
12 benzodiazepine receptor function are involved in the aetiology of GAD.  
13 (Garner *et al.*, 2009).

14  
15 Overall there is good evidence that both genetic factors and early life  
16 difficulties can predispose in a rather general way to a range of emotional  
17 disorders, including GAD. More specific risk factors for GAD, presumably  
18 occurring in combination with these more generalised vulnerabilities, include  
19 certain kinds of life events and particular individual cognitive styles  
20 involving the use of worrying as a coping strategy. The neural circuitry  
21 involved in fear and anxiety has been well delineated in brain imaging studies  
22 and abnormalities in both patients with GAD and non-clinical subjects with  
23 high trait anxiety have been described in relevant brain regions. It seems  
24 likely that these neural changes are associated with the abnormal cognitions,  
25 such as increased attention to threat, that are seen in patients with GAD and  
26 those at risk of the disorder. There is much knowledge on how particular  
27 neuropharmacological manipulations can influence anxiety. While this  
28 information has proved helpful in developing pharmacological treatment, the  
29 role of neurotransmitters and other chemical mediators in the aetiology of  
30 GAD is currently unclear.

31

## 32 **2.4 TREATMENT AND MANAGEMENT IN THE NHS**

### 33 **2.4.1 Detection, recognition and referral in primary care**

34 Relative to its prevalence in the community, GAD is more common in  
35 primary care occurring in about 5% of attendees and is the most common  
36 anxiety disorder seen in this setting. A recent international review of some of  
37 the larger general population surveys reported 12 month prevalence rates of  
38 5.6–18.1% for anxiety disorders, of which generalised anxiety disorder (GAD)  
39 and panic disorder together accounted for over half of the prevalence figures  
40 (Baumeister & Hartner, 2007).

41

42 General practitioner (GP) rates of diagnosis and treatment of anxiety  
43 disorders are much lower than expected from the prevalence (Wittchen &  
44 Jacobi, 2005). Wittchen and colleagues (2002) found that the recognition rates

1 by primary care practitioners were only 34.4% for pure GAD and 43% for  
2 GAD with comorbid depression in primary care. There are likely to be a  
3 variety of reasons why general practitioners are poor at recognising anxiety  
4 disorders in their patients. Patients with GAD may have symptoms of  
5 anxiety, worry, tension, irritability or tiredness about which they are reluctant  
6 to complain to their GP as not being particularly 'medical', or the general  
7 practitioner may identify these as symptoms of a more general malaise and  
8 not specifically consider or ask about anxiety as a possible cause (Arroll &  
9 Kendrick 2009). In addition, many patients may present with physical or  
10 somatic symptoms associated with their anxiety, considering these to be more  
11 legitimate or more troubling. It appears that people with anxiety disorders are  
12 often frequent presenters and users of primary care resources, but if the  
13 anxiety component of their problem is not detected they may not receive the  
14 correct treatment and may undergo unnecessary and costly investigations, in  
15 particular for their physical symptoms (Hales *et al.*, 1997) Recognition is  
16 increased by factors such as, older age, presentation of other psychological  
17 problems, and enhanced knowledge, skills and attitudes of practitioners in  
18 primary care Tylee & Walters (2007).

19  
20 There is evidence that GPs may not offer effective evidence based treatments  
21 to their patients with anxiety disorders as often as may be indicated, and that  
22 the treatments offered are more likely to be pharmacological, rather than  
23 psychological therapies such as Cognitive Based Therapy (CBT) (Stein *et al.*,  
24 2004) due to limited availability of such treatments, although this may be  
25 changing with increased access to psychological therapies through the IAPT  
26 (Increasing Access to Psychological Therapies) scheme ([www.iapt.nhs.uk](http://www.iapt.nhs.uk)).  
27 The majority of treatments offered for anxiety disorders are likely to be based  
28 within the primary care system and may involve the GP and / or a low-  
29 intensity psychological therapist such as a Primary Care Mental Health  
30 Worker or the practice counsellor. Self-help bibliotherapy and web-based  
31 interventions may be effective for some people with GAD, although referral  
32 to secondary care practitioners, such as a high-intensity psychological  
33 therapist, may occur for those more severely affected. Referral to secondary  
34 care psychiatric mental health services is likely to be rare and reserved for the  
35 most treatment resistant and functionally impaired cases.

36  
37 In summary, there is evidence that generalised anxiety disorders are currently  
38 significantly under-detected and under-treated in UK primary care settings.  
39 This is a potentially serious omission, given the functional impairment and  
40 chronicity which can be associated with this diagnosis, particularly when  
41 comorbid with depression or physical health problems. There needs to be an  
42 increased emphasis on encouraging patients to actively present their anxiety  
43 symptoms, and for their GPs to be more attuned to this diagnosis (particularly  
44 in patients known to have depression or a chronic physical illness), and the  
45 need to provide effective evidence based treatments as early as possible in the  
46 course of this disorder before it becomes a long-term problem.

## 1 **2.4.2 Assessment and co-ordination of care**

2 Primary care and mental health practitioners need to have skills in the  
3 assessment of GAD and its differentiation from other anxiety and depressive  
4 disorders in order to identify patients and provide appropriate treatment.  
5 Assessment involves evaluation of GAD symptoms, especially worry and  
6 somatic symptoms of anxiety, the duration of these symptoms, the extent of  
7 patients' functional impairment and distress, and patients' coping resources.  
8 Assessment also needs to include evaluation of the symptoms of other  
9 anxiety and depressive disorders (especially panic disorder, hypochondriasis,  
10 obsessive compulsive disorder, social phobia, major depressive disorder and  
11 dysthymic disorder) given both the overlap of symptoms (for differential  
12 diagnosis) and the comorbidity between GAD and these other disorders.

13  
14 The majority of treatment takes place in primary care or linked with primary  
15 care, either directly provided by GPs or by psychological practitioners in  
16 liaison with GPs. GPs are accordingly central to the coordination of care.  
17 Ensuring a clear collaborative treatment plan between GP and psychological  
18 practitioners is important. For a small minority of patients with very severe  
19 disorders, treatment may be from a multi-professional team in secondary care  
20 with coordination of care through the Care Programme approach.

## 21 **2.4.3 Aims and non-specific effects of treatment and placebo**

22 The aim of treatment in GAD is to relieve symptoms, restore function and  
23 prevent relapse. The latter goal is important because GAD manifests as a  
24 chronic, relapsing condition and recurrence of illness is common, even when  
25 short-term treatment has apparently been successful (Yonkers *et al.*, 1996). In  
26 clinical trials, the outcome of treatment is often determined on standardised  
27 rating scales and can be divided into "response" (where the symptom score  
28 has dropped by at least 50%) and "remission" where patients have achieved  
29 almost complete relief of symptoms. In the treatment of depression, remission  
30 rather than response is now seen as the preferred goal because patients who  
31 are essentially asymptomatic have improved functional outcomes and less  
32 risk of relapse. It seems probable that similar considerations will apply to the  
33 treatment of GAD.

34  
35 Many patients with GAD have been ill for long periods of time. Nevertheless,  
36 in short-term studies of medication, pill placebo treatment in the context of  
37 the clinical care provided by a controlled trial is certainly beneficial for a  
38 proportion of patients. For example, in a twelve week, placebo-controlled  
39 trial of escitalopram and paroxetine, just over 40% of patients responded to  
40 placebo and about 30% reached remission (Baldwin *et al.*, 2006). In contrast,  
41 naturalistic follow-up studies of patients with GAD in the community have  
42 found considerably lower remission rates than this, at about 15% a year  
43 (Yonkers *et al.*, 1996). This suggests either that despite its chronicity, GAD can  
44 respond well to pill placebo and the non-specific aspects of good clinical

1 management, or that the people who participate in placebo-controlled trials  
2 are not typical of the broad range of patients with GAD in the community. In  
3 addition, it is not known whether patients who respond in the short-term to  
4 placebo will maintain their improvement whereas there is some evidence that  
5 continuing drug treatment that proved effective in the short-term can help  
6 prevent relapse (Baldwin *et al.*, 2005).

7  
8 Non-specific effects of treatment are also important in assessing the benefits  
9 of psychological therapies such as applied relaxation and cognitive  
10 behavioural therapy. Often such treatments are assessed against 'waiting list'  
11 or 'treatment as usual' controls, which means that the non-specific effects of  
12 factors such as increased professional support and instillation of hope, will  
13 augment the specific effects of a particular therapy. Thus a meta-analysis  
14 showed that while cognitive behavioural therapy was superior to waiting list  
15 control in the treatment of GAD, its superiority to supportive psychological  
16 therapy could not be clearly demonstrated (Hunot *et al.*, 2007).

17  
18 Consistent with this, a substantial number other approaches have been  
19 employed to help patients with anxiety disorders, ranging from exercise to  
20 prayer, and homeopathy to herbal remedies (Jorm *et al.*, 2004). This suggests  
21 that numerous non-medical approaches, provided they carry meaning and  
22 hope for the person concerned, can enable individuals to use their own  
23 coping and healing capacities to overcome anxiety symptoms. At present it is  
24 not possible to identify those patients who will respond effectively to non-  
25 specific as opposed to specific pharmacological and psychological treatments.  
26 In the treatment of depression it appears that the response to placebo lessens  
27 as the condition becomes symptomatically more severe (Khan *et al.*, 2005); this  
28 means that the specific benefit of antidepressant medication is greater in the  
29 most severely ill patients. Whether the same is true in patients with GAD is  
30 not clear.

#### 31 **2.4.4 Pharmacological treatments**

32 Placebo-controlled trials indicate that a wide range of medicines with  
33 differing pharmacological properties can be effective in the treatment of GAD  
34 (Baldwin *et al.*, 2005). Traditionally benzodiazepine drugs such as diazepam  
35 were employed for this purpose but it became clear that their use was  
36 commonly associated with development of tolerance and dependence (Royal  
37 College of Psychiatrists, 2005). For this reason they are now recommended  
38 only for short-term use (2-4 weeks). Another drug specifically licensed for the  
39 treatment of GAD is buspirone, which acts on a particular subtype of  
40 serotonin receptor. However, like benzodiazepines, buspirone is  
41 recommended for short-term use only (British National Formulary, 2009).

42  
43 In recent years antidepressant medications such as the SSRIs have been  
44 increasingly used to treat GAD (Baldwin *et al.*, 2005). Unlike benzodiazepines,  
45 antidepressant drugs do not relieve anxiety from the beginning of treatment

1 and a period of some weeks often needs to elapse before significant clinical  
2 improvement is seen. However, tolerance and dependence do not seem to be  
3 a problem with antidepressant treatment though it should be noted that, like  
4 benzodiazepines, antidepressants can cause discontinuation symptoms on  
5 abrupt withdrawal (Committee on Safety of Medicines, 2004). As well as  
6 SSRIs, serotonin and noradrenaline reuptake inhibitors (SNRIs) such as  
7 venlafaxine and duloxetine are also effective in GAD, as are the older less  
8 selective tricyclic antidepressants (TCAs) such as imipramine. However,  
9 TCAs are not so well tolerated as newer antidepressant agents and are more  
10 dangerous in overdose (Baldwin *et al.*, 2005).

11  
12 In addition to the antidepressants, other compounds also have efficacy in the  
13 treatment of GAD. These include the antihistamine, hydroxyzine, and the  
14 anticonvulsant drug, pregabalin, which binds to a subtype of calcium channel  
15 in the brain (Baldwin *et al.*, 2005). Both conventional antipsychotic drugs and  
16 the newer “atypical” antipsychotic agents have also been used in the  
17 treatment in GAD, both as a sole therapy and as an “add-on” to SSRI therapy  
18 when the latter has proved ineffective (Pies, 2009). However, the greater side  
19 effect burden of antipsychotic drugs means that presently their use is  
20 restricted to patients with refractory conditions, with prescribing guided by  
21 secondary care.

22  
23 While many drug treatments have been demonstrated to be efficacious in  
24 GAD relative to placebo, there are very few comparative studies between  
25 active pharmacological agents. In addition there are no reliable clinical or  
26 biological predictors of treatment response in individual patients. For this  
27 reason the selection of pharmacological treatment is usually made on the  
28 basis of the side-effect profile and the history of medication response in a  
29 particular individual.

30

### 31 **2.4.5 Psychological treatments**

32 GAD has proved to be one of the most difficult of all the anxiety disorders to  
33 treat. Developments in psychological treatments have tended to parallel the  
34 changes in the conceptualisation of GAD as diagnostic criteria have  
35 developed, moving from a more general approach to more specifically  
36 focused interventions.

37

38 Early psychological treatments for GAD tended to involve non-specific  
39 interventions such as supportive psychotherapy and relaxation training.  
40 Initial cognitive behavioural packages for the treatment of GAD (Borkovec &  
41 Costello, 1993; Barlow *et al.*, 1992) focused on the treatment of persistent  
42 anxious arousal and often included a number of interventions such as applied  
43 relaxation, imagery rehearsal (imaginal practice of coping skills in response to  
44 anxiety), stimulus control (establishing increased control over worry) and  
45 cognitive approaches based on the work of Beck and colleagues (1985).



1

2 More recent adaptations of CBT treatment have emphasised the specific role  
3 of worry in GAD and tried to focus treatment more on the processes thought  
4 to underlie the disorder. An example of this is CBT targeting the intolerance  
5 of uncertainty (Dugas *et al.*, 2007) or the metacognitive therapy developed by  
6 Wells (1999) which emphasises the importance of the beliefs people have  
7 about worry and attempts to modify these.

8

9 Borkovec and colleagues (2004) have added interpersonal / psychodynamic  
10 strategies to existing CBT protocols to address problematic relationship  
11 patterns often found in GAD patients and the implications of the avoidance  
12 theory of worry, suggesting that GAD patients worry to avoid experiencing  
13 negative emotions.

14

15 Other adaptations of CBT have integrated acceptance and mindfulness  
16 approaches into treatment for GAD, incorporating the acceptance and  
17 experience of often avoided emotions into treatment protocols (Orsillo *et al.*,  
18 2003).

#### 19 **2.4.6 Stepped care**

20 Stepped care (Scogin *et al.*, 2003) is a framework which is increasingly being  
21 used in the UK to specify best-practice clinical pathways to care. Stepped care  
22 is designed to increase the efficiency of service provision with an overall  
23 benefit to patient populations. The basic principle is that patients presenting  
24 with a common mental health disorder will 'step through' progressive levels  
25 of treatment as necessary, with the expectation that many of these patients  
26 will recover during the less intensive phases. The key features of stepped care  
27 are that treatment should be the least restrictive and that the model is self  
28 correcting. The definition of 'least restrictive' may refer to the impact on  
29 patients in terms of cost and personal inconvenience, but can also refer to the  
30 amount of specialist therapist time required (i.e. treatment intensity). High-  
31 intensity treatments are reserved for patients who do not benefit from low-  
32 intensity treatments, or for those who can be accurately predicted not to  
33 benefit from such treatments. Self-correcting means that the results of  
34 treatments and decisions about treatment provision are monitored  
35 systematically, and changes are made ('stepping up') if current treatments are  
36 not achieving significant health gain. Thus, stepped care has the potential for  
37 deriving the greatest benefit from available therapeutic resources (Bower &  
38 Gilbody, 2005). Successful implementation of a stepped care model is crucial  
39 to effective implementation of the NICE guidelines (Lovell & Bee, 2008). Two  
40 main stepped models are available, firstly that all people regardless of  
41 severity/need/choice move through the steps in a systematic way, that is, a  
42 sequential model where all patients initially receive an evidence based low-  
43 intensity treatment and only 'stepping up' when the intervention has failed or  
44 secondly a stratified or multiple access model which allows patients to  
45 initially access more intensive steps without initially receiving less intensive

1 interventions (Lovell & Richards, 2000). Stratified stepped care models have  
2 been incorporated into previous NICE guidelines with stratification based on  
3 degree of functional impairment (as in the NICE guidelines on obsessive-  
4 compulsive disorder and body dysmorphic disorder)) or severity of the  
5 disorder (as in the NICE depression guidelines).  
6

#### 7 **2.4.7 The economic cost of anxiety disorders – focus on** 8 **generalised anxiety disorder**

9 Anxiety disorders place a significant burden on individuals as well as on the  
10 healthcare system. Andlin-Sobocki and colleagues (2005) estimated the cost of  
11 anxiety disorders in Europe using published epidemiologic and economic  
12 data from 28 European countries. Data on healthcare resource utilisation  
13 (medication, hospitalisation, outpatient care) and productivity losses due to  
14 sick leave associated with anxiety disorders were based on a German national  
15 health survey. The estimated total cost of anxiety disorders in Europe was  
16 reported to reach €41 billion (2004 prices). The average annual *excess* cost per  
17 person with GAD (relative to a person without an anxiety disorder) was  
18 estimated at €1,628 in 2004; of this, 76% was associated with provision of  
19 healthcare services and the rest 24% with productivity losses due to sick leave  
20 (Andlin-Sobocki & Wittchen, 2005). The excess per-person cost of GAD was  
21 found to be the highest among respective costs of other anxiety disorders,  
22 such as panic disorder, agoraphobia, social phobia and obsessive-compulsive  
23 disorder (OCD).  
24

25 Only limited data on the healthcare resource utilisation by people with  
26 anxiety disorders exist in the UK. According to the Hospital Episode  
27 Statistics, in the financial year 2007-08, 8,682 admissions were reported for  
28 phobic and other anxiety disorders in England, resulting in 121,359 inpatient  
29 bed days. Of these, 747 admissions and 16,733 bed days were attributed  
30 specifically to GAD (NHS, The Information Centre, 2009). According to the  
31 most recent Adult Psychiatric Morbidity Survey in England (McManus *et al.*,  
32 2009), only 34% of people with GAD were receiving any kind of treatment for  
33 their condition at the time of the survey. Of them, 53% were receiving  
34 medication, 21% counselling or other psychological therapy, and 26% a  
35 combination of drugs and psychological treatment. In addition, 1% of  
36 respondents with GAD reported that they had used inpatient services for  
37 their condition over the past 3 months, 8% had used outpatient services  
38 during the same period, while 25% had used community or day care services  
39 during the past year.  
40

41 A number of studies have estimated the cost of anxiety disorders in the US.  
42 DuPont and colleagues (1998) estimated this cost at \$46.6 billion in 1990,  
43 which accounted for 31.5% of the total cost of mental disorders in the country.  
44 The estimated cost was incurred by healthcare resource utilisation such as  
45 mental health services, medication, hospitalisation, nursing homes and

1 outpatient visits (23.1%), productivity losses (76.1%) and, at a lower extent, by  
2 provision of other services such as criminal justice services, social welfare  
3 administration, incarceration as well as family care-giving (0.8%). Greenberg  
4 and colleagues (1999) provided a more updated figure of the cost of anxiety  
5 disorders in the US, at \$63.1 billion in 1998.

6  
7 A retrospective, multivariate analysis of data derived from a large claims  
8 database in the US demonstrated that people with anxiety disorders are more  
9 likely to use outpatient mental health services compared with a control group;  
10 they are also more likely to visit medical specialists such as cardiologists and  
11 neurologists and to use hospital services including A&E. Furthermore, people  
12 with anxiety were found to miss more days of work or to have a short-term  
13 disability relative to controls (Marciniak *et al.*, 2004). According to the same  
14 analysis, the total medical cost per person with any anxiety disorder was  
15 estimated at \$6,475 in 1999 (Marciniak *et al.*, 2005). The multivariate model  
16 indicated that, controlling for demographics and other disease states, GAD  
17 was associated with a significant increase of \$2,138 in the total medical cost  
18 per person.

19  
20 An Australian study (Andrews *et al.*, 2004) estimated the total annual cost of  
21 routine treatment for GAD in Australia at AUS\$112.3 million in 1997 prices,  
22 based on the results of a national survey of mental health and well-being and  
23 an estimated treatment coverage of only 38%. By applying optimal treatment  
24 (as achieved by operationalising detailed clinical practice guidelines and  
25 expert reviews) and increasing treatment coverage at 70%, the total annual  
26 direct medical cost of GAD was expected to rise up to AUS\$205.1 million.

27  
28 Anxiety disorders are associated with a wide range of comorbidities, which  
29 result in a substantial increase in the total healthcare costs. Sou tre and  
30 colleagues (1994) estimated the total direct and indirect costs incurred by  
31 people with GAD with and without comorbidities using data on 999 people  
32 participating in a French cross-sectional study. Controlling for confounding  
33 variables, the prevalence of healthcare utilisation in terms of hospitalisation,  
34 laboratory tests and medications and the respective medical costs were found  
35 to be significantly higher in people with GAD and other comorbidities than  
36 those without comorbidities. Moreover, comorbidities were associated with  
37 increased absenteeism from work. In particular, comorbid depression  
38 (Marciniak *et al.*, 2005; Wetherell *et al.*, 2007; Zhu *et al.*, 2009) and physical pain  
39 (Olfson & Gameroff, 2007; Zhu *et al.*, 2009) have been found to have a  
40 significant impact on treatment costs incurred by people with GAD.

41  
42 Efficient use of available healthcare resources will maximise the health  
43 benefits for people with GAD and can potentially reduce costs to the  
44 healthcare system and the society in the long term.

45

46

# 1 3 METHODS USED TO DEVELOP 2 THIS GUIDELINE

## 3 3.1 OVERVIEW

4 The development of this guideline drew upon methods outlined by NICE  
5 (*The Guidelines Manual* [NICE, 2009a]). A team of health professionals, lay  
6 representatives and technical experts known as the Guideline Development  
7 Group (GDG), with support from the NCCMH staff, undertook the  
8 development of a patient centred, evidence-based guideline. There are six  
9 basic steps in the process of developing a guideline:

- 10  
11 • Define the scope, which sets the parameters of the guideline and  
12 provides a focus and steer for the development work.
- 13 • Define clinical questions considered important for practitioners and  
14 service users.
- 15 • Develop criteria for evidence searching and search for evidence.
- 16 • Design validated protocols for systematic review and apply to  
17 evidence recovered by search.
- 18 • Synthesise and (meta-) analyse data retrieved, guided by the clinical  
19 questions, and produce evidence profiles and summaries.
- 20 • Answer clinical questions with evidence-based recommendations for  
21 clinical practice.

22 The clinical practice recommendations made by the GDG are therefore  
23 derived from the most up-to-date and robust evidence base for the clinical  
24 and cost effectiveness of the treatments and services used in the treatment  
25 and management of generalised anxiety disorder (GAD). In addition, to  
26 ensure a service user and carer focus, the concerns of service users and carers  
27 regarding health and social care have been highlighted and addressed by  
28 recommendations agreed by the whole GDG.

## 29 3.2 THE SCOPE

30 Guideline topics are selected by the Department of Health and the Welsh  
31 Assembly Government, which identify the main areas to be covered by the  
32 guideline in a specific remit (see *The Guidelines Manual*). The NCCMH  
33 developed a scope for the guideline based on the remit.

34  
35 The purpose of the scope is to:

- 1
- 2 • provide an overview of what the guideline will include and exclude
- 3 • identify the key aspects of care that must be included
- 4 • set the boundaries of the development work and provide a clear  
5 framework to enable work to stay within the priorities agreed by NICE  
6 and the NCC and the remit from the Department of Health/Welsh  
7 Assembly Government
- 8 • inform the development of the clinical questions and search strategy
- 9 • inform professionals and the public about expected content of the  
10 guideline
- 11 • keep the guideline to a reasonable size to ensure that its development  
12 can be carried out within the allocated period.

13 The draft scope was subject to consultation with registered stakeholders over  
14 a 4-week period. During the consultation period, the scope was posted on the  
15 NICE website ([www.nice.org.uk](http://www.nice.org.uk)). Comments were invited from stakeholder  
16 organisations and Guideline Review Panel (GRP). Further information about  
17 the GRP can also be found on the NICE website. The NCCMH and NICE  
18 reviewed the scope in light of comments received, and the revised scope was  
19 signed off by the GRP.

### 20 **3.3 THE GUIDELINE DEVELOPMENT GROUP**

21 The GDG consisted of: professionals in psychiatry, clinical psychology,  
22 nursing and general practice; academic experts in psychiatry and psychology;  
23 service user and carer representatives from service user organisations. The  
24 guideline development process was supported by staff from the NCCMH,  
25 who undertook the clinical and health economics literature searches,  
26 reviewed and presented the evidence to the GDG, managed the process, and  
27 contributed to drafting the guideline.

#### 28 **3.3.1 Guideline Development Group meetings**

29 Ten GDG meetings were held between June 2009 and April 2010. During each  
30 day-long GDG meeting, in a plenary session, clinical questions and clinical  
31 and economic evidence were reviewed and assessed, and recommendations  
32 formulated. At each meeting, all GDG members declared any potential  
33 conflicts of interest, and service user and carer concerns were routinely  
34 discussed as part of a standing agenda.

#### 35 **3.3.2 Topic groups**

36 The GDG divided its workload along clinically relevant lines to simplify the  
37 guideline development process, and certain GDG members were asked to

1 undertake guideline work in that area of clinical practice. As the GDG was  
2 relatively small, there were no defined topic groups for the clinical evidence  
3 on pharmacological and psychological interventions; however there was a  
4 topic group which looked at service user and carer experience through  
5 testimonies and the qualitative literature. This group managed the evidence  
6 appraisal prior to presenting it to the GDG as a whole.

### 7 **3.3.3 Service users and carers**

8 Individuals with direct experience of services gave an integral service-user  
9 focus to the GDG and the guideline. The GDG included service user and carer  
10 representatives of national service user groups. They contributed as full GDG  
11 members to writing the clinical questions, helping to ensure that the evidence  
12 addressed their views and preferences, highlighting sensitive issues and  
13 terminology relevant to the guideline, and bringing service-user research to  
14 the attention of the GDG. In drafting the guideline, they contributed to  
15 writing the guideline's introduction and identified recommendations from the  
16 service user and carer perspective.

### 17 **3.3.4 Special advisors**

18 Special advisors, who had specific expertise in one or more aspects of  
19 treatment and management relevant to the guideline, assisted the GDG,  
20 commenting on specific aspects of the developing guideline and making  
21 presentations to the GDG. Appendix 4 lists those who agreed to act as special  
22 advisors.

### 23 **3.3.5 National and international experts**

24 National and international experts in the area under review were identified  
25 through the literature search and through the experience of the GDG  
26 members. These experts were contacted to recommend unpublished or soon-  
27 to-be published studies in order to ensure up-to-date evidence was included  
28 in the development of the guideline. They informed the group about  
29 completed trials at the pre-publication stage, systematic reviews in the  
30 process of being published, studies relating to the cost effectiveness of  
31 treatment and trial data if the GDG could be provided with full access to the  
32 complete trial report. Appendix 6 lists researchers who were contacted.

## 33 **3.4 CLINICAL QUESTIONS**

34 Clinical questions were used to guide the identification and interrogation of  
35 the evidence base relevant to the topic of the guideline. Before the first GDG  
36 meeting, draft clinical questions were prepared by NCCMH staff based on the  
37 scope and an overview of existing guidelines, and discussed with the  
38 guideline Chair. The draft clinical questions were then discussed by the GDG  
39 at the first few meetings and amended as necessary. Questions submitted by  
40 stakeholders were also discussed by the GDG and the rationale for not

1 including questions was recorded in the minutes. The final list of clinical  
 2 questions can be found in Appendix 7.

3  
 4 For questions about interventions, the PICO (patient, intervention,  
 5 comparison and outcome) framework was used. This structured approach  
 6 divides each question into four components: the patients (the population  
 7 under study), the interventions (what is being done), the comparisons (other  
 8 main treatment options) and the outcomes (the measures of how effective the  
 9 interventions have been) (see Text Box 1).

10 **Text Box 1: Features of a well-formulated question on effectiveness intervention – the PICO guide**

<b>Patients/ population</b>	Which patients or population of patients are we interested in? How can they be best described? Are there subgroups that need to be considered?
<b>Intervention</b>	Which intervention, treatment or approach should be used?
<b>Comparison</b>	What is/are the main alternative/s to compare with the intervention?
<b>Outcome</b>	What is really important for the patient? Which outcomes should be considered: intermediate or short-term measures; mortality; morbidity and treatment complications; rates of relapse; late morbidity and readmission; return to work, physical and social functioning and other measures such as quality of life; general health status; costs?

11  
 12 In some situations, the prognosis of a particular condition is of fundamental  
 13 importance, over and above its general significance in relation to specific  
 14 interventions. Areas where this is particularly likely to occur relate to  
 15 assessment of risk, for example in terms of behaviour modification or  
 16 screening and early intervention. In addition, questions related to issues of  
 17 service delivery are occasionally specified in the remit from the Department  
 18 of Health (DH)/Welsh Assembly Government. In these cases, appropriate  
 19 clinical questions were developed to be clear and concise.

20  
 21 To help facilitate the literature review, a note was made of the best study  
 22 design type to answer each question. There are four main types of clinical  
 23 question of relevance to NICE guidelines. These are listed in Text Box 2. For  
 24 each type of question, the best primary study design varies, where 'best' is  
 25 interpreted as 'least likely to give misleading answers to the question'.

26  
 27 However, in all cases, a well-conducted systematic review of the appropriate  
 28 type of study is likely to always yield a better answer than a single study.

29  
 30 Deciding on the best design type to answer a specific clinical or public health  
 31 question does not mean that studies of different design types addressing the  
 32 same question were discarded.

33  
 34

**Text Box 2: Best study design to answer each type of question**

Type of question	Best primary study design
Effectiveness or other impact of an intervention	Randomised controlled trial; other studies that may be considered in the absence of an RCT are the following: internally / externally controlled before and after trial, interrupted time-series
Accuracy of information (e.g. risk factor, test, prediction rule)	Comparing the information against a valid gold standard in a randomised trial or inception cohort study
Rates (of disease, patient experience, rare side effects)	Cohort, registry, cross-sectional study
Costs	Naturalistic prospective cost study

1

2 **3.5 SYSTEMATIC CLINICAL LITERATURE REVIEW**

3 The aim of the clinical literature review was to systematically identify and  
4 synthesise relevant evidence from the literature in order to answer the specific  
5 clinical questions developed by the GDG. Thus, clinical practice  
6 recommendations are evidence-based, where possible, and, if evidence is not  
7 available, informal consensus methods are used (see Section 3.5.10) and the  
8 need for future research is specified.

9 **3.5.1 Methodology - Scoping searches**

10 A broad preliminary search of the literature was undertaken in March 2009 to  
11 obtain an overview of the issues likely to be covered by the scope, and to help  
12 define key areas. Searches were restricted to clinical guidelines, health  
13 technology assessment reports, key systematic reviews and randomised  
14 controlled trials, and conducted in the following databases and websites:

15

- 16 • BMJ Clinical Evidence
- 17 • Canadian Medical Association (CMA) Infobase [Canadian guidelines]
- 18 • Clinical Policy and Practice Program of the New South Wales  
19 Department of Health (Australia)
- 20 • Clinical Practice Guidelines [Australian Guidelines]
- 21 • Cochrane Central Register of Controlled Trials (CENTRAL)
- 22 • Cochrane Database of Abstracts of Reviews of Effects (DARE)
- 23 • Cochrane Database of Systematic Reviews (CDSR)
- 24 • EMBASE
- 25 • Guidelines International Network (G-I-N)
- 26 • Health Evidence Bulletin Wales
- 27 • Health Management Information Consortium [HMIC]
- 28 • Health Technology Assessment (HTA) database (technology  
29 assessments)
- 30 • MEDLINE / MEDLINE in Process
- 31 • National Health and Medical Research Council (NHMRC)



- 1 • National Library for Health (NLH) Guidelines Finder
- 2 • New Zealand Guidelines Group
- 3 • NHS Centre for Reviews and Dissemination (CRD)
- 4 • OMNI Medical Search
- 5 • Scottish Intercollegiate Guidelines Network (SIGN)
- 6 • Turning Research Into Practice (TRIP)
- 7 • United States Agency for Healthcare Research and Quality (AHRQ)
- 8 • Websites of NICE and the National Institute for Health Research
- 9 (NIHR) HTA Programme for guidelines and HTAs in development.
- 10

### 11 **3.5.2 The review process**

12 The original anxiety guideline (CG22) was evaluated by the review team in  
13 liaison with NICE. Further to discussions, it was agreed that the methodology  
14 utilised by the guideline was not consistent with the current NICE guideline  
15 manual (NICE, 2009a). It was subsequently decided that the review process  
16 would consider all evidence from inception to the present date (which may  
17 include data already reviewed in the previous Anxiety guideline) using  
18 methodology more consistent with the most up to date NICE guideline  
19 manual as described below.

20  
21 At this point, the review team, in conjunction with the GDG, developed an  
22 evidence map that detailed all comparisons necessary to answer the clinical  
23 questions. The initial approach taken to locating primary-level studies  
24 depended on the type of clinical question and availability of evidence.

25  
26 The GDG classified each clinical question into one of three groups: 1)  
27 questions concerning good practice; 2) questions likely to have little or no  
28 directly relevant evidence; 3) questions likely to have a good evidence base.  
29 Questions concerning good practice were answered by the GDG using  
30 informal consensus. For questions that were unlikely to have a good evidence  
31 base, a brief descriptive review was initially undertaken, and then the GDG  
32 used informal consensus to reach a decision (see Section 3.5.10). For questions  
33 with a good evidence base, the review process depended on the type of key  
34 question (see below).

35

### 36 **3.5.3 Systematic literature searches**

37 After the clinical questions were formulated, a systematic search strategy was  
38 developed to locate all the relevant evidence.

39

40 The balance between sensitivity (the power to identify all studies on a  
41 particular topic) and specificity (the ability to exclude irrelevant studies from  
42 the results) was carefully considered, and a decision made to utilise highly

1 sensitive strategies to identify as complete a set as possible of clinically  
2 relevant studies.

3

4 In order to ensure comprehensive coverage, search terms for GAD were kept  
5 purposely broad to help counter dissimilarities in bibliographic databases in  
6 thesaurus terms and indexing practices, and (often) imprecise reporting of  
7 study populations by authors in the titles and abstracts of records. Indeed, it  
8 was observed that the effects of broader searching retrieved significantly  
9 more relevant records than would have been achieved through the use of  
10 more specific terms. A broad search for panic was similarly constructed for  
11 evidence relating to the effectiveness of computerised cognitive behavioural  
12 therapy (CCBT).

13

14 A stepwise approach to formulating the searches was implemented at all  
15 times, and attempts made to eradicate duplication of effort in areas of  
16 overlapping coverage. Searches were restricted to systematic reviews, meta-  
17 analyses, randomised controlled trials, and qualitative research, and  
18 conducted in the following bibliographic databases:

19

- AMED

20

- CINAHL

21

- EMBASE

22

- IBSS

23

- MEDLINE / MEDLINE In-Process

24

- PsycINFO

25

- Cochrane Database of Abstracts of Reviews of Effects (DARE)

26

- Cochrane Database of Systematic Reviews (CDSR)

27

- Cochrane Central Register of Controlled Trials (CENTRAL)

28

- Health Technology Assessment (HTA) database

29

30 *\* Search strategies were initially developed for Medline and subsequently translated*  
31 *for use in other databases/search interfaces.*

32

### 33 **3.5.4 The search process for questions concerning interventions**

34 For questions relating to interventions, the initial evidence base was formed  
35 from well-conducted randomised controlled trials (RCTs) that addressed at  
36 least one of the clinical questions. Although there are a number of difficulties

1 with the use of RCTs in the evaluation of interventions in mental health, the  
2 RCT remains the most important method for establishing treatment efficacy  
3 (this is discussed in more detail in appropriate clinical evidence chapters). For  
4 other clinical questions, searches were for the appropriate study design (see  
5 above).

6  
7 Where the evidence base was large, recent high-quality English-language  
8 systematic reviews were used primarily as a source of RCTs (see Appendix 11  
9 for quality criteria used to assess systematic reviews). However, in some  
10 circumstances existing data sets were utilised. Where this was the case, data  
11 were cross-checked for accuracy before use. New RCTs meeting inclusion  
12 criteria set by the GDG were incorporated into the existing reviews and fresh  
13 analyses performed.

14

#### 15 *Reference Manager*

16 Citations from each search were downloaded into Reference Manager (a  
17 software product for managing references and formatting bibliographies) and  
18 all duplicates removed. Records were then screened against the inclusion  
19 criteria of the reviews before being quality appraised. The unfiltered search  
20 results were saved and retained for future potential re-analysis to help keep  
21 the process both replicable and transparent.

#### 22 *Search filters*

23 The search filters utilised in work for this guideline are adaptations of filters  
24 designed by the Centre for Reviews and Dissemination (CRD), the Health  
25 Information Research Unit of McMaster University, Ontario, and the  
26 University of Alberta. Each filter comprises medical subject headings (MeSH),  
27 explosions (exp), subheadings (sh), and text words (ti,ab/tw) based on  
28 various research design features and characteristics.

#### 29 *Date and language restrictions*

30 Systematic database searches were initially conducted between April and  
31 November 2009 up to the most recent searchable date. Search updates were  
32 generated on a 6-monthly basis, with the final re-runs carried out 7 weeks  
33 before the guideline consultation. After this point, studies were only included  
34 if they were judged be exceptional by the GDG (for example, if the evidence  
35 was likely to change a recommendation).

36

37 Although no language restrictions were applied at the searching stage,  
38 foreign language papers were not requested or reviewed, unless they were of  
39 particular importance to a clinical question. Date restrictions were not applied  
40 other than for searches of systematic reviews, which were limited to research  
41 published from 1994 onwards.

#### 42 *Other search methods*

1 Other search methods involved: 1) scanning the reference lists of all eligible  
2 publications (systematic reviews, stakeholder evidence and included studies)  
3 for more published reports and citations of unpublished research; 2) sending  
4 lists of studies meeting the inclusion criteria to subject experts (identified  
5 through searches and the GDG) and asking them to check the data for  
6 completeness, and provide information of any additional published or  
7 unpublished research for consideration (see Appendix 6); 3) checking the  
8 tables of contents of key journals for studies that might have been missed by  
9 the database and reference list searches; 4) tracking key papers in the Science  
10 Citation Index (prospectively) over time for further useful references.

11

12 Full details of the search strategies and filters used for the systematic review  
13 of clinical evidence are provided in Appendix 9.

#### 14 *Sifting*

15 After the initial search results were scanned liberally to exclude irrelevant  
16 papers, the review team used a purpose-built 'study information' database to  
17 manage both the included and the excluded studies (eligibility criteria were  
18 developed after consultation with the GDG). Double checking of all excluded  
19 studies was not done routinely, but a selection of abstracts was checked to  
20 ensure reliability of the sifting. For questions without good-quality evidence  
21 (after the initial search), a decision was made by the GDG about whether to:  
22 (a) repeat the search using subject-specific databases (e.g. ERIC, Sociological  
23 Abstracts); (b) conduct a new search for lower levels of evidence; or (c) adopt  
24 a consensus process (see Section 3.5.10). Future guidelines will be able to  
25 update and extend the usable evidence base starting from the evidence  
26 collected, synthesised and analysed for this guideline.

#### 27 *Study selection*

28 All primary-level studies included after the first scan of citations were  
29 acquired in full and re-evaluated for eligibility at the time they were being  
30 entered into the study information database. More specific eligibility criteria  
31 were developed for each clinical question and are described in the relevant  
32 clinical evidence chapters. Eligible systematic reviews and primary-level  
33 studies were critically appraised for methodological quality (see Appendix 11  
34 & 13). The eligibility of each study was confirmed by at least one member of  
35 the appropriate topic group.

36

37 For some clinical questions, it was necessary to prioritise the evidence with  
38 respect to the UK context (that is, external validity). To make this process  
39 explicit, the topic groups took into account the following factors when  
40 assessing the evidence:

- 41 • participant factors (for example, gender, age and ethnicity)

- 1 • provider factors (for example, model fidelity, the conditions under  
2 which the intervention was performed and the availability of  
3 experienced staff to undertake the procedure)
- 4 • cultural factors (for example, differences in standard care and  
5 differences in the welfare system).

6 It was the responsibility of each topic group to decide which prioritisation  
7 factors were relevant to each clinical question in light of the UK context and  
8 then decide how they should modify their recommendations.

### 9 *Unpublished evidence*

10 The GDG used a number of criteria when deciding whether or not to accept  
11 unpublished data. First, the evidence must have been accompanied by a trial  
12 report containing sufficient detail to properly assess the quality of the data.  
13 Second, the evidence must have been submitted with the understanding that  
14 data from the study and a summary of the study's characteristics would be  
15 published in the full guideline. Therefore, the GDG did not accept evidence  
16 submitted as commercial in confidence. However, the GDG recognised that  
17 unpublished evidence submitted by investigators might later be retracted by  
18 those investigators if the inclusion of such data would jeopardise publication  
19 of their research.

### 20 **3.5.5 Data extraction**

21 Study characteristics and outcome data were extracted from all eligible  
22 studies, which met the minimum quality criteria, using a bespoke database  
23 and Review Manager 5 (The Cochrane Collaboration, 2008) (see Appendix  
24 16).

25  
26 In most circumstances, for a given outcome (continuous and dichotomous),  
27 where more than 50% of the number randomised to any group were lost to  
28 follow up, the data were excluded from the analysis (except for the outcome  
29 'leaving the study early', in which case, the denominator was the number  
30 randomised). Where possible, dichotomous efficacy outcomes were calculated  
31 on an intention-to-treat basis (that is, a 'once-randomised-always-analyse'  
32 basis). Where there was good evidence that those participants who ceased to  
33 engage in the study were likely to have an unfavourable outcome, early  
34 withdrawals were included in both the numerator and denominator. Adverse  
35 effects were entered into Review Manager as reported by the study authors  
36 because it was usually not possible to determine whether early withdrawals  
37 had an unfavourable outcome. Where there was limited data for a particular  
38 review, the 50% rule was not applied. In these circumstances the evidence  
39 was downgraded due to the risk of bias.

40  
41 Where some of the studies failed to report standard deviations (for a  
42 continuous outcome), and where an estimate of the variance could not be

1 computed from other reported data or obtained from the study author, the  
2 following approach was taken<sup>1</sup>:

- 3  
4 1. When the number of studies with missing standard deviations was less  
5 than a third and when the total number of studies was at least 10, the  
6 pooled standard deviation was imputed (calculated from all the other  
7 studies in the same meta-analysis that used the same version of the  
8 outcome measure). In this case, the appropriateness of the imputation  
9 was made by comparing the standardised mean differences (SMDs) of  
10 those trials that had reported standard deviations against the  
11 hypothetical SMDs of the same trials based on the imputed standard  
12 deviations. If they converged, the meta-analytical results were  
13 considered to be reliable.
- 14  
15 2. When the conditions above could not be met, standard deviations were  
16 taken from another related systematic review (if available). In this case,  
17 the results were considered to be less reliable.

18  
19 The meta-analysis of survival data, such as time to any mood episode, was  
20 based on log hazard ratios and standard errors. Since individual patient data  
21 were not available in included studies, hazard ratios and standard errors  
22 calculated from a Cox proportional hazard model were extracted. Where  
23 necessary, standard errors were calculated from confidence intervals or p-  
24 value according to standard formulae (see the Cochrane Reviewers'  
25 Handbook 4.2.2.). Data were summarised using the generic inverse variance  
26 method using Review Manager.

27  
28 Consultation with another reviewer or members of the GDG was used to  
29 overcome difficulties with coding. Data from studies included in existing  
30 systematic reviews were extracted independently by one reviewer and cross-  
31 checked with the existing data set. Where possible, two independent  
32 reviewers extracted data from new studies. Where double data extraction was  
33 not possible, data extracted by one reviewer was checked by the second  
34 reviewer. Disagreements were resolved with discussion. Where consensus  
35 could not be reached, a third reviewer or GDG members resolved the  
36 disagreement. Masked assessment (that is, blind to the journal from which the  
37 article comes, the authors, the institution and the magnitude of the effect) was  
38 not used since it is unclear that doing so reduces bias (Jadad *et al.*, 1996;  
39 Berlin, 2001).

### 40 **3.5.6 Synthesising the evidence**

41 Where possible, meta-analysis was used to synthesise the evidence using  
42 Review Manager. If necessary, reanalyses of the data or sub-analyses were

---

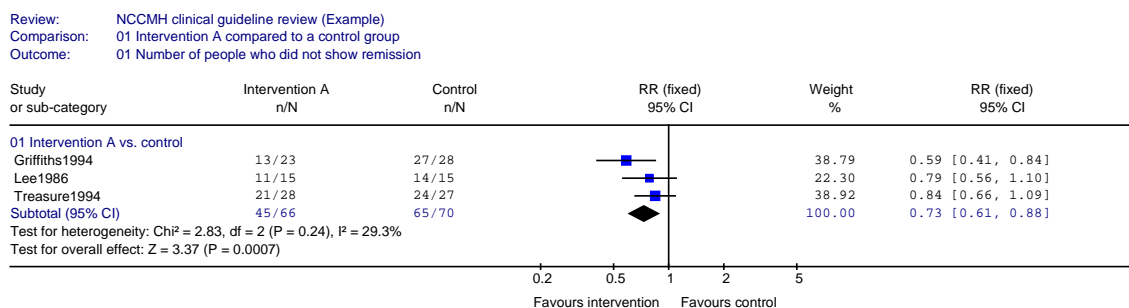
<sup>1</sup> Based on the approach suggested by Furukawa *et al.*, (2006)

1 used to answer clinical questions not addressed in the original studies or  
 2 reviews.

3  
 4 Dichotomous outcomes were analysed as relative risks (RR) with the  
 5 associated 95% CI (for an example, see Figure 1). A relative risk (also called a  
 6 risk ratio) is the ratio of the treatment event rate to the control event rate. An  
 7 RR of 1 indicates no difference between treatment and control. In Figure 1, the  
 8 overall RR of 0.73 indicates that the event rate (that is, non-remission rate)  
 9 associated with intervention A is about three quarters of that with the control  
 10 intervention or, in other words, the relative risk reduction is 27%.

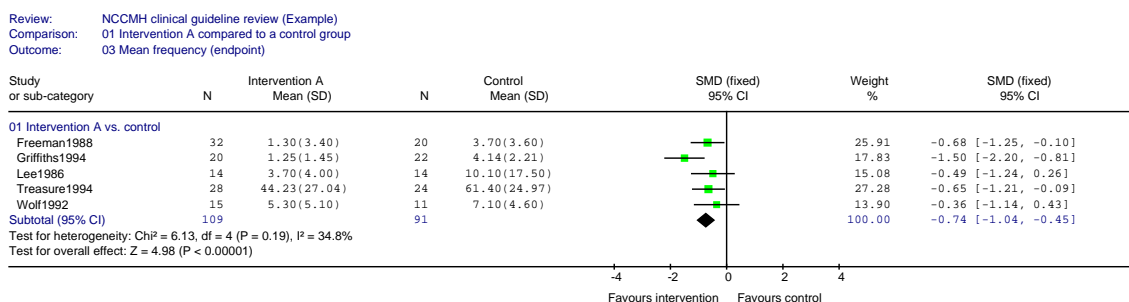
11  
 12 The CI shows with 95% certainty the range within which the true treatment  
 13 effect should lie and can be used to determine statistical significance. If the CI  
 14 does not cross the 'line of no effect', the effect is statistically significant.

15  
 16 **Figure 1: Example of a forest plot displaying dichotomous data**



18  
 19  
 20 Continuous outcomes were analysed using the mean difference (MD), or  
 21 standardised mean difference (SMD) when different measures were used in  
 22 different studies to estimate the same underlying effect (for an example, see  
 23 Figure 2). If reported by study authors, intention-to-treat data, using a  
 24 method such as 'last observation carried forward', were preferred over data  
 25 from completers.

26  
 27 **Figure 2: Example of a forest plot displaying continuous data**



28  
 29  
 30 To check for consistency of effects among studies, both the I<sup>2</sup> statistic and the  
 31 chi-squared test of heterogeneity, as well as a visual inspection of the forest  
 32 plots were used. The I<sup>2</sup> statistic describes the proportion of total variation in

1 study estimates that is due to heterogeneity (Higgins & Thompson, 2002). The  
2  $I^2$  statistic was interpreted in the follow way based on Higgins *et al.* (2003):  
3

- 4 • > 75%: high heterogeneity (an attempt was made to explain the  
5 variation by conducting sub-analyses to examine potential moderators.  
6 In addition, studies with effect sizes greater than two standard  
7 deviations from the mean effect size were excluded using sensitivity  
8 analyses to examine how robust the findings were. If studies with  
9 heterogeneous results were found to be comparable with regard to  
10 study and participant characteristics, a random-effects model was used  
11 to summarise the results (DerSimonian & Laird, 1986). In the random-  
12 effects analysis, heterogeneity is accounted for both in the width of CIs  
13 and in the estimate of the treatment effect. With decreasing  
14 heterogeneity the random-effects approach moves asymptotically  
15 towards a fixed-effects model)
- 16 • 25 to 75%: moderate heterogeneity (both the chi-squared test of  
17 heterogeneity and a visual inspection of the forest plot were used to  
18 decide between a fixed and random-effects model)
- 19 • < 25%: low heterogeneity (a fixed-effects model was used to synthesise  
20 the results).

21 To explore the possibility that the results entered into each meta-analysis  
22 suffered from publication bias, data from included studies were entered,  
23 where there was sufficient data, into a funnel plot. Asymmetry of the plot was  
24 taken to indicate possible publication bias and investigated further.  
25

26 Where necessary, an estimate of the proportion of eligible data that were  
27 missing (because some studies did not include all relevant outcomes) was  
28 calculated for each analysis.  
29

30 The Number Needed to Treat for Benefit (NNTB) or the Number Needed to  
31 Treat for Harm (NNTH) was reported for each outcome where the baseline  
32 risk (i.e. control group event rate) was similar across studies. In addition,  
33 NNTs calculated at follow-up were only reported where the length of follow-  
34 up was similar across studies. When the length of follow-up or baseline risk  
35 varies (especially with low risk), the NNT is a poor summary of the treatment  
36 effect (Deeks, 2002).  
37

38 Included/excluded studies tables, generated automatically from the study  
39 database, were used to summarise general information about each study (see  
40 Appendix 16). Where meta-analysis was not appropriate and/or possible, the  
41 reported results from each primary-level study were also presented in the  
42 included studies table (and included, where appropriate, in a narrative  
43 review).



### 1 3.5.7 Presenting the data to the GDG

2 Study characteristics tables and, where appropriate, forest plots generated  
3 with Review Manager were presented to the GDG in order to prepare a  
4 GRADE evidence profile table for each review and to develop  
5 recommendations.

#### 6 *Evidence profile tables*

7 A GRADE evidence profile was used to summarise both the quality of the  
8 evidence and the results of the evidence synthesis (see Table 2 for an example  
9 of an evidence profile). For each outcome, quality may be reduced depending  
10 on the following factors:

- 11 • **study design** (randomised trial, observational study, or any other  
12 evidence)
- 13 • **limitations** (based on the quality of individual studies; see Appendix  
14 11 for the quality checklists)
- 15 • **inconsistency** (see section 3.5.6 for how consistency was measured)
- 16 • **indirectness** (that is, how closely the outcome measures, interventions  
17 and participants match those of interest)
- 18 • **imprecision** (based on the confidence interval around the effect size).

19  
20 For observational studies, the quality may be increased if there is a large  
21 effect, plausible confounding would have changed the effect, or there is  
22 evidence of a dose-response gradient (details would be provided under the  
23 other considerations column). Each evidence profile also includes a summary  
24 of the findings: number of patients included in each group, an estimate of the  
25 magnitude of the effect, and the overall quality of the evidence for each  
26 outcome.  
27

**Table 2: Example of GRADE evidence profile**

Quality assessment							Summary of findings				
No of studies	Design	Limitations	Inconsistency	Indirectness	Imprecision	Other considerations	No of patients		Effect		Quality
							Intervention	control	Relative (95% CI)	Absolute	
<b>Outcome 1</b>											
6	randomised trial	no serious limitations	no serious inconsistency	no serious indirectness	serious <sup>1</sup>	none	8/191	7/150	RR 0.94 (0.39 to 2.23)	0 fewer per 100 (from 3 fewer to 6 more)	⊕⊕⊕O MODERATE
<b>Outcome 2</b>											
6	randomised trial	no serious limitations	no serious inconsistency	no serious indirectness	serious <sup>2</sup>	none	55/236	63/196	RR 0.44 (0.21 to 0.94) <sup>3</sup>	18 fewer per 100 (from 2 fewer to 25 fewer)	⊕⊕⊕O MODERATE
<b>Outcome 3</b>											
3	randomised trial	no serious limitations	no serious inconsistency	no serious indirectness	no serious imprecision	none	83	81	-	MD -1.51 (-3.81 to 0.8)	⊕⊕⊕⊕ HIGH
<b>Outcome 4</b>											
3	randomised trial	no serious limitations	no serious inconsistency	no serious indirectness	serious <sup>4</sup>	none	88	93	-	SMD -0.26 (-0.56 to 0.03)	⊕⊕⊕O MODERATE
<b>Outcome 5</b>											
4	randomised trial	no serious limitations	no serious inconsistency	no serious indirectness	serious <sup>4</sup>	none	109	114	-	SMD -0.13 (-0.6 to 0.34)	⊕⊕⊕O MODERATE
<sup>1</sup> The upper confidence limit includes an effect that, if it were real, would represent a benefit that, given the downsides, would still be worth it. <sup>2</sup> The lower confidence limit crosses a threshold below which, given the downsides of the intervention, one would not recommend the intervention. <sup>3</sup> Random-effects model. <sup>4</sup> 95% CI crosses the minimal importance difference threshold.											

1 The quality of the evidence was based on the quality assessment components  
2 (study design, limitations to study quality, consistency, directness and any  
3 other considerations) and graded using the following definitions:

- 4 • **High** = Further research is very unlikely to change our confidence in  
5 the estimate of the effect
- 6 • **Moderate** = Further research is likely to have an important impact on  
7 our confidence in the estimate of the effect and may change the  
8 estimate
- 9 • **Low** = Further research is very likely to have an important impact on  
10 our confidence in the estimate of the effect and is likely to change the  
11 estimate
- 12 • **Very low** = Any estimate of effect is very uncertain.

13  
14 For further information about the process and the rationale of producing an  
15 evidence profile table, see GRADE (2004).  
16

### 17 **3.5.8 Forest plots**

18 Each forest plot displayed the effect size and CI for each study as well as the  
19 overall summary statistic. The graphs were organised so that the display of  
20 data in the area to the left of the 'line of no effect' indicated a 'favourable'  
21 outcome for the treatment in question.

### 22 **3.5.9 Forming the clinical summaries and recommendations**

23 Once the GRADE profile tables relating to a particular clinical question were  
24 completed, summary tables incorporating important information from the  
25 GRADE profiles were developed (these tables are presented in the evidence  
26 chapters). Finally, the systematic reviewer in conjunction with the topic group  
27 lead produced a clinical evidence summary.  
28

29 Once the GRADE profiles and clinical summaries were finalised and agreed  
30 by the GDG, the associated recommendations were drafted, taking into  
31 account the trade-off between the benefits and downsides of treatment as well  
32 as other important factors. These included economic considerations, values of  
33 the development group and society, and the group's awareness of practical  
34 issues (Eccles *et al.*, 1998).

### 35 **3.5.10 Method used to answer a clinical question in the absence** 36 **of appropriately designed, high-quality research**

37 In the absence of appropriately designed, high-quality research, or where the  
38 GDG were of the opinion (on the basis of previous searches or their  
39 knowledge of the literature) that there were unlikely to be such evidence, an

1 informal consensus process was adopted. This process focused on those  
2 questions that the GDG considered a priority.

### 3 **3.5.11 Informal consensus**

4 The starting point for the process of informal consensus was that a member of  
5 the topic group identified, with help from the systematic reviewer, a narrative  
6 review that most directly addressed the clinical question. Where this was not  
7 possible, a brief review of the recent literature was initiated.

8

9 This existing narrative review or new review was used as a basis for  
10 beginning an iterative process to identify lower levels of evidence relevant to  
11 the clinical question and to lead to written statements for the guideline. The  
12 process involved a number of steps:

13

- 14 1. A description of what is known about the issues concerning the  
15 clinical question was written by one of the topic group members  
16
- 17 2. Evidence from the existing review or new review was then  
18 presented in narrative form to the GDG and further comments were  
19 sought about the evidence and its perceived relevance to the clinical  
20 question  
21
- 22 3. Based on the feedback from the GDG, additional information was  
23 sought and added to the information collected. This may include  
24 studies that did not directly address the clinical question but were  
25 thought to contain relevant data  
26
- 27 4. If, during the course of preparing the report, a significant body of  
28 primary-level studies (of appropriate design to answer the  
29 question) were identified, a full systematic review was done  
30
- 31 5. At this time, subject possibly to further reviews of the evidence, a  
32 series of statements that directly addressed the clinical question  
33 were developed  
34
- 35 6. Following this, on occasions and as deemed appropriate by the  
36 development group, the report was then sent to appointed experts  
37 outside of the GDG for peer review and comment. The information  
38 from this process was then fed back to the GDG for further  
39 discussion of the statements  
40
- 41 7. Recommendations were then developed and could also be sent for  
42 further external peer review  
43

- 1           8. After this final stage of comment, the statements and  
2           recommendations were again reviewed and agreed upon by the  
3           GDG.  
4

## 5   **3.6 HEALTH ECONOMICS METHODS**

6   The aim of the health economics was to contribute to the guideline's  
7   development by providing evidence on the cost effectiveness of interventions  
8   covered in this guideline. This was achieved by  
9

- 10       • Systematic literature review of existing economic evidence  
11       • Decision-analytic economic modelling  
12

13   Systematic reviews of economic literature were conducted in all areas covered  
14   in the guideline. Economic modelling was undertaken in areas with likely  
15   major resource implications, where the current extent of uncertainty over cost  
16   effectiveness was significant and economic analysis was expected to reduce  
17   this uncertainty, in accordance with NICE guidelines manual (NICE, 2009a).  
18   Prioritisation of areas for economic modelling was a joint decision between  
19   the Health Economist and the GDG. The rationale for prioritising clinical  
20   questions for economic modelling was set out in an economic plan agreed  
21   between NICE, the GDG, the Health Economist and the other members of the  
22   technical team; the economic plan is presented in Appendix 15. The following  
23   economic questions were selected as key issues that were addressed by  
24   economic modelling:  
25

- 26       • Cost effectiveness of low and high-intensity psychological  
27       interventions for people with GAD  
28       • Cost effectiveness of pharmacological interventions for people with  
29       GAD  
30       • Cost effectiveness of computerised CBT for people with panic disorder  
31

32   In addition, literature on the health-related quality of life of people with GAD  
33   and panic disorder was systematically searched to identify studies reporting  
34   appropriate health state utility scores that could be utilised in a cost-utility  
35   analysis.  
36

37   The rest of this section describes the methods adopted in the systematic  
38   literature review of economic studies. Methods employed in economic  
39   modelling are described in the respective sections of the guideline.

### 40   **3.6.1 Search strategy for economic evidence**

#### 41   *Scoping searches*

42   A broad preliminary search of the literature was undertaken in March 2009 to  
43   obtain an overview of the issues likely to be covered by the scope, and help

1 define key areas. Searches were restricted to economic studies and health  
2 technology assessment reports, and conducted in the following databases:

- 3
- 4 • EMBASE
- 5 • MEDLINE / MEDLINE In-Process
- 6 • Health Technology Assessment (HTA) database (technology assessments)
- 7 • NHS Economic Evaluation Database (NHS EED)
- 8

9 \* Any relevant economic evidence arising from the clinical scoping searches  
10 was also made available to the health economist during the same time frame.  
11

### 12 *Systematic literature searches*

13 After the clinical questions were formulated, a systematic search strategy was  
14 developed to locate all the relevant evidence.

15  
16 The balance between sensitivity (the power to identify all studies on a  
17 particular topic) and specificity (the ability to exclude irrelevant studies from  
18 the results) was carefully considered, and a decision made to utilise highly  
19 sensitive strategies to identify as complete a set as possible of clinically  
20 relevant studies.

21  
22 In order to ensure comprehensive coverage, search terms for GAD were kept  
23 purposely broad to help counter dissimilarities in bibliographic databases in  
24 thesaurus terms and indexing practices, and (often) imprecise reporting of  
25 study populations by authors in the titles and abstracts of records. Indeed, it  
26 was observed that the effects of broader searching retrieved significantly  
27 more relevant records than would have been achieved through the use of  
28 more specific terms. A broad search for panic was similarly constructed for  
29 evidence relating to the effectiveness of computerised cognitive behavioural  
30 therapy (CCBT).

31  
32 A stepwise approach to formulating the searches was implemented at all  
33 times, and attempts made to eradicate duplication of effort in areas of  
34 overlapping coverage. Searches were restricted to economic studies and  
35 health technology assessment reports, and conducted in the following  
36 databases:

- 37
- 38 • CINAHL
- 39 • EconLit
- 40 • EMBASE
- 41 • MEDLINE / MEDLINE In-Process
- 42 • PsycINFO
- 43 • Health Technology Assessment (HTA) database (technology  
44 assessments)
- 45 • NHS Economic Evaluation Database (NHS EED)

1

2 \* Any relevant economic evidence arising from the clinical searches was also  
3 made available to the health economist during the same time frame.

#### 4 *Reference Manager*

5 Citations from each search were downloaded into Reference Manager (a  
6 software product for managing references and formatting bibliographies) and  
7 duplicates removed. Records were then screened against the inclusion criteria  
8 of the reviews before being quality appraised. The unfiltered search results  
9 were saved and retained for future potential re-analysis to help keep the  
10 process both replicable and transparent.

#### 11 *Search filters*

12 The search filter for health economics is an adaptation of a filter designed by  
13 Centre for Reviews and Dissemination (CRD). The filter comprises medical  
14 subject headings (MeSH), explosions (exp), subheadings (sh), and text words  
15 (ti,ab/tw) based on various research design features and characteristics.

#### 16 *Date and language restrictions*

17 Systematic database searches were initially conducted between May and  
18 November 2009 up to the most recent searchable date. Search updates were  
19 generated on a 6-monthly basis, with the final re-runs carried out 7 weeks  
20 before the consultation period. After this point, studies were included only if  
21 they were judged to be exceptional by the GDG (for example, the evidence  
22 was likely to change a recommendation).

23 Although no language restrictions were applied at the searching stage, foreign  
24 language papers were not requested or reviewed, unless they were of particular  
25 importance to an area under review. All the searches were restricted to research  
26 published from 1994 onwards. The date restriction was imposed in order to obtain  
27 data relevant to current healthcare settings and costs.

#### 28 *Other search methods*

29 Other search methods involved scanning the reference lists of all eligible  
30 publications (systematic reviews, stakeholder evidence and included studies  
31 from the economic and clinical reviews) to identify further studies for  
32 consideration.

33

34 Full details of the search strategies and filter used for the systematic review of  
35 health economic evidence are provided in Appendix 12.

### 36 **3.6.2 Inclusion criteria for economic studies**

37 The following inclusion criteria were applied to select studies identified by  
38 the economic searches for further consideration:

39

- 40 • Only studies from Organisation for Economic Co-operation and  
41 Development countries were included, as the aim of the review was to  
42 identify economic information transferable to the UK context.

- 1       • Selection criteria based on types of clinical conditions and patients as  
2       well as interventions assessed were identical to the clinical literature  
3       review.
- 4       • Studies were included provided that sufficient details regarding  
5       methods and results were available to enable the methodological  
6       quality of the study to be assessed, and provided that the study's data  
7       and results were extractable.
- 8       • Full economic evaluations that compared two or more relevant options  
9       and considered both costs and consequences (that is, cost-consequence  
10      analysis, cost-effectiveness analysis, cost-utility analysis or cost-benefit  
11      analysis), as well as costing analyses that compared only costs between  
12      two or more interventions, were included in the review.
- 13      • Economic studies were included if they used clinical effectiveness data  
14      from an RCT, a cohort study, or a systematic review and meta-analysis  
15      of clinical studies. Studies that had a mirror-image design were  
16      excluded from the review.
- 17      • Studies were included only if the examined interventions were clearly  
18      described. This involved the dosage and route of administration and  
19      the duration of treatment in the case of pharmacological therapies; and  
20      the types of health professionals involved as well as the frequency and  
21      duration of treatment in the case of psychological interventions.  
22      Evaluations in which medications were treated as a class were  
23      excluded from further consideration.

### 24   **3.6.3   Applicability and quality criteria for economic studies**

25   All economic papers eligible for inclusion were appraised for their  
26   applicability and quality using the methodology checklist for economic  
27   evaluations recommended by the NICE guidelines manual (NICE, 2009a),  
28   which is shown in Appendix 13 of this guideline. The methodology checklist  
29   for economic evaluations was also applied to the economic models developed  
30   specifically for this guideline. All studies that fully or partially met the  
31   applicability and quality criteria described in the methodology checklist were  
32   considered during the guideline development process, along with the results  
33   of the economic modelling conducted specifically for this guideline. The  
34   completed methodology checklists for all economic evaluations considered in  
35   the guideline are provided in Appendix 18.

### 36   **3.6.4   Presentation of economic evidence**

37   The economic evidence considered in the guideline is provided in the  
38   respective evidence chapters, following presentation of the relevant clinical  
39   evidence. The references to included studies as well as the evidence tables  
40   with the characteristics and results of economic studies included in the  
41   review, are provided in Appendix 16f. Methods and results of economic  
42   modelling undertaken alongside the guideline development process are  
43   presented in the relevant evidence chapters. Characteristics and results of all



1 economic studies considered during the guideline development process  
2 (including modelling studies conducted for this guideline) are summarised in  
3 economic evidence profiles accompanying respective GRADE clinical  
4 evidence profiles in Appendix 19.

### 5 **3.6.5 Results of the systematic search of economic literature**

6 The titles of all studies identified by the systematic search of the literature  
7 were screened for their relevance to the topic (i.e. economic issues and  
8 information on health-related quality of life of people with GAD). References  
9 that were clearly not relevant were excluded first. The abstracts of all  
10 potentially relevant publications (136 references) were then assessed against  
11 the inclusion criteria for economic evaluations by the health economist. Full  
12 texts of the studies potentially meeting the inclusion criteria (including those  
13 for which eligibility was not clear from the abstract) were obtained. Studies  
14 that did not meet the inclusion criteria, were duplicates, were secondary  
15 publications of one study, or had been updated in more recent publications  
16 were subsequently excluded. Economic evaluations eligible for inclusion (that  
17 is, 5 studies on interventions for GAD and 4 studies on computerised CBT for  
18 panic disorder) were then appraised for their applicability and quality using  
19 the methodology checklist for economic evaluations. Of these, 7 economic  
20 studies met, fully or partially, the applicability and quality criteria set by  
21 NICE. These studies, together with the cost and cost-utility analyses  
22 conducted specifically for this guideline, were considered at formulation of  
23 the guideline recommendations.

## 24 **3.7 STAKEHOLDER CONTRIBUTIONS**

25 Professionals, service users, and companies have contributed to and  
26 commented on the guideline at key stages in its development. Stakeholders  
27 for this guideline include:

28

- 29 • service user/carer stakeholders: the national service user and carer  
30 organisations that represent people whose care is described in this  
31 guideline
- 32 • professional stakeholders: the national organisations that represent  
33 health care professionals who are providing services to service users
- 34 • commercial stakeholders: the companies that manufacture medicines  
35 used in the treatment of generalised anxiety disorder
- 36 • Primary Care Trusts
- 37 • Department of Health and Welsh Assembly Government.
- 38 • Stakeholders have been involved in the guideline's development at the  
39 following points:

1

- 2 • commenting on the initial scope of the guideline and attending a  
3 briefing meeting held by NICE
- 4 • contributing possible clinical questions and lists of evidence to the  
5 GDG
- 6 • commenting on the draft of the guideline.

### 7 **3.8 VALIDATION OF THE GUIDELINE**

8 Registered stakeholders had an opportunity to comment on the draft  
9 guideline, which was posted on the NICE website during the consultation  
10 period. Following the consultation, all comments from stakeholders and  
11 others were responded to, and the guideline updated as appropriate. The  
12 GRP also reviewed the guideline and checked that stakeholders' comments  
13 had been addressed.

14

15 Following the consultation period, the GDG finalised the recommendations  
16 and the NCCMH produced the final documents. These were then submitted  
17 to NICE. NICE then formally approved the guideline and issued its guidance  
18 to the NHS in England and Wales.

19

# 1 4 EXPERIENCE OF CARE FOR 2 GENERALISED ANXIETY 3 DISORDER

## 4 4.1 INTRODUCTION

5 This chapter provides an overview of the experience of people with GAD and  
6 other anxiety problems, and their families/ carers. The first section comprises  
7 first-hand personal accounts written by people with GAD and other anxiety  
8 problems and carers, which provide some experiences of having a diagnosis  
9 of GAD, accessing services, having treatment and caring for someone with an  
10 anxiety problem. It should be noted that these accounts are not representative  
11 of the experiences of people with GAD and therefore can only ever be  
12 illustrative. The second section of the chapter includes a review of the  
13 qualitative and quantitative literature, which provides a basis for the  
14 recommendations, which appear in the final section.  
15

## 16 4.2 PERSONAL ACCOUNTS – PEOPLE WITH GAD

### 17 4.2.1 Introduction

18 The writers of the personal accounts from people with GAD were contacted  
19 primarily through the service user and carer representatives on the GDG and  
20 through various agencies that had access to people with GAD and other  
21 anxiety problems. The people who were approached to write the accounts  
22 were asked to consider a number of questions when composing their  
23 narratives. These included:  
24

- 25 • When were you diagnosed with GAD and how old were you?
- 26 • How did you feel about the diagnosis? How has your diagnosis  
27 affected you in terms of stigma and within your community?
- 28 • Do you think that any life experiences led to the onset of the  
29 condition? If so, please describe if you feel able to do so.
- 30 • When did you seek help from the NHS and whom did you  
31 contact? (Please describe this first contact.) What helped or did  
32 not help you gain access to services? If you did not personally  
33 seek help, please explain how you gained access to services.
- 34 • What possible treatments were discussed with you?
- 35 • Do you have any language support needs, including needing  
36 help with reading or speaking English? If so, did this have an

- 1 impact on your receiving or understanding a diagnosis of GAD  
2 or receiving treatment?
- 3 • What treatment(s) did you receive? Please describe both drug  
4 treatment and psychological therapy.
  - 5 • Was the treatment(s) helpful? (Please describe what worked for  
6 you and what didn't work for you.)
  - 7 • How would you describe your relationship with your  
8 practitioner(s)? (GP/community psychiatric nurse/psychiatrist,  
9 etc.)
  - 10 • Did you use any other approaches to help your GAD in addition  
11 to those provided by NHS services, for example private  
12 treatment? If so please describe what was helpful and not  
13 helpful.
  - 14 • Did you attend a support group and was this helpful? Did any  
15 people close to you help and support you?
  - 16 • How has the nature of the condition changed over time?
  - 17 • How do you feel now?
  - 18 • If your condition has improved, do you use any strategies to  
19 help you to stay well? If so, please describe these strategies.
  - 20 • In what ways has GAD affected your everyday life (such as  
21 schooling, employment and making relationships) and the lives  
22 of those close to you?

24 Each author signed a consent form allowing the account to be reproduced in  
25 this guideline. Six personal accounts from people with GAD were received in  
26 total. The majority of individuals who provided an account experienced long-  
27 standing anxiety symptoms and often a delay in obtaining a diagnosis of  
28 GAD (which may have been compounded by co-existing mental health  
29 problems or misrecognition of their anxiety symptoms). However, once  
30 diagnosed most expressed a sense of relief. Most individuals also reported  
31 adverse impacts on many areas of their lives, particularly on relationships,  
32 self-esteem, social interaction, employment and education. Limitations placed  
33 on life choices were also commonly experienced, particularly when choosing  
34 careers and friendships. The individuals detailed a range of helpful  
35 approaches to managing their anxiety, including both NHS and non-NHS  
36 prescribed treatments (psychological and pharmacological) and personal  
37 coping strategies (exercise, managing diet, relaxation, talking to people who  
38 share common experiences and receiving non-judgmental support).  
39 Unhelpful factors included stigma and general unsupportive attitudes from  
40 healthcare professionals, family members, friends or colleagues (for example,  
41 being told to 'pull yourself together'). Individuals were dissatisfied with the  
42 lack of treatment options: antidepressants were frequently offered first  
43 leaving people to seek psychological therapy independently and/or privately.

1 People felt that it was important for them that the right treatment should be  
2 offered at the right time.  
3

#### 4 **4.2.2 Personal account A**

5 I was diagnosed with GAD in 2004 aged 39. My husband and I had recently  
6 moved so that my husband could take up a new job that would significantly  
7 develop his career. I had recently accepted voluntary redundancy from my  
8 job, so it was the right time for us to move. We moved into a small flat whilst  
9 we sold our house in Cheshire. We had no garden and only one car. I had no  
10 job and no friends in the area and as a result of the change and my newfound  
11 isolation I had a bad bout of anxiety which resulted in me seeing my new GP.  
12 My anxiety symptoms included insomnia, excessive worrying about my  
13 health (constantly checking my body for new symptoms and worrying that  
14 minor symptoms were indicative of a more serious illness), panic attacks,  
15 feeling tense and unable to relax, and being easily startled and upset. On an  
16 intellectual level I knew the feelings were not rational and that the reality was  
17 quite different, but I couldn't control the anxious response and it made me  
18 feel powerless and trapped in my anxious feelings. Fortunately for me my  
19 new GP had a special interest in anxiety and depression so he was very  
20 understanding.

21  
22 Despite only receiving a diagnosis in 2004, I have been suffering from  
23 symptoms of anxiety all my life – it just wasn't recognised as such. From the  
24 age of 17 I have also suffered intermittently with panic attacks. It was a huge  
25 relief to get a proper diagnosis. Instead of being labelled unsympathetically  
26 by family and my GPs as a 'highly strung, nervous child', a 'stressed out,  
27 panicky teenager' and a 'jumpy, angst-ridden university student', I could  
28 finally say that I had 'generalised anxiety disorder' and 'panic disorder',  
29 which were medical conditions that could be treated and controlled. For  
30 many years prior to the diagnosis, the main advice I had received from my GP  
31 was to 'learn to relax more' and from my parents to 'snap out of it'. Labelling  
32 a person with a disease or condition sometimes isn't helpful for recovery, but  
33 it helped me by making my anxiety seem real and authentic, rather than a  
34 stupid flight of fancy.

35  
36 In 2004 my GP offered me antidepressants, which I refused, and attendance at  
37 a NHS-run stress-management course, which I accepted. The course was  
38 useful in expanding my repertoire of coping strategies and it helped to  
39 shorten the bout of anxiety that I was experiencing. Prior to the course I used  
40 to manage my anxiety via rest, healthy eating and regular exercise. The course  
41 provided me with additional skills, such as assertiveness training, time  
42 management skills and relaxation exercises. I have since been offered  
43 antidepressants by two other GPs, but I still refuse them. In my experience,  
44 antidepressants are always the first treatment option offered by GPs. For me,  
45 they mask the symptoms and don't help me get to the root cause of the

1 anxiety. I have never been offered counselling by any GP, but I have paid for  
2 counselling myself. When I asked several GPs about counselling they told me  
3 that there was a waiting list and I could be waiting up to 6 months to see  
4 someone. I am currently seeing a counsellor who uses CBT and I am finding it  
5 very helpful, so much so that my anxiety has been reduced to much lower  
6 levels.

7  
8 Both my grandmother and my mother displayed anxiety symptoms as I was  
9 growing up. My grandmother lived with us all her life and she was a very  
10 anxious person. She took Valium for over 25 years and had bouts of deep  
11 anxiety. It is possible therefore that I learned to be anxious, but GAD could  
12 have been inherited. As well as having GAD and panic attacks, I suffer from  
13 anxiety about my health and about illness in general. This has only been a  
14 serious problem in the last 5 years or so but I think it started as a child. Both  
15 my mother and my father had serious illnesses when I was growing up and  
16 neither of them coped particularly well with them. There was always a lot of  
17 anxiety in the air at these times and I think I learned to fear illness of any  
18 kind.

19  
20 Over the years my anxiety symptoms have changed. I get far fewer panic  
21 attacks now, but I still get attacks of unspecific anxiety that come out of the  
22 blue. As mentioned before, I have started to get more anxious about my  
23 health too, which has resulted in me seeing my GP more often because of  
24 concerns that mild symptoms of illness are actually symptoms of something  
25 much more sinister, like cancer. I also worry and fret about the health of my  
26 family and friends and I am terrified of them dying.

27 I try to eat healthily and I exercise regularly, which involves walking for 30  
28 minutes every day and taking more vigorous exercise three times per week.  
29 When I have an attack of anxiety it can be quite crippling; but I try to slow  
30 down the pace, exercise, get as much sleep as possible and increase the  
31 amount of relaxation exercises I do. Unfortunately I comfort eat during really  
32 anxious times, which doesn't help me manage my weight (I am overweight as  
33 a result), but the amount of comfort eating I do has reduced a bit over the  
34 years. I no longer feel guilty about cutting back on social invitations when I  
35 am unwell; to be really busy socially when I am anxious makes me exhausted.

36  
37 Having GAD has changed my life in many ways. I cannot burn the candle at  
38 both ends. I have to limit alcohol and travel, both of which aggravate my  
39 anxiety. I get fatigued easily and must get enough sleep. My husband is very  
40 supportive and understanding, although the anxiety has put a strain on our  
41 marriage. I can be very clingy, needy and antisocial when I am in a bad bout  
42 and we can argue quite a bit at these times. The arguing fuels the anxiety so it  
43 is a vicious cycle. My parents do not accept that I am ill; they think I am  
44 highly strung and self-indulgent and that I should pull myself together, so  
45 they do not support me much. On a positive note, having GAD and panic  
46 attacks has made me take care of myself and I have learned to nurture myself

1 a bit more. In some ways the anxiety pushed me to achieve standards of  
2 excellence in school and college and in my career by pushing me to work  
3 harder and be smarter.

4  
5 I now regard anxiety like an old friend who has been with me for over 40  
6 years. My anxiety is part of me and I have learned through counselling to  
7 work with the anxiety, not to ignore it. In that way I get better more quickly.

### 9 **4.2.3 Personal account B**

10 I was diagnosed with generalised anxiety disorder in November 2008 when I  
11 was 22, although I believe I suffered from it for around 3 years prior to being  
12 officially diagnosed.

13  
14 It's difficult to pinpoint precisely when it began, although I have a vague idea.  
15 After spending a gap year working between 2004 and 2005, I moved to  
16 London to pursue a degree. It was a huge change – from earning a wage, I  
17 was now relying on my parents and by going to what is considered a  
18 prestigious university, I felt that I needed to justify my place there. Coming  
19 from a comprehensive school and a working-class family, it was as if I had to  
20 prove I was somehow better than students from more privileged  
21 backgrounds.

22  
23 While in London, my mental state began to deteriorate quickly; I spent large  
24 periods not interacting with people because I was tied to my work and  
25 naturally suspicious, and every element of my day was dictated by the feeling  
26 that university work came first before anything else. This meant that while I  
27 was doing something enjoyable, whether in a pub, watching television or  
28 listening to music, I would be in a constant anxious state.

29  
30 Over the course of my year in London my anxiety worsened to the point that  
31 during exams I broke down entirely. I passed my exams and did attempt to  
32 return to London, but because of my anxiety and concerns around finances, I  
33 decided not to. This led to the breakdown of my relationship with my then  
34 girlfriend who was moving to London to pursue a postgraduate course. This  
35 only exacerbated my anxiety further and led to a prolonged period of being  
36 single, as I was afraid to approach women and believed that my anxiety  
37 prevented me from entering relationships.

38  
39 Months later I started a fresh degree course at another university and now I  
40 felt I had to prove my change of course was the right decision. This meant  
41 work could take a lot longer compared with other students and resulted in me  
42 being given a week's extension to use if necessary.

43  
44 My anxiety began to affect my social life more widely; because I was  
45 suspicious of people I had met in London, I now found social interaction with

1 new people difficult and frustrating. This meant I spent large parts of my  
2 university life alone and relied on the friendship base that I've had for several  
3 years through secondary school and sixth form college.

4  
5 As I entered my final year of university, I had had enough. The anxiety was  
6 preventing me from pursuing personal writing projects and fulfilling my  
7 ambition to be a journalist. I had previously visited my GP practice on two  
8 occasions and got nonchalant responses; firstly I was given self-help sheets  
9 and another time was ignored altogether: the disorder was not diagnosed.

10  
11 It was not until I visited my GP for a third time in October 2008 and explicitly  
12 told the practice I did not want to see those previous two GPs that things  
13 began to improve. I was seen by a trainee GP who was well aware of the  
14 services offered and was empathetic about my condition and fully  
15 understanding. Importantly, she finally diagnosed my GAD.

16  
17 While suffering from anxiety I was also diagnosed with depression. I vowed  
18 to never take antidepressants as I did not want my parents to find them and  
19 consequently find out about my GAD, and I was uncertain about the possible  
20 side effects. Yet eventually through discussion with my new GP I decided it  
21 was time to pursue the option and was prescribed citalopram.

22  
23 I found the antidepressants the most difficult out of all therapies to keep up  
24 with; the initial side effects left me feeling highly nauseous and shaky, and  
25 almost left me housebound for a small period.

26  
27 I began talking about my GAD and depression to a tutor of mine, who  
28 explained his problems with depression. I realised two things: firstly, there  
29 was no need to feel there was a stigma attached to anxiety and depression;  
30 and secondly, it made me determined to keep up with the medication and  
31 find a long-term solution.

32  
33 From there I made every effort to combine medication with additional longer-  
34 term therapies. Fortunately I gained access to my university's counselling  
35 service and was also offered CCBT through my GP and local PCT within a  
36 few weeks of beginning antidepressants. I was pleasantly surprised by this,  
37 yet somewhat guilty; patients on the NHS occasionally have to wait months  
38 to access either service, while I managed to access both quickly.

39  
40 Since the beginning of this year, I have noticed a real improvement in my  
41 condition. The CCBT allowed me to recognise and control thinking errors,  
42 meaning I can distinguish between my own thoughts and ones that are  
43 triggered by the anxiety. The counselling also let me speak to someone  
44 confidentially and to work out an organised plan of action since my GAD  
45 meant I had trouble planning and organising.

46



1 I also began talking to my family about my problems with anxiety and  
2 depression, which was particularly difficult at first. They were concerned  
3 about why I hadn't raised this sooner and why I was not able to confide in  
4 them. I explained that I felt this was something I had to deal with on my own  
5 because of stigma and because I wanted to gain independence on my own  
6 instead of relying on the help of others. In the end my family understood my  
7 point of view, yet I also felt rather stupid: family are there to help you in  
8 whichever way they can and whatever situation you are in. I now feel I can be  
9 more open with my family and get support when I need it most.

10  
11 I now feel more comfortable in social circumstances, can balance work and  
12 my social life better and feel much more confident in pursuing my writing  
13 and journalistic ambitions. I am now off antidepressants and, thanks to  
14 therapy, I can manage independently and confidently.

15  
16 Importantly, I feel gaining treatment at the beginning of my final year of  
17 university helped me secure a first-class honours degree and employment. I  
18 am also in a relationship and have been for almost 6 months. There is the odd  
19 period of anxiety and depression, but these are far less common and less  
20 debilitating than previously. I feel so much better.

#### 22 **4.2.4 Personal account C**

23 About 18 years ago I began experiencing panic attacks which initially  
24 occurred occasionally but over time became more frequent and worrying.  
25 These attacks followed several close family bereavements. Initially I was  
26 prescribed antidepressants which I took for a few weeks – I was reluctant to  
27 take medication and instead learned more about panic attacks and how to  
28 manage them from self-help books. Several years later I returned to my GP on  
29 two or three occasions because I was experiencing acute and debilitating  
30 anxiety around revision and exam times while doing a part-time psychology  
31 degree. Despite doing very well in exams my confidence did not grow and  
32 instead I became more anxious. My doctor was dismissive and offered me no  
33 advice other than to say it was normal to feel anxious at these times.

34  
35 About 5 years ago I felt under a lot of pressure with work, family and my  
36 final exams. At this time my anxiety became more chronic; I experienced it  
37 quite severely and almost constantly. I felt I could not cope and had to take  
38 time off work and defer my final exams. I returned to my doctor (a different  
39 doctor than previously), who recommended I take antidepressants. I  
40 explained I would like to avoid this as I thought therapy would be more  
41 helpful to me. It was a battle to convince him to refer me to the practice's  
42 person-centred counsellor. At this time my GP and counsellor believed that  
43 my difficulties were due to depression. I found this very frustrating because  
44 my overriding experience was of daily, debilitating anxiety and chronic  
45 worry.

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I was allowed about six sessions of counselling after which I continued seeing my counsellor on a private basis. Although in some respects the counselling was helpful in terms of support and having someone to discuss my concerns with, it did not provide me with any strategies with which to manage my anxiety. Over several months and while receiving weekly counselling sessions my anxiety worsened and I had to take further time off work. I believe my anxiety worsened because I felt unable to control my anxiety and I felt less able to cope. This time I agreed to take an antidepressant (Seroxat). This did help to a degree and I was able to return to work and my studies. At the same time I continued to see my counsellor privately. However, while taking Seroxat, I never felt quite myself and I felt the range of emotions available to me had become limited. After about 12 months I decided to come off the antidepressants and I gradually reduced them over 7 or 8 months under the supervision of my counsellor. A few months later I had a relapse, which led to me taking sick leave. At this time I began taking St John's wort and although I took it for a year or so, I could not say with any certainty if it helped or not.

As I was unable to give an indication of when I might return to work and my employer felt unable to continue running his business without a manager for an indefinite period of time, my contract was terminated on health grounds. Around this time I stopped seeing my counsellor as I felt the therapy was not helping. On a number of occasions I raised the possibility of having CBT but for reasons I did not fully understand this was not offered. I then contacted Mind who assessed me but because of limited resources and because I had just had a course of therapy they were unable to offer me further therapy. They did offer me a relaxation course, which I attended and found very helpful- I still practise this daily. I was also able to do an assertiveness and self-esteem course, which helped me enormously as it enabled me to see that I was not assertive in some of my relationships. It also gave me skills for managing aggressive and passive-aggressive people, which I found especially helpful.

At this time I also started going to the gym on a regular basis; again this was very helpful and I continue to exercise regularly in order to maintain my mental well-being. I also started voluntary work in a school and this led to me being offered a job, which I agreed to take on a part-time basis. Although I explained to the head of the school I wanted to do this work on a part-time basis because I was still struggling with my anxiety it soon became clear the job required a full-time administrator. With a reduction in staff my workload increased and after a few months I felt unable to cope and my anxiety worsened. I discussed this with the head but to no avail and again I had to take time off. My contract was not renewed.

Around this time I contacted my doctor again and asked if I could be referred to a cognitive behavioural therapist; he gave me the telephone number for the

1 community mental health team and asked me to phone them myself. After  
2 waiting several weeks I was assessed and told I would be contacted when my  
3 case had been before a panel who would decide if I was suitable to access  
4 their services. Several weeks later I was told my condition was not severe  
5 enough, but if I deteriorated further I should contact them again. It was also  
6 suggested that I contact Anxiety UK. I was quite devastated by this response;  
7 I felt there was no help available to me on the NHS and I was now  
8 unemployed and on benefits and was not in a position to pay for further  
9 therapy.

10  
11 I contacted Anxiety UK and they arranged for me to see a cognitive  
12 behavioural therapist and although I had to pay for this I was only asked to  
13 pay a small amount because I was on benefits. One of the advantages of  
14 seeing a therapist through Anxiety UK was there were no limits on the  
15 number of sessions I could have – I felt at the time that this took a lot of  
16 pressure off me because a time limit was not being placed on how quickly I  
17 should get better. By this time my self-belief was rock bottom and I probably  
18 had around 40 sessions of CBT.

19  
20 My recovery was somewhat up and down but on the whole CBT helped me a  
21 great deal – I began to feel I was able to manage my anxiety. Also for the first  
22 time in 3 years I began to feel more hopeful for the future. I also attended a  
23 self-help group (provided by Self Help Services, Anxiety UK's sister  
24 organisation), which I found very useful. It was a relief to meet other people  
25 who understood how I felt. It was also great seeing other people who were  
26 further along the path of recovery – I met some very inspiring people. While  
27 attending the self-help group I learned about the possibility of training to  
28 become a volunteer helpline worker with Anxiety UK. With a great deal of  
29 encouragement from some members of the group who were already doing  
30 this I decided to apply. Following my training I began to work as a volunteer  
31 even though my anxiety was still a major problem. At Anxiety UK there is a  
32 strong belief that you can still make a contribution in terms of  
33 work/volunteering while learning to overcome your own anxiety and this  
34 was indeed the case for me.

35  
36 It was while I was working at Anxiety UK that it became apparent that I was  
37 suffering from GAD with depression – it was a relief to know this because it  
38 helped me to understand what I was dealing with and what I needed to do to  
39 get better.

40  
41 As my confidence grew and my anxiety became more manageable I started  
42 volunteering for Self Help Services as a CCBT support worker. I did this for  
43 several months and then I was offered the opportunity to co-ordinate a CCBT  
44 service, which I have done for almost 2 years on a part-time basis alongside  
45 my volunteer helpline work. My volunteering work has been very  
46 rewarding – it also provided me with the opportunity to work in a positive

1 and supportive environment where there is no stigma attached to having a  
2 mental health problem.

3  
4 In early 2008 I started taking steps to return to full-time work and went to an  
5 organisation that helps people on incapacity benefit return to work. Looking  
6 back I realise that I was probably not ready but I felt under some pressure to  
7 try (my incapacity benefit review was due in a several months). This led to a  
8 worsening of my anxiety and I started to fear another relapse. I returned to  
9 my doctors who referred me to the primary care mental health team. After a  
10 few weeks I was contacted and an assessment was carried out over the phone.  
11 I was offered CCBT, which I felt was inappropriate given my history and the  
12 duration of my GAD (4 years), or person-centred counselling- no other  
13 options were offered. Although I reluctantly decided to have counselling I did  
14 find it beneficial because the therapist was able to help me increase my self-  
15 belief - a problem that had become almost as troublesome as the GAD. Over  
16 time my anxiety/self-belief improved and this was further helped by the  
17 realisation, following two major life events, that I am able to cope with such  
18 events.

19  
20 I also found doing a few courses (maths and IT) helped increase my  
21 confidence and by doing these alongside my other commitments enabled me  
22 to believe that I could cope with returning to full-time work, which I will be  
23 doing shortly.

24

#### 25 **4.2.5 Personal account D**

26 I was diagnosed with GAD around 2000 when I was 15. I was already having  
27 CBT after being referred by my doctor for depression. My therapist  
28 recognised that my anxiety did not attach itself to one particular thing or  
29 event, but was generalised. She informed my doctor, who agreed and was  
30 very supportive. I was quite mature for my age, so was mostly just relieved to  
31 have a name for the fact that I am on edge all the time. I thought there must  
32 be something much worse wrong with me. I found that GAD meant I was  
33 never relaxed and found it very hard to enjoy social situations, school work  
34 and any type of relationship with friends and family. I still did all these things  
35 but with a constant feeling of anxiety and stress. I was always determined to  
36 do everything in spite of my anxiety, so I don't feel it affected me that much -  
37 I just didn't enjoy things the way others did.

38

39 I feel that my GAD may have been brought on by my Mum having a very  
40 stressful pregnancy and the fact that until I was 8 I lived with a very  
41 unpredictable and mentally ill father, who changed from minute to minute.  
42 Maybe I never learnt to relax properly. I did not ever feel secure and relaxed  
43 and that has translated to my adult life.

44

1 I first went to my doctor for help when I was about 14 and was diagnosed  
2 with severe depression. Obviously at that age my mum was involved in  
3 asking me to go to the doctor but I remember that I did go by myself and I  
4 recognised I wasn't well. The doctor discussed therapy (eventually I  
5 contacted a private CBT therapist due to long NHS waiting lists) and I was  
6 prescribed venlafaxine (I was not offered any other treatments). I found both  
7 very helpful and still use CBT regularly today for both depression and  
8 anxiety, although my main problem is with anxiety. My doctor was very  
9 helpful and supportive, but I did have a bad experience when I had to get my  
10 prescription from another doctor who was very unsupportive and indicated  
11 that I was just lazy and could easily get over my problems by myself. The  
12 problem really is that stigma is so ingrained, it needs to change for health  
13 professionals first before the public will have more understanding.

14  
15 Since then, I have constantly been on medication. I went onto Prozac and then  
16 onto citalopram, which I am still taking. I am also currently having private  
17 counselling to sort out issues from my childhood and my relationship with  
18 my father. CBT remains the most helpful thing I have ever done and I always  
19 recommend it to anyone who may need it. I have also been supported by  
20 friends and family, although I am careful who I talk to about my feelings and  
21 diagnosis as I know how people may react due to the stigma of mental health  
22 issues! No one at my work knows anything about it. I would really love to be  
23 able to talk about it more freely, but am really worried about being judged.

24  
25 I have got better over time. I think I function really well – I have a good job,  
26 social life, act in my spare time and I don't think anyone would guess that I  
27 have an anxiety disorder. I'm not sure how well I would function without  
28 medication but I am much more accepting of who I am and how I am. I have  
29 also seen a nutritionist and have found changes in diet very beneficial for  
30 anxiety. I am still on edge most of the time, and don't really ever relax  
31 properly, but I feel better about it now and enjoy my life. It makes me really  
32 enjoy things when I can and appreciate things more. I stay well using CBT  
33 techniques day to day, taking citalopram and doing exercise (swimming helps  
34 me a lot, as does dancing). I have found 'usual' relaxation techniques difficult,  
35 as it is hard for me to relax and be still, but I do try to meditate sometimes.

36  
37 I feel that GAD affects my every day life in that I have to be aware of what my  
38 limitations are and how to deal with them. I have to watch myself to check I  
39 am not becoming too stressed – but I think everyone could do with being a bit  
40 more self-aware and I don't feel like this is an issue for me. I do not let it affect  
41 my work, but it has led me to choose a less stressful work environment that I  
42 know I can handle and enjoy. I find that it does not affect personal  
43 relationships too much, as I know myself and how to control it, and only tell  
44 people about it if I trust them and know they will be understanding. I would  
45 say that the experience of GAD has made me more empathetic and self-aware,

1 and while I find the condition hard sometimes, I would not want to lose these  
2 traits.  
3

#### 4 **4.2.6 Personal account E**

5 As far as I was aware, my childhood was a happy one. I was a confident little  
6 girl, quite bright and sociable at primary school and went to ballet, Sunday  
7 school and Brownies where I was keen to do my best. Secondary school was  
8 also not a problem for me. Having passed my 11 plus, I went to a small  
9 selective school where I was often top of the class. I worked hard, had a  
10 Saturday job which I stuck at despite hating it for a while, and eventually got  
11 to university and teaching training college, both of which I loved. I then  
12 began a career in teaching.

13  
14 It was in 1990 at the age of 25 that I began to suffer with anxiety. I thought I  
15 felt sick and took a day off work. I became very distressed and asked my  
16 mother to travel 50 miles by train to be with me. I had never done this before.  
17 She came and found me weepy and overly worried and scared of being sick. I  
18 had always had 'a thing' about being sick and had not vomited since the age  
19 of about 12, however, this terror was something new. We went to the doctors  
20 and explained my difficulties and the doctor gave me medication (Buspar). I  
21 am not really sure that the medication helped. There was certainly no  
22 immediate effect - as I now know would be expected with medication of this  
23 type. He recorded 'anxiety state' on my sick note which I was hurt by as I felt  
24 this was his way of saying I was not ill, just worrying and making an  
25 unnecessary fuss. There was little explanation or reassurance. He told me to  
26 walk round the streets drinking from cans and to go and sit in A&E to see  
27 people with real problems!

28  
29 I went back to work after a while as I have high standards and it is highly  
30 unusual for me to be off sick, but I had lost my confidence. At the age of 29 I  
31 had a serious relapse, which led to me being off work for about 8 months.  
32 This time I had a different GP. He was one of the least helpful professionals  
33 that I have dealt with in my life. He prescribed drugs and referred me to a  
34 psychiatrist, who referred me to a day hospital which I attended for several  
35 months. This was all to his credit. However, he seemed to have no idea how  
36 to talk to anxious people, scolding me for not recovering sooner, and  
37 explaining that his budget was finite and he had targets to meet. He told me  
38 lies and caused me to feel angry - which is not how I am. (I made a formal  
39 complaint about him.) I also met with a clinical psychologist for several years.  
40 We talked through whatever I wanted to talk through, with his role seeming  
41 to be to challenge my thinking and perspective on things. I felt that he  
42 understood and that he knew I was trying to dig myself out of the hole I was  
43 in. I knew he was an expert in the field of post-traumatic stress and trusted  
44 his judgements. It was not easy to share the 'inner me' with him - but I never  
45 missed an appointment. I feel that this therapy did help.

1  
2 I didn't know why I had to go to the day hospital but did, religiously, never  
3 missing an appointment. I was allocated a key worker and attended group  
4 and individual sessions. I was terrified at times and would shake from head  
5 to foot. I met people from all walks of life – people who self-harmed and were  
6 suicidal, and violent people – but I got to know them all and we tried to  
7 support each other, respecting each other's problems. We did relaxation  
8 exercises, groups where we talked about our worries, 'lessons' about fight  
9 and flight, and so on. I also had to attend gym and art classes. In individual  
10 lessons, we did some behavioural work, such as trying to fight the fear I felt  
11 regarding vomit. I had to hold a sick bucket, clear up imitation sick and watch  
12 a video of actors pretending to vomit. The practical help was good, although I  
13 felt pathetic that I was being asked to nurse a bucket and would despair about  
14 what my next challenge might be. I was embarrassed when receiving praise  
15 for 'managing' the tasks that I felt 'normal' people would do easily. It was not  
16 easy but it did give me more self-belief and confidence that, in the real world,  
17 I might cope and not cry like a baby if faced with a vomit situation! I felt I  
18 needed more of this type of support, but my time at the hospital was  
19 terminated.

20  
21 Two things were not great about the experience at the day hospital. I was  
22 given a student as a key worker for a while and I did not feel confident that  
23 she knew what she was doing. Then, when her placement was over, I had to  
24 establish a relationship with a new key worker. We worked well together  
25 until she left. Amazingly, the powers that be decided I had recovered enough  
26 to leave the day hospital at the same time my key worker left. I am not sure  
27 that that decision was based on medical diagnosis – more convenience, I  
28 believe. Anyhow, I coped!

29  
30 I think that being brave enough to confide and trust in others and  
31 understanding the feelings of panic and dread were key to being able to  
32 control the wish to run away. The medication was changed by the hospital  
33 psychiatrist to imipramine (150 mg), which I think also helped. Talking to  
34 people who were not judgemental was great, as was having my thoughts  
35 challenged by professionals in a kindly manner. I don't think the art and gym  
36 helped, nor the relaxation! My mother and father took it in turns to live with  
37 me for several months as I was terrified of being alone. My mother rang the  
38 Phobics Society who offered support – it was great to realise there were many  
39 more like me and that it was not the end of the world.

40  
41 I do feel that life experiences have contributed to my condition. I knew  
42 nothing of my father's mental illness until a dreadful day when I was 16 and  
43 learned that he had held a carving knife to my mother's throat. He had  
44 apparently been ill for many years with bipolar affective disorder but the  
45 truth had been masked from me. His mood swings, temper and strange  
46 behaviours had all been hidden or disguised so that I would not be hurt by

1 them – but I guess the stresses in the house were there. I am an only child and  
2 had no one to talk to. Indeed, talking is not something that is done well in the  
3 family. You just get on and work hard and take your mind off any problems,  
4 which is perhaps not always the best option. I think being the only child also  
5 put a lot of pressure on me to do well. I am now a perfectionist in all that I do,  
6 and if I am not confident in something, I do not do it. I work, work, work, and  
7 give little time to myself. I have no hobbies. I like to be in control.

8

9 It is embarrassing and makes me angry with myself when worrying prevents  
10 me from joining in with what most people would call ‘a treat’ or ‘an  
11 adventure’, but I imagine too many problems that may arise. I can worry for  
12 England and build my life in such a way as to avoid as much anxiety as  
13 possible (apart from going to work which is a very stressful environment).  
14 There is a famous children’s poem called ‘Whatif’, and that’s how I think! I  
15 know that I am missing out on so much but cannot muster the courage to do  
16 many things such as travel on trains or buses, go abroad, learn new skills,  
17 socialise with new acquaintances, or look for promotion. I had a phase when I  
18 could not eat in front of others so never ate out. I have phases where I cannot  
19 drink in the company of others. I could not travel and still dislike travelling in  
20 strange cars. I will not go on public transport for more than about 3 miles. I  
21 worry about decisions so take a long time to make them. I worried that a child  
22 of mine might turn out like me, so have chosen to not have children.

23

24 I have very low self-esteem, despite being quite successful and highly  
25 respected in my career. Indeed, my employer sees improving my confidence  
26 as being a target for me and cannot understand why everyone else’s  
27 perception of me as being highly skilled and competent fails to give me the  
28 reassurance that I need. Confidence never used to be an issue. I believe that  
29 the GAD and putting limitations on my life has made me feel worthless and  
30 useless at times. As my friends have moved forwards and ‘grown’, I have  
31 become stationary and shrunken.

32

33 My friends know what I am like. Whenever there is a social occasion, I  
34 apologise profusely and rarely attend if alcohol and potential over indulging  
35 may occur. I feel ridiculous about this and spend the day of the occasion  
36 wishing I dare go, but this is not enough. I somehow feel not good enough to  
37 go and that I’ll spoil the occasion because people will have to look after me. I  
38 also have a thing of not looking ‘right’ – not wearing the ‘in’ clothes, having  
39 the right hair style, make-up and so on.

40

41 I now live with my partner of 13 years. He does not understand my phobia  
42 but lives with the limitations it puts on my life. Indeed, we do not discuss my  
43 ‘condition’ as previous discussions were not helpful. Following 12 years of  
44 being supported by medication, I have been off it for a year and a half. I am  
45 working full time as a teacher where the ‘threat’ of a child vomiting is with  
46 me each day. However, I do not panic as much as I used to when a child says



1 they feel ill and my colleagues know that I may need their support should the  
2 event occur. I keep rubber gloves nearby and also carry an opaque carrier bag  
3 with me at all times just in case I am ill.

4  
5 I think that society in general does not understand mental issues and often  
6 sees them as a way for people to shirk away from their responsibilities.  
7 Television and the media are not helpful as most of their coverage of mental  
8 illness is about where 'Care in the Community' has gone seriously wrong,  
9 rather than trying to explain and educate the community it serves.

10

#### 11 **4.2.7 Personal account F**

12 I began suffering with GAD 5 years ago. I am now 52. At the time I had  
13 dreadful problems with my periods, which were very heavy and frequent. I  
14 then began to have bladder problems. Hospital tests revealed that my bladder  
15 wall was prone to bleeding owing to a deep infection. I was told by my  
16 consultant that most sufferers needed group support as the constant pain and  
17 discomfort was very wearing. The support group for the bladder infection  
18 was 10 miles away, and in my current state I couldn't face the journey or the  
19 socialising. I could not cope at all, so I was visiting my GP two or three times  
20 a week, desperate for help, however I was given no such help. I was already  
21 suffering from depression, which was diagnosed about 10 years ago.

22

23 I was on escitalopram, but it really didn't help the depression or the GAD. My  
24 doctor believed I was OK. He said, 'When the weather improves, so will you!'  
25 But the feeling of pure panic was overwhelming. My family was at a loss  
26 what to do. My mother lives just down the street from us and I would visit  
27 her every day. When I became ill I would walk down to see her, but I couldn't  
28 settle there. I would go home and go around all the rooms, and feel so afraid  
29 and low that I would just go to bed. This became a pattern. The only thing I  
30 wanted to do was turn myself off.

31

32 After much pleading for help, my doctor gave me a low dose of diazepam,  
33 but only for 1 week. Even that didn't do anything, and my doctor wouldn't  
34 give me any more. I did a lot of crying and pleading, and as I was desperate at  
35 the time I couldn't understand why he wouldn't prescribe me any more  
36 diazepam. But now I understand – I think he was worried I might get  
37 addicted to them.

38

39 I visited the doctor again in a suicidal state. He sent the mental health team  
40 and they gave me an action plan which consisted of things we 'could do'  
41 including CBT. I had no faith in it, but I would consider anything. I had an  
42 appointment for CBT, but when I went I was told that No Panic was doing  
43 everything the CBT would achieve anyway, that is, telephone counselling. On  
44 the back of my action plan were various phone numbers, including for the  
45 Samaritans, Mind, SANE and No Panic. I rang them all again and again.

1 Although very sympathetic, the Samaritans, Mind and SANE left me feeling  
2 no better nor worse than if I hadn't rung them. No Panic was the only  
3 organisation that really helped. By this time I could hardly leave the house,  
4 and could only spend a limited time out of bed; it was my only escape. I was  
5 later told that I had been failed by the mental health system. I agree. The  
6 thought of travelling backwards and forwards for CBT only added to the  
7 anxiety.

8  
9 I also rang NHS Direct and asked how I could be committed. The reply was  
10 harsh and unkind. I knew that the person I spoke to didn't know how I felt,  
11 but it just made things worse.

12  
13 I visited A&E numerous times. During one visit a mental health nurse was on  
14 duty and he said that my antidepressants were not strong enough and to visit  
15 my GP again and discuss it. My doctor wouldn't hear of it. 'I am your doctor'  
16 he said. 'I decide. Not a nurse. I will only listen to another doctor.' That was  
17 that. He then said, 'I don't know what to do for you now!' I was in a terrible  
18 state. I got so bad I took an overdose of venlafaxine, which I had been  
19 prescribed years before. Although it made me sick, I woke up as early the  
20 next morning as I always do, about 3 o'clock.

21  
22 It was after this that I asked for one-to-one mentoring over the phone from No  
23 Panic. It helped. They were understanding and kind and I didn't feel stupid!

24  
25 I wanted to know what I was suffering with, so I looked on the internet. GAD  
26 was the first explanation for exactly how I felt. Not wanting to self diagnose, I  
27 visited my GP and asked him if I had GAD. 'Yes, I think you do', he replied. I  
28 asked him about seeing a psychiatrist, but this never materialised. The mental  
29 health team told me about beta blockers and another doctor I saw had no  
30 problem prescribing them. I think they help, although she now says she wants  
31 to take me off them in the next few months. I am so afraid. All in all I am still  
32 struggling.

## 34 **4.3 PERSONAL ACCOUNTS – CARERS**

### 35 **4.3.1 Introduction**

36 The methods used for obtaining the carers' accounts were the same as  
37 outlined in section 4.2.1, but the questions included:

- 38  
39
- 40 • How long have you been a carer of someone with GAD?
  - 41 • How involved are/were you in the treatment plans of the person with GAD?
  - 42 • Were you offered support by the person's practitioners?
  - 43 • Do you yourself have any mental health problems? If so, were you
  - 44 offered an assessment and treatment by a healthcare professional?

- 1 • How would you describe your relationship with the person's
- 2 practitioner(s)? (GP/community psychiatric nurse/psychiatrist, etc.)
- 3 • Did you attend a support group and was this helpful? Did any people
- 4 close to you help and support you in your role as a carer?
- 5 • In what ways has being a carer affected your everyday life (such as
- 6 schooling, employment and making relationships) and the lives of
- 7 those close to you?

8  
9 Two personal accounts from carers of people with anxiety were received,  
10 which offer very different perspectives of being a carer.

### 11 12 **4.3.2 Carer account A**

13 My grandparents live near us and have been very involved in my growing up  
14 and helped my mother a lot. However, 2 years ago, my competent and  
15 energetic grandmother suddenly changed. She became anxious, was scared to  
16 go out without my grandfather, and occasionally panicked that she was close  
17 to death. This change occurred following an incident when a friend from  
18 church, who had only been slightly ill, called one day for help and within a  
19 few hours had died. After this my grandmother's health declined. She  
20 complained of feeling cold all the time, and became anxious about her heart.  
21 She was in her late 70s, but her health had not been giving cause for concern.  
22 She looked after herself well, ate sensibly, and had regular check-ups. Now  
23 she was anxious all the time and sometimes, especially at night, thought she  
24 was going to die (we now know she was experiencing panic attacks). On one  
25 occasion she believed that her heart was failing, and asked my grandfather to  
26 ring 999. The hospital carried out all the usual tests for suspected heart  
27 problems and kept her in overnight. This happened more than once until the  
28 only place she felt safe was the hospital – a place she had always wanted to  
29 avoid up till now!

30  
31 At the time we thought we would lose her. Nobody realised that the problem  
32 was psychological rather than physical. At her age, it was necessary to put her  
33 through quite arduous tests before the healthcare professionals could be sure  
34 that she was suffering with anxiety. I think the fact that my grandmother had  
35 private health insurance compounded this difficulty, as many tests were  
36 made available to her, and she could choose between two healthcare systems.  
37 One doctor at the local A&E, where she was always treated with great  
38 kindness, finally made it clear that tests revealed no major heart or other  
39 problems and she was experiencing anxiety.

40  
41 However it was hard for my grandmother to accept this diagnosis because  
42 she felt so physically unwell and was not of a generation likely to admit to  
43 mental health problems. More tests were offered by the private sector, and I  
44 question the validity of this, as the extensive tests were an ordeal that both  
45 weakened my grandmother and prolonged the period before she was ready

1 to accept the anxiety diagnosis. I imagine this may often be a difficulty with  
2 older patients, as it is necessary to establish that their symptoms do not have a  
3 physical basis, but medical staff need to be alert to the possibility that there  
4 may be a psychological component to their presentation, and be able to put  
5 this possibility to the patient without pushing them into denial. The net effect  
6 otherwise is to delay the introduction of treatments for the anxiety while  
7 testing for non-existent physical problems.

8  
9 My mother and I were quicker to accept the suggestion that anxiety might be  
10 at the root of the problem. I thought that the sudden death of her friend,  
11 which had been so traumatic for my grandmother, might have stirred up  
12 earlier experiences of her childhood growing up during the second world  
13 war, and also of the premature death of a loved younger brother in the late  
14 1980s. I asked a friend, who works on a telephone helpline and has personally  
15 suffered with anxiety, if she could help. While not pushing my grandmother  
16 too much, she was able to secure her agreement to send her information about  
17 some simple techniques to help manage the anxious feelings. I used this as a  
18 cue to buy a book that explained anxiety and outlined cognitive behavioural  
19 therapy as a Christmas present. Being provided with written information and  
20 guidance and finding that it did indeed apply to her – but not feeling  
21 railroaded into deeper interpretations that failed to acknowledge her physical  
22 symptoms – was the most helpful thing at this time. It also opened the door to  
23 an exploration of alternative approaches.

24  
25 My grandmother saw a homeopath for a while, and was given helpful advice  
26 about her sleep patterns. She also saw a person-centred counsellor privately  
27 for a short time, which helped her gain insight into the meaning of what had  
28 happened and realise that she could not always be the strong person that she  
29 had tried to be up till now. She was prescribed antidepressants and other  
30 medications by her GP, but has a tendency to give up taking medicines, as she  
31 is quite slight and they often seem to have a disproportionate effect. At first,  
32 she was quite unwilling to persevere with medication and would describe  
33 having a distressing reaction in the first few days. However at one point an  
34 opportune combination of painkillers for her back pain, a cough suppressant  
35 for sinus problems and antidepressants for the anxiety finally resolved long-  
36 standing insomnia problems dating back to her brother's death. The  
37 restoration of her ability to sleep through the night was a significant factor in  
38 aiding her recovery. She continues now to take a low dose of citalopram and  
39 finds it helpful.

40  
41 My grandmother is not wholly over her anxiety, but is learning to adjust her  
42 life and goals, and live with the condition. She still doesn't go out without my  
43 grandfather, and doesn't like to travel too far. But she sleeps and eats quite  
44 well, and is able to let others look after her more after years of being the  
45 strong one. For all the close family, including myself, it has been a relief to  
46 know that her life is not threatened and her condition is manageable.

1 However we have had to adjust to a significant change in her and therefore in  
2 the family system as a whole. It is hard when someone goes from being very  
3 competent to suddenly lacking in confidence and needing a lot of support.  
4 She used to travel the world and now just getting on a bus feels difficult. She  
5 has become very reliant on my grandfather, whose own health is not good, so  
6 my mother and I do everything we can to support them both emotionally and  
7 practically. We are aware that they need more help, even though it's hard to  
8 ask for it, and offer what we can while trying not to give offence. I think we  
9 have also seen a different, more vulnerable, side of my grandmother – part of  
10 her we didn't get to know before because of her confident and strong  
11 approach to life. I am glad to be able to offer her some support now in the  
12 way that she has always tried to support me. I am also grateful to the NHS for  
13 the help they have given her, and the perseverance of medical staff in  
14 establishing a diagnosis and seeking effective treatment.

15  
16 Finally, I think it is helpful if professionals can find ways of talking about  
17 psychological distress that patients are able to accept. It was hard for my  
18 grandmother to come to terms with something like this happening to her, and  
19 subsequently to tell family and friends that she had been diagnosed with  
20 anxiety rather than a physical health problem. There is still a stigma about  
21 mental health, especially for the older generation. However the stresses of  
22 older age – coping with worsening health and seeing people you care about  
23 die – are very likely to bring about a resurgence of anxiety that people may  
24 have experienced earlier in their lives, but had been able to control with the  
25 greater resilience of youth.

26

### 27 **4.3.3 Carer account B**

28 My son is almost 21 years old and has recently been diagnosed with general  
29 anxiety disorder. He has had problems with anxiety and panic attacks from  
30 around the age of 16 following a summer when he and some friends were  
31 smoking cannabis on a regular basis for about 2 weeks. He had previously  
32 been quite an anxious child and labelled 'hyper' at school. There had been a  
33 question as to whether he was dyspraxic or just a 'clumsy child' but it was  
34 never investigated. Otherwise he was fit and well, having had no physical  
35 problems other than recurrent tonsillitis as a toddler and a tonsillectomy aged  
36 6.

37

38 The symptoms of anxiety led his father (my ex-husband who had trained as a  
39 registered mental health nurse years before) to arrange CBT with a former  
40 colleague. Our son had CBT as a private arrangement (our GP and the NHS  
41 were not involved) over a 3 month period which eventually helped.

42

43 At the age of 17 following the death of a college friend and being mugged he  
44 became anxious again but coped to a certain extent until he was 18 and finally  
45 after much persuasion he went to our GP who gave him 'self-help' leaflets.

1 His anxiety at the time was not debilitating enough to affect his usual life  
2 style.

3

4 In the past 5 months my son's GAD has become acute and my caring role has  
5 increased. He has been unable to work, eat or carry out 'normal activities' (for  
6 example, travel on public transport) without me being present. His father  
7 suggested that our son should see his colleague again for CBT, which he  
8 agreed to until the NHS appointment materialised.

9

10 I have visited my son's GP with him on many occasions regarding his anxiety.  
11 The second GP referred him for CBT in November 2009 and he was offered a  
12 first appointment in January 2010 - this was 'online' not person to person.  
13 After two events that led to visits to the A & E department at the local  
14 hospital, a fourth GP agreed to refer him to a CPN. On both occasions, the  
15 casualty doctors explained they could not refer him to the psychiatric team as  
16 he was not 'a danger to himself or others'. They recommended a GP referral  
17 to psychiatry.

18

19 The GP who referred my son prescribed citalopram (10 mg daily) as a short-  
20 term measure to alleviate his anxiety not knowing how soon he would be  
21 offered an appointment with a psychiatrist. After 2 weeks my son's anxiety  
22 had reached such a peak that I had to leave work to come home having had  
23 three panicky phone calls from him in an hour. I phoned the CPN's office to  
24 enquire about his referral as we felt desperate that we hadn't heard anything.  
25 They had not received the GP's referral and suggested I contacted the GP. The  
26 GP apologised that he had 'forgotten' and faxed a referral as 'urgent'.

27

28 I requested involvement in my sons' first hospital visit with the CPN for his  
29 assessment and I was invited in for 10 minutes after his hour with her. When I  
30 enquired what the plan was for his care, she replied that he was going to be  
31 referred for psychological treatment and see a psychiatrist regarding further  
32 medication as my son had developed a fear of eating/choking. I asked what I  
33 should be doing to help him, where he could go on a daily basis, where there  
34 were support groups, day centres, and so on. I was told I would know more  
35 after his psychological appointment. I was not offered help.

36

37 I have had reactive depression in the past and recognise when I am 'going  
38 down the slippery slope'. I know the triggers (for example, sleep deprivation,  
39 which I was having constantly with my son waking me regularly during the  
40 night, afraid that he was going to die.) My sons' healthcare professionals did  
41 not ask me about my mental health but I believe they may have asked my son  
42 when taking a history. I made it clear that I had taken time off from work to  
43 look after him as I had no family in the area or partner and his father had  
44 never provided support or care. On one occasion when I had to contact a CPN  
45 on the phone I was told it was my right to have compassionate leave from  
46 work. I had been off a total of 6 weeks by then and my allowance from work

1 is 5 days. I was totally exhausted at the time and had phoned to ask about  
2 respite care and advice regarding the side effects of quetiapine (recently  
3 prescribed to my son) that were very worrying.

4  
5 Generally speaking my relationship with my sons' practitioners is  
6 unsatisfactory. I lost some trust when the GP forgot to refer my son and I am  
7 made to feel I am almost a nuisance when I have been in touch with the GP  
8 for advice regarding my sons' medication even though he had many side  
9 effects and I needed help. The CPN in the Day Unit who I contacted for the  
10 same reason was not helpful and only phoned back with a relayed message  
11 from the consultant after my son had made a complaint with the help of an  
12 Advocate from Mind. This was 6 days after my initial plea for help. When I  
13 contacted the consultant psychiatrist's secretary regarding the same problem I  
14 was told that he did not speak to patients or their carers on the phone. She  
15 also told me that if I was worried about my son I should take him to A&E. It  
16 was then that my son and I went to see the staff at our local Mind, who were  
17 very helpful. Due to the relationship with my son's practitioners I feel he has  
18 little confidence in them which in turn adds to his anxiety.

19  
20 My son and I have not been offered information regarding support groups  
21 from the hospital staff or GP. I have searched the internet and have found a  
22 few voluntary organisations that offer support and activities for my son and a  
23 carers group for me. I have had moral support from a handful of friends  
24 including two work colleagues. A close friend offered practical help in terms  
25 of 'son sitting' for a couple of hours came when he was at his worst. My son's  
26 friends have been extremely supportive, calling at the house and staying in  
27 with him, which enables me to go out for an hour or two.

28  
29 My whole life has been 'put on hold' since my sons' GAD. I cannot plan  
30 holidays or weekends, which I did find frustrating at first as I am usually a  
31 very active person. Leaving my son alone for more than an hour to go to the  
32 shops can be traumatic for him. I am not yet able to return to full-time work  
33 as he is too anxious to be left for such a long time alone. At present I am  
34 working mornings only, returning home at 2pm and he has arranged his  
35 sleeping pattern so that he goes to bed at 3 to 4 am and sleeps until midday.  
36 He is just coping with that. When I arrive home I usually cook him a meal or  
37 encourage him to make toast or whatever he fancies. He will not eat without  
38 me being there but will drink a Complan whilst alone if I prepare it for him  
39 and leave it in the fridge.

40  
41 I feel constantly tired, have developed eczema, my arthritis, which is usually  
42 under control when I have the chance to exercise, has flared up and my  
43 relationships are suffering. My true friends, however, have shown their worth  
44 and I am very grateful.

45

1 My son is due to begin psychotherapy in March 2010, 5 months after the start  
 2 of the problem. He has improved and I feel cautiously optimistic that he will  
 3 continue to do so, be it a long and winding road. Sadly, his progress is not I  
 4 feel, due to the input of the NHS as a whole, but he is getting by 'with a little  
 5 help from his friends' (and his mother!).  
 6

## 7 **4.4 REVIEW OF THE LITERATURE**

### 8 **4.4.1 Introduction**

9 A systematic search for published reviews of relevant qualitative studies of  
 10 people with GAD was undertaken. The aim of the review was to explore the  
 11 experience of care for people with GAD and their families and carers in terms  
 12 of the broad topics of receiving the diagnosis, accessing services and having  
 13 treatment.

### 14 **4.4.2 Evidence search**

15 Reviews were sought of qualitative studies that used relevant first-hand  
 16 experiences of people with GAD and families/carers. For more information  
 17 about the databases searched see Table 3.  
 18

**Table 3: Databases searched and inclusion/exclusion criteria for clinical evidence.**

Electronic databases	MEDLINE, EMBASE, CINAHL, PSYCINFO, IBSS
Date searched	01.01.1994 to 09.05.2010
Study design	Systematic reviews of qualitative studies, surveys, observational studies, primary studies
Population	People with anxiety and depression and families/carers
Outcomes	None specified

19  
 20 The GDG decided that quantitative studies picked up in this search should  
 21 also be included in this review, if they looked at the experience of GAD. A  
 22 total of 7,961 references were identified by the electronic search. Of these  
 23 references, 7,909 were excluded at the screening stage on the basis of reading  
 24 the title and/or abstract. The remaining 52 references were assessed for  
 25 eligibility on the basis of the full text.  
 26

27 The search found one systematic review that explored the experience of care  
 28 for people with anxiety and depression (Prins *et al.*, 2008), however, the  
 29 results focused mainly on people with depression alone. Therefore, we  
 30 decided to look at the studies identified in our review which met the  
 31 following inclusion criteria: qualitative or quantitative studies which looked  
 32 at the experience of people with either a primary diagnosis of GAD, mixed  
 33 anxiety or mixed anxiety with depression, in which at least 20% of the  
 34 population were diagnosed with GAD or mixed anxiety. Overall, 6  
 35 qualitative studies, 19 quantitative studies and 2 non-systematic reviews met  
 36 these inclusion criteria, the characteristics of which have been summarised in



1 Appendix 16a. Twenty-five studies were considered for the review but they  
2 did not meet the inclusion criteria so were excluded (Anderson *et al.*, 2008,  
3 Baughan, 1995, Berg *et al.*, 2008, Billhult & Maata, 2009, Chung *et al.*, 1999,  
4 Cooper *et al.*, 2000, Funicane & Mercer, 2006, Goodwin & Anderson, 2002,  
5 Halbreich *et al.*, 2007, Jorm *et al.*, 2000, Kumari, 2004, Ladoucer *et al.*, 1998,  
6 Lecrubier *et al.*, 2008, Lefebvre *et al.*, 2000, Lehman, 1983, Levy *et al.*, 2008,  
7 MacGregor *et al.*, 2009, McCall *et al.*, 2002, Mittal *et al.*, 2006, Olatunji *et al.*,  
8 2007, Payne, 1990, Reesal, 1998, Rogers *et al.*, 2004, Roy-Byrne & Wagner, 2004,  
9 Townsend *et al.*, 2003). The most common reason for exclusion was that at  
10 least 20% of the population did not have a diagnosis of anxiety disorder.

### 11 **4.4.3 Experience of GAD**

12 This section summarises quantitative and qualitative studies which have  
13 looked at the experience of GAD, in terms of thoughts and feelings, worry  
14 content and comorbid depression.  
15

#### 16 *Thoughts, feelings and worry content in people with GAD*

17 The following experiences of thoughts, feelings and worry content are drawn  
18 from people who have pure GAD. Craske and colleagues (1989) were among  
19 the first to examine worry content in GAD and found that, in general, people  
20 with GAD have long-lasting and uncontrollable worries which are likely to  
21 occur without a precipitant. Compared with controls, they worried more  
22 about 'illness, health or injury' and less about financial matters, but no  
23 significant differences were found regarding family, work or school.  
24 Diefenbach and colleagues (2001a) also found no differences between those  
25 with GAD and controls regarding worries about family or work, and no  
26 differences on finances, health and other miscellaneous topics (in people 60  
27 years and over). They did find that compared to another study that used a  
28 younger population, older adults with GAD had more health worries than  
29 younger adults with GAD, an effect which was not found to be as strong in  
30 the control comparison (Roemer *et al.*, 1997). More recently, Becker and  
31 colleagues (2003) found that compared with controls with no mental health  
32 problems, as well as people with other anxiety, somatoform, mood and eating  
33 disorders and substance-related problems, females with GAD had  
34 significantly higher levels of worry about work, family, finances and social  
35 factors.

36  
37 Breitholtz and Westling (1998) interviewed 43 people with GAD and found  
38 that 'inability to cope' was reported as the most 'important' thought, followed  
39 by thoughts of loss of self-control, injury to self/others and ill-health. In  
40 addition 44 people with panic disorder were interviewed in the comparison  
41 group and found, in general, to have more thoughts focussing on physical, as  
42 opposed to mental catastrophes than those with GAD. Diefenbach and  
43 colleagues (2001b) compared worry content in people with GAD to people  
44 with depression and found the latter population reported a higher frequency

1 of worries relating to relationships, finances, lack of confidence and having an  
2 aimless future, whereas people with GAD reported slightly more physical  
3 threats and loss of control. Hoyer and colleagues (2002) found that young  
4 women with GAD experienced a higher intensity and frequency of worry  
5 episodes compared to women with other anxiety disorders or depression and  
6 healthy controls.

7  
8 Borkovec and Roemer (1995) examined reasons behind their worry in a  
9 population sample of college students and found that, compared with non-  
10 anxious controls, people with GAD saw worry as a distraction from other  
11 emotional concerns, an effective problem-solving solution and also held  
12 superstitious beliefs that worrying about a certain event would reduce the  
13 likelihood of it happening. Decker and colleagues (2008) used questionnaires  
14 and daily diaries to investigate emotional experiences and found that people  
15 with GAD experienced negative emotions more intensely when compared  
16 with controls without the disorder. Those with GAD reported higher use of  
17 emotion regulation strategies, including: situation selection (avoidance to  
18 manage emotions), distraction, rumination, masking/hiding emotions and  
19 soothing one's own emotions. Overall, people with GAD had to work harder  
20 to regulate emotions; however this was based on a student population, so  
21 findings could differ in a treatment-seeking population.  
22

### 23 *GAD and depression*

24 There were a few studies that looked at differences between 'pure' GAD and  
25 GAD co-morbid with depression or another anxiety disorder. Porensky and  
26 colleagues (2009) used a range of tools to investigate experience of disability,  
27 health-related quality of life, anxiety, depression and cognition in older  
28 adults. People with GAD reported significantly less participation and more  
29 difficulty in carrying out everyday activities than controls with no mental  
30 health problems. The largest differences in functional limitations between  
31 GAD and the controls were found in mental and emotional health, social  
32 functioning and vitality. People with GAD also used more healthcare  
33 resources than the controls, although this was not linked to severity. This  
34 study was in a population aged 60 years or above, so findings may not be  
35 wholly applicable to younger age groups.  
36

37 Wittchen and colleagues (2000) found people with 'pure' GAD or GAD  
38 comorbid with depression self-rated their general health, mental health,  
39 physical functioning, physical and emotional roles, bodily pain, social  
40 functioning and vitality, significantly lower than non-affected controls.

### 41 **4.4.4 Access and engagement**

42 In a review of the under recognition of anxiety and mood disorders, Tylee &  
43 Walters (2007) highlighted that 70% of patients with depression and anxiety  
44 have a somatic presentation. People with GAD do not often associate their

1 symptoms with a psychological disorder and patients who normalise or  
2 minimise their symptoms are less likely to be identified. Recognition of  
3 depression and anxiety is usually determined by the knowledge, skills and  
4 attitudes of primary care practitioners (PCPs). Factors that improve  
5 recognition from PCPs include empathy, interest in psychiatry and asking  
6 about family and problems at home.

7  
8 Mojtabai and colleagues (2002) found that participants with comorbid  
9 problems were three times more likely than participants with anxiety  
10 disorders alone to perceive a need for professional help. Of 648 people with  
11 anxiety, 21% perceived a need for professional help and of these only 14%  
12 sought professional help.

13  
14 Haslam and colleagues (2004) found that people often do not realise that their  
15 symptoms, which are sometimes physical, are indicative of anxiety or  
16 depression and can be treated, until either someone (a friend family or  
17 colleague) advises them of this, or a crisis occurs. Once people are aware they  
18 have a mental health problem, they may feel more motivated to seek help. In  
19 a study by Lang (2005) one barrier to seeking treatment included patients  
20 feeling that they could deal with their problems themselves. Other barriers  
21 included problems with locating a therapist, lack of time, transportation and  
22 cost.

23  
24 Kadam and colleagues (2001), interviewing 27 patients in 4 UK general  
25 practices, reported that people with depression with or without anxiety, who  
26 had sought help through a range of self-help and alternative therapies, found  
27 that having someone to talk to was very important, particularly someone  
28 outside their family situation such as a counsellor, who would listen,  
29 understand and offer advice. However, finding someone to talk to could be a  
30 problem. Some saw their GP as being willing to listen and refer on; others had  
31 reservations about approaching their GP, thinking that they would be 'too  
32 busy' to spend time on what they might consider to be trivial matters and  
33 some felt that they were not encouraged to disclose their emotions or  
34 psychological problems. There were some preconceptions that a GP would do  
35 nothing but prescribe drugs (although some people did find drug treatment  
36 useful, the majority did not want to be on medication). People would have  
37 liked to have had more information provided by their GP and better access to  
38 preferred treatments. People also felt that waiting times were a barrier to  
39 accessing help - when they felt anxious they wanted to speak to someone  
40 immediately and not wait days or weeks for an appointment.

41  
42 Boardman and colleagues (2004) looked at the prevalence of unmet need  
43 among patients attending primary care services in Cheshire for mental health  
44 problems and found that there was a high level of unmet need especially  
45 among patients with anxiety. Needs were assessed by the practitioner rather  
46 than the patient, who may not have accepted the treatments offered.

1 Medication and CBT were the two treatment options most often thought  
2 appropriate for anxiety.

3  
4 In a non-systematic review, Blair and Ramones (1996) highlighted that anxiety  
5 can severely affect all aspects of a person's life and can lead to physical  
6 diseases or stress-related disorders if it is left untreated. Untreated anxiety can  
7 also lead to poor treatment compliance and therefore a negative outcome,  
8 which can cause resentment towards healthcare professionals. This review  
9 mentions misconceptions by nurses and highlights that patients who have  
10 had untreated symptoms for a long time are more likely to become irritable  
11 and demand medication. Ironically, if a patient were seen as being  
12 demanding or difficult, the accuracy of their self-report of anxiety symptoms  
13 might be doubted.

#### 14 *Gender and ethnicity*

15 Alvidrez and Azocar (1999) highlighted the practical barriers for women with  
16 depression and anxiety in accessing effective treatments, such as financial  
17 problems, lack of transport and childcare. These were pressing issues for  
18 women than stigma-related barriers such as embarrassment, being afraid of  
19 what others may think and lack of approval from family. Ninety-two percent  
20 of those surveyed identified at least one barrier to treatment; the average  
21 number of barriers identified was 2.2. Fewer women with a college education  
22 identified a stigma-based barrier to treatment than those who did not attend  
23 college; college-educated women were also less interested in medication.  
24 Thirty-four percent of people with common mental disorders anticipated a  
25 stigma-based barrier to services, compared with 13% of people without a  
26 common mental health disorder. There was high interest in individual and  
27 group therapy and depression prevention and mood management classes,  
28 and a low interest in medication. There was no ethnic difference in whether a  
29 person preferred medication or therapy.

30  
31 South Asian people with common mental health disorders, including GAD,  
32 are less likely to have problems identified in primary care and have lower  
33 rates of uptake for treatment, and they are more likely to incorporate physical  
34 symptoms into their presentation (Commander and colleagues, 2004).  
35 Commander and colleagues also found that South Asian people did not seek  
36 support from lay or traditional healers for their problem and were more likely  
37 to consult a GP regarding their problem rather than a friend or relative.  
38 However, only half of both sets of participants (South Asians and Caucasians)  
39 who saw their GP disclosed their problem. There was no difference between  
40 South Asian and white populations in terms of what they understood to be  
41 their psychological problem, and what they perceived to be the cause.

42

#### 1 **4.4.5 GP perspectives**

2 The primary care consultation is a two-person process in which the role and  
3 action of the GP can influence the patient's involvement in the dialogue and  
4 the outcome of the consultation. Rijswijk and colleagues (2009) conducted a  
5 qualitative study using loosely structured interviews in focus groups  
6 comprising of 23 family physicians from the Netherlands and identified  
7 barriers in recognising, diagnosing and managing depression and anxiety in  
8 general practice. This study found that there may be difficulties in agreeing a  
9 diagnosis with the patient, who may be more inclined to view their symptoms  
10 as having a physical cause. Without agreement as to the cause of the problem  
11 it was hard for effective treatment to proceed. Reaching a diagnosis was  
12 experienced as more problematic in relation to certain groups: the elderly,  
13 those with a different cultural background and those with limited verbal  
14 skills.

15  
16 Rijswijk and colleagues also found that over long periods of time, symptoms  
17 of anxiety and depression in a patient may fluctuate, which makes it difficult  
18 to classify these disorders as distinct diagnostic entities. Assessment tools can  
19 be seen as useful aids to diagnosis, especially in determining the severity and  
20 burden of the illness to the patient. They could also help with monitoring  
21 progress and could be used by practice nurses as well as doctors. The time  
22 constraints of GPs' work made it difficult to give adequate time talking to  
23 anxious patients. Patient education was felt to be empowering and follow-up  
24 by practice nurses was supported.

25  
26 Patients could be resistant towards drug treatment due to fear of side effects  
27 and dependency and there was often an inclination to discontinue treatment  
28 too soon. Finally, Rijswijk and colleagues reported that GPs found it difficult  
29 to balance recommendations in guidelines of a specific, often drug-based  
30 approach to treatment, and meeting patient preferences.

31  
32 The primary care consultation is a two-person process in which the role and  
33 action of the GP can influence the patient's involvement in the dialogue and  
34 the outcome of the consultation. Bjorner and Kjolsrod (2002) described the  
35 pressures on GPs to be active in consultations and find solutions for their  
36 patients (who had a range of physical conditions, some of which were  
37 comorbid with anxiety, and who had been prescribed benzodiazepines and  
38 minor opiates), rather than adopting a 'wait and see' approach. As a result  
39 there was an over-emphasis on prescribing, especially in the face of patients'  
40 chronic difficulties. The study also found that doctors could feel embattled by  
41 patients' needs and demands, resorting to high or medium levels of  
42 prescribing.

43  
44 It should be noted that both studies reviewed in this section are non-UK.  
45 There has not been much comparable work done on GP perspectives in a UK  
46 population and this is clearly needed with both service users and primary

1 care practitioners to explore the potential barriers to the accurate detection  
2 and effective treatment of anxiety disorders in the UK.  
3

#### 4 **4.4.6 Beliefs about and experiences of treatment**

##### 5 *Beliefs about and preferences for treatment*

6 Prins and colleagues (2009) found that there is a high level of need for care, as  
7 perceived by primary care patients with anxiety and/or depression. The  
8 majority expressed a need for information (58%) and counselling (61%) as  
9 opposed to medication (41.5%). Older people are less likely to perceive a need  
10 for services, with the exception of medication.

11  
12 Boardman and colleagues (2004) looked at the prevalence of unmet need  
13 among patients attending primary care services in Cheshire with a range of  
14 mental health problems and found that there was a high level of unmet need,  
15 especially amongst people with anxiety. Needs were generally assessed by the  
16 practitioner rather than the patient, and there may not have been agreement  
17 about the acceptability to the patient of the treatments offered. Medication  
18 and CBT were the two treatment options most often thought appropriate for  
19 anxiety.

20  
21 Wagner and colleagues (2005) found that patient' beliefs in psychotropic  
22 medication and psychotherapy did not depend on any specific anxiety  
23 disorder which they might have been experiencing. However, patients who  
24 had depression had more favourable views of medication than those with  
25 anxiety alone.

26  
27 Bystritsky and colleagues (2005) found that patients with anxiety disorders  
28 from a white ethnic background had more favourable views about medication  
29 and psychotherapy than non-whites. Patients who had a strong belief in  
30 medication were more likely to adhere to treatment; however, a strong belief  
31 in either medication or psychotherapy could not predict adherence to the use  
32 of psychotherapy. Older patients had more favourable views of medication  
33 than younger people.

34  
35 A study of older patients with depression (with and without anxiety) by Gum  
36 and colleagues (2006) showed that experiences of previous treatments play a  
37 strong role in treatment preference. Patients with previous experience of  
38 counselling or those who had visited a mental health professional before had  
39 more favourable views about counselling than patients who had not.

40 Similarly, patients who had used antidepressants in the past and found them  
41 helpful had more favourable views about medication. Access to preferred  
42 treatment is better provided in collaborative care rather than usual care.  
43 Although some factors could help to predict a treatment preference, once that  
44 treatment is received it does not predict patient satisfaction or outcomes.

1  
2 Lang (2005) found that primary care patients (45% with distress, 35%  
3 somatisation, 30% depression and 20% anxiety) expressed a need for help in  
4 understanding the cause of their feelings, learning skills to manage their  
5 mood and having someone to talk to. Seventy percent of patients expressed a  
6 preference for individual treatment over a group mode of treatment and  
7 medication. Patients said that if such interventions were offered in their clinic  
8 they would be more likely to attend fitness programmes and classes about  
9 healthy living and stress management than counselling. Patients who had  
10 taken antidepressants in the past, compared with those who hadn't,  
11 appreciated that the response was not immediate and could take time. People  
12 of Caucasian origin received more mental health treatment, believed  
13 medication to be more helpful and thought that they could work their  
14 problems out for themselves compared with non-Caucasians. Of the patients  
15 who had received individual counselling, the majority of them were from  
16 origins other than Caucasian.

### 17 *Experiences of drug and psychological treatment*

18 In a study by Haslam and colleagues (2004) side effects of medication for  
19 depression and anxiety were described by patients as being similar to  
20 symptoms of anxiety, such as confusion, dizziness, nausea and inability to  
21 make decisions. Others reported side effects such as shaking, severe weight  
22 loss, speech impairment, and feeling unsteady, disorientated and generally ill.  
23 For this reason, non-compliance with medication for anxiety and depression  
24 was common – people took less medication than prescribed, and discontinued  
25 it because of side effects or because symptoms had not improved. Patients  
26 were generally not positive about taking medication but for those who found  
27 it beneficial, there was a common fear of dependency or addiction, which  
28 could also lead to stopping medication too soon. There was some confusion  
29 amongst people with anxiety and depression about how long it took for  
30 antidepressants to work and about why, at the start of treatment, their  
31 symptoms could become worse before they improved at the beginning of  
32 treatment (where there were high rates of discontinuation). Regular reviews  
33 of medication could help patients maintain treatment long enough to prevent  
34 relapse. Moreover people felt that if they were given more information about  
35 their medication they would be more able to comply with their course of  
36 treatment.

37  
38 This was a focus group study involving patients with anxiety and depression,  
39 as well as some of the staff involved in their care, and the authors  
40 recommended the provision of information leaflets in primary care to help  
41 patients know what to expect in terms of side effects of medication,  
42 worsening of symptoms at the outset of treatment and withdrawal effects on  
43 discontinuation. Patients reported finding pharmaceutical drug company  
44 leaflets unhelpful and alarming. Given the time pressures on GPs,  
45 information leaflets would help the patient to improve take-up and

1 maintenance of treatment. GPs could be supported by practice nurses and  
2 mental health practitioners (such as primary care mental health workers) in  
3 the provision of information.

4  
5 In a non-systematic review of issues around the under treatment of anxiety,  
6 Blair and Ramones (1996) highlighted that if patients do not receive  
7 appropriate treatment from their GP, they may repeatedly present with a  
8 range of complaints or self-medicate with over the counter agents, alcohol or  
9 other substances. As well as inadequate assessment, often patients do not seek  
10 help at an early stage, until their anxiety becomes overwhelming and so this  
11 also leads to a delay in treatment.

12  
13 Deacon and Abramowitz (2005) reported work with patients with mixed  
14 anxiety disorders (11% GAD) and found that CBT was an effective and  
15 acceptable long-term intervention compared with medication and that  
16 patients would choose it as a first choice of treatment, even if they had a  
17 recent history of taking medication. Some patients thought that medication  
18 was acceptable and effective in the long-term but this depended on whether  
19 they were currently taking this, as in such cases their attitudes were more  
20 favourable.

## 22 **4.5 FROM EVIDENCE TO RECOMMENDATIONS**

### 23 **4.5.1 Experience of GAD**

24 The literature highlights that people with GAD have long-standing and often  
25 uncontrollable worries and negative thoughts, and that the worries are likely  
26 to occur without a specific reason, although people with anxiety tend to also  
27 worry about health concerns or their family and feel an inability to cope.  
28 Older people were more likely to worry about their health than younger  
29 people. The anecdotal evidence from the personal accounts also reveals that  
30 people with GAD experience long-standing symptoms. Most reported that  
31 GAD affected many areas of their lives, particularly relationships, self-esteem,  
32 daily activities, employment, work life and education.

### 33 **4.5.2 Access and engagement**

34 The literature suggested that few people with GAD perceive the need for  
35 professional help and even fewer seek it. When people with GAD do present  
36 to primary care the disorder is under-recognised, for a variety of reasons.  
37 Firstly, people with GAD may not associate their symptoms with a  
38 psychological disorder and may 'minimise' such symptoms in their  
39 presentation and they may not realise that their somatic symptoms are related  
40 to anxiety; second, primary care practitioners may not be skilled in  
41 recognising GAD; and third, healthcare professionals and the wider society  
42 may collude in the tendency for people with GAD to minimise or trivialise  
43 their symptoms. The personal accounts also suggest that GAD may not be



1 recognised initially, or the symptoms may not be taken seriously. Again, this  
2 may be because the person with anxiety minimises the symptoms, or that  
3 professionals do not recognise the seriousness of the presentation.  
4

5 Appropriate training of primary care practitioners should help to improve the  
6 recognition of GAD and reduce the tendency to misrecognise or minimise  
7 symptoms. Healthcare professionals should be aware that people with  
8 anxiety may exhibit reassurance seeking behaviours and that trust, a non-  
9 judgemental approach, collaborative working, and engaging the person from  
10 the outset are important in establishing a therapeutic relationship with the  
11 patient.  
12

13 There was an expressed need for patient information about GAD and its  
14 treatments in both the reviewed literature and the personal accounts. Lack of  
15 accessible information may be a particular issue for people from black and  
16 Asian minority ethnic groups. Both the literature and the personal accounts  
17 also highlight the importance of self-help, support groups and help lines for  
18 people with GAD so that they can talk to people with similar experiences.  
19

### 20 **4.5.3 GP perspectives**

21 GPs felt that a diagnosis should not be made prematurely and that patients  
22 should be given time to overcome their problems. Some thought that an  
23 accurate diagnosis was helpful for symptom-specific treatment. It could be  
24 difficult to reach agreement with a patient that the underlying cause of their  
25 physical problems might be psychological, which could make it challenging  
26 to agree on a treatment strategy, particularly in the elderly, those with limited  
27 verbal skills and ethnic minorities.

### 28 **4.5.4 Experience of treatment**

29 The literature indicated that patients' experience of previous treatments (both  
30 psychological and pharmacological) played a strong role in treatment  
31 preference. People's experiences of drug treatments were mixed; some  
32 reported side effects that were similar to their anxiety symptoms and non-  
33 compliance with medication was common. People felt that if they were given  
34 more information about their medication they would be more able to comply  
35 with their course of treatment. Some people with GAD found medication  
36 helpful and relied on it to function in important parts of their life. They did,  
37 however, worry about side effects and long-term dependency on drugs and  
38 attempted to either reduce their dose or stop taking the medication altogether.  
39 Most patients, however, felt that they could not do this for fear of relapse –  
40 discontinuation symptoms could be interpreted as a return of their original  
41 anxiety. In three studies, there was an expressed patient preference for  
42 psychological treatment such as CBT, individual or group treatment and  
43 counselling over medication. Regardless of whether a person with anxiety has  
44 a history of taking medication, most found CBT an acceptable long-term

1 intervention compared with drug treatment. Medication was also considered  
2 effective as a long-term intervention but this was more favoured by people  
3 who were currently taking medication.

4  
5 The personal accounts highlighted a range of helpful approaches to managing  
6 anxiety, including both NHS and non-NHS prescribed treatments  
7 (psychological and pharmacological), but there was dissatisfaction about the  
8 lack of treatment options: antidepressants were frequently offered first  
9 leaving people to seek psychological therapy independently and/or privately.

#### 10 **4.5.5 Families and carers**

11 Issues for families and carers of people with GAD did not emerge from the  
12 literature and common themes could not be identified in the personal  
13 accounts, which offer different perspectives of being a carer. However,  
14 common principles about working with families and carers of people with  
15 common mental health disorders apply, such as providing accessible  
16 information, helping people to access support groups, and offering a carer's  
17 assessment of the carer's caring, physical and mental health needs.

#### 19 **4.5.6 Recommendations**

##### 20 **Information and support for people with GAD, their families and carers**

###### 21 **4.5.6.1** When working with people with GAD:

- 22 • build a relationship and work in an open, engaging and  
23 non-judgemental manner
- 24 • explore empathically all of the person's worries in order to  
25 jointly understand the impact of GAD
- 26 • explore treatment options collaboratively with the person,  
27 indicating that decision making is a shared process
- 28 • ensure that discussion takes place in settings in which  
29 confidentiality, privacy, dignity are respected.

###### 31 **4.5.6.2** When working with people with GAD:

- 32 • provide information appropriate to the person's level of  
33 understanding about the nature of GAD and the range of  
34 treatments available
- 35 • ensure that comprehensive written information is available  
36 in the person's preferred language and in audio format if  
37 possible
- 38 • offer independent interpreters if needed.

39

1 **4.5.6.3** When families and carers are involved in supporting a person with  
2 GAD, consider:

- 3 • offering a carer's assessment of their caring, physical and  
4 mental health needs
- 5 • providing information, including contact details, about  
6 family and carer support groups and voluntary  
7 organisations, and helping families or carers to access these
- 8 • negotiating between the person with GAD and their family  
9 or carers about confidentiality and the sharing of  
10 information
- 11 • providing written and verbal information on GAD and its  
12 management, including how families and carers can support  
13 the person
- 14 • providing contact numbers and information about what to  
15 do and who to contact in a crisis.

16  
17 **4.5.6.4** Inform people with GAD about local and national self-help  
18 organisations and support groups, in particular where they can talk  
19 to others with similar experiences.

20 **4.5.6.5** For people with GAD who have a mild learning disability or mild  
21 acquired cognitive impairment, offer the same interventions as for  
22 other people with GAD, adjusting the method of delivery or duration  
23 of the intervention if necessary to take account of the disability or  
24 impairment.

25 **4.5.6.6** When assessing or offering an intervention for people with GAD and a  
26 moderate to severe learning disability or moderate to severe acquired  
27 cognitive impairment, consider consulting with a relevant specialist.

## 28 **Identification and assessment**

29 **4.5.6.7** Identify and communicate the diagnosis of GAD as early as possible to  
30 help people understand the disorder and start effective treatment  
31 promptly.

32 **4.5.6.8** Consider the diagnosis of GAD in people presenting with anxiety or  
33 significant worry, and in people who attend primary care frequently  
34 who:

- 35 • have a chronic physical health problem, or
- 36 • do not have a physical health problem but are seeking  
37 reassurance about somatic symptoms (particularly older  
38 people and people from minority ethnic groups), or
- 39 • are repeatedly worrying about a range of different issues.

40

- 1 **4.5.6.9** When a person with known or suspected GAD attends primary care
- 2 seeking reassurance about a chronic physical health problem or
- 3 somatic symptoms and/or repeated worrying, explain in an accepting
- 4 and non-judgmental way that these may be symptoms of GAD.
- 5

1

## 2 **5 ASSESSMENT AND SERVICE** 3 **DELIVERY**

### 4 **5.1 INTRODUCTION**

5 This chapter covers the recognition and assessment of GAD and stepped care  
6 for the treatment and management of GAD. The first section describes key  
7 issues in the recognition and assessment of suspected and confirmed GAD.  
8 The second section sets out a stepped care model for the treatment and  
9 management of GAD. Unlike other chapters of this guideline, this chapter is  
10 not based on a systematic review of evidence but represents the consensus of  
11 the Guideline Development Group drawing on the literature.

### 12 **5.2 RECOGNITION AND ASSESSMENT**

#### 13 **5.2.1 Introduction**

14 Recognition of GAD is necessary for effective treatment. Untreated it most  
15 commonly runs a chronic course (Yonkers *et al.*, 2000) with significant  
16 disability (Kessler, 2000; Wittchen 2002). However, recognition of GAD in  
17 primary care is poor with the result that the majority of people with GAD do  
18 not receive treatment or inappropriate treatment (Roy-Byrne & Wagner, 2004;  
19 Wittchen, 2002; Wittchen & Jacobi, 2005). In the most recent UK Adult  
20 Psychiatric Morbidity Survey (McManus *et al.*, 2009), only 33% of patients  
21 with GAD reported receiving any treatment.

22

23 Assessment is relevant not just for recognition of GAD, but to identify factors  
24 that impact on course of the disorder and its treatment.

#### 25 **5.2.2 Narrative review**

26 People with GAD often do not present complaining of symptoms of anxiety.  
27 They may present the central “multiple excessive worries” component of  
28 GAD as “concerns” or “fears”, which in medical settings may be a concern  
29 about their health or about health of a family member (Dugas & Robichaud  
30 2007). They may present these apologetically or as an aside, so it is only after a  
31 succession of consultations that it is apparent that the individual has multiple  
32 worries and that reassurance only has a temporary impact on the worries.

33

34 People with GAD also often just present the physical or somatic symptoms of  
35 GAD, which are not recognised as anxiety symptoms (Arroll & Kendrick,  
36 2009) or lead to lengthy and costly investigations (Hales *et al.*, 1997). GAD is  
37 common accordingly in hospital medical settings (Culpepper, 2009; Kennedy

1 & Schwab, 1997) as well as in primary care. Older people and people from  
2 minority ethnic groups with GAD in particular may present in this way.

3  
4 A number of symptoms are common to both GAD and depression – fatigue,  
5 sleep disturbance, irritability and concentration difficulties (APA, 2000). This  
6 symptom overlap, together with the high comorbidity between GAD and  
7 depressive disorders (Kessler *et al.*, 2008) complicates recognition and  
8 diagnosis.

9  
10 There is also complexity for recognition and assessment in GAD being  
11 commonly comorbid with other anxiety disorders (especially panic disorder,  
12 social phobia, and specific phobias) (Bitren *et al.*, 2009; Carter *et al.*, 2001;  
13 Hunt *et al.*, 2002; Grant *et al.*, 2005; Kessler *et al.*, 2005b). In addition, worry, as  
14 well as being the central feature of GAD, also occurs in other anxiety  
15 disorders (panic disorder, social phobia, PTSD, obsessive compulsive disorder  
16 and hypochondriasis). In these other anxiety disorders, the focus of the worry  
17 is on a single area (having a panic attack, social embarrassment, a traumatic  
18 event, being contaminated or having a serious illness), whereas in GAD  
19 people’s worries are about a range of different areas of their life (APA, 2000).  
20 As the criterion of each anxiety and worry being “excessive” is dependent on  
21 whether it is appropriate to the individual’s life circumstances (e.g. worry  
22 about a family member’s health may be appropriate if the family member has  
23 been recently diagnosed with a life-threatening illness), assessment of the  
24 individual’s life circumstances is necessary.

25  
26 Groups with a higher prevalence of GAD and accordingly for whom there  
27 should be a higher index of suspicion are:

- 28 • People with chronic physical health problems (Culpepper, 2009; Gili *et al.*  
29 *et al.*, 2010; Roy-Byrne *et al.*, 2008; Sareen *et al.*, 2006).
- 30 • People with other anxiety and depressive disorders (Bitren *et al.*, 2009;  
31 Carter *et al.*, 2001; Hunt *et al.*, 2002; Grant *et al.*, 2005; Kessler *et al.*,  
32 2005b).
- 33 • People with alcohol misuse (Grant *et al.*, 2005; Kessler *et al.*, 2005b).

34  
35 A number of case identification measures exist for GAD. These are reviewed  
36 in NICE guideline on referral and identification of common mental health  
37 problems.

38  
39 Evidence on factors that influence the course of GAD is limited. Factors that  
40 have been found associated with reduced likelihood of remission include  
41 duration and severity of GAD, comorbid major depressive disorder and other  
42 anxiety disorders, comorbid personality disorder, and poorer spousal and  
43 family relationships (Yonkers *et al.*, 2000). However, for a number of these  
44 factors the relationships with outcome are inconsistent between studies or  
45 have not been replicated in other samples.

### 1 **5.2.3 From evidence to recommendations**

2 On the basis of this narrative review of the literature and evidence from the  
3 personal accounts and literature review in Chapter 4, the GDG highlighted a  
4 number of areas as important in the recognition and assessment of GAD.

5  
6 Early detection of GAD was identified as important, given the evidence above  
7 that untreated GAD is likely to run a chronic and often disabling course. The  
8 personal accounts of GAD contained several examples of long delay in  
9 identifying the condition and obtaining a diagnosis. Receiving the diagnosis  
10 of GAD was experienced by several people as a relief and the first step in  
11 making progress with their GAD.

12  
13 The review of how GAD presents in primary care and information about  
14 groups with high prevalence give pointers as to what practitioners should be  
15 on alert for in identifying GAD. Repeated presentation with worries about  
16 different issues is the most central feature of GAD. Presentation of different  
17 physical symptoms of anxiety and the high prevalence of GAD in people with  
18 chronic health problems suggest these factors should raise the index of  
19 suspicion.

20  
21 Although good evidence of factors predictive of the course of GAD to  
22 determine treatment choice is lacking, from the evidence available and from  
23 consensus of the GDG, a variety of factors were considered to be important to  
24 assess and relevant for treatment choices in the guideline. These included  
25 duration of GAD, degree of distress, functional impairment, diagnostic  
26 comorbidities and past mental health history and response to treatment. The  
27 key comorbidities to assess, as identified from the literature and consensus of  
28 the GDG, are other anxiety and depressive disorders, alcohol and drug  
29 misuse and chronic physical health problems.

30  
31 With the high comorbidity between GAD and both depressive and other  
32 anxiety disorders, a key consideration in treatment is which disorder to treat  
33 first. The original NICE depression guideline recommended treating  
34 depression first where there is a comorbid depressive and anxiety disorder  
35 (NICE, 2004). The updated depression guideline (NICE, 2009b), in contrast,  
36 recommends consulting the NICE guideline for the relevant anxiety disorder  
37 and considering treating the anxiety disorder first (since effective treatment of  
38 the anxiety disorder will often improve the depression or the depressive  
39 symptoms). In line with the updated depression guideline, the GDG  
40 considered practitioners need to make a clinical judgement where the GAD is  
41 comorbid with other anxiety disorders or a depressive disorder and treat first  
42 the disorder which is primary in terms of severity and likelihood that  
43 treatment will impact overall functioning.

44  
45 With the high comorbidity between GAD and alcohol misuse, the GDG  
46 considered a recommendation about when to first treat the GAD and when

1 first to manage the alcohol misuse to be important for practitioners. With this  
2 issue also being considered at the same time by the GDG for the alcohol  
3 guideline, the recommendations from that guideline were adapted by the  
4 GDG and included in the GAD guideline.  
5

## 6 **5.2.4 Recommendations**

### 7 **Assessment and education of GAD**

8 **5.2.4.1** For people who may have GAD, conduct a comprehensive assessment  
9 that does not rely solely on the number, severity and duration of  
10 symptoms, but also considers the degree of distress and functional  
11 impairment.

12 **5.2.4.2** As part of the comprehensive assessment, consider how the following  
13 factors might have affected the development, course and severity of  
14 the person's GAD:

- 15 • presence of a comorbid depressive disorder or other anxiety  
16 disorder
- 17 • presence of comorbid substance misuse
- 18 • any comorbid medical condition
- 19 • a history of mental health disorders
- 20 • past experience of, and response to, treatments.
- 21



1 **5.2.4.3** For people with GAD and a comorbid depressive or other anxiety  
2 disorder, treat the primary disorder first (that is, the one that is more  
3 severe and in which it is more likely that treatment will improve  
4 overall functioning).

5 **5.2.4.4** While alcohol problems can be a complication of GAD, non-harmful  
6 alcohol misuse should not be a contra-indication to the treatment of  
7 GAD. However, for people with GAD with harmful and dependent  
8 alcohol misuse, treat the alcohol misuse first as this alone may lead to  
9 significant improvement in the symptoms of GAD<sup>23</sup>.

10 **5.2.4.5** Following assessment and diagnosis of GAD:

- 11                   • provide education about the nature of GAD and the options  
12                   for treatment, including the 'Understanding NICE guidance'  
13                   booklet  
14                   • monitor the person's symptoms and functioning (known as  
15                   active monitoring).

16  
17                   Education and monitoring may improve less severe presentations and  
18                   avoid the need for further interventions.

19 **5.2.4.6** Discuss the use of over-the-counter preparations with people with  
20 GAD. Explain the potential for interactions with other medications  
21 and that there is not enough evidence to support their safe use.

22

## 23 **5.3 STEPPED CARE**

### 24 **5.3.1 Introduction**

25 Stepped care is a framework of organisation of pathways of care designed to  
26 reduce burden to patients while maximising health gain (Davison, 2000;  
27 Scogin *et al.*, 2003). It is based on two core principles. First, that interventions  
28 offered should be the "least restrictive" that will be effective for the problems  
29 with which an individual presents. Second, that there should be "self-  
30 correction" monitoring and feedback systems to ensure individuals are  
31 stepped-up to more intensive interventions if they are not obtaining sufficient

---

<sup>23</sup>See: 'Alcohol-use disorders: diagnosis and clinical management of alcohol-related physical complications' (NICE clinical guideline 100), available from [www.nice.org.uk/guidance/CG100](http://www.nice.org.uk/guidance/CG100) and 'Alcohol-use disorders: preventing the development of hazardous and harmful drinking' (NICE public health guidance 24), available from [www.nice.org.uk/guidance/PH24](http://www.nice.org.uk/guidance/PH24). NICE is developing a guideline on the diagnosis and management of alcohol dependence and harmful alcohol use in young people and adults. Publication expected January 2011.

1 benefit from the initially offered treatments. In treatment of common mental  
2 health problems, the most often used less intensive interventions are those  
3 less dependent on the availability of professional staff and focus on patient-  
4 initiated use of evidence-based 'health technologies' (Richards *et al.*, 2002)  
5 including books (Marrs, 1995), video- and audiotapes (Blenkiron, 2001),  
6 computer programmes (Proudfoot *et al.*, 2004) and internet sites (Spek *et al.*,  
7 2007). The use of these materials may be entirely patient managed, which is  
8 often referred to as pure self-help, or involve some limited input from a  
9 professional or paraprofessional, which is often referred to as guided self-help  
10 (Gellatly *et al.*, 2007). More intensive interventions include psychological  
11 therapies which are dependent on highly-trained staff and pharmacological  
12 interventions which require medically trained staff to prescribe and monitor  
13 and can have negative side-effects as well as benefits.

### 14 **5.3.2 Narrative review**

15 Stepped care models, as a basis for care pathways, have been incorporated  
16 into previous NICE guidelines for common mental health problems (NICE  
17 OCD and NICE Depression Update), although they were not part of the  
18 previous NICE Anxiety guideline. A stepped care framework is also central to  
19 the UK Improving Access to Psychological Therapies (IAPT) initiative.  
20

21 Evidence for stepped care in depression was recently systematically reviewed  
22 for the NICE Depression Update guideline (NICE, 2009a). This review  
23 updated an earlier review (Bower & Gilbody, 2005) on stepped care in the  
24 provision of psychological therapies, to which can be added an Australian  
25 review of mental health services organisation (Andrews *et al.*, 2006). Both  
26 these earlier reviews concluded that, although of inherently good sense, there  
27 was a lack of specific empirical evidence for stepped care in either provision  
28 of psychological therapies or of high prevalence mental health disorders.  
29 Although the literature search of the NICE (2009a) systematic review was  
30 limited to studies of depression, the one randomised trial identified  
31 evaluating stepped care included patients with both depression and anxiety  
32 disorders (Van Straten *et al.*, 2006). This found no clinical benefit of stepped  
33 care over care where therapists could determine choice of intervention  
34 without any clinical protocol, although there it was possible that stepped care  
35 was more cost effective (Hakkaart-van Rooijen *et al.*, 2006). The NICE (2009a)  
36 review also considered the evaluation of the two IAPT demonstration sites  
37 (Clark *et al.*, 2008, 2009) both of which provided a stepped psychological care  
38 programme and covered patients with anxiety disorders as well as  
39 depression. In the demonstration projects there was good evidence for  
40 increased patient flows through the system whilst at the same time the  
41 outcomes obtained were broadly in line with those reported in randomised  
42 controlled trials for depression and anxiety disorders.  
43

44 The NICE depression update review (NCCMH, 2010) concluded that 'there is  
45 limited evidence from direct studies in common mental health problems

1 which provide evidence for the effectiveness of the stepped care model.’ They  
2 added that beyond the area of common mental health problems, in fields such  
3 as addiction (Davison, 2000), there is some evidence for the effectiveness of  
4 stepped care and that the adoption of stepped care models in non-mental  
5 health care has been associated with better physical health outcomes.

6  
7 Stepped care models vary in the extent to which they are sequential stepped  
8 models or stratified models with initial matching of patients to treatment  
9 steps (Bower & Gilbody, 2005). In sequential stepped models, all people  
10 regardless of severity, need or choice move through the steps in a systematic  
11 way, starting at the initial step and only ‘stepping up’ when the initial  
12 intervention has failed. In stratified models patients with more severe  
13 difficulties or higher needs, however defined, may be allocated directly to a  
14 higher, more intensive step without initially receiving a less intensive  
15 intervention (Lovell & Richards, 2000). Stratification may be based on the  
16 severity of the disorder (see NICE guideline on depression, 2009) or on degree  
17 of functional impairment (see NICE guideline on OCD, 2005). Currently there  
18 is no evidence to choose between sequential or stratified models.

19  
20 Patient choice is an important principle in care. Stepped care models may  
21 appear to constrain choice by prescribing care pathways and the sequencing  
22 of interventions,. In stepped care models, patient preferences have an  
23 important part to play in choice of intervention options within a step but are  
24 generally not sufficient on their own to trump stepped care model decisions  
25 between steps. How this is viewed by people receiving treatment under  
26 stepped care systems and the acceptability of stepped care systems are only  
27 beginning to be explored (Richards *et al.*, 2010).

28  
29 As well as patient choice, stepped care systems also constrain practitioner  
30 choice of intervention. Practitioners may be unsure about the effectiveness of  
31 low-intensity interventions and ambivalent about recommending them. There  
32 is considerable evidence in other areas that practitioner confidence in  
33 treatment offered is a factor in its effectiveness. Accordingly it is likely that  
34 how practitioners discuss intervention options in stepped care will influence  
35 their effectiveness, and a communication that the practitioner has little faith in  
36 a low-intensity intervention will undermine its effectiveness.

### 37 **5.3.3 From evidence to recommendations**

38 On the basis of the evidence for stepped care reviewed in the NICE  
39 depression guideline (NICE 2009a) and the incorporation of stepped care  
40 models in other NICE guidelines for common mental health disorders, the  
41 GDG developed a stepped care model for GAD (Figure 3). This is based on  
42 that used in the NICE depression guideline (NICE 2009a). It incorporates a  
43 stratification based on functional impairment, although most patients other  
44 than those with marked functional impairment would be expected to start at  
45 step one or step two, only progressing to higher steps if they do not make

1 progress with less intensive interventions. A key difference from the  
2 depression stepped care model is that there is no category for subthreshold  
3 GAD symptoms. Whilst subthreshold GAD symptoms are drawing increased  
4 attention (Kessler *et al.*, 2005a; Ruscio *et al.*, 2007), they are as yet not generally  
5 recognised by clinicians and there is no comparable research literature as in  
6 depression regarding treatment of subthreshold disorder. The model in  
7 Figure 3 represents the consensus of the Guideline Development Group  
8 drawing on the principles of stepped care as best applied to GAD.  
9

1  
2

3 **Figure 3: The stepped-care model**

4  
5

**Focus of the intervention**      **Nature of the intervention**

6  
7

**STEP 4:** Complex treatment-refractory GAD and very marked functional impairment, such as self-neglect or a high risk of self-harm

Highly specialist treatment, such as complex drug and/or psychological regimens; input from multi-agency teams, crisis services, day hospitals or inpatient care

8  
9

**STEP 3:** GAD with inadequate response to step 2 interventions or marked functional impairment

Choice of a high-intensity psychological intervention (cognitive behavioural therapy/ applied relaxation) or a drug treatment

10  
11

**STEP 2:** Diagnosed GAD that has not improved after education and active monitoring in primary care

Low-intensity psychological interventions: pure self-help\*, guided self-help and psychoeducational groups

**STEP 1:** All known and suspected presentations of GAD

Identification and assessment; education about GAD and treatment options; active monitoring

\* Pure self-help is defined as a self-administered intervention intended to treat GAD and involves self-help materials (usually a book or workbook). It is similar to guided self-help but without any contact from a healthcare professional.

1 *Step 1*

2 This step covers initial identification and assessment of GAD and basic  
3 education about the condition and information about treatment options. The  
4 focus is all suspected and known cases of GAD. GPs are the most common  
5 practitioners carrying out step 1 interventions, but as GAD may be missed by  
6 GPs and also present in other settings, they may be delivered by other  
7 primary care practitioners (practice nurses, district nurses, primary care  
8 mental health practitioners) and by practitioners in some acute medical  
9 settings (A&E staff, hospital medical and nursing staff). They include:

- 10 • Identification and assessment of GAD
- 11 • Education about the nature of GAD
- 12 • Information about treatment options
- 13 • Active monitoring

14  
15 Some people with GAD may want to take some time to consider the treatment  
16 options and to read about the nature of GAD. Others, on the other hand, may  
17 want to move onto treatments identified in Step 2 straight away. Healthcare  
18 professionals should be guided by patient choice, the severity of symptoms  
19 and levels of impairment.

20 *Step 2*

21 Interventions in this step are the least restrictive first-line active treatment  
22 options for which there is evidence. They are appropriate for all people with  
23 GAD who are not improving with education and active monitoring in  
24 primary care. In many cases step two interventions may be offered  
25 immediately after diagnosis given the diagnosis of GAD requires symptoms  
26 for at least 6 months. Psychological wellbeing practitioners and primary care  
27 mental health workers are the most common practitioners delivering step 2  
28 interventions, but pure self-help may be delivered by GPs (e.g. if there is a  
29 local self-help book prescription scheme) and guided self-help and  
30 psychoeducational groups may be conducted by a variety of trained mental  
31 health and other health. Step 2 interventions recommended in this guideline  
32 (see Chapter 6) are:

- 33 • Pure self-help (defined as a self-administered intervention involving  
34 self-help materials, similar to guided self-help but without any contact  
35 from a healthcare professional)
- 36 • Guided self-help
- 37 • Psychoeducational groups

38 *Step 3*

39 Interventions in this Step are active treatment options which are relatively  
40 more restrictive in terms of personal inconvenience to patients, potential for  
41 negative side effects and cost. They are appropriate for all people with GAD  
42 who do not respond to Step 2 interventions. They are also appropriate first-

1 line treatments for people with GAD with marked functional impairment, for  
2 whom the personal inconvenience and potential for negative side effects of  
3 the treatments are balanced by need for rapid alleviation of their impairment.  
4 Step 3 interventions recommended in this guideline are:

- 5 • high-intensity psychological interventions - CBT and applied relaxation  
6 (see Chapter 7)
- 7 • pharmacological interventions (see Chapter 8).

8 Referral for specialist assessment and further treatment in secondary care  
9 should be considered when there has been an inadequate response to  
10 treatments at Step 3 or when the person with GAD has severe anxiety with  
11 marked functional impairment and there is a risk of self-harm or suicide,  
12 significant comorbidity or self-neglect.

#### 13 *Step 4*

14 This covers interventions in specialist secondary and tertiary settings such as  
15 multiagency community, day and inpatient services and in some highly  
16 specialist treatment teams. They are appropriate for a small number of people  
17 with treatment refractory GAD and very marked functional impairment (e.g.  
18 self neglect) or high risk of self-harm. Interventions at Step 4 may include  
19 psychological and pharmacological treatments offered at Step 3, but also  
20 specialist psychological regimes, pharmacological augmentation with  
21 combinations of drugs, and specialist combinations of pharmacological and  
22 psychological treatment for which evidence is currently lacking as to their  
23 effectiveness. These should only be undertaken by healthcare practitioners  
24 with expertise in the drug and psychological treatment of severe and complex  
25 anxiety. Step 4 interventions will also include care coordination to assist the  
26 people with GAD manage self-care needs they cannot meet on their own and  
27 to manage risk. The two broad categories of step 4 interventions are thus:

- 28 • Specialist psychological, pharmacological and combination regimes
- 29 • Care coordination to assist managing basic self-care needs and  
30 monitoring risk

31  
32 It should be noted that the same practitioner may deliver interventions at  
33 different steps. Thus a GP may assess and provide education about GAD (step  
34 1), then make a pure self-help book prescription for a GAD self-help text (step  
35 2), then later prescribe an SSRI (step 3).

36

1  
2

### 3 **5.3.4 Recommendations**

#### 4 **Stepped-care model**

5 **5.3.4.1** Follow the stepped-care model to organise the provision of services  
6 and to help people with GAD, their family, carers and practitioners to  
7 choose and access the most effective interventions (see Figure 3).  
8 Offer the least intrusive, most effective intervention first.

#### 9 **Step 4: Complex, treatment-refractory GAD and very marked functional** 10 **impairment or high risk of self-harm**

11 **5.3.4.2** Offer the person with GAD a full multidisciplinary assessment of  
12 needs and risks, including:

- 13 • duration and severity of symptoms, functional impairment,  
14 comorbidities, risk to self and self-neglect
- 15 • a formal review of current and past treatments and their  
16 impact on symptoms and functional impairment
- 17 • home environment
- 18 • support in the community
- 19 • relationships with and impact on families and carers.

20 **5.3.4.3** Review the needs of families and carers and offer an assessment of  
21 their caring, physical and mental health needs if one has not been  
22 offered previously.

23 **5.3.4.4** Develop a comprehensive care plan that addresses needs, risks and  
24 functional impairment and has a clear treatment plan.

25 **5.3.4.5** Inform people with GAD who have not been offered or have refused  
26 the interventions in steps 1-3 about the potential benefits of these  
27 interventions and offer them any they have not tried.

28 **5.3.4.6** Consider offering combinations of psychological and drug treatments,  
29 combinations of antidepressants or augmentation of antidepressants  
30 with other drugs, but exercise caution and be aware that:

- 31 • evidence for the effectiveness of combination treatments is  
32 lacking, and
- 33 • side effects and interactions are more likely when  
34 combining and augmenting antidepressants.



1 **5.3.4.7** Combination treatments should be undertaken only by practitioners  
2 with expertise in the psychological and drug treatment of complex,  
3 treatment-refractory anxiety disorders and after full discussion with  
4 the person about the likely advantages and disadvantages of the  
5 treatments suggested.

6 **5.3.4.8** When treating people with complex and treatment-refractory GAD,  
7 consider encouraging them to participate in clinical research.

8

## 9 **5.4 COLLABORATIVE CARE**

### 10 **5.4.1 Introduction**

11 Collaborative care has been described by researchers (Gunn *et al.*, 2006) as a  
12 'system level' intervention with four key elements:

- 13 • Collaboration in care of patients between a general practitioner (GP)  
14 and at least one other health professional (e.g. a psychiatrist, a clinical  
15 psychologist, a social worker, or a nurse)
- 16 • The use of a structured management protocol or guidelines. The  
17 intervention may include pharmacological and/or psychosocial  
18 interventions.
- 19 • Scheduling regular follow up with patients to provide specific  
20 interventions, facilitate treatment adherence, and monitor symptoms  
21 or adverse effects
- 22 • A system or mechanism to facilitate and enhance inter-professional  
23 communication regarding the care plan for the patient. This could  
24 include team meetings, case reviews, shared electronic patient records,  
25 professional supervision of the care manager.

26

27 The health professional collaborating with the GP in care of patients is  
28 sometime described as a "case manager", where a key element of the role  
29 involves coordinating care for the patient with the GP and including referral  
30 on to secondary care. "Case managers" may not always be from traditional  
31 health professional backgrounds; they may be specifically trained to  
32 undertake this and/or related roles (for example graduate mental health  
33 workers). Where the health professional or case manager is not a mental  
34 health professional, there is commonly supervision of the individual by a  
35 senior mental health professional and there is some evidence from reviews of  
36 collaborative care for depression that this supervision may be important in  
37 the effectiveness of these approaches (Bower *et al.*, 2006).

38

39 The purpose of collaborative care approaches is to improve the uptake of  
40 evidence based treatments in primary care. They were developed in the USA  
41 with a focus on depression in the context of the publication of the US  
42 AHCPR/ARQ (1993) Depression in Primary Care clinical guideline and

1 evidence that few people with depression in primary care received an  
 2 evidence-based pharmacological and psychological treatment for their  
 3 depression. Reflecting this origin, most studies of collaborative care have been  
 4 on depression and have been conducted in the USA (Gilbody *et al.*, 2006;  
 5 NICE, 2009a). However, a few studies have begun to explore the potential of  
 6 collaborative care approaches for anxiety disorders.

## 7 5.4.2 Narrative review

8 Two studies (Rollman, 2005; Roy-Byrne, 2010) examined the effectiveness of  
 9 collaborative care trials in primary care settings for a mixed anxiety  
 10 population in the US. Collaborative care trials are complex interventions that  
 11 differ in terms of its multiple treatment modalities, flexible service delivery  
 12 and monitoring, and collaborative relations between patients, physicians and  
 13 care workers. Given the two trials had different population composition, and  
 14 the uncertainty attached to the comparability of complex service level  
 15 interventions, the trials will be narratively reviewed. The study characteristics  
 16 and the results are presented in Table 4 and Table 5.

17  
 18 **Table 4: Summary study characteristics of collaborative care trials**

	Roy-Byrne 2010	Rollman 2005
Study ID	ROY-BYRNE2010	ROLLMAN2005
Total N / % female	1004 (71%)	191 (81%)
Mean age	43	Range 18-64
Diagnosis	DSM-IV for panic disorder, generalized anxiety disorder, social anxiety disorder and/or post-traumatic stress disorder	DSM-IV for panic disorder and/or generalized anxiety disorder
Population mix (%)	Panic disorder N=475 (47%) Generalised anxiety disorder N=756 (75%) Social anxiety disorder N=405 (40%) Posttraumatic stress disorder N=181 (18%)  Co-occurring depression N=648 (64.5%)  One or more chronic medical conditions N=801 (80%)	Panic disorder N=20 (10%) Generalized anxiety disorder N=80 (42%) Panic disorder or GAD N=91 (48%)  Co-occurring depression N=108 (57%)
Baseline severity (clinician rated)	Scored at least 8 (moderate anxiety symptoms on scale of 20) on Overall Anxiety Severity and Impairment Scale (OASIS)	Baseline Hamilton score 20.3 (6.4)
Comparator	Treatment as usual – with medication, counselling (limited mental health resources), or referral to mental health specialist	Treatment as usual
Length of treatment	10-12 weeks	Not specified

Follow-up	6 months 12 months 18 months	Accessed at 2, 4, 8 and 12 months
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1  
2  
3

**Table 5: Summary clinical evidence of collaborative care trials**

	<b>Roy-Byrne 2010</b>	<b>Rollman 2005</b>
Study ID (Total N)	ROY-BYRNE2010 (1004)	ROLLMAN2005 (191)
Length of treatment	10-12 weeks	Not specified
Follow-up	6 months 12 months 18 months	Accessed at 2, 4, 8 and 12 months
Frequency of care manager contact	Frequency of CBT visits at 12 months Mean 7 (SD 4.1), Median 8 visits  Frequency of Medication/ Care management visits at 12 months Mean 2.24 (SD 3.57), Median 1 visit  Percentage of service uptake at 12 months 34% CBT visits only 9 % Medication/care management visits only 57% Some of both CBT and medication visits	Median care manager contacts at 6 months Median 7 (range 0-25)  Median care manager contacts at 12 months Median 12 (range 0-41)  3 or more care manager contacts in first 6 months 79.3% (92 out of 116)
Results for GAD only population	Adjusted Mean Brief Symptom Inventory Score (BSI-12) At 6 months - Difference score -2.52 (-3.76 to -1.27) Effect size -0.32 (p value .002)  At 12 months - Difference scores -2.67 (-3.89 to -1.45) Effect size -0.32 (p value <.001)  At 18 months - Difference scores -1.71 (-2.92 to -0.49) Effect size -0.19 (p value .05)	Hamilton Anxiety Rating Scale SIGH-A At 12 months - Difference score -1.1 (-5 to 2.7) Effect size 0.25 (-0.21 to 0.7) (p value .57)  SF-12 - Mental component score At 12 months - Difference score 3.8 (-3.4 to 11) Effect size 0.24 (-0.21 to 0.69) (p value .3)
Results for full population (mixed anxiety disorders)	Non-response (Response defined by at least 50% reduction on BSI-12) At 6 months: RR 0.67 (0.60, 0.76) At 12 months: RR 0.66 (0.57, 0.76) At 18 months: RR 0.73 (0.63, 0.85)  Non-remission (Remission defined by score less than 5 on OASIS) At 6 months: RR 0.78 (0.71, 0.86) At 12 months: RR 0.73 (0.65, 0.81) At 18 months: RR 0.77 (0.69, 0.86)  Drop outs due to any reason At 6 months: RR 0.80 (0.58, 1.11) At 12 months: RR 0.95 (0.73, 1.22) At 18 months: RR 0.88 (0.69, 1.13)	Drop outs due to any reason RR 2.07 (0.79, 5.41)  Hamilton Anxiety Rating Scale SIGH-A Effect size 0.38 (0.09 to 0.67) (p value .01)  Panic Disorder Severity Scale (PDSS) Effect size 0.33 (0.04 to 0.62) (p value .02)  Depression score (Hamilton depression rating scale) Effect size 0.35 (0.25 to 0.46) (p value .03)

	<p>Mean Brief Symptom Inventory Score (BSI-12)          At 6 months Effect size -0.3 (-0.43 to -0.17)          At 12 months Effect size -0.31 (-0.44 to -0.18)          At 18 months Effect size -0.18 (-0.3 to -0.06)</p> <p>Depression score (PHQ-9)          At 6 months Effect size -0.25 (-0.37 to -0.12)          At 12 months Effect size -0.37 (-0.51 to -0.23)          At 18 months Effect size -0.24 (-0.37 to -0.11)</p> <p>Quality of life (SF-12 Mental health composite)          At 6 months Effect size 0.34 (0.21 to 0.47)          At 12 months Effect size 0.47 (0.33 to 0.61)          At 18 months Effect size 0.39 (0.24 to 0.54)</p> <p>Quality of life (SF-12 Physical health composite)          At 6 months Effect size 0.05 (-0.07 to 0.17)          At 12 months Effect size -0.01 (-0.16 to 0.14)          At 18 months Effect size 0.08 (-0.05 to 0.22)</p>	<p>Quality of life (SF-12 Mental health composite)          Effect size 0.39 (0.1 to 0.68) (p value .01)</p> <p>Quality of life (SF-12 Physical health composite)          Effect size 0.01 (-0.28 to 0.3) (p value .96)</p>
<p>Statistically significant differences in Care Processes (intervention VS TAU)</p>	<p>Medication change during first 6 months (calculations based on those responded at 6 months, weighted for non response)          Intervention 25.4% (21.3-29.4)          TAU 17.1% (13.5-20.7)          p-value .05</p> <p>Receive any counselling At 6 months          Intervention 88.1% (84.2-92)          TAU 51% (47.1-55)          p-value &lt;.001</p> <p>Receive any counselling At 12 months          Intervention 58.4% (53.7-63.2)          TAU 46.3% (41.5-51.1)          p-value .01</p> <p>Receive counselling with more than 3 CBT elements (6 in total) At 6 months          Intervention 82.1% (78.2-86.1)          TAU 33.6% (29.6-37.7)          p-value&lt;.001</p> <p>Receive counselling with more than 3 CBT elements (6 in total) At 12 months          Intervention 49.1% (44.5-53.6)          TAU 26.6% (22.1-31.2)          p-value &lt;.001</p> <p>Receive counselling with more than 3 CBT elements delivered consistently At 6 months          Intervention 54.8% (51-58.7)          TAU 9.98% (6.08-13.88)          p-value&lt;.001</p>	<p>Months on pharmacotherapy for a mental health problem          At 2 months          Intervention 65.4% (53/81)          TAU 41.5% (22/53)          p-value .006</p>

	Receive counselling with more than 3 CBT elements delivered consistently At 12 months Intervention 21.6% (18.2-25.1) TAU 9.31% (5.83-12.79) p-value <.001	
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1  
2

### 3 **5.4.3 Mode of delivery**

4 Care workers delivered services to patients in both trials. In Roy-Byrne (2010),  
 5 the majority of care workers were social workers and nurses, with a few  
 6 masters level psychologists. Half had prior experience in mental health, half  
 7 had some pharmacotherapy experience, while only a few had any experience  
 8 of and none formal training in CBT . They received six half day training  
 9 sessions on CBT and one session on medication management. Rollman and  
 10 colleagues (2005) had two non-behavioural health specialists who were not  
 11 specially trained in CBT or pharmacotherapy. Details of training were not  
 12 specified.

13

14 The care workers in both trials collaborated with patients and their primary  
 15 care physicians. At the beginning of the trial, the care workers assessed the  
 16 patients, and allowed them to choose a treatment modality. Care workers  
 17 were to guide the patient's access to CBT treatments facilitated by a computer  
 18 (Roy-Byrne, 2010) or a self-help booklet (Rollman *et al.*, 2005). Thereafter, care  
 19 workers were responsible for monitoring patients' progress and adherence to  
 20 treatment. They were also responsible for reporting the progress to patient's  
 21 physicians. Where necessary, the care workers discussed the treatment  
 22 regimen and recommended modifications to the physicians. The final  
 23 decision on prescriptions was still made by the physicians. The care workers  
 24 received weekly supervision from a psychologist and psychiatrist in the Roy-  
 25 Byrne trial, and weekly case review sessions were conducted with the  
 26 principal investigators in the Rollman trial.

27

#### 28 ***Treatment modality***

29 There were three main treatment modalities in the two trials:  
 30 pharmacotherapy, assisted CBT or both. The pharmacotherapy treatment was  
 31 primarily a SSRI or SNRI. In the case of non-response, an additional anti-  
 32 depressant or a benzodiazepine could be used. The Roy-Byrne trial included a  
 33 computer assisted CBT treatment with five basic modules (education, self-  
 34 monitoring, hierarchy development, breathing training, and relapse  
 35 prevention) and three modules (cognitive restructuring, exposure to internal  
 36 and external stimuli) tailored to 4 specific disorders. In the Rollman trial, a  
 37 guided CBT booklet for managing panic disorder or GAD with care worker  
 38 was used to review lesson plans.

1

2 In the case of non-response, patients could receive more of the same modality  
3 (i.e. increased dosage or CBT sessions with extra modules), or switch over to  
4 the alternate modality, or receive both modalities simultaneously.

#### 5 **5.4.4 Clinical evidence summary**

##### 6 *Care process analysis*

7 Both studies reported differences in uptake of pharmacotherapy and CBT  
8 between collaborative care and treatment as usual (TAU) during the trial. The  
9 percentages of uptake can be found in Table 5.

10

11 Rollman and colleagues (2005) reported an overall 80% uptake of guided self-  
12 help CBT booklets in the collaborative care group. At 2 months assessment,  
13 there was a statistically significant difference between collaborative care  
14 (65.4%) and TAU (41.5%) in terms of their self-report usage of  
15 pharmacotherapy. The percentage did not differ at other assessment points. In  
16 addition, the self-report visits to mental health specialist did not differ  
17 between collaborative care and TAU group.

18

19 Roy-Byrne (2010) reported that the collaborative care group received  
20 significantly more counselling with CBT components at 6 and 12 months than  
21 the treatment as usual group, but the groups no longer differed at 18 months.  
22 In terms of pharmacotherapy, the collaborative care group (25.4%) changed  
23 medication significantly more than TAU (17.1%) during the first 6 months of  
24 the trial, but the groups no longer differed at 12 months. There were no  
25 between group differences in receiving any psychotropic medication at any  
26 time point.

27

#### 28 **Results**

##### 29 *GAD only population*

30 When collaborative care group was compared with treatment as usual, Roy-  
31 Byrne (2010) reported a small effect favouring collaborative care on anxiety  
32 symptoms for the population with GAD at a 6 and 12 month assessment. The  
33 small effect was lost at 18 month assessment. However, Rollman and  
34 colleagues (2005) did not find statistical significant differences on anxiety  
35 outcome for the GAD only population.

##### 36 *Mixed anxiety population*

37 Similar results were observed for the mixed anxiety population. In Roy-Byrne  
38 (2010), there was a 27% to 34% reduction in non-response in the collaborative  
39 care group at a 6, 12, 18 month assessment. There was a 22% to 27% reduction  
40 in non-remission in the collaborative care group at a 6, 12, 18 month  
41 assessment. There were significant small effects favouring collaborative care

1 on anxiety, depression and quality of life (mental health scores) compared  
2 with TAU at 6 and 12 months. However, although effect sizes were  
3 statistically significant, they dropped at 18 months on anxiety and depression  
4 outcomes. Findings were similar with Rollman and colleagues (2005), in  
5 which small effects favouring collaborative care were found on anxiety, panic  
6 severity, depression, and quality of life (mental health scores) outcomes at a  
7 12 month assessment.  
8

#### 9 **5.4.5 From evidence to recommendations**

10 The results from the two trials implied collaborative care, which is outlined  
11 by its flexible treatment modality and the collaborative care relation, had a  
12 small effect on outcome measures compared with treatment as usual. These  
13 are good quality randomized controlled trials, with a reasonably large sample  
14 size.  
15

16 However, the GDG considered they were unable to make a clinical  
17 recommendation on the basis of the evidence reviewed at this stage for the  
18 following reasons. Both trials reported small clinical benefits for a mixed  
19 anxiety population. However, the two trials had different conclusions for the  
20 population with GAD alone. Roy-Byrne (2010) reported a small clinical  
21 benefit on anxiety symptoms. However, they did not report other outcomes  
22 (depression, quality of life, response and remission) for GAD alone  
23 population. . Rollman and colleagues (2005) did not find differential effect on  
24 anxiety nor quality of life outcomes for those with GAD alone. With Roy-  
25 Byrne (2010) only being published a few weeks before finalising and  
26 submitting the guideline, it was not possible to undertake health economic  
27 analyses of the two trials. Collaborative care interventions are complex in  
28 nature and can be difficult to cost (van Steenbergen-Weijenburg *et al.*, 2010). A  
29 robust health economic analysis is necessary in order to make a firm clinical  
30 recommendation.  
31

32 In addition, collaborative care is a complex service level intervention which is  
33 embedded in a service context. Given the variation in nature of the usual care  
34 in US and UK, it may not be possible to extrapolate results from US studies to  
35 the UK. Adapting collaborative care to the UK context and replicating results  
36 would be advisable. Accordingly, while no clinical recommendation for  
37 collaborative care was made, as collaborative care shows some promise for  
38 the GAD population, we have made a research recommendation.  
39

1

## 2 **5.4.6 Research recommendation**

3 **5.4.6.1** Effectiveness of a primary care-based collaborative care approach to  
4 improving the treatment of GAD compared with usual care

5 **What are the benefits of a primary care-based collaborative care**  
6 **approach to improving the treatment of GAD compared with usual**  
7 **care?**

8

9 This question should be addressed using a cluster randomised  
10 controlled design in which the clusters are GP practices and people  
11 with GAD are recruited following screening of consecutive attenders  
12 at participating GP practices. GPs in intervention practices should  
13 receive training in recognising GAD and providing both drug  
14 treatment and GP-delivered low-intensity psychological interventions  
15 (psychoeducation and pure self-help). Psychological well-being  
16 practitioners<sup>4</sup> (PWPs) in intervention practices should provide these  
17 low-intensity psychological interventions and support GP-prescribed  
18 drug treatment by providing information about side effects,  
19 monitoring medication use and liaising about any changes to  
20 medication. They should also support the referral for CBT of  
21 participants whose symptoms have not improved following low-  
22 intensity interventions. Structured, practice-based protocols should  
23 define care pathways, the interventions to be provided by  
24 practitioners at each point in the care pathway and the mechanisms  
25 they should use to liaise about individual patients. In control  
26 practices, participants should receive care as usual from the GP,  
27 including referral for primary and secondary care psychological  
28 interventions or mental health services.

29

30 Outcomes should be evaluated at 6 months with follow-up  
31 assessments continuing for up to 2 years to establish whether short-  
32 term benefits are maintained in the longer term. Both observer and  
33 participant-rated measures of clinical symptoms and quality of life  
34 should be included. Cost-benefit analyses should also be carried out.  
35 The trial needs to be large enough to determine the presence or  
36 absence of clinically important effects.

37

### 38 **Why this is important**

39

40 Most people with GAD in the UK do not receive evidence-based  
41 management and poor recognition of GAD by GPs contributes to a  
42 lack of appropriate interventions being offered. There is some  
evidence that complex interventions involving the training of primary

---

<sup>4</sup>Also known as graduate mental health workers.



1 care practitioners, together with a collaborative care approach  
2 involving GPs, other primary care practitioners and mental health  
3 professionals, can improve the uptake of evidence-based  
4 interventions and clinical and functional outcomes for people with  
5 GAD. However, these approaches have not been evaluated in  
6 primary care in the UK. Given the differences between the  
7 organisation of primary care in different countries, such as the US, it  
8 is important to demonstrate whether these approaches can also be  
9 effective in the UK.

10

# 1 6 LOW-INTENSITY 2 PSYCHOLOGICAL 3 INTERVENTIONS

## 4 6.1 INTRODUCTION

5 This chapter reviews the evidence for the clinical efficacy and cost  
6 effectiveness of low-intensity interventions which include computerised  
7 cognitive behavioural therapy (CCBT), guided self-help, pure self-help and  
8 progressive/applied relaxation in the treatment of generalised anxiety  
9 disorder (GAD).

10  
11 Low-intensity interventions (LI) have become firmly embedded into service  
12 provision as a way of increasing access to psychological treatments for people  
13 experiencing mild to moderate anxiety and depressive disorders. Although  
14 low-intensity interventions have been used as a precursor or adjunct to  
15 conventional face to face CBT this review will focus on these as a primary  
16 treatment. Low-intensity interventions are integral to stepped care models  
17 and provide many of the least restrictive treatments in step 2. Most low  
18 intensity interventions are based on the principles of cognitive behavioural  
19 therapy (CBT) and vary according to whether their delivery involves support  
20 from a health practitioner (guided self-help) or not (pure self-help). Low  
21 intensity interventions differ in delivery style, amount of health professional  
22 input, content and degree of complexity. The delivery of low intensity  
23 psychological treatments is rapidly changing with innovations being adopted  
24 which have the potential to enhance the accessibility, availability, and cost-  
25 effectiveness of mental health services

26  
27 The health professional's role in delivering low-intensity interventions (both  
28 guided and pure self-help) is to engage patients to use and chose the mode of  
29 delivery of CBT materials and provide sufficient information about the  
30 materials to be used and know the material sufficiently well to enable the  
31 patient to chose the most appropriate materials for their needs. They also  
32 need to ensure that progress is appropriately monitored and reviewed. In the  
33 case of guided self-help health professionals should provide additional  
34 support and guidance to patients during the course of the intervention and  
35 collaboratively problem-solve barriers which impede progress. Self-help  
36 materials should be user friendly and of an appropriate reading age  
37 (Richardson *et al.*, 2008) and translated into languages which are reflective of  
38 the needs of the local community.  
39

## 1 **6.1.1 Definitions of low-intensity interventions**

2 Although there is no agreed definition on exactly what constitutes a low-  
3 intensity intervention they share several common characteristics. Low-  
4 intensity interventions use less resource (virtually none in the case of pure  
5 self-help) in terms of health professional time than conventional psychological  
6 therapies. However the interventions are not necessarily less resource  
7 intensive for the individuals receiving or using them. These interventions are  
8 often delivered and /or supported by mental health workers without formal  
9 mental health professional training, who have been specifically trained to  
10 deliver low-intensity interventions (including Primary Care, Mental Health  
11 Workers and Psychological Wellbeing Practitioners). Most but not all  
12 interventions utilise a health technology (Richards *et al.*, 2003) such as CD's,  
13 books (Marrs, 1995), audio (Blenkiron, 2001), internet (Christensen *et al.*, 2004),  
14 computerised CBT (Proudfoot *et al.*, 2004, Kaltenthaler *et al.*, 2006) Note that in  
15 this review computerised CBT (CCBT) has been categorised as either 'guided  
16 self-help' or 'pure self-help', depending on how it was delivered, rather than  
17 analysing it separately. The majority of low-intensity interventions are based  
18 on the principles of CBT to enable individuals to learn specific techniques (e.g.  
19 thought challenging, behavioural activation) with the aim of relieving distress  
20 and improving daily functioning. Low-intensity interventions are often  
21 supported by a health professional using remote methods including the  
22 telephone or email. Remote delivery of low-intensity CBT has the ability to  
23 overcome many of the social, physical and economic barriers which prevent  
24 access to mental health services, and is increasingly being used as a means to  
25 support treatment delivery (Bee *et al.*, 2008).

### 26 *Guided self-help*

27 Guided self-help is defined as a self administered intervention intended to  
28 treat generalised anxiety and usually involves a CBT-based self-help resource  
29 (such as a book, self-help workbook or multi media) with limited support  
30 from a health care professional. The role of the health professional or  
31 paraprofessional (that is, a psychological well-being practitioner) is to guide  
32 and support the patient in using the self-help resource and monitor and  
33 review the process and outcome of the treatment. Guidance from the health  
34 professional ranges from 3-10 sessions with between 3 and 6 hours total  
35 health professional time and is usually delivered face to face or by telephone.  
36 However, there remains ambiguity concerning the best way to deliver guided  
37 self-help, such as the most appropriate 'health technology' for the delivery of  
38 the self-help materials (written materials or multimedia), the level and nature  
39 of the guidance required, and the skills and expertise required to deliver this  
40 guidance (Gellatly *et al.*, 2007; Lovell *et al.*, 2008). There are limitations to  
41 written self-help resources in that a level of literacy is required and few self-  
42 help resources have been translated into other languages.

43

1 ***Pure self-help (individual self-help materials)***

2 Pure self-help is defined as a self administered intervention intended to treat  
3 generalised anxiety and involves a self-help resource (usually a book or  
4 workbook) and is similar to guided self-help but without any health  
5 professional contact.

6 ***Psychoeducational group***

7 Group psycho education is usually delivered in large groups (between 20-24  
8 patients) and is similar to an evening class (White, 1998). Psycho education  
9 groups use a didactic approach and focus on educating patients about the  
10 nature of anxiety and ways of managing anxiety using CBT techniques. The  
11 'classes' are delivered weekly for 2 hours over a 6 week period and usually  
12 include presentations and self-help materials. Groups are conducted by  
13 appropriately trained practitioners and usually have a therapist-participant  
14 ration of 1:12.  
15

16 **6.1.2 Clinical questions**

17 In the treatment of GAD, do any of the following improve outcomes  
18 compared with other interventions (including treatment as usual): pure  
19 bibliotherapy, pure audiotherapy, pure computer therapy, guided  
20 bibliotherapy, guided computer therapy, psychoeducational groups, and  
21 helplines.

22 **6.1.3 Databases searched and inclusion/exclusion criteria**

23 Information about the databases searched and the inclusion/ exclusion  
24 criteria used for this section of the guideline can be found in Table 6  
25 (further information about the search for health economic evidence can be  
26 found in Section 3.6).  
27

28 Trials of low-intensity interventions have only rarely been restricted to  
29 patients with GAD. This is partly as the interventions have commonly been  
30 designed to target a wider range of anxiety disorders and partly as the trials  
31 have often been pragmatic trials in primary care and other settings where  
32 differentiation between the anxiety disorders is not common practice.  
33 Accordingly, for this review of low-intensity psychological interventions we  
34 used broader inclusion criteria than for the reviews of high-intensity  
35 psychological interventions and of pharmacological interventions reported in  
36 later chapters. Specifically, our meta-analysis included:

- 37 • quasi randomised controlled trials as well as true RCTs. Quasi-RCTs  
38 are trials where the method of randomisation is based on some not  
39 truly random factor; for example, in recruiting for trials for  
40 Psychoeducational group it is common to recruit a batch of successive  
41 patients into the intervention group and then a further batch into the  
42 control group (alternating batches until the recruitment target has been

1 met) in order to recruit sufficient patients in a timely manner to start  
2 each psychoeducational group.

3 • trials of patients with a diagnosis of GAD under DSM-III criteria, rather  
4 than restricting GAD diagnosis to those using DSM-III-R, DSM-IV or  
5 ICD-10 criteria

6 • trials of patients with mixed anxiety disorders where these were likely  
7 to include a significant number of patients with GAD, where the  
8 intervention was relevant for patients with GAD and where the  
9 primary outcome measure was a measure of anxiety appropriate to  
10 GAD (e.g. the HAM-A). From epidemiological data, between one  
11 quarter and two thirds of a mixed anxiety disorder population would  
12 be expected to have GAD, either GAD only or comorbid with another  
13 anxiety disorder (Alonso *et al.*, 2004b; Kessler *et al.*, 2005c; McManus *et*  
14 *al.*, 2009).

15

16

17 **Table 6. Databases searched and inclusion/exclusion criteria for clinical**  
18 **evidence.**

Electronic databases	MEDLINE, EMBASE, CINAHL, PsycINFO, Cochrane Library
Date searched	Database inception to 09.05.2010
Study design	RCT, quasi-RCTs
Patient population	People with a primary diagnosis of Generalised Anxiety Disorder or any anxiety disorders
Interventions	Guided or pure self-help (bibliotherapy; audio therapy; computer delivered therapy); Psychoeducational groups; Help-lines: Physical exercise
Outcomes	Non-remission, Non-response, Drop outs Mean rating scale scores for anxiety, depression ,worry, somatic symptoms, quality of life

19

#### 20 **6.1.4 Studies considered<sup>5</sup>**

21 The review team conducted a new systematic search for RCTs that assessed  
22 the effectiveness of psychological interventions for the treatment of people  
23 with generalised anxiety disorder, or mixed anxiety disorder in general as  
24 defined in DSM-III, DSM-III-R or DSM-IV.

25

26 A total of 7,182 references were identified by the electronic search relating to  
27 clinical evidence, none were identified from other reviews, unpublished trials  
28 and websites. Of these references, 7,103 were excluded at the screening stage  
29 on the basis of reading the title and/or abstract. The remaining 79 references

<sup>5</sup> Here and elsewhere in the guideline, each study considered for review is referred to by a study ID in capital letters (primary author and date of study publication, except where a study is in press or only submitted for publication, then a date is not used).

1 were assessed for eligibility on the basis of the full text. 12 trials met the  
2 eligibility criteria set by the GDG providing data on 690 participants. Of these,  
3 all were published in peer-reviewed journals between 1992 and 2009. In  
4 addition, 67 studies were excluded from the analysis. Reasons for exclusion  
5 were not providing an acceptable diagnosis of Generalised Anxiety Disorder  
6 (n=20), not being an RCT (n=18), having less than 10 participants per group  
7 (n= 5), outcomes not extractable or not valid (n= 9), participants aged under  
8 18 (n = 2), non-English language (n = 2) and not being relevant intervention  
9 (n= 11) (further information about both included and excluded studies can be  
10 found in Appendix 16b).

11  
12 A total of twelve RCTs were included, of which, four studies targeted GAD  
13 only diagnosis and eight targeted a mixed anxiety disorder population. Six  
14 studies used pure self-help, four used guided self-help and two used  
15 psychoeducational group. There was no trial on help-lines or physical  
16 exercises. Data were available to compare treatments with waitlist control and  
17 treatment as usual. Treatment as usual typically consisted of continually  
18 receiving a mixture of conventional treatments, whereas the waitlist control  
19 group received no active treatments.

20  
21 All of these participants had a diagnosis of one or more anxiety disorders,  
22 most of which (if not otherwise stated) included a diagnosis of GAD and  
23 panic disorder.

24  
25 The severity of the population was unknown as they were not reported in the  
26 studies.

27  
28 A range of self-rated and clinician-rated outcomes were reported in the  
29 included studies. The most commonly reported were the Hamilton Rating  
30 Scale for Anxiety, Beck Depression Inventory, Hamilton Rating Scale for  
31 Depression, Beck Anxiety Inventory and Penn State Worry Questionnaire.  
32 (Please refer to the Appendix 16 for outcomes reported in each study)

33  
34 The included studies were analysed based on the nature of support offered to  
35 patients. These will be presented as follows:

- 36
- 37 • Pure self-help (which includes bibliotherapy or computerised therapy)  
(see Section 6.2). This is characterised by:
    - 38 - No therapist support
    - 39 - Zero or one session used to explain instructions
  - 40 • Guided self-help (which includes bibliotherapy or computerised  
41 therapy) (see Section 6.3). This is characterised by:
    - 42 - 5-7 sessions with a duration of 10-20 minutes each
  - 43 • Psychoeducational group (see Section 6.4). This includes:

- 1 - 6 sessions with a duration of 120 minutes per session
- 2 - Delivered by paraprofessionals
- 3 •

## 4 6.2 PURE SELF-HELP

### 5 Study characteristics

6 There were six RCTs which compared pure self-help treatments with waitlist  
 7 control or treatment as usual. Four targeted mixed anxiety population and  
 8 two targeted GAD only population. A summary of study characteristics can  
 9 be found in Table 7 with full details in Appendix 16b which also includes  
 10 details of excluded studies.

11

12 **Table 7: Summary study characteristics for pure self-help interventions**

	Pure bibliotherapy versus Non-active control in mixed anxiety population	Pure bibliotherapy versus WLC in GAD only population	Pure computer therapy versus WLC in GAD only population
No. trials (Total no. of participants)	4 RCTs (159)	1 RCT (38)	1 RCT (100)
Study IDs	1) KASSINOVE1980* 2) MAUNDER2009 3) TARRIER1986 4) WHITE1995*	1) BOWMAN1997	1) HOUGHTON2008
N/% female	1) 34/64% 2) 38/0% 3) 50/60% 4) 62/58%	1)38/74%	1) 231/100%
Mean age	1) No information 2) 35 3) 41 4) 38	1) 43	1) 43
Diagnosis	1) Previously diagnosed with an anxiety disorder 2) All diagnosed with an anxiety disorder with a minimum cut off score of 8 on HADS (hospital anxiety and depression scale) anxiety subscale 3) Previously diagnosed with an anxiety disorder 4) All diagnosed with an anxiety disorder by DSM-III-R	1) All diagnosed with Generalised Anxiety Disorder as a primary diagnosis by DSM-III-R	1) All previously diagnosed with Generalised Anxiety Disorder
Baseline severity rated by clinician	1) Not reported 2) Cut off scores for HADS is 8 3) Not reported 4) Baseline ADIS score 5.65-6.05	1) Baseline HAM-A score 27.9-29.1	1) Not reported
Treatment	1) Rational emotive bibliotherapy & audiotherapy 2) CBT 3) Applied relaxation 4) CBT	1) Problem solving	1) Mindfulness
Comparator	1) WLC 2) TAU	1) WLC	1) WLC

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	3) WLC 4) WLC & Information control		
Settings	1) Community mental health centre in US 2) Primary care in male prison in UK 3) Secondary care, participants referred by psychiatrists, GPs in UK 4) Secondary care, participants referred by GPs in UK	1) Community, participants were self recruited by advertisements in US	1) Out patients, participants were self-recruited in US
Length of treatment	1) 8 weeks 2) 4 weeks 3) 3 weeks 4) 13 weeks	1) 4 weeks	1) 8 weeks
No. of sessions	1)16 sessions 2)No sessions 3) 1 session 4) Unclear	1) 4 sessions	1) 8 sessions

1

2 *Clinical evidence for pure self-help*

3 Evidence from the important outcomes and overall quality of evidence are  
4 presented in Table 8. The full GRADE profiles and associated forest plots can  
5 be found in Appendix 19a and Appendix 17a, respectively.



1

2 **Table 8: Summary evidence profile for pure self-help**

<b>PURE SELF-HELP</b>	<b>Mixed anxiety population Pure bibliotherapy versus non-active control</b>	<b>GAD only population Pure bibliotherapy versus WLC</b>	<b>GAD only population Pure computer therapy versus WLC</b>	<b>Combined population Pure self-help versus non-active control (WLC or TAU)</b>	<b>Combined population Pure self-help versus WLC</b>	<b>Combined population Pure self-help versus TAU</b>	<b>Mixed anxiety population Pure bibliotherapy versus Pure audiotherapy</b>
Total number of studies (number of participants)	4 RCTs (164)	1 RCT (38)	1 RCT (231)	6 RCTs (433)	5 RCTs (202)	1 RCT (38)	1 RCT (22)
Study ID	1)KASSINOVE1980 2) MAUNDER2009 (Treatment as usual-TAU) 3) TARRIER1986 4) WHITE1995	1) BOWMAN1997	1) HOUGHTON2008	1) BOWMAN1997 2) HOUGHTON2008 3) KASSINOVE1980 4) MAUNDER2009 (TAU) 5)TARRIER1986 6) WHITE1995	1) BOWMAN1997 2) HOUGHTON2008 3)KASSINOVE1980 4) TARRIER1986 5) WHITE1995	1) MAUNDER2009 (TAU)	1) KASSINOVE 1980
Length of follow up	1) None 2) 4 weeks (not reportable) 3) None 4) None	1) 3 months	1) None	1) 3 months 2) None 3) None 4) 4 weeks (not reportable) 5) None 6) None	1) 3 months 2)None 3) None 4) None 5) None	1) 4 weeks (not reportable)	1) None

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<b>Benefits</b>							
Anxiety (self-rated)	SMD -0.76 (-1.12, -0.40)  Quality: Moderate  K=4, N=142	SMD -1.06 (-1.77, -0.35)  Quality: High  K=1, N=35	SMD -0.61 (-1.01, -0.21)  Quality: High  K=1, N=100	SMD -0.74 (-0.99, -0.49)  Quality: Moderate  K=6, N=277	SMD -0.74 (-1.01, -0.48)  Quality: Moderate  K=5, N=243	SMD -0.70 (-1.40, -0.01)  Quality: Moderate  K=1, N=34	SMD -0.55 (-1.40, 0.31)  Quality: Moderate  K=1, N=22
Anxiety (self-rated) at follow up	-	SMD -1.06 (-1.83, -0.29)  K=1, N=30	-	SMD -1.06 (-1.83, -0.29)  K=1, N=30	SMD -1.06 (-1.83, -0.29)  K=1, N=30	-	-
Depression (self-rated)	SMD -0.78 (-1.27, -0.30)  Quality: Moderate  K=2, N=85	-	-	SMD -0.78 (-1.27, -0.30)  Quality: Moderate  K=2, N=85			-
Non remission	RR 0.68 (0.53, 0.87)  Quality: Moderate  K=2, N=76	-	-	RR 0.68 (0.53, 0.87)  Quality: Moderate  K=2, N=76	RR 0.65 (0.46, 0.92)  Quality: High  K=1, N=42	RR 0.71 (0.50, 1.01)  Quality: High  K=1, N=34	-
<b>Harm</b>							
Discontinuation due to any reason	RR 0.50 (0.09, 2.84)  Quality: Low  K=2, N=80	RR 2.00 (0.20, 20.24)  Quality: Moderate  K=1, N=38	RR 0.55 (0.39, 0.77)  Quality: Moderate  K=1, N=231	RR 0.56 (0.40, 0.78)  Quality: Low  K=4, N=349	RR 0.55 (0.37, 0.82)  Quality: Moderate  K=3, N=311	RR 0.90 (0.14, 5.74)  Quality: Low  K=1, N=38	Did not provide drop out data

1 **6.2.1 Evidence summary (pure self-help)**

2 When pure self-help was compared with a non-active control group amongst  
3 a mixed anxiety population, the results indicate a statistically significant  
4 moderate effect size for anxiety scores and a moderate effect size for  
5 depression scores, favouring pure self-help for the mixed anxiety population.  
6 It also indicates a statistically significant improvement in non-remission.  
7 None of these studies provided follow up data.

8  
9 When studies targeting both GAD only and mixed anxiety population were  
10 combined, the results indicate a very similar and statistically significant  
11 moderate effect size for anxiety scores and a moderate effect size for  
12 depression scores, favouring pure self-help for both populations. There were  
13 significantly more drop outs in comparison group. The above evidence  
14 suggest that pure self-help is effective for both populations.

15  
16 There was limited evidence that compared modes of delivery. One study  
17 (Kassinove, 1980) compared pure bibliotherapy with audiotape.  
18 Bibliotherapy appeared to be more effective than audiotape but it was not  
19 statistically significant.

20  
21 The overall quality of evidence was low. The detailed reasons for  
22 downgrading quality can be found in Appendix 19a. The main reason for  
23 downgrading was the combined population of mixed anxiety and GAD only  
24 diagnosis. The studies targeting GAD only population were generally of  
25 higher quality than those targeting mixed anxiety populations.

26 *Specific interventions for treating GAD only population*

27 Two of the studies of pure self-help interventions were included only GAD  
28 patients rather than patients with a variety of anxiety disorders including  
29 GAD. The pure self-help interventions in these two studies were delivered  
30 using different means of approach. One study delivered a mindfulness based  
31 stress reduction (MBSR) computer programme (Houghton, 2008) and the  
32 other used a problem-solving based bibliotherapy booklet (Bowman, 1997).  
33 When each of these interventions was compared with a non-active control  
34 group, the results indicated a statistically significant moderate effect (MBSR)  
35 and large effect (problem-solving based bibliotherapy) for anxiety scores,  
36 favouring treatments. None of these studies provided follow up data.

37

1 **6.3 GUIDED SELF-HELP**

2 *Study characteristics*

3 There were four RCTs which compared guided self-help treatments with  
4 waitlist control or treatment as usual. Three targeted mixed anxiety  
5 population and one on GAD only population. A summary of study  
6 characteristics can be found in Table 9 with full details in Appendix 16b  
7 which also includes details of excluded studies.

8

1 **Table 9: Summary study characteristics of guided self-help**

	<b>Guided bibliotherapy versus WLC in mixed anxiety population</b>	<b>Guided bibliotherapy versus TAU in mixed anxiety population</b>	<b>Guided computer therapy versus WLC in GAD only population</b>
No. trials (Total no. of participants)	1 Quasi-RCT (96)	2 RCTs (139)	1 RCT (48)
Study IDs	1) LUCOCK2008	1) SORBY1991 2) VAN BOEIJEN2005*	1) TITOV2009
N/% female	1) 96/65%	1) 60/82% 2) 142/63%	1) 48/71%
Mean age	1) 40	1) No information 2) 38	1) 44
Diagnosis	1) Previously diagnosed with anxiety disorder: 54% had GAD & 46% had panic disorder	1) All diagnosed with an anxiety disorder by DSM-III (20-30% panic disorder; 14% GAD) 2) All diagnosed with an anxiety disorder by DSM-IV (31% primary diagnosis of GAD; 28% dual diagnosis of GAD and PD)	1) All diagnosed with GAD as a primary diagnosis by DSM-III-R
Baseline severity rated by clinician	1) Not reported	1) Not reported 2) Not reported	1) Cut off score of 10 on GAD-7 (ranges from 13.62-14.33)
Treatment	1) CBT	1) Anxiety management training 2) CBT (low-intensity in secondary care)	1) CBT
Comparator	1) WLC	1) TAU 2) TAU (in primary care)	1) WLC
Length of treatment	1) 8 weeks	1) 8 weeks 2) 12 weeks	1) 9 weeks

2

1 *Clinical evidence for guided self-help*

2 Evidence from the important outcomes and overall quality of evidence are  
3 presented in Table 10Table 8. The full GRADE profiles and associated forest  
4 plots can be found in Appendix 19a and Appendix 17a, respectively.

1 Table 10: Summary evidence profile for guided self-help

Guided self-help	Mixed anxiety population  Guided bibliotherapy versus WLC	Mixed anxiety population  Guided bibliotherapy versus TAU	GAD only population  Guided computer therapy versus WLC	Combined population  Guided self-help versus non-active control (WLC or TAU)	Combined population  Guided self-help versus WLC	Combined population  Guided self-help versus TAU	Mixed anxiety population  Guided CBT bibliotherapy versus high-intensity CBT
Total number of studies (number of participants)	1 Quasi-RCT (96)	2 RCTs (139)	1 RCT (48)	3 RCTs 1 Quasi-RCT			1 RCT (142)
Study ID	1) LUCOCK2008	1) SORBY1991 2) VAN BOIEJEN2005*	1) TITOV2009	1) LUCOCK2008 2) SORBY1991 3) TITOV2009 4) VAN BOIEJEN2005*	1) TITOV2009 2) LUCOCK2008	1) SORBY1991 2) VAN BOIEJEN2005*	1) VAN BOIEJEN2005*
Length of follow up	1) None	1) None 2) 3 & 9 months	1) None	1) None 2) None 3) None 4) 3 & 9 months	1) None 2) None	1) None 2) 3 & 9 months	1) 3 & 9 months
Benefit							
Anxiety (self-rated)	SMD -0.62 (-1.14, -0.10)  Quality: Moderate  K=1, N=60	SMD 0.15 (-0.22, 0.51)  Quality: Low  K=2, N=124	SMD -1.22 (-1.86, -0.57)  Quality: High  K=1, N=45	SMD -0.38 (-0.99, 0.24)  Quality: Very low  K=4, N=229	SMD -0.89 (-1.47, -0.31)  Quality: Low  K=2, N=105	SMD 0.15 (-0.22, 0.51)  Quality: Low  K=2, N=124	SMD 0.30 (-0.07, 0.67)  Quality: Moderate  K=1, N=116

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Anxiety (self-rated) At follow up	-	3 months follow up SMD 0.11 (-0.36, 0.58) K=1,N=79 9 months follow up SMD 0.29 (-0.19, 0.76) K=1,N=79	-	-			3 months follow up SMD 0.28 (-0.08, 0.65) K=1, N=116 9 months follow up SMD 0.15 (-0.22, 0.52) K=1, N=116
Depression (self-rated)	SMD -0.44 (-0.95, 0.08) Quality: Low K=1, N=60	SMD 0.03 (-0.78, 0.84) Quality: Very Low K=2, N=122	SMD -0.85 (-1.46, -0.23) Quality: High K=1, N=45	SMD -0.31 (-0.86, 0.25) Quality: Very low K=4, N=227	SMD -0.63 (-1.02, -0.23) Quality: Low K=2, N=105	SMD 0.03 (-0.78, 0.84) Quality: Very low K=2, N=122	SMD 0.25 (-0.11, 0.62) Quality: Moderate K=1, N=116



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Depression (self-rated)At follow up	-	3 months follow up SMD 0.29 (-0.18, 0.77) K=1, N=79 9 months follow up SMD 0.43 (-0.04, 0.91) K=1, N=79	-	-			3 months follow up SMD 0.17 (-0.19, 0.54) K=1, N=116 9 months follow up SMD 0.12 (-0.24, 0.49) K=1, N=116
Worry (self-rated)	-	SMD 0.17 (-0.30, 0.64) Quality: Moderate K=1, N=79	SMD -0.93 (-1.55, -0.32) Quality: High K=1, N=45	SMD -0.36 (-1.44, 0.71) Quality: Very low K=2, N=124	SMD -0.93 (-1.55, -0.32) Quality: High K=1, N=45	SMD 0.17 (-0.30, 0.64) Quality: Moderate K=1, N=79	SMD 0.28 (-0.09, 0.64) Quality: Moderate K=1, N=116

Worry (self-rated) At follow up		3 months follow up SMD 0.24 (-0.23, 0.71) K=1, N=79 9 months follow up SMD 0.42 (-0.05, 0.90) K=1, N=79					3 months follow up SMD 0.35 (-0.02, 0.72) K=1, N=116 9 months follow up SMD 0.34 (-0.03, 0.71) K=1, N=116
Non remission	RR 1.00 (0.86, 1.16) Quality: Moderate K=1, N=96	-	RR 0.48 (0.31, 0.75) Quality: High K=1, N=45	RR 0.71 (0.32, 1.59) Quality: Very low K=2, N=141			-
Non response	-	-	RR 0.63 (0.46, 0.87) Quality: High K=1, N=45	-			-
<b>Harm</b>							
Discontinuation due to any reason	RR 1.40 (0.83, 2.37) Quality: Low K=1, N=96	RR 0.57 (0.03, 9.99) Quality: Very low K=2, N=153	RR 2.63 (0.59, 11.64) Quality: High K=1, N=45	RR 1.42 (0.70, 2.91) Quality: Low K=4, N=294	RR 1.50 (0.91, 2.47) Quality: Very low K=2, N=141	RR 0.57 (0.03, 9.99) Quality: Very low K=2, N=153	RR 0.79 (0.30, 2.08) Quality: Moderate K=1, N=116

1  
2

### 1 **6.3.1 Evidence summary (guided self-help)**

2 Three studies (Lucock, 2008; Van Boeijen, 2005; and Sorby, 1991) compared  
3 guided bibliotherapy with a non-active control group. These studies were too  
4 heterogeneous to be analysed together. Lucock (2008) compared guided  
5 bibliotherapy treatment with waitlist control. The treatment group showed a  
6 statistically significant moderate effect on anxiety scores. A small, yet not  
7 statistically significant effect was found on depression scores. There was no  
8 statistical significant difference in terms of improving non-remission. These  
9 results are based on one study and given the wide confidence intervals, it is  
10 difficult to make any firm conclusions from this evidence.

11  
12 Van Boeijen (2005) and Sorby (1991) both compared guided bibliotherapy  
13 with treatment as usual and thus were analysed together. However, Sorby  
14 regarded guided bibliotherapy as an augmentation to treatment as usual and  
15 compared it with standard care with no bibliotherapy. Results indicate that  
16 there were no statistically significant effects on either anxiety, depression or  
17 worry outcomes at post treatment. However, a small, yet insignificant  
18 improvement in anxiety at 9 months and depression at 3 and 9 months was  
19 found in standard care (Van Boeijen, 2005). However, it is difficult to make  
20 firm conclusions from this evidence due to limited evidence available.

21  
22 One study compared low-intensity CBT bibliotherapy with high-intensity  
23 CBT treatments directly (van Boeijen, 2005). There was no statistically  
24 significant difference in the risk of discontinuation between low-intensity and  
25 high-intensity treatments. Although not significant, there was a small trend  
26 favouring high-intensity treatment on anxiety, depression and worry  
27 outcomes. At 3 months and 9 months follow up, the effects remained  
28 statistically not significant. These results are based on data from one study  
29 and therefore it is difficult to draw firm conclusions about the relative  
30 effectiveness of low or high-intensity CBT treatments.

31  
32 The overall quality of evidence was low. The main reason for downgrading  
33 the quality was the difference in target population (mixed anxiety and GAD  
34 only diagnosis), as well as difference in comparator group (waitlist control  
35 and treatment as usual). It was observed that the studies targeting mixed  
36 anxiety population were of lower quality than the study treating GAD only  
37 population.

#### 38 *Specific interventions for treating GAD only population*

39 Only one study of guided self-help included only GAD patients rather than  
40 patients with a variety of anxiety disorders including GAD (Titov, 2009). This  
41 study compared computerised CBT treatment with waitlist control and  
42 showed a statistically significant large effect on anxiety, depression and  
43 worry. There was also a statistically significant improvement in non-  
44 remission and non-response. These results are based on one study; therefore,

1 there is difficulty in making firm recommendations based on limited  
2 evidence.  
3

## 4 **6.4 PSYCHOEDUCATIONAL GROUPS**

### 5 **Study characteristics**

6 There were two studies comparing psychoeducational groups with waitlist  
7 control. One targeted a mixed anxiety population and the other only patients  
8 with GAD.

9  
10 A summary of study characteristics can be found in Table 11 with full details  
11 in Appendix 16b which also includes details of excluded studies.

12  
13  
14

1 **Table 11: Summary study characteristics for psychoeducational group interventions**

	Psychoeducational group versus WLC in mixed anxiety population	Psychoeducational group versus WLC in GAD only population
No. trials (Total participants)	1 RCT (73)	1 Quasi-RCT (37)
Study IDs	KITCHINER2009*	WHITE1992
N/% female	73/48%	109/72%
Mean age	40	38
Diagnosis	All diagnosed with an anxiety disorder by DSM-IV (29% GAD; 55% Panic disorder with/without agoraphobia)	All diagnosed with GAD as a primary diagnosis by DSM-III-R
Baseline severity rated by clinician	Not reported	Not reported
Treatment	A. CBT (in secondary care) B. Anxiety management training (AXM) (in secondary care)	CBT
Comparator	WLC	WLC
Length of treatment	6 weeks	6 weeks

2  
34 **Clinical evidence for Psychoeducational group interventions**

5 Evidence from the important outcomes and overall quality of evidence are presented in Table 8. The full GRADE profiles and  
6 associated forest plots can be found in Appendix 19a and Appendix 17a, respectively.

7  
8

**Table 12: Summary evidence profile for Psychoeducational group**

Psychoeducational group	Mixed anxiety population Psychoeducational group (CBT) versus WLC	GAD only population Psychoeducational group (CBT) versus WLC	Combined population Psychoeducational group versus WLC	Mixed anxiety population Group CBT psychoeducation versus Group Anxiety Management (AXM) psychoeducation
Total number of studies (number of participants)	1 RCT (73)	1 Quasi-RCT (37)	1 RCT 1 Quasi-RCT (110)	1 RCT (73)
Study ID	1) KITCHINER2009*	1) WHITE1992	1) KITCHINER2009* 2) WHITE1992	1) KITCHINER2009*
Length of follow up	1) 1 month	1) 6 months	1) 1 month 2) 6 months	1) 1 month
<b>Benefits</b>				
Anxiety (self-rated)	SMD -0.34 (-0.90, 0.23)  Quality: Moderate  K=1, N=49	SMD -0.70 (-1.45, 0.04)  Quality: Low  K=1, N=33	SMD -0.47 (-0.92, -0.02)  Quality: Low  K=2, N=82	SMD 0.16 (-0.40, 0.72)  Quality: Moderate  K=1, N=49
Anxiety (self-rated) at follow up	1 month follow up  SMD -0.04 (-0.60, 0.52)  K=1, N=49	-	-	1 month follow up  SMD 0.02 (-0.54, 0.58)  K=1, N=49  3 months follow up  SMD 0.22 (-0.34, 0.79)  K=1, N=49

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				6 months follow up SMD -0.05 (-0.61, 0.51) K=1, N=49
Depression (self-rated)	SMD -0.49 (-1.06, 0.08) Quality: High K=1, N=49	SMD -0.51 (-1.25, 0.22) Quality: Low K=1, N=33	SMD -0.50 (-0.95, -0.05) Quality: Low K=2, N=82	SMD 0.10 (-0.46, 0.66) Quality: Moderate K=1, N=49
Depression (self-rated) at follow up	1 month follow up SMD -0.18 (-0.75, 0.38) K=1, N=49			1 month follow up SMD -0.10 (-0.66, 0.46) K=1, N=49 3 months follow up SMD 0.07 (-0.49, 0.64) K=1, N=49 6 months follow up SMD 0.07 (-0.49, 0.63) K=1, N=49
Worry(self-rated)	SMD -0.36 (-0.93, 0.20) Quality: Moderate K=1, N=49	-	-	SMD -0.28 (-0.84, 0.29) Quality: Moderate K=1, N=49
Worry(self-rated) at follow up	1 month follow up	-	-	1 month follow up

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	SMD -0.17 (-0.73, 0.39)  K=1, N=49			SMD -0.42 (-0.99, 0.15)  K=1, N=49  3 months follow up  SMD -0.26 (-0.83, 0.30)  K=1, N=49  6 months follow up  SMD -0.36 (-0.93, 0.20)  K=1, N=49
<b>Harm</b>				
Leaving study early for any reason	9/25 dropped out from treatment group; no data reported from comparison  K=1, N=49	RR 4.00 (0.23, 68.57)  Quality: Very low  K=1, N=37	-	RR 1.08 (0.50, 2.33)  Quality: Moderate  K=1, N=49

1



### 1 **6.4.1 Evidence summary (psychoeducational groups)**

2 One study (White, 1992) targeted specifically a GAD population and the other  
3 (Kitchiner *et al.*, 2009) a mixed anxiety population. When the two studies were  
4 analysed together, the results indicate a small and statistical significant effect  
5 for anxiety and depression scores. However, when combined together this  
6 resulted in a high heterogeneity score which may be explained by the  
7 different settings under which they were conducted. White (1992) was based  
8 in a primary care setting and Kitchiner and colleagues (2009) was based in a  
9 secondary care setting).

10  
11 The overall quality of the two studies was of low to moderate. The main  
12 reason for downgrading was due to the limitations in study design.

13  
14 Kitchiner and colleagues (2009) compared two psychoeducational groups;  
15 mental health nurses delivered group CBT in one group while occupational  
16 therapists delivered a more interactive anxiety management psychoeducation  
17 group (group AXM) in the other. When group CBT were compared with  
18 waitlist control, there appeared to be a small, yet not significant effect on  
19 anxiety and depression scores. The effect size decreased at 1 month follow up.

20  
21 When the two treatment groups (group CBT versus group AXM) were  
22 compared, there was no statistically significant difference in the risk of  
23 discontinuation or anxiety, depression and worry scores. Follow up data at 1,  
24 3 and 6 months remained insignificant and widely varied. Therefore, due to  
25 limited evidence and wide confidence intervals in the results, no conclusive  
26 comments can be drawn as to which principle is better.

## 27 28 **6.5 MODES OF DELIVERY**

### 29 *Guided bibliotherapy*

30 Three studies (van Boeijen *et al.*, 2005; Lucock *et al.*, 2008; Sorby *et al.*, 1991)  
31 looked at the effectiveness of guided bibliotherapy on anxiety. Two of the  
32 booklets were based on CBT principles (van Boeijen *et al.*, 2005; Lucock *et al.*,  
33 2008) and one on anxiety management training (Sorby *et al.*, 1991). The  
34 average duration of treatment was nine weeks with seven guided weekly  
35 sessions that lasted approximately 20 minutes each. Therapist support was  
36 delivered by a trained GP (Sorby *et al.*, 1991; van Boeijen *et al.*, 2005) or a  
37 trained assistant psychologist who had a first degree in Psychology (Lucock *et al.*,  
38 2008). Support ranged from reinforcing the participant's achievements and  
39 motivating them to continue (van Boeijen, 2008), to monitoring their progress  
40 and advice (Lucock *et al.*, 2008; Sorby *et al.*, 1991) and the administration of  
41 treatment as usual (Sorby *et al.*, 1991). Training generally involved  
42 educational sessions on the diagnosis and treatment of anxiety and regular  
43 supervision or modules on guided self-help for anxiety. At the beginning of

1 the programme participants are generally given an introductory talk by the  
2 therapist about the contents of the booklet and how to use it. Homework  
3 assignments were used to consolidate learning and comprised of practical  
4 exercises to do at home, or worksheets relevant to a particular section of the  
5 booklet.

### 6 *Pure bibliotherapy*

7 The following five studies examined the effectiveness of pure bibliotherapy  
8 on anxiety: Bowman (1997); White (1995); Maunder (2009); Kassinove (1980);  
9 and Tarrier (1986). The majority of booklets were based on CBT (White, 1995;  
10 Maunder, 2009; Kassinove, 1980) or related principles (applied relaxation -  
11 Tarrier, 1986) , but one was based on problem solving therapy (Bowman,  
12 1997) CBT (and. The number of pages in the booklets utilised ranged from 45-  
13 79 pages and the average treatment duration period was six weeks. No  
14 therapist support or contact was provided for these treatments, however, it  
15 was often suggested that participants can call the therapist to clarify any  
16 questions regarding the therapy itself (e.g. Bowman, 1997). These calls,  
17 however, were restricted to a maximum of five minutes per week and no  
18 therapy was provided. Moreover, for some studies (e.g. White, 1995) some  
19 time was allocated at the beginning of the programme to describe the booklet,  
20 its rationale and an explanation on how to use it. No advice on dealing with  
21 specific problems was offered throughout these programmes. All studies but  
22 one (White, 1995), required participants to complete homework or conduct  
23 exercises at home to consolidate learning. For example, participants were  
24 required to complete worksheets (Bowman, 1997), questions (Kassinove, 1980)  
25 or practice applied relaxation techniques (Tarrier, 1986).

### 26 *Audiotherapy (pure/unguided)*

27 There was one trial that examined the effectiveness of rational emotional  
28 therapy in the form of audiotherapy (Kassinove, 1980). This involved 16 one  
29 hour sessions over a period of eight weeks (i.e. two hourly sessions per week).  
30 The central aim of the therapy was to reduce the endorsement of irrational  
31 beliefs and to aid the development of a more objective, and empirically based  
32 attitude to life. A group of people given audiotherapy were asked to listen to  
33 a tape developed by rational emotive experts, with an aim to encourage  
34 rational thinking and develop a more suitable philosophy of life. No  
35 homework assignment or therapist support was provided for this group.  
36

### 37 *Unguided computer-delivered therapy*

38 Only one study delivered pure computer delivered self-help which was based  
39 on the principles of mindfulness (Houghton, 2008). The course consisted of  
40 eight modules which provided self-help instructions over a period of eight  
41 weeks. These self-help instructions were accessed via the internet on a weekly  
42 basis in the participants own home. At the start of the treatment an  
43 introduction to the internet programme was provided via a web-page. This

1 briefly discussed the aims of the programme, what it would entail and listed  
2 additional resources of information. Participants were asked to practice the  
3 various exercises for a minimum of ten minutes per day, six days per week.  
4 All participants completed the entire eight weeks of the internet delivered  
5 mindfulness stress reduction programme. The central components were; a)  
6 focusing on the mindfulness of breathing, b) formal sitting meditation, c)  
7 body scan meditation and d) yoga. Focusing on the mindfulness of breathing  
8 encompassed paying attention to the inflow and outflow of breath on a  
9 regular basis. Formal sitting meditation entailed adopting an erect and  
10 dignified posture, with the head, neck and back aligned vertically. Body scan  
11 meditation involved focusing on and sensing each area of the body  
12 thoroughly, and envisaging the strain and fatigue pouring out with each  
13 breath. Similarly, yoga involved slow stretching and strengthening  
14 movements performed with consciousness of breath and body sensations.  
15 There were no homework assignments utilised to consolidate learning and no  
16 therapist contact or support was provided throughout the course of  
17 treatment.

#### 18 *Guided computer-delivered therapy*

19 There was only one study examining the effectiveness of a guided CBT based  
20 computer programme (Titov, 2009). The worry programme is a clinician  
21 assisted computerised CBT course of six sessions conducted over a nine week  
22 period. Participants were encouraged to complete one session per week.  
23 Eighteen (75%) treatment group participants completed all six lessons within  
24 the required time frame (i.e. nine weeks). The course consists of the following  
25 components: weekly homework assignments, weekly email contact from a  
26 clinical psychologist and a moderated online discussion forum with other  
27 participants. Participants also had access to a number of other resources  
28 including guidelines about assertiveness, health anxiety, and answers to  
29 frequently asked questions about the application of particular skills described  
30 in the course. The first two sessions provided education about the symptoms  
31 and treatment of GAD and an introduction to the basic principles of cognitive  
32 therapy. Subsequent sessions gave advice about challenging positive and  
33 negative beliefs and offered guidance about practicing graded exposure,  
34 challenging core beliefs and relapse prevention. A clinical psychologist  
35 provided all clinical contact with participants. The mean therapist time given  
36 per treatment group was 130 minutes including monitoring of the discussion  
37 forum, instant email messages and telephone calls. During the programme  
38 the clinician sent 132 personal instant messages in total (mean = 5.5 per  
39 participant), made a total of 98 telephone calls (mean = 4.1 telephone calls per  
40 participant) and made 26 forum postings to the entire group.  
41

#### 42 *Psychoeducational groups*

43 Two studies examined the effectiveness of group psycho-education on anxiety  
44 (White, 1992a; Kitchiner, 2009). There were two main packages: 'Stress

1 Control', a CBT package which uses a robustly educational approach,  
2 including lectures or presentation and a self-help manual (White, 1992;  
3 Kitchiner, 2009) and an anxiety management training group (Kitchiner, 2009),  
4 which also used CBT principles but was designed to be more interactive and  
5 had a stronger emphasis on activity scheduling and relaxation techniques.  
6 Furthermore, group processes were utilised by the therapists to engender a  
7 self-help ethos, whereby participants could share and learn from one  
8 another's experiences in a 'safe' environment. Each group was run by two  
9 therapists who placed a greater emphasis on their role as educators and  
10 organizers of self-help services than on their role as individual therapists.  
11 Therapist support was delivered by either experienced mental health nurses  
12 with extensive experience of treating outpatients with CBT under supervision  
13 or by two occupational therapists with 15-20 years of experience in anxiety  
14 management groups. The average size of groups was 20-24 participants with  
15 a total of two therapists per group. Thus, the therapist to participant ratio was  
16 approximately 10-12 participants per therapist assigned. The discussion of  
17 personal problems was prohibited on the basis that the motivation of  
18 attendance was for students to become their own therapist. The average  
19 number of sessions was six weekly two hourly sessions over a six week  
20 treatment period. Homework assignments were also distributed at the end of  
21 each session to consolidate learning.  
22

## 23 **6.6 HEALTH ECONOMIC EVIDENCE**

### 24 **6.6.1 Systematic literature review**

25 No studies assessing the cost effectiveness of low-intensity psychological  
26 interventions for people with GAD only or mixed anxiety disorders were  
27 identified by the systematic search of the economic literature undertaken for  
28 this guideline. Details on the methods used for the systematic search of the  
29 economic literature are described in Chapter 3.

### 30 **6.6.2 Cost analysis: low-intensity psychological interventions**

31 The cost effectiveness of low-intensity psychological interventions relative to  
32 other available treatments for people with GAD was considered by the GDG  
33 as an area with likely significant resource implications. However, the  
34 development of an economic model comparing low-intensity psychological  
35 interventions with high-intensity psychological interventions and/or  
36 pharmacological treatments was not possible: first of all, no RCTs comparing  
37 directly low-intensity psychological interventions with other active  
38 treatments (high-intensity psychological interventions or pharmacological  
39 treatments) that could provide clinical input parameters for a modelling  
40 study were identified in the systematic clinical literature review. Regarding  
41 indirect comparisons between low-intensity psychological interventions and  
42 other active treatments, these were problematic due to important differences  
43 in study designs in terms of

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- the comparators: studies on psychological interventions used mainly a waiting list or standard care as a comparator, while studies on pharmacological treatments used placebo as control (but never a waiting list control or standard care); therefore, it was not possible to make indirect comparisons between low-intensity psychological therapies with pharmacological treatments
- the study population: a number of studies on low-intensity psychological interventions were conducted on people with mixed anxiety rather GAD only; in contrast, only studies on people with GAD were included in the systematic literature review of pharmacological and high-intensity psychological interventions
- the reported clinical outcomes: psychological studies tended to report mainly continuous outcomes. Few psychological studies reported rates of response or remission, which were commonly used as outcome measures in pharmacological studies; even in this case, the definition of response/remission in psychological studies was not the same with the respective definitions in pharmacological studies. In fact, there was inconsistency in the definition of response and remission across psychological studies, which made indirect comparisons between different psychological interventions difficult.

The above differences across studies, even within the set of studies on low-intensity psychological interventions, did not allow the development of an economic model assessing their relative (in-between) cost effectiveness. Instead, simple cost analyses were undertaken to estimate the intervention costs associated with their provision within the NHS. An exception was made in the case of computerised CBT: since this guideline is also updating the NICE Technology Appraisal TA97 on computerised CBT for anxiety (NICE, 2006), it was decided to develop an economic model to assess the cost effectiveness of CCBT for people with GAD, using data from the only RCT on CCBT in people with GAD included in the guideline clinical review (TITOV2009). The economic analysis for CCBT is presented in the next section.

In order to estimate intervention costs of the low-intensity psychological interventions reviewed in this guideline, relevant healthcare resource use estimates associated with their provision were combined with appropriate national unit costs. The resource use estimates were based on the descriptions of resources used in the RCTs included in the guideline systematic review, supported by the GDG expert opinion so as to reflect optimal clinical practice within the NHS context. It was estimated that low-intensity psychological interventions are generally provided by mental health workers in the UK; nevertheless, it is recognised that other trained health professionals of similar

1 qualifications may provide such interventions as well. As unit costs of mental  
 2 health workers are not available, unit costs of mental health nurses Band 5,  
 3 according to the Agenda for Change, were used as a proxy instead. These  
 4 were based on the median full-time equivalent basic salary for Agenda for  
 5 Change Band 5, of the January-March 2009 NHS Staff Earnings estimates for  
 6 Qualified Nurses. Estimation of unit costs considered wages/salary, salary  
 7 oncosts, qualification costs and overheads (Curtis, 2009).

8  
 9 Table 13 provides an overview of the low-intensity psychological  
 10 interventions considered in the cost analysis, the resource use estimates, the  
 11 respective unit costs and the estimated total cost of each intervention.  
 12 According to this table, pure self-help is the least costly low-intensity  
 13 psychological intervention for people with GAD, costing roughly £15 per  
 14 person treated. Guided bibliotherapy is estimated to cost between £83 and  
 15 £150 per person treated, depending on the number of sessions provided by  
 16 the therapist. Finally, the intervention cost of Psychoeducational group lies  
 17 between the costs of the other two low-intensity interventions, ranging from  
 18 £36 to £108, depending on the number of people with GAD participating in  
 19 the group (estimated number between 10 and 30 people). These estimates of  
 20 intervention costs were considered by the GDG alongside the findings of the  
 21 clinical effectiveness review, in order to make a judgement regarding the cost  
 22 effectiveness of low-intensity psychological treatments.

23  
 24 **Table 13. Cost analysis of low-intensity psychological interventions for**  
 25 **people with GAD**

Intervention	Resource use estimate (based on descriptions in RCTs and GDG expert opinion)	Unit cost	Total intervention per person (2009 prices)
Pure self - help	One 15 minute session with a mental health nurse (band 5) Booklet	£45 per hour of face to face contact (Curtis, 2009) £4 per item (assumption)	£11
			£4
			TOTAL £15
Guided bibliotherapy	Three to six sessions with a mental health nurse (band 5), lasting 45 minutes the first and 30 minutes the rest sessions Booklet	£45 per hour of face to face contact (Curtis, 2009)  £4 per item (assumption)	£79 - £146
			£4
			TOTAL £83 - £150
Psychoeducational group	Six sessions of 2 hours each, provided by 2 mental health nurses (band 5) to groups of 10 to 30 persons.	£45 per hour of face to face contact (Curtis, 2009)	TOTAL £36 - £108

### 26 6.6.3 Economic modelling: computerised CBT

27 An economic model in the form of a decision-tree was developed to assess the  
 28 cost effectiveness of CCBT for the treatment of people with GAD. The

1 economic analysis was undertaken as part of updating the NICE Technology  
 2 Appraisal TA97 on computerised CBT for anxiety (NICE, 2006).

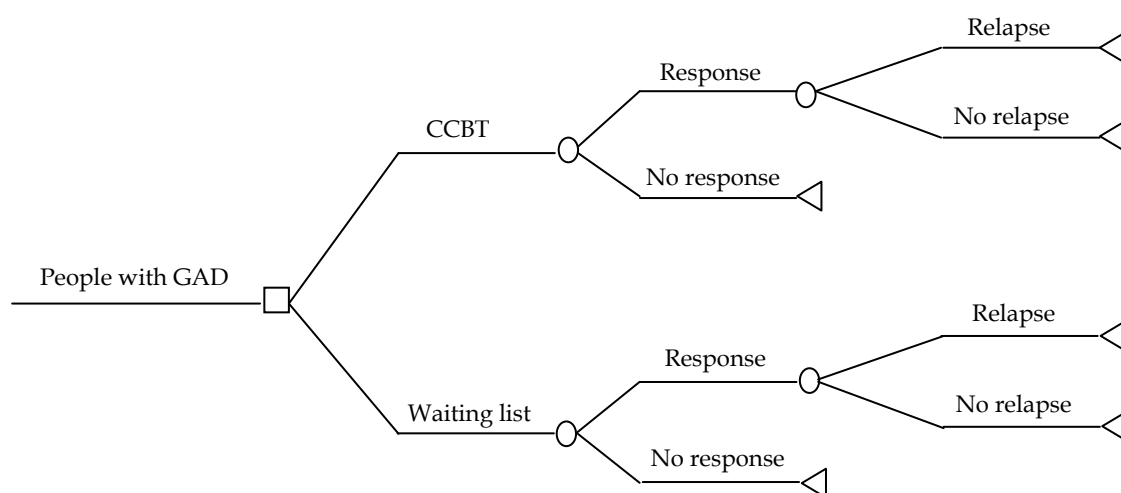
3 ***Interventions assessed***

4 The only study examining CCBT for people with GAD included in the  
 5 guideline systematic review was TITOV2009. The study examined the  
 6 effectiveness of the 'worry programme', a clinician-assisted CCBT course,  
 7 versus waiting list. Thus, based on the availability of clinical data, the  
 8 economic model compared CCBT (the worry programme) versus waiting list.  
 9 However, it must be noted that the worry programme is not available for use  
 10 by people with GAD, and therefore is only used as a case-study in order to  
 11 explore the cost effectiveness of a CCBT programme for this population,  
 12 relative to a do-nothing option.

13 ***Model structure***

14 A decision-tree was constructed in order to estimate the costs and benefits of  
 15 a hypothetical cohort of people with GAD presenting to primary care that  
 16 were either initiated on CCBT or were assigned to a waiting list for a time  
 17 period of 9 weeks, that is, the duration of treatment in TITOV2009. At the end  
 18 of this period, people either responded to treatment (or demonstrated an  
 19 equivalent spontaneous clinical improvement if assigned to waiting list) or  
 20 did not respond. People who responded to treatment (or improved  
 21 spontaneously) at the end of the 9-week period might relapse over the next 6  
 22 months following treatment, otherwise they would remain improved. The  
 23 duration of 6 months (26 weeks) reflected the mean duration of studies  
 24 examining relapse prevention following pharmacological treatment or  
 25 placebo that provided the relapse data for the model. Thus the time horizon  
 26 of the analysis was 35 weeks in total. A schematic diagram of the decision-tree  
 27 is presented in Figure 4.  
 28

29 **Figure 4. Schematic diagram of the economic model structure**



30

31

1 *Costs and outcomes considered in the analysis*

2 The economic analysis adopted the perspective of the NHS and personal  
3 social services, as recommended by NICE (2009). Costs consisted of  
4 intervention costs and other health and social care costs incurred by people  
5 with GAD, including contacts with healthcare professionals such as GPs,  
6 psychiatrists, psychologists, mental health nurses and social workers,  
7 community care, inpatient and outpatient secondary care. The measure of  
8 outcome was the Quality Adjusted Life Year (QALY).

9 *Clinical input parameters of the economic model*

10 Clinical input parameters included response rates for the two interventions  
11 assessed as well as relapse rates following response to treatment or  
12 spontaneous improvement. Response data were derived from TITOV2009.  
13 The study reported response rates for CCBT and waiting list, with response  
14 defined as a reduction of 50% in the pre-treatment GAD-7 score. The relapse  
15 rate following response was conservatively assumed to be the same for both  
16 interventions, and was derived from the guideline meta-analysis of studies on  
17 pharmacological relapse prevention, after pooling the data from all placebo  
18 arms in the trials considered in the guideline meta-analysis (described in  
19 Chapter 8). Clinical input parameters of the economic analysis are provided  
20 in Table 17.

21 *Utility data and estimation of QALYs*

22 In order to express outcomes in the form of QALYs, the health states of the  
23 economic model needed to be linked to appropriate utility scores. Utility  
24 scores represent the Health Related Quality of Life (HRQoL) associated with  
25 specific health states on a scale from 0 (death) to 1 (perfect health); they are  
26 estimated using preference-based measures that capture people's preferences  
27 on the HRQoL experienced in the health states under consideration.

28  
29 The systematic search of the literature identified two studies that reported  
30 utility scores for specific health states associated with generalised anxiety  
31 disorder (GAD) (Allgulander *et al.*, 2007; Revicki *et al.*, 2008).

32  
33 Allgulander and colleagues (2007) generated utility scores using SF-36 data  
34 (Ware *et al.*, 1993) derived from 273 people with GAD that participated in a  
35 double-blind, placebo-controlled, relapse prevention, multinational clinical  
36 trial of escitalopram [ALLGULANDER2006]. Participants (who were included  
37 in the trial if they had a HAM-A total score of  $\geq 20$ ) first received 12 weeks of  
38 open-label treatment with escitalopram. Those responding to treatment were  
39 then randomised to double-blind treatment with escitalopram or placebo  
40 aiming at relapse prevention. Response to treatment was defined as a HAM-A  
41 score  $\leq 10$ ; relapse was defined as a HAM-A total score  $\geq 15$  or lack of efficacy,  
42 as judged by the investigator. SF-36 data were taken from participants at the  
43 end of the open-label period, and at the end of or at last assessment over the  
44 double-blind period. SF-36 scores were converted into utility scores using the



1 SF-6D algorithm (Brazier & Roberts, 2004). The SF-6D algorithm has been  
2 generated using the standard gamble (SG) technique in a representative  
3 sample of the UK general population.

4  
5 Revicki and colleagues (2008) generated utility scores using SF-12 data from  
6 297 people with GAD that were recruited from an integrated health care  
7 delivery system in the US. SF-12 is a shorter form of SF-36 (Ware *et al.*, 1995).  
8 Participants in the study were categorised into different levels of GAD  
9 symptom severity, according to their HAM-A scores; 297 people with GAD  
10 provided SF-12 data which were translated into SF-6D profiles; symptom  
11 severity was measured using HAM-A. Asymptomatic anxiety was defined as  
12 a HAM-A score  $\leq 9$ ; mild anxiety as a HAM-A score between 10 and 15;  
13 moderate anxiety as a HAM-A score between 16 and 24; and severe anxiety  
14 as a HAM-A score  $\geq 25$ . SF-12 scores were transformed into utility scores  
15 using the SF-6D algorithm (Brazier & Roberts, 2004).

16  
17 Table 14 summarises the methods used to derive and value health states  
18 associated with GAD in the literature and presents the respective utility  
19 scores reported in the two utility studies of GAD identified by the systematic  
20 search of the literature.

21

**Table 14. Summary of studies reporting utility scores for health states of generalised anxiety disorder**

Study	Definition of health states	Valuation method	Population valuing	Results
Allgulander <i>et al.</i> , 2006	SF-36 scores of 273 people with GAD transformed into SF-6D profiles  Definition of GAD health states: Response to treatment: HAM-A score $\leq$ 10 Relapse: HAM-A score $\geq$ 15	SG	UK general population	Baseline: 0.64 (SD 0.10) Response: 0.76 (SD 0.10) No response: 0.63 (SD 0.10) Relapse following response: 0.73 (SD 0.12) Response and no relapse: 0.79 (SD 0.12)
Revicki <i>et al.</i> , 2008	SF-12 scores of 297 people with GAD transformed into SF-6D profiles  Definition of GAD health states: Asymptomatic anxiety: HAM-A score $\leq$ 9 Mild anxiety: $10 \leq$ HAM-A score $\leq$ 15 Moderate anxiety: $16 \leq$ HAM-A score $\leq$ 24 Severe anxiety: HAM-A score $\geq$ 25	SG	UK general population	Asymptomatic anxiety: 0.72 (SD 0.1) Mild anxiety: 0.64 (SD 0.1) Moderate anxiety: 0.60 (SD 0.1) Severe anxiety: 0.53 (SD 0.1)

1 According to NICE guidance on the selection of utility values for use in cost-  
2 utility analysis, the measurement of changes in HRQoL should be reported  
3 directly from people with the condition examined, and the valuation of health  
4 states should be based on public preferences elicited using a choice-based  
5 method, such as the time trade-off (TTO) or SG, in a representative sample of  
6 the UK population. NICE recommends the EQ-5D (Brooks, 1996) as the  
7 preferred measure of HRQoL in adults for use in cost-utility analysis. When  
8 EQ-5D scores are not available or are inappropriate for the condition or effects  
9 of treatment, the institute recommends that the valuation methods be fully  
10 described and comparable to those used for the EQ-5D (NICE, 2008a).

11  
12 No study generating utility scores from EQ-5D for people with GAD was  
13 identified by the systematic search of the literature. However, both studies  
14 included in the review used SF-6D for the estimation of utility scores in this  
15 population. SF-36 (and its shorter form SF-12) is a validated generic measure  
16 of HRQoL. The SF-6D algorithm can generate utility scores for all health  
17 states described from SF-36 and SF-12, which have been elicited by a  
18 representative sample of the UK general population using SG; thus the  
19 valuation method meets NICE criteria.

20  
21 The utility data reported in Allgulander and colleagues (2006) corresponded  
22 to the respective health states described in the economic model (i.e. response,  
23 non-response, relapse following response, and response not followed by  
24 relapse), although it should be noted that the definition of response in  
25 Allgulander and colleagues is different from that in TITOV2009 which  
26 provided the clinical data utilised in the model. In contrast, the health states  
27 described in Revicki and colleagues (2008) could not be linked to the model  
28 health states. Therefore, it was decided to use the utility data reported in  
29 Allgulander and colleagues (2006) in the economic analysis.

30  
31 It was assumed that the improvement in utility for people with GAD  
32 responding to treatment (or spontaneously improving if they are on a waiting  
33 list) occurred linearly over the 9 weeks of treatment, starting from the utility  
34 value of non-response and reaching the utility value of response. People  
35 responding and not relapsing were assumed to experience a linear increase in  
36 their utility over the remaining 6 months of the time horizon, starting from  
37 the utility value of response and reaching the utility value of response and no  
38 relapse. In contrast, people relapsing following response were assumed to  
39 experience a linear reduction in their utility over the remaining 6 months of  
40 the time horizon, starting from the utility value of response and reaching the  
41 utility value of relapse following response.

#### 42 *Cost data*

43 Intervention costs as well as other health and social care costs incurred by  
44 people with GAD were calculated by combining resource use estimates with  
45 respective national unit costs. Intervention costs for the CCBT programme

1 consisted of therapists' time (spent on phone calls, emails and 'live' contacts  
2 as reported in TITOV2009), hardware (Personal Computers - PCs) and capital  
3 overheads. The worry programme is available for research purposes only;  
4 therefore no license fee was considered at the estimation of the intervention  
5 cost, although this cost component, which may be considerable, needs to be  
6 taken into account in the assessment of cost effectiveness of other CCBT  
7 packages available in the future for the management of people with GAD.  
8 Alternatively, for a CCBT programme that is freely available via the internet,  
9 a server/website hosting cost may be relevant (for example if the programme  
10 is provided by the NHS) and should be considered at the estimation of the  
11 intervention cost. The intervention cost of waiting list was zero.

12  
13 The cost of therapist's time for CCBT was estimated by combining the mean  
14 total therapist's time per person treated, as reported in TITOV2009, with the  
15 national unit cost of a clinical psychologist (Curtis, 2009). The latter was  
16 selected because the worry programme in TITOV2009 was provided by  
17 clinical psychologists. However, it is acknowledged that CCBT could be  
18 provided by other healthcare professionals with appropriate  
19 qualifications/training. The unit cost of a clinical psychologist per hour of  
20 client contact has been estimated based on the median full-time equivalent  
21 basic salary for Agenda for Change Band 7, including salary, salary on-costs  
22 and overheads, but no qualification costs as the latter are not available for  
23 clinical psychologists.

24  
25 The annual costs of hardware and capital overheads (space around the PC)  
26 were taken from the economic analysis undertaken to inform the NICE  
27 Technology Appraisal on CCBT for depression and anxiety (Kaltenhaler *et al.*,  
28 2006). In the same report it is estimated that one PC can serve around 100  
29 people treated with CCBT per year. For this economic analysis, and in order  
30 to estimate the cost of hardware and capital overheads per person with GAD  
31 treated with CCBT, it was conservatively assumed that one PC can serve 75  
32 people per year. It was also assumed that a PC is used under full capacity  
33 (that is, it serves no less than 75 people annually), considering that the PC is  
34 available for use not only by people with GAD, but also by people with other  
35 mental health conditions, such as depression, who may use other CCBT  
36 packages on the PC. The annual cost of hardware and capital overheads, as  
37 estimated in Kaltenhaler and colleagues (2006), was therefore divided by 75,  
38 and adjusted to reflect a 35-week cost, corresponding to the time horizon of  
39 the analysis. It should be noted that if people with GAD can access the CCBT  
40 package from home or a public library, then the cost of hardware and capital  
41 overheads to the NHS is zero.

42  
43 Regarding the server/website hosting cost per person with GAD treated with  
44 a CCBT package provided by the NHS via the internet, this was estimated to  
45 be negligible and was omitted from analysis. Estimation of this cost was  
46 based on the price of a 10-page website, which was found to range between

1 £550 and £800 annually (prices based on internet search). According to the  
 2 most recent adult psychiatric morbidity survey in England (McManus *et al.*,  
 3 2009), 4.7% of people aged 16-64 years are expected to have GAD at any point  
 4 in time. This translates to an estimate of 1.7 million people with GAD in  
 5 England and Wales, given that the population aged 16-64 years was  
 6 approximately 35.3 million people in 2008 (ONS, 2009). Assuming that 5% of  
 7 them are treated with CCBT (a deliberately conservative low percentage), this  
 8 would result in 85,000 people. Spreading the annual server/ website cost to  
 9 this population would result in a cost of less than one penny per person  
 10 treated; meaning that if the NHS wanted to maintain a website with a CCBT  
 11 programme for GAD, the website cost per person treated would be negligible.

12  
 13 Table 15 presents the cost elements of the intervention cost.

14  
 15 **Table 15. Intervention cost of CCBT**

Cost element	Resource use estimate and respective unit cost (2009 prices)	Total cost per person (2009 prices)
Therapist's time	130 min per person (TIOV2009) £75 per hour of client contact (clinical psychologist; Curtis, 2009)	£162.5
Hardware	£309 per PC per year (Kaltenhaler <i>et al.</i> , 2006) Cost divided by 75 people treated with CCBT and adjusted for 35 weeks (time horizon of analysis)	£2.8
Capital overheads	£2,053 per PC per year (Kaltenhaler <i>et al.</i> , 2006) Cost divided by 75 people treated with CCBT and adjusted for 35 weeks (time horizon of analysis)	£18.4
License fee	0 (worry programme not available in clinical practice)	0
Server/ website hosting cost	£550-£800 for a 10-page website annually Cost divided by 85,000 people, representing 5% of the estimated 1.7 million people with GAD in England and Wales; latter estimate based on a 4.7% prevalence of GAD (McManus <i>et al.</i> , 2009) and a population of 35.3 million people aged 16-64 years in England and Wales (ONS, 2009).	Negligible
		TOTAL: £183.7

16

17 The extra health and social care costs incurred by people with GAD were  
 18 estimated based on data reported in the adult psychiatric morbidity survey in  
 19 England (McManus *et al.*, 2009), supported by the GDG expert opinion. Data  
 20 reported in the survey included the percentages of people with GAD that  
 21 sought various types of health and social services over a period of time  
 22 ranging from 'over the past two weeks' to 'over the past year'. These services  
 23 included inpatient care, outpatient services, contacts with GPs, psychiatrists,  
 24 psychologists, community psychiatric nurses, social and outreach workers,  
 25 other nursing services, home help and home care, participation in self-help

1 and support groups, and services provided by community day care centres.  
2 The reported percentages were extrapolated in order to estimate the  
3 percentage of people with GAD using each service on an annual basis. The  
4 GDG determined which of these services were likely to be sought specifically  
5 for the condition of GAD within the NHS, and made estimates on the number  
6 of visits and the time spent on each visit where relevant, in order to provide a  
7 total resource use estimate for each type of service. The average length of stay  
8 for people with GAD receiving inpatient care was taken from national  
9 hospital episode statistics (NHS, The Information Centre, 2009). The resource  
10 use estimates were then combined with appropriate unit costs taken from  
11 national sources (Curtis, 2009; DH, 2010) in order to estimate an overall  
12 annual health and social care cost incurred by people with GAD. Using this  
13 figure, a monthly health and social care cost was then estimated, which was  
14 assumed to be incurred by people not responding to treatment (or not  
15 improving spontaneously if they were on a waiting list) and by people  
16 relapsing following response. People responding to treatment and remaining  
17 improved over the 6 months post-treatment were assumed to incur zero  
18 health and social care costs, apart from the intervention cost.

19  
20 People not responding to treatment were assumed to incur the additional  
21 health and social care cost starting from the end of treatment and for the  
22 remaining time horizon of the analysis, that is, over 6 months post-treatment.  
23 People relapsing following response were assumed, for costing purposes, to  
24 experience relapse in the middle of the 6-month post-treatment period, that is,  
25 at 3 months post-treatment. These people were assumed to incur zero costs  
26 over the first 3 months post-treatment, and the extra health and social care  
27 cost over the next 3 months.

28  
29 Table 16 presents the published data and the GDG expert opinion estimates  
30 used for the calculation of the annual health and social care cost incurred by  
31 people with GAD.

32  
33 All costs were expressed in 2009 prices, uplifted, where necessary, using the  
34 Hospital & Community Health Services (HCHS) Pay and Prices Index (Curtis,  
35 2009). Discounting of costs was not necessary since the time horizon of the  
36 analysis was shorter than one year.

37  
38 Table 17 presents the values of all input parameters utilised in the economic  
39 model.

**Table 16. Annual health and social care cost incurred by people with GAD**

Cost component	% of people with GAD receiving care annually	Time spent on each service annually	Unit cost (2009 prices)	Annual weighted cost per person (2009 prices)
Inpatient care	4%	22.4 days	£290/ day in mental health unit	DH, 2009 £259.84
Outpatient visit	32%	2 visits	1st visit: £244; follow up visit: £155	DH, 2009 £127.68
Psychiatrist	6%	2 visits: 1 hour + 20 minutes	£322/hour of patient contact	Curtis, 2009 £25.76
Psychologist	4%	8 visits x 45 min each	£75/hour of client contact	Curtis, 2009 £18.00
Mental health nurse	5%	6 visits x 1 hr each	£53/hour of face-to-face contact	Curtis, 2009 £15.90
Other nursing services	0	-	-	-
Social worker	5%	-	£140/hour of face-to-face contact	Curtis, 2009 £42.00
Self-help - support group	3%	6 visits x 1 hr each	-	-
Home help - home care	2%	Not an NHS cost	-	-
Outreach worker	2%	Not directly relevant	-	-
Community day care centre	9%	Not directly relevant	£33 per user session	Curtis, 2009 £297.00
GP	52%	100 sessions	£35 per surgery consultation	Curtis, 2009 £18.20
TOTAL ANNUAL HEALTH AND SOCIAL CARE COST INCURRED PER PERSON WITH GAD				£804.38

**Table 17 Input parameters utilised in the economic model of CCBT versus waiting list for people with GAD**

Input parameter	Deterministic value	Probabilistic distribution	Source of data - comments
Clinical data Probability of non-response to treatment - waiting list Probability of relapse (both interventions)	0.905 0.491	Beta distribution $\alpha=19, \beta=2$ $\alpha=422, \beta=437$	TITOV2009 Guideline meta-analysis - pharmacological relapse prevention, pooling of placebo arms
Relative risk of non-response, CCBT versus waiting list	0.41	Log-normal distribution 95% CIs: 0.24 to 0.71	TITOV2009
Utility values Response Non-response Relapse following response Non-relapse following response	0.76 0.63 0.73 0.79	Beta distribution $\alpha=177.84, \beta=56.16$ $\alpha=24.57, \beta=14.43$ $\alpha=51.83, \beta=19.17$ $\alpha=97.96, \beta=26.04$	Allgulander <i>et al.</i> , 2006; distribution estimated based on data reported in the study using the method of moments
Cost data CCBT intervention cost Monthly health and social care cost	£184 £67	Gamma distribution $\alpha=6.25, \beta=29.44$ $\alpha=2.78, \beta=24.13$	See Table 15 See Table 16 Standard error of CCBT intervention cost assumed to be 40% of its mean estimate; standard error of monthly health and social care cost assumed to be 60% of its mean estimate



1 ***Data analysis and presentation of the results***

2 Two methods were employed to analyse the input parameter data and present the  
3 results of the economic analysis.

4  
5 First, a *deterministic* analysis was undertaken, where data are analysed as point  
6 estimates. The output of the analysis was the Incremental Cost Effectiveness Ratio  
7 (ICER) of CCBT versus waiting list, expressing the additional cost per QALY gained  
8 associated with provision of CCBT instead of waiting list.

9  
10 One-way sensitivity analysis explored the impact of the uncertainty characterising  
11 the monthly health and social care cost incurred by people with GAD not  
12 responding to treatment or relapsing following response on the results of the  
13 deterministic analysis. Since the estimation of this cost was based on a number of  
14 assumptions and data extrapolations, a scenario of a 70% change in this cost was  
15 tested to investigate whether the conclusions of the analysis would change.

16  
17 In addition to deterministic analysis, a *probabilistic* analysis was also conducted. In  
18 this case, all model input parameters were assigned probability distributions (rather  
19 than being expressed as point estimates), to reflect the uncertainty characterising the  
20 available clinical and cost data. Subsequently, 10,000 iterations were performed, each  
21 drawing random values out of the distributions fitted onto the model input  
22 parameters. This exercise provided more accurate estimates of mean costs and  
23 benefits for each intervention assessed (averaging results from the 10,000 iterations),  
24 by capturing the non-linearity characterising the economic model structure (Briggs *et*  
25 *al.*, 2006).

26  
27 The probability of non-response for waiting list and the probability of relapse  
28 following response were given a beta distribution. Beta distributions were also  
29 assigned to utility values, using the method of moments. The relative risk of non-  
30 response of CCBT versus waiting list was assigned a log-normal distribution. The  
31 estimation of distribution ranges was based on available data in the published  
32 sources of evidence.

33  
34 Costs were assigned a gamma distribution; in order to define the distribution, wide  
35 standard errors around the mean costs (equalling 40% of the mean CCBT  
36 intervention cost and 60% of the mean monthly health and social care cost incurred  
37 by people with GAD) were assumed.

38  
39 Table 17 provides details on the types of distributions assigned to each input  
40 parameter and the methods employed to define their range.

41  
42 Results of probabilistic analysis are presented in the form of a Cost Effectiveness  
43 Acceptability Curve (CEAC), which demonstrates the probability of CCBT being  
44 cost-effective relative to waiting list at different levels of willingness-to-pay per  
45 QALY (that is, at different cost effectiveness thresholds the decision-maker may set).

## 1 **Results**

2 The results of deterministic analysis are presented in Table 18. It can be seen that  
 3 CCBT is associated with a higher total cost but also produces a higher number of  
 4 QALYs compared with waiting list. The ICER of CCBT versus waiting list is only  
 5 £541 per QALY gained, which is well below the NICE cost effectiveness threshold of  
 6 £20,000-£30,000/QALY (NICE, 2008b), meaning that CCBT is a cost-effective option  
 7 when compared with waiting list (practically with a do-nothing option).

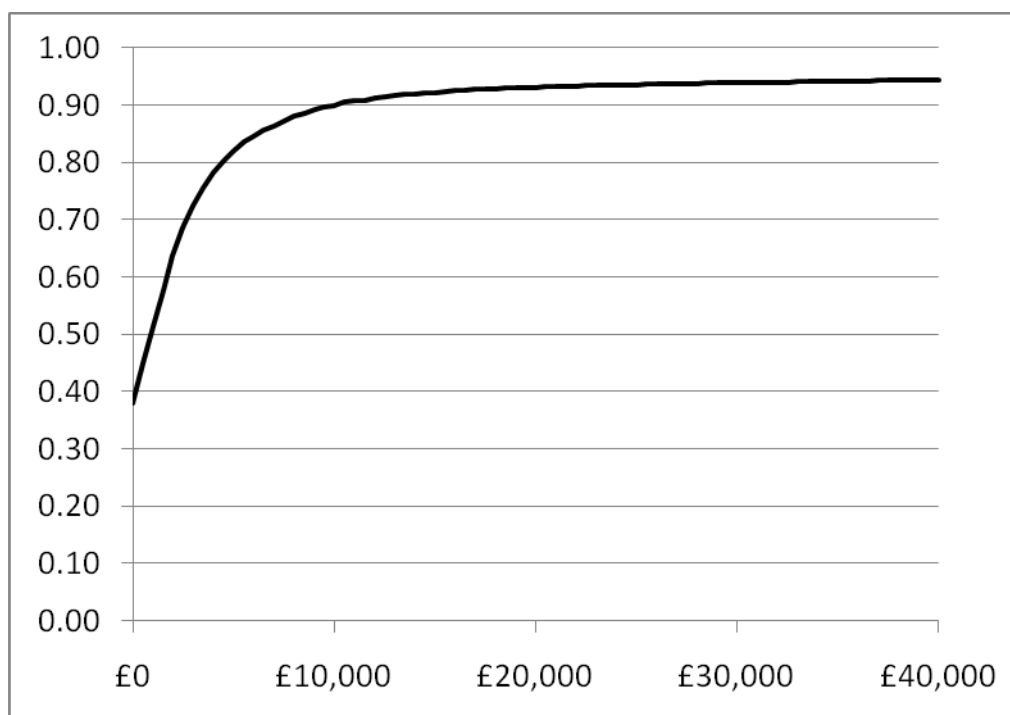
8  
 9 **Table 18 Results of deterministic analysis – mean costs and QALYs of each**  
 10 **intervention assessed per 100 people assigned to intervention and ICER of CCBT**  
 11 **versus waiting list**

Intervention	Mean total cost	Mean total QALYs	ICER
CCBT	£39,534	47.177	
Waiting list	£37,329	43.101	
Difference	£2,205	4.076	£541/QALY

12  
 13 According to one-way sensitivity analysis, changing the monthly health and social  
 14 care cost incurred by people not responding to treatment and people relapsing  
 15 following response by 70% did not affect the conclusions of the analysis: CCBT  
 16 remained the cost-effective option with an ICER of £3,322 per QALY gained when  
 17 the cost was reduced by 70%; CCBT became dominant (that is, less costly and more  
 18 effective than waiting list) when the cost was increased by 70%.

19  
 20 Probabilistic analysis demonstrated that the probability of CCBT being cost-effective  
 21 at the NICE lower cost effectiveness threshold of £20,000/QALY gained reached  
 22 93%. Figure 5 provides the CEAC for CCBT, which shows the probability of CCBT  
 23 being cost-effective relative to waiting list for different levels of willingness-to-pay  
 24 per extra QALY gained.

25  
 26  
 27 **Figure 5: Cost Effectiveness Acceptability Curve (CEAC) of CCBT versus waiting**  
 28 **list. X axis shows the level of willingness-to-pay per extra QALY gained and Y axis**  
 29 **shows the probability of CCBT being cost-effective at different levels of**  
 30 **willingness-to-pay.**



1

## 2 *Discussion of findings - limitations of the analysis*

3 The results of economic analysis indicate that CCBT is probably a cost-effective  
 4 treatment option compared with waiting list. However, the analysis was based on  
 5 the only study of CCBT for people with GAD that was included in the guideline  
 6 systematic clinical literature review (TITOV2009). Moreover, this study had a small  
 7 sample size (n=45). The CCBT package evaluated, the 'worry programme' has been  
 8 designed for research purposes and is not available in clinical practice. For this  
 9 reason, the model did not consider a license fee at the estimation of the intervention  
 10 cost. However, alternative CCBT packages designed for the treatment of people with  
 11 GAD in the future may not be freely available. A license fee would need to be added  
 12 to the intervention cost in such cases, which, if significant, may affect the cost  
 13 effectiveness of CCBT.

14

15 CCBT was found to be cost-effective compared with waiting list. However, the latter  
 16 does not represent routine practice for people with GAD within the NHS. Other  
 17 active treatments, such as high-intensity and other low-intensity psychological  
 18 interventions as well as pharmacological interventions are available treatment  
 19 options for people with GAD. Ideally, CCBT needs to be assessed against other  
 20 active treatment options in order to establish its relative cost effectiveness. CCBT is  
 21 likely to reduce therapists' time per person treated and therefore to result in cost-  
 22 savings if it replaces clinician-led therapy. However, its effectiveness relative to  
 23 clinician-led treatments needs to be evaluated first, in order to explore its relative  
 24 cost effectiveness. If CCBT has a similar effectiveness to that of clinician-led  
 25 therapies, or if the loss in effectiveness is small compared with the magnitude of  
 26 produced cost-savings, then provision of CCBT is going to most probably be a cost-  
 27 effective strategy. Treatment of people with GAD with CCBT can free up resources  
 28 that could be used in a different way. Alternatively, CCBT could be made available

1 in areas where there is shortage of therapists providing psychological treatments for  
2 people with GAD. In any case, currently no CCBT packages are available in clinical  
3 practice for the treatment of this population.

## 4 **6.7 FROM EVIDENCE TO RECOMMENDATIONS**

5 Pure self-help was found to have a moderate effect on relevant outcome measures  
6 against the inactive control. Also, there was no apparent harm associated with the  
7 treatment. Although the evidence came from relatively small trials of low to  
8 moderate quality, the cost of pure self-help interventions was low relative to other  
9 treatment options. This serves as a basis for a moderate recommendation. Therefore,  
10 clinicians may consider offering pure self-help as an initial treatment.

11  
12 Guided self-help had a moderate effect on relevant outcome measures against  
13 waitlist control. There were no apparent harms associated with treatment. The  
14 evidence base for guided self-help against waitlist control was relatively smaller and  
15 of lower quality for the mixed anxiety population. In terms of cost effectiveness,  
16 guided self-help is the most costly intervention (depending on the number of  
17 sessions) amongst other low-intensity interventions. Nonetheless, a CCBT trial  
18 intended to treat the GAD only population is likely to be cost-effective (Titov, 2009)  
19 against waitlist control. This package is however unavailable within the UK, and  
20 therefore it cannot be recommended. Thus, a research recommendation has been be  
21 made comparing CCBT to CBT. Should a CCBT package be researched and  
22 developed within the NHS, note that the economic analysis in Section 6.6.3 used zero  
23 license fees (which could be the case if a package was developed within NHS).

24  
25 For the psychoeducational group, there was a small effect on relevant outcome  
26 measures when targeted at the mixed anxiety population. There is a general lack of  
27 evidence with regard to harmful outcomes and in particular, it is unclear whether  
28 the psychoeducational group is associated with an increased risk of discontinuation  
29 compared to controls. Moreover, the results have come from two small sized studies  
30 and the quality of the outcome data for psychoeducational group is low. The cost  
31 effectiveness of psychoeducational group lies between the pure self-help and guided  
32 self-help interventions. Due to the limited evidence, a moderate recommendation  
33 can be considered.

### 35 **6.7.1 Recommendations**

#### 36 **Low-intensity psychological interventions**

37 **6.7.1.1** For people with GAD whose symptoms have not improved after education  
38 and active monitoring in step 1, offer one or more of the following as a first-  
39 line intervention, guided by the person's preference:

- 40 • individual pure self-help
- 41 • individual guided self-help

- 1                   • psychoeducational groups.  
2

3 **6.7.1.2 Individual pure self-help for people with GAD should:**

- 4                   • include written or electronic materials of a suitable reading age (or  
5 alternative media)  
6                   • be based on the treatment principles of cognitive behavioural  
7 therapy (CBT)  
8                   • include instructions for the person to work systematically through  
9 the materials over a period of at least 6 weeks  
10                  • typically involve minimal therapist contact, for example an  
11 occasional short telephone call of no more than 5 minutes.

12 **6.7.1.3 Individual guided self-help for people with GAD should:**

- 13                  • include written or electronic materials of a suitable reading age (or  
14 alternative media)  
15                  • be supported by a trained practitioner, who facilitates the self-help  
16 programme and reviews progress and outcome  
17                  • typically consist of five to seven weekly or fortnightly face-to-face  
18 or telephone sessions, each lasting 20–30 minutes.

19 **6.7.1.4 Psychoeducational groups for people with GAD should:**

- 20                  • be based on CBT principles, have an interactive design and  
21 encourage vicarious learning  
22                  • include presentations and self-help manuals  
23                  • be conducted by trained practitioners.  
24                  • have a ratio of one therapist to 12 participants  
25                  • typically consist of six weekly sessions, each lasting 2 hours.

26 **6.7.1.5 Practitioners providing guided self-help and/or psychoeducational groups**  
27 **should:**

- 28                  • receive regular high-quality supervision  
29                  • use routine outcome measures and ensure that the person with  
30 GAD is involved in reviewing the efficacy of the treatment.  
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## **6.7.2 Research recommendations**

### **6.7.2.1 The clinical and cost effectiveness of computerised CBT (CCBT) compared with CBT for the treatment of GAD**

#### **In well-defined GAD, what is the clinical and cost effectiveness of CCBT compared with therapist-delivered CBT?**

This question should be answered using a randomised controlled design of at least 12 months' duration that reports both short and medium-term outcomes (including cost-effectiveness outcomes). Particular attention should be paid to the reproducibility of the treatment model with regard to content, duration and the training and supervision of those delivering interventions to ensure that the results are both robust and generalisable. The outcomes chosen should include both observer and participant-rated assessments of improvement in symptoms and functioning, and an assessment of the acceptability and accessibility of the treatment options.

#### **Why this is important**

Psychological treatments are a recommended therapeutic option for people with GAD. CCBT is a promising intervention but does not have the substantial evidence base of therapist-delivered CBT. It is therefore important to establish whether CCBT is an effective alternative to therapist-delivered CBT and one that should be provided. The results of this trial will have important implications for the provision, accessibility and acceptability of psychological treatment in the NHS.

### **6.7.2.2 Physical activity compared with wait list control for the treatment of GAD**

#### **For people with GAD who are ready to start a low-intensity intervention, what is the clinical effectiveness of physical activity compared with wait list control?**

This question should be answered using a randomised controlled design of for people with GAD who have been educated about the disorder (as described in step 1) and are stepping up to a low-intensity intervention. The period of wait list control should be 12 weeks. The outcomes chosen should include observer and participant-rated assessments of improvement in symptomatology and functioning. Response to treatment should be measured as at least a 50% reduction in Hamilton Anxiety Scale scores and remission should be measured as a Hamilton Anxiety Scale score of less than 7.

1           **Why this is important**

2           The evidence base for the effectiveness of physical activity in reducing  
3           anxiety symptoms is substantially smaller than that for depression.

4           However, where evidence exists there are signs that physical activity could  
5           help to reduce anxiety. As GAD is a commonly experienced mental health  
6           disorder the results of this study will have important implications in  
7           widening the range of treatment options available in the NHS.

8

# 7 HIGH-INTENSITY PSYCHOLOGICAL INTERVENTIONS

## 7.1 INTRODUCTION

This chapter reviews the evidence for the clinical efficacy and cost effectiveness for high-intensity psychological interventions for the treatment of GAD, including CBT, applied relaxation, psychodynamic therapies and combined psychological and pharmacological treatments.

As noted in the introduction, high-intensity psychological therapies are commonly used for people with moderate and severe anxiety or depressive disorders, and people suffering from anxiety disorders and depression typically prefer such treatments to medication (Prins *et al.*, 2008). The current NICE recommended stepped care approach for depression (NICE, 2009b) suggests the use of such interventions for people who have not responded to initial low-intensity psychological interventions or for those who present with moderate to severe depression and a similar stepped care approach is recommended in Chapter 5 for GAD. The Improving Access to Psychological Therapies (IAPT) programme specifically supports the implementation of NICE guidelines on anxiety disorders and depression by training staff in the delivery of both low and high-intensity interventions. High-intensity psychological interventions can be delivered by a range of staff appropriately trained in their delivery including CBT and other psychological therapists, clinical psychologists, nurses, occupational therapists, and counsellors.

The effectiveness of psychological therapies for GAD was the subject of a recent Cochrane review (Hunot *et al.*, 2007). This review concluded that psychological therapy based on CBT principles was effective in reducing anxiety symptoms for short-term treatment of GAD. All studies included in the Cochrane review were considered for inclusion in the present review. When studies did not meet inclusion criteria of the present review, this was generally because patients were diagnosed using earlier DSM-III criteria.

### 7.1.1 Definitions of high-intensity interventions

#### *Cognitive behavioural therapy (CBT)*

Cognitive behavioural therapy (CBT) encompasses a range of therapies derived from cognitive behavioural models of disorders, in which the patient works collaboratively with a therapist using a shared formulation to achieve specific treatment goals. Such goals may include recognising the impact of behavioural and/or thinking patterns on feeling states and encouraging alternative cognitive



1 and/or behavioural coping skills to reduce the severity of target symptoms and  
2 problems.

3  
4 As set out in the introduction, CBT for GAD has developed over the years with  
5 earlier CBT treatments involving multicomponent cognitive behavioural packages  
6 often under the rubric of “anxiety management”, while later versions focus more on  
7 worry, the symptom now considered central to GAD, and on processes thought to  
8 underlie the disorder.

9  
10 In this review cognitive behavioural therapies were defined as discrete, time limited,  
11 structured psychological interventions, derived from cognitive behavioural models  
12 of anxiety disorders and where the patient:

- 13 • Works collaboratively with the therapist to identify the types and effects of  
14 thoughts, beliefs and interpretations on current symptoms, feelings states  
15 and/or problem areas
- 16 • Develops skills to identify, monitor and then counteract problematic  
17 thoughts, beliefs and interpretations related to the target symptoms/problems
- 18 • Learns a repertoire of coping skills appropriate to the target thoughts, beliefs,  
19 behaviours and/or problem areas.

## 20 *Applied relaxation (AR)*

21 Applied relaxation (AR) was originally developed by Öst in the 1980s for the  
22 treatment of phobias but has wider application to other anxiety disorders, as well as  
23 the management of physical pain and nausea. AR focuses on applying muscular  
24 relaxation in situations and occasions where the person is or might be anxious and  
25 allows people to intervene early in response anxiety and worry. The elements of AR  
26 as described by (Davis *et al.*, 1995) include:

- 27 1. Progressive muscle relaxation  
28 Focusing attention onto particular muscle groups and understanding the differences  
29 between tensing and relaxing the muscles
- 30 2. Release-only relaxation  
31 Allows the patient to go directly into relaxation without having to switch between  
32 tension and relaxation of the muscles
- 33 3. Cue-controlled relaxation  
34 Reducing the time needed to relax (to 2-3 minutes) by making an association  
35 between a cue (for example, the word ‘relax’) and the relaxation of the muscles.
- 36 4. Rapid relaxation  
37 Further reducing the time needed to relax by selecting specific cues which are  
38 encountered regularly and practise frequently every day until a state of deep  
39 relaxation can be reached in less than 30 seconds.
- 40 5. Applied relaxation  
41 Application of the relaxation skills acquired through exposure to anxiety-provoking  
42 situations.

43

1 The final of these components is critical and distinguishes AR from other forms of  
2 relaxation training and practice which do not have the applied component. AR  
3 follows a clear protocol, takes place over 12-15 sessions of treatment and is carried  
4 out by practitioners trained in CBT. Studies included as AR in this review needed to  
5 follow the AR protocol and for AR to be the only intervention. Studies of anxiety  
6 management which included relaxation training and elements of applied relaxation  
7 as one component of a multicomponent package were classified as coming under the  
8 definition of CBT.

### 9 *Psychodynamic therapies*

10 Psychodynamic therapies were defined as psychological interventions derived from  
11 a psychodynamic/psychoanalytic model, and where:

12 Therapist and patient explore and gain insight into conflicts and how these are  
13 represented in current situations and relationships including the therapy  
14 relationship (for example, transference and counter-transference). This leads to  
15 patients being given an opportunity to explore feelings, and conscious and  
16 unconscious conflicts, originating in the past, with a technical focus on  
17 interpreting and working through conflicts.

18 Therapy is non-directive and recipients are not taught specific skills (for example,  
19 thought monitoring, re-evaluating, or problem-solving).

20

### 21 **7.1.2 Clinical questions**

22 In the treatment of GAD, what are the risks and benefits associated with the high-  
23 intensity psychological interventions compared with other interventions (including  
24 waitlist control and treatment as usual)? For example (see Table 1 for more  
25 interventions): Cognitive Behavioural Therapy, non-directive therapies,  
26 psychodynamic therapies, applied relaxation.

## 27 **7.2 REVIEW OF HIGH-INTENSITY INTERVENTIONS FOR** 28 **GAD**

### 29 **7.2.1 Databases searched and inclusion/exclusion criteria**

30 Information about the databases searched and the inclusion/ exclusion criteria used  
31 for this section of the guideline can be found in

32

33 Table 19 (further information about the search for health economic evidence can be  
34 found in Section 7.6).

35

36

37

38

**1 Table 19. Databases searched and inclusion/exclusion criteria for clinical evidence.**

Electronic databases	MEDLINE, EMBASE, CINAHL, PsycINFO, Cochrane Library
Date searched	Database inception to 09.05.2010
Study design	RCT
Patient population	People with primary diagnosis of Generalised Anxiety Disorder
Interventions	Cognitive Behavioural Therapy, Cognitive therapy, Behavioural therapy/ activation, Systemic interventions, Applied Relaxation, Psychodynamic therapy, Non-directive therapy/ person-centred therapy, Counselling, Problem solving therapy, Interpersonal therapy, Performance art therapies, Mindfulness-based Cognitive therapy, Physical activity, Cognitive analytic therapy, Dialectical Behaviour Therapy, Family or couples therapy, Humanistic therapy
Outcomes	Non-remission, non-response, drop outs mean rating scale scores for anxiety, depression ,worry, quality of life

2

**3 7.2.2 Studies considered<sup>6</sup>**

4 The review team conducted a new systematic search for RCTs that assessed the  
5 effectiveness of psychological interventions for the treatment of people with  
6 generalised anxiety disorder as defined in DSM-III-R or DSM-IV.

7

8 A total of 5761 references were identified by the electronic search relating to clinical  
9 evidence. Of these references, 5706 were excluded at the screening stage on the basis  
10 of reading the title and/or abstract. The remaining 55 references were assessed for  
11 eligibility on the basis of the full text. 28 trials met the eligibility criteria set by the  
12 GDG, providing data on 1,473 participants. Of these, all were published in peer-  
13 reviewed journals between 1992 and 2009. In addition, 27 studies were excluded  
14 from the analysis. Reasons for exclusion were not providing an acceptable diagnosis  
15 of Generalised Anxiety Disorder (n=9), not being an RCT (n=4), having less than 10  
16 participants per group (n= 4), participants aged under 18 (n = 2), not providing  
17 valid/relevant outcomes (n = 2), non-English language (n = 1) and not being  
18 relevant intervention (n= 5) (further information about both included and excluded  
19 studies can be found in Appendix 16c).

**20 7.3 CLINICAL EVIDENCE FOR HIGH-INTENSITY  
21 PSYCHOLOGICAL INTERVENTIONS**

22 A total of 25 RCTs were included, which explored the effect of four different  
23 treatment types. Data were available to compare treatments with waitlist control,  
24 active control or other active treatments.

25

26 For all of the included studies, participants had a primary diagnosis of GAD by DSM  
27 III-R or DSM IV.

28

29 The included studies were analysed based on three types of treatments offered to  
30 patients:

---

<sup>6</sup> Here and elsewhere in the guideline, each study considered for review is referred to by a study ID in capital letters (primary author and date of study publication, except where a study is in press or only submitted for publication, then a date is not used).

- 1 • Section 7.3.1 Cognitive behavioural therapy
- 2 • Section 7.3.6 Applied Relaxation
- 3 • Section 7.3.8 Psychodynamic therapy

4

### 5 **7.3.1 Cognitive behavioural therapy (CBT)**

#### 6 *Study characteristics*

7 There were a total of 21 trials comparing CBT with waitlist control, and other active  
8 treatments or comparisons. Twelve trials compared CBT with waitlist control; eight  
9 trials with applied relaxation; two trials with psychodynamic therapy; two trials  
10 with non-directive therapy and three trials with three other active comparators. One  
11 trial looked at the dose-response relationship of CBT treatment which has been  
12 narratively reviewed. The 21 trials targeted mainly towards adults and some were  
13 aimed at older adults. There was no evidence of publication bias at the study level  
14 for most of the CBT comparisons as assessed by visual inspection of funnel plots and  
15 formally by Egger's test. Only one outcome (worry) was downgraded on the basis of  
16 publication bias (please refer to Appendix 19b for further details).

17

18 Study characteristics are summarised in Table 20 with full details in Appendix 16c  
19 which also includes details of excluded studies.

1 Table 20. Summary study characteristics of cognitive behavioural therapies trials.

	CBT versus control	CBT versus Applied Relaxation	CBT versus short-term psychodynamic psychotherapy	CBT versus Non-directive therapy	CBT versus other active comparisons
Total no. of trials (N)	12 RCTs (659)	8 RCTs (439)	2 RCTs (167)	2 RCTs (114)	3 RCTs (319)
Study ID	1)BARLOW1992 2)BUTLER1991* (CT) 3)DUGAS2003*(group CBT) 4)DUGAS2009 5)HOYER2009*(Worry Exposure) 6)LADOUCEUR2000 7)LINDEN2005 8)MOHLMAN2003 9)REZVAN2008*(CBT only) 10)ROEMER2008*(acceptance based behaviour therapy) 11)STANLEY2003 12)WETHERELL2003*(group CBT)	1)ARNTZ2003*(CT) 2)BARLOW1992 3)BORKOVEC1993 4)BORKOVEC2002 5)DUGAS2009 6)HOYER2009 7)OST2000 8)WELLS2010* (MCT- MetaCognitiveTherapy)	1)DURHAM1994*(CT) 2)LEICHSENRING2009	1)BORKOVCEC1993 2)STANLEY1996	1) DURHAM1994*(CT) 2) STANLEY2009 3)WETHERELL2003
Total N/% female	1) 65/No information 2) 57/86% 3) 52/71% 4) 65/66% 5) 73/71% 6) 26/77% 7) 72/83% 8) 27/70% 9) 36/100% 10) 31/71% 11) 80/75% 12) 75/80%	1) 45/67% 2) 65/No information 3) 66/65% 4) 69/No information 5) 65/66% 6) 73/73% 7) 36/72% 8) 20/60%	1) 110/68% 2) 57/81%	1) 66/65% 2) 48/71%	1) 110/68% 2) 134/78% 3) 75/80%

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Mean age	1) 40 2) 35 3) 41 4) 39 5) 45 6) 40 7) 43 8) 66 9) 20 10) 34 11) 66 12) 67	1) 36 2) 40 3) 38 4) 37 5) 39 6) 39 7) 40 8) 49	1) 39 2) 42	1) 38 2) 68	1) 39 2) 70 3) 67
Diagnoses	1) DSM-III-R 2) DSM-III-R 3) DSM-IV 4) DSM-IV 5) DSM-IV 6) DSM-IV 7) DSM-IV 8) DSM-IV 9) DSM-IV 10) DSM-IV 11) DSM-IV 12) DSM-IV	1) DSM-III-R 2) DSM-III-R 3) DSM-III-R 4) DSM-III-R 5) DSM-IV 6) DSM-IV 7) DSM-IV 8) DSM-IV	1) DSM-III-R 2) DSM-IV	1) DSM-III-R 2) DSM-III-R	1) DSM-III-R 2) DSM-IV-R 3) DSM-IV-R
Baseline severity (clinician rated)	1) Baseline ADIS score 5.3-5.5 2) Baseline HAM-A score 5.1-5.4 3) Baseline ADIS score: 5.8-6.4 4) Baseline ADIS score: 5.7 5) Baseline HAM-A score: 21.6-23.3 6) Baseline ADIS score: 5.92-6.36 7) Baseline HAM-A score 24-26.8 8) Not reported 9) Scored more than 5.7 on GAD-Q-IV 10) Baseline ADIS score 5.69-5.73 11) Baseline ADIS score 5.2-5.3 12) Baseline ADIS score 4.9-5	1) Baseline STAI-T score 53.7-57.5 2) Baseline ADIS score 5.3-5.5 3) Baseline ADIS score: 4.7-4.8 4) Baseline ADIS score 5.4-5.61 5) Baseline ADIS score: 5.7 6) Baseline HAM-A score: 21.6-23.3 7) Baseline ADIS score:5.33-5.47 8) Baseline BAI score 22.2-30.5	1) Baseline ADIS score: 6.1-6.6 2) Baseline HAM-A score: 25-25.9	1) Baseline HAM-A score: 19.4-19.7 2) Baseline ADIS score 5.11-5.46	1) Baseline ADIS score: 6.1-6.6 2) Not reported 3) Baseline ADIS score 4.9-5.1

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Comparator	1-12) Waitlist control	1-8) Applied relaxation	1) Analytic psychotherapy (low and high-intensity) 2) Short-term psychodynamic psychotherapy	1) Non-directive therapy 2) Non-directive therapy	1) Anxiety management training 2) Enhanced usual care 3) Discussion group
Length of treatment	1) 15 weeks 2) 8 weeks 3) 14 weeks 4) 12 weeks 5) 15 weeks 6) 16 weeks 7) 25 weeks 8) 13 weeks 9) 8 weeks 10) 14 weeks 11) 15 weeks 12) 12 weeks	1) 12 weeks 2) 15 weeks 3) 12 weeks 4) 8 weeks 5) 12 weeks 6) 15 weeks 7) 12 weeks 8) 8-12 weeks	1) 14 weeks 2) 30 weeks	1) 12 weeks 2) 14 weeks	1) 14 weeks 2) 13 weeks 3) 12 weeks
Follow-up	1) 24 months (data not extractable) 2) 6 months 3) 24 months (data not extractable) 4) 6,12, 24 months (data not extractable) 5) 6, 12 months 6) 12 months(data not extractable) 7) 8 months(data not extractable) 8) 6 months(data not extractable) 9) 12 months 10) 3, 9 months(data not extractable) 11) 3, 6, 12 months (data not extractable) 12) 6 months	1) 1, 6 months 2) 24 months (data not extractable) 3) 6, 12 months 4) 6, 12, 24 months 5) 6,12, 24 months (data not extractable) 6) 6, 12 months 7) 12 months 8) 6, 12 months	1) 6, 12 months 2) 6 months	1) 6, 12 months 2) 1, 6 months	1) 6, 12 months 2) 6,9,12,15 months 3) 6 months

1

2

1 *Clinical evidence for cognitive behavioural therapies*

- 2 Evidence from the important outcomes and overall quality of evidence are presented in Table 21. The full GRADE profiles and
- 3 associated forest plots can be found in Appendix 19b and Appendix 17b, respectively.



1

2 **Table 21: Evidence summary table for trials of cognitive behavioural therapy**

	CBT versus waitlist control	CBT versus applied relaxation	CBT versus short-term psychodynamic therapy	CBT versus non-directive therapy	CBT versus other active comparisons
Total number of studies (number of participants)	12 RCTs (N=659)	8 RCTs (N =439)	2 RCTs (N =167)	2 RCTs (N =114)	3 RCTs (N = 319)
Study ID	1) BARLOW1992 2) BUTLER1991 3) DUGAS2003 4) DUGAS2009A 5) HOYER2009 6) LADOUCEUR2000 7) LINDEN2005 8) MOHLMAN2003a 9) REZVAN2008 10) ROEMER2008 11) STANLEY2003B 12) WETHERELL2003	1) ARNTZ2003 2) BARLOW1992 3) BORKOVEC1993 4) BORKOVEC2002 5) DUGAS2009A 6) HOYER2009 7) OST2000 8) WELLS2010	1) DURHAM1994 2) LEICHSENRING2009	1) BORKOVCEC1993 2) STANLEY1996	1) DURHAM1994 2) STANLEY2009 3) WETHERELL2003
<i>Length of follow up</i>	1) End of treatment 2) 6 months 3) End of treatment 4) End of treatment 5) 6 & 12 months 6) End of treatment 7) End of treatment 8) End of treatment 9) End of treatment 10) 12 months 11) End of treatment 12) End of treatment	1) 1, 6 months 2) End of treatment 3) 6, 12 months 4) 6, 12, 24 months 5) End of treatment 6) 6, 12 months 7) 12 months 18) 6, 12 months	1) 6 & 12 months 2) 6 months	1) 6 & 12 months 2) 6 months	1) 6 & 12 months 2) 6, 9, 12, &15 months 3) 6 months
<b>Benefits</b>					
Anxiety (self-rated)	SMD= -0.63 (-0.83, -0.42)	SMD = 0.01 (-0.22, 0.23)	SMD = -0.45 (-0.81, -0.08)	1. SMD = -0.69 (-1.35, -0.02)	1. SMD = -0.59 (-1.19, 0.01)

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	K=10, N=398  Quality: High	K=8, N=303  Quality: Moderate	K=2, N=121  Quality: Moderate	K=1, N=37  Quality: Moderate  2. SMD = -0.25 (-0.97, 0.46)  K=1, N=31  Quality: Moderate	K=1, N=51  Quality: Low  3. SMD = -0.13 (-0.78, 0.53)  K=1, N=36  Quality: Low
Anxiety (self-rated) At follow up	-	<u>6 months</u> SMD= -0.03 (-0.38, 0.32)  K=4, N=128  <u>12 months</u> SMD= -0.03 (-0.39, 0.32)  K=4, N=124	<u>6 months</u> SMD= -0.81 (-1.64, 0.02)  K=2, N=121  <u>12 months</u> SMD= -1.28 (-1.82,- 0.74)  K=1, N=64	<u>6 months</u> 1. SMD= -0.21 (-0.89, 0.46)  K=1, N=34  2. SMD= -0.15 (-0.87, 0.56)  K=1, N=31  <u>12 months</u> 1. SMD= -0.18 (-0.85, 0.50)  K=1, N=34	<u>6 months</u> 1. SMD= -0.28 (-0.88, 0.31)  K=1, N=51  3. SMD= -0.15 (-0.80, 0.51)  K=1, N=36  <u>12 months</u> 1. SMD= -0.33 (-0.92, 0.27)  K=1, N=51
Anxiety (clinician-rated)	SMD= -1.09 (-1.33, -0.84)  K=11, N=474  Quality: Moderate	SMD= -0.15 (-0.40, 0.10)  K=6, N =249  Quality: Low	SMD= -0.46 (-0.90, -0.02)  K=2, N=121  Quality: High	1.SMD= -0.93 (-1.61, -0.25)  K=1, N=37  Quality: Moderate  2. SMD= -0.01 (-0.72, 0.70)  K=1, N=31  Quality: Moderate	1. SMD= -0.59 (-1.19, 0.01)  K=1, N=51  Quality: Moderate  3. SMD= -0.06 (-0.72, 0.59)  K=1, N=36

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					Quality: Low
Anxiety (clinician-rated) At follow up	-	<u>6 months</u> SMD= -0.09 (-0.69, 0.51)  K=2, N=72  <u>12 months</u> SMD= -0.06 (-0.45, 0.33)  K=3, N=105  <u>24 months</u> SMD= 0.19 (-0.28, 0.65)  K=2, N =72	<u>6 months</u> SMD= -0.35 (-0.87, 0.18)  K=1, N=57	<u>6 months</u> 1. SMD= -0.45 (-1.13, 0.24)  K=1, N=34  2. SMD= -0.07 (-0.79, 0.64)  K=1, N=31  <u>12 months</u> 1. SMD= -0.57 (-1.26, 0.12)  K=1, N=34	<u>6 months</u>  3. SMD= -0.32 (-0.98, 0.33)  K=1, N=36
Depression (self-rated)	SMD= -0.81 (-1.11, -0.51)  K=10, N=401  Quality: High	SMD= -0.18 (-0.5, 0.13)  K=7, N =270  Quality: Moderate	SMD= -0.76 (-1.21, -0.31)  K=2, N =121  Quality: Moderate	1. SMD= -0.90 (-1.58, -0.22)  K=1, N=37  Quality: Moderate  2. SMD= 0.24 (-0.48, 0.95)  K=1, N=31  Quality: Moderate	1.SMD= -0.76 (-1.37, -0.15)  K=1, N=51  Quality: High  2.SMD= -0.34 (-0.71, 0.03)  K=1, N=116  Quality: Moderate  3. SMD= -0.27 (-0.93, 0.39)  K=1, N=36  Quality: Low

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Depression (self-rated) At follow up	-	<u>6 months</u> SMD= 0.09 (-0.22, 0.4)  K=4, N=159  <u>12 months</u> SMD= 0.03 (-0.25, 0.32)  K=5, N=192	<u>6 months</u> SMD= -0.33 (-0.85, 0.19)  K=1, N=57	<u>6 months</u> 1.SMD= -0.24 (-0.92, 0.43)  K=1, N=37  2. SMD= -0.12 (-0.83, 0.59)  K=1, N=31	<u>6 months</u> 2. SMD= -0.21 (-0.61, 0.20)  K=1, N=95
Depression (clinician-rated)	SMD= -0.74 (-1.11, -0.36)  K=4, N =191  Quality: High	SMD= -0.08 (-0.4, 0.25)  K=3, N =146  Quality: Low	-	1. SMD= -0.71 (-1.38, -0.05)  K=1, N =37  Quality: Moderate	3. SMD= -0.33 (-0.98, 0.33)  K=1, N =36  Quality: Low
Depression (clinician-rated) At follow up	-	<u>6 months</u> SMD= -0.26 (-0.91, 0.39)  K=1, N=37  <u>12 months</u> SMD= 0.46 (-0.01, 0.94)  K=2, N=70  <u>24 months</u> SMD= 0.42 (-0.23, 1.08)  K=1, N=37	-	<u>6 months</u> 1. SMD= -0.49 (-1.18, 0.19)  K=1, N=34  <u>12 months</u> 1. SMD= -0.30 (-0.98, 0.38)  K=1, N=34	3. SMD= -0.24 (-0.89, 0.42)  K=1, N=36
Worry	SMD= -1.13 (-1.58, -0.68)  K=9, N=366	SMD= -0.02 (-0.27, 0.23)  K=6, N=249	SMD= -0.32 (-0.84, 0.21) (change score)  K=1, N=57	1. SMD= -0.97 (-1.65, -0.28)  K=1, N=37	2. SMD = -0.90 (-1.29, -0.52)  K=1, N=116

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	Quality: Very low	Quality: Worry	Quality: Moderate	Quality: Moderate	Quality: High
				2. SMD= -0.06 (-0.78, 0.65)  K=1, N=31  Quality: Moderate	3. SMD = -0.17 (-0.82, 0.49)  K=1, N=36  Quality: Low
Worry At follow up	-	<u>6 months</u> SMD= -0.07 (-0.38, 0.24)  K=4, N=159  <u>12 months</u> SMD= -0.05 (-0.34, 0.23)  K=5, N=192	<u>6 months</u> SMD= -0.39 (-0.91, 0.14)  K=1, N=57	<u>6 months</u> 1. SMD= -0.39 (-1.07, 0.29)  K=1, N=37  2. SMD= 0.04 (-0.67, 0.76)  K=1, N=31	<u>6 months</u> 2. SMD = -0.85 (-1.28, -0.43)  K=1, N=95  3. SMD = -0.13 (-0.79, 0.52)  K=1, N=36
Quality of life	SMD= -1.59 (-3.77, 0.59)  K=2, N=55  Quality: Very low	-	SMD= 0.15 (-0.34, 0.65)  K=1, N=64  Quality: Low	-	<u>2. SF 12 Mental</u>  SMD= -0.47 (-0.84, -0.10)  Quality: High  K=1, N=116  <u>SF 12 Physical</u> SMD= 0.02 (-0.34, 0.39)  Quality: Moderate  K=1, N=116  <u>3. Energy scores</u>  SMD= -0.18 (-0.84, 0.47)

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					<p>Quality: Low</p> <p>K=1, N=36</p> <p><u>Role functioning scores</u> SMD= -0.59 (-1.26, 0.08)</p> <p>K=1, N=36</p> <p><u>Social role scores</u> SMD= -0.11 (-0.76, 0.54)</p> <p>K=1, N=36</p>
Quality of life At follow up	-	-	-	-	<p><u>2. SF 12 Mental 6 months</u> SMD = -0.4 (-0.81, 0.01)</p> <p>K=1, N=95</p> <p><u>2. SF 12 Physical 6 months</u> SMD = -0.21 (-0.62, 0.19)</p> <p>K=1, N=95</p> <p><u>3. Energy scores 6 months</u> SMD= 0.08 (-0.57, 0.74)</p> <p>K=1, N=36</p> <p><u>3. Role functioning scores 6 months</u> SMD= -0.11</p>

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					<p>(-0.77, 0.54)</p> <p>K=1, N=36</p> <p><u>3. Social role scores 6 months</u> SMD= 0.31 (-0.35, 0.97)</p> <p>K=1, N=36</p> <p><u>2. SF 12 Mental 12 months</u> SMD = -0.3 (-0.71, 0.12)</p> <p>K=1, N=92</p> <p><u>2. SF 12 Physical 12 months</u> SMD = 0.03 (-0.38, 0.43)</p> <p>K=1, N=94</p> <p><u>2. SF 12 Mental 15 months</u> SMD = -0.35 (-0.76, 0.06)</p> <p>K=1, N=94</p> <p><u>2. SF 12 Physical 15 months</u> SMD = -0.04 (-0.45, 0.37)</p> <p>K=1, N=92</p>
Non-Response	<p>RR = 0.67 (0.53, 0.84)</p> <p>K=5, N=219</p>	<p>RR = 1.11 (0.86, 1.44)</p> <p>K=4, N=178</p>		<p>1. RR = 0.65 (0.42, 1.02)</p> <p>K=1, N=43</p>	<p>2. RR = 0.89 (0.63, 1.26)</p> <p>K=1, N=134</p>

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	Quality: Low	Quality: Very low	-	Quality: Low 2. RR= 1.24 (0.86, 1.80) K=1, N=46 Quality: Moderate	Quality: Moderate 3. RR = 1.05 (0.77, 1.44) K=1, N=52 Quality: Low
At follow up	-	<u>12 months</u> 0.96 (0.35, 2.61) K=2, N=79	-	<u>6 months</u> 2. 1.31 (0.78, 2.20) K=1, N=46	<u>15 months</u> 2. 0.94 (0.71, 1.23) K=1, N=134
Non-Remission	RR =0.62 (0.51, 0.75)  K=5, N=259 Quality: High	RR =0.94 (0.63, 1.41)  K=4, N=156 Quality: Low	-	-	1. RR = 0.92 (0.52, 1.63)  K=1, N=52 Quality: Low
At follow up	-	<u>6 months</u> RR 1.15 (0.8, 1.65) K=2, N=91  <u>12 months</u> RR 0.53 (0.07, 4.01) K=2, N=66  <u>24 months</u> RR 1.00 (0.77, 1.30) K=1, N=46	-	-	-
<b>Harms</b>					
Discontinuation due to any reason	RR =1.4 (0.7, 2.79)  K=12, N=516 Quality: High	RR =0.75 (0.43, 1.31)  K=8, N=334 Quality: High	RR = 0.54 (0.21, 1.36)  K=2, N=142 Quality: Moderate	RR = 1.02 (0.49, 2.12)  K=2, N=89 Quality: Very Low	1. RR =0.42 (0.13, 1.33) K=1, N=65 Quality: Low  2. RR =0.26



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					(0.09, 0.75) K=1, N=134 Quality: High  3. RR =1.00 (0.44, 2.26) K=1, N=52 Quality: Low
--	--	--	--	--	---

## 1 **7.3.2 Clinical evidence summaries**

### 2 *CBT versus waitlist control*

3 When CBT trials were compared with waitlist control, the data showed a statistical  
4 significant improvement in non-remission and non-response. Unlike  
5 pharmacological studies, the definitions of remission and response varied across  
6 studies. Most studies defined remission as “free of GAD” using their diagnostic  
7 tools, and response as 75% improvement on the reported anxiety measure. The  
8 difference in definitions should be noted when interpreting results. The long term  
9 effect is unknown as no follow up data could be extracted for analysis.

10

11 When eleven CBT interventions were compared with waitlist control, there was a  
12 statistically significant large improvement in clinician rated anxiety scores, and a  
13 moderate improvement in self-rated anxiety scores at post treatment. No follow up  
14 data was provided and thus the long term effect of CBT against waitlist control  
15 remains unknown.

16

17 In addition to anxiety ratings, CBT trials reported outcomes on depression, worry  
18 scores. The trials comparing CBT with waitlist control suggested a moderate  
19 improvement for both clinician and self-rated depression scores. Despite the wide  
20 confidence intervals, CBT had a large improvement on worry symptoms compared  
21 with waitlist control. There were no follow up data on any of the depression, worry  
22 measures, and the long term effect remains unknown.

23

24 Two CBT trials reported large improvements in quality of life compared to waitlist  
25 control. However, these trials displayed large heterogeneity and thus this finding  
26 must be interpreted with caution. One of these trials (Roemer, 2008) was based on  
27 acceptance-based behaviour therapy principles and the other trial was CBT based.

28

29 The overall quality of this set of evidence is moderate to high. Some heterogeneity  
30 exists for some outcomes which have been downgraded for quality. The detailed  
31 reasons for downgrading can be found in GRADE profiles in Appendix 19b. The  
32 main reason for heterogeneity was due to the variations in CBT treatment principles.

### 33 *CBT versus applied relaxation*

34 Eight trials compared CBT with applied relaxation directly. CBT was found to be  
35 neither inferior nor superior to applied relaxation on the majority of the outcomes.  
36 Outcomes included drop outs rates, non-remission, non-response, self-rated and  
37 clinician rated anxiety, self-rated and clinician rated depression, worry outcomes.  
38 There may be a slight trend favouring CBT on clinician rated anxiety which had a  
39 narrower confidence interval compared with other outcomes. There were no  
40 differences between CBT and AR for those studies that reported follow up data at 6  
41 and 12 months follow up.

42

1 The overall summary of quality for this set of evidence is low to moderate. The main  
2 reason for downgrading the quality was due to the insignificant findings.

### 3 *CBT versus psychodynamic therapy*

4 Only two trials compared CBT with psychodynamic therapy directly. CBT was  
5 found to be better than psychodynamic therapy with a moderate effect on both self-  
6 rated and clinician rated anxiety, and depression scores. However, this significant  
7 effect was not sustained at 6 or 12 months follow up. Moreover, CBT was not  
8 statistically significantly different from psychodynamic therapy in terms of  
9 improving worry symptoms. No statistical significant difference in drop out rate was  
10 found between the two treatments. The wide confidence intervals were observed as  
11 a result of the small sample size; therefore results should be interpreted with  
12 caution.

13  
14 The overall quality of evidence is moderate. Reasons for downgrading the quality  
15 varies and details can be found in Appendix 19b.

### 16 *CBT versus non-directive therapy*

17 Two trials compared CBT with non-directive therapy. However, the two trials  
18 targeted different populations which made them too heterogeneous to be analysed  
19 together. Borkovec (1993) examined the efficacy of CBT in a general adult population  
20 and found a large improvement on anxiety, depression and worry outcomes relative  
21 to non-directive therapy. However, this was not the case for older adults (Stanley,  
22 1996). CBT was not statistically significantly different from non-directive therapy for  
23 older adults on any outcomes.

24  
25 The overall quality of evidence was low to moderate. In general, the quality of the  
26 trials targeting older adults was lower than the trial targeting a general adult  
27 population on all outcomes.

### 28 *CBT versus other active comparisons*

29 Three trials compared CBT with an active comparator which could not be classified  
30 under any of the above treatment categories. The trials could not be meta-analysed  
31 due to the varying comparisons. These comparisons were anxiety management  
32 training delivered by psychiatric registrars following a protocol without any training  
33 in CBT (Durham, 1994), enhanced usual care (Stanley, 2009) and a discussion group  
34 on worry-provoking topics (Wetherell, 2003). The latter two studies (Stanley, 2009  
35 and Wetherell, 2003) targeted older adults. CBT was not statistically significantly  
36 different when compared to anxiety management training and discussion group on  
37 drop out rates individually. However, it was discovered that older adults dropped  
38 out of enhanced usual care group significantly more than those receiving CBT  
39 treatment. One study reported remission rates and found no statistically significant  
40 difference between group CBT and worry-provoking topics discussion group for  
41 older adults. Two trials reported response data and again found no statistically  
42 significant difference between CBT and enhanced usual care or worry-provoking  
43 topics group discussion for older adults respectively. As dichotomous data such as

1 remission and response are defined differently in each study these findings should  
2 be interpreted with caution. Moreover, there were no statistically significant  
3 differences found when comparing CBT with enhanced usual care or worry-  
4 provoking topics discussion group on all clinician rated, self-rated anxiety and  
5 depression scores for older adults. CBT had a large effect as oppose to enhanced  
6 usual care on worry outcome, which was sustained at 6 months follow up. CBT also  
7 had a small effect on the mental subscale of the quality of life over enhanced usual  
8 care.

9  
10 In the case for CBT versus anxiety management for adults, there were trends of  
11 moderate effect on clinician and self-rated anxiety scores favouring CBT. The  
12 findings were marginally significant due to the small sample size. CBT was found to  
13 be moderately effective against anxiety management training on self rated  
14 depression scores.

15  
16 Cautious interpretation should be noted for all of the above outcomes as these  
17 findings are based on a single trial and warrant further investigation.

### 19 **7.3.3 Subgroup analysis for CBT**

20 Subgroup analysis of CBT treatments' effect on adults and older adults population  
21 was conducted. There was no statistical significant evidence to show CBT treatments  
22 work better for adults or older adults. Therefore our general conclusion about the  
23 effectiveness of CBT treatment remains robust across age groups. It is therefore  
24 inconclusive whether CBT has a better effect for adults or older adults.

25  
26 Subgroup analysis was also conducted to examine whether individual CBT sessions  
27 and group CBT sessions were effective against waitlist control. The analysis showed  
28 both treatments are effective against waitlist control on anxiety, depression and  
29 worry outcomes. However, results from the two group trials have wide confidence  
30 intervals, and each trial targeted adults and older adults respectively, therefore  
31 findings should be interpreted with caution.

32

## 1 Table 22: Subgroup analysis for CBT versus waitlist control

	Adults	Older adults	Individual sessions	Group sessions
Total number of studies (number of participants)	8 RCTs (N=364)	2 RCTs (N=129)	8 RCTs (N=352)	2 RCTs (N=91)
Study ID	BARLOW 1992 BUTLER 1991 DUGAS 2003 DUGAS 2009 HOYER 2009 LADOUCEUR 2000 LINDEN 2005 MOHLMAN 2003a	STANLEY 2003b WETHERELL 2003	BARLOW 1992 BUTLER 1991 DUGAS 2009 HOYER 2009 LADOUCEUR 2000 LINDEN 2005 MOHLMAN 2003a STANLEY 2003b	DUGAS 2003 WETHERELL 2003
<b>Benefits</b>				
Anxiety (self-rated)	SMD= -0.59 (-0.85, -0.33)  K=7, N=264  Quality: High	SMD=-0.72 (-1.12, 0.32)  K=2, N=103  Quality: High	SMD= -0.56 (-0.80, -0.32)  K=7, N=276  Quality: High	SMD= -0.83 (-1.26, -0.39)  K=2, N=91  Quality: Moderate
Anxiety (clinician-rated)	SMD= -1.14 (-1.46, -0.83)  K=8, N=340  Quality: Moderate	SMD= -1.09 (-1.58, -0.59)  K=2, N=103  Quality: High	SMD= -1.08 (-1.38, -0.77)  K=8, N=352  Quality: Moderate	SMD= -1.32 (-1.78, -0.86)  K=2, N=91  Quality: Moderate
Depression (self-rated)	SMD= -0.73 (-1.13, -0.33)  K=7, N=267  Quality: Moderate	SMD= -0.84 (-1.25, -0.44)  K=2, N=103  Quality: High	SMD= -0.70 (-1.08, -0.32)  K=7, N=279  Quality: Moderate	SMD= -0.96 (-1.40, -0.52)  K=2, N=91  Quality: Moderate
Depression (clinician-rated)	SMD= -0.87 (-1.63, -0.11)  K=2, N=88  Quality: Very low	SMD= -0.59 (-0.99, -0.19)  K=2, N=103  Quality: Moderate	SMD= -0.84 (-1.26, -0.42)  K=3, N=152  Quality: Low	SMD= -0.40 (-1.04, 0.23)  K=1, N =39  Quality: Low
Worry	SMD= -1.15	SMD= 0.89	SMD= -1.16	SMD= -0.85

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	(-1.81, -0.5) K=6, N=232 Quality: Low	(-1.33, -0.46) K=2, N=103 Quality: High	(-1.81, -0.52) K=6, N=244 Quality: Low	(-1.28, -0.41) K=2, N=91 Quality: Moderate
Non-Response	RR = 0.6 (0.37, 0.97) K=3, N=90 Quality: Low	RR 0.69 (0.49, 0.98) K=2, N=129 Quality: Moderate	-	-
Non-Remission	RR 0.62 (0.41, 0.94) K=3, N=130 Quality: Low	RR 0.62 (0.47, 0.80) K=2, N=129 Quality: High	-	-

1

### 1 **7.3.4 Dose-response relationship of CBT treatment**

2 There was one study (Durham, 2004) examined the dose-response relationship with  
 3 CBT treatment (that is, suitable patients were given brief CBT if they had a good  
 4 prognosis and either standard or intensive CBT if they had a poor prognosis). Since  
 5 the method of allocation is not randomised, the results favouring brief CBT might be  
 6 confounded by the better prognosis in the brief treatment group. Hence, no  
 7 conclusions can be drawn and it has been narratively reviewed.

8  
 9 **Table 23. Dose-response relationship of CBT treatment**

	Brief versus standard versus intensive CBT
No. trials (Total participants)	1 RCT (94)
Study ID	1) DURHAM2004
N/% female	1) 28/55
Mean age	1) 39
Diagnosis	Diagnosed with GAD as a primary diagnosis either by DSM-IV.
Baseline severity rated by clinician	Baseline ADIS score: 1) Brief CBT - 4.7 (good prognosis) 2) Standard CBT - 6 (poor prognosis) 3) Intensive CBT - 5.8 (poor prognosis)
Comparators	1) Brief CBT (5 sessions) 2) Standard CBT (9 sessions) 3) Intensive CBT (15 sessions)
Length of treatment	1) 10 weeks
Follow-up	1) 6 months

10

#### 11 ***Brief versus standard therapy***

12 There was a significant difference between brief versus standard CBT in relation to  
 13 clinician assessed anxiety scores, in favour of brief CBT and there appears to be even  
 14 greater benefit to brief CBT at six months follow up. However, there was no  
 15 significant difference between length of CBT on self-rated anxiety. At six months  
 16 follow up, the results favour brief CBT over standard CBT, however, it should be  
 17 noted that the confidence just crosses the line of no effect, so this result should be  
 18 interpreted with caution. Furthermore, remission was similar for both treatment  
 19 groups at post-treatment and slightly favouring brief therapy at follow up; however,  
 20 this difference did not achieve statistical significance. These findings should be  
 21 interpreted with caution due to confounding factor of the difference in severity of  
 22 the two groups (good prognosis and poor prognosis).

#### 23 ***Brief versus intensive therapy***

1 There was a significant difference between brief versus intensive CBT in relation to  
 2 clinician assessed anxiety scores at post-treatment in favour of brief CBT. This  
 3 difference was even greater after six months follow up. However, despite the results  
 4 indicating that brief CBT was slightly more effective in reducing self rated anxiety  
 5 there was no significant differences between groups at post-treatment or six months  
 6 follow up. Moreover, the evidence suggests that the brief CBT group were more  
 7 likely to achieve remission status both at post-treatment and at six months follow up  
 8 than those receiving intensive CBT. However, the results are not significant and the  
 9 confidence intervals are fairly wide so the evidence remains inconclusive. These  
 10 findings should be interpreted with caution due to confounding factor of the  
 11 difference in severity of the two groups (good prognosis and poor prognosis).

### 12 *Standard versus intensive therapy*

13 There were no significant differences between standard versus intensive CBT in  
 14 relation to either clinician-assessed anxiety scores at post-treatment and 6 months'  
 15 follow-up, or self-rated anxiety scores at post-treatment and 6 months' follow-up.  
 16 Finally, there was no significant difference between remission rates at post-treatment  
 17 and 6 months' follow-up. From this evidence it is not possible to draw any clear  
 18 conclusions about the relative efficacy of the treatments.

### 19 **7.3.5 Motivational interviewing as a pre-treatment to CBT**

20 One study (Westra 2009) examined whether adding motivational interviewing as a  
 21 pre-treatment to CBT would improve outcomes. This study could not be meta-  
 22 analysed and thus has been narratively reviewed. Participants assigned in the  
 23 motivational interviewing group received four weeks of motivational interviewing  
 24 as pre-treatment. On the contrary, the other group were put on a waiting list for 4  
 25 weeks. After week four, participants from both groups received CBT for 8 weeks.  
 26

### 27 **Table 24. Summary study characteristics and evidence profile for motivational** 28 **interviewing as a pre-treatment**

	Motivational interviewing plus CBT versus CBT alone
No. trials (Total participants)	1 RCT (90)
Study ID	WESTRA 2009
N/% female	90/46%
Mean age	MI-CBT group mean = 42.97, SD = 13.11 CBT only group mean = 40.89, SD = 11.73
Diagnosis	Diagnosed with GAD as a primary diagnosis by DSM-IV.
Baseline severity rated by clinician	Baseline ADIS score: MI-CBT group 6.03 (0.97) CBT only group 6.03 (0.75)
Comparators	CBT only group (pre-CBT treatment, similar to effect of a waitlist control group) at week 4 CBT only group (post-CBT treatment) at 12 weeks



Length of treatment	Motivational interviewing (4 weeks) CBT (8 weeks)	
Follow-up	6 and 12 months	
Results	Pre-treatment (MI) versus no pre-treatment (WLC) at week 4	MI-CBT versus CBT only at week 12
	Anxiety scores (DASS) -0.12 [-0.57, 0.33] Depression scores(DASS) -2.03 [-6.39, 2.33] Worry scores (PSWQ) -3.84 [-8.36, 0.68]	Anxiety scores(DASS) -0.12 [-0.57, 0.33] Depression scores(DASS) 0.40 [-2.47, 3.27] Worry scores(PSWQ) -6.99 [-12.98, -1.00]
Follow up results	-	6 months Anxiety scores(DASS) -0.08 [-0.53, 0.37]  Depression scores(DASS) 1.10 [-1.72, 3.92]  Worry scores(PSWQ) -2.93 [-9.66, 3.80]  12 months Anxiety scores(DASS) 0.05 [-0.40, 0.50]  Depression scores(DASS) 1.05 [-2.77, 4.87]  Worry scores(PSWQ) -2.90 [-9.54, 3.74]  ADIS scores -0.20 [-0.65, 0.25]

1

2 ***Motivational interviewing versus waitlist control***

3 There was no statistically significant difference found between participants who  
4 received four weeks of motivational interviewing and those who did not on any  
5 outcome measures. This was not surprising as motivational interviewing was not  
6 intended to be a treatment. Instead it was aimed to increase the motivation and  
7 homework compliance in further CBT treatment, which may improve outcomes and  
8 response.

9 ***Motivational interviewing plus CBT versus CBT only***

10 There was no statistical significant difference between MI plus CBT group and CBT  
11 only group on anxiety and depression outcomes at post-treatment, 6 months or 12  
12 months follow up. The only statistically significant finding was found in  
13 improvement of worry score at post-treatment favouring MI plus CBT group.  
14 However, given the insignificant findings in most outcomes and the wide confidence  
15 intervals, the results were inconclusive. Moreover, as these findings are based on a  
16 single study, it is difficult to conclude the effect of motivational interviewing as a

1 pre-treatment to CBT. Finally, the study reported no statistically significant between  
2 groups differences for client-rated homework compliance.

### 3 **7.3.6 Applied relaxation (AR)**

#### 4 *Study characteristics*

5 There were a total of four trials comparing AR with waitlist control, active control  
6 and other active treatments. Three trials compared AR with waitlist control and one  
7 trial with non-directive therapy. There was no evidence of publication bias at the  
8 study level for any of the AR comparisons as assessed by visual inspection of funnel  
9 plots and formally by Egger's test.

10

11 Study characteristics are summarised in Table 25 with full details in Appendix 16c  
12 which also includes details of excluded studies.

1 **Table 25. Summary study characteristics of applied relaxation trials.**

	Applied Relaxation versus comparator	Applied Relaxation versus Non-directive therapy
No. trials (Total participants)	3 RCTs (127)	1 RCT (43)
Study IDs	1) BARLOW1992 2) DUGAS2009A 3) HOYER2009	1) BORKOVEC1993
N/% female	1) 65/No information 2) 65/66% 3) 73/71%	1) 66/65%
Mean age	1) 40 2) 39 3) 45	1) 38
Diagnosis	1) DSM-III-R 2) DSM-IV 3) DSM-IV	1) Diagnosed with GAD as a primary diagnosis by DSM-III-R
Baseline severity rated by clinician	1) Baseline ADIS score 5.3-5.5 2) Baseline ADIS score: 5.7 3) Baseline HAM-A score: 21.6-23.3	1) Baseline ADIS score: 4.7-4.8
Comparator	1-3) All compared to WLC	1) Non-directive therapy
Length of treatment	1) 15 weeks 2) 12 weeks 3) 15 weeks	1) 12 weeks

2

3 ***Clinical evidence for applied relaxation***

4 Evidence from the important outcomes and overall quality of evidence are presented in Table 26. The full GRADE profiles and  
5 associated forest plots can be found in Appendix 19b and Appendix 17b, respectively.

1  
2  
3

**Table 26: Summary evidence profile for applied relaxation trials**

	AR versus waitlist control	AR versus NDT
Total number of studies (number of participants)	3 RCTs (127)	1 RCT (43)
Study ID	1) BARLOW1992 2) DUGAS2009A 3) HOYER2009	1) BORKOVEC1993
<i>Length of follow up</i>	1) 24 months (not extractable) 2) 6, 12, 24 months (not extractable) 3) 6, 12 months	1) 6, 12 months
<b>Benefits</b>		
Anxiety (self rated)	SMD -0.49 (-0.86, -0.13)  Quality: High  K=3, N=121	SMD -0.48 (-1.14, 0.19)  Quality: Low  K=1, N=36
Anxiety (self rated) At follow up	-	<u>6 months</u> SMD -0.32 (-1.01, 0.36) K=1, N=33  <u>12 months</u> SMD -0.08 (-0.76, 0.60) K=1, N=33
Anxiety (clinician rated)	SMD -1.00 (-1.38, -0.62)  Quality: High  K=3, N=124	SMD -0.82 (-1.51, -0.14)  Quality: Low  K=1, N=36

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Anxiety (clinician rated) At follow up	-	<u>6 months</u> SMD -0.65 (-1.35, 0.06) K=1, N=33  <u>12 months</u> SMD -0.20 (-0.89, 0.48) K=1, N=33
Depression (self rated)	SMD -0.54 (-0.98, -0.10)  Quality: High  K=2, N=82	SMD -0.36 (-1.02, 0.29)  Quality: Low  K=1, N=36
Depression (self rated) At follow up	-	<u>6 months</u> SMD -0.26 (-0.94, 0.43) K=1, N=33  <u>12 months</u> SMD 0.04 (-0.64, 0.72) K=1, N=33
Depression (clinician rated)	SMD -0.47 (-1.14, 0.20)  Quality: Low  K=2, N=104	-
Worry	SMD -0.70 (-1.10, -0.31)  Quality: High  K=2, N=104	SMD -0.61 (-1.28, 0.06)  Quality: Low  K=1, N=36
At follow up	-	<u>6 months</u> SMD 0.04

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		(-0.64, 0.72) K=1, N=33  <u>12 months</u> SMD -0.08 (-0.77, 0.60) K=1, N=33
Non response	RR 0.39 (0.21, 0.72)  Quality: Moderate  K=1, N=36	RR 0.54 (0.32, 0.91)  Quality: Moderate  K=1, N=43
Non response At follow up	-	<u>12 months</u> RR 0.8 (0.48, 1.33)  K=1, N=43
<b>Harm</b>		
Discontinuation due to any reason	RR 2.20 (0.37, 13.19)  Quality: Low  K=3, N=141	RR 2.17 (0.47, 10.00)  Quality: Low  K=1, N=43

### 1 **7.3.7 Clinical evidence summary**

#### 2 *AR versus waitlist control*

3 There were three trials examining effects of AR with waitlist control. One trial found  
4 a statistical significant improvement in non-response if participants were given AR  
5 treatment. All three trials suggested a large effect on clinician rated anxiety, a  
6 moderate effect on self-rated anxiety, self-rated depression, worry, and somatic  
7 symptoms.

#### 8 *AR versus non-directive therapy*

9 One trial compared effects of AR with non-directive therapy. Results suggested  
10 participants receiving AR in comparison to those who received non-directive  
11 therapy were more likely to respond. Compared with non-directive therapy, AR had  
12 a small to large improvement on self-rated and clinician rated anxiety scores.  
13 However this effect diminished at 6 and 12 months follow up and was no longer  
14 statistically significant. Furthermore, there were no statistical significant differences  
15 found between treatments in terms of drop out rates, depression, and worry scores.  
16

### 17 **7.3.8 Psychodynamic therapies**

#### 18 *Study characteristics*

19 There were two trials comparing psychodynamic therapies with active control and  
20 non-directive therapies. There was no evidence of publication bias at the study level  
21 for any of the psychodynamic comparisons as assessed by visual inspection of  
22 funnel plots and formally by Egger's test.  
23

24 Study characteristics are summarised in Table 27 with full details in Appendix 16c  
25 which also includes details of excluded studies.

1

2 **Table 27. Summary study characteristics of psychodynamic therapy trials**

	Psychodynamic therapy versus active control	Psychodynamic therapy versus Non-directive therapy
No. trials (Total participants)	1 RCT (70)	1 RCT (31)
Study Ids	DURHAM 1994	CRITS-CHRISTOPH 2005
N/% female	110/68%	31/No information
Mean age	39	No information
Diagnosis	Diagnosed with Generalised Anxiety Disorder by DSM-III-R	Diagnosed with Generalised Anxiety Disorder by DSM-IV
Baseline severity rated by clinician	Baseline ADIS score: 6.1-6.6	Not reported
Comparator	Active control - Anxiety management training	Non-directive/supportive therapy
Length of treatment	14 weeks	16 weeks

3

4 ***Clinical evidence for psychodynamic therapy trials***

5 Evidence from the important outcomes and overall quality of evidence are  
6 presented in Table 8. The full GRADE profiles and associated forest plots can  
7 be found in Appendix 19b and Appendix 17b, respectively.



1 **Table 28: Summary evidence profile for psychodynamic therapy trials**

	Psychodynamic versus active control	Psychodynamic versus Non directive therapy
Total number of studies (number of participants)	1 RCT (70)	1 RCT (31)
Study ID	DURHAM 1994	CRITS-CHRISTOPH 2005
Length of follow up	6, 12 months	
<b>Benefits</b>		
Anxiety (clinician rated)	SMD 0.08 (-0.41, 0.57)  Quality: Low  K=1, N=64	SMD -0.25 (-0.95, 0.46)  Quality: Moderate  K=1, N=31
Anxiety (self rated)	SMD 0.18 (-0.31, 0.67)  Quality: Low  K=1, N=64	SMD 0.47 (-0.24, 1.19)  Quality: Moderate  K=1, N=31
Anxiety (self rated) At follow up	6 months SMD 1.00 (0.35, 1.65)  K=1, N=45  12 months SMD 0.95 (0.31, 1.60)  K=1, N=45	-
Depression (clinician rated)	-	SMD -0.08 (-0.78, 0.63)  Quality: Moderate

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		K=1, N=31
Depression (self rated)	SMD 0.24 (-0.38, 0.85)  Quality: Low  K=1, N=45	SMD 0.12 (-0.58, 0.83)  Quality: Moderate  K=1, N=31
At follow up	6 months SMD 0.51 (-0.11, 1.13)  K=1, N=45  12 months SMD 0.46 (-0.16, 1.08)  K=1, N=45	
Quality of life	SMD -0.01 (-0.62, 0.61)  Quality: Low  K=1, N=45	-
Non remission	-	SMD 0.61 (0.37, 1.01)  Quality: High  K=1, N=31
<b>Harm</b>		
Leaving study early for any reason	SMD 0.83 (0.34, 2.07)  Quality: Low  K=1, N=70	SMD 0.53 (0.05, 5.29)  Quality: Moderate  K=1, N=31

### 1 **7.3.9 Clinical evidence summaries**

#### 2 *Psychodynamic therapies versus other active comparisons*

3 One trial compared the effectiveness of psychodynamic therapy with another active  
4 comparison (anxiety management training). There were no statistical significant  
5 difference in effect on anxiety (clinician and self-rated), depression, and quality of life  
6 scores. With the exception of somatic symptoms, a large improvement favouring  
7 comparison (i.e. anxiety management training) was found. But this was not sustained at  
8 follow up.  
9

#### 10 *Psychodynamic therapies versus non-directive therapy*

11 One trial compared the effectiveness of psychodynamic therapies with non-directive  
12 therapy. There was no statistically significant difference in drop out rates. Moreover,  
13 there were no statistically significant differences found between treatments on anxiety,  
14 depression scores.  
15

### 16 **7.3.10 Other interventions**

17 Two trials could not be classified as any of the four types of treatments; these trials could  
18 not be integrated into the meta-analyses, and therefore would be narratively reviewed.  
19

20 Study characteristics and evidence from the important outcomes are presented in Table  
21 29.  
22

23 **Table 29: Summary study characteristics and evidence of other interventions**

	<b>Affect-focused body psychotherapy versus treatment as usual</b>	<b>Integrated relaxation therapy versus waiting list control</b>
No. trials (Total participants)	1 RCT (61)	1 RCT (35)
Study ID	(1) BERG 2009	(1) JANBOZORGI 2009
N/% female	(1) 61/69%	(1) 35/87.5%
Mean age	(1) 37	(1) 25
Diagnosis	Diagnosed with GAD as a primary diagnosis either by DSM-IV.	Diagnosed with GAD as a primary diagnosis either by DSM-IV.
Baseline severity rated by clinician	Not reported	Not reported
Comparator	(1) Treatment as usual	(1) Waiting list control
Length of treatment	(1) 1 year	(1) 12 weeks
Follow-up	(1) 2 years	(1) None reported
Results:	Anxiety score - self-reported BAI SMD -0.04; 95% CI, -0.55, 0.46 (post treatment) SMD -0.07; 95% CI, -0.58, 0.43 (2 years)	Anxiety score - self-reported STAI-T SMD -1.42; 95% CI -2.21, -0.63

	follow up) Quality of Life – self-reported WHO (ten) Well-being index SMD -1.90; 95% CI, -5.42, 1.62 (post treatment) SMD -1.40; 95% CI, -5.02, 2.22 (2 years follow up)  Drop out Treatment: 6/33 Control: 0/28 RR 11.09 [0.65, 188.55]	
--	---	--

1

2 *Affect-focused body psychotherapy versus treatment as usual*

3 Only one study (Berg, 2009) included a comparison of affect-focused body  
 4 psychotherapy (ABP) versus treatment as usual. The APP is a novel treatment that  
 5 integrates bodily techniques and the exploration of emotions into a psychodynamic  
 6 frame of reference. The focus of therapy is on comprehending the information latent in  
 7 affects and on increasing the tolerance for affects in general and anxiety in particular. The  
 8 bodily part of the therapy helps the patient to gain a better stability through exercises  
 9 and massage which in turn may lead to a reduction in overall anxiety. Also, the therapist  
 10 aims to gain information regarding the patient’s emotions by observing the patient’s  
 11 bodily expressions (e.g. body posture) and also by being observant of his or her own  
 12 reactions. The patient is then invited to explore their emotions while directly working  
 13 with the body with massage grips or movements. Cognitive-behavioural techniques,  
 14 such as formulating self-assertive dialogues, may be used to enhance the patient’s ability  
 15 to express feelings satisfactorily. Four female physiotherapists whose professional  
 16 experience varied from 10 to 20 years administered the treatment once weekly during 1  
 17 year. All therapists were trained and examined in provision of ABP before the study  
 18 commenced and were provided regular supervision (twice monthly) to ensure adherence  
 19 to the manual throughout the study.

20

21 The evidence suggests that there is no significant difference between treatments in  
 22 reduction of anxiety scores after one year post-treatment nor two year follow up.  
 23 Similarly, despite the results favouring affect-focused body psychotherapy there were no  
 24 significant differences between treatments in the improvement of quality of life post-  
 25 treatment or at two years follow up. Moreover, this limited evidence seems to indicate a  
 26 high risk of drop out for those receiving affect-focused body psychotherapy when  
 27 compared with the treatment as usual group, however this difference remains  
 28 statistically insignificant. These results are based on one small scaled study and given the  
 29 wide confidence intervals and lack of statistical significance; it is difficult to make any  
 30 firm conclusions from this evidence.

31 *Integrative relaxation training versus waiting list control*

32 Only one study (Janbozorgi, 2009) included a comparison of Integrative relaxation  
 33 training (a combination of CBT approaches with relaxation, lifestyle modification, and  
 34 spiritual exercises) versus waiting list control. From this study, we could only extract

1 anxiety scores, and the results at post-treatment were significant, favouring integrative  
 2 relaxation training over waiting list control. However, these results should be interpreted  
 3 with caution due to the small sample size. Moreover, these results may not be  
 4 generalisable to the U.K. population as the population consisted of Iranian patients  
 5 diagnosed with anxiety disorder.

### 6 *Chinese Taoist Cognitive Psychotherapy (CTCP) treatment for GAD*

7 Zhang and colleagues (2002) conducted a randomised trial comparing the efficacy of  
 8 CTCP, benzodiazepines (BDZ) and combined treatment in people diagnosed with GAD  
 9 according to CCMD-2. Participants in the CTCP only group (n=46) received cognitive  
 10 psychotherapy blended with aspects of Chinese culture such as Taoist philosophy. This  
 11 treatment was carried out by experienced and trained psychiatrists. The drug treatment  
 12 group (n=48) received variable doses of diazepam and alprazolam according to patient  
 13 conditions; however, drug dosage was unaltered in the second of the two phases of the  
 14 study. The combined treatment group (n=49) received both CTCP and BDZ. All groups  
 15 had one month of weekly sessions (phase I), each lasting one hour (10 minutes only for  
 16 the drug group) and then 5 months of twice monthly sessions (phase II). Patients were  
 17 assessed after both phases with the Symptoms Checklist (SCL-90), Type A Personality  
 18 Scale, Coping Style Questionnaire and the Eysenck Personality Questionnaire. After one  
 19 month follow up, patients had significantly lower mean SCL-90 scores in the drug only  
 20 (SMD=-0.77: 95% CI, -1.19 to -0.35) and combined treatment group (SMD= -0.53: 95% CI,  
 21 -0.94 to -0.12) than the CTCP only group. After 6 months follow up, patients had  
 22 significantly lower mean SCL-90 scores in the CTCP only (SMD= -0.85: 95% CI, -1.30 to -  
 23 0.41) and the combined treatment group (SMD= -0.88: 95% CI, -1.32 to -0.43) compared to  
 24 drug only group suggesting that CTCP alone or in combination is more effective than  
 25 medication in the long term.

### 26 **7.3.11 Combined treatments**

27 One trial examined combination of pharmacological and psychological interventions;  
 28 another examined the augmentation of psychological treatment. These trials could not be  
 29 integrated into the meta-analyses, and therefore would be narratively reviewed.

30  
 31 Study characteristics and evidence from the important outcomes are presented in Table  
 32 30.

33  
 34 **Table 30: Summary study characteristics and evidence profile for combined treatments**

Combining pharmacological and psychological treatments	Buspirone and anxiety management training versus active control and anxiety management training	Buspirone and non-directive therapy versus active control and non-directive therapy
No. trials (Total participants)	1 RCT (60)	
Study ID	(1) BOND2002	
N/ % female	(1) 60/45%	
Mean age	(1) 34	

Diagnosis	Diagnosed with GAD as a primary diagnosis either by DSM-III-R	
Comparator	(1) Active control and anxiety management training	(1) Active control and non-directive therapy
Baseline severity rated by clinician	Baseline HAM-A score: 14.3-15.5	Baseline HAM-A score: 14.4-16.3
Length of treatment	(1) 8 weeks	
Follow-up	(1) None reported	(1) None reported
Results:	<u>clinician rated anxiety scores</u> SMD -0.33; 95% CI -1.16, 0.49 <u>self rated anxiety scores</u> SMD 0.06; 95% CI -0.76, 0.88	<u>clinician rated anxiety scores</u> SMD -0.18; 95% CI -1.09, 0.73 <u>self rated anxiety scores</u> SMD 0.07; 95% CI -0.84, 0.97

1

<b>Augmentation of interpersonal therapy with CBT</b>	<b>CBT plus interpersonal therapy versus waitlist control</b>	<b>CBT versus CBT+IPT</b>
No. trials (Total participants)	1 RCT (24)	
Study ID	REZVAN2008	
N/% female	36/100%	
Mean age	20	
Diagnosis	Diagnosed with GAD as a primary diagnosis either by DSM-IV.	
Comparator	WLC	Explore the effect of augmentation of IPT to CBT
Length of treatment	8 weeks	
Follow-up	12 months	
Results:	<u>Worry score – Penn State Worry Questionnaire</u> SMD -2.89; 95% CI, -4.10, -1.69 (post treatment) SMD -3.52; 95% CI, -4.87, -2.17 (12 months follow up) <u>Quality of life – Oxford Happiness Scale</u> SMD -2.40; 95% CI, -3.49, -1.31 (post-treatment) SMD -3.62; 95% CI, -5.00, -2.25 (12 months follow up)	<u>Worry score – Penn State Worry Questionnaire</u> SMD -0.07; 95% CI, -0.87, 0.73 (post treatment) SMD 0.79; 95% CI, -0.05, 1.62 (12 months follow up) <u>Quality of life – Oxford Happiness Scale</u> SMD -0.09; 95% CI, -0.89, 0.71 (post-treatment) SMD 0.98; 95% CI, 0.13, 1.84 (12 months follow up)

2

3

1 *Buspirone and anxiety management training versus active control and anxiety*  
2 *management training*

3 Based on the evidence of one study (Bond *et al.*, 2002), the data favour the combination of  
4 buspirone and anxiety management training over the combination of active control and  
5 anxiety management training in the reduction of clinician rated anxiety scores. However,  
6 this result is not significant and should be interpreted with caution due to the wide  
7 confidence intervals. Similarly, there were no significant differences between the  
8 treatment approaches on self rated anxiety scores and thus it is not possible to draw any  
9 clear conclusions about the relative efficacy of the treatments.  
10

11 *Buspirone and non-directive therapy versus active control and non-directive*  
12 *therapy*

13 Based on the evidence of one study (Bond *et al.*, 2002), there was no significant  
14 differences found between the combination of buspirone and non-directive therapy over  
15 the combination of active control and non-directive therapy in the reduction of clinician  
16 rated anxiety scores. However, the results indicate that the combination of buspirone and  
17 non-directive therapy may lead to slightly lower clinician rated anxiety scores. Similarly,  
18 there were no significant differences between the treatment approaches on self rated  
19 anxiety scores. Again, due to the wide confidence intervals, lack of statistical significance  
20 and the small sample size, this prevents any clear conclusions being drawn.  
21

22 *Cognitive behavioural therapy (CBT) and interpersonal therapy (IPT) versus*  
23 *waitlist control*

24 One study looked at the effect of interpersonal therapy augmented with cognitive  
25 behavioural therapy (Rezvan *et al.*, 2008). However, the treatment described in the study  
26 was not the standard CBT nor the IPT described was derived from standard IPT  
27 principles. Results should therefore be interpreted with caution. When augmented with  
28 IPT, combined therapies had a statistically significant large effect on worry and quality of  
29 life over waitlist control at post treatment. The effects on both scores were sustained at 12  
30 months follow up. However, the augmentation of IPT is not statistically significantly  
31 better than CBT alone treatment on both worry and quality of life scores. This result,  
32 however, changed at 12 months follow up. At 12 months, the data favoured the  
33 combined therapy on worry and quality of life over CBT alone. Firm conclusions are  
34 subject to cautious interpretation due to the limited evidence available.

35 **7.4 MODE OF DELIVERY**

36 *Individual cognitive behavioural therapy (CBT)*

37 A total of eighteen studies examined the effectiveness of individual CBT for generalised  
38 anxiety disorder (Arntz, 2003; Barlow, 1992; Borkovec, 1993; Borkovec, 2002; Butler, 1991;  
39 Dugas, 2009; Durham 1994; Hoyer, 2009; Ladouceur, 2000; Leichsenring, 2009; Linden,  
40 2005; Mohlman, 2003; Ost, 2000; Roemer, 2008; Stanley, 1996; Stanley 2003; Stanley 2009;  
41 Wetherell 2009). The average duration of CBT treatment was 15 weekly sessions (range of

1 12-20 weeks) lasting approximately 70 minutes (range of 50 to 120 minutes). The majority  
2 of these studies (53%) required participants to complete homework assignments or  
3 practice techniques at home. Homework usually involved the application of reaching  
4 alternative perspectives, exposure to worry and various behavioural tasks. The amount  
5 of time allocated to homework also varied between studies from twice per day to a  
6 weekly basis. Therapist support varied significantly throughout the studies with the  
7 standard amount of therapists per study being three (range of one to nine). Therapist's  
8 competence and training also varied widely. Approximately eight studies were licensed  
9 CBT psychotherapists, eight were doctoral level students and two other included a  
10 mixture of clinical psychologists, consultant psychotherapists, and trainee psychiatrists.  
11 Training also varied from little experience in CBT (i.e. under a year's experience) to 16  
12 years of experience of delivering CBT. Therapist training was provided via a number of  
13 diverse methods such as workshops, private practice seminars, and by manual.

#### 14 *Group cognitive behavioural therapy*

15 Two studies (Dugas *et al.*, 2003 & Wetherell *et al.*, 2003) looked at the efficacy of group  
16 CBT on generalised anxiety disorder. The duration of treatment for group CBT was  
17 around 12 to 14 weekly sessions lasting 90-120 minutes per session. Dugas and  
18 colleagues (2003) did not assign homework tasks to participants, while Wetherell and  
19 colleagues (2003) incorporated a 30-minute homework task each day. Therapist support  
20 was provided by a licensed psychologist trained in CBT in the Dugas (2003) trial and  
21 advanced doctoral students delivered therapy to groups of older adults in the Wetherell  
22 and colleagues (2003) trial. The therapist to client ratio was approximately one therapist  
23 per four to six clients. The therapist was provided with a session-by-session treatment  
24 manual before commencing treatment.

#### 25 *Applied relaxation (AR)*

26 A total of eight studies examined the effectiveness of AR for generalised anxiety disorder  
27 (Arntz, 2003; Barlow, 1992; Borkovec, 1993; Borkovec, 2002; Dugas, 2009; Hoyer, 2009;  
28 Ost, 2000; Wells, 2010). The mean treatment duration was 13 weekly sessions, which  
29 ranged from a minimum of 12 weekly sessions to a maximum of 15 weekly sessions. The  
30 average session lasted approximately 80 minutes with a minimum of 60 minutes and a  
31 maximum of 120 minutes. Similar to CBT, homework assignments were allocated to  
32 consolidate learning for the majority of AR studies (71%). These homework assignments  
33 normally required participants to practice applied relaxation techniques at least twice per  
34 day or in one case at the end of each weekly session. Again, the therapist support and  
35 competence differed substantially between studies. Half of the studies (4/8) was  
36 delivered by senior doctoral therapists, some of which had the additional support of  
37 experienced therapists or staff psychologists (3/8). Two further studies provided therapy  
38 by means of licensed therapists or psychologists with an average of ten years of clinical  
39 experience (range of 5-16 years). For another study therapist support was delivered by a  
40 therapist who was trained at an applied relaxation workshop.

#### 41 *Psychoanalytic/psychodynamic psychotherapy*

42 Three studies examined the impact of psychodynamic therapy on generalised anxiety  
43 disorder symptoms (Crits-Cristoph *et al.*, 2005; Durham *et al.*, 1994; Leichsenring *et al.*,



1 2009). The average duration of treatment was approximately 20 weekly sessions (range of  
2 10-30) which lasted approximately one hour each. No homework assignments were  
3 allocated for these groups. Therapist support was delivered by either a licensed  
4 psychotherapist with 15 years of experience in providing psychodynamic therapy  
5 (Leichsenring *et al.*, 2009), by a therapist with PHD or Master of Social work who had a  
6 minimum of 10 years experience of providing psychodynamic therapy (Crits-Cristoph *et*  
7 *al.*, 2005), or by a clinical psychologist, consultant psychotherapist or trained  
8 psychotherapist (Durham *et al.*, 1994).

### 9 *Non-directive therapy*

10 Three studies examined the efficacy of non-directive therapy on improving the  
11 symptoms of generalised anxiety disorder (Crits-Cristoph *et al.*, 2005; Borkovec &  
12 Costello, 1993; Stanley *et al.*, 1996). The typical treatment duration was 14 weekly sessions  
13 (range of 12-16) lasting approximately 90 minutes each. Only one of the studies  
14 (Borkovec & Costello, 1993) required participants to carry out a daily homework  
15 assignment as part of the therapy. Therapist support was delivered by an experienced  
16 and advanced clinical graduate (Borkovec & Costello, 1993), by a therapist with PHD or  
17 Master of Social work who had a minimum of 10 years experience of providing therapy  
18 (Crits-Cristoph *et al.*, 2005), or a therapist specifically trained in non-directive counselling  
19 (Stanley *et al.*, 1996).

### 20 *Other active comparisons*

21 Three studies looked at the efficacy of other active treatments which could not be  
22 otherwise classified as applied relaxation, psychodynamic therapies or non directive  
23 therapies. The active treatments consisted of an anxiety management condition delivered  
24 by psychiatric registrars (doctors in training) without training in CBT, who followed a  
25 written protocol in which coping skills were taught during a structured individual  
26 session (Durham *et al.*, 1994); an enhanced usual care condition, which consisted of  
27 biweekly supportive telephone conversations to provide support and ensure patient's  
28 safety (Stanley *et al.*, 2009); and a discussion group in which a different topic relating to  
29 common anxieties was discussed each week (Wetherell *et al.*, 2003). Therapy duration  
30 was approximately eleven sessions over a period of ten weeks with an average of 50  
31 minutes spent per session (range of 15-90 minutes). Homework assignments were given  
32 to consolidate learning for both the discussion group (Wetherell *et al.*, 2003) and the  
33 anxiety management training group (Durham, 1994), but not for the enhanced usual care  
34 group (Stanley *et al.*, 2009). Therapist support again was varied and ranged from clinical  
35 psychologists, consultant psychiatrists or a trainee psychiatrist (Durham *et al.*, 1994), to  
36 therapists with a masters degree and two years CBT experience, a pre-doctoral student  
37 with more than three years of CBT experience or a post-bachelor level therapist with 5  
38 yrs of experience of delivering CBT (Stanley *et al.*, 2009) and advanced doctoral students  
39 (Wetherell *et al.*, 2003).

40  
41

## 1 **7.5 OVERALL CLINICAL SUMMARY**

### 2 *Cognitive behavioural therapy*

3 CBT was found to be an effective treatment compared with waitlist control. Data  
4 suggested CBT is associated with moderate-to-large improvement on anxiety,  
5 depression, worry and somatic symptoms relative to waitlist control. However, the long  
6 term effects of CBT trials relative to inactive controls are unknown. The overall quality  
7 for this set of evidence is of moderate to high quality. Therefore a rather strong  
8 recommendation regarding CBT's clinical evidence can be made.

9  
10 CBT was not found to be inferior or superior to applied relaxation, with both of these  
11 interventions displaying similar effects on the majority of outcomes. The overall quality  
12 of evidence is low to moderate. Therefore, applied relaxation may be considered as a  
13 possible intervention when treating generalised anxiety. Despite the lack of statistically  
14 significant differences, CBT has a larger magnitude of effect compared with applied  
15 relaxation. Thus, clinical evidence for CBT is more robust than AR due to the larger  
16 evidence base and larger effect sizes.

17  
18 There is some evidence showing CBT is better than psychodynamic therapies in  
19 improving anxiety and depression outcomes in the short term. Long term effects are  
20 unknown with a moderate quality of evidence from two trials.

21  
22 There is a lack of evidence to draw conclusions comparing CBT and non-directive  
23 therapies because the trials are not comparable.

24  
25 Subgroup analysis of CBT's effect for adults and older adults did not reveal any  
26 statistically significant differences. Subgroup analysis of individual or group sessions  
27 CBT showed both treatments are effective against waitlist control on anxiety, depression  
28 and worry outcomes. The overall quality of evidence was moderate to high. This  
29 suggested CBT can be delivered in individual or group format.

### 30 *Applied relaxation*

31 AR is an effective treatment compared with waitlist control. It is associated with  
32 moderate improvement on anxiety, depression, worry and somatic symptoms outcomes.  
33 The overall quality is moderate, which support a moderate recommendation in terms of  
34 its clinical evidence profile.

35  
36 There are insufficient evidence comparing relative effectiveness of AR and other  
37 psychological treatments.

### 38 *Psychodynamic therapies*

39 The limited evidence shows no statistical significant difference between psychodynamic  
40 therapies and active comparison (anxiety management training).

1 The limited evidence did not show statistically significant differences in relative  
2 effectiveness between psychodynamic therapies and non-directive therapies. Therefore  
3 no recommendations can be made due to limited evidence available.

#### 4 *Non-directive therapies*

5 There is an absence of evidence exploring the effectiveness of non-directive therapies  
6 compared with control, and thus no recommendations will be made with regard to these  
7 therapeutic interventions.  
8

## 9 **7.6 HEALTH ECONOMIC EVIDENCE**

### 10 **7.6.1 Systematic literature review**

11 The systematic search of the economic literature undertaken for the guideline identified  
12 one eligible study on high-intensity psychological interventions for people with GAD  
13 (Heuzenroeder *et al.*, 2004). The study, based on decision-analytic modelling, compared  
14 CBT with standard care for the treatment of people with GAD from the perspective of the  
15 healthcare sector in Australia. Standard care was defined as a mixture of care based on  
16 evidence-based medicine principles (27%), care according to non-evidence-based  
17 medicine principles (28%) and no care (45%). The study population consisted of the total  
18 estimated adult population with GAD in Australia, according to national surveys. The  
19 measure of outcome was the number of Disability Adjusted Life Years (DALYs) saved.  
20 The source of clinical effectiveness data was a systematic review and meta-analysis.  
21 Resource use estimates were based on assumptions; national unit prices were used. The  
22 study estimated the costs of CBT provided by 4 different types of health professionals,  
23 that is, private psychologists, public psychologists, private psychiatrists, and public  
24 psychiatrists. The analysis estimated that use of CBT for the treatment of the adult  
25 population in Australia saved 7,200 DALYs in total compared with standard care. The  
26 incremental cost of providing CBT rather than standard care to all adults with GAD in  
27 Australia ranged from \$50 million, when CBT was provided by public psychologists, to  
28 \$170 million, when CBT was provided by private psychiatrists (prices in 2000 Australian  
29 dollars). The incremental cost effectiveness ratio (ICER) of CBT versus standard care lay  
30 between \$12,000/DALY averted (range \$7,000-\$25,000/DALY averted in sensitivity  
31 analysis) for provision of CBT by public psychologists, to \$32,000/DALY averted (range  
32 \$20,000-\$63,000/DALY averted in sensitivity analysis) for provision of CBT by private  
33 psychiatrists. Although the study met the systematic review inclusion criteria, it was  
34 considered to be non-applicable to the UK setting for the following reasons: it was  
35 conducted in Australia; the measure of outcomes was DALYs saved, which limited the  
36 interpretability of the study findings; and standard care, according to its definition, was  
37 likely to differ significantly from standard care in the NHS context. For this reason the  
38 study was not considered further during the guideline development process.  
39

40 Details on the methods used for the systematic review of the economic literature are  
41 described in Chapter 3; the full reference to the study and the respective evidence table is  
42 presented in Appendix 16f. The completed methodology checklist of the study is  
43 provided in Appendix 18.

## 1 **7.6.2 Cost analysis**

2 The cost effectiveness of high-intensity psychological interventions for people with GAD  
3 was considered by the GDG as an area with potentially significant resource implications.  
4 As already discussed in Chapter 6, it was not possible to construct an economic model in  
5 order to compare high-intensity psychological interventions with other active treatments  
6 such as low-intensity psychological interventions and/or pharmacological treatments,  
7 because no direct (head-to-head) comparisons were available and indirect evidence was  
8 problematic, as there were significant differences across studies in terms of the study  
9 populations, the study comparators, and the clinical outcome measures used. Even  
10 within the clinical literature on high-intensity psychological interventions there were  
11 important differences in terms of the population (some studies were conducted on older  
12 populations with GAD), the comparators, and the definition of response/remission.  
13 Moreover, it was not possible to link the outcome measures, such as response and  
14 remission, with published utility scores in order to conduct a cost-utility analysis, as the  
15 definition of response in studies reporting utility scores for GAD-related health states  
16 differed significantly from the definition of response in the RCTs included in the  
17 guideline systematic literature review. For this reason, it was not possible to assess the  
18 relative cost effectiveness between high-intensity psychological interventions using  
19 decision-analytic modelling techniques. Instead, simple cost analyses were undertaken to  
20 estimate the intervention costs associated with NHS provision of effective high-intensity  
21 psychological interventions, as identified by the guideline systematic review and meta-  
22 analysis. The resource use estimates were based on the descriptions of resource use in the  
23 RCTs included in the guideline systematic review, supported by the GDG expert opinion  
24 so as to reflect optimal clinical practice within the NHS context. For costing purposes it  
25 was assumed that interventions were provided by clinical psychologists; however, it is  
26 recognised that other trained health professionals of equivalent qualifications may well  
27 provide the interventions assessed. Unit costs of clinical psychologists were based on the  
28 median full-time equivalent basic salary for Agenda for Change Band 7, of the January-  
29 March 2009 NHS Staff Earnings estimates; estimation of unit costs considered  
30 wages/salary, salary oncosts and overheads but did not include qualification costs, as  
31 these are not available for clinical psychologists (Curtis, 2009). Subsequently, the GDG  
32 considered the intervention costs alongside the findings of the clinical effectiveness  
33 review at the formulation of recommendations

34  
35 The guideline systematic review and meta-analysis indicated that CBT and applied  
36 relaxation were effective in the treatment of people with GAD and were thus considered  
37 in this cost analysis. Both interventions consisted of 12 sessions and 3 booster sessions,  
38 lasting 1 hour each, according to reported overall resource use in the RCTs considered in  
39 the systematic clinical review supported by the GDG expert opinion. Using a unit cost for  
40 clinical psychologists of £75 per hour of client contact (Curtis, 2009), the total cost of  
41 providing either CBT or applied relaxation would reach £1,125 per person treated in 2009  
42 prices. As expected, this cost is significantly higher than the cost of providing any low-  
43 intensity psychological intervention of those considered in the cost analysis described in  
44 chapter 6 (according to this, the intervention cost was estimated at £15 per person for  
45 pure self-help; £36-£108 per person for psychoeducational group; and £83-£150 per  
46 person for guided bibliotherapy). In addition, the intervention cost of high psychological

1 interventions is considerably higher than that of pharmacological therapy: the latter was  
2 estimated to range from £150 to £410 per person, depending on the drug used. These  
3 figures include drug acquisition cost and GP consultations over a period of 8 weeks of  
4 initial treatment and 6 months of maintenance treatment (details on intervention costs of  
5 pharmacological treatment are provided in the economic section of chapter 8).  
6 Nevertheless, the extra cost associated with provision of high-intensity psychological  
7 interventions may be justified, considering the relative clinical benefits and harms across  
8 different types of interventions available for people with GAD. Moreover, if high  
9 interventions are delivered in groups, then the intervention cost per person is greatly  
10 reduced, as the total cost is spread: for example, if 12-14 sessions of group CBT, lasting 2  
11 hours each, are offered to groups of 6 people (as described in relevant literature  
12 considered in this guideline), then the intervention cost per person is estimated to be  
13 approximately £300-350.

## 14 **7.7 FROM EVIDENCE TO RECOMMENDATIONS**

15 The evidence base for CBT as an effective treatment against inactive control is quite  
16 strong. A reasonably large number of trials of high quality suggested a moderate to large  
17 improvement on relevant outcome measures. Also, when CBT is compared with other  
18 treatments in a limited number of trials, there appeared to be some moderate quality  
19 evidence favouring CBT over psychodynamic therapy. Moreover, the evidence from the  
20 experience of care chapter suggested CBT treatments do not have adverse side effects as  
21 opposed to pharmacological treatments. Patients appeared to prefer psychological  
22 treatments over pharmacological treatments. For this reason, although CBT can be quite  
23 costly per person (£1,125), patient's preferences should be considered and clinicians can  
24 consider offering CBT with reasonable evidence based support.

25  
26 Furthermore, delivering CBT in groups might be considered as an additional option  
27 given the cost was lower. However, the evidence base for group CBT was from smaller  
28 and lower quality trials. Hence there was not enough statistical power to make any  
29 recommendations.

30  
31 The evidence base for applied relaxation against waitlist control is moderate. A smaller  
32 number of moderate quality trials suggested a small to large improvement on relevant  
33 outcome measures. It was unclear whether there were any adverse effects for this  
34 treatment. Health economics data suggested CBT and AR have similar cost if they are  
35 provided by fully trained clinical psychologists. In general, AR treatment can be  
36 considered as an option; however clinicians should note the less robust evidence base of  
37 support.

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1 **7.7.1 Recommendations**

2 *Treatment options*

3 **7.7.1.1** Offer people with GAD and marked functional impairment, or those whose  
4 symptoms have not responded adequately to step 2 interventions, either:

- 5
  - an individual high-intensity psychological intervention, or
  - 6 • drug treatment.

7  
8 Provide the person with verbal and written information on the likely benefits  
9 and disadvantages of each mode of treatment, including the tendency of drug  
10 treatments to be associated with side effects and withdrawal syndromes. Base the  
11 decision on patient preference as there is no evidence that either mode of  
12 treatment is better.

13 *High-intensity psychological interventions*

14 **7.7.1.2** If a person with GAD chooses a high-intensity psychological intervention, offer  
15 either CBT or applied relaxation.

16 **7.7.1.3** CBT for people with GAD should:

- 17
  - be based on the treatment manuals used in the clinical trials of CBT for  
18 GAD
  - 19 • be delivered by trained and competent practitioners
  - 20 • typically consist of 12–15 weekly sessions, each lasting 1 hour.

21  
22 **7.7.1.4** Applied relaxation for people with GAD should:

- 23
  - be based on the treatment manuals used in the clinical trials of applied  
24 relaxation for GAD
  - 25 • be delivered by competent practitioners who have had training in both  
26 CBT and applied relaxation
  - 27 • typically consist of 12–15 weekly sessions, each lasting 1 hour.

28  
29 **7.7.1.5** Practitioners who provide high-intensity psychological interventions for GAD  
30 should:

- 31
  - have regular supervision to monitor fidelity to the treatment model,  
32 using audio or video recording of treatment sessions where possible
  - 33 • use routine outcome measures and ensure that the person with GAD is  
34 involved in reviewing the efficacy of the treatment.

35 **7.7.1.6** Consider providing all interventions in the preferred language of the person with  
36 GAD if possible.

37

1 **Inadequate response**

2 7.7.1.7 If a person's GAD has not responded to a full course of a high-intensity  
3 psychological treatment, offer a drug treatment.

4 7.7.1.8 Consider referral to secondary care (step 4) if the person with GAD has severe  
5 anxiety with marked functional impairment in conjunction with:

- 6 • a risk of self-harm or suicide, or
- 7 • significant comorbidity, such as drug misuse, personality disorder or
- 8 complex physical health problems, or
- 9 • self-neglect, or
- 10 • an inadequate response to step 3 interventions.
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# 8 PHARMACOLOGICAL INTERVENTIONS FOR GENERALISED ANXIETY DISORDER

## 8.1 INTRODUCTION

The use of pharmacological treatments to manage anxiety is a far from a recent phenomenon; for example, the consumption of alcohol and opiates for this purpose dates back centuries. In the 19<sup>th</sup> and early 20<sup>th</sup> century, medicines containing bromides were often prescribed by clinicians to treat what would then have been called “anxiety neurosis” (Schwartz *et al.*, 2005). The mid-twentieth century saw the introduction of barbiturates followed by the benzodiazepines which were widely used for the medical treatment of anxiety between the 1960’s and the 1980’s. Towards the end of this period the limitations of benzodiazepines in terms of tolerance and dependence became apparent and at the same time the therapeutic benefits of antidepressant medications in treating various kinds of anxiety disorders were more widely recognised (Davidson *et al.*, 2010).

Antidepressant medications, particularly selective serotonin re-uptake inhibitors, are now commonly used in the management of anxiety disorders, including generalised anxiety disorder (GAD). A number of other agents are also licensed for the treatment of GAD, some of which have a long history of use in this area, for example the antihistamine, hydroxyzine, and the 5-HT<sub>1A</sub> receptor agonist, buspirone, while others such as the anticonvulsant, pregabalin, have been introduced more recently (Baldwin *et al.*, 2005).

### *Effectiveness of pharmacological interventions*

There are currently several different kinds of pharmacological treatment available for the treatment of GAD. Placebo-controlled trials provide the best evidence of efficacy but such studies are not always easy to interpret because of the extent of the placebo response (Baldwin *et al.*, 2005). In addition, in the general population, GAD is commonly co-morbid with both other anxiety disorders and depression, whereas patients recruited to placebo-controlled trials are more likely to have GAD as a sole diagnosis (Tyrer and Baldwin, 2006). This introduces uncertainty about the generalisability of findings from controlled trials to real-world clinical populations. There is also uncertainty about the length of time for which drug treatment should be continued once an initial response has been obtained. Related to this is the issue of the discontinuation symptomatology that often accompanies medication withdrawal (Committee on Safety of Medicines, 2004) and how patients may fare subsequently.



## 1 *Current practice*

2 Current clinical practice, as reflected in previous published guidelines (Baldwin *et al.*,  
3 2005; Davidson *et al.*, 2010), suggests that pharmacological treatment should be  
4 considered only at a certain level of clinical severity when there is evidence of persistent  
5 symptomatology which results in occupational and social disability. The presence of a  
6 comorbid mental disorder or physical illness may also influence the decision to offer  
7 medication (Davidson *et al.*, 2010).

8  
9 When medication is recommended, current advice is to consider as first line treatment an  
10 antidepressant, either an SSRI or a serotonin and noradrenaline reuptake inhibitor  
11 (SNRI). Benzodiazepines are not recommended because of the potential for the  
12 development of tolerance and dependence in a condition where treatment may need to  
13 be given for several months but are still in relatively wide use.

14

## 15 **8.2 PHARMACOLOGICAL INTERVENTIONS**

### 16 **8.2.1 Clinical question**

17 In the treatment of GAD, which drugs improve outcomes compared with other drugs  
18 and with placebo?

19

### 20 **8.2.2 Databases searched and inclusion/exclusion criteria**

21 Information about the databases searched and the inclusion/ exclusion criteria used for  
22 this section of the guideline can be found in (further information about the search for  
23 health economic evidence can be found in chapter 3).

24

#### 25 **Table 31. Databases searched and inclusion/exclusion criteria for clinical evidence.**

Electronic databases	MEDLINE, EMBASE, CINAHL, PSYCINFO, COCHRANE LIBRARY
Date searched	Database inception to 09.05.2010
Study design	RCT
Patient population	People with Generalised Anxiety Disorder
Interventions	SSRIs, TCAs, duloxetine, venlafaxine, pregabalin, quetiapine, risperidone, benzodiazepines
Outcomes	Mean anxiety rating scale scores, non-response (<50% reduction in anxiety rating scale score), non-remission (still meeting cut-off for caseness on an anxiety rating scale), Sheehan Disability Scale, Quality of life

26

1 **8.2.3 Studies considered<sup>7</sup>**

2 The review team conducted a new systematic search for RCTs that assessed the benefits  
3 and harms of pharmacological interventions for the treatment of people with generalised  
4 anxiety disorder as defined in DSM-III-R or DSM-IV.

5  
6 A total of 13,356 references were identified by the electronic search relating to clinical  
7 evidence, a further seven unpublished trials were identified through pharmaceutical  
8 company websites. Of these references, 13,220 were excluded at the screening stage on  
9 the basis of reading the title and/or abstract. The remaining 139 references were assessed  
10 for eligibility on the basis of the full text. 62 trials met the eligibility criteria set by the  
11 GDG, providing data on 20,834 participants. Of these, 7 were unpublished and 55 were  
12 published in peer-reviewed journals between 1992 and 2009. In addition, 77 studies were  
13 excluded from the analysis. Reasons for exclusion were not providing an acceptable  
14 diagnosis of Generalised Anxiety Disorder (n=50), not being an RCT (n=19), having less  
15 than 10 participants per group (n=7), not double blind (n=1), and not being relevant  
16 intervention (n=1) (further information about both included and excluded studies can be  
17 found in Appendix 16d).

18  
19 There were a total of 29 trials comparing various antidepressants with placebo. Most  
20 trials were on venlafaxine, duloxetine, escitalopram, sertraline and paroxetine. These  
21 trials were all large, high quality studies funded almost exclusively by drug company  
22 sponsorship. There was no evidence of publication bias at the study level for any of the  
23 antidepressant comparisons as assessed by visual inspection of funnel plots and formally  
24 by Egger's test. Study characteristics are summarised in Table 32 and with full details in  
25 Appendix 16d which also includes details of excluded studies.

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<sup>7</sup> Here and elsewhere in the guideline, each study considered for review is referred to by a study ID in capital letters (primary author and date of study publication, except where a study is in press or only submitted for publication, then a date is not used).

1 **Table 32: Study information table for trials of antidepressants versus placebo**

	Escitalopram versus Placebo	Sertraline versus Placebo	Paroxetine versus Placebo	Citalopram versus Placebo	Duloxetine versus Placebo	Venlafaxine versus Placebo	Imipramine versus Placebo
Total no. of trials (total no. of participants)	6 RCTs (N = 2136)	2 RCTs (N=706)	8 RCTs (N=2784)	1 RCT (N=34)	4 RCTs (N=1491)	12 RCTs (N=3470)	1 RCT (N=28)
Study ID	ASTRAZENECA 2007B BALDWIN2007 BOSE2008 DAVIDSON2004 GOODMAN2005 LENZE2009	ALLGULAN-DER2004 BRAWMAN-MINTZER 2006	ASTRAZENECA 2007A BALDWIN2007 GSK2002 GSK2005 HEWETT2001 POLLACK2001 PFIZER2008 RICKELS2003	LENZE2005	HARTFORD 2007 KOPONEN 2007 NICOLINI 2009 RYNN2008	ALLGULANDER 2001 BOSE2008 DAVIDSON 1999 GELENBERG 2000 HACKETT 2003 HARTFORD 2007 KASPER2009 LENNOX-SMITH2003 MONTGOMERY2006 NICOLINI2009 NIMATOUDIS2004 RICKELS2000A	MCLEOD 1992
Diagnosis	GAD:  DSM-IV ASTRAZENECA 2007B BOSE2008 DAVIDSON2004 GOODMAN2005 LENZE2009  DSM-IV-TR BALDWIN2006	DSM-IV ALLGULANDER2004 BRAWMAN-MINTZER2006	DSM-IV ASTRAZENECA 2007A GSK2002 GSK2005 HEWETT2001 POLLACK2001 RICKELS2003 PFIZER2008  DSM-IV-TR	DSM-IV LENZE2005	DSM-IV HARTFORD 2007 KOPONEN 2007 NICOLINI 2009 RYNN2008	DSM-III-R NIMATOUDIS2004  DSM-IV BOSE2008 ALLGULANDER2001 DAVIDSON1999 GELENBERG2000 HACKETT2003 HARTFORD2007 KASPER2009	DSM-III-R MCLEOD 1992

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	BALDWIN2006				LENOXSMITH2003 MONTGOMERY2006 NICOLINI2009 RICKELS2000		
Baseline severity (HAM-A): mean (SD)	ASTRAZENECA 2007B Not reported	ALLGULANDER2004 24.6 (4.6) Placebo 25.0 (4.9)	ASTRAZENECA 2007A Not reported	LENZE2005 24.1 (4.6) Placebo 23.1 (3.8)	HARTFORD 2007 25.6 (5.8) Placebo 25.0 (5.8)	ALLGULANDER 2001 26.6	MCLEOD 1992 25.3 (4.0) Placebo 25.1 (2.0)
	BALDWIN2006 27.06 (4.46)	BRAWMAN-MINTZER 2006 24.5 (3.1) Placebo 24.1 (2.8)	BALDWIN2006 27.06 (4.46)	GSK2002 24.5	KOPONEN 2007 25.5	BOSE2008 23.8 (SE=0.3)	
	BOSE2008 24.2 (SE=0.4) Placebo 23.7 (SE=0.3)		GSK2005 Not reported		NICOLINI 2009 27.5	DAVIDSON1999 23.4	
	DAVIDSON2004 23.40 (4)		HEWETT2001 26.0 (0.4) Placebo 25.9 (0.4)		RYNN2008 22.6 (7.4) Placebo 23.5 (7.9)	GELENBERG2000 25.0 (5.0)	
	GOODMAN2005 23.0 (0.2) Placebo 22.7 (0.2)		POLLACK2001 24.2 (0.30) Placebo 24.1 (0.30)			HACKETT2003 27.8	
	LENZE2009 23.00 (2.30)		RICKELS2003 24			HARTFORD2007 25	
			PFIZER2008 23.5 (3.3) Placebo 24.0 (4.9)			KASPER2009 27	
						LENOXSMITH2003 28	
						MONTGOMERY2006 26.8	
						NICOLINI2009 27.3	
						NIMATOUDIS2004 27.8	
						RICKELS2000A 24	
Age	45	41	41	69	43	42	41

1

- 1 *Clinical evidence for antidepressants versus placebo*
- 2 Evidence from the important outcomes and overall quality of evidence are
- 3 presented in Table 33. The full GRADE profiles and associated forest plots can be
- 4 found in Appendix 19c and Appendix 17c, respectively.

1 Table 33. Evidence summary table for trials of antidepressants versus placebo

	Escitalopram versus Placebo	Sertraline versus Placebo	Paroxetine versus Placebo	Citalopram versus Placebo	Duloxetine versus Placebo	Venlafaxine versus Placebo	Imipramine versus Placebo
Total number of studies (number of participants)	6 RCTs (N = 2136)	2 RCTs (N=706)	8 RCTs (N=2784)	1 RCT (N=34)	4 RCTs (N=1908)	12 RCTs (N=3470)	1 RCT (N=28)
Study ID	ASTRAZENECA 2007B BALDWIN2006 BOSE2008 DAVIDSON2004 GOODMAN2005 LENZE2009	ALLGULAN-DER2004 BRAWMAN-MINTZER 2006	ASTRAZENECA 2007A BALDWIN2006 GSK2002 HEWETT2001 POLLACK2001 RICKELS2003 PFIZER2008	LENZE2005	HARTFORD 2007 KOPONEN 2007 NICOLINI 2009 RYNN2008	ALLGULANDER2001 BOSE2008 DAVIDSON 1999 GELENBERG 2000 HACKETT 2003 HARTFORD 2007 KASPER2009 LENNOX-SMITH2003 MONTGOMERY2006 NICOLINI 2009 RICKELS2000A NIMATOUDIS2004	MCLEOD 1992
Length of follow up	End of treatment	End of treatment	End of treatment	End of treatment	End of treatment	End of treatment	End of treatment
<b>Benefits</b>							
HAM-A	SMD= -0.33 (-0.47, -0.19)  MD= -2.36 (-3.28, -1.43)  K=4, N=1,512  Quality: High	SMD = -0.28 (-0.43, -0.13)  MD = -2.46 (-4.53, -0.39)  K=2, N=698  Quality: High	SMD = -0.23 (-0.32, -0.14)  MD = -1.46 (-2.23, -0.69)  K=6, N=1,210  Quality: High	-	SMD = -0.41 (-0.56, -0.25)  MD = -3.15 (-4.10, -2.21)  K=4, N=1,453  Quality: High	SMD = -0.50 (-0.77, -0.23)  MD = -3.16 (-4.81, -1.51)  K=5, N=1,177  Quality: Moderate	SMD = -0.49 (-1.24, 0.27)  MD = -4.01 (-10.16, 1.96)  Quality: Low
Non-Response (≤ 50% reduction in	RR = 0.78 (0.63, 0.97)	RR = 0.70 (0.57, 0.86)	RR = 0.91 (0.73, 1.13)	RR = 0.46 (0.23, 0.93)	RR = 0.75 (0.62, 0.90)	RR = 0.80 (0.71, 0.92)	

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HAM-A)	K=3, N=1,107 Quality: Moderate	K=2, N=706 Quality: High	K=3, N=1,074 Quality: Low	K=1, N=34 Quality: Moderate	K=4, N=1,491 Quality: Moderate	K=8, N=2,224 Quality: Moderate	
Non-Remission (≥ 7 on HAM-A)	RR =0.93 (0.85, 1.02) K=2, N=699 Quality: Moderate	RR =0.85 (0.75, 0.95) K=1, N=378 Quality: Moderate	RR =0.87 (0.82, 0.92) K=5, N=2,032 Quality: High	RR = 0.64 (0.39, 1.06) K=1, N=34 Quality: Moderate	RR = 0.86 (0.75, 0.98) K=4, N=1,491 Quality: Low	RR = 0.83 (0.74, 0.94) K=6, N=1,441 Quality: Moderate	
<b>Harms</b>							
Discontinuation due to adverse events	RR =1.72 (1.16, 2.53) K=5, N=1,603 Quality: High	RR =1.10 (0.63, 1.91) K=2, N=706 Quality: Low	R=2.50 (1.81, 3.45) K=8, N=2784 Quality: High	RR = 3.00 (0.13, 68.84) K=1, N=34 Quality: Moderate	RR = 3.12 (1.55, 6.31) K=4,N=1,491 Quality: Moderate	RR = 2.06 (1.59, 2.68) K=10, N=3,180 Quality: High	-
Nausea	RR = 2.02 (1.45, 2.81) K=3, N=986 Quality: High	RR = 1.85 (1.35, 2.55) K=2, N=701 Quality: High	RR =2.98 (2.33, 3.80) K=7, N=2304 Quality: Moderate	-	RR = 4.54 (2.91, 7.10) K=2, N=840 Quality: High	RR = 2.76 (2.28, 3.34) K=8, N=2,229 Quality: High	-
Sexual problems	RR =13.17 (1.83, 94.89) K=2, N=723 Quality: Moderate	RR =15.41 (0.89, 267.81) K=1, N=373 Quality: Moderate	RR =7.22 (3.77, 13.83) K=7, N=2,340 Quality: Moderate	-	RR = 2.95 (1.20, 7.29) K=2, N=840 Quality: High	RR = 36.32 (7.76, 170.02) K=3, N=886 Quality: Moderate	-
Insomnia	RR: 1.81 (1.07, 3.08) K=2, N=671 Quality: Moderate	RR = 1.26 (0.90, 1.76) K=2, N= 701 Quality: Moderate	RR = 2.33 (1.35, 4.00) K=4, N=1,091 Quality: Moderate	-	RR = 2.46 (1.28, 4.76) K=2, N=840 Quality: High	RR = 1.56 (1.16, 2.09) K=6, N=1,671 Quality: Moderate	-
Note: RR <1 favours treatment and RR>1 favours placebo							

1

1 *Evidence summary*

2 There was limited or no data for a number of interventions: there was only one  
3 study assessing imipramine; one study assessing citalopram; and no data on  
4 mirtazapine, bupropion, trazodone, fluvoxamine, amitriptyline, and no data on  
5 most TCAs (for example, clomipramine, doxepin, lofepramine, dosulepin,  
6 nortriptyline, trimipramine). A further limitation of the data was the lack of long  
7 term studies (only two studies, one for venlafaxine and one for escitalopram, were  
8 provided data on use beyond six months) and no available follow up data  
9 beyond end of treatment.

10

11 The benefits in terms of reducing the risk of non-response, non-remission and  
12 mean anxiety rating scores were similar for most antidepressants suggesting a  
13 small-to-moderate improvement in anxiety relative to placebo.

14

15 The harms were also relatively consistent across drugs. Discontinuation due to  
16 adverse events was greater than placebo for most antidepressants but particularly  
17 high for paroxetine, duloxetine and venlafaxine. Specific side effects such as  
18 nausea and insomnia were more common in people receiving antidepressants  
19 compared with placebo. Sexual problems were relatively rare but there was an  
20 increased risk associated with antidepressants.



1 **8.2.4 Pregabalin versus placebo**

2 *Study characteristics*

3 There were a total of 8 trials comparing pregabalin with placebo. Study  
4 characteristics are summarised in Table 34 with full details in Appendix 16d  
5 which also includes details of excluded studies.

6

7

8

9

1 **Table 34. Study information table for trials of pregabalin versus placebo**

	<b>Pregabalin versus Placebo</b>
Total no. of trials (total no. of participants)	8 RCTs (N = 2079)
Study ID	FELTNER2003 KASPER2009 MONTGOMERY2008 MONTGOMERY2006 POHL2005 PFIZER2005 PANDE2003 RICKELS2005
Diagnosis	GAD:  DSM-IV FELTNER2003 KASPER2009 MONTGOMERY2008 MONTGOMERY2006 POHL2005 PFIZER2005 PANDE2003 RICKELS2005
Baseline severity: mean (SD)	HAM-A 24.9 (3.9) 50mg. 25.4 (4.6) 200mg. Placebo 24.8 (4.1) FELTNER2003 HAM-A 27.6 (SE=0.4) Placebo 26.8 (SE=0.8) KASPER2009 HRS-A 27 (4.8) Placebo 26 (4.1) MONTGOMERY2008 HAM-A 26.3 (4.4) 400mg/d. 26.5 (4.6) 600mg/d. Placebo 27.4 (5.5) MONTGOMERY2006 Not reported POHL2005 HAM-A 25.5, 150mg. 24.4, 600mg. Placebo 23.9 PFIZER2005

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	HAM-A 22.35 (2.68) 150mg. 23.16 (2.73) 600mg. Placebo 22.90 (3.88) PANDE2003
	HAM-A 25.0 (SE=0.4) 300mg. 24.6 (SE=0.4) 450mg. 25.2 (SE=0.4) 600mg. Placebo 24.6 (SE=0.4) RICKELS2005
Treatment length	4 weeks FELTNER2003 PANDE2003 RICKELS2005
	6 weeks MONTGOMERY2006 POHL2005
	8 weeks KASPER2009 MONTGOMERY2008
Length of follow-up	End of treatment
Age	45

1

- 1 *Clinical evidence for antidepressants versus placebo*
- 2 Evidence from the important outcomes and overall quality of evidence are
- 3 presented in Table 35. The full GRADE profiles and associated forest plots can
- 4 be found in Appendix 19c and Appendix 17c, respectively.

1 *Table 35. Evidence summary table for trials of pregabalin versus placebo*

	<b>Pregabalin versus Placebo</b>
Total number of studies (number of participants)	8 RCTs (N = 2145)
Study ID	FELTNER2003 KASPER2009 MONTGOMERY2008 MONTGOMERY2006 POHL2005 PFIZER2005 PANDE2003 RICKELS2005
Length of follow up	End of treatment
<b>Benefits</b>	
HAM-A	SMD = -0.42 (-0.55, -0.29)  MD = -2.97 (-3.70, -2.24)  K=5, N=1,296  Quality: High
Non-Response ( $\leq$ 50% reduction in HAM-A)	RR = 0.79 (0.73, 0.85)  K=8, N=2,145  Quality: High
Non-Remission ( $\geq$ 7 on HAM-A)	RR = 0.91 (0.87, 0.96)  K=6, N=1,896  Quality: High
<b>Harms</b>	

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Discontinuation due to adverse events	RR = 1.31 (0.99, 1.74)  K=8, N=1,145  Quality: High
Nausea	RR = 1.19 (0.85, 1.66)  K=6, N=1,532  Quality: Moderate
Insomnia	RR = 0.70 (0.32, 1.54)  K=3, N=765  Quality: Moderate
Dizziness	RR = 3.36 (2.46, 4.58)  K=6, N=1,532  Quality: High
Fatigue	RR = 2.54 (0.92, 6.99)  K=1, N=249  Quality: Moderate

1

1 *Evidence summary*

2 Pregabalin was associated with a moderate benefit in terms of mean anxiety  
3 rating score and non-response. However, though there was statistically  
4 significant evidence of benefit in relation to non-remission the effect size was  
5 small.

6  
7 In terms of harms, there was a small statistically significant increase in the risk  
8 of discontinuation due to adverse events. For specific side effects, there was a  
9 different pattern from that found for antidepressants. There was no  
10 statistically significant increase in risk of experiencing nausea, insomnia or  
11 sexual problems. However, there were large increases in risk of dizziness and  
12 fatigue.

1 **8.2.5 Benzodiazepines versus placebo**

2 *Study characteristics*

3 There were a total of 4 trials comparing benzodiazepines with placebo. Study  
4 characteristics are summarised in Table 36 with full details in Appendix 16d  
5 which also includes details of excluded studies.

6

7



## 1 Table 36. Study information table for trials of benzodiazepines versus placebo

	Diazepam versus Placebo	Alprazolam versus Placebo	Lorazepam versus Placebo
Total no. of trials (total no. of participants)	4 RCTs (N = 529)	4 RCTs (N=544)	4 RCTs (N=515)
Study ID	ANDREATINI2002 ANSSEAU2001 HACKETT2003 RICKELS2000	MCLEOD1992 MOLLER2001 RICKELS2005 LYDIARD1997	FELTNER2003 FRESQUET2000 PANDE2003 PFIZER2008
Diagnosis	GAD:  DSM-III-R ANDREATINI2002 ANSSEAU2001 RICKELS2000B  DSM-IV HACKETT2003	GAD:  DSM-III-R LYDIARD1997  DSM-IV MCLEOD1992 RICKELS2005  ICD-10 MOLLER2001	GAD:  DSM-IV FELTNER2003 FRESQUET2000 PANDE2003 PFIZER2008
Baseline severity: mean (SD)	HAM-A 25.2 (4.5) Placebo 25.1 (7.5) ANDREATINI2002  HAM-A 29.9 (5.2) Placebo 29.4 (5.7) ANSSEAU2001  HAM-A 28.4 Placebo 27.9 HACKETT2003  HAM-A 24.0 Placebo 24.9 RICKELS2000B	HAM-A 28.1 (4.3) Placebo 25.1 (2.0) MCLEOD1992  HAM-A 29.7 (7.6) Placebo 29.3 (7.0) MOLLER2001  HAM-A 24.9 (SE 0.4) Placebo 24.6 (SE 0.4) RICKELS2005  HAM-A 24.1 Placebo 24.8 LYDIARD1997	HAM-A 24.7 (3.7) Placebo 24.8 (4.1) FELTNER2003  HAM-A 21.5 (3.2) Placebo 20.3 (1.7) FRESQUET2000  HAM-A 23.85 (3.24) Placebo 22.90 (3.88) PANDE2003
Treatment length	4 weeks:	4 weeks:	

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	ANDREATINI2002 ANSSEAU2001	LYDIARD1997 MOLLER2001 RICKELS2005	
	6 weeks: RICKELS2000	6 weeks: MCLEOD1992	
	8 weeks: HACKETT2003		
Length of follow-up	End of treatment	End of treatment	End of treatment
Age	42	43	37

1

- 1 *Clinical evidence for benzodiazepines versus placebo*
- 2 Evidence from the important outcomes and overall quality of evidence are
- 3 presented in Table 37. The full GRADE profiles and associated forest plots can
- 4 be found in Appendix 19c and Appendix 17c, respectively.

## 1 Table 37. Evidence summary table for trials of benzodiazepines versus placebo

	Diazepam versus Placebo	Alprazolam versus Placebo	Lorazepam versus Placebo
Total number of studies (number of participants)	4 RCTs (N = 529)	4 RCTs (N=544)	4 RCTs (N=515)
Study ID	ANDREATINI2002 ANSSEAU2001 HACKETT2003 RICKELS2000B	MCLEOD1992 MOLLER2001 RICKELS2005 LYDIARD1997	FELTNER2003 FRESQUET2000 PANDE2003 PFIZER2008
Length of follow up	End of treatment	End of treatment	End of treatment
<b>Benefits</b>			
HAM-A	SMD= -0.21 (-1.01, 0.59)  MD= -1.90 (-8.94, 5.14)  K=1, N=24  Quality: Moderate	SMD = -0.33 (-0.53, -0.14)  MD = -2.53 (-3.90, -1.17)  K=3, N=419  Quality: High	SMD = -0.53 (-0.83, -0.24)  MD = -2.49 (-3.78, -1.20)  K=2, N=185  Quality: High
Non-Response ( $\leq$ 50% reduction in HAM-A)	RR = 0.67 (0.54, 0.84)  K=3, N=505  Quality: High	RR = 0.87 (0.70, 1.08)  K=1, N=184  Quality: Moderate	RR = 0.84 (0.66, 1.07)  K=4, N=453  Quality: Low
Non-Remission ( $\geq$ 7 on HAM-A)	-	RR = 0.89 (0.76, 1.03)  K=1, N=184  Quality: Moderate	RR = 0.90 (0.77, 1.05)  K=3, N=406  Quality: Low
<b>Harms</b>			
Discontinuation due to adverse events	RR =1.67 (0.82, 3.39)	RR = 1.30 (0.58, 2.95)  K=1, N=184	RR=4.04 (2.55, 6.38)

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	K=4, N=529 Quality: Moderate	Quality: Moderate	K=4, N=515 Quality:
Nausea	RR = 0.50 (0.20, 1.28) K=1, N=208 Quality: Moderate	RR = 0.74 (0.36, 1.52) K=3, N=516 Quality: Moderate	RR=1.42 (0.82, 2.46) K=4, N=435 Quality: Moderate
Sexual problems	RR =11.00 (0.62, 196.43) K=1, N=208 Quality: Moderate	-	-
Insomnia	-	RR=0.59 (0.15, 2.37) K=1, N=125 Quality: - Moderate	RR=2.21 (0.3, 16.32) K=3, N=300 Quality: - Very Low
Fatigue	RR = 2.83 (1.16, 6.90) K=1, N=208 Quality: - Moderate	RR = 0.74 (0.17, 3.16) K=1, N=125 Quality: Moderate	-
Dizziness	RR = 3.26 (1.22, 8.70) K=2, N=319 Quality: High	RR = 1.65 (0.95, 2.85) K=3, N=516 Quality: Moderate	RR = 2.76 (1.54, 4.93) K=4, N=435 Quality: High
Note: RR <1 favours treatment and RR>1 favours placebo			

1  
2

1 *Evidence summary*

2 The evidence base for benzodiazepines was much smaller than for  
3 antidepressants and pregabalin reported above. There were inconsistent  
4 effects for most outcomes. On mean anxiety rating score there was small-to-  
5 moderate benefits found but the effect for diazepam was not statistically  
6 significant. On non-response there was a moderate reduction for diazepam  
7 but no statistically significant effects were identified for lorazepam and  
8 alprazolam. For non-remission, no data was found for diazepam and there  
9 were no statistically significant effects for lorazepam or alprazolam.

10

11 There was inconsistent reporting of harms therefore the data on side effects is  
12 relatively limited. There was no statistically significant increase in risk of  
13 discontinuation for diazepam and alprazolam but there was a higher risk in  
14 lorazepam. Increased risk of experiencing sexual problems was found for  
15 diazepam but this was not reported for the other drugs. There was an  
16 increased risk of dizziness for diazepam, lorazepam and alprazolam.

## 1 8.2.6 Buspirone versus placebo

### 2 *Study characteristics*

3 There were a total of 29 trials comparing various antidepressants with  
4 placebo. Study characteristics are summarised in Table 38 with full details in  
5 Appendix 16d which also includes details of excluded studies.

6  
7 **Table 38. Study information table for trials of buspirone versus placebo**

	<b>Buspirone versus placebo</b>
Total no. of trials (total no. of participants)	5 RCTs (N = 806)
Study ID	DAVIDSON1999 LADER1998 MAJERCSIK2003 POLLACK1997 SRAMEK1996
Diagnosis	GAD:  DSM-IV DAVIDSON1999 LADER1998 MAJERCSIK2003  DSM-III-R POLLACK1997 SRAMEK1996
Baseline severity: mean (SD)	HAM-A 23.8 (4.6) Placebo 23.7 (4.2) DAVIDSON1999 HAM-A 26.7 (4.1) Placebo 26.2 (4.2) LADER1998 HAM-A 24.4 Placebo 25.1 POLLACK1997 HAM-A 24.9 (4.2) SRAMEK1996 HAM-A 19.45 (4.6) Placebo 21.48 (0.47)
Treatment length	4 weeks LADER1998 6 weeks MAJERCSIK2003 POLLACK1997 SRAMEK1996 8 weeks DAVIDSON1999
Length of follow-up	End of treatment
Age	39
Gender (% female)	

8

### 9 *Clinical evidence for buspirone versus placebo*

10 Evidence from the important outcomes and overall quality of evidence are  
11 presented in Table 39. The full GRADE profiles and associated forest plots can  
12 be found in Appendix 19c and Appendix 17c, respectively.

13

14

15

1

2 **Table 39. Evidence summary table for trials of buspirone versus placebo**

	<b>Buspirone versus Placebo</b>
Total number of studies (number of participants)	4 RCTs (N = 806)
Study ID	DAVIDSON1999 MAJERCSIK2003 LADER1998 POLLACK1997 SRAMEK1996
Length of follow up	End of treatment
<b>Benefits</b>	
HAM-A	SMD = -0.27 (-0.48, -0.06)  MD = -1.93 (-3.04, -0.82)  K=4, N=519  Quality: High
Non-Response ( $\leq$ 50% reduction in HAM-A)	RR = 0.87 (0.74, 1.01)  K=2, N=365  Quality: Moderate
Non-Remission ( $\geq$ 7 on HAM-A)	-
<b>Harms</b>	
Discontinuation due to adverse events	RR = 2.02 (1.12, 3.67)  K=3, N=591  Quality: High
Nausea	RR = 2.34 (1.53, 3.58)  K=2, N=364  Quality: High
Insomnia	RR = 1.46 (0.59, 3.66)  K=1, N=162  Quality: Moderate
Dizziness	RR = 3.68 (2.66, 5.08)  K=4, N=754  Quality: High

3

4 ***Evidence summary***

5 There was a small benefit associated with buspirone on both mean anxiety  
6 rating score and non-response. However, no data was reported on non-  
7 remission therefore it is not possible to draw conclusions on this outcome.

8



1 There was greater risk of discontinuation due to adverse events associated  
 2 with buspirone. There was a higher risk of experiencing nausea and dizziness  
 3 compared with placebo.  
 4

## 5 **8.2.7 Hydroxyzine versus placebo**

### 6 *Study characteristics*

7 There were a total of three trials comparing hydroxyzine with placebo. Study  
 8 characteristics are summarised in Table 40 with full details in Appendix 16d  
 9 which also includes details of excluded studies.  
 10

11

12 **Table 40. Study information table for trials of hydroxyzine versus placebo**

Hydroxyzine versus Placebo	
Total no. of trials (total no. of participants)	3 RCTs (N = 482)
Study ID	DARCIS1995 LADER1998 LLORCA2002
Diagnosis	GAD:  DSM-IV LADER1998 LLORCA2002  DSM-III-R DARCIS1995
Baseline severity: mean (SD)	HAM-A 25.9 (4.2) Placebo 24.1 DARCIS1995 HAM-A 26.6 (4.3) Placebo 26.2 (4.2) LADER1998 HAM-A 25.49 (3.61) Placebo 25.73 (4.14) LLORCA2002
Treatment length	4 weeks DARCIS1995 LADER1998 12weeks LLORCA2002
Length of follow-up	End of treatment
Age (years)	43

13  
 14

## 15 **Clinical evidence for hydroxyzine versus placebo**

16 Evidence from the important outcomes and overall quality of evidence are  
 17 presented in Table 41. The full GRADE profiles and associated forest plots can  
 18 be found in Appendix 19c and Appendix 17c, respectively.  
 19

20

1 **Table 41. Evidence summary table for trials of hydroxyzine versus placebo**

<b>Hydroxyzine versus placebo</b>	
Total number of studies (number of participants)	3 RCTs (N = 482)
Study ID	DARCIS1995 LADER1998 LORCA2002
Length of follow up	End of treatment
<b>Benefits</b>	
HAM-A	SMD = -0.45 (-0.64, -0.27)  MD = -3.51 (-4.91, -2.11)  K=3, N=482  Quality: High
Non-Response ( $\leq$ 50% reduction in HAM-A)	RR = 0.81 (0.64, 1.02)  K=1, N=162  Quality: Moderate
<b>Harms</b>	
Discontinuation due to adverse events	RR = 1.48 (0.48, 4.60)  K=2, N=328  Quality: Moderate

2 ***Evidence summary***

3 There was inconsistent reporting of data on hydroxyzine therefore it is  
4 difficult to draw conclusions concerning the harms and benefits of this drug.  
5 Mean anxiety rating score suggested a moderate reduction in anxiety.  
6 However, most studies did not report data in sufficient detail on non-  
7 response and non-remission. There was also very little data provided on  
8 discontinuation or reporting of specific side effects.

9

10 **8.2.8 Quetiapine versus placebo**11 ***Study characteristics***

12 There were a total of two trials comparing quetiapine with placebo. Study  
13 characteristics are summarised in Table 42 with full details in Appendix 16d  
14 which also includes details of excluded studies.

15

16

1 **Table 42. Study information table for quetiapine versus placebo**

	Quetiapine (50mg) versus placebo	Quetiapine (150mg) versus placebo	Quetiapine (300mg) versus placebo	Quetiapine (flexible dose) versus placebo
Total number of studies (number of participants)	2 RCTs (N=907)	3 RCTs (N=1345)	2 RCTs (N=898)	1 RCT (N=450)
Study ID	ASTRAZENECA2007A ASTRA ZENECA 2007C	ASTRA ZENECA2007A ASTRA ZENECA 2007B ASTRA ZENECA 2007C	ASTRA ZENECA 2007B ASTRA ZENECA 2007C	ASTRA ZENECA2008
Diagnosis	GAD DSM-IV	GAD DSM-IV	GAD DSM-IV	GAD DSM-IV
Baseline severity: mean (SD)	Not reported	Not reported	Not reported	Not reported
Treatment length	8 weeks	8 weeks	8 weeks	9 weeks
Length of follow up	End of treatment	End of treatment	End of treatment	End of treatment
Mean age (years)	41	40	39	70

2  
3

- 1 *Clinical evidence for quetiapine versus placebo*
- 2 Evidence from the important outcomes and overall quality of evidence are
- 3 presented in Table 43. The full GRADE profiles and associated forest plots can
- 4 be found in Appendix 19c and Appendix 17c, respectively.

## 1 Table 43. Evidence summary table for trials of quetiapine versus placebo

	Quetiapine (50mg) versus placebo	Quetiapine (150mg) versus placebo	Quetiapine (300mg) versus placebo	Quetiapine (flexible dose) versus placebo
Total number of studies (number of participants)	2 RCTs (N=907)	3 RCTs (N=1345)	2 RCTs (N=898)	1 RCT (N=450)
Study ID	ASTRA ZENECA2007A ASTRA ZENECA 2007C	ASTRA ZENECA2007A ASTRA ZENECA 2007B ASTRA ZENECA 2007C	ASTRA ZENECA 2007B ASTRA ZENECA 2007C	ASTRA ZENECA 2008
Length of follow up	End of treatment	End of treatment	End of treatment	End of treatment
<b>Benefits</b>				
HAM-A	Not reported	Not reported	Not reported	Not reported
Non-response (< 50% reduction in HAM-A)	RR 0.82 (0.71, 0.95) K=2 N=907 Quality: High	RR 0.73 (0.62, 0.85) K=3 N=1345 Quality: High	RR 0.92 (0.81, 1.05) K=2 N=898 Quality: Moderate	RR 0.42 (0.34, 0.51) K=1 N=450 Quality: High
Non-remission (>7 on HAM-A)	RR 0.92 (0.84, 1.00) K=2 N=907 Quality: Moderate	RR 0.86 (0.79, 0.92) K=3 N=1345 Quality: High	RR 1.00 (0.92, 1.08) K=2 N=898 Quality: Moderate	RR 0.69 (0.61, 0.78) K=1 N=450 Quality: High
<b>Harms</b>				
Discontinuation due to adverse events	RR 2.62 (1.68, 4.07) K=2 N=907 Quality: High	RR 2.97 (2.11, 4.18) K=3 N=1345 Quality: High	RR 3.69 (2.54, 5.37) K=2 N=898 Quality: Moderate	RR 4.07 (1.16, 14.23) K=1 N=450 Quality: Moderate

2

1

2 Quetiapine appeared to be more effective than placebo at 50mg, 150mg and using a flexible dosing strategy. There was no evidence  
3 of effectiveness at 300mg. Discontinuation due to adverse events was very high and increased at higher dosages.

1 **8.3 HEAD-TO-HEAD TRIALS OF**  
2 **PHARMACOLOGICAL INTERVENTIONS**

3 **8.3.1 Antidepressants versus other antidepressants**

4 *Study characteristics*

5 There were a total of 6 trials comparing antidepressants with other  
6 antidepressants. Study characteristics are summarised in Table 44 with full  
7 details in Appendix 16d which also includes details of excluded studies.  
8

1 **Table 44. Study information table for antidepressants versus other antidepressants**

	Escitalopram versus paroxetine	Sertraline versus paroxetine	Escitalopram versus venlafaxine	Duloxetine versus venlafaxine
Total no. of trials (total no. of participants)	2 RCTs (N=523)	1 RCT (N=55)	1 RCT (N=264)	2 RCTs (N=653)
Study ID	BALDWIN2006 BIELSKI2005	BALL2005	BOSE2008	HARTFORD2007 NICOLINI2009
Diagnosis	DSM-IV	DSM-IV	DSM-IV	DSM-IV
Baseline severity: mean (SD)	BALDWIN2006 HAM-A 27.04 (4.46)  BIELSKI2005 HAM-A Escitalopram 23.7 (SE=0.5) Paroxetine 23.4 (SE=0.4)	HAM-A Paroxetine 20.8 (2.3) Sertraline 21.4 (3.4)	HAM-A Escitalopram 24.2 (SE=0.4) Venlafaxine 23.8 (SE=0.3)	HARTFORD 2007 HAM-A Duloxetine 25.6 (5.8) Venlafaxine 24.9 (5.4)  NICOLINI 2009 HAM-A Duloxetine 27.74 (7.32) Venlafaxine 27.36 (7.57)
Treatment length	12 weeks BALDWIN2006  24 weeks BIELSKI2005	8 weeks BALL2005	8 weeks BOSE2008	10 weeks HARTFORD2007 NICOLINI2009
Length of follow-up	End of treatment	End of treatment	End of treatment	End of treatment
Age (years)	39	39	38	42

2



- 1 *Clinical evidence for antidepressants versus other antidepressants*
- 2 Evidence from the important outcomes and overall quality of evidence are
- 3 presented in Table 45. The full GRADE profiles and associated forest plots can
- 4 be found in Appendix 19c and Appendix 17c, respectively.

## 1 Table 45. Evidence summary table for antidepressants versus other antidepressants

	Escitalopram versus Paroxetine	Sertraline versus Paroxetine	Escitalopram versus Venlafaxine	Duloxetine versus Venlafaxine
Total no. of trials (total no. of participants)	2 RCTs (N=523)	1 RCT (N=55)	1 RCT (N=404)	2 RCTs (N=653)
Study ID	BALDWIN2006 BIELSKI2005	BALL2005	BOSE2008	HARTFORD2007 NICOLINI2009
Treatment length	12 weeks BALDWIN2006  24 weeks BIELSKI2005	8 weeks BALL2005	8 weeks BOSE2008	10 weeks HARTFORD2007 NICOLINI2009
<b>Benefits</b>				
HAM-A	SMD -0.32 (-0.50, -0.14)  MD -1.66 (-2.59, -0.73)  K=2 N=523  Quality: High	-	-	SMD 0.03 (-0.13, 0.18)  MD 0.20 (-0.92, 1.32)  K=2 N=653  Quality: Moderate
Non-response	RR 0.60 (0.45, 0.81)  K=1 N=409  Quality: High	RR 0.81 (0.39, 1.70)  K=1 N=53  Quality: Moderate	RR 0.98 (0.77, 1.26)  K=1 N=264  Quality: Moderate	RR 1.04 (0.78, 1.39)  K=2 N=653  Quality: Low
Non-remission	-	RR 1.12 (0.70, 1.79)  K=1 N=53  Quality: Moderate	RR 0.99 (0.85, 1.16)  K=1 N=264  Quality: Moderate	RR 1.07 (0.94, 1.21)  K=2 N=653  Quality: Moderate

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Quality of Life	-	-	-	SMD 0.02 (-0.13, 0.18) MD 0.18 (-0.83, 1.20) K=2 N=653 Quality: Moderate
<b>Harms</b>				
Discontinuation due to adverse events	RR 0.88 (0.46, 1.69) K=1 N=409 Quality: Moderate	-	RR 0.54 (0.25, 1.16) K=1 N=264 Quality: Moderate	RR 1.18 (0.78, 1.77) K=2 N=653 Quality: Moderate
Diarrhoea	RR 1.13 (0.59, 2.17) K=1 N=409 Quality: Moderate	-	-	RR 1.86 (0.95, 3.62) K=1 N=326 Quality: Moderate
Sexual problems	RR 0.57 (0.25, 1.32) K=1 N=409 Quality: Moderate	-	-	-
Anxiety	RR 0.52 (0.19, 1.45) K=1 N=409 Quality: Moderate	-	-	-

1 *Evidence summary*

2 There was a small statistically significant effect in favour of escitalopram in  
3 comparison to paroxetine based on a reduction in HAM-A scores. In addition,  
4 there was a 40% reduction in risk of non-response for escitalopram compared  
5 with paroxetine. Moreover, there was greater risk (although not statistically  
6 significant) of discontinuation of treatment due to adverse events associated  
7 with paroxetine.

8  
9 There were no differences found on reduction of anxiety symptoms between  
10 escitalopram and venlafaxine. However, venlafaxine was associated with a  
11 greater risk of discontinuation (although this was not statistically significant).

12  
13 There were also no difference found between duloxetine and venlafaxine for  
14 reduction in anxiety but greater risk of discontinuation for venlafaxine  
15 (although again this was not statistically significant)

16  
17 There were no statistically significant differences found between paroxetine  
18 and sertraline on any outcomes.

19

20 **8.3.2 Antidepressants versus other pharmacological interventions**

21 *Study characteristics*

22 There were a total of six trials comparing antidepressants with other  
23 pharmacological interventions. Study characteristics are summarised in Table  
24 46 with full details in Appendix 16d which also includes details of excluded  
25 studies.

26

1

2 **Table 46. Study characteristics table comparing antidepressants with other pharmacological interventions**

	Venlafaxine versus Pregabalin	Venlafaxine versus Buspirone	Venlafaxine versus Diazepam	Quetiapine (50mg and 150mg) versus Paroxetine	Quetiapine (150mg and 300mg) versus Escitalopram
Total no. of trials (total no. of participants)	2 RCTs (N=566)	1 RCT (N=301)	1 RCT (N=459)	1 RCT (N=441)	1 RCT (N=432)
Study ID	KASPER2009 MONTGOMERY2006	DAVIDSON1999	HACKETT2003	ASTRA ZENECA2007A	ASTRA ZENECA2007B
Diagnosis	DSM-IV	DSM-IV	DSM-IV	DSM-IV	DSM-IV
Baseline severity: Mean (SD)	KASPER2009 HAM-A: Venlafaxine 27.4 (SE=0.4) Pregabalin 27.6 (SE =0.4)	HAM-A: Venlafaxine 23.6 Buspirone 23.8	HAM-A: Venlafaxine 27.9 Diazepam 28.4	Not reported	Not reported
Treatment length	6 weeks MONTGOMERY2006  8 weeks KASPER2009	8 weeks DAVIDSON1999	10 weeks HACKETT2003	8 weeks ASTRA ZENECA 2007A	8 weeks ASTRA ZENECA 2007A
Length of follow up	End of treatment	End of treatment	End of treatment	End of treatment	End of treatment
Age (years)	43	38	44	41	38

3

4

1 *Clinical evidence for antidepressants versus other pharmacological*  
2 *interventions*

3 Evidence from the important outcomes and overall quality of evidence are  
4 presented in Table 47. The full GRADE profiles and associated forest plots can  
5 be found in Appendix 19c and Appendix 17c, respectively.

1 Table 47. Evidence summary table comparing antidepressants with other pharmacological interventions

	Venlafaxine versus Pregabalin	Venlafaxine versus Buspirone	Venlafaxine versus Diazepam	Quetiapine versus Paroxetine	Quetiapine versus Escitalopram
Total no. of trials (total no. of participants)	2 RCTs (N=566)	1 RCT (N=301)	1 RCT (N=459)	1 RCT (N=441)	1 RCT (N=432)
Study ID	KASPER2009 MONTGOMERY2006	DAVIDSON1999	HACKETT2003	ASTRA ZENECA2007A	ASTRA ZENECA2007B
Treatment length	6 weeks MONTGOMERY2006  8 weeks KASPER2009	8 weeks DAVIDSON1999	10 weeks HACKETT2003	8 weeks ASTRA ZENECA2007A	8 weeks ASTRA ZENECA2007B
<b>Benefits</b>					
HAM-A	SMD 0.19 (-0.12, 0.50)  MD = 1.35 (-0.82, 3.53)  K=2 N=550  Quality: Moderate	-	--	-	-
Non-response	RR 1.12 (0.76, 1.64)  K=2 N=566  Quality: Low	RR 1.02 (0.82, 1.26)  K=1 N=301  Quality: Moderate	RR 1.05 (0.81, 1.36)  K=1 N=459  Quality: Moderate	Quetiapine (50mg) vs Paroxetine:  RR 0.92 (0.72, 1.18)  K=1 N=441  Quality: Moderate  Quetiapine (150mg ) vs Paroxetine:  RR 1.17 (0.89, 1.54)	Quetiapine (150mg) vs Escitalopram:  RR 1.18 (0.94, 1.47)  K=1 N=432  Quality: Moderate  Quetiapine (300mg) vs Escitalopram:  RR 0.95 (0.77, 1.16)

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				K=1 N=435 Quality: Moderate	K=1 N=420 Quality:
Non-remission	RR 0.99 (0.84, 1.17) K=1 N=320 Quality: Moderate	-	-	Quetiapine (50mg) vs Paroxetine: RR 0.91 (0.79, 1.04) K=1 N=441 Quality: Moderate Quetiapine (150mg) vs Paroxetine: RR 0.91 (0.79, 1.04) K=1 N=435 Quality: Moderate	Quetiapine (150mg) vs Escitalopram: RR 1.09 (0.96, 1.25) K=1 N=432 Quality: Moderate Quetiapine (300mg) vs Escitalopram: RR 0.97 (0.85, 1.09) K=1 N=420 Quality: Moderate
Quality of life	SMD = -0.09 (-0.34, 0.16) MD = -1.20 (-4.53, 2.13) K=1 N=246 Quality: Moderate	-	-	-	-
<b>Harms</b>					
Discontinuation due to adverse events	RR 1.72 (1.15, 2.58) K=2 N=566 Quality: High	RR 1.61 (0.95, 2.72) K=1 N=301 Quality: Moderate	RR 4.81 (1.18, 19.53) K=1 N=459 Quality: Moderate	Quetiapine (50mg) vs Paroxetine: RR 0.67 (0.37, 1.19) K=1 N=441 Quality: Moderate	Quetiapine (150mg) vs Escitalopram: RR 0.55 (0.34, 0.91) K=1 N=432 Quality: Moderate



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				Quetiapine (150mg) vs Paroxetine:  RR 0.49 (0.28, 0.84)  K=1 N=435  Quality: High	Quetiapine (300mg) vs Escitalopram:  RR 0.39 (0.24, 0.62)  K=1 N=420  Quality: High
Dizziness	RR 0.49 (0.32, 0.74)  K=2 N=566  Quality: High	RR 0.40 (0.28, 0.57)  K=1 N=301  Quality: High	-	-	-
Insomnia	RR 2.80 (1.31, 6.01)  K=2 N=566  Quality: High	-	-	-	-
Somnolence	RR = 0.36 (0.18, 0.72)  K=2 N=566  Quality: High	-	-	-	-
Nausea	-	RR 1.30 (0.91, 1.85)  K=1 N=301  Quality: Moderate	-	-	-

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- 2
- 3

1 *Evidence summary*

2 Similar to the data above, there was limited data concerning comparisons between active interventions. There were no statistically  
3 significant differences in reduction in anxiety for venlafaxine in comparison pregabalin, buspirone or diazepam. However there  
4 was an increased risk of discontinuation due to adverse events for venlafaxine compared with these drugs.

5  
6 There were no statistically significant differences between quetiapine and paroxetine with regards to non-response and non-  
7 remission. However, quetiapine (150mg) was associated with a significantly greater risk of discontinuation due to adverse events.

8  
9 There is no statistically significant difference between the quetiapine and escitalopram in regards to non-response and non-  
10 remission. Quetiapine is associated with a greater risk of discontinuation due to adverse events for both 150mg (RR=0.55, CI 0.34  
11 to 0.91) and 300mg quetiapine (RR=0.39, CI 0.24 to 0.62) compared with escitalopram.

1

2 **8.3.3 Head-to-head comparisons of pharmacological**  
3 **interventions other than antidepressants**

4 *Study characteristics*

5 There were a total of 6 head-to-head trials of pharmacological interventions  
6 other than antidepressants. Study characteristics are summarised in Table 48  
7 with full details in Appendix 16d which also includes details of excluded  
8 studies.

9

10

11

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2  
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**Table 48. Summary characteristics table for head-to-head comparisons of pharmacological interventions other than antidepressants**

	<b>Hydroxyzine vs Buspirone</b>	<b>Buspirone vs Lorazepam</b>	<b>Pregabalin vs Lorazepam</b>	<b>Pregabalin vs Alprazolam</b>
Total no. of trials (total no. of participants)	1 RCT (N=163)	1 RCT (N=43)	3 RCTs (N=610)	1 RCT (N=363)
Study ID	LADER1988	BOURIN1995	FELTNER2003 PANDE2003 PFIZER2005	RICKELS2005
Diagnosis	DSM-IV	DSM-III-R	DSM-IV	DSM-IV
Baseline severity(HAM-A): Mean (SD)	Hydroxyzine 26.6 (4.3) Buspirone 26.7 (4.1)	Buspirone 26.74 (1.89) Lorazepam 27.55 (1.84)	FELTNER2003: Pregabalin 25.2 Lorazepam 24.7  PANDE2003: Pregabalin 22.75 Lorazepam 23.85  PFIZER2005: Pregabalin 25.0 Lorazepam 24.3	Pregabalin 24.9 Alprazolam 24.9
Treatment length	4 weeks	8 weeks	4 weeks	4 weeks
Length of follow up	End of treatment	End of treatment	End of treatment	End of treatment
Age (years)	41	Not reported	37	39

4  
5

1 *Clinical summary of head-to-head trials of pharmacological*  
2 *interventions other than antidepressants*

3 Evidence from the important outcomes and overall quality of evidence are  
4 presented in Table 49. The full GRADE profiles and associated forest plots can  
5 be found in Appendix 19c and Appendix 17c, respectively.

1

2 **Table 49. Evidence summary table of head-to-head comparisons of pharmacological interventions other than antidepressants**

	Hydroxyzine vs Buspirone	Buspirone vs Lorazepam	Pregabalin vs Lorazepam	Pregabalin vs Alprazolam
Total no. of trials (total no. of participants)	1 RCT (N=163)	1 RCT (N=43)	3 RCTs (N=610)	1 RCT (N=363)
Study ID	LADER1988	BOURIN1992	FELTNER2003 PANDE2003 PFIZER2005	RICKELS2005
Treatment length	4 weeks	8 weeks	4 weeks	4 weeks
<b>Benefits</b>				
HAM-A	SMD -0.26 (-0.57, 0.05) MD -2.00 (-4.35, 0.35) K=1 N=163 Quality: Moderate	SMD -0.29 (-0.89, 0.32) MD -2.14 (-6.64, 2.36) K=1 N=43 Quality: Moderate	SMD -0.31 (-0.65, 0.03) MD -1.55 (-3.22, 0.12) K=1 N=134 Quality: Moderate	SMD -0.09 (-0.33, 0.15) MD -0.77 (-2.36, 0.82) K=1 N=349 Quality: Moderate
Non-response	-	-	RR 1.04 (0.76, 1.44) K=3 N=610 Quality: Low	RR 0.81 (0.66, 1.00) K=1 N=363 Quality: Moderate
Non-remission	-	-	RR 1.05 (0.95, 1.15) K=3 N=610 Quality: High	RR 1.01 (0.88, 1.16) K=1 N=363 Quality: High
<b>Harms</b>				
Discontinuation due to adverse events	-	-	RR 0.42 (0.31, 0.56) K=3 N=610	RR 0.63 (0.33, 1.23) K=1 N=363

			Quality: High	Quality: Moderate
At least one side effect	RR 1.05 (0.71, 1.54) K=1 N=163 Quality: Moderate	-	-	-
Dizziness	-	-	RR 1.85 (1.18, 2.91) K=2 N=341 Quality: Moderate	RR 2.36 (1.42, 3.93) K=1 N=363 Quality: High
Somnolence	-	-	RR 0.62 (0.35, 1.11) K=2 N=341 Quality: Low	RR 0.86 (0.64, 1.14) K=1 N=363 Quality: Moderate

1

2 *Evidence summary*

3 Once more there was a lack of head-to-head comparisons. There were borderline statistically significant effects favouring  
 4 pregabalin over lorazepam and alprazolam in reduction of anxiety. In addition, pregabalin was associated with a reduced risk of  
 5 discontinuation due to adverse events compared with lorazepam. However, both lorazepam and alprazolam were less likely to be  
 6 associated with reporting dizziness as a side effect.

7

8 There was a small statistically significant difference in favour of hydroxyzine in comparison to buspirone based on a reduction in  
 9 HAM-A. But no statistically significant differences found between buspirone and lorazepam.

1 **8.4 EFFECTS OF DOSAGE**

2 **8.4.1 Venlafaxine**

3 *Study characteristics*

4 There were six trials on venlafaxine comparing different dosages. Study  
5 characteristics are summarised in Table 50 with full details in Appendix 16d  
6 which also includes details of excluded studies.

7  
8 Dosages used in studies on venlafaxine ranged from a mean of 37.5mg to  
9 225mg but there was limited data for most comparisons. The most common  
10 comparison was of 75mg versus 150mg.

11  
12



1 Table 50. Study information table for trials of venlafaxine comparing different dosages

	Venlafaxine 37.5mg vs 75mg	Venlafaxine 75mg vs 150mg	Venlafaxine 150mg vs 225mg
Total no. of trials (total no. of participants)	1 RCTs (N=275)	4 RCTs (N=1,027)	1 RCTs (N=181)
Study ID	ALLGULANDER2001	ALLGULANDER2001 DAVIDSON1999 RICKELS2000A HACKETT2003	RICKELS2000A
Diagnosis	GAD:  <u>DSM-IV</u> ALLGULANDER2001	GAD:  <u>DSM-IV</u> ALLGULANDER2001 DAVIDSON1999 RICKELS2000A HACKETT2003	GAD:  <u>DSM-IV</u> RICKELS2000A
Length of follow-up	End of treatment	End of treatment	End of treatment
Age	45	41	41

1

2 *Clinical evidence for venlafaxine comparing different dosages*

3 Evidence from the important outcomes and overall quality of evidence are  
4 presented in Table 51. The full GRADE profiles and associated forest plots can  
5 be found in Appendix 19c and Appendix 17c, respectively.

## 1 Table 51. Evidence summary table for trials of venlafaxine comparing different dosages

	Venlafaxine 37.5mg vs 75mg	Venlafaxine 75mg vs 150mg	Venlafaxine 150mg vs 225mg
Total number of studies (number of participants)	1 RCTs (N=275)	4 RCTs (N=1,027)	1 RCTs (N=181)
Study ID	ALLGULANDER2001	ALLGULANDER2001 DAVIDSON1999 RICKELS2000A HACKET2003	RICKELS2000A
Length of follow up	End of treatment	End of treatment	End of treatment
<b>Benefits</b>			
HAM-A	-	SMD = -0.27 (-0.57, 0.03)  MD = -1.50 (-3.15, 0.15)  K=1, N=174  Quality: Moderate	-
Non-Response ( $\leq$ 50% reduction in HAM-A)	-	RR = 0.93 (0.78, 1.12)  K=2, N=546  Quality: Moderate	-
<b>Harms</b>			
Discontinuation due to adverse events	RR = 0.61 (0.30, 1.26)  K=1, N=275  Quality: Moderate	RR = 0.85 (0.55, 1.32)  K=2, N=641  Quality: Moderate	
Nausea	RR = 0.65 (0.44, 0.95)  K=1, N=274	RR = 0.82 (0.68, 0.98)  K=3, N=657	RR = 1.08 (0.80, 1.46)  K=1, N=181

	Quality: High	Quality: High	Quality: Moderate
Insomnia	-	RR = 0.59 (0.34, 1.01)  K=1, N=183  Quality: High	RR = 0.95 (0.61, 1.48)  K=1, N=181  Quality: Moderate
Nervousness	-	RR = 0.62 (0.30, 1.29)  K=1, N=183  Quality: Moderate	RR = 1.76 (0.82, 3.77)  K=1, N=181  Quality: Moderate
Dizziness	RR = 0.69 (0.42, 1.15)  K=1, N=274  Quality: Moderate	RR = 0.82 (0.56, 1.20)  K=3, N=657  Quality: Moderate	RR = 2.91 (1.60, 5.29)  K=1, N=316  Quality: High
Asthenia	-	RR = 0.70 (0.43, 1.13)  K=2, N=386  Quality: Moderate	RR = 0.62 (0.32, 1.21)  K=1, N=181  Quality: Moderate

1

2 ***Evidence summary***

3 There were no statistically significant differences between 37.5mg and 75mg for discontinuation due to adverse events and most  
4 side effects. However, with 37.5mg of venlafaxine there was a 35% reduction in the risk of nausea compared with 75mg.

5

- 1 There was a borderline statistically significant difference on mean HAM-A score in favour of 75mg in comparison to 150mg of  
2 venlafaxine based on a reduction in HAM-A scores (HAM-A SMD -0.27, CI -0.57 to 0.03) and a reduction in the risk of side effects  
3 such as nausea (RR=0.82, CI 0.68 to 0.98) and insomnia (RR=0.59, CI 0.34 to 1.01) . There were no statistically significant differences  
4 in regards to a reduction in the risk of non-response, in discontinuation for any reason and side effects such as nervousness,  
5 dizziness and asthenia.  
6
- 7 There were no statistically significant differences between 150mg and 255mg for risk of side effects such as insomnia, nervousness  
8 and asthenia. However, a dose of 150mg had a greater risk of dizziness as a side effect than 255mg dose.

1 **8.4.2 Selective serotonin reuptake inhibitors**

2 *Study characteristics*

3 There were limited studies (only three trials) comparing dosages for SSRIs.  
4 Only data on escitalopram and paroxetine reported comparisons with only  
5 one study found for each drug. Study characteristics are summarised in Table  
6 52 with full details in Appendix 16d which also includes details of excluded  
7 studies.  
8

1

2 **Table 52. Study information table for trials comparing doses of SSRIs dosage**

	<b>Escitalopram 5mg vs 10mg</b>	<b>Escitalopram 10mg vs 20mg</b>	<b>Paroxetine 20mg vs 40mg</b>
Total no. of trials (total no. of participants)	1 RCT (N=270)	1 RCT (N=269)	1 RCT (N=386)
Study ID	BALDWIN2006	BALDWIN2006	RICKELS2003
Diagnosis	GAD:  <u>DSM-IV-TR</u> BALDWIN2006	GAD:  <u>DSM-IV-TR</u> BALDWIN2006	GAD:  <u>DSM-IV</u> RICKELS2003
Baseline severity: mean (SD)	-	-	-
Treatment length	12 weeks: BALDWIN2006	12 weeks: BALDWIN2006	9 weeks: RICKELS2003
Length of follow-up	End of treatment	End of treatment	
Age	41	41	40

3

4 ***Clinical evidence for SSRIs comparing different dosages***

5 Evidence from the important outcomes and overall quality of evidence are presented in Table 53. The full GRADE profiles and  
6 associated forest plots can be found in Appendix 19c and Appendix 17c, respectively.

7

8

9

## 1 Table 53. Evidence summary table for trials comparing doses of SSRIs

	Escitalopram 5mg vs 10mg	Escitalopram 10mg vs 20mg	Paroxetine 20mg vs 40mg
Total number of studies (number of participants)	1 RCT (N=270)	1 RCT (N=269)	1 RCT (N=386)
Study ID	BALDWIN2006	BALDWIN2006	RICKELS2003
Length of follow up	End of treatment	End of treatment	End of treatment
<b>Benefits</b>			
HAM-A	SMD = 0.23 (-0.01, 0.47)  MD = 1.27 (-0.06, 2.60)  K=1, N=268  Quality: Moderate	SMD = -0.07 (-0.31, 0.17)  MD = -0.41 (-1.75, 0.93)  K=1, N=266  Quality: Moderate	SMD = -0.03 (-0.23, 0.17)  MD = -0.30 (-2.02, 1.42)  K=1, N=385  Quality: Moderate
HADS-A	-	-	SMD = -0.03 (-0.23, 0.17)  MD = -0.30 (-2.02, 1.42)  K=1, N=385  Quality: Moderate
Non-Response ( $\leq$ 50% reduction in HAM-A)	-	-	RR = 1.19 (0.91, 1.57)  K=1, N=386



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			Quality: Moderate
Non-Remission ( $\geq 7$ on HAM-A)	-	-	RR = 1.09 (0.95, 1.26)  K=1, N= 386  Quality: Moderate
<b>Harms</b>			
Discontinuation due to adverse events	RR = 0.89 (0.33, 2.38)  K=1, N=270)  Quality: Moderate	RR = 0.56 (0.24, 1.29)  K=1, N269  Quality: Moderate	RR = 0.83 (0.47, 1.46)  K=1, N=386  Quality: Moderate
Nausea	RR = 0.72 (0.43, 1.22)  K=1, N=270  Quality: Moderate	RR = 0.98(0.61, 1.56) K=1, N=269 Quality: Moderate	RR = 1.14 (0.74, 1.74)  K=1, N=386 Quality: Moderate
Fatigue	RR = 0.80 (0.38, 1.69)  K=1, N=270  Quality: Moderate	RR = 0.62 (0.33, 1.16)  K=1, N=269  Quality: Moderate	-
Headache	RR = 0.63 (0.38, 1.02)  K=1, N=270  Quality: Moderate	RR = 1.58 (0.97, 2.58)  K=1, N=269  Quality: Moderate	-
Insomnia	RR = 0.72	RR = 1.19	

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	(0.36, 1.44) K=1, N=270 Quality: Moderate	(0.61, 2.31) K=1, N=269 Quality: Moderate	-
Somnolence	RR = 2.03 (0.71, 5.78) K=1, N=270 Quality: Moderate	RR = 0.49 (0.17, 1.39) K=1, N=269 Quality: Moderate	RR = 1.13 (0.75, 1.71) K=1, N=386 Quality: Moderate
Anxiety	RR = 3.04 (0.84, 11.00) K=1, N=270 Quality: Moderate	RR = 0.73 (0.17, 3.21) K=1, N=269 Quality: Moderate	-
Dizziness	RR = 0.43 (0.17, 1.10) K=1, N=270 Quality: Moderate	RR = 1.14 (0.55, 2.37) K=1, N=269 Quality: Moderate	-
Decreased libido	-	-	RR = 1.19 (0.91, 1.57) K=1, N=386 Quality: Moderate
Decreased appetite	-	-	RR = 1.13 (0.53, 2.41) K=1, N=386

			Quality: Moderate
--	--	--	-------------------

1

2 *Evidence summary*

3 There were borderline statistically significant effects in the reduction of anxiety in favour of 10mg in comparison to 5mg of  
4 escitalopram based on mean HAM-A scores. There is no significant difference between the two groups in regards to side effects  
5 with the exception of a reduction in the risk of reported with 5mg compared with 10mg escitalopram. There was a reduced risk of  
6 reported headaches in the 20mg group compared with the 10mg escitalopram group.

7

8 There were no clear differences on outcomes between 20mg and 40mg of paroxetine.

1 **8.4.3 Duloxetine**2 *Study characteristics*

3 There were 2 trials on duloxetine comparing different dosages. Study  
 4 characteristics are summarised in Table 54 with full details in Appendix 16d  
 5 which also includes details of excluded studies.

6  
 7 Dosages used in studies ranged from a mean of 20mg to a mean of 120mg.  
 8 Results were similar as above, there was a lack of studies comparing dosages  
 9 with limited evidence of differences.

10

11

12 **Table 54. Study information table for trials comparing doses of duloxetine**

	Duloxetine 20mg vs 60-120mg	Duloxetine 60mg vs 120mg
Total no. of trials (total no. of participants)	1 RCT (N=242)	1 RCT (N=338)
Study ID	NICOLINI2009	KOPONEN2007
Diagnosis	GAD:  <u>DSM-IV</u> NICOLINI2009	GAD:  <u>DSM-IV</u> KOPONEN2007
Baseline severity: mean (SD)	-	-
Treatment length	10 weeks: NICOLINI2009	9 weeks: KOPONEN2007
Length of follow-up	End of treatment	End of treatment
Age	43	44

13

14

15 *Clinical evidence for duloxetine comparing different dosages*

16 Evidence from the important outcomes and overall quality of evidence are  
 17 presented in Table 55. The full GRADE profiles and associated forest plots can  
 18 be found in Appendix 19c and Appendix 17c, respectively.

19

20 **Table 55. Evidence summary table for trials comparing doses of duloxetine**

	Duloxetine 20mg vs 60-120mg	Duloxetine 60mg vs 120mg
Total number of studies (number of participants)	1 RCT (N=242)	1 RCT (N=338)
Study ID	NICOLINI2009	KOPONEN2007
Length of follow up	End of treatment	End of treatment
Evidence table profile		

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<b>Benefits</b>		
HAM-A	SMD = 0.10 (-0.17, 0.36)  MD = 0.60 (-1.09, 2.29)  K=1, N=234  Quality: Moderate	SMD = -0.03 (-0.25, 0.18)  MD = -0.34 (-2.47, 1.79)  K=1, N=334  Quality: Moderate
HADS-A	SMD = 0.21 (-0.06, 0.47)  MD = 0.70 (-0.19, 1.59)  K= 1, N= 234  Quality: Moderate	SMD = -0.04 (-0.26, 0.18)  MD = -0.18 (-1.20, 0.84)  K=1, N=323  Quality: Moderate
Non-Response ( $\leq$ 50% reduction in HAM-A)	RR = 1.07 (0.77, 1.48)  K=1, N=242  Quality: Moderate	RR = 0.96 (0.75, 1.22)  K=1, N=338  Quality: Moderate
Non-Remission ( $\geq$ 7 on HAM-A)	-	RR = 1.12 (0.96, 1.31)  K=1, N=338  Quality: Moderate
Sheehan Disability Scale	-	SMD = -0.11 (-0.33, 0.11)  MD = -0.99 (-2.90, 0.92)  K=1, N=316  Quality: Moderate
Q-LES-Q-SF	-	SMD = 0.02 (-0.22, 0.26)  MD = 0.18 (-2.21, 2.57)  K=1, N=265  Quality: Moderate
<b>Harms</b>		
Discontinuation due to adverse events	RR = 0.38 (0.13, 1.06)  K=1, N=242  Quality: Moderate	RR = 0.74 (0.43, 1.28)  K=1, N=338  Quality: Moderate
Discontinuation for any reason	-	RR = 0.73 (0.49, 1.08)  K=1, N= 338

1

2 *Evidence summary*

3 There was a borderline statistically significant effect in the reduction of  
4 anxiety in favour of 60-120mg in comparison to 20mg of duloxetine based on  
5 HADS-A scores.

6 There were no clear differences between 60mg and 120mg found on any  
7 outcomes.

8

9 **8.4.4 Pregabalin**10 *Study characteristics*

11 There were 7 trials on pregabalin comparing different dosages. Study  
12 characteristics are summarised in Table 56 with full details in Appendix 16d  
13 which also includes details of excluded studies. Dosages used in the studies  
14 ranged from a mean of 150mg to a mean of 600mg.

15

1 Table 56: Study information table for trials comparing doses of pregabalin

	Pregabalin 150mg vs 600mg	Pregabalin 200mg vs 400mg	Pregabalin 300mg vs 450mg	Pregabalin 400mg vs 450mg	Pregabalin 400mg vs 600mg	Pregabalin 450mg vs 600mg
Total no. of trials (total no. of participants)	2 RCT (N=269)	1 RCT (N=167)	1 RCT (N=181)	1 RCT (N=177)	1 RCT (N=207)	1 RCT (N=179)
Study ID	FELTNER2003 PANDE2003	POHL2005	RICKELS2005	POHL2005	MONTGOMERY 2006	RICKELS2005
Diagnosis	GAD:  <u>DSM-IV</u> FELTNER2003 PANDE2003	GAD:  <u>DSM-IV</u> POHL2005	GAD:  <u>DSM-IV</u> RICKELS2005	GAD:  <u>DSM-IV</u> POHL2005	GAD:  <u>DSM-IV</u> MONTGOMERY2006	GAD:  <u>DSM-IV</u> RICKELS2005
Baseline severity: mean (SD)	<u>150mg</u> HAM-A 24.9 (3.9) Placebo: 24.8 (4.1) FELTNER2003  HAM-A 22.35 (2.68) Placebo: 22.90 (3.88) PANDE 2003  <u>600mg</u> HAM-A 25.4 (4.6) Placebo: 24.8 (4.1) FELTNER2003  HAM-A 23.16 (2.73) Placebo: 22.90 (3.88) PANDE2003	Not reported POHL2005	<u>300mg</u> HAM-A 25.0 (3.82) Placebo: 24.6 (3.82) RICKELS2005  <u>450mg</u> HAM-A 24.6 (3.79) Placebo: 24.6 (3.82) RICKELS2005	Not reported POHL2005	<u>400mg</u> HAM-A 26.3 (4.4) Placebo: 27.4 (5.5) MONTGOMERY2006  <u>600mg</u> HAM-A 26.5 (4.6) Placebo: 27.4 (5.5) MONTGOMERY2006	<u>450mg</u> HAM-A 24.6 (3.79) Placebo: 24.6 (3.82) RICKELS2005  <u>600mg</u> HAM-A 25.2 (3.77) Placebo: 24.6 (3.82) RICKELS2005

Treatment length	<u>4 weeks:</u> FELTNER2003 PANDE2003	<u>6 weeks:</u> POHL2005	<u>4 weeks:</u> RICKELS2005	<u>6 weeks:</u> POHL2005	<u>6 weeks:</u> MONTGOMERY2006	<u>4 weeks:</u> RICKELS2005
Length of follow-up	End of treatment	End of treatment	End of treatment	End of treatment	End of treatment	End of treatment
Age	40	44	44	44	44	44

1

2 *Clinical evidence for pregabalin comparing different dosages*

3 Evidence from the important outcomes and overall quality of evidence are presented in Table 51. The full GRADE profiles and  
 4 associated forest plots can be found in Appendix 19c and Appendix 17c, respectively.

5

6

7 **Table 57. Evidence summary table for trials comparing doses of pregabalin**

	<b>Pregabalin 150mg vs 600mg</b>	<b>Pregabalin 200mg vs 400mg</b>	<b>Pregabalin 300mg vs 450mg</b>	<b>Pregabalin 400mg vs 450mg</b>	<b>Pregabalin 400mg vs 600mg</b>	<b>Pregabalin 450mg vs 600mg</b>
Total no. of trials (total no. of participants)	2 RCT (N=269)	1 RCT (N=167)	1 RCT (N=181)	1 RCT (N=177)	1 RCT (N=207)	1 RCT (N=179)
Study ID	FELTNER2003 PANDE2003	POHL2005	RICKELS2005	POHL2005	MONTGOMERY2006	RICKELS2005
Length of follow up	End of treatment	End of treatment	End of treatment	End of treatment	End of treatment	End of treatment
<b>Benefits</b>						
HAM-A	SMD = 0.46 (0.11, 0.81)  MD = 2.28 (0.58, 3.98)  K=1, N=130	SMD = 0.10 (-0.21, 0.40)  MD = 0.50 (-1.07, 2.07)  K=1, N=167	SMD = -0.22 (-0.52, 0.07)  MD = -1.20 (-2.77, 0.37)  K=1, N=176	SMD = -0.09 (-0.39, 0.20)  MD = -0.50 (-2.07, 1.07)  K=1, N=177	SMD = -0.54 (-0.83, -0.26)  MD = 0.80 (-0.77, 2.37)  K=1, N=172	SMD = 0.15 (-0.15, 0.45)  MD = 0.80 (-0.77, 2.37)  K=1, N=172



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	Quality: High	Quality: Moderate	Quality: Moderate	Quality: Moderate	Quality: High	Quality: Moderate
HADS-A	-	-	-	-	SMD = -0.11 (-0.39, 0.17)  MD = -0.40 (-1.41, 0.61)  K=1, N=198  Quality: Moderate	-
Non-Response (≤ 50% reduction in HAM-A)	-	-	RR = 0.72 (0.52, 1.00)  K=1, N=181  Quality: High	-	-	RR = 1.13 (0.84, 1.51)  K=1, N=179  Quality: Moderate
<b>Harms</b>						
Discontinuation due to adverse events	RR = 0.36 (0.16, 0.79)  K=1, N=139  Quality: High	-	RR = 0.42 (0.11, 1.59)  K=1, N=181  Quality: Moderate	-	RR = 0.45 (0.18, 1.12)  K=1, N=207  Quality: Moderate	RR = 0.53 (0.22, 1.27)  K=1, N=179  Quality: Moderate
Discontinuation due to any reason	-	-	-	-	RR = 0.63 (0.36, 1.08)  K=1, N=207  Quality: Moderate	-
Somnolence	RR = 0.41 (0.21, 0.78)  K=1, N=139  Quality: High	RR = 0.83 (0.54, 1.27)  K=1, N=167  Quality: Moderate	RR = 0.96 (0.67, 1.38)  K=1, N=181  Quality: Moderate	RR = 1.55 (0.98, 2.46)  K=1, N=177  Quality: High	RR = 0.98 (0.49, 1.96)  K=1, N=207  Quality: Moderate	RR = 0.96 (0.68, 1.37)  K=1, N=179  Quality: Moderate

Dizziness	RR = 0.60 (0.36, 1.01)  K=1, N=139  Quality: Moderate	RR = 0.70 (0.48, 1.01)  K=1, N=167  Quality: Moderate	RR = 1.08 (0.75, 1.55)  K=1, N=181  Quality: Moderate	RR = 1.18 (0.85, 1.62)  K=1, N=177  Quality: Moderate	RR = 0.86 (0.53, 1.39)  K=1, N=207  Quality: Moderate	RR = 0.96 (0.66, 1.39)  K=1, N=179  Quality: Moderate
Nausea	RR = 0.85 (0.27, 2.64)  K=1, N=139  Quality: Moderate	-	RR = 0.76 (0.35, 1.65)  K=1, N=181  Quality: Moderate	-	RR = 0.73 (0.33, 1.61)  K=1, N=207  Quality: Moderate	RR = 1.29 (0.59, 2.78)  K=1, N=179  Quality: Moderate
Headache	RR = 0.88 (0.45, 1.71)  K=1, N=139  Quality: Moderate	-	-	-	RR = 0.88 (0.34, 2.28)  K=1, N=207  Quality: Moderate	-
Insomnia		-	-	-	RR = 0.38 (0.04, 3.57)  K=1, N=207  Quality: Moderate	-

1

2 ***Evidence summary***

3 There were few differences found between dosages. However, there was some evidence that a mean of 600mg was associated with  
 4 greater reduction in anxiety compared with 150mg. But 150mg was associated with less reported side effects (particularly  
 5 somnolence and dizziness). In addition, 400mg was associated with greater benefits in reduction of anxiety compared with 600mg.

1

## 2 **8.4.5 Clinical evidence summary**

3 The evidence from controlled trials indicates that SSRIs and SNRIs are  
4 efficacious in the treatment of GAD in that relative to placebo they produce  
5 greater reductions in HAM-A ratings and increase the probability of response  
6 to treatment. Generally effect sizes are in the low to moderate range and do  
7 not seem to differ to a clinically significant extent between the different  
8 antidepressant drugs, though there are much more data available for some  
9 drugs than others. There is no clear indication of a dose response relationship  
10 where this has been specifically assessed. The SSRI and SNRI antidepressants  
11 produce a characteristic adverse effect profile with nausea and insomnia  
12 being commonly experienced. Discontinuation due to adverse events was  
13 more common in people receiving antidepressant treatment. There were few  
14 direct comparisons between antidepressants but there were indications that  
15 escitalopram may be slightly more effective than paroxetine.

16

17 Other classes of drugs were also efficacious in GAD with effect sizes generally  
18 in the range of those seen with antidepressant drugs. Again comparative data  
19 did not yield evidence of consistent differences in efficacy though the side  
20 effect profile of the non-antidepressant agents differed from those of the SSRIs  
21 and SNRIs, consisting mainly of somnolence and dizziness.

## 22 **8.5 MAINTENANCE TREATMENT**

23 In many patients GAD runs a chronic course and even where patients  
24 improve with treatment, relapse is common, particularly in those who remain  
25 symptomatic to some extent (Yonkers *et al.*, 1996). Stopping treatment after a  
26 few weeks led to relapses in 60-80% of patients over the next year (Rickels &  
27 Schweizer, 1990). For this reason current guidelines suggest that where drug  
28 treatment is helpful it should be continued over the next 6-12 months if  
29 tolerance and efficacy are satisfactory (Baldwin *et al.*, 2005; Davidson *et al.*,  
30 2010). Establishing the efficacy of this practice is therefore important. How  
31 long treatment should be continued subsequently is unclear and guidelines  
32 suggest adapting an individualised approach depending on the needs and  
33 preferences of the patient (Davidson *et al.*, 2010).

### 34 **8.5.1 Databases searched and inclusion/exclusion criteria**

35 Information about the databases searched and the inclusion/ exclusion  
36 criteria used for this section of the guideline can be found in Table 58 (further  
37 information about the search for health economic evidence can be found in  
38 chapter 3).

39

40 **Table 58. Databases searched and inclusion/exclusion criteria for clinical**  
41 **evidence.**

<b>Electronic databases</b>	<b>MEDLINE, EMBASE, CINAHL, PSYCINFO, COCHRANE LIBRARY</b>
Date searched	Database inception to 09.05.2010
Study design	RCT
Patient population	People with Generalised Anxiety Disorder
Interventions	SSRIs, TCAs, Duloxetine, Venlafaxine, Pregabalin, Quetiapine, Risperidone,
Outcomes	Relapse, Mean anxiety rating scale scores, non-response (<50% reduction in anxiety rating scale score), non-remission (still meeting cut-off for caseness on an anxiety rating scale), Sheehan Disability Scale, Quality of life

1

## 2 **8.5.2 Studies considered**

3 The review team conducted a new systematic search for RCTs that assessed  
4 the benefits and downsides of pharmacological interventions for the  
5 treatment of people with generalised anxiety disorder.

6

7 A total of five trials met the eligibility criteria of the review, with one trial  
8 each comparing pregabalin with placebo, paroxetine with placebo,  
9 escitalopram with placebo, duloxetine with placebo, and quetiapine with  
10 placebo.

11

## 12 **8.5.3 Clinical evidence on maintenance treatment**

### 13 *Study characteristics*

14 There were 5 trials on maintenance treatment. Study characteristics are  
15 summarised in Table 50 with full details in Appendix 16d which also includes  
16 details of excluded studies.

17

1

2 **Table 59. Summary characteristics table for trials of maintenance treatment**

	<b>Pregabalin versus placebo</b>	<b>Duloxetine versus placebo</b>	<b>Paroxetine versus placebo</b>	<b>Escitalopram versus placebo</b>	<b>Quetiapine versus placebo</b>
Total no. of trials (total no. of participants)	1 RCT (N=338)	1 RCT (N=429)	1 RCT (N=566)	1 RCT (N=375)	1 RCT (N=432)
Study ID	FELTNER 2008	DAVIDSON 2008	STOCCHI2003	ALLGULANDER 2006	ASTRA ZENECA 2008B
Diagnosis	DSM-IV	DSM-IV	DSM-IV	DSM-IV	DSM-IV
Baseline severity(HAM-A): Mean (SD)	Pregabalin 5.9 (3.2) Placebo 5.5 (3.4)	Not reported	Not reported	Escitalopram 5.7 (3.9) Placebo 5.0 (3.1)	Not reported
Treatment length	Open label: 8 weeks  Randomised: 24 weeks	Open label: 26 weeks  Randomised: 26 weeks	Open label: 8 weeks  Randomised: 24 weeks	Open label: 12 weeks  Randomised: 24-76 weeks	Open label: 12-18 weeks  Randomised: up to 52 weeks
Length of follow up	End of treatment	End of treatment	End of treatment	End of treatment	End of treatment
Age (years)	39	43	43	41	Not reported

3

4 **Clinical evidence for maintenance treatment**

5 Evidence from the important outcomes and overall quality of evidence are presented in Table 60. The full GRADE profiles and  
6 associated forest plots can be found in Appendix 19c and Appendix 17c, respectively.

7

8

9

10

1 Table 60. Evidence summary table for trials of maintenance treatment

	Pregabalin versus placebo	Duloxetine versus placebo	Paroxetine versus placebo	Escitalopram versus Placebo	Quetiapine versus placebo
Total no. of trials (total no. of participants)	1 RCT (N= 338)	1 RCT (N=429)	1 RCT (N=566)	1 RCT (N=375)	1 RCT (N=432)
Study ID	FELTNER 2008	DAVIDSON 2008	STOCCHI2003	ALLGULANDER 2006	ASTRA ZENECA 2008B
Treatment length	Open label: 8 weeks Randomised: 24 weeks	Open label: 26 weeks Randomised: 26 weeks	Open label: 8 weeks Randomised: 24 weeks	Open label: 12 weeks Randomised: 24-76 weeks	Open label: 12-18 weeks Randomised: up to 52 weeks
Relapse	RR 0.65 (0.53, 0.80)  K=1 N=338  Quality: Moderate	RR 0.33 (0.22, 0.48)  K=1 N=405  Quality: Moderate	RR 0.27 (0.19, 0.39)  K=1 N=561  Quality: Moderate	RR 0.36 (0.26, 0.49)  K=1 N=375  Quality: Moderate	-
Time to anxiety event	-	-	-	-	HR 0.19 (0.09, 0.29)  K=1 N=432  Quality: Moderate
Non-remission	-	RR 0.53 (0.42, 0.66)  K=1 N=424  Quality: Moderate	RR 0.41 (0.33, 0.51)  K=1 N=561  Quality: Moderate	-	-
HAM-A	SMD -0.52 (-0.73, -0.30)  MD -5.00 (-7.06, -2.94)  K=1 N=338  Quality: Moderate	SMD -0.70 (-0.90, -0.51)  MD -5.89 (-7.48, -4.30)  K=1 N=424  Quality: Moderate	SMD -1.03 (-1.20, -0.85)  MD -6.70 (-7.78, -5.62)  K=1 N=561  Quality: Moderate	-	SMD -0.61 (-0.81, -0.42)  MD -2.05 (1.43, 2.67)  K=1 N=432  Quality: Moderate

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Quality of life	-	SMD -0.74 (-0.94, -0.53) MD -12.24 (-15.47, -9.01) K=1 N=407 Quality: Moderate	-	-	SMD -0.23 (-0.42, -0.04) MD -2.34 (-4.25, -0.43) K=1 N=432 Quality: Moderate
Discontinuation for any reason	Pregabalin: 61/168 (36.3%) Placebo: 38/170 (22.4%) RR 1.62 (1.15, 2.29) K=1 N=338 Quality: Moderate	Duloxetine: 49/216 (22.7%) Placebo: 97/213 (45.5%) RR 0.50 (0.37, 0.68) K=1 N=429 Quality: Moderate	Paroxetine: 62/278 (22.6%) Placebo: 141/288 (49.0%) RR 0.46 (0.36, 0.58) K=1 N=566 Quality: Moderate	Escitalopram: 71/187 (37.97%) Placebo: 136/188 (72.3%) RR 0.52 (.43, 0.64) K=1 N=375 Quality: Moderate	-
Discontinuation due to adverse events	RR 2.53 (0.81, 7.91) K=1 N=338 Quality: Moderate	RR 1.97 (0.37, 10.65) K=1 N=429 Quality: Moderate	RR 1.27 (0.53, 3.01) K=1 N=566 Quality: Moderate	RR 0.82 (0.40, 1.65) K=1 N=375 Quality: Moderate	-

1  
2

1 *Evidence summary*

2

3 There was only one trial each examining pregabalin, duloxetine, escitalopram,  
4 quetiapine and paroxetine. There was consistent evidence that compared with  
5 those who were randomised to placebo participants who remained on  
6 pharmacological interventions were associated with reduced anxiety for  
7 people who have already responded to treatment. In addition, there was no  
8 difference between pharmacological interventions and placebo for reported  
9 side effects.

10

11 However, the main limitation of these studies is the very high drop out  
12 reported in most studies particularly in the placebo groups. For example, 49%  
13 dropped out the placebo group in the paroxetine trial and 45.5% dropped out  
14 in the placebo group in the duloxetine trial.

15

16 **8.5.4 Clinical summary (maintenance treatment)**

17 The findings suggest that where patients have responded to pharmacological  
18 treatment over the short-term, continuing treatment over the next six months  
19 resulted in fewer relapses than switching to placebo. These findings support  
20 current recommended in guidelines that drug treatment should be continued  
21 for at least six months in patients who respond over the short-term (Baldwin,  
22 *et al.*, 2005; Davidson *et al.*, 2010).

23

24 However, dropout was very high in most studies particularly for placebo  
25 groups. This raises questions concerning whether differences between groups  
26 is due to the benefit of continuing to receive pharmacological treatment or  
27 due to the effects of withdrawing the medication. In addition, there is a lack of  
28 controlled data to guide management of pharmacological treatment in the  
29 longer-term.

30

31 **8.6 MANAGEMENT OF NON-RESPONSE TO**  
32 **PHARMACOLOGICAL INTERVENTIONS**

33 **8.6.1 Introduction**

34 Many patients fail to achieve symptomatic remission during pharmacological  
35 treatment for GAD. Guidelines emphasise the importance of giving initial  
36 treatment sufficient time to exert its effect because clinical improvement in  
37 GAD may be slow with both response and remission rates increasing beyond  
38 two months of drug treatment (Bielski & Bose, 2005; Davidson *et al.*, 2010).

39 Where clinician and patient agree that pharmacological treatment should be  
40 modified there are three possible strategies (a) increase the dose of the current  
41 treatment (if the maximum dose has not been reached); (b) augment with



1 another agent from a different pharmacological class; (c) switch to an  
 2 alternative agent. In general (a) and (b) are favoured when there has been a  
 3 partial response to initial therapy.

4  
 5 Conventional antipsychotic drugs such as trifluoperazine were previously  
 6 used to treat anxiety where clinicians wished to avoid the use of  
 7 benzodiazepines. There is currently interest in the possible role of atypical  
 8 antipsychotic drugs in GAD because relative to conventional agents these  
 9 drugs have a reduced propensity to cause serious movement disorders such  
 10 as tardive dyskinesia (Correll *et al.*, 2004). Some guidelines have advocated  
 11 the use of atypical antipsychotic drugs such as olanzapine, risperidone and  
 12 quetiapine to augment antidepressant drugs in patients who do not  
 13 experience a satisfactory response to antidepressant treatment alone (Pollack,  
 14 2009; Davidson *et al.*, 2010).

### 16 8.6.2 Databases searched and inclusion/exclusion criteria

17 Information about the databases searched and the inclusion/ exclusion  
 18 criteria used for this section of the guideline can be found in Table 61 (further  
 19 information about the search for health economic evidence can be found in  
 20 Chapter 3).

21  
 22 **Table 61. Databases searched and inclusion/exclusion criteria for clinical**  
 23 **evidence.**

<b>Electronic databases</b>	<b>MEDLINE, EMBASE, CINAHL, PSYCINFO, COCHRANE LIBRARY</b>
Date searched	Database inception to 09.05.2010
Study design	RCT
Patient population	People with Generalised Anxiety Disorder
Interventions	Pharmacological intervention for GAD in combination with another pharmacological intervention Switching and sequencing strategies of pharmacological interventions
Outcomes	Mean anxiety rating scale scores, non-response (<50% reduction in anxiety rating scale score), non-remission (still meeting cut-off for caseness on an anxiety rating scale), Sheehan Disability Scale, Quality of life

### 25 8.6.3 Studies considered

26 The review team conducted a new systematic search for RCTs that assessed  
 27 the benefits and downsides of pharmacological interventions for the  
 28 treatment of people with generalised anxiety disorder.

29  
 30 A total of four trials met the eligibility criteria for the review. Two trials  
 31 compared the use of risperidone with placebo, one trial compared olanzapine  
 32 with placebo, and one compared quetiapine with placebo as augmentation  
 33 strategies in combination with pharmacological interventions for generalised  
 34 anxiety disorder.

1

2 There were no trials identified on switching or sequencing pharmacological  
3 interventions.

4

#### 5 **8.6.4 Clinical evidence on augmentation strategies**

##### 6 *Study characteristics*

7 There were 9 trials on augmentation strategies. Study characteristics are  
8 summarised in Table 62 with full details in Appendix 16d which also includes  
9 details of excluded studies.

10

1  
2**Table 62. Summary characteristics table for augmentation strategies**

	Pharmacological treatment for GAD + Olanzapine	Pharmacological treatment for GAD + Risperidone	Pharmacological treatment for GAD + Quetiapine	Pharmacological treatment for GAD + Antipsychotics
Total no. of trials (total no. of participants)	1 RCT (N=24)	2 RCTs (N=429)	1 RCT (N=22)	5 RCTs (N=537)
Study ID	POLLACK2006	BRAWMAN-MINTZER2005 PANDINA 2007	SIMON 2008	BRAWMAN-MINTZER2005 LOHOFF2010 PANDINA 2007 POLLACK2006 SIMON2008
Diagnosis	DSM-IV	DSM-IV	DSM-IV	DSM-IV
Baseline severity(HAM-A): Mean (SD)	Olanzapine 17.4 (6.5) Placebo 22.6 (5.2)	Risperidone 22.1 (3.8) Placebo 20.4 (1.7)	Quetiapine 16.27 (5.04) Placebo 15.82 (4.77)	See section on each specific drug
Treatment length	Open label: 6 weeks of fluoxetine treatment without responding to treatment  Randomised: 4 weeks augmentation with olanzapine or placebo	Anxiolytic medication: at least four weeks without sufficient reduction in anxiety  Randomised: 5 weeks of risperidone augmentation or placebo	Open label: 10 weeks of paroxetine treatment without remission  Randomised: 8 weeks of quetiapine augmentation or placebo	See section on each specific drug
Age(years)	40	49	42	See section on each specific drug

3  
4  
5  
6  
7  
8  
9***Clinical evidence for augmentation strategies***

Evidence from the important outcomes and overall quality of evidence are presented in Table 63. The full GRADE profiles and associated forest plots can be found in Appendix 19c and Appendix 17c, respectively.

1 Table 63: Evidence summary table for augmentation strategies

	Pharmacological treatment for GAD + Olanzapine	Pharmacological treatment for GAD + Risperidone	Pharmacological treatment for GAD + Quetiapine	Pharmacological treatment for AD + Antipsychotics
Total no. of trials (total no. of participants)	1 RCT (N=24)	2 RCTs (N=456)	1 RCT (N=22)	5 RCTs (N=537)
Study ID	POLLACK2006	BRAWMAN-MINTZER2005 PANDINA 2007	SIMON 2008	BRAWMAN-MINTZER2005 LOHOFF2010 PANDINA 2007 POLLACK2006 SIMON2008
Treatment length	Open label: 6 weeks of fluoxetine treatment without responding to treatment  Randomised: 4 weeks augmentation with olanzapine or placebo	Anxiolytic medication: at least four weeks without sufficient reduction in anxiety  Randomised: 5 weeks of risperidone augmentation or placebo	Open label: 10 weeks of paroxetine treatment without remission  Randomised: 8 weeks of quetiapine augmentation or placebo	See section on each specific drug
<b>Benefits</b>				
HAM-A/anxiety symptoms	SMD -0.30 (-1.17, 0.57) MD -3.10 (-11.54, 5.34) K=1 N=21 Quality: Low	SMD -0.27 (-0.90, 0.36) MD -1.56 (-4.90, 1.77) K=2, N=429 Quality: Moderate	SMD -0.24 (-1.08, 0.60) MD -2.36 (-10.32, 5.60) K=1 N=22 Quality: Low	SMD -0.13 (-0.34, 0.08) MD -1.40 (-3.45, 0.65) K=5, N=489 Quality: Moderate
Non-remission	RR 0.73 (0.47, 1.12) K=1 N=24 Quality: Low	RR = 0.98 (0.89-1.08) K=1, N=390 Quality: High	RR 0.78 (0.46, 1.32) K=1 N=22 Quality: Low	RR 0.93 (0.78, 1.09) K=3, N=436 Quality: Moderate
Non-response	RR 0.64 (0.38, 1.06)	RR = 0.99 (0.84, 1.16)	-	RR 0.85 (0.56, 1.28)

	K=1 N=24 Quality: Moderate	K=1, N=390 Quality: Moderate		K=2, N=414 Quality: Moderate
<b>Harms</b>				
Discontinuation due to adverse events	RR 4.00 (0.52, 30.76) K=1 N=24 Quality: Low	RR= 2.17 (1.09, 4.32) K=2, N=429 Quality: Moderate	RR 4.00 (0.53, 30.33) K=1 N=22 Quality: Low	RR 2.53 (1.38, 4.64) K=5, N=537 Quality: High

1

2 *Evidence summary*

3 There was limited evidence as three of the four trials were small. There was no statistically significant evidence of benefit for any of  
 4 the antipsychotic drugs assessed individually. When combining the antipsychotic data there was still limited evidence of benefit.

5

### 1 **8.6.5 Clinical summary**

2 There was no data identified on increasing dosage or switching  
3 pharmacological treatments. There was only data available on atypical  
4 antipsychotics for augmentation treatment. It appears such interventions were  
5 associated with limited benefit and greater risk of discontinuation due to  
6 adverse events.

## 8 **8.7 SIDE EFFECTS OF PHARMACOLOGICAL** 9 **INTERVENTIONS**

### 10 **8.7.1 Introduction**

11 The purpose of this review was to assess the adverse effects and adverse effect  
12 burden of pharmacological interventions for the treatment of Generalised  
13 Anxiety Disorder. However given the lack of data specifically focused on this  
14 disorder data was examined for common mental health problems.  
15 Pharmacological interventions were limited to those most commonly used in  
16 clinical practice including antidepressants, pregabalin, benzodiazepines,  
17 hydroxyzine and buspirone.

### 18 **8.7.2 Databases searched and inclusion/exclusion criteria**

19 Information about the databases searched and the inclusion/ exclusion  
20 criteria used for this section of the guideline can be found in  
21 Table 64 (further information about the search for health economic evidence  
22 can be found in Chapter 3).

#### 24 **Table 64. Databases searched and inclusion/exclusion criteria for clinical** 25 **evidence**

Electronic databases	MEDLINE, EMBASE, CINAHL, PSYCINFO, COCHRANE LIBRARY
Date searched	Database inception to 09.05.2010
Study design	Systematic reviews
Patient population	People with common mental health problems (that is, depression and anxiety disorders)
Interventions	SSRIs, venlafaxine, duloxetine, TCAs, benzodiazepines, buspirone, pregabalin, hydroxyzine
Outcomes	Adverse effects of pharmacological interventions: weight, sexual functioning, gastro-intestinal symptoms, cardiotoxicity, and mortality

26

1 **8.7.3 Studies considered<sup>8</sup>**

2 The review team conducted a new systematic search for systematic reviews  
3 that assessed the efficacy and safety of antidepressants and related health  
4 economic evidence (see section 8.8).

5  
6 26 systematic reviews relating to clinical evidence met the eligibility criteria  
7 set by the GDG. All were published in peer-reviewed journals between 1999  
8 and 2008. In addition, 58 studies were excluded from the analysis. The most  
9 common reason for exclusion was that no relevant outcomes were reported in  
10 the review (further information about both included and excluded studies can  
11 be found in Appendix 16d).

12 **8.7.4 Clinical evidence on adverse effects of antidepressants**

13 Adverse events of antidepressants have already been reviewed in detail in the  
14 NICE guideline for depression for people with a chronic physical health  
15 problem (see NICE, 2009b). The key characteristics of the included systematic  
16 reviews discussed in NCCMH (in press) and relevant to the present guideline  
17 are summarised in Table 65.

---

<sup>8</sup> Here and elsewhere in the guideline, each study considered for review is referred to by a study ID in capital letters (primary author and date of study publication, except where a study is in press or only submitted for publication, then a date is not used).

## 1 Table 65. Summary characteristics of included systematic reviews on side effects

Study ID	Focus of review	Method of synthesis	Inclusion criteria	Results
Taylor (2008)	Cardiovascular	Narrative	Design: no restriction (focus on meta-analyses) Population: people with cardiovascular diseases Intervention: Most antidepressants	Tricyclics: highly cardiotoxic in overdose and may induce CVD Reboxetine, Duloxetine, Venlafaxine increase blood pressure Other antidepressants: neutral or beneficial in various CVDs
Swenson (2006)	Cardiovascular	Meta-analysis	Design: RCT Population: people with chronic physical health problems, substance misuse, and older adults Interventions: SSRIs and TCAs	SSRIs vs placebo: reduced risk of serious adverse events (not statistically significant) SSRIs vs TCAs: reduced risk of non-serious adverse events
Ramasubbu (2004)	Cerebrovascular	Narrative	Design: RCTs, controlled studies, WHO data monitoring programme, case studies Interventions: SSRIs	Controlled studies: no association between SSRIs and increased adverse cerebrovascular effects WHO data on SSRI induced cardiovascular effects: fluoxetine (122 cases), paroxetine (51), sertraline (47), citalopram (13), fluvoxamine (7) Case studies: 4 cases of vasoconstrictive stroke related to SSRIs
Weinreib (2003)	Bleeding	Narrative	Design: controlled studies, national prescribing databases, case studies Intervention: SSRIs	Increased risk of bleeding associated with SSRIs and SSRI/NSAID use
Yuan (2006)	Bleeding	Narrative	Design: controlled studies, national prescribing databases, case studies Intervention: SSRIs	Increased risk of bleeding associated with SSRIs and SSRI/NSAID use
Werneke <i>et al.</i> (2006)	Sexual dysfunction	Narrative	Design: primarily RCTs, meta-analyses, supplemented with controlled studies, case studies where data limited	SSRIs: paroxetine highest prevalence Third generation: venlafaxine highest prevalence; reboxetine,



			Intervention: SSRIs, Third generation, TCAs, MAOIs	bupropion less risk  TCAs: clomipramine highest prevalence; amitriptyline, doxepin lowest prevalence  MAOIs: high prevalence but less in moclobemide
Gregorian <i>et al.</i> (2002)	Sexual dysfunction	Narrative	Design: no limitations  Interventions: SSRIs, Third generation	SSRIs: consistent evidence of high prevalence of sexual adverse effects compared with placebo; bupropion less adverse effects, nefazodone also compared with SSRIs
Beasley (2000)	Fluoxetine	Meta-analysis	Design: RCTs  Intervention: Fluoxetine	Increased risk of GI symptoms, sexual dysfunction compared with placebo  Increased risk of GI symptoms (exception constipation) but less risk of postural hypotension compared with TCAs
Wernicke <i>et al.</i> (2004)	Fluoxetine	Narrative	Design: no limitations  Intervention: Fluoxetine	Acceptable tolerability in a range of populations (diabetes, stroke, cancer, cardiovascular disease)  Increased risk of GI symptoms  One case report of loss of hypoglaecemic awareness in diabetes
Brambilla <i>et al.</i> (2005)	Fluoxetine	Meta-analysis	Design: RCT  Intervention: Fluoxetine	GI symptoms (nausea, vomiting, diarrhoea) higher prevalence in fluoxetine  Weight: loss greater in fluoxetine compared with TCAs and other SSRIs
Dhillon (2008)	Bupropion	Narrative	Design: no limitation  Intervention: Bupropion	Risk of seizures with an incidence ~0.4% but increases 10-fold with higher doses (450-600mg)  Less risk of sexual dysfunction compared with SSRIs  Risk of weight loss compared with placebo  Risk of increase in blood pressure
Demyttenaere & Jaspers (2008)	SSRIs	Narrative	Design: no limitation	Greater risk of risk of adverse sexual effects in SSRIs compared with bupropion

				Risk of weight loss for some SSRIs early on treatment but risk of weight gain later on in treatment
Duggan & Fuller (2004)	Duloxetine	Narrative	Design: no limitation Intervention: Duloxetine	Increase in blood pressure  Possible risk of weight loss  Higher risk of sexual dysfunction compared with placebo
Wernicke <i>et al.</i> (2007)	Duloxetine	Narrative	Design: no limitation Intervention: Duloxetine	Increase in palpitations, tachycardia, orthostatic hypotension, cholesterol compared with placebo  Sexual dysfunction higher than placebo
Hansen <i>et al.</i> (2005)	Second and Third Generation Antidepressants	Narrative	Design: no limitation Intervention: Duloxetine	Venlafaxine higher risk of nausea and vomiting than SSRIs
Machado <i>et al.</i> (2006)	Antidepressants	Meta-analysis	Design: RCTs Intervention: most antidepressants	TCAs the highest overall adverse event profile, followed by SNRIs
Wade & Rosenberg (2001)	Citalopram	Narrative	Design: no limitations Intervention: citalopram	Less adverse events than TCAs (constipation, tachycardia)  No differences found between citalopram and other SSRIs
Keller (2000)	Citalopram	Narrative	Design: no limitations	Greater risk of nausea than placebo but less than fluvoxamine  Risk of small increase in heart beat
Edwards & Anderson (1999)	SSRIs	Meta-analysis and Narrative	Design: Minor limitation – a number of included studies also included a percentage of patients with psychosis.	CSM and Prescription-event monitoring data: Greater risk of adverse events, including discontinuation reaction to Paroxetine and greater risk of gastrointestinal adverse events to Fluvoxamine and Paroxetine compared with other SSRIs.  Controlled studies: More patients discontinued Fluvoxamine because of adverse events. Less patients discontinued Sertraline.

1

1 The main adverse events associated with antidepressants are cardiovascular  
2 symptoms, bleeding, gastro-intestinal symptoms, sexual dysfunction, and weight  
3 change.

#### 4 *Cardiovascular*

5 SSRIs do not appear to be associated with an increase risk in cardiovascular  
6 adverse events (for example, Swenson *et al.*, 2006; Taylor, 2008) and are associated  
7 with a relatively low fatal toxicity index (FTI; number of poisoning deaths per  
8 million prescriptions). However, tricyclic antidepressants (TCAs) are associated  
9 with higher risk of developing cardiovascular adverse events and have found to  
10 be cardiotoxic in overdose (Taylor, 2008).

11  
12 Duloxetine was associated with small increases in diastolic blood pressure,  
13 tachycardia and cholesterol compared with placebo (Duggan & Fuller, 2004;  
14 Wernicke *et al.*, 2007). In addition, there is evidence of moderate acute toxicity in  
15 association with Venlafaxine (Taylor, 2008).

#### 16 *Bleeding*

17 Several observational studies utilizing data from national prescribing databases  
18 have found a relatively strong association between SSRIs and increased risk of  
19 bleeding (Weinrieb *et al.*, 2003; Yuan *et al.*, 2006). This effect was particularly  
20 strong in people concurrently using NSAIDs and SSRIs.

#### 21 *Gastro-intestinal symptoms*

22 There is consistent evidence both in depression and anxiety populations of the  
23 increased risk of GI symptoms such as nausea, vomiting and diarrhoea associated  
24 with SSRI use (Brambilla *et al.*, 2005; Beasley *et al.*, 2000). This has been confirmed  
25 in the current systematic review of SSRIs for GAD (see section 8.2.3). TCAs also  
26 appear to be associated with higher risk of constipation when compared with  
27 fluoxetine (Beasley *et al.*, 2000).

#### 28 *Sexual dysfunction*

29 There was consistent evidence of sexual adverse effects in association with SSRI,  
30 duloxetine and venlafaxine use in people with depression (Werneke *et al.*, 2006;  
31 Gregorian *et al.*, 2002; Beasley *et al.*, 2000; Keller, 2000). These results have been  
32 replicated in people with GAD in the current systematic review (see section 8.2.3).

#### 33 *Weight*

34 Fluoxetine appears to be associated with greater loss in weight compared with  
35 placebo (Beasley *et al.*, 2000), TCAs and other SSRIs (Brambilla *et al.*, 2005).  
36 However, as noted by Demyttenaere and Jaspers (2008), these effects are reported  
37 early on in treatment. When assessing continuation studies there is a possibility  
38 that paroxetine and fluoxetine may actually be associated with weight gain but  
39 this needs further research to establish this finding.

40

1 In addition, there is some evidence that Duloxetine was associated with weight  
 2 loss with a mean reduction of 2.2kg compared with 1kg for placebo (Duggan &  
 3 Fuller, 2004).

4 **8.7.5 Clinical evidence on side effects for pregabalin**

5 The included reviews are summarised in Table 66. Three reviews were included  
 6 however there are a number of limitations to the quality of these reviews. The  
 7 methods of identifying the included studies, data extraction etc. were not  
 8 reported. In addition, the results were almost exclusively concerned with the  
 9 results of short term RCTs therefore no long term evidence of the safety and side  
 10 effects of pregabalin were examined.

11  
 12 The results of the reviews were very similar to that reported above in 1.2.4. That  
 13 is, pregabalin appeared to be well tolerated by most participants but was  
 14 associated with greater risk of headaches, dizziness and somnolence.

15  
 16 **Table 66. Summary of included systematic reviews on pregabalin**

Study ID	Focus of review	Method synthesis	Inclusion criteria	Results
Baldwin & Ajel (2007)	Pregabalin	Narrative	Efficacy and Tolerability of pregabalin for GAD  Mostly reviewed benefits and side effects reported in RCTs	Pregabalin is better tolerated than venlafaxine, alprazolam and lorazepam  Risk of long term use
Kavoussi <i>et al.</i> (2006)	Pregabalin	Narrative	Efficacy and Tolerability of pregabalin for GAD  Mostly reviewed benefits and side effects reported in RCTs	Dizziness and Somnolence reported in association with pregabalin
Tassone <i>et al.</i> (2007)	Pregabalin	Narrative	Efficacy and Tolerability of pregabalin  Mostly reviewed benefits and side effects reported in RCTs	Most common side effects headache, dizziness, somnolence

17

18 **8.7.6 Clinical evidence on side effects for buspirone**

19 No systematic reviews were identified that specifically assessed the side effects of  
 20 buspirone.

1 **8.7.7 Clinical evidence on side effects for hydroxyzine**

2 No systematic reviews were identified that specifically assessed the side effects of  
3 hydroxyzine.

4 **8.7.8 Clinical evidence on side effects for benzodiazepines**

5 The three included reviews are summarised in Table 67.

1

2 **Table 67. Summary of included systematic reviews of benzodiazepines**

Study ID	Focus of review	Method synthesis	Inclusion criteria	Results
Ashton <i>et al.</i> (2005)	Benzodiazepines	Narrative	Benzodiazepine dependence  Inclusion criteria not clear	Benzodiazepine meets the criteria currently defining 'substance dependence'  Long-term use can aggravate anxiety and cause deficits in learning, memory, attention and visuospatial ability.  Escalation of dosage and chronic use can cause depression, sedation (causing accidents).
Chouinard (2004)	Benzodiazepines	Narrative	Anxiety disorders	Can experience recurrent symptoms (gradual return of original symptoms with same intensity).  Rebound symptoms (rapid return of original symptoms but worse than before treatment).e.g. anxiety and insomnia. Greater with benzodiazepines that have short to intermediate half-lives.  New CNS withdrawal symptoms that were not part of original illness. Minor in nature, e.g. insomnia, gastric problems and tremors. Major in nature but rare new symptoms are seizures and psychosis.  Memory impairments can be increased by the following factors: absorption rates (high lipid solubility), high potency, high dose, short-intermediate half-life and route of administration (Healey <i>et al.</i> , 1983). Affects delayed, not immediate word recall. Triazolam and lorazepam mostly associated with amnesia.
Cloos <i>et al.</i> (2009)	Benzodiazepines	Narrative	Anxiety disorders	Sedation, fatigue, ataxia, slurred speech, memory impairment and weakness  Higher risk of adverse effects and dependency in the elderly.

1 As above there were no high quality systematic reviews available. Very little if  
2 any details are reported on inclusion criteria, search strategies, data extraction.  
3 The most common reported problem with benzodiazepine use was risk of  
4 dependence. This suggests only short term use of this treatment is appropriate  
5 and that particular caution should be exercised for people with comorbid alcohol  
6 or drug problems.

7  
8 There were a number of cognitive side effects reported including impairment in  
9 speech and memory. In addition, sedation, fatigue and ataxia were commonly  
10 associated with benzodiazepine use.

### 11 **8.7.9 Clinical summary**

12 The systematic review confirms the characteristic side effect profile of the various  
13 pharmacological treatments used in GAD. Many of the studies of antidepressants  
14 concern the use of these agents in conditions other than GAD; however, there do  
15 not seem to be important differences in the nature and frequency of the side  
16 effects experienced across diagnoses. SSRIs are well known to be associated with  
17 nausea, insomnia and sexual dysfunction and a similar profile of effect is seen  
18 with SNRIs. Discontinuation symptoms are common after antidepressant drug  
19 withdrawal and appear to be more frequent after withdrawal of agents with  
20 relatively short half-lives such as paroxetine and venlafaxine. SSRIs can also be  
21 associated with serious bleeding problems such as gastro-intestinal haemorrhage,  
22 a risk that is significantly increased by co-administration of non-steroidal anti-  
23 inflammatory drugs. SSRIs are generally safe in patients with cardiovascular  
24 problems though SNRIs carry a risk of increasing blood pressure. Venlafaxine  
25 appears more toxic in overdose than SSRIs.

26  
27 In contrast to the SSRIs and SNRIs, pregabalin and benzodiazepines cause more  
28 sedation and dizziness but are less likely to be associated with nausea and sexual  
29 problems. Benzodiazepines are well known to be associated with tolerance and  
30 dependence and cause a withdrawal syndrome upon treatment discontinuation.  
31 Withdrawal effects after pregabalin have not yet been well characterised. In  
32 keeping with its action at central 5-HT receptors, buspirone causes nausea and  
33 dizziness while the antihistamine, hydroxyzine, is associated with sedation.

## 35 **8.8 HEALTH ECONOMICS EVIDENCE**

### 36 **8.8.1 Systematic literature review**

37 The systematic search of the economic literature undertaken for the guideline  
38 identified 5 eligible studies on pharmacological treatments for people with GAD  
39 (Guest *et al.*, 2005; Heuzenroeder *et al.*, 2004; Iskedjian *et al.*, 2008; Jørgensen *et al.*,  
40 2006; Vera-Llonch *et al.*, 2010). Two studies were conducted in the UK (Guest *et*  
41 *al.*, 2005; Jørgensen *et al.*, 2006), one in Spain (Vera-Llonch *et al.*, 2010), one in  
42 Canada (Iskedjian *et al.*, 2008) and one in Australia (Heuzenroeder *et al.*, 2004).

1 Details on the methods used for the systematic review of the economic literature  
2 are described in Chapter 3; references to included studies and evidence tables for  
3 all economic evaluations included in the systematic literature review are  
4 provided in Appendix 16f. Completed methodology checklists of the studies are  
5 provided in Appendix 18. Economic evidence profiles of studies considered  
6 during guideline development (i.e. studies that fully or partly met the  
7 applicability and quality criteria) are presented in Appendix 19, accompanying  
8 the respective GRADE clinical evidence profiles.

9  
10 Jørgensen and colleagues (2006) evaluated the cost effectiveness of escitalopram  
11 versus paroxetine in the treatment of people with GAD in the UK. A decision-  
12 analytic model was constructed for this purpose. The study population consisted  
13 of newly diagnosed people with GAD, with a HAM-A score  $\geq 18$ , who were  
14 treated in a primary care setting. The primary measure of outcome in the analysis  
15 was the rate of initial response as well as the rate of maintained response (i.e.  
16 initial response and no relapse until the end of the time horizon). Initial response  
17 was defined as a reduction in CGI-1score at 1 or 2. Relapse was defined as an  
18 increase in the HAM-A total score at  $\geq 15$ , an increase of CGI-S at  $\geq 4$ , or  
19 discontinuation due to lack of efficacy. Response and discontinuation rates were  
20 taken from BIELSKI2005; relapse data and other clinical input parameters were  
21 based on published literature and further assumptions. The study adopted a  
22 societal perspective but an analysis using NHS costs only was also provided.  
23 Estimates of resource use (medication, GP and/or psychiatrist visits as well as  
24 productivity losses) were based on recommendations from the previous NICE  
25 guideline on anxiety (NICE, 2004) and expert opinion; UK national unit costs  
26 were used. The time horizon of the analysis was 9 months.

27  
28 According to the results of the analysis, escitalopram dominated paroxetine in  
29 both NHS and societal perspectives considered. Escitalopram demonstrated a  
30 higher rate of initial response (14.4% more responders) and a higher rate of  
31 maintained response (7.7% more responders) than paroxetine. The mean total  
32 costs of escitalopram and paroxetine over 9 months, estimated from an NHS  
33 perspective, were £447 and £486 per person treated, respectively (2005 prices).  
34 Results were robust to changes in response rates, tolerance and acquisition costs.

35  
36 The study is directly applicable to the clinical question and the NHS setting. The  
37 methods appear to be overall sound; however, the study has been funded by  
38 pharmacological industry which raises issues about potential conflicts of interest.

39  
40 Guest and colleagues (2005) examined the cost effectiveness of venlafaxine XL  
41 compared with diazepam in the treatment of people with GAD in primary care in  
42 the UK, from the perspective of the NHS. The study was based on decision-  
43 analytic modelling. The primary outcome measure was the percentage of  
44 successful treatment, defined as percentage of people in remission at 6 months,  
45 with remission defined as a CGI score of 1. The source of clinical effectiveness



1 data was HACKET2003. Resource use estimates were based on expert opinion;  
2 national prices were used. The time horizon of the analysis was 6 months.

3  
4 Venlafaxine XL was shown to be more effective and more costly than diazepam.  
5 The percentage of successful treatment was 27.6% with venlafaxine XL, versus  
6 16.8% with diazepam. The mean total costs of venlafaxine XL and diazepam were  
7 £352 and £310 per person treated, respectively (2001 prices). Venlafaxine XL  
8 incurred an extra £381 per successfully treated person compared with diazepam.  
9 Results were sensitive to changes in rates of response, remission, relapse,  
10 discontinuation, as well as to changes in resource use estimates. Probabilistic  
11 analysis revealed that venlafaxine XL dominated diazepam in at least 25% of  
12 iterations. The authors concluded that venlafaxine XL was more cost-effective  
13 than diazepam for the treatment of people with GAD. However, the results are  
14 difficult to interpret due to lack of use of QALYs as the measure of outcome. In  
15 addition, the study is subject to bias as it was funded by the pharmaceutical  
16 industry.

17  
18 Vera-Llonch and colleagues (2010) examined the cost effectiveness of pregabalin  
19 compared with venlafaxine XL in the treatment of people with GAD in Spain,  
20 from the perspective of a third-party payer. The study was based on decision-  
21 analytic modelling. The measure of outcome was the number of QALYs gained.  
22 Clinical data were taken from KASPER2009. Resource use estimates were based  
23 on published and unpublished data; national unit costs were used. The time  
24 horizon of the analysis was 12 months.

25  
26 Pregabalin was found to be more effective and more costly than venlafaxine XL.  
27 The ICER of pregabalin versus venlafaxine was estimated at €23,909 per QALY  
28 gained, ranging from €19,829 to €35,993 per QALY gained in sensitivity analysis  
29 (2007 prices). Results were sensitive to changes in utility values, time horizon,  
30 and whether discontinuation was assumed. The probability of pregabalin being  
31 cost effective at a cost effectiveness threshold of roughly €25,000/QALY was  
32 approximately 95% (as read from graph). Based on these results, the authors  
33 concluded that paroxetine was likely to be more cost effective than venlafaxine  
34 XL for the treatment of people with GAD in a Spanish healthcare setting.  
35 However, the study was conducted in Spain and thus is not directly applicable to  
36 the UK setting. In addition, a major limitation of the analysis is that it was  
37 assumed that treatment effect lasted for 44 weeks following end of treatment (that  
38 is, from 8 weeks until 12 months). Over this period it was assumed that all people  
39 retained the level of clinical improvement achieved by the end of treatment and  
40 no relapse was observed. Finally, the study is subject to bias as it was funded by  
41 pharma industry.

42  
43 Iskedjian and colleagues (2008) undertook a modelling study to compare the costs  
44 and benefits of escitalopram versus paroxetine over 24 weeks, for the treatment of  
45 people with GAD in Canada. The study used both a ministry of health and a  
46 societal perspective. The primary measure of outcome was the number of

1 symptom-free days, defined by a score of 1 or 2 in CGI-1. Response and  
2 discontinuation rates were taken from BIELSKI2005; other clinical input  
3 parameters were based on published literature and expert opinion. Resource use  
4 estimates were also based on expert opinion; national unit prices were used.

5  
6 From a ministry of health perspective, escitalopram was shown to be more  
7 effective than paroxetine at an extra cost of \$6.56 per symptom free day, or \$2,362  
8 per symptom free year (2005 Canadian dollars). When a societal perspective was  
9 considered, escitalopram dominated paroxetine, that is, escitalopram was more  
10 effective and at the same time was associated with lower total costs compared  
11 with paroxetine. These results were robust to changes in rates of response,  
12 tolerance and adherence. Based on their results, the authors concluded that  
13 escitalopram was more cost-effective than paroxetine for the treatment of people  
14 with GAD. However, the results are difficult to interpret due to lack of use of  
15 QALYs as the measure of outcome. In addition, the study was conducted in  
16 Canada and thus is not directly applicable to the UK setting. Finally, the study is  
17 subject to bias as it was funded by pharma industry.

18  
19 The fifth study included in the systematic economic literature review was a  
20 modelling study that compared venlafaxine XL versus standard care for the  
21 treatment of people with GAD from the perspective of the healthcare sector in  
22 Australia (Heuzenroeder *et al.*, 2004). Standard care was defined as a mixture of  
23 care based on evidence-based medicine principles (27%), non-evidence-based  
24 medicine principles (28%) and no care (45%). The study population was the total  
25 estimated adult population with GAD in Australia, according to national surveys.  
26 The measure of outcome was the number of Disability Adjusted Life Years  
27 (DALYs) saved. The source of clinical effectiveness data was a meta-analysis of 2  
28 RCTs (ALLGULANDER2001; DAVIDSON1999). Resource use estimates were  
29 based on assumptions; national unit prices were used. The study reported that  
30 use of venlafaxine XL for the treatment of the adult population in Australia  
31 incurred an extra Aus\$ 77 million and saved 3,300 DALYs compared with  
32 standard care, resulting in an incremental cost of \$30,000/DALY saved, which  
33 ranged between \$20,000/DALY saved and \$51,000/DALY saved in sensitivity  
34 analysis. The study, although met the systematic review inclusion criteria, was  
35 considered to be non-applicable to the UK setting for the following reasons: it  
36 was conducted in Australia, the measure of outcomes was DALYs saved, which  
37 limited the interpretability of the study findings, and standard care, according to  
38 its definition, was likely to differ significantly from standard care in the NHS. For  
39 this reason the study was not considered further during the guideline  
40 development process.

## 41 **8.8.2 Economic modelling**

### 42 *Introduction - objective of economic modelling*

43 Existing economic evidence in the area of pharmacological treatment for people  
44 with GAD is limited and not directly applicable to the UK setting, since only 2 of

1 the 5 studies were conducted in the UK. The economic studies included in the  
2 systematic review were characterised by a number of limitations; moreover, they  
3 did not assess the whole range of drugs available in the UK for the treatment of  
4 people with GAD. Given the likely significant resource implications associated  
5 with the choice of drug in the treatment of people with GAD, an economic model  
6 was developed to assess the relative cost effectiveness of pharmacological  
7 interventions for people with GAD in the UK.

## 8 *Economic modelling methods*

### 9 **Interventions assessed**

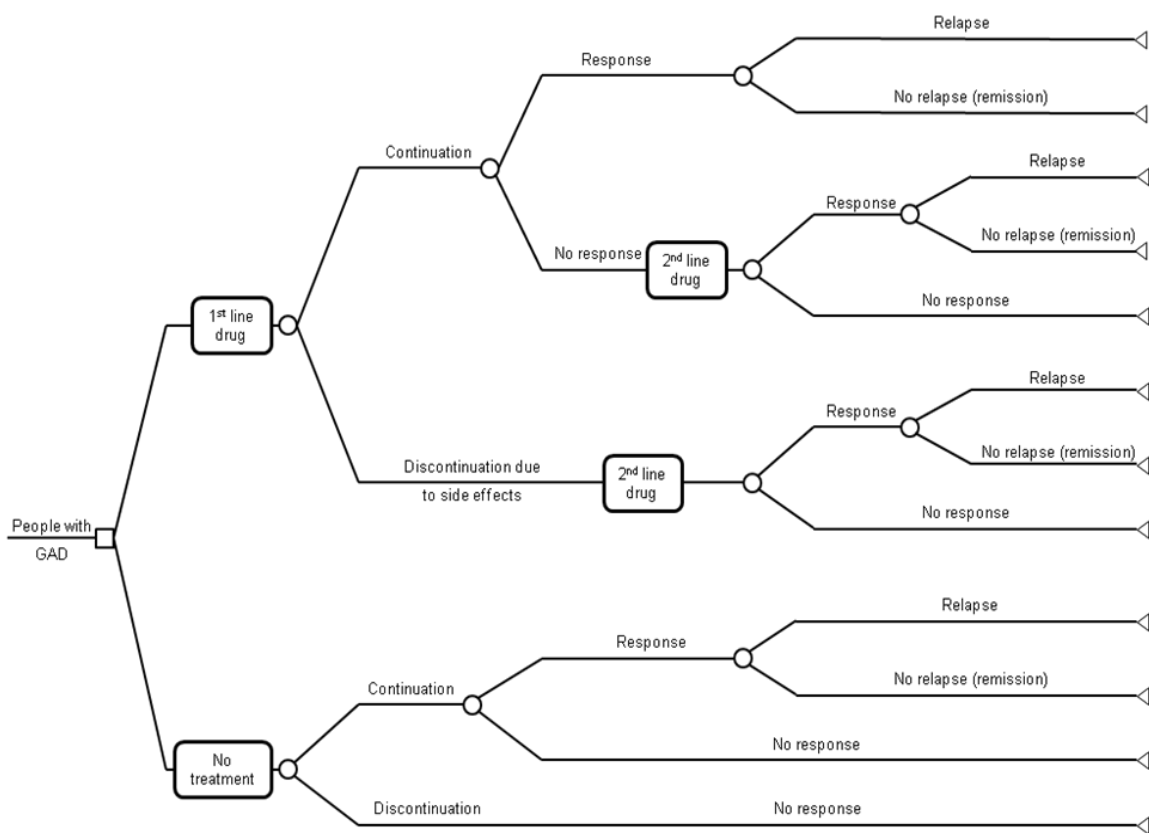
10 The choice of interventions assessed in the economic analysis was determined by  
11 the availability of respective clinical data included in the guideline systematic  
12 literature review. The economic analysis considered all drugs with acceptable  
13 risk-to-benefit ratio, as demonstrated by the systematic review of clinical  
14 evidence, that were deemed appropriate as first line pharmacological treatment  
15 options for people with GAD. Based on the findings of the clinical systematic  
16 review, the following drugs were assessed in the economic analysis: duloxetine,  
17 escitalopram, paroxetine, pregabalin, sertraline, and venlafaxine-XL. Sertraline  
18 was included in the economic analysis, despite of the fact that it is not licensed for  
19 the treatment of people with GAD, because it is routinely used for this purpose in  
20 clinical practice in the UK. The model also considered no pharmacological  
21 treatment (placebo), consisting of GP visits only, as one of the treatment options.  
22 Psychological interventions were not considered in the analysis, as available  
23 clinical data did not allow direct or indirect comparisons between  
24 pharmacological and psychological interventions.  
25

### 26 **Model structure**

27 A decision-analytic model in the form of a decision-tree was constructed using  
28 Microsoft Office Excel 2007. According to the model structure, hypothetical  
29 cohorts of people with GAD were initiated on each of the six drugs assessed (first  
30 line drug) or no pharmacological treatment. People initiated on the first line drug  
31 could either discontinue due to intolerable side effects or continue the drug  
32 treatment for 8 weeks. People treated with the first line drug either responded to  
33 treatment, or did not respond. Those who responded were given maintenance  
34 treatment (consisting of the same drug) for 6 months. At the end of this period,  
35 they either experienced a relapse or did not relapse. In each cohort, people  
36 discontinuing the first line drug due to intolerable side effects and those not  
37 responding to the first line drug were switched to a second line drug, which was  
38 assumed to be a mixture of all drugs assessed in the economic analysis, except the  
39 first line drug administered to this cohort. People under the second line drug  
40 were all assumed to continue treatment with this drug. From that point onwards  
41 they followed the same pathways as people who received first line drug (that is,  
42 no response or response and maintenance treatment, at the end of which they  
43 could relapse or not relapse). People receiving no pharmacological treatment

1 were assumed to either discontinue treatment, in which case they did not  
 2 clinically improve ('no response'), or continue their treatment and follow a  
 3 similar pathway to that experienced by people receiving pharmacological  
 4 treatment (that is, no response or response followed by relapse or no relapse). The  
 5 time horizon of the analysis was 42 weeks, based on the optimal duration of  
 6 initial pharmacological treatment (8 weeks) and maintenance treatment (26  
 7 weeks), and in order to allow for switching to second line treatment in case the 8-  
 8 week first line treatment did not lead to response. A schematic diagram of the  
 9 decision-tree is presented in Figure 6.

10  
 11 **Figure 6. Schematic diagram of decision-tree constructed for the assessment of**  
 12 **the relative cost effectiveness of pharmacological interventions for people with**  
 13 **GAD**



14  
 15  
 16

17 ***Costs and outcomes considered in the analysis***

18 The economic analysis adopted the perspective of the NHS and personal social  
 19 services, as recommended by NICE (2009a). Costs consisted of intervention costs  
 20 (drug acquisition and GP visit costs) and other health and social care costs  
 21 incurred by people with GAD not responding to treatment or experiencing a  
 22 relapse following response (including contacts with healthcare professionals such  
 23 as GPs, psychiatrists, psychologists, mental health nurses and social workers,

1 community care, inpatient and outpatient secondary care). The measure of  
2 outcome was the Quality Adjusted Life Year (QALY).  
3

#### 4 *Clinical input parameters and overview of methods employed for* 5 *evidence synthesis*

6 Clinical input parameters consisted of the probability of drug discontinuation  
7 due to intolerable side effects, the probability of response for those not  
8 discontinuing treatment due to side effects (conditional response), and the  
9 probability of relapse following response to treatment.  
10

11 To take all trial information into consideration, network (mixed treatment  
12 comparison) meta-analytic techniques were employed to synthesise evidence on  
13 discontinuation due to intolerable side effects, as well as evidence on conditional  
14 response. Network meta-analysis is a generalisation of standard pair-wise meta-  
15 analysis for A versus B trials to data structures that include, for example, A  
16 versus B, B versus C and A versus C trials (Lu & Ades, 2004). A basic assumption  
17 of network meta-analysis is that direct and indirect evidence estimate the same  
18 parameter; in other words, the relative effect between A and B measured directly  
19 from an A versus B trial is the same with the relative effect between A and B  
20 estimated indirectly from A versus C and B versus C trials. Network meta-  
21 analytic techniques strengthen inference concerning the relative effect of two  
22 treatments by including both direct and indirect comparisons between treatments  
23 and, at the same time, allow simultaneous inference on all treatments examined  
24 in the pair-wise trial comparisons while respecting randomisation (Lu & Ades,  
25 2004; Caldwell *et al.*, 2005). Simultaneous inference on the relative effect of a  
26 number of treatments is possible provided that treatments participate in a single  
27 'network of evidence', that is, every treatment is linked to at least one of the other  
28 treatments under assessment through direct or indirect comparisons.  
29

30 Details on the methods and clinical data utilised in the two network meta-  
31 analyses that were undertaken to estimate the probability of discontinuation due  
32 to intolerable side effects and the probability of conditional response for each  
33 treatment option considered in the economic analysis (that is, each first line drug  
34 or no pharmacological treatment) are presented in Appendix 14. The probability  
35 of conditional response for the second line drug in each arm of the model was  
36 calculated as the average probability of conditional response of all drugs except  
37 the one that was used as first line treatment in this particular arm of the model.  
38

39 The probability of relapse following response to treatment was taken from the  
40 guideline meta-analysis of relevant data. Data from FELTNER2009 were excluded  
41 from consideration, as they increased considerably the heterogeneity across  
42 studies.  
43  
44

1 Table 69 provides clinical input parameters utilised in the economic model,  
2 including input parameters derived from the network meta-analyses undertaken  
3 for this guideline.

#### 4 *Utility data and estimation of quality-adjusted life years*

5 In order to express outcomes in the form of QALYs, the health states of the  
6 economic model needed to be linked to appropriate utility scores. Utility scores  
7 represent the Health Related Quality of Life (HRQoL) associated with specific  
8 health states on a scale from 0 (death) to 1 (perfect health); they are estimated  
9 using preference-based measures that capture people's preferences on the  
10 HRQoL experienced in the health states under consideration. The systematic  
11 search of the literature identified 2 studies that reported utility scores for specific  
12 health states associated with generalised anxiety disorder (GAD) (Allgulander *et*  
13 *al.*, 2007; Revicki *et al.*, 2009). Details on the studies, their methods and reported  
14 utility data are provided in the respective section of the economic model  
15 described in 8.7.3.

16

17 According to NICE guidance regarding the selection of utility scores for use in  
18 cost-utility analysis, the measurement of changes in HRQoL should be reported  
19 directly from people with the condition examined, and the valuation of health  
20 states should be based on public preferences elicited using a choice-based  
21 method, such as time trade-off (TTO) or SG, in a representative sample of the UK  
22 population. NICE recommends the EQ-5D (Brooks, 1996) as the preferred  
23 measure of HRQoL in adults for use in cost-utility analysis. When EQ-5D scores  
24 are not available or are inappropriate for the condition or effects of treatment, the  
25 institute recommends that the valuation methods be fully described and  
26 comparable to those used for the EQ-5D (NICE, 2008a).

27

28 Available utility data for people with GAD were not generated using EQ-5D.  
29 However, both studies included in the respective review used SF-6D for the  
30 estimation of utility scores in this population. SF-36 (and its shorter form SF-12) is  
31 a validated generic measure of HRQoL. The SF-6D algorithm can generate utility  
32 scores for all health states described from SF-36 and SF-12, which have been  
33 elicited from a representative sample of the UK general population using SG;  
34 thus the valuation method meets NICE criteria.

35

36 The utility data reported in Allgulander and colleagues (2006) corresponded to  
37 the respective health states described in the economic model (i.e. response, non-  
38 response, relapse following response, and no relapse following response). In  
39 contrast, the health states described in Revicki and colleagues (2008) could not be  
40 linked to the model health states. Therefore, it was decided to use the utility data  
41 reported in Allgulander and colleagues (2006) in the economic analysis.

42

43 It was assumed that the improvement in utility for people with GAD responding  
44 to treatment occurred linearly over the 8 weeks of treatment, starting from the  
45 utility value of non-response and reaching the utility value of response. People

1 responding and not relapsing were assumed to experience a linear increase in  
2 their utility during the 6 months of maintenance treatment, starting from the  
3 utility value of response and reaching the utility value of response and no  
4 relapse. In contrast, people relapsing following response were assumed to  
5 experience a linear reduction in their utility during maintenance treatment,  
6 starting from the utility value of response and reaching the utility value of relapse  
7 following response.

8  
9 The reduction in utility score due to intolerable side effects was assumed to equal  
10 the greatest utility reduction due to side effects reported for people with  
11 depression under antidepressant medication (Revicki & Wood, 1998). This  
12 reduction in utility was assumed to last only two weeks, as discontinuation of  
13 drug treatment due to intolerable side effects was assumed to occur within two  
14 weeks from initiation of the particular drug. The analysis did not consider any  
15 reduction in utility due to tolerable side effects, as no relevant data on 'disutility'  
16 due to side effects were available. Moreover, side effect rates were not  
17 consistently reported across all drugs included in the model.

#### 19 *Cost data*

20 Costs associated with pharmacological treatment of people with GAD were  
21 calculated by combining resource-use estimates with respective national unit  
22 costs. Costs consisted of intervention costs and other health and social care costs  
23 incurred by people with GAD not responding to treatment or relapsing following  
24 response. Intervention costs of pharmacological treatment consisted of drug  
25 acquisition costs and GP visit costs. Intervention costs of no pharmacological  
26 treatment related to GP visit costs only. All costs were expressed in 2009 prices,  
27 uplifted, where necessary, using the Hospital & Community Health Services  
28 (HCHS) Pay and Prices Index (Curtis, 2009). Discounting of costs was not  
29 necessary since the time horizon of the analysis was shorter than one year.

30  
31 Drug acquisition costs were taken from BNF 59 (British Medical Association &  
32 the Royal Pharmaceutical Society of Great Britain, March 2010). For each drug the  
33 lowest reported price was selected and used in the analysis; where available,  
34 costs of generic forms were considered. The average daily dosage of each drug  
35 was determined according to optimal clinical practice (GDG expert opinion) and  
36 was consistent with the respective average daily dosage reported in the RCTs  
37 considered in the economic model. People discontinuing treatment due to  
38 intolerable side effects were assumed to have been already prescribed one  
39 month's drug supply for their initiated drug, and therefore incurred the initiated  
40 drug cost over 4 weeks before switching to second line treatment. The average  
41 daily dosages and acquisition costs as well as the total ingredient costs over 8  
42 weeks of initial treatment and 6 months of maintenance treatment for all drugs  
43 are presented in Table 68. The ingredient cost of the second line drug in each arm  
44 of the model was assumed to equal the average ingredient cost of all drugs except  
45 the one that was used as first line treatment in this particular arm.

1 **Table 68: Average daily dosage, acquisition costs and estimated 8-week and 6-**  
 2 **month ingredient costs of drugs used in the treatment of people with GAD**  
 3 **included in the economic model**

Drug	Average daily dosage	Unit cost (BNF 59, March 2010)	8-week ingredient cost	6-month ingredient cost
Duloxetine	60 mg	Cymbalta 60 mg, 28-cap pack = £27.72	£55.44	£180.18
Escitalopram	10 mg	Cipralext 10 mg, 28-tab pack = £14.91	£29.82	£96.92
Paroxetine	20 mg	Generic 20 mg, 30-tab pack = £2.58	£4.82	£15.65
Pregabalin	300 mg	Lyrica 300 mg, 56-cap pack = £64.40	£64.40	£209.30
Sertraline	100 mg	Generic 100 mg, 28-tab pack = £1.59	£3.18	£10.34
Venlafaxine-XL	75 mg	Venaxx XL 75 mg, 28-cap pack = £10.40	£20.80	£67.60

4  
 5 Regarding GP visits, these included one visit at initiation, two visits over the first  
 6 8 weeks of treatment, and another visit during maintenance treatment. People  
 7 who discontinued their first line treatment due to intolerable side effects were  
 8 assumed to pay one extra visit to their GP, and then were initiated on second line  
 9 drug treatment following the same pattern of GP visits as that estimated for the  
 10 first line drug treatment. This pattern of GP visits was also assumed to apply to  
 11 the cohort of people under no pharmacological treatment.

12  
 13 The extra health and social care costs incurred by people with GAD not  
 14 responding to treatment or relapsing following response to treatment were  
 15 estimated based on data reported in the adult psychiatric morbidity survey in  
 16 England (McManus *et al.*, 2009), supported by the GDG expert opinion. Data on  
 17 resources used by people with GAD (including inpatient care, outpatient  
 18 services, contacts with GPs, psychiatrists, psychologists, community psychiatric  
 19 nurses, social workers and services provided by community day care centres)  
 20 were combined with appropriate national unit costs (Curtis, 2009; DH, 2010) in  
 21 order to estimate a total weekly cost incurred by people with GAD. The average  
 22 length of stay for people with GAD receiving inpatient care was taken from  
 23 national hospital episode statistics (NHS, The Information Centre, 2009). Based on  
 24 the above data, the health and social care cost incurred by people with GAD not  
 25 responding to treatment or relapse following response was approximately £804  
 26 per year or £15 per week. Details on the methods of estimation of this cost are  
 27 provided in the economic analysis described in 6.7.3. People who did not  
 28 respond to second line pharmacological treatment and those who did not  
 29 respond to no pharmacological treatment were assumed to incur this weekly  
 30 health and social care GAD-related cost for the remaining time horizon of the  
 31 analysis following no response. People who relapsed following response to  
 32 treatment were assumed to incur maintenance treatment costs over 3 months and  
 33 this health and social care GAD-related cost over the rest 3 months of the 6-month  
 34 maintenance treatment period that led to relapse.

35  
 36 Costs of treating tolerable side effects were not considered in the economic  
 37 analysis, due to lack of consistency in reporting appropriate side effect data  
 38 across all drugs.



1  
2 Table 69 reports the mean (deterministic) values of all input parameters utilised  
3 in the economic model and provides information on the distributions assigned to  
4 specific parameters in probabilistic sensitivity analysis.  
5

1 Table 69. Input parameters utilised in the economic model of pharmacological treatments for people with GAD

Input parameter	Deterministic value	Probabilistic distribution	Source of data - comments
<b>Probability of discontinuation because of intolerable side effects</b>		<b>Distribution based on 10,000 iterations</b>	
Duloxetine	0.1750	95% credible intervals 0.0374 to 0.4749	Network meta-analysis of data included in the guideline systematic review; data refer to a period of 8 weeks; distribution based on 10,000 iterations
Escitalopram	0.0935	0.0182 to 0.2750	
Paroxetine	0.1348	0.0291 to 0.3808	
Pregabalin	0.0858	0.0172 to 0.2560	
Sertraline	0.0725	0.0127 to 0.2368	
Venlafaxine XL	0.1423	0.0312 to 0.3953	
No treatment	0.0583	0.0136 to 0.1614	
<b>Probability of conditional response (in people who did not discontinue because of intolerable side effects)</b>		<b>Distribution based on 10,000 iterations</b>	
Duloxetine	0.6509	95% credible intervals 0.3571 to 0.9194	Network meta-analysis of data included in the guideline systematic review; data refer to a period of 8 weeks; distribution based on 10,000 iterations
Escitalopram	0.5788	0.3051 to 0.8699	
Paroxetine	0.5190	0.2611 to 0.8219	
Pregabalin	0.5904	0.3147 to 0.8719	
Sertraline	0.6287	0.3290 to 0.9101	
Venlafaxine XL	0.6160	0.3371 to 0.8917	
No treatment	0.4277	0.2231 to 0.6838	
<b>Probability of relapse - no treatment</b>	0.4913	<b>Beta distribution</b> $\alpha=422$ ; $\beta=437$	Pooled data from 4 RCTs included in respective guideline systematic review
<b>Relative risk of relapse - drugs versus no treatment</b>	0.3300	<b>Log-norm distribution</b> 95% CIs: 0.27 to 0.41	Guideline meta-analysis excluding FELTNER2009
<b>Utilities</b>		<b>Beta distribution</b>	
Response	0.760	$\alpha=177.84$ ; $\beta=56.16$	Estimated using method of moments, based on data reported in Algullander <i>et al.</i> , 2007
Non-response	0.630	$\alpha=24.57$ ; $\beta=14.43$	
Relapse	0.730	$\alpha=51.83$ ; $\beta=19.17$	
No relapse following response	0.790	$\alpha=97.96$ ; $\beta=26.04$	

Discontinuation because of side effects (reduction in utility)	0.120	$\alpha=8.40$ ; $\beta=61.60$	Estimated using method of moments, based on data reported in Revicki & Wood, 1998
<b>Drug acquisition costs (8 weeks)</b>		<b>No distribution assigned</b>	
Duloxetine	£55.44		BNF 59 (British Medical Association & the Royal Pharmaceutical Society of Great Britain, March 2010) - see Table 68 for more details
Escitalopram	£29.82		
Paroxetine	£4.82		
Pregabalin	£64.40		
Sertraline	£3.18		
Venlafaxine XL	£20.80		
<b>GP visit costs</b> (common in drug treatment and no pharmacological treatment)		<b>Gamma distribution</b>	
Initial 8-week treatment	£105	SE: 30% of mean value (assumption)	Using an estimate of 3 visits over the 8 weeks of initial treatment, 1 visit during the 6 months of maintenance treatment and 1 extra visit in case of discontinuation (GDG expert opinion); combined with national unit costs (Curtis, 2009)
Maintenance 6-month treatment	£35		
Discontinuation of treatment	£35		
<b>Weekly health and social care cost incurred by people with GAD</b>	£15.47	<b>Gamma distribution</b> SE: 30% of mean value (assumption)	Based on resource use data from a national psychiatric morbidity survey (McManus <i>et al.</i> , 2009) and the GDG expert opinion, combined with national unit costs (Curtis, 2009; DH, 2010); average length of inpatient stay for people with GAD based on national sources (NHS, The Information Centre, 2009)

1 CI = confidence intervals; SE = standard error

1 *Data analysis and presentation of the results*

2 Two methods were employed to analyse the input parameter data and present  
3 the results of the economic analysis.

4  
5 First, a *deterministic* analysis was undertaken, where data are analysed as point  
6 estimates; results are presented as mean total costs and QALYs associated with  
7 each treatment option are assessed. Relative cost effectiveness between  
8 alternative treatment options is estimated using incremental analysis: all options  
9 are initially ranked from most to least effective; options that are dominated (they  
10 are more expensive and less effective than other options) are excluded from  
11 further analysis. Subsequently, ICERs are calculated for all pairs of consecutive  
12 options. ICERs express the additional cost per additional unit of benefit  
13 associated with one treatment option relative to its comparator. Estimation of  
14 such a ratio allows consideration of whether the additional benefit is worth the  
15 additional cost when choosing one treatment option over another. After  
16 excluding cases of extended dominance (which occur when an intervention is less  
17 effective and more costly than a linear combination of two other options), ICERs  
18 are recalculated. The treatment option with the highest ICER below the cost  
19 effectiveness threshold is the most cost-effective option.

20  
21 One-way sensitivity analyses explored

- 22 • the impact of the uncertainty characterising the monthly health and social  
23 care cost incurred by people with GAD not responding to treatment or  
24 relapsing following response on the results of the deterministic analysis.  
25 Since the estimation of this cost was based on a number of assumptions  
26 and data extrapolations, a scenario of a 70% change in this cost was tested  
27 to investigate whether the conclusions of the analysis would change.
  
- 28 • The impact of an increase in the extra GP visits following discontinuation  
29 of the first line treatment due to intolerable side effects. The impact of three  
30 extra GP visits on the results was tested (in base-case analysis one extra  
31 visit was assumed).

32  
33 In addition to deterministic analysis, a *probabilistic* analysis was also conducted.  
34 In this case, all model input parameters were assigned probability distributions  
35 (rather than being expressed as point estimates), to reflect the uncertainty  
36 characterising the available clinical and cost data. Subsequently, 10,000 iterations  
37 were performed, each drawing random values out of the distributions fitted onto  
38 the model input parameters. This exercise provided more accurate estimates of  
39 mean costs and benefits for each intervention assessed (averaging results from  
40 the 10,000 iterations), by capturing the non-linearity characterising the economic  
41 model structure (Briggs *et al.*, 2006).

42

1 The distributions of the probability of discontinuation due to intolerable side  
2 effects and the probability of conditional response for each drug, which were  
3 obtained using mixed treatment comparison techniques, were defined directly  
4 from values recorded in each of the 10,000 respective iterations performed in  
5 Winbugs, as described in Appendix 14.

6  
7 The probability of relapse for no pharmacological treatment was given a beta  
8 distribution. Beta distributions were also assigned to utility values, using the  
9 method of moments. The relative risk of relapse of drug treatment versus no  
10 treatment was assigned a log-normal distribution. The estimation of distribution  
11 ranges was based on available data in the guideline meta-analysis (relapse data)  
12 and the published sources of evidence (utility data). Costs (with the exception of  
13 drug acquisition costs) were assigned a gamma distribution; in order to define  
14 the distribution, a 30% standard error around the mean costs was assumed.

15  
16 Table 69 provides details on the types of distributions assigned to each input  
17 parameter and the methods employed to define their range.

18  
19 Results of probabilistic analysis are presented in the form of cost-effectiveness  
20 acceptability curves (CEACs), which demonstrate the probability of each  
21 treatment option being the most cost effective among the strategies assessed at  
22 different levels of willingness-to-pay per unit of effectiveness (that is, at different  
23 cost-effectiveness thresholds the decision-maker may set). In addition, the cost  
24 effectiveness acceptability frontier (CEAF) is provided alongside CEACs,  
25 showing which treatment option among those examined offers the highest  
26 average net monetary benefit (NMB) at each level of willingness-to-pay (Fenwick  
27 *et al.*, 2001). The NMB of a treatment option at different levels of willingness-to-  
28 pay is defined by the following formula:

$$29$$
$$30 \text{NMB} = E \cdot \lambda - C$$

31  
32 Where E and C are the effectiveness (number of QALYs) and costs associated  
33 with the treatment option, respectively, and  $\lambda$  is the level of the willingness-to-  
34 pay per unit of effectiveness.

### 36 **8.8.3 Economic modelling results**

#### 37 *Results of deterministic analysis*

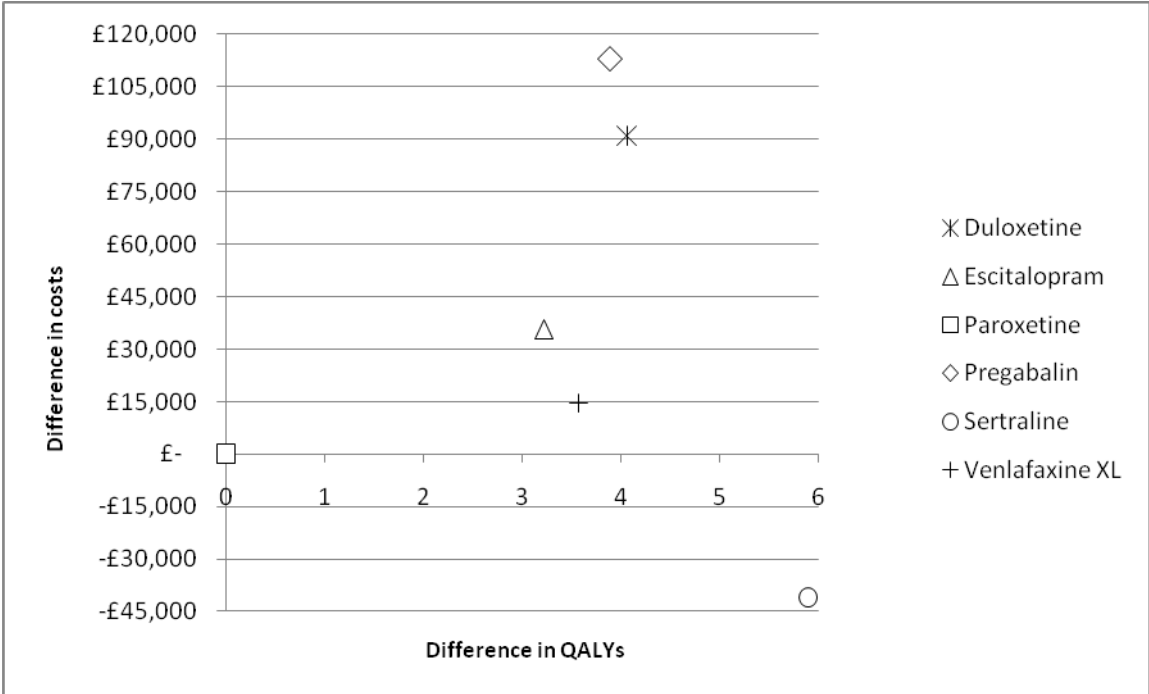
38 According to deterministic analysis, sertraline was the most cost-effective option  
39 among those assessed because it produced the highest number of QALYs and  
40 was associated with the lowest costs (dominant option). 'No pharmacological  
41 treatment' was dominated by all drugs, as it resulted in the lowest number of  
42 QALYs and highest total costs.

1 Table 70 provides mean costs and QALYs for every treatment option assessed in  
 2 the economic analysis. The seven options have been ranked from the most to the  
 3 least effective in terms of number of QALYs gained. It can be seen that sertraline  
 4 is associated with lowest costs and highest benefits (QALYs) and consequently  
 5 dominates all other drugs as well as no treatment. Figure 7 provides the cost  
 6 effectiveness plane showing the incremental costs and QALYs of all drugs versus  
 7 paroxetine. It can be seen that sertraline is in the southeast quadrant and has the  
 8 highest number of QALYs and the lowest costs relative to all other drugs  
 9 assessed (no treatment is not shown in this graph).

10  
 11 **Table 70. Mean costs and QALYs for each pharmacological treatment option for**  
 12 **people with GAD assessed in the economic analysis - results per 1,000 people**

Treatment option	Mean total QALYs	Mean total costs	Cost effectiveness
Sertraline	589.40	£333,584	Dominant
Duloxetine	587.57	£465,646	Dominated
Pregabalin	587.39	£487,565	Dominated
Venlafaxine XL	587.08	£389,125	Dominated
Escitalopram	586.73	£410,334	Dominated
Paroxetine	583.51	£374,594	Dominated
No treatment	547.19	£500,614	Dominated

13  
 14  
 15 **Figure 7. Cost-effectiveness plane of all drugs assessed in the economic**  
 16 **analysis plotted against paroxetine - incremental costs and QALYs per 1,000**  
 17 **people with GAD**



18  
 19  
 20  
 21 Results were robust under all scenarios examined in one-way sensitivity analyses:  
 22 sertraline remained dominant when the health and social care costs incurred by

1 people with GAD not responding to treatment or relapsing following response  
2 increased by 70% and when 3 extra GP visits (instead of one) were assumed in the  
3 case of discontinuation of first line treatment. Sertraline dominated all options  
4 except no treatment when the health and social costs incurred by people with  
5 GAD not responding to treatment or relapsing following response decreased by  
6 70%. In this case, the ICER of sertraline versus no treatment was £583 per QALY  
7 gained, which is well below the lower £20,000 per QALY cost effectiveness  
8 threshold set by NICE (NICE, 2008b).

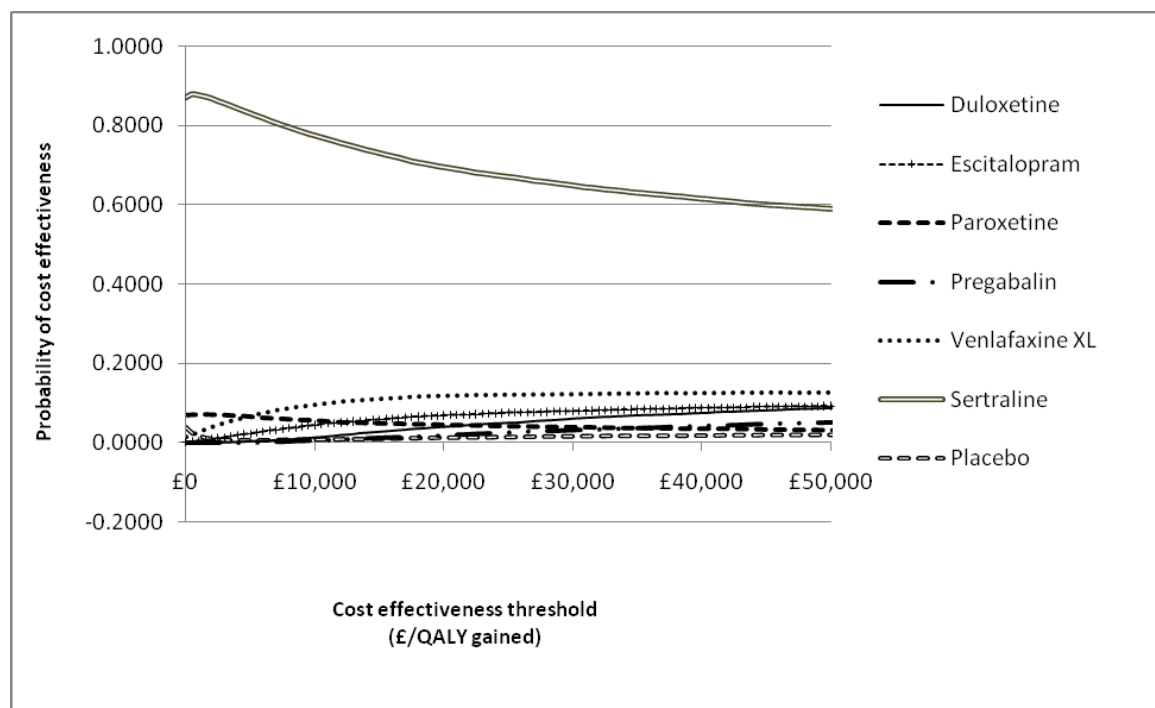
9 ***Results of probabilistic analysis***

10 Results of probabilistic analysis were very similar to those of deterministic  
11 analysis: sertraline dominated all other treatment options when mean costs and  
12 QALYs derived from 10,000 iterations were estimated. Sertraline had also the  
13 highest probability of being the most cost-effective treatment option, at any level  
14 of willingness-to-pay per additional QALY gained. At the lower NICE cost  
15 effectiveness threshold of £20,000/QALY (NICE, 2008b) the probability of  
16 sertraline being cost-effective was 0.70, whereas venlafaxine XL, which was the  
17 second most cost-effective option, had a probability of only 0.12 The cost  
18 effectiveness acceptability frontier coincided with the CEAC for sertraline,  
19 because sertraline produced the highest average net benefit at any level of  
20 willingness to pay.

21  
22 Figure 8 shows the CEACs generated for each pharmacological treatment option  
23 assessed in the economic model. Table 71 shows the probability of each treatment  
24 option being cost- effective at various cost effectiveness thresholds, i.e. at various  
25 levels of willingness-to-pay per QALY gained.

26  
27  
28  
29  
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31  
32  
33  
34  
35  
36

1 **Figure 8. Cost-effectiveness acceptability curves of all pharmacological**  
 2 **treatment options for people with GAD assessed in the economic analysis**



5  
6

7 **Table 71. Probability of each pharmacological treatment option being cost-**  
 8 **effective at various levels of willingness-to-pay per QALY gained (WTP)**

WTP	Duloxetine	Escitalopram	Paroxetine	Pregabalin	Sertraline	Venlafaxine XL	Placebo
£0	0.0000	0.0019	0.0708	0.0000	0.8714	0.0160	0.0399
£5,000	0.0040	0.0228	0.0666	0.0008	0.8319	0.0685	0.0054
£10,000	0.0135	0.0444	0.0566	0.0056	0.7758	0.0965	0.0076
£15,000	0.0281	0.0581	0.0496	0.0125	0.7315	0.1108	0.0094
£20,000	0.0405	0.0686	0.0456	0.0180	0.6958	0.1189	0.0126
£25,000	0.0505	0.0753	0.0421	0.0255	0.6709	0.1216	0.0141
£30,000	0.0614	0.0791	0.0394	0.0317	0.6494	0.1228	0.0162
£35,000	0.0696	0.0838	0.0370	0.0363	0.6309	0.1250	0.0174
£40,000	0.0754	0.0873	0.0356	0.0421	0.6157	0.1259	0.0180
£45,000	0.0818	0.0901	0.0335	0.0471	0.6010	0.1270	0.0195
£50,000	0.0873	0.0925	0.0319	0.0505	0.5905	0.1273	0.0200

9

10 *Discussion - limitations of the analysis*

11 The results of the economic analysis suggest that sertraline is likely to be the most  
 12 cost-effective pharmacological treatment for people with GAD. Sertraline  
 13 dominated all other treatment options and had the highest probability of being  
 14 the most cost-effective option at any level of willingness-to-pay per QALY  
 15 gained, which approximated 0.70 at the lower NICE cost effectiveness threshold



1 of £20,000 per QALY. The cost effectiveness of sertraline is attributed to a number  
2 of factors: sertraline had the lowest average probability of discontinuation due to  
3 intolerable side effects among all drugs assessed, and the second best probability  
4 of conditional response; in addition, sertraline had the lowest acquisition cost  
5 among all drugs, as it is available in generic form. It must be noted that sertraline  
6 is not licensed for the treatment of people with GAD, but it is commonly used in  
7 routine clinical practice in the UK.

8  
9 Clinical data on a) discontinuation due to intolerable side effects and b) response  
10 for those who did not discontinue due to intolerable side effects (conditional  
11 response) were synthesised using network meta-analytic techniques. Such  
12 methods enable evidence synthesis from both direct and indirect comparisons  
13 between treatments, and allow simultaneous inference on all treatments  
14 examined in pair-wise trial comparisons while respecting randomisation (Lu &  
15 Ades, 2004; Caldwell *et al.*, 2005).

16  
17 One limitation of the economic analysis is that it did not take into account the  
18 reduction in HRQoL and the costs associated with the management of tolerable  
19 side effects, which do not lead to treatment discontinuation. Consideration of  
20 these factors was not possible as there was no consistent reporting of side effects  
21 across trials included in the systematic review. Moreover, there is limited  
22 evidence on the reduction in HRQoL caused by the presence of side effects from  
23 drugs considered in the analysis. Nevertheless, the economic analysis did  
24 consider the impact of the development of intolerable side effects, which lead to  
25 treatment discontinuation, on costs and clinical consequences associated with  
26 pharmacological treatment of GAD.

27  
28 The economic analysis revealed that drug acquisition costs may be an important  
29 factor in determining the relative cost effectiveness of pharmacological treatments  
30 for GAD: sertraline, which was found to be the most cost-effective option  
31 resulting also in lowest total costs, has currently the lowest acquisition cost, as it  
32 is available in generic form. Paroxetine, which is also available in generic form  
33 and has the second lowest acquisition cost among the drugs assessed, was ranked  
34 second least costly drug and fourth most cost-effective option at a cost  
35 effectiveness threshold of £20,000 per QALY (with probability of being cost-  
36 effective about 0.05), despite the fact that it had one of the highest probabilities of  
37 discontinuation due to side effects and the lowest probability of conditional  
38 response among drugs. Venlafaxine XL, which has the lowest acquisition cost  
39 among patented drugs included in the analysis, was ranked third least costly  
40 drug and second most cost-effective option at a cost effectiveness threshold of  
41 £20,000 per QALY (with probability of being cost-effective about 0.12). Based on  
42 these findings, it is expected that the relative cost effectiveness of drugs for the  
43 treatment of GAD is likely to change in the future, as eventually drugs will  
44 become available in generic form, resulting in a considerable reduction in their  
45 acquisition costs.

#### 1 **8.8.4 Overall conclusions from economic evidence**

2 Existing economic evidence is very limited in the area of pharmacological  
3 treatment for people with GAD. Of the 5 studies meeting the inclusion criteria,  
4 one was considered as non-applicable to the UK setting (Heuzenroeder *et al.*,  
5 2004) and was therefore not considered at formulation of recommendations. One  
6 study conducted in Canada (Iskedjian *et al.*, 2008) concluded that escitalopram  
7 was more cost-effective than paroxetine. Another modelling study conducted in  
8 Spain concluded that paroxetine might be more cost-effective than venlafaxine  
9 XL. Both studies are partially applicable to the UK context. Two other modelling  
10 studies that were conducted in the UK and were thus directly applicable to the  
11 guideline development process concluded that escitalopram was more cost-  
12 effective than paroxetine (Jørgensen *et al.*, 2006) and that venlafaxine XL was  
13 more cost-effective than diazepam (Guest *et al.*, 2005). All 4 studies considered at  
14 the development of guideline recommendations were funded by pharma  
15 industry, which may have introduced bias in the analyses. Overall, the choice of  
16 drugs evaluated in previously published economic literature is very limited.  
17 Therefore, it is difficult to draw conclusions on the cost effectiveness of particular  
18 pharmacological interventions for the treatment of people with GAD based on  
19 existing evidence.

20  
21 The economic analysis undertaken for this guideline concluded that sertraline  
22 was the most cost-effective drug in the treatment of people with GAD, as it was  
23 associated with the highest number of QALYs and lowest total costs among all  
24 treatments assessed, including no treatment. Sertraline had the highest  
25 probability of being cost-effective at any cost effectiveness threshold, which  
26 reached 0.70 at the lower NICE cost effectiveness threshold of £20,000/QALY.

### 27 **8.9 FROM EVIDENCE TO RECOMMENDATIONS**

#### 28 *Acute treatment*

29 Short term efficacy studies suggested that a range of pharmacological  
30 interventions were associated with a small to moderate benefit in reducing  
31 anxiety symptoms and reducing the risk of non-response and non-remission.  
32 Head-to-head studies were limited in number but suggested little difference  
33 between treatments. There was also consistent evidence of a higher probability of  
34 experiencing side effects for people receiving pharmacological treatment and a  
35 greater risk of discontinuation due to these side effects. In addition, it was noted  
36 that benzodiazepines appeared to be associated with risk of dependence therefore  
37 did not appear to be an appropriate medication for routine use for people with  
38 generalised anxiety disorder who often require long term treatment.

39  
40 The guideline development group (GDG) weighed up the evidence for benefit  
41 and harm for each of the acute treatments and identified interventions with an  
42 acceptable balance between these outcomes for further cost-effectiveness analysis.  
43 In addition, the GDG decided to include quetiapine in the mixed treatment

1 comparison cost effectiveness analysis to increase the power of the meta-analysis.  
2 However, the cost effectiveness of quetiapine was not computed in this analysis  
3 because, firstly, this drug has not been licensed for the treatment of generalised  
4 anxiety disorder in the UK. Secondly, there is no strong evidence that this is a  
5 commonly used treatment for people with GAD in the UK. The cost effectiveness  
6 of sertraline was computed because although this is not licensed for the treatment  
7 of generalised anxiety disorder the GDG considered this was commonly used in  
8 clinical practice in the UK. The guideline economic analysis demonstrated that  
9 sertraline dominated all other treatment options (that is, it was associated with  
10 lowest total costs and higher number of QALYs) and had the highest probability  
11 of being cost-effective, which approximated 0.70 at a willingness-to-pay of  
12 £20,000/QALY.

13  
14 However, given the consistent evidence of a greater risk of side effects and  
15 discontinuation from treatment the guideline development group concluded that  
16 pharmacological interventions should only be routinely offered to people who  
17 have not benefitted from low or high-intensity psychological interventions.

### 18 *Relapse prevention*

19 There was a lack of data for most medications with only one trial on paroxetine,  
20 escitalopram, pregabalin, duloxetine and quetiapine. In all of the four studies  
21 continuing the treatment was more effective than being randomised to placebo  
22 and was not associated with greater risk of side effects.

### 23 *Augmentation*

24 There was limited data on the effectiveness of antipsychotics as an augmentation  
25 treatment. There was no evidence to conclude that antipsychotics were effective  
26 for reducing anxiety. In addition, there was evidence of an increase in  
27 discontinuation due to adverse events. The GDG therefore concluded given the  
28 current evidence the benefits did not appear to justify the harms associated with  
29 antipsychotic augmentation. Therefore the GDG judged that such treatment  
30 should not be routinely used and should only be provided in specialist settings.

31

## 32 **8.9.1 Recommendations**

### 33 **Pharmacological interventions for GAD**

34 **8.9.1.1** Offer people with GAD and marked functional impairment, or those  
35 whose symptoms have not responded adequately to step 2 interventions,  
36 either:

- 37 • an individual high-intensity psychological intervention or
- 38 • drug treatment.

39 Provide the person with verbal and written information on the likely  
40 benefits and disadvantages of each mode of treatment, including the

- 1 tendency of drug treatments to be associated with side effects and  
2 withdrawal syndromes. Base the decision on patient preference as there is  
3 no evidence that either mode of treatment is better.<sup>9</sup>
- 4 **8.9.1.2** If a person with GAD chooses drug treatment, offer a selective serotonin  
5 reuptake inhibitor (SSRI). Offer sertraline<sup>10</sup> first because it is the most cost-  
6 effective drug. Monitor the person carefully for adverse reactions.
- 7 **8.9.1.3** If sertraline is ineffective, offer an alternative SSRI or a serotonin  
8 noradrenaline reuptake inhibitor (SNRI), taking into account the following  
9 factors:
- 10 • UK market authorisation for use in GAD
  - 11 • tendency to produce a withdrawal syndrome (especially with  
12 paroxetine)
  - 13 • the side effect profile
  - 14 • the risk of suicide and likelihood of toxicity in overdose  
15 (especially with venlafaxine)
  - 16 • the person's prior experience of treatment with individual SSRIs  
17 (particularly effectiveness, side effects, experience of  
18 withdrawal syndrome and patient preference).
- 19 **8.9.1.4** If the person cannot tolerate SSRIs offer pregabalin rather than an SNRI.
- 20 **8.9.1.5** Do not offer a benzodiazepine for the treatment of GAD in primary or  
21 secondary care except as a short-term measure during crises. Follow the  
22 advice in the BNF on the use of a benzodiazepine in this context.
- 23 **8.9.1.6** Do not offer an antipsychotic for the treatment of GAD in primary care.
- 24 **8.9.1.7** Before prescribing any medication, discuss the treatment options and any  
25 concerns the person with GAD has about taking medication. Explain fully  
26 the reasons for prescribing and provide written and verbal information on:
- 27 • the likely benefits of different treatments
  - 28 • the different propensities of each drug for side effects,  
29 withdrawal syndromes and drug interactions
  - 30 • the risk of an activation syndrome with SSRIs and SNRIs,  
31 including increased anxiety, agitation, diminished appetite and  
32 problems sleeping, especially in people aged under 30 years
  - 33 • the gradual development, over 1 week or more, of the full  
34 anxiolytic effect

---

<sup>9</sup> This recommendation also appears in section 7.7.1.1 where the psychological data is presented.

<sup>10</sup>At the time of publication sertraline did not have UK marketing authorisation for this indication. Informed consent should be obtained and documented.

- 1                   • the importance of taking medication as prescribed and the need  
2                   to continue treatment after remission to avoid relapse.  
3

4 **8.9.1.8** Take into account the increased risk of bleeding associated with SSRIs,  
5 particularly for older people or people taking other drugs that can damage  
6 the gastrointestinal mucosa or interfere with clotting. Consider prescribing  
7 a gastroprotective drug for older people who are taking non-steroidal anti-  
8 inflammatory drugs (NSAIDs) or aspirin.

9 **8.9.1.9** For people aged under 30 who are offered an SSRI or SNRI:

- 10                   • warn them that these drugs are associated with an increased  
11                   risk of suicidal thinking and self-harm in a minority of people,  
12                   and  
13                   • see them within 1 week of first prescribing, and  
14                   • monitor the risk of suicidal thinking and self-harm weekly for  
15                   the first month.

16 **8.9.1.10** For people who develop side effects soon after starting drug treatment,  
17 provide information and consider one of the following strategies:

- 18                   • monitoring symptoms closely (if the side effects are mild and  
19                   acceptable to the person)  
20                   • stopping the drug and offering either an alternative drug or a  
21                   high-intensity psychological intervention according to the  
22                   person's preference.

23 **8.9.1.11** Review the effectiveness and side effects of the drug every 2–4 weeks  
24 during the first 3 months of treatment and every 3 months thereafter.

25 **8.9.1.12** If the drug is effective warn the person not to stop taking it for at least a  
26 year as the likelihood of relapse is high.

27 **Inadequate response**

28 **8.9.1.13** If a person's GAD has not responded to drug treatment, offer either a high-  
29 intensity psychological intervention or an alternative drug treatment.

30 **8.9.1.14** If a person's GAD has partially responded to drug treatment, consider  
31 offering a psychological intervention in addition to drug treatment.

32  
33

1

## 2 **8.9.2 Research recommendations**

3 **8.9.2.1** A comparison of the effectiveness of sertraline and CBT in people with  
4 GAD that has not responded to guided self-help and psychoeducation

5 **What is the relative effectiveness of sertraline compared with CBT in**  
6 **people with GAD that has not responded to guided self-help and**  
7 **psychoeducation in a stepped-care model?**  
8

9 This question should be addressed using a randomised controlled design  
10 in which people with GAD that has not responded to step 2 interventions  
11 are allocated openly to treatment with sertraline, CBT or wait list control  
12 for 12–16 weeks. The control group is important to demonstrate that the  
13 two active treatments produce effects greater than those of natural  
14 remission. The period of wait list control is the standard length of CBT  
15 treatment for GAD and is also commonly the length of time that it would  
16 take for specialist CBT to become available in routine practice. After 12–  
17 16 weeks all participants should receive further treatment chosen in  
18 collaboration with their treating clinicians.  
19

20 The outcome measures chosen at 12-16 weeks should reflect both observer  
21 and participant-rated assessments of improvements in symptomatology  
22 and quality of life. The trial needs to be large enough to determine the  
23 presence or absence of clinically important effects using a non-inferiority  
24 design. Mediators and moderators of response should be investigated.  
25 Cost-benefit analyses should also be carried out. Follow-up assessments  
26 should continue over the next 2 years to ascertain whether short-term  
27 benefits are maintained and, in particular, whether CBT produces a better  
28 long-term outcome.  
29

### 30 **Why this is important**

31 Both sertraline and CBT are efficacious in the treatment of GAD but their  
32 relative efficacy has not been compared. In a stepped-care model both CBT  
33 and sertraline are treatment options if step 2 interventions (guided self-  
34 help and/or psychoeducation) have not resulted in a satisfactory clinical  
35 response. At present, however, there are no randomised trial data to help  
36 prioritise next-step treatments and no information on how individuals  
37 with GAD may be matched to particular therapies. Clarification of the  
38 relative short and longer-term benefits of sertraline and CBT would be  
39 helpful in guiding treatment.  
40

## 1 8.10 OTHER INTERVENTIONS

### 2 8.10.1 Introduction

3 The majority of research on pharmacological and physical interventions has  
4 concerned the use of interventions such as antidepressants, benzodiazepines etc  
5 reviewed above. However there are a number of other interventions that are of  
6 relatively wide use or of interest in the treatment of generalised anxiety disorder  
7 and include herbal interventions, acupuncture, and hypnosis.  
8

9 There are a variety of herbal interventions that have been considered as possible  
10 treatments for generalised anxiety disorder these include Chamomile, Ginkgo  
11 biloba, combined plant extracts, Valerian extract, Galphimia glauca, Lavender,  
12 and passion-flower. Chamomile is a common name for several daisy-like plants  
13 which are best known for their ability to be made into a tea. It is not licensed as a  
14 medicine in the UK but can be bought 'over the counter' from health food shops,  
15 herbalists, supermarkets and community pharmacies. Many different branded  
16 preparations are available (Mann & Staba, 1986). Ginkgo biloba is one of the  
17 oldest living tree species and has been used in the past to treat circulatory  
18 disorders and to enhance memory. Similarly, Ginkgo biloba is not licensed in the  
19 UK but can be bought 'over the counter'. Moreover, various preparations are  
20 available such as capsules, tablets, liquid extracts and dried leaves for teas (Johne  
21 & Roots, 2005). Combined plant extracts (i.e. Sympathyl) consists of hawthorn  
22 berry extract, California poppy extract and magnesium. This particular  
23 combination of plant extracts is not licensed as a medicine in the UK but can be  
24 bought online (Hanus *et al.*, 2004). Valerian is an extract of the roots of the  
25 *Valeriana officinalis* plant. Many different branded preparations are available and  
26 it is most commonly found in capsule form, but can also be consumed as a tea.  
27 Valerian is used for insomnia and other disorders as an alternative to  
28 benzodiazepine drugs. Oral forms are available in both standardised and  
29 unstandardised forms. However, standardised products may be preferable  
30 considering the wide variation of the chemicals in the dried root. Standardisation  
31 is as a percentage of valerenic acid or valeric acid (Johne & Roots, 2005).  
32 Galphimia glauca is an extract from the *Thryallis* shrub and again is available in  
33 various preparations but is not licensed as a medicine in the UK. This herb is not  
34 widely available but can be bought online (Herrera-Arellano, 2007). There are a  
35 number of different species of lavender and it is available in several forms such as  
36 drops, capsules and oils amongst others. Similar to the other herbal remedies, it is  
37 not licensed in the UK but can be bought 'over the counter' (Woelk & Schalke,  
38 2010). Passion flower is derived from the family of plants called Passifloraceae  
39 and has been used for medicinal purposes for many years including the treatment  
40 of anxiety related disorders. It is not as widely available as other herbal remedies  
41 but may be bought online. Similar to the other herbal interventions, this remedy  
42 is not licensed in the UK (Akhondzadeh, 2001).  
43

1 Acupuncture has received much public interest and has widely been applied in  
 2 different medical conditions including GAD. Generally acupuncture is regarded  
 3 as having a more acceptable safety profile than conventional medications for  
 4 GAD and we have therefore reviewed the literature on the efficacy and safety of  
 5 acupuncture as an alternative or combinational treatment for this indication.  
 6 Guizhen (1998) stated that according to traditional Chinese medicine, a causative  
 7 factor for disease (such as anxiety disorders) is an excess or decline in yin or yang  
 8 which can lead to an imbalance and disorders of the 'Qi' (energy flow) and blood  
 9 leading to dysfunction of internal organs. By correctly selecting and needling  
 10 acupoints it is argued there can be a restoration of obstructions of Qi and blood  
 11 which normalises the yin yang balance and effectively cures the disease. Zhang  
 12 (2003) suggested that because the characteristic symptoms of anxiety neurosis  
 13 include anxiety, restlessness and constant fear, patients should receive treatment  
 14 designed to regulate the heart-qi, although in practice acupuncture points can  
 15 vary according to different treatment approaches.

### 16 **8.10.2 Databases searched and inclusion/exclusion criteria**

17 Information about the databases searched and the inclusion/ exclusion criteria  
 18 used for this section of the guideline can be found in Table 72 (further  
 19 information about the search for health economic evidence can be found in  
 20 Section 8.8).

21  
 22 **Table 72. Databases searched and inclusion/exclusion criteria for clinical**  
 23 **evidence.**

Electronic databases	MEDLINE, EMBASE, CINAHL, PSYCINFO, AMED, BNI, COCHRANE LIBRARY
Date searched	Database inception to 09.05.2010
Study design	RCT
Patient population	People with Generalised Anxiety Disorder or anxiety disorders
Interventions	Acupuncture, Hypnosis, Meditation and other mind body therapies , Plant extracts ( including ginkgo, valerian, kava and St John's wort),
Outcomes	Mean anxiety rating scale scores, non-response (<50% reduction in anxiety rating scale score), non-remission (a score of below 10 on HAM- A)

24

### 25 **8.10.3 Studies considered<sup>11</sup>**

26 The review team conducted a new systematic search for RCTs that assessed the  
 27 benefits and harms of herbal interventions for the treatment of people with  
 28 generalised anxiety disorder as defined in DSM-III-R or DSM-IV.  
 29

<sup>11</sup> Here and elsewhere in the guideline, each study considered for review is referred to by a study ID in capital letters (primary author and date of study publication, except where a study is in press or only submitted for publication, then a date is not used).



1 A total of 3,397 references were identified by the electronic search relating to  
2 clinical evidence. Of these references, 3,353 were excluded at the screening stage  
3 on the basis of reading the title and/or abstract. The remaining 44 references  
4 were assessed for eligibility on the basis of the full text. In addition 30 studies  
5 were excluded from the analysis. Reasons for exclusion were not meeting the  
6 criteria for Generalised Anxiety Disorder (5), not providing an acceptable  
7 diagnosis of Generalised Anxiety Disorder (n=9), not being a relevant  
8 intervention (n=9), study design (n=5), having less than 10 participants in the  
9 study (n=1), and not written in English language (n=1) (further information about  
10 both included and excluded studies can be found in Appendix 16d).

11  
12 14 trials met the eligibility criteria set by the GDG, providing data on 1,627  
13 participants. All were published in peer-reviewed journals between 1998 and  
14 2010.

#### 15 **8.10.4 Herbal interventions versus placebo**

##### 16 *Study characteristics*

17 There were a total of four trials comparing various herbal interventions with  
18 placebo. These were all small to medium sized trials, all of which were high  
19 quality. For two of the studies funding was provided by drug company  
20 sponsorship and one other from a national grant. One study failed to declare any  
21 funding. These trials could not be meta-analysed and thus they are narratively  
22 reviewed below.

23  
24 Study characteristics are summarised in Table 73 with full details in Appendix  
25 16d which also includes details of excluded studies.

26  
27  
28

1 **Table 73. Study information table for herbal interventions versus placebo**

	<b>Chamomile versus Placebo</b>	<b>Ginkgo biloba versus Placebo</b>	<b>Combined plant extracts versus Placebo</b>	<b>Valerian extract versus Placebo</b>
Total no. of trials (total no. of participants)	1 RCT (57)	1 RCT (107)	1 RCT (264)	1 RCT (24)
Study ID	AMSTERDAM2009	WOELK2007	HANUS2004	ANDREATINI2002
Diagnosis	<u>GAD:</u> DSM-IV	<u>GAD:</u> DSM-III-R  <u>Adjustment disorder with anxious mood</u> DSM-III-R	<u>GAD:</u> DSM-III-R	<u>GAD:</u> DSM-III-R
Baseline severity (HAM-A): mean (SD)	Placebo 14.3 (SD = 2.8)  Chamomile 15.4 (SD = 4.2)	Placebo 29.5 (SD= 5.5)  Ginkgo biloba 30.2 (SD = 5.35)	Placebo 22.4 (SD = 2.56)  COEC 22.7 (SD = 2.57)	Placebo 25.1 (SD = 7.50)  Valerian extract 22.8 (SD = 7.6)
Treatment length	8 weeks	4 weeks	13 weeks	4 weeks
Length of follow-up	End of treatment	End of treatment	End of treatment	End of treatment
Age	46	47	45	41

2

3 **Clinical evidence for herbal medicines versus placebo**

4 Evidence from the important outcomes and overall quality of evidence are presented in **Error! Not a valid bookmark self-reference.** The full GRADE profiles and associated forest plots can be found in Appendix 19C and Appendix 17C, respectively.

6

7

8

## 1 Table 74. Evidence summary table for herbal interventions versus placebo

	Chamomile versus Placebo	Ginkgo biloba versus Placebo	Combined plant extracts versus Placebo	Valerian extract versus Placebo
Total no. of trials (total no. of participants)	1 RCT (57)	1 RCT (107)	1 RCT (264)	1 RCT (24)
Study ID	AMSTERDAM2009	WOELK2007	HANUS2004	ANDREATINI2002
Treatment length	8 weeks	4 weeks	13 weeks	4 weeks
<b>Benefits</b>				
HAM-A	SMD -0.54 (-1.07, -0.01) K=1 N=57 Quality: Moderate	SMD -0.58 (1.01,0.15) K=1 N=107 Quality: High	SMD -0.35 (-0.59, -0.11) K=1 N=264 Quality: High	SMD 0.09 (-0.71, 0.89) K=1 N=24 Quality: Moderate
Non-response (50% reduction in HAM-A)	RR 0.69 (0.41, 1.15) K=1 N=57 Quality: Moderate	RR 0.75 (0.58, 0.97) K=1 N=107 Quality: Moderate	RR 0.80 (0.66, 0.98) K=1 N=264 Quality: Moderate	-
Non-remission	-	RR 0.97 (0.87, 1.07) K=1 N=107 Quality: Moderate	-	-
<b>Harms</b>				
Discontinuation due to adverse events	RR 1.04 (0.07, 15.77) K=1 N=57 Quality: Moderate	-	RR 1.03 (0.21, 5.01) K = 1 N= 264 Quality: Moderate	-
Discontinuation due to any reason	-	RR 3.75 (0.20, 70.65) K=1 N=24 Quality: Moderate	-	RR 1.00 (0.17, 5.98) K=1 N=24 Quality: Moderate

## 1 *Evidence summary*

2 Amsterdam and colleagues (2009) conducted a randomised, double-blinded  
3 efficacy trial in an outpatient clinic in the US, comparing chamomile (n = 28) with  
4 placebo (n = 29) in participants with generalised anxiety disorder (GAD).  
5 Participants met the DSM-IV diagnostic criteria for GAD and had a HAM-A score  
6 of greater than nine. Participants in the treatment group received one to five  
7 220mg capsules daily depending on tolerability levels. On the other hand, the  
8 placebo group received up to five capsules containing lactose monohydrate per  
9 day depending on their tolerability levels. Both treatment courses lasted for eight  
10 weeks. Based on the evidence of this study, there is a moderate effect for  
11 chamomile over placebo in the reduction of clinician rated anxiety scores.  
12 However, as this result has wide confidence intervals which just include the  
13 line of non-significance it should be interpreted with caution. This study also  
14 examined the difference in response rates as measured by a 50% reduction in  
15 HAM-A scores between the two groups. No statistically significant differences  
16 between the two groups were found. However, there was a 29% reduction in the  
17 level of non-response in favour of chamomile. With regards to discontinuation  
18 due to adverse events there was no difference between the groups, suggesting  
19 that neither group was more likely to discontinue due to adverse side effects. Due  
20 to the limited evidence, it is difficult to draw any clear conclusions regarding the  
21 relative efficacy of chamomile to placebo.

22  
23 Woelk and colleagues (2007) conducted a double blinded randomised controlled  
24 trial in multiple outpatient centres in Germany, evaluating the therapeutic  
25 efficacy of ginkgo biloba (n = 70) versus placebo (n = 37) in participants with  
26 GAD. Some participants met the DSM-III-R diagnostic criteria for GAD (n = 82)  
27 and others met the diagnostic criteria for adjustment disorder with anxious mood  
28 by DSM-III-R (n = 25). Participants in the active treatment group received either a  
29 mean dose of 240 mg (n = 36) or a mean dose of 480 mg (n = 34) over a course of  
30 four weeks. Conversely, the placebo group took two film coated drugs per day  
31 that were of the same appearance to the ginkgo biloba pills. Based on this limited  
32 evidence, there was a statistically significant moderate effect in favour of ginkgo  
33 biloba in the reduction of clinician rated anxiety scores. For non-response, which  
34 was measured by a 50% reduction in HAM-A scores, there was a 25% reduction  
35 in non-response, which was statistically significant suggesting that those in the  
36 active treatment were more likely to respond than those in the placebo group. In  
37 contrast, there were no significant differences in relation to non-remission  
38 between the two conditions. Finally, there were no significant differences in  
39 relation to drop out due to any reason. However, these results should be  
40 interpreted with caution as they are based on one medium scaled study and  
41 given the wide confidence intervals it is difficult to make any firm conclusions  
42 from this evidence about the relative efficacy of Ginkgo biloba to placebo.

43  
44 Hanus and colleagues (2004) conducted a double-blinded, randomised controlled  
45 trial in multiple outpatient centres in Paris, evaluating the therapeutic efficacy of

1 combined plant extracts (n = 130) in comparison to placebo (n = 134) in  
2 participants with GAD. Participants met the DSM-IV diagnostic criteria for GAD  
3 and had a HAM-A score between 16 and 28. Participants in the active treatment  
4 group received a mean dosage of 375mg (i.e. 2 tablets per day) of combined plant  
5 extracts (i.e. crataegus oxyacantha, eschscholtzia californica, & magnesium) over  
6 a period of three months. On the other hand, the placebo group were given an  
7 indistinguishable tablet which was made from the same ingredients as the study  
8 drug except for the active ingredients. Firstly, in relation to HAM-A scores there  
9 was a statistically significant small effect between treatments in favour of the  
10 combined plant extracts. Secondly, in relation to non-response (again measured  
11 as a 50% reduction in HAM-A scores), there was a 20% reduction in non-response  
12 for those taking the active treatment, which was statistically significant. Finally,  
13 there was no statistically significant difference between treatments in relation to  
14 drop out due to adverse side effects. Once more, firm conclusions are subject to  
15 cautious interpretation due to the limited evidence available and the small  
16 sample size.

17  
18 Andreatini and colleagues (2002) conducted a double-blinded randomised  
19 controlled trial in Brazil, evaluating the therapeutic efficacy of Valerian extract (n  
20 = 12) in comparison to placebo (n = 12) in participants with GAD. All participants  
21 met the DSM-III-R diagnostic criteria for GAD. Participants in the active  
22 treatment group received a mean dosage of 81.3mg per day of valerian extract  
23 over a period of four weeks. On the other hand, the placebo group were given  
24 identical capsules, which were administered three times per day. Firstly, in  
25 relation to HAM-A scores there was no statistically significant differences  
26 between the valerian treatment versus placebo treatment. There was no data  
27 reported for either non-response or non-remission. Finally, there was no  
28 statistically significant difference between treatments in relation to drop out due  
29 to any reason. Again, definite conclusions are subject to cautious interpretation  
30 due to the limited evidence available.

### 31 **8.10.5 Herbal interventions versus benzodiazepines**

32 There were a total of four trials comparing various herbal interventions with  
33 Benzodiazepines. These included Lorazepam, Diazepam, and Oxazepam. These  
34 trials were all small to medium sized and high quality studies. One study was  
35 funded by drug company sponsorship and the other three studies failed to  
36 declare any funding. As these trials could not be meta-analyzed their clinical  
37 effectiveness is narratively reviewed below. Study characteristics are summarised  
38 in Table 75 with full details in Appendix 16d which also includes details of  
39 excluded studies.

40  
41

1 **Table 75. Study information table for herbal medicines versus benzodiazepines**

	<b>Galphimia glauca versus Lorazepam</b>	<b>Lavender versus Lorazepam</b>	<b>Valerian versus Diazepam</b>	<b>Passion flower extract versus Oxazepam</b>
Total no. of trials (total no. of participants)	1 RCT (N = 152)	1 RCT (N=77)	1 RCT (N=24)	1 RCT (N=36)
Study ID	HERRERA-ARELLANO2007	WOELK2010	ANDREATINI2002	AKHONZADEH2001
Diagnosis	<u>GAD:</u>  DSM-IV	<u>GAD:</u>  DSM-IV	<u>GAD:</u>  DSM-III-R	<u>GAD:</u>  DSM-IV
Baseline severity (HAM-A): mean (SD)	Not reported	Lavender 25.0  Lorazepam 25.0	Diazepam 25.2 (4.5)  Valerian 22.8 (7.6)	Not reported
Age	38	43	36	36

2  
3

4 **Clinical evidence for herbal interventions versus benzodiazepines**

5 Evidence from the important outcomes and overall quality of evidence are presented in Table 76. The full GRADE profiles and  
6 associated forest plots can be found in Appendix 19C and Appendix 17C, respectively.

7  
8  
9

10

1 Table 76. Evidence summary table for herbal interventions versus benzodiazepines

	Galphimia glauca versus Lorazepam	Lavender versus Lorazepam	Valerian versus Diazepam	Passion flower extract versus Oxazepam
Total number of studies (number of participants)	1 RCT (N = 152)	1 RCT (N=77)	1 RCT (N=24)	1 RCT (N=36)
Study ID	HERRERA-ARELLANO2007	WOELK2010	ANDREATINI2002	AKHONZADEH2001
Length of follow up	End of treatment	End of treatment	End of treatment	End of treatment
<b>Benefits</b>				
HAM-A	SMD= -0.1 (-0.41, 0.21) MD= -0.1 (-0.41, 0.21) K=1, N=152 Quality: Low	SMD = 0.04 (-0.41, 0.49) MD = 0.04 (-0.41, 0.49) K=1, N=77 Quality: Moderate	SMD = 0.31 (-0.49, 1.11) MD = 0.31 (-0.49, 1.11) K=1, N=24 Quality: Moderate	-
Non-Response ( $\leq$ 50% reduction in HAM-A)	-	RR = 0.80 (0.52, 1.22) K=1, N=77 Quality: Moderate	-	-
Non-Remission ( $>$ 10 on HAM-A)	-	RR =0.82 (0.60, 1.13) K=1, N=77 Quality: Moderate	-	-
<b>Harms</b>				
Discontinuation due to	RR =0.35	-	-	-

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adverse events	(0.13, 0.90) K=1, N=152 Quality: Moderate			
Discontinuation due to any reason	RR = 0.90 (0.52, 1.57) K=1, N=152 Quality: Low	RR = 1.85 (0.17, 19.56) K=1, N=77 Quality: Moderate	RR = 2.00 (0.21, 19.23) K=1, N=24 Quality: Moderate	-
Note: RR <1 favours treatment and RR>1 favours placebo				



## 1 *Evidence summary*

2 Only one study (Herrera-Arellano, 2007), examined the effectiveness of  
3 Galphimia glauca (n = 72) in comparison to lorazepam (n= 80) for treating the  
4 symptoms of GAD. This was a medium scaled, high quality, randomised  
5 controlled trial in an outpatient setting in Mexico. With regard to the  
6 comparative beneficial effects of these two treatments in reducing clinician  
7 rated anxiety scores, there was no statistically significant difference at post-  
8 treatment. Also, there was no statistically significant difference in drop out for  
9 any reason between the two groups. However, there was a statistically  
10 significant difference in favour of the herbal intervention with regard to drop  
11 out due to adverse side effects, with only 7% dropping out due to adverse  
12 side effects in the herbal intervention compared to 20% in the Lorazepam  
13 group. However, the results as a whole should be interpreted with caution  
14 due to the lack of placebo group, wide confidence intervals, lack of statistical  
15 significance.

16  
17 Woelk and colleagues (2010) conducted a double blinded randomised  
18 controlled trial in multiple outpatient centres in Germany, comparing  
19 Lavender capsules (n = 40) with lorazepam treatment (n = 37) in participants  
20 with GAD as diagnosed by the DSM-IV criteria. Participants in the herbal  
21 treatment received one capsule (80mg) of silexan (an oil produced form of  
22 lavender) and one capsule of lorazepam placebo. On the other hand,  
23 participants in the drug condition received one capsule (0.5mg) of lorazepam  
24 and one capsule of silexan placebo. Both treatments courses lasted six weeks.  
25 In terms of reducing clinician rated anxiety scores, there was a non significant  
26 difference between the two treatments. In addition, there was a 20% reduction  
27 in the risk of non-response in favour of lavender, however, this was not  
28 statistically significant. Moreover, there was an 18% reduction in the risk of  
29 non-remission in favour of lavender, which again was not statistically  
30 significant. Finally, there were no statistically significant differences between  
31 treatments in the risk of drop out for any reason. Due to the limited evidence,  
32 it is difficult to come to any firm conclusions about the relative efficacy of  
33 these two treatments.

34  
35 Andreatini and colleagues (2002) a double blinded randomised controlled  
36 trial in Brazil, comparing diazepam (n = 12) with valerian extract (n = 12) in  
37 participants with GAD as diagnosed by the DSM-III-R criteria. Participants in  
38 the active treatment group received a mean dosage of 81.3mg per day of  
39 valerian extract over a period of four weeks. On the other hand, the diazepam  
40 condition received a dosage of 6.5mg per day in capsule form. The capsules  
41 were administered three times a day with the lowest dose consisting of two  
42 placebo pills and one active capsule based on response. In terms of reducing  
43 clinician rated anxiety scores, there was a small but statistically insignificant  
44 effect in favour of diazepam treatment. There was no data available for

1 neither response nor remission. Finally, there was no statistically significant  
2 difference between the two conditions on the outcome of drop out for any  
3 reason. It is difficult to come to any clear conclusions about the relative  
4 efficacy of these two treatments due to the small sample size, lack of statistical  
5 significance and large confidence intervals

6  
7 Only one study examined the effectiveness of passion-flower extract (n = 18)  
8 versus Oxazepam (n=18) for the treatment of generalised anxiety disorder  
9 (Akhondzadeh, 2001). The study consisted of a double-blinded randomised  
10 controlled trial conducted in an outpatient setting in Iran. Both passion-flower  
11 extract and Oxazepam were found to be effective in reducing clinician rated  
12 anxiety scores from baseline severity. In both groups, post-hoc comparisons of  
13 the baseline HAM-A scores at post-treatment revealed a significant reduction  
14 from baseline ( $p < .001$ ). The differences between the two treatments were  
15 significant as day four ( $t = 2.84$ ,  $d.f = 30$ ,  $p = .008$ ), however, after the fourth  
16 day the differences were no longer significant. Moreover, significantly more  
17 problems relating to impairment of job performance were encountered with  
18 patients with Oxazepam ( $p = .049$ ). However, there was no significant  
19 differences between the two treatments in terms of total side-effects profile ( $p$   
20  $= .83$ ). These results are based on one small scaled study and thus it is difficult  
21 to make any firm conclusions from this evidence.

## 22 23 **8.10.6 Acupuncture treatments**

### 24 *Narrative review of acupuncture*

25 There were no studies concerning people with GAD who had received a  
26 diagnosis that met the eligibility criteria of the GDG. However, this partly  
27 reflected the fact that all identified studies were conducted in China and used  
28 the Chinese Classification of Mental Disorders criteria.

29  
30 Zhiling and colleagues (2006) conducted a randomised trial in China,  
31 comparing acupuncture treatment (n=35) with a medication control group  
32 (n=30) in participants with generalised anxiety disorder (GAD). Participants  
33 met the CCMD-3 criteria and had SAS scores  $>50$ . There were 6 points  
34 selected for the acupuncture treatment group which was given once daily.  
35 The control group were administered 0.5mg to 2mg of lorazepam (bid or tid)  
36 with 20mg of oryzanol (tid) which is a mixture of plant chemicals, or 10-20mg  
37 of propranolol. Both treatment courses lasted for 30 days. The therapeutic  
38 effects between the groups looked at a measure of remission (disappearance  
39 of symptoms with stable emotions). No statistically significant difference  
40 between groups was found (RR = 0.90: CI, 0.65 to 1.24). 65.7% of participants  
41 in the treatment group did not achieve remission compared to 73.3% in the  
42 control group. For response (apparent improvement of symptoms with  
43 occasional anxious state) no statistical difference was found (RR=0.90: CI, 0.59

1 to 1.38). 54.3% of participants in the treatment group did not respond  
2 compared to 60% in the control group.

3  
4 Yuan and Zhi-feng (2007) conducted a quasi-randomised trial, also in China,  
5 comparing the therapeutic efficacy of needling therapy (NL) with western  
6 medication (WM) and a combination treatment (CT). Participants were  
7 diagnosed with GAD using the CCMD-3-R criteria and had a HAMA score of  
8  $\geq 15$ . Participants in the WM group (n=29) were treated with 20mg fluoxetine  
9 or paroxetine. In addition, 0.4-1.6mg alprazolam was given according to  
10 patients' condition. All drugs were administered once daily for 6 weeks.  
11 There were 9-10 acupuncture points selected in the NL group (n=29) and the  
12 treatment was given once daily, 6 times a week for 6 weeks. The same  
13 method for both WM and NL groups was used for participants in the CT  
14 group (n=28). Clinical efficacy was scored using the Clinical Global  
15 Impression Scale (CGI) which includes a general index (GI) subscale. A high  
16 GI score indicates an inferior therapeutic effect. There was no statistically  
17 significant difference between the WM and NL group (SMD = 0.09: 95% CI, -  
18 0.44 to 0.63) or between the NL and CT groups (SMD = -0.16: 95% CI, -0.70 to  
19 0.38).

20  
21 Ruan (2003) conducted a randomised trial in China, comparing combined  
22 treatment of Chinese medicine with acupuncture (COM) with western  
23 medication (MED). Participants were diagnosed with anxiety neurosis using  
24 CCMD-2, and self-rated anxiety neurosis scale. Those scored more than 50  
25 were eligible to participate. They were randomised into COM group (N=86)  
26 and MED group (N=83). The COM group were treated with Chinese  
27 medicine, taken twice each day, and receive acupuncture daily for 30-60  
28 minutes each session. MED group were given Doxepin with an average of  
29 150mg per day. Treatment lasted for 30 days. 39 out of 86 in COM group and  
30 30 out of 83 in MED group remitted. There was no statistically significant  
31 difference between COM and MED group. Clinical efficacy was scored using  
32 SAS-CR and there was no statistically significant difference between COM  
33 and MED group (SMD= -0.14: 95% CI, -0.45 to 0.16).

34  
35 Zhou and colleagues (2003) conducted a randomised trial, comparing  
36 combined effect (N=50) of acupuncture and flupentixol (an antipsychotic  
37 drug) with a drug only group (N=50). Participants were diagnosed with  
38 anxiety neurosis using CCMD-2-R. Participants were given acupuncture once  
39 per day for 10 days. They took 5 days rest before the second wave treatment.  
40 There were a total of three waves of treatment. They also took 20mg of  
41 flupentixol 3 times daily continuously for 40 days. According to remission  
42 rates, combined treatment was statistically significantly better than drug only  
43 group (RR=0.71, CI: 0.57 to 0.89). 64% of participants in the treatment group  
44 did not achieve remission compared to 90% in the control group. Participants  
45 also reported side effects. One patient experienced dry mouth in the

1 combined treatment group, and one patient experienced insomnia in drug  
2 only group. One from each group felt dizziness.

3  
4 Zhang and colleagues (2003) conducted a randomised trial comparing the  
5 clinical efficacy of acupuncture (n=157) and doxepin, a tricyclic  
6 antidepressant (n=139). They selected people with anxiety neurosis according  
7 to CCMD-2 and a SAS score >50. The acupuncture group were treated once a  
8 day, with a one-day interval after 6 consecutive treatments and lasted for 30  
9 sessions. They used any two of four methods (varying in methodology), one  
10 of which included giving an injection at an acupuncture point. The  
11 comparison group were given 25mg doxepin t.i.d for 4 weeks and was  
12 modified according to therapeutic or adverse effects. The therapeutic effects  
13 between the groups looked at a measure of remission (disappearance of  
14 symptoms with stable emotions). No statistical difference was found  
15 (RR=0.86, CI: 0.71 to 1.03). 56.1% of participants in the treatment group did  
16 not achieve remission compared to 65.5% in the control group. For response  
17 (clinical symptoms relieved with occasional emotional fluctuation) no  
18 statistical difference was found (RR=1.04, CI: 0.90 to 1.21). 72.1% of  
19 participants in the treatment group did not respond compared to 69.1% in the  
20 control group.

21  
22 Guizhen and colleagues (1998) conducted a randomised trial comparing the  
23 clinical efficacy of acupuncture (n=80) with behavioural desensitization  
24 (n=80) and a combined treatment of both (n=80) on people with anxiety  
25 neurosis (with SAS scores >50). The acupuncture only (AO) group were  
26 treated once every other day for 10 sessions which comprised one course.  
27 Each participant received between 1-3 courses. Behavioural desensitization  
28 only (DO) involved self-relaxation techniques (twice daily) and  
29 psychotherapy which incorporated desensitization therapy (twice weekly for  
30 10 sessions). For acupuncture combined with behavioural desensitization  
31 (CAD), each subject received both treatments in the same day and between 1-  
32 4 courses of treatment with 3-7 day intervals between courses. Physical  
33 examination and SAS evaluation measured remission (disappearance of  
34 symptoms, SAS <45). The results for the CAD group were significantly better  
35 than the AO (RR=0.59: CI, 0.46 to 0.77) or the DO (RR=0.64: CI, 0.49 to 0.84)  
36 groups. 80% of participants in the AO group did not achieve remission and  
37 73.8% in the DO group compared to 47.5% in CAD. For response (markedly  
38 improvement in symptoms and significant decrease in SAS scores i.e. more  
39 than 20 points) CAD were significantly higher than AO (RR=1.30: CI, 1.02 to  
40 1.65) and DO (RR=1.24: CI, 0.98 to 1.57) groups. 72.3% of participants in the  
41 CAD group did not respond compared to 55% and 57.5% in the AO and DO  
42 groups, respectively.

43

### 1 **8.10.7 Hypnotherapy treatment for GAD**

2 Zhao and colleagues (2005) conducted a randomised trial, comparing clinical  
3 efficacy of hypnotherapy and alprazolam. Participants were diagnosed with  
4 GAD using CCMD-3, with a HAMA score over 14. Participants were  
5 randomly assigned into hypnotherapy group (N=32) and comparison group  
6 (N=30). The hypnotherapy group received hypnotherapy twice each week for  
7 30-40 minutes each session. The comparison group received 0.8mg of  
8 alprazolam twice each day and met with doctor twice each week. The total  
9 length of treatment was 4 weeks. When looking at response (defined as 50%  
10 or more reduction in HAMA score), there was no statistically significant  
11 difference between groups (SMD= 0.10: 95% CI, -0.40 to 0.60). Evidence  
12 appeared to suggest no difference in effect between hypnotherapy and  
13 alprazolam.  
14

### 15 **8.10.8 Clinical summary**

16 Most of the herbal interventions were more effective than placebo in reducing  
17 anxiety related symptoms with the exception of Valerian extract. Moreover,  
18 no significant differences were found between herbal interventions and  
19 benzodiazepines in relation to anxiety related outcomes. This evidence must  
20 be interpreted with caution, however, due to the small evidence base and the  
21 quality of the studies.  
22

23 The results indicate that acupuncture may be of equivalent effectiveness to  
24 medication in the treatment of GAD or anxiety neurosis. It is important to  
25 note, however, that these trials use a range of medications as comparison  
26 conditions, many of which of uncertain effectiveness in the treatment of GAD.  
27 In addition, there are differences in between the CCMD diagnoses of GAD  
28 and anxiety neuroses in comparison with the DSM or ICD classification  
29 systems, for example, in duration of symptoms required to meet diagnostic  
30 criteria. Therefore this is an important limitation of the review. Furthermore,  
31 the trials are only medium sized and also of low to moderate quality, which  
32 makes it difficult to arrive at a confident conclusion.  
33

34 There was very limited or no evidence for all other interventions examined.  
35

### 36 **8.10.9 Evidence to recommendations**

37 Due to the limited evidence base for most interventions reviewed in this  
38 section the GDG concluded that it was not yet possible to generate  
39 recommendations on the use of any of these interventions for the treatment of  
40 Generalised Anxiety disorder.  
41

1 Existing research shows initial evidence for herbal interventions to be  
2 effective when compared to placebo, however, due to the small number of  
3 studies and small sample sizes, larger RCTs examining the effectiveness of  
4 these herbal interventions along with possible side effects and potential herb-  
5 drug interactions will be necessary to increase confidence in these initial  
6 findings.

## 7 **8.10.10 Research recommendation**

8

9 **8.10.10.1** The effectiveness of chamomile in the treatment of GAD

10

### 11 **Is chamomile more effective than placebo in increasing response and** 12 **remission rates and decreasing anxiety ratings for people with GAD?**

13

14 This question should be addressed using a placebo-controlled, double-blind  
15 randomised design to compare the effects of a standardised dose (220–  
16 1100 mg) of chamomile in a readily available form, for example a capsule,  
17 with placebo. This should assess outcomes at the end of the trial and at 12-  
18 month post-trial follow-up. The outcomes chosen should reflect both observer  
19 and participant-rated assessments of improvement and side effects. Response  
20 to treatment should be measured as at least a 50% reduction in Hamilton  
21 Anxiety Scale scores and remission should be measured as a Hamilton  
22 Anxiety Scale score of less than 7. There should be a health economic  
23 evaluation included and an assessment of quality of life. The trial should be  
24 large enough to determine the presence or absence of clinically important  
25 effects using a non-inferiority design. Mediators and moderators of response  
26 should be investigated.

27

#### 28 **Why this is important**

29 GAD is a common mental health disorder and the results of this study will be  
30 generalisable to a large number of people. There is evidence for the efficacy of  
31 chamomile in reducing anxiety in people with GAD but the evidence base is  
32 small (one study). However, the scarce literature on the effectiveness of other  
33 herbal interventions for treating GAD points to chamomile as one of the most  
34 effective, widely available and relatively inexpensive. Furthermore, at present  
35 there is no scientific evidence of side effects or drug-herbal interactions in  
36 relation to chamomile. As chamomile is readily available and has no known  
37 side effects, it could be used at an early stage as a means of preventing  
38 progression to drug treatments, which are associated with a number of  
39 undesirable side effects and dependency.

40

1  
2

# 3 9 COMPUTERISED COGNITIVE 4 BEHAVIOURAL THERAPY FOR 5 PANIC DISORDER

## 6 9.1 INTRODUCTION

7 This chapter reviews the evidence for the clinical efficacy and cost  
8 effectiveness of computerised cognitive behavioural therapy (CCBT) in the  
9 treatment of panic disorder. This review work was undertaken as a partial  
10 update of 'Computerised cognitive behaviour therapy for depression and  
11 anxiety' (NICE technology appraisal, 2006).

12  
13 Panic disorder is characterised by the presence of recurrent unexpected panic  
14 attacks associated with persistent worry and anticipatory anxiety about future  
15 panic attacks and their consequences. Fear of attacks is often complicated by  
16 avoidance of certain situations or the need to be accompanied by someone  
17 else when venturing into settings that the person associates with the  
18 likelihood of attacks. Diagnostic criteria usually define panic disorder as the  
19 occurrence of four or more 'uncued' panic attacks (and its associated  
20 symptoms) over a one month period, but it is well-recognised that panic  
21 attacks that do not meet these diagnostic criteria are very common and almost  
22 equally disabling (Weissman *et al.*, 1997; Kessler *et al.*, 2006; Goodwin *et al.*,  
23 2005).

24  
25 Epidemiological data on panic disorder from cross-national (Weissman *et al.*,  
26 1997) and American (Kessler *et al.*, 2006) community studies alongside  
27 comprehensive reviews of community and clinical studies from across Europe  
28 (Goodwin *et al.*, 2005) reveal relatively consistent findings, with the  
29 prevalence of panic disorder estimated at about 2% (1-4%); with the median  
30 prevalence amongst primary care attendees being about 4% (range 3-8%).  
31 Rates are twice as high in females as compared with males in all countries.  
32 Age of onset of first symptoms of panic is often adolescence or early  
33 adulthood, with peak rates for panic disorder in the 25-35 year age range.

34  
35 As well as the strong association of panic disorder with agoraphobia, panic  
36 disorder is also frequently co-morbid with affective disorders (both unipolar  
37 depression and bipolar disorders), other anxiety disorders, substance use  
38 disorders and a range of somatoform disorders (Wittchen & Essau, 1993;  
39 Grant *et al.*, 2004). A review by Roy-Byrne and colleagues (2005) suggested  
40 that the median prevalence of panic disorder is also higher among certain

1 medical populations, such as those with cardiac (20% to 50%) or  
2 gastrointestinal presentations (28% to 40%).  
3

4 However, panic disorder is often recurrent or persistent so individuals  
5 experience substantial long-term disability and are heavily represented  
6 among patients classified as high health care utilisers (Roy-Byrne, 2005).  
7

8 Panic disorder has repeatedly been shown to be associated with decreased  
9 quality of life and impaired social and work functioning, with unemployment  
10 rates of approximately 25% (Ettigi *et al.*, 1997). Greenberg *et al.* (1999) reported  
11 that individuals with panic disorder were over 3 times more likely to be  
12 receiving disability payments than those without the disorder.  
13

14 Batelaan and colleagues (2007) reported that the annual per capita costs of  
15 panic disorder were €10,269, while sub-threshold panic disorder generated  
16 €6384. About a quarter of these costs could be attributed to co-morbidities, but  
17 both forms of panic disorder were associated with substantial costs due to  
18 excessive health care uptake, lost productivity and patient and carer burden.

### 19 *Current practice*

20 Despite its frequency in primary care settings, panic disorder is significantly  
21 under-recognised and individuals may have many investigations to exclude  
22 significant physical disorders before the correct diagnosis is made (Bytritsky  
23 *et al.*, 2010). Others present for the first time to emergency medical services,  
24 and again there may be a delay before the true nature of the presenting  
25 problem is discovered. When panic disorder or panic attacks are recognised,  
26 there are a number of specific treatments, such as selective serotonin reuptake  
27 inhibitors (SSRIs) and CBT, which have been shown to be effective in  
28 producing response or remission. Response to treatment is usually defined as  
29 being 'panic free' whilst remission is usually defined as being asymptomatic  
30 for at least 3 months.  
31

32 The majority of individuals with panic disorder will be offered treatment in  
33 primary care, although some will be referred to an expert therapist and fewer  
34 still will be referred to other specialist mental health services. Most clinical  
35 providers advocate a collaborative care approach, although it should be noted  
36 that the use of these has less frequently been studied for panic or other  
37 anxiety disorders (Rollman *et al.*, 2005). Roy-Byrne (2005) has argued that  
38 individuals with anxiety and panic disorders are less likely to seek, and/or  
39 may find it harder to engage with the treatment. As such, it is important to  
40 assess an individual's expectations of and preferences regarding treatment  
41 and to spend time preparing the person for the treatment programme to try to  
42 facilitate the uptake of and adherence with potentially efficacious  
43 interventions (Hazlett-Stevens *et al.*, 2002).



1 The acute treatment of panic disorder with medication usually involves the  
2 use of an SSRI, some tricyclic antidepressants (TCAs) may also be efficacious  
3 (imipramine or clomipramine) and there may be benefits from the brief use of  
4 benzodiazepines (Baldwin *et al.*, 2005). However, the use of the latter must be  
5 balanced against the risks of developing dependency and most first line  
6 pharmacotherapy focuses on the use of medications also used as  
7 antidepressants. The use of SSRIs beyond 12-52 weeks is associated with  
8 increased treatment response rates, but the overall level of medication  
9 adherence often decreases with time. Byrne (6) and others (Hazlett-Stevens *et*  
10 *al.*, 2002) argue that individuals with panic disorder show a strong preference  
11 for psychological treatment and that CBT may have advantages over  
12 pharmacotherapy in terms of maintaining clinical improvements over time  
13 (Nadiga *et al.*, 2003). The use of combined CBT and medication is sometimes  
14 beneficial in cases of very severe, complex or treatment-refractory panic  
15 disorder, but in the majority of cases the choice is either medication or CBT.  
16 The evidence for the benefits of CBT delivered in a number of formats (group,  
17 individual) in the short-term and long-term is however undermined by the  
18 fact that as few as 20% of individuals with panic disorder treated in primary  
19 care receive CBT and in the USA it is reported that only 12% 'adequate'  
20 psychotherapy (Grant *et al.*, 2004; Bandelow *et al.*, 1995). Not surprisingly the  
21 need to increase access to CBT has led to developments of CBT packages that  
22 require less input from therapists.

23 CBT therapy is well recognised as an effective treatment for most commonly  
24 occurring mental disorders (such as depression and anxiety), and is an  
25 especially useful treatment in panic disorder. However, the public health  
26 impact of CBT is attenuated because of the relative lack of availability of  
27 trained therapists (Lovell & Richards, 2000).

28 To increase access to CBT and reduce dependence on face to face therapy, self-  
29 administered CBT packages were developed. These were initially presented  
30 in a written format, but written programmes have increasingly been replaced  
31 by digitalised or electronic packages that can be accessed via computers, other  
32 media or the internet.

33  
34 Historically, the written versions of self-help or guided self-help interventions  
35 were referred to as 'bibliotherapy', but this description is increasingly  
36 inappropriate as it fails to convey the differences in the approaches subsumed  
37 under the self-help or guided self-help umbrella. The more recently employed  
38 term is computerised CBT (CCBT). However, CCBT needs further definition  
39 as programmes may differ significantly in:

- 40 a) the media used for delivery,
- 41 b) the content and duration of therapy modules,
- 42 c) whether the programme is used alone or as an adjunct to a briefer
- 43 course of face to face therapy (eg Personal Digital Assistants [PDAs] to

- 1 deliver additional therapy interventions or to allow users to record  
 2 homework tasks),  
 3 d) the degree to which the therapy is directed by the therapist or the client  
 4 e) the duration and nature of additional support offered from  
 5 professionals (in person or via telephone or email contact) or from  
 6 peers (eg via 'patient support' chat rooms etc).

7  
 8 It is therefore important to clarify these issues in any description of a CCBT  
 9 package in order to truly examine its benefits in the context of duration  
 10 (number of sessions or hours), time commitment (by therapist or client), and  
 11 degree to which the programme will be used independently by clients.

12  
 13 The use of virtual reality headsets or other media has been reported recently,  
 14 but these strategies employ technology as a 'live aid' to the therapy process as  
 15 they are used under the direction of a therapist within face to face sessions  
 16 (the virtual reality headsets etc are employed within traditional CBT sessions,  
 17 rather than being used independently by patients outside of the clinical  
 18 setting). As such, these approaches do not fit within the models of CCBT  
 19 being considered in this chapter and will not be discussed further.

## 21 9.1.1 Clinical questions

22 In the treatment of panic disorder does CCBT improve outcome?  
 23

## 24 9.1.2 Clinical review protocol (name of review)

25 Information about the databases searched and the inclusion/ exclusion  
 26 criteria used for this section of the guideline can be found in Table 3 (further  
 27 information about the search for health economic evidence can be found in  
 28 Section 9.2).

29

**Table 77. Databases searched and inclusion/exclusion criteria for clinical evidence.**

<b>Electronic databases</b>	<b>MEDLINE, EMBASE, CINAHL, PSYCINFO, COCHRANE LIBRARY</b>
Date searched	Database inception to 09.05.2010 [excluded HTA search results up to the end of 2004]
Study design	RCT
Patient population	People with a diagnosis of panic disorder with or without agoraphobia according to DSM-III-R or DSM-IV criteria
Interventions	Computerised cognitive behavioural therapy
Outcomes	Anxiety (clinician rated and self-reports), panic severity (clinician rated and self-reports), depression, quality of life, number of panic attacks per week, drop out rate

30

### 1 **9.1.3 Studies considered<sup>12</sup>**

2 The review team conducted a new systematic search for RCTs that assessed  
3 the effectiveness of computerised cognitive behavioural treatment for people  
4 with panic disorder as defined by DSM-III, DSM-III-R or DSM-IV and related  
5 health economic evidence (see Section 9.2).

6  
7 A total of 1,670 references were identified by the electronic search relating to  
8 clinical evidence. Of these references, 1,635 were excluded at the screening  
9 stage on the basis of reading the title and/or abstract. The remaining 35  
10 references were assessed for eligibility on the basis of the full text. Trials that  
11 involved the applied use of technology within traditional CBT sessions or  
12 trials that used technology in adjunct with traditional CBT sessions were  
13 excluded. Other computerised self-help programs with or without therapist  
14 support were considered. 10 trials met the eligibility criteria set by the GDG,  
15 providing data on 453 participants. All of these CCBT trials were guided self-  
16 help computerised programs with some therapist support. Of these, all were  
17 published in peer-reviewed journals between 1992 and 2009. In addition, 25  
18 studies were excluded from the analysis. Reasons for exclusion were not  
19 providing an acceptable diagnosis of panic disorder (n= 5), not being an RCT  
20 (n= 8), having less than 10 participants per group (n= 4), data not extractable  
21 (n= 2), and not being relevant intervention (n= 6) (further information about  
22 both included and excluded studies can be found in Appendix 16d).

23  
24 Six studies provided data for inclusion in the meta-analysis, of which two  
25 papers (Carlbring, 2001 & Carlbring, 2005) were also included in the original  
26 technology appraisal. The 6 studies compared CCBT with traditional CBT,  
27 information control and waitlist control. There were 4 other relevant studies  
28 which were not meta-analysed due to incomparable comparators (Carlbring  
29 2003, Klein 2009), and incomparable population (Marks 2004; Schneider,  
30 2005). These 4 studies were narratively reviewed (see section 9.1.6).

31  
32 Kenardy (2003) was excluded as the GDG did not consider the intervention  
33 meeting the definition of CCBT. (The study looked at effectiveness of a  
34 traditional CBT intervention augmented with a palm-top computer).

---

<sup>12</sup> Here and elsewhere in the guideline, each study considered for review is referred to by a study ID in capital letters (primary author and date of study publication, except where a study is in press or only submitted for publication, then a date is not used).

### 9.1.4 CCBT for panic disorder only population

Studies included in this section targeted the population with panic disorder only.

**Table 78. Study information table for trials of CCBT**

	CCBT vs waitlist control	CCBT vs information control	CCBT vs any control (waitlist + info control)	CCBT vs Face-to-face therapy
Total no. of trials (total no. of participants)	2 RCTs (N =101)	2 RCTs (N=58)	4 RCTs (N = 159)	2 RCTS (N = 135)
Study ID	(1) CARLBRING 2001 (included in original TA)  (2) CARLBRING 2006	(1) KLEIN 2006  (2) RICHARDS 2006	(1) CARLBRING 2001 (included in original TA)  (2) CARLBRING 2006  (3) KLEIN 2006  (4) RICHARDS 2006	(1) CARLBRING 2005 (included in original TA)  (2) KIROPOULOS 2008
CCBT Package	(1 &2) Internet Psykiatri program: The programme includes 10 modules and is designed to help the user understand and alleviate panic symptoms using the principles of CBT.	(1 & 2) Panic Online: based on CBT principles. It is designed to assist the user to understand and master strategies effective in reducing the impact of panic disorder.	(1 &2) Internet Psykiatri program: The programme includes 10 modules and is designed to help the user understand and alleviate panic symptoms using the principles of CBT. (3 & 4) Panic Online: based on CBT principles. It is designed to assist the user to understand and master strategies effective in reducing the impact of panic disorder.	(1) same as 1 & 2 (2) same as 3 & 4

DRAFT FOR CONSULTATION

N / % female	(1) 41/71 (2) 60/60	(1) 37/80 (2) 21/31	(1) 41/71 (2) 60/60 (3) 37/80 (4) 21/31	(1) 49/71 (2) 80/72
Comparator	(1&2) Waitlist control	(1 & 2) Information control	(1&2) Waitlist control (3 & 4) Information control	(1 & 2) Face to face therapy
Diagnosis	(1)&(2) 100% DSM-IV panic disorder (>1year)	(1)100% panic disorder with (82%) or without (18%) agoraphobia (2) 100% panic disorder (with or without agoraphobia)	(1)&(2) 100% DSM-IV panic disorder (>1year) (3)100% panic disorder with (82%) or without (18%) agoraphobia (4) 100% panic disorder (with or without agoraphobia)	(1) 100% DSM-IV panic disorder (>1year) (2) 100% panic disorder with (58%) or without (42%) agoraphobia
Baseline severity: mean (SD)	(1) BAI: Treatment = 19.3 (6.2), Control = 21.5 (10)  (2) BAI: Treatment = 20.8 (10), Control = 19.5 (9.4)	(1) Clinician rated panic severity *: Treatment = 6.40 (1.0), Control = 6.28 (1.1) (2) Clinician rated panic severity*: Treatment =5.61 (1.0), Control = 5.57 (0.8)	(1) BAI: Treatment = 19.3 (6.2), Control = 21.5 (10) (2) BAI: Treatment = 20.8 (10), Control = 19.5 (9.4) (3) Clinician rated panic severity *: Treatment = 6.40 (1.0), Control = 6.28 (1.1) (4) Clinician rated panic severity*: Treatment =5.61 (1.0), Control = 5.57 (0.8)	(1) BAI: CCBT = 18.7 (10.3), CBT = 24.5 (10.4) (2) Clinician rated panic severity*: CCBT = 5.51 (1.11), CBT = 5.90 (1.16)
Treatment length	(1) 10 weeks (2) 10 weeks	(1) 6 weeks (2) 8 weeks	(1) 10 weeks (2) 10 weeks (3) 6 weeks (4) 8 weeks	(1) 10 weeks  (2) 12 weeks
Mean Age	(1) 34 (7.5)	(1) Not provided (age range	1) 34	(1) 35 (7.7)

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	(2) 37 (10)	18-70) (2) 36.59 (9.9)	(2) 37 (3) not provided (age range 18-70) (4) 36.59 (9.9)	(2) 38.96 (11.3)
Type of CCBT and support	(1 &2) Internet delivered self-help programme plus some therapist contact and feedback within 24 hours 1. Email 2. Email plus phone calls	(1 & 2) Internet delivered self-help programme plus some therapist contact via email within 24 hrs	(1 &2) Internet delivered self-help programme plus some therapist contact and feedback within 24 hours (3 & 4) Internet delivered self-help programme plus some therapist contact via email within 24 hrs	(1) Internet delivered self-help programme plus minimal therapist contact via email (2) same as above only email response within 24 hours
Amount of therapist time in CCBT group	(1) Approximate 12 minutes per week (2) Approximate 24 minutes per week	(1) Approximate 55 minutes per week (2) Approximate 47 minutes per week	(1) Approximate 12 minutes per week (2) Approximate 24 minutes per week (3) Approximate 55 minutes per week (4) Approximate 47 minutes per week	(1) Approximate 15 minutes per week (2) Approximate 29 minutes per week
Amount of therapist time in comparison group	(1) n/a (2) n/a	(1) Total no. of phone calls made: 4.23 (1.5) (2) Not reported	(1) n/a (2) n/a (3) Total no. of phone calls made: 4.23 (1.5) (4) Not reported	(1) Approximate 45-60 minutes per week (2) Approximate 52 minutes per week
Completion Rate	(1) CCBT:100% (2) CCBT: 80%	(1) Unclear (2) Unclear	(1) CCBT:100% (2) CCBT: 80% (3) Unclear (4) Unclear	(1) CCBT: 28% Face to face: 88% (2) CCBT: no data available Face to face: Completed mean of 11/12 weeks treatment

- 1
- 2
- 3

\*Note: Clinician rated panic severity scale ranging from 0-8 (the higher the score the higher the severity)

1 **9.1.5 Clinical evidence summary for CCBT for panic disorder**  
2 **only population**

3

4 Evidence from the important outcomes and overall quality of evidence are  
5 presented in Table 79. The full evidence profiles and associated forest plots  
6 can be found in Appendix 16e and Appendix 17d, respectively.

1  
2 **Table 79. Summary evidence profile for CCBT for panic disorder only population**

	CCBT vs. waitlist control	CCBT vs. info control	CCBT vs. any control (waitlist + info control)	CCBT vs. face to face therapy
<b>Benefits</b>				
Self-rated anxiety	SMD -1.29 (-1.72, -0.86)  Quality: High  K=2, N=101	SMD -0.10 (-0.77, 0.58)  Quality: Moderate  K=2, N=58	SMD -0.70 (-1.41, 0.01)  Quality: Low  K=4, N=159	SMD 0.11 (-0.41, 0.62)  Quality: Low  K=2, N=129
Self-rated anxiety at Follow up	-	-	-	<u>12 months follow up</u> SMD -0.17 (-0.74, 0.39)  K=1, N=49
Self-rated panic severity	-	SMD -1.90 (-3.04, -0.76)  Quality: Moderate  K=2, N=58	SMD -1.90 (-3.04, -0.76)  Quality: Moderate  K=2, N=58	-
Self rated depression	SMD -0.84 (-1.39, -0.29)  Quality: High  K=2, N=105	SMD -0.57 (-1.10, -0.04)  Quality: High  K=2, N=58	SMD -0.72 (-1.05, -0.40)  Quality: Moderate  K=4, N=159	SMD 0.13 (-0.22, 0.47)  Quality: Moderate  K=2, N=133
Self rated depression at follow up	-	-	-	<u>12 months' follow-up</u> SMD 0.14 (-0.42, 0.70)  K=1, N=49
Quality of life	SMD -0.55	SMD -0.25	SMD -0.50	SMD 0.09



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	(-0.95, -0.15) Quality:High K=2, N=101	(-1.12, 0.61) Quality:Moderate K=1, N=21	(-0.86, -0.14) Quality:High K=3, N=122	(-0.26, 0.44) Quality:Moderate K=2, N=127
Quality of life at Follow up	-	-	-	<u>12 months follow up</u> SMD 0.14 (-0.42, 0.70) K=1, N=49
Non-Panic free status	RR 0.44 (0.12, 1.55)  1) Treatment: 7/30 Control: 30/30 2) Treatment 14/21 Control: 19/21  Quality:Very low K=2, N=101	RR 0.32 (0.18, 0.56)  Quality:High K=2, N=58	RR 0.38 (0.19, 0.78)  Quality:Low K=4, N=160	RR 0.95 (0.61, 1.46)  Quality:Moderate K=2, N=135
<b>Harm</b>				
Discontinuation due to any reason	RR 1.48 (0.20, 10.79)  Quality:Moderate K=2, N=101	RR 0.42 (0.11, 1.63)  Quality:Moderate K=2, N=58	RR 0.72 (0.22, 2.40)  Quality:Low K=4, N=159	RR 1.41 (0.48, 4.20)  Quality:Moderate K=2, N=135

1

1 *CCBT versus waiting list control*

2 Computerised cognitive behavioural therapy was largely effective relative to  
3 waiting list control for self rated anxiety, and depression outcomes. It was  
4 moderately effective for quality of life outcomes. The overall quality of the  
5 aforementioned outcomes was high. However, no conclusion about the panic-  
6 free status could be drawn due to the inconsistent definition in the studies.  
7 There was no difference in terms of drop out rates.

8 *CCBT versus information control*

9 Computerised cognitive behavioural therapy had a significant improvement  
10 on “panic free” status relative to information control. It reported a large  
11 improvement in self-rated panic severity and a moderate improvement in self  
12 rated depression. However, effects on anxiety and quality of life were  
13 unknown. There was no difference in drop out rates.

14 *CCBT versus any control*

15 A comparison of computerised cognitive behavioural therapy versus ‘any  
16 control’ (i.e. waiting list control and information control combined) was  
17 carried out to see if CCBT still had a beneficial outcome. CCBT was found to  
18 be largely effective relative to waiting list or information control on reducing  
19 panic severity, moderately effective on depression symptoms and improving  
20 quality of life. The overall quality for these outcomes was above moderate.  
21 Notice the improvement in anxiety measure is not consistent for waitlist  
22 control and information control. It appears that CCBT is effective in  
23 improving anxiety scores against waitlist control but not for information  
24 control.

25 *CCBT versus face to face therapy*

26 There were no statistically significant differences between CCBT and face to  
27 face cognitive behavioural therapy on any outcomes. This continued to be the  
28 case for follow up data at 12 months. Although the data was insignificant,  
29 CCBT had higher drop out rates than the face to face CBT treatment. This  
30 might suggest that there may be a reduced adherence rate to CCBT treatment.  
31 It is difficult to draw any firm conclusions about the relative efficacy of the  
32 two treatments due to the limited studies.

33  
34

## 1 9.1.6 Narrative review of studies on CCBT for panic disorder only population

<b>Table 80. Summary of study characteristics for narratively reviewed studies of CCBT</b>				
	<b>CCBT vs Bibliotherapy</b>	<b>CCBT + stress management versus information control</b>	<b>CCBT versus computerised applied relaxation</b>	<b>CCBT (frequent versus infrequent)</b>
Total no. of trials (total no. of participants)	1 RCT	1 RCT	1 RCT	1 RCT
Study ID	(3) KLEIN 2006	(4) RICHARDS 2006	(7) CARLBRING 2003	(9) KLEIN 2009
N / % female	(3) 37/80	(4) 20/31	(7) 22/68	(9) 57/82
Diagnosis	(3) 100% panic disorder with (82%) or without (18%) agoraphobia	(4) 100% panic disorder (with or without agoraphobia)	(7) 100% panic disorder by DSM-IV	(9) 100% panic disorder by DSM-IV
Baseline severity: mean (SD)	(3) Clinician rated Panic severity*: CCBT = 6.40 (1.0), Self-help = 6.57 (1.3)	(4) Clinician rated Panic severity *: Treatment = 6.26 (0.90), Control = 5.57 (0.80),	(7) BAI: CCBT = 19.6 (12.4), AR = 19.2 (4.1)	(9) Clinician rated Panic severity * Frequent = 5.96 (1.14), Infrequent = 5.76(1.21)
Treatment length	(3) 6 weeks	(4) 8 weeks	(7) 2 weeks	(9) 8 weeks
Length of follow-up	(3) 3 months	(4) 3 months	(7) End of treatment	(9) End of treatment
Mean Age (SD)	(3) not provided (age range 18-70)	(4) 36.59 (9.9)	(7) 38	(9) 39
Type of CCBT and support	(3) Internet delivered self-help programme plus some therapist contact via email within 24 hrs	(4) Internet delivered self-help programme plus some therapist contact via email within 24 hrs. Also provided a stress management programme that included 6 learning	(7) Internet delivered self-help programme plus some therapist contact and feedback within 24 hours via email	(9) Internet delivered self-help programme plus some therapist contact via email within 24 hours

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		modules on coping with daily stresses, time & anger management.		
Amount of therapist time in CCBT group	(3) Approximately 55 minutes per week	(4) Approximately 47 minutes per week	(7) Approximately 15 minutes per week	(9) Frequent CCBT = approximately 38.5 mins per week
Comparator & brief description	(3) CBT bibliotherapy Participants were given a copy of a manual that provides a variety of CBT strategies. Information regarding CBT is the same but organised and presented differently from the CCBT condition.	(4) Information control	(7) Computerised applied relaxation Participants were given a CD with relaxation instructions, as well as access for self-help use via the internet. They were sent text message reminders via mobile phones to relax twice every day.	(9) Infrequent CCBT
Amount of therapist time in comparison group	(3) Total number of phone calls made: 4.23 (1.5)	(4) Not reported	(7) Unclear	(9) Infrequent CCBT = approximately 25.6 minutes per week
Completion Rate	(3) Unclear	(4) Unclear	(7) 56% (3.4/6 modules)	(9) Compliance with treatment for frequent CCBT = 6.74 (2.32), Infrequent CCBT = 6.04 (2.58)
CCBT Programme	(3) Panic online	(4) Panic online	(7) See (1 & 2)	(9) Panic online

1 \*Note: Clinician rated panic severity scale ranging from 0-8 (the higher the score the higher the severity)

2

1 *CCBT versus bibliotherapy*

2 When CCBT is compared with bibliotherapy, no statistically significant  
3 differences were found on any relevant outcomes. The clinician rated non  
4 remission ratio was 7 out of 19 in the CCBT group and 10 out of 18 in the  
5 bibliotherapy group. The drop out ratio was 1 out of 19 in the CCBT group  
6 and 3 out of 18 in the bibliotherapy group. The ratings on anxiety and panic  
7 severity were moderate to largely effective with wide confidence intervals,  
8 and it is not statistically significant. Since there was only one small trial  
9 comparing CCBT and bibliotherapy, no conclusions can be made.

10 *CCBT in conjunction with a stress management programme versus*  
11 *information control*

12 The CCBT programme that incorporated stress management was associated  
13 with a better non-remission status compared with information control. Two  
14 out of 11 participants achieved non remission in the CCBT (plus stress  
15 management) group whereas 8 out of 9 achieved non remission in the  
16 information control group. There was one out of 11 participants drop outs  
17 from the CCBT (plus stress management) group and 2 out of 9 dropped out  
18 from the information control group. The CCBT (plus stress management)  
19 group had a large statistically significant effect on improving panic severity  
20 and depression scores. The effect on anxiety and quality of life is large but not  
21 statistically significant.

22 *CCBT versus applied relaxation*

23 When the CCBT programme is compared head to head with a computerised  
24 applied relaxation program, 5 out of 11 participants did not remit in CCBT  
25 and 7 out of 11 did not remit in computerised applied relaxation group. Three  
26 out of 11 dropped out from the CCBT group and 2 out of 11 dropped out from  
27 the computerised applied relaxation group. With regards to continuous  
28 outcomes, there are no statistically significant differences between groups on  
29 any outcome measures including anxiety, panic severity, depression and  
30 quality of life measures. This small single trial did not reveal any difference  
31 between the two treatment principles.

32 *CCBT frequent versus infrequent CCBT*

33 At post treatment, there were no significant differences in non-remission and  
34 dropout rates between the two groups. Six out of 28 participants did not remit  
35 in the frequent contact group and 8 out of 29 did not remit in the infrequent  
36 contact group. 17 out of 28 and 19 out of 28 dropped out from frequent  
37 contact and infrequent contact groups, respectively. With regards to  
38 continuous outcomes, there are no statistically significant differences between  
39 frequent and infrequent contact groups on clinician and self-rated panic  
40 severity and quality of life measures. Moreover, therapist alliance, treatment

- 1 credibility and satisfaction did not differ between groups, despite
- 2 significantly greater therapist time invested in the frequent contact condition.
- 3
- 4

1 **9.1.7 Narrative review of studies on CCBT for panic disorder and phobia population**

2

3 Two Fear Fighter studies (Marks 2004 and Schneider 2005) looked at effectiveness of CCBT treating both panic disorder and phobia  
4 population. They were reviewed separately because at least half of the study population were diagnosed with phobia disorders  
5

6 **Table 81: Summary of study characteristics for narratively reviewed studies of CCBT (panic disorder and phobia)**

	CCBT versus Face to face therapy versus computer guided self-relaxation	CCBT versus CCBT + stress management
Total no. of trials (total no. of participants)	1 RCT	1 RCT
Study ID	Marks 2004	Schneider 2005
N / % female	90/67%	68/74%
CCBT packages	Fear Fighter	Fear Fighter
Diagnosis	24 Agoraphobia with panic 3 agoraphobia without panic 24 social phobia 39 specific phobia	25 with agoraphobia with panic 2 with agoraphobia without panic 24 with social phobia 17 with specific phobia
Comparator	a. Face to face CBT b. computer guided self-relaxation	CCBT augmented with stress management component
Baseline severity: mean (SD)	Clinician rated Fear questionnaire (global	Clinician rated Fear questionnaire (global phobia

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	phobia item) CCBT: 5.4 (1.1) Face to face CBT: 5.7 (1.3) Computer guided self-relaxation: 5.6 (1.2)	item) CCBT: 6 (1.4) CCBT plus stress management 6.9 (1) (range of 0-8)
Treatment length	10 weeks	10 weeks
Length of follow-up	1 month	1 month
Mean Age (SD)	38 (12)	39 (11)
Type of CCBT and support	Computer guided self-exposure plus brief face to face review (5 minutes) and debrief (15 minutes)	Internet delivered self-help programme plus short weekly telephone calls
Amount of therapist time in CCBT group	Approx 7.6 minutes/week	Over 10 weeks/ 115 min in total per participant plus 40 screening minutes/ Approx 11.5 minutes/week  Telephone calls weekly approximate 18 minutes per week
Amount of therapist time in comparison group	Over 10 weeks Approx 28.3 minutes/week	87 (28) minutes for Managing Anxiety computer group  Approx 8.7 minutes/week
Completion Rate	Unclear	Unclear

1  
2



1 **9.1.8 Narrative review**

2 *Panic disorder and phobia population - CCBT versus face-to-face*  
3 *therapy*

4 There were no statistically significant differences between these two  
5 treatments on any of the following self-rated or clinician rated outcomes  
6 including the Main problem and goals scale; global phobia item of fear  
7 questionnaire and work/social adjustment scale. Nevertheless, a higher drop  
8 out rate (not statistically significant) is observed in the CCBT group (16/37)  
9 compared with face-to-face therapy (10/39). Both treatments did not differ  
10 from each other, however, a poorer adherence was observed in CCBT group.  
11 This suggested CCBT may have similar clinical effectiveness compared with  
12 face-to-face CBT.

13 *Panic disorder and phobia population - CCBT versus computerised*  
14 *applied relaxation*

15 CCBT had a large and statistically significant effect relative to computerised  
16 applied relaxation on the following self-rated and clinician rated outcomes:  
17 Main problem and goals scale; global phobia item of fear questionnaire. Note  
18 that the effect on self-rated work/adjustment scale is not statistically different,  
19 but was effective for the clinician rated work/adjustment scale. There was a  
20 statistically significant difference in dropout rates between these two  
21 treatments, with CCBT (16/37) having more drop outs than computerised  
22 applied relaxation (1/17). This may indicate a lower acceptability or  
23 satisfaction with CCBT. Assuming those who drop out of treatments maybe  
24 bad outcomes, the results may therefore be bias favouring CCBT. Therefore,  
25 CCBT appeared to be more effective, however this should be subject to  
26 cautious interpretation due to the bias favouring CCBT.

27 *Panic disorder and phobia population - CCBT versus minimal CCBT*  
28 *minus exposure*

29 There was slight difference between the two treatments. There were no  
30 statistical significant differences on most of the outcomes (self-rated and  
31 clinican-rated: Main problems scale, Goals scales and work/social adjustment  
32 scales) at post treatment. The statistical insignificance was likely due to the  
33 small sample size. However, there was a trend (not statistically significant)  
34 favouring CCBT at 1-month follow-up for these outcomes. There was no  
35 statistical difference in dropout rates between the treatments. The evidence  
36 was inconclusive from one study trial.

## 1 9.2 HEALTH ECONOMIC EVIDENCE

### 2 9.2.1 Systematic literature review

3 The systematic search of the economic literature undertaken for the guideline  
4 identified 4 eligible studies on CCBT for people with Panic Disorder  
5 (Kaltenthaler *et al.*, 2006; Klein *et al.*, 2006; McCrone *et al.*, 2009; Michalopoulos  
6 *et al.*, 2005). Two studies evaluated the cost effectiveness of the Panic Online  
7 package in Australia (Klein *et al.*, 2006; Michalopoulos *et al.*, 2005), and the  
8 other two assessed the cost effectiveness of the FearFighter package in the UK  
9 (Kaltenthaler *et al.*, 2006; McCrone *et al.*, 2009). Details on the methods used  
10 for the systematic review of the economic literature are described in Chapter  
11 3); references to included studies and evidence tables of economic evidence  
12 considered in the systematic literature review are provided in Appendix 16f.  
13 Completed methodology checklists of the studies are provided in Appendix  
14 18. Economic evidence profiles of studies considered during guideline  
15 development (i.e. studies that fully or partly met the applicability and quality  
16 criteria) are presented in Appendix 19, accompanying the respective GRADE  
17 clinical evidence profiles.

#### 18 *Panic Online*

19 Klein and colleagues (2006) conducted a simple cost analysis alongside a RCT  
20 comparing the Panic Online CCBT package versus therapist-assisted, self-  
21 administered CBT (self-CBT) and information control for the treatment of  
22 people with panic disorder in Australia [KLEIN2006]. The authors estimated  
23 the costs of providing each intervention from the perspective of the health  
24 service. Estimation of intervention costs considered therapists' time, server  
25 and website hosting costs for the CCBT package, cost of self-CBT manual,  
26 post and telephone calls, calculated presumably in local prices. The RCT used  
27 several measures of outcome, such as the Panic Disorder Severity Scale, panic  
28 frequency, Agoraphobic Cognitions Questionnaire, Anxiety Sensitivity  
29 Profile, Depression, Anxiety and Stress Scale as well as Body Vigilance Scale.  
30 Panic Online was found to be significantly more effective than information  
31 control in all panic parameter measures, cognitive variables, anxiety and  
32 stress variables. Panic Online was significantly better than self-CBT only in  
33 terms of the clinician agoraphobic ratings. The estimated average intervention  
34 cost per person was \$350 for Panic Online, \$379 for self-CBT and \$55 for  
35 information control. The difference in cost between Panic Online and self-CBT  
36 was not statistically significant; no statistical analysis was performed between  
37 the costs of Panic Online and information control. The authors reported that  
38 Panic Online also reduced the number of GP visits post-treatment relative to  
39 self-CBT. These preliminary findings indicate that Panic Online might be a  
40 cost-effective option in Australia, but a formal economic analysis is required  
41 to establish the cost effectiveness of the CCBT programme.  
42

1 Michalopoulos and colleagues (2005) assessed the cost effectiveness of Panic  
2 Online versus standard care for the treatment of people with panic disorder  
3 from the perspective of the healthcare sector in Australia. Standard care was  
4 defined as a mixture of care based on evidence-based medicine principles  
5 (27%), non-evidence-based medicine principles (28%) and no care (45%). The  
6 study population was the total estimated adult population with panic  
7 disorder in Australia, according to national surveys. The measure of outcome  
8 was the number of Disability Adjusted Life Years (DALYs) saved. Clinical  
9 data were taken from a literature review, while resource use estimates were  
10 based on assumptions; national unit prices were used. The study, based on  
11 decision-analytic modelling, reported that use of Panic Online for the  
12 treatment of the whole adult population with panic disorder in Australia  
13 would incur an extra \$3.8 million (if it were provided by a clinical  
14 psychologist) or \$2.8 million (if it were provided by a GP), and would save  
15 870 DALYs compared with standard care. The estimated incremental cost  
16 effectiveness ratio (ICER) of Panic Online versus standard care was  
17 \$4,300/DALY averted when delivered by a clinical psychologist (range  
18 \$3,500-\$5,400/DALY averted in sensitivity analysis) or \$3,200/DALY averted  
19 when delivered by a GP (range \$2,700-\$3,900/DALY averted in sensitivity  
20 analysis). The study was considered to be non-applicable to the UK setting for  
21 the following reasons: it was conducted in Australia, the measure of outcomes  
22 was DALYs saved, which limited the interpretability of the study findings,  
23 and standard care, according to its definition, was likely to differ significantly  
24 from standard care in the NHS. For this reason the study was not considered  
25 at the formulation of guideline recommendations.

### 26 *Fear Fighter*

27 McCrone and colleagues (2009) evaluated the cost effectiveness of the  
28 FearFighter package for the management of people with specific phobia,  
29 agoraphobia or social phobia from the perspective of the UK NHS. The  
30 comparators were clinician-led CBT and applied relaxation. The economic  
31 analysis was conducted alongside a RCT [MARKS2004]. The analysis  
32 considered intervention costs only, consisting of therapists' time as recorder  
33 in the RCT and cost of the FearFighter package per person treated, taken from  
34 Kaltenthaler and colleagues (2006). Unit prices were taken from national  
35 sources. The primary measures of outcome in the economic analysis were the  
36 mean improvement in main problem ratings and the mean improvement in  
37 global phobia ratings. Two analyses were performed: one for trial participants  
38 for whom main problem ratings were available, and one for trial participants  
39 for whom global phobia ratings were available.

40  
41 The cost of FearFighter was estimated at approximately £245-£330, depending  
42 on usage of package by a PCT or GP practice, respectively. Clinician-led CBT  
43 incurred an intervention cost of £445, while relaxation had an associated cost  
44 of £122. FearFighter and clinician-led CBT demonstrated similar effectiveness

1 as measured by improvements in main problem ratings and global phobia  
2 ratings; both interventions were found to be significantly more effective than  
3 relaxation in these measurements. Using the main problem ratings as measure  
4 of outcome, Fear Fighter was dominant over clinician-led CBT (i.e. slightly  
5 more effective and less costly); its ICER versus relaxation was £37-£64 per unit  
6 of improvement. Using the global phobia ratings as measure of outcome, the  
7 ICER of clinician-led CBT versus FearFighter was £175-£308 per unit of  
8 improvement (clinician-led CBT was found to be slightly more effective than  
9 Fear Fighter at an extra cost), whereas the ICER of Fear Fighter versus  
10 relaxation was £67-£112 per unit of improvement.

11  
12 Probabilistic analysis demonstrated that the probability of FearFighter being  
13 more cost-effective than relaxation was 50% at a willingness-to-pay of £35-£65  
14 per unit of change in main problem ratings or at a willingness-to-pay of £65-  
15 £115 per unit of change in global phobia ratings. Probabilistic analysis  
16 assessing the probability of FearFighter being cost-effective when clinician-led  
17 CBT was an option was not presented in the paper. Therefore, no robust  
18 conclusions can be inferred from this study on the cost effectiveness of  
19 FearFighter relative to clinician-led CBT. Overall, the reported results are  
20 difficult to interpret due to lack of use of QALYs as the measure of outcome.

21  
22 The NICE Technology Appraisal of CCBT for the treatment of depression and  
23 anxiety (NICE, 2006) incorporated a cost-utility analysis assessing the cost  
24 effectiveness of FearFighter versus clinician-led CBT and relaxation from the  
25 perspective of the NHS and Personal Social Services (Kaltenthaler *et al.*, 2006).  
26 The study, based on decision-analytic modelling, used clinical efficacy data  
27 from [MARKS2004] and other clinical input parameters from published  
28 literature. Costs included therapists' time, computer hardware, license fees for  
29 FearFighter, costs associated with screening of patients for suitability, capital  
30 overheads and training of staff. Utility scores were based on reported EQ-5D  
31 data of participants in a large, community-based mental health European  
32 survey (Alonso *et al.*, 2004a). Resource use estimates were made according to  
33 published literature, information from manufacturers of FearFighter and  
34 further assumptions. The time horizon of the analysis was 12 months.

35  
36 According to the study findings, the mean total cost per person for  
37 FearFighter, clinician-led CBT and relaxation was £217, £410 and £78,  
38 respectively (2003 prices). The mean total QALYs per person were estimated  
39 at 0.794 for FearFighter, 0.805 for clinician-led CBT and 0.736 for relaxation.  
40 Clinician-led CBT was therefore more effective than FearFighter at an extra  
41 cost of £17,608 per QALY gained. The ICER of FearFighter versus relaxation  
42 was £2,380 per QALY gained. Results were sensitive to the costs of provision  
43 of FearFighter. Probabilistic analysis revealed that the probability of  
44 FearFighter being cost-effective at a cost effectiveness threshold of  
45 £30,000/QALY was 39%; this probability reached 61% for clinician-led CBT.

1 Relaxation was not a cost-effective option at this cost effectiveness threshold.  
2 Based on their findings, the authors suggested that FearFighter was a cost-  
3 effective option compared with relaxation. However, they could not draw any  
4 firm conclusions about the cost effectiveness of FearFighter relative to  
5 clinician-led CBT, due to high uncertainty characterising the model input  
6 parameters and, subsequently, the results of the economic analysis.

## 7 **9.2.2 Economic modelling**

8 A number of economic models were developed to assess the cost effectiveness  
9 of CCBT for people with panic disorder, using clinical data from the RCTs  
10 included in the guideline systematic review. Economic modelling was  
11 undertaken as part of updating the NICE Technology Appraisal TA97 on  
12 computerised CBT for depression and anxiety (NICE, 2006).

### 13 *Overview of interventions assessed in economic modelling*

14 The CCBT packages examined in economic modelling included the 'Panic  
15 Online' package (assessed in KIROPOULOS2008, KLEIN2006, KLEIN2009  
16 and RICHARDS2006) and the 'Internet Psychiatri' package (assessed in  
17 CARLBRING2001, CARLBRING2005 and CARLBRING2006). The  
18 'FearFighter' package, which has been designed for the treatment of people  
19 with phobias, was not considered in the economic modelling undertaken for  
20 this guideline. This package has been already evaluated in the economic  
21 modelling undertaken to inform the NICE TA97 (Kaltenhaller *et al.*, 2006).  
22 Since publication of that guidance, no further clinical evidence has been  
23 available for this package (apart from MARKS2004 and SCHNEIDER2005  
24 which were considered at the development of NICE TA97), and therefore  
25 there was no need to update the NICE TA97 economic model.

26  
27 The clinical evidence on the 'Panic Online' and the 'Internet Psychiatri'  
28 packages is fairly limited. Both packages have been evaluated against inactive  
29 treatments: 'Panic Online' has been evaluated against information control in  
30 KLEIN2006 and RICHARDS2006; 'Internet Psychiatri' has been evaluated  
31 against waiting list in CARLBRING2001 and CARLBRING2006. Both  
32 packages have also been evaluated against clinician-led CBT ('Panic Online'  
33 in KIROPOULOS2008 and 'Internet Psychiatri' in CARLBRING2005). The  
34 clinical evidence from all these RCTs has been considered in economic  
35 modelling. Given the limited number of studies and the small number of  
36 participants in each study it was decided not to synthesise evidence using  
37 network meta-analytic techniques, as the outcome was expected to be highly  
38 uncertain. Instead, each CCBT package was assessed, in two separate models,  
39 against an inactive treatment and clinician-led CBT, respectively, resulting in  
40 4 separate economic models:

41

- 42 • Model 1: 'Panic Online' versus information control

- 1 • Model 2: 'Panic Online' versus clinician-led CBT
- 2 • Model 3: 'Internet Psychiatri' versus waiting list
- 3 • Model 4: 'Internet Psychiatri' versus clinician-led CBT

4  
5 KLEIN2009, which assessed the provision of 'Panic Online' under different  
6 frequency of therapist's contact with the participants, was not considered in  
7 economic modelling.

8  
9 It must be noted that the 'Panic Online' package has been developed for  
10 research purposes only and is not available in clinical practice for use by  
11 people with panic disorder. On the other hand, 'Internet Psychiatri' is freely  
12 available on the internet for treatment of this population; however the  
13 package is available only in Swedish and therefore cannot be used within the  
14 NHS. The two packages have been considered in economic modelling only as  
15 case-studies in order to explore the cost effectiveness of CCBT for people with  
16 panic disorder in the UK clinical setting.

#### 17 *Structure of the economic models*

18 A simple decision-tree was constructed in order to estimate the cost  
19 effectiveness of 'Panic Online' and 'Internet Psychiatri' in the 4 separate  
20 models developed for this purpose. According to the common structure of the  
21 4 models, hypothetical cohorts of people with panic disorder presenting to  
22 primary care were initiated on each of the interventions considered in the  
23 analyses. At completion of treatment, the panic status of the cohorts was  
24 assessed. People achieving panic-free status could remain panic-free or could  
25 return to a panic health state. People with a panic status following treatment  
26 could remain in this condition or could move to a panic-free health state. A  
27 second evaluation of the panic status was undertaken at follow-up. The time  
28 horizon of all 4 models was one year. A schematic diagram of the decision-  
29 tree used at the construction of the 4 models is presented in Figure 4.

30

31

32

33

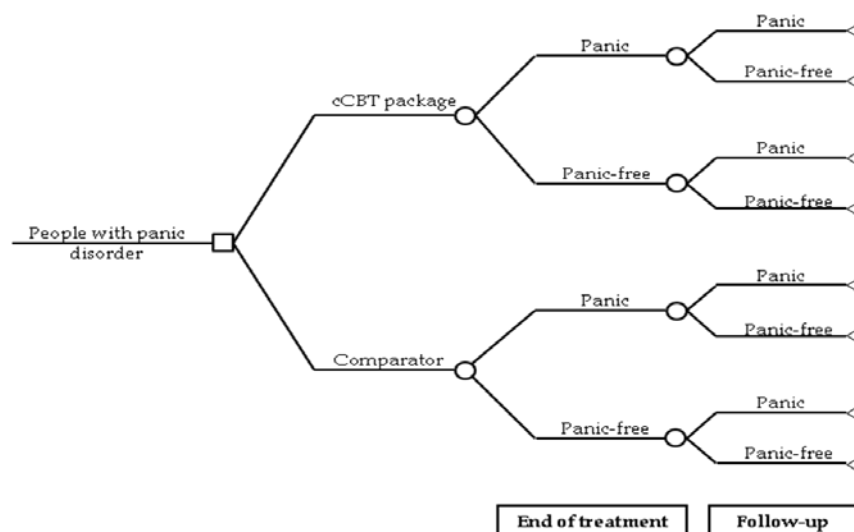
34

35

36

1 **Figure 9. Schematic diagram of the structure of the economic models**  
 2 **evaluating the 'Panic Online' and the 'Internet Psychiatri' CCBT packages.**

3



4

5

6

7

### 8 *Costs and outcomes considered in the economic models*

9 The economic analyses adopted the perspective of the NHS and personal  
 10 social services, as recommended by NICE (2009). Costs consisted of  
 11 intervention costs and other health and social care costs incurred by people  
 12 with panic disorder, including contacts with healthcare professionals such as  
 13 GPs, psychiatrists, psychologists, mental health nurses and social workers,  
 14 community care, inpatient and outpatient secondary care. The measure of  
 15 outcome was the Quality Adjusted Life Year (QALY).

### 16 *Clinical input parameters of the economic models*

17 In each model, clinical input parameters consisted of the probability of not  
 18 achieving a panic-free status with the baseline treatment (i.e. inactive  
 19 treatment or clinician-led CBT), and of the relative risk of not achieving a  
 20 panic-free status of CCBT versus its comparator (baseline treatment), at end of  
 21 treatment and at one year follow-up. Clinical input parameters (both  
 22 comparator probabilities and relative risks of CCBT versus its comparator) at  
 23 end of treatment were estimated using the following data:

24

- 25 • Data for model 1 (Panic Online versus information control) were based  
 26 on the guideline meta-analysis of KLEIN2006 and RICHARDS2006.  
 27 Both studies reported the rates of people that were panic-free, defined  
 28 by a clinician panic severity rating of 2 or below on PDSS. Assessment  
 29 was carried out one week before the end of treatment in both studies,

1 that is, at 5 weeks in KLEIN2006 and at 7 weeks in RICHARDS2006.  
2 Assessment of panic status in the model occurred at 6 weeks, which is  
3 the average time point of assessment between the 2 trials.

4 • Data for model 2 (Panic Online versus clinician-led CBT) were derived  
5 from KIROPOULOS2008, which reported the rate of people that were  
6 panic-free, defined by a clinician panic severity rating of 2 or below on  
7 PDSS. Assessment was undertaken at the end of treatment, which  
8 lasted 12 weeks.

9 • Data for model 3 (Internet Psychiatri versus waiting list) were taken  
10 from the guideline meta-analysis of CARLBRING2001 and  
11 CARLBRING2006. CARLBRING2001 reported rates of people with a  
12 clinically significant improvement post-treatment, defined as no  
13 occurrence of either full-blown or limited symptom panic attacks.  
14 CARLBRING2006 reported rates of people not fulfilling criteria for  
15 panic disorder according to SCID at end of treatment. Treatment in  
16 both studies had a duration of 10 weeks; assessment of panic status  
17 was undertaken at 2 weeks post-treatment in CARLBRING2001 (i.e. at  
18 12 weeks) and at 1 month post-treatment in CARLBRING2006 (i.e. at 14  
19 weeks). Assessment in the model was assumed to be carried out at 13  
20 weeks, which is the average assessment time between the two studies.

21 • Data for model 4 (Internet Psychiatri versus clinician-led CBT) were  
22 derived from CARLBRING2005, which reported rates of people no  
23 longer meeting criteria for panic disorder according to SCID;  
24 assessment of panic disorder occurred at one month after the 10-week  
25 treatment, that is, at 14 weeks.

26  
27 One-year follow-up probabilities on panic-free status were estimated using  
28 data from CARLBRING2005, which was the only study on CCBT for panic  
29 disorder that reported follow-up data. This study compared Internet  
30 Psychiatri with clinician-led CCBT. For each intervention a post-treatment  
31 weekly probability of no panic was estimated using post-treatment data  
32 (obtained at 14 weeks) and follow-up data (obtained at 52 weeks) reported in  
33 CARLBRING2005. The weekly probability of no panic was then used to  
34 estimate the proportion of panic-free people at follow-up in each arm of the 4  
35 models, after taking into account the proportion of people that were panic-  
36 free in each arm at the end of treatment.

37  
38 The estimated post-treatment weekly probability of no panic for CCBT was  
39 conservatively applied in both arms of models assessing CCBT versus an  
40 inactive treatment (that is, models 1 and 3). Use of the same probability in  
41 both arms may have underestimated the future impact of CCBT on panic



1 status, if CCBT retains a better clinical effect relative to inactive treatment  
2 after the end of therapy.

3

4 The estimated post-treatment weekly probability of no panic for clinician-led  
5 CBT was used in both arms of model 2, which assessed Panic Online versus  
6 clinician-led CBT. This was decided because the long-term effectiveness of  
7 Internet Psychiatri after the end of treatment, which was observed in  
8 CARLBRING2005, might be specific to this CCBT package; therefore it should  
9 not be attached to the Panic Online package in model 2. However, if there is  
10 indeed a long-term clinical effect of CCBT treatment versus clinician-led CBT  
11 (as indicated in CARLBRING2005) in general, regardless of specific package,  
12 then use of the clinician-led CBT data in both arms of model 2 has only  
13 underestimated the cost effectiveness of Panic Online relative to CBT.

14

15 Model 4, which evaluated the 2 treatments assessed in CARLBRING2005,  
16 utilised data from both arms of the study. More specifically, model 4 utilised  
17 the 1-year probability of non-panic-free status of clinician-led CBT and the  
18 relative risk of non-panic-free status of Internet Psychiatri versus clinician-led  
19 CBT at one year.

20

21 Clinical input parameters utilised in the 4 models are provided in Table 17.

## 22 *Utility data for panic disorder*

23 The systematic search of the literature identified no study reporting utility  
24 scores for specific health states associated with panic disorder. However, 2  
25 studies reported utility data for people with panic disorder in general,  
26 without differentiating between distinct health states of the condition (Alonso  
27 *et al.*, 2004a; Rubin *et al.*, 2000).

28

29 Alonso and colleagues (2004a) reported EQ-5D and SF-36 data of people  
30 participating in a large, community-based mental health European survey,  
31 the European Study of the Epidemiology of Mental Disorders (ESEMeD).  
32 Participants were members of the general population that underwent  
33 psychiatric assessments and completed various HRQoL instruments. The  
34 authors conducted additional analyses to those reported in their publication  
35 and generated EQ-5D and SF-36 utility scores that were subsequently  
36 provided to the research team that conducted the economic analysis for the  
37 NICE TA on the use of CCBT for depression and anxiety (Kaltenthaler *et al.*,  
38 2006). Thus, EQ-5D utility scores for people with panic disorder that  
39 participated in the ESEMeD are available in that publication. Utility scores  
40 from EQ-5D have been elicited from the UK general population using TTO  
41 (Dolan *et al.*, 1996; Dolan, 1997). The EQ-5D utility scores from ESEMeD were  
42 derived from a sample of 186 people that had experienced panic disorder over  
43 12 months and of 19,334 people that had no mental disorder over this period.

44

1 Rubin and colleagues (2000) provided utility scores derived from 56 people  
 2 with panic disorder and matched historical population controls in the US.  
 3 Utility scores were generated from participants' responses on the Quality of  
 4 Well Being Scale (QWB), a generic HRQoL scale measuring mobility, physical  
 5 and social activity, which has been valued by a sample of the general  
 6 population in the US using scaling methods (Kaplan & Anderson, 1988).

7  
 8 Table 82 summarises the methods used to derive utility scores associated with  
 9 panic disorder as well as the results reported in the 2 relevant studies  
 10 identified by the systematic search of the literature.

11 **Table 82. Summary of studies reporting utility scores for panic disorder**

Study	Definition of health states	Valuation method	Population valuing	Results
<b>Alonso <i>et al.</i>, 2004a</b>	EQ-5D profiles from 186 people with panic disorder over the last 12 months and 19,334 people with no mental disorder over the last 12 months participating in a large community-based mental health European survey	TTO	UK general population	12-month panic disorder: 0.76 (95% CI: 0.70-0.82)  No 12-month mental disorder: 0.91 (95% CI: 0.90-0.91)
<b>Rubin <i>et al.</i>, 2000</b>	QWB profiles from 56 people with panic disorder and matched population controls in the US	Scaling method	US general population	Panic disorder: 0.721 (SD 0.122)  No panic disorder: 0.820 (SD 0.054)

12  
 13 No study reported utility data for specific health states in panic disorder. Both  
 14 sets of data refer to an overall state of panic disorder, which may include a  
 15 wide range of symptoms, from very mild to very severe. However, no other  
 16 utility data that could be used in order to generate QALYs for people with  
 17 panic disorder were identified. The utility data by Alonso and colleagues  
 18 (2004a) refer to people that experienced panic disorder over 12 months, thus  
 19 may not be fully applicable to the study population in the economic analyses  
 20 conducted for this guideline. On the other hand, these data were generated  
 21 using EQ-5D profiles, as recommended by NICE. The utility data by Rubin  
 22 and colleagues (2000) were generated based on another generic measure of  
 23 HRQoL, the QWB, which has been valued by a sample of the general  
 24 population in the US, using scaling methods. Therefore, it is apparently less  
 25 relevant to the UK population and less consistent with NICE criteria on the  
 26 use of utility scores, which require the use of EQ-5D scores, or, when these are  
 27 unavailable or inappropriate, the use of utility scores derived from patient-  
 28 based, generic measures of HRQoL, valued by a sample of the general UK  
 29 population using TTO or SG (NICE, 2008a). Based on the above, it was  
 30 decided to use the data by Alonso and colleagues in the guideline economic  
 31 analyses for CCBT packages for panic disorders, also because these data were

1 used in the cost-utility analysis conducted for the NICE TA on the use of  
2 CCBT for depression and anxiety (Kaltenhaler *et al.*, 2006).

3  
4 It was assumed that the change in utility between panic and panic-free health  
5 states occurred linearly over the time period between consecutive assessments  
6 of the panic status.

### 7 *Cost data*

8 Intervention costs as well as other health and social care costs incurred by  
9 people with panic disorder were calculated by combining resource use  
10 estimates with respective national unit costs. Intervention costs for the CCBT  
11 packages consisted of therapists' time (spent on phone calls, emails and 'live'  
12 contacts as reported in the RCTs considered in economic analyses), hardware  
13 (Personal Computers - PCs) and capital overheads. 'Panic online' is available  
14 for research purposes only; 'Internet Psychiatri', on the other hand, is freely  
15 available on the Internet. Therefore no license fee was considered at the  
16 estimation of the CCBT intervention cost, although this cost component,  
17 which may be considerable, needs to be taken into account in the assessment  
18 of cost effectiveness of CCBT packages available in the future for the  
19 management of people with panic disorder in the NHS. Alternatively, for a  
20 CCBT programme that is freely available via the internet, a server/website  
21 hosting cost may be relevant (for example if the programme is provided by  
22 the NHS) and should be considered at the estimation of the intervention cost.

23  
24 The cost of therapist's time for CCBT was estimated by combining the mean  
25 total therapist's time per person treated, as reported in KLEIN2006 and  
26 RICHARDS2006 (model 1), KIROPOULOS2008 (model 2), CARLBRING 2001  
27 and CARLBRING2006 (model 3), and CARLBRING 2005 (model 4), with the  
28 national unit cost of a clinical psychologist (Curtis, 2009). The latter may be a  
29 conservative estimate, as in some of the RCTs CCBT was provided by  
30 therapists with a lower level of qualifications/ salary. It is acknowledged,  
31 though, that CCBT may be provided by other healthcare professionals with  
32 appropriate qualifications/ training. The unit cost of a clinical psychologist  
33 per hour of client contact has been estimated based on the median full-time  
34 equivalent basic salary for Agenda for Change Band 7, including salary,  
35 salary on-costs and overheads, but no qualification costs as the latter are not  
36 available for clinical psychologists (Curtis, 2009).

37  
38 The annual costs of hardware and capital overheads (space around the PC)  
39 were taken from the economic analysis undertaken to inform the NICE  
40 Technology Appraisal on CCBT for depression and anxiety (Kaltenhaler *et al.*,  
41 2006). In the same report it is estimated that one PC can serve around 100  
42 people treated with CCBT per year. For this economic exercise, and in order  
43 to estimate the cost of hardware and capital overheads per person with panic  
44 disorder treated with CCBT, it was conservatively assumed that one PC can

1 serve 75 people per year. It was also assumed that a PC is used under full  
2 capacity (that is, it serves no less than 75 people annually), considering that  
3 the PC is available for use not only by people with panic disorder, but also by  
4 people with other mental health conditions, such as depression, who may use  
5 other CCBT packages on the PC. The annual cost of hardware and capital  
6 overheads, as estimated in Kaltenhaler and colleagues (2006), was therefore  
7 divided by 75. It should be noted that if people with panic disorder can  
8 access the CCBT package from home or a public library, then the cost of  
9 hardware and capital overheads to the NHS is zero.

10  
11 Regarding the server/website hosting cost per person with panic disorder  
12 treated with a CCBT package provided by the NHS via the internet, this was  
13 estimated to be negligible and was omitted from analysis. Estimation of this  
14 cost was based on the price of a 10-page website, which was found to range  
15 between £550 and £800 annually (prices based on internet search). According  
16 to the most recent adult psychiatric morbidity survey in England (McManus  
17 *et al.*, 2009), 1.2% of people aged 16-64 years are expected to have panic  
18 disorder at any point in time. This translates to an estimate of 425,000 people  
19 with panic disorder in England and Wales, given that the population aged 16-  
20 64 years was approximately 35.3 million people in 2008 (ONS, 2009).  
21 Assuming that 5% of them are treated with CCBT (a deliberately conservative  
22 low percentage), this would result in 21,000 people. Spreading the annual  
23 server/website cost to this population would result in a cost of approximately  
24 3-4 pence per person treated; meaning that if the NHS wanted to maintain a  
25 website with a CCBT programme for panic disorder, the website cost per  
26 person treated would be negligible.

27  
28 Intervention costs of clinician-led CBT were calculated by combining the  
29 mean total therapist's time per person treated, estimated from the number of  
30 CBT sessions and the duration of each session as reported in  
31 KIROPOULOS2008 (model 2) and CARLBRING2005 (model 4), with the  
32 national unit cost of a clinical psychologist (Curtis, 2009). Intervention costs of  
33 inactive treatments (waiting list and information control) were estimated to be  
34 zero.

35  
36 Table 15 presents the cost elements of the intervention costs in each of the  
37 economic models developed for the economic assessment of CCBT for the  
38 treatment of people with panic disorder.

1 **Table 83. Intervention costs of CCBT packages and clinician-led CBT**  
 2 **considered in the economic models evaluating CCBT for the treatment of**  
 3 **people with panic disorder**

Cost element	Resource use estimates and respective unit cost (2009 prices)	Total cost per person (2009 prices)
<b>CCBT</b>	(Unit cost: £75 per hour of client contact; clinical psychologist; Curtis, 2009)	
Therapist's time per person treated	Model 1 (Panic Online): 355 min (average time between KLEIN2006 and RICHARDS2006)	£443
	Model 2 (Panic Online): 352 min (KIROPOULOS2008)	£440
	Model 3 (Internet Psychiatri): 162 min (average time between CARLBRING 2001 and CARLBRING2006)	£203
	Model 4 (Internet Psychiatri): 150 min (CARLBRING 2005)	£188
Hardware	£309 per PC per year (Kaltenhaler <i>et al.</i> , 2006) Cost divided by 75 people treated with CCBT	£4.1
Capital overheads	£2,053 per PC per year (Kaltenhaler <i>et al.</i> , 2006) Cost divided by 75 people treated with CCBT	£27.4
License fee	0 (Panic Online not available in clinical practice; Internet Psychiatri freely available)	0
Server/website hosting cost	£550-£800 for a 10-page website annually Cost divided by 21,000 people, representing 5% of the estimated 420,000 people with panic disorder in England and Wales; latter estimate based on a 1.2% prevalence of GAD (McManus <i>et al.</i> , 2009) and a population of 35.3 million people aged 16-64 years in England and Wales (ONS, 2009).	Negligible
	<b>TOTAL COST</b>	<b>TOTAL COST</b>
	Model 1 (Panic Online)	<b>£475</b>
	Model 2 (Panic Online)	<b>£472</b>
	Model 3 (Internet Psychiatri)	<b>£234</b>
	Model 4 (Internet Psychiatri)	<b>£219</b>
<b>Clinician-led CBT</b>	(Unit cost £75 per hour of client contact; clinical psychologist; Curtis, 2009)	
Number of sessions and duration	Model 2: 12 sessions x 52 min each (KIROPOULOS2008)	<b>£780</b>
	Model 4: 10 sessions x 50 min each (CARLBRING2005)	<b>£625</b>

4

5 The extra health and social care costs incurred by people with panic disorder  
 6 were estimated based on data reported in the adult psychiatric morbidity  
 7 survey in England (McManus *et al.*, 2009), supported by the GDG expert  
 8 opinion. Data reported in the survey included the percentages of people with

1 panic disorder that sought various types of health and social services over a  
2 period of time ranging from 'over the past two weeks' to 'over the past year'.  
3 These services included inpatient care, outpatient services, contacts with GPs,  
4 psychiatrists, psychologists, community psychiatric nurses, social and  
5 outreach workers, other nursing services, home help and home care,  
6 participation in self-help and support groups, and services provided by  
7 community day care centres. The reported percentages were extrapolated in  
8 order to estimate the percentage of people with GAD using each service on an  
9 annual basis. The GDG determined which of these services were likely to be  
10 sought specifically for the condition of panic disorder within the NHS, and  
11 made estimates on the number of visits and the time spent on each visit where  
12 relevant, in order to provide a total resource use estimate for each type of  
13 service. The average length of stay for people with panic disorder receiving  
14 inpatient care was taken from national hospital episode statistics (NHS, The  
15 Information Centre, 2009). The resource use estimates were then combined  
16 with appropriate unit costs taken from national sources (Curtis, 2009; DH,  
17 2010) in order to estimate an overall annual health and social care cost  
18 incurred by people with panic disorder. Using this figure, a weekly health  
19 and social care cost was then estimated, which was assumed to be incurred by  
20 people in a non-panic-free status. People remaining in panic over the whole  
21 time horizon of the analyses were assumed to incur this weekly cost from a  
22 point in time starting at the end of treatment and up to one year. People who  
23 switched between a panic status and a panic-free status over the time period  
24 between end of treatment and end of the time horizon were assumed to incur  
25 this weekly health and social care cost for half of the period between the  
26 endpoint of treatment and the end of the time horizon.

27

28 Table 16 presents the published data and the GDG expert opinion estimates  
29 used for the calculation of the annual health and social care cost incurred by  
30 people with GAD.

31

32 All costs were expressed in 2009 prices, uplifted, where necessary, using the  
33 Hospital & Community Health Services (HCHS) Pay and Prices Index (Curtis,  
34 2009). As the time horizon of the 4 analyses was one year, discounting of costs  
35 was not necessary.

36

37 Table 17 presents the values of all input parameters utilised in the 4 economic  
38 models.

1 **Table 84. Annual health and social care cost incurred by people with panic disorder**

Cost component	% of people with panic disorder receiving care annually	Time spent on each service annually	Unit cost (2009 prices)	Annual weighted cost per person (2009 prices)
Inpatient care	4%	2.5 days	£290/day in mental health unit	DH, 2009 £29.00
Outpatient visit	8%	2 visits	1 <sup>st</sup> visit: £244; follow up visit: £155	DH, 2009 £31.92
Psychiatrist	2%	2 visits: 1 hour + 20 minutes	£322/hour of patient contact	Curtis, 2009 £8.59
Psychologist	4%	8 visits x 45 minutes each	£75/hour of client contact	Curtis, 2009 £18.00
Mental health nurse	4%	8 visits x 45 minutes each	£53/hour of face-to-face contact	Curtis, 2009 £12.72
Other nursing services	1%	6 visits x 1 hour each	-	-
Social worker	5%	6 visits x 1 hour each	£140/hour of face-to-face contact	Curtis, 2009 £42.00
Self-help - support group	1%	-	-	-
Home help - home care	1%	6 visits x 1 hour each	-	-
Outreach worker	5%	Not an NHS cost	-	-
Community day care centre	8%	Not directly relevant	£33 per user session	Curtis, 2009 £264.00
GP	45%	Not directly relevant 100 sessions 1 visit	£35 per surgery consultation	Curtis, 2009 £15.75
<b>TOTAL ANNUAL HEALTH AND SOCIAL CARE COST INCURRED PER PERSON WITH PANIC DISORDER</b>				<b>£421.98</b>

2

3 **Table 85. Input parameters utilised in the economic model of CCBT versus waiting list for people with GAD**

Input parameter	Deterministic value	Probabilistic distribution	Source of data - comments
<b>Clinical data</b>			
<u>END OF TREATMENT</u>			
<u>Probability of non-panic-free</u>		<b>Beta distribution</b>	
Model 1 - information control - 6 weeks	0.964	$\alpha = 27, \beta = 1$	Meta-analysis of KLEIN2006 and RICHARDS2006
Model 2 - clinician-led CBT -	0.625	$\alpha = 25, \beta = 15$	KIROPOULOS2008
Model 3 - waiting list	0.961	$\alpha = 49, \beta = 2$	Meta-analysis of CARLBRING2001 and CARLBRING2006
Model 4 - clinician-led CBT	0.333	$\alpha = 8, \beta = 16$	CARLBRING2005

<p><u>Relative risk of non-panic-free status, CCBT vs. comparator</u></p> <p>Model 1 – Panic Online vs. information control – 6 weeks</p> <p>Model 2 – Panic Online vs. clinician-led CBT</p> <p>Model 3 – Internet Psychiatri vs. waiting list</p> <p>Model 4 – Internet Psychiatri vs. clinician-led CBT</p>	<p>0.50</p> <p>1.04</p> <p>0.44</p> <p>0.60</p>	<p><b>Log-normal distribution</b></p> <p>95% CIs: 0.32 to 0.79</p> <p>95% CIs: 0.76 to 1.44</p> <p>95% CIs: 0.12 to 1.55</p> <p>95% CIs: 0.23 to 1.58</p>	<p>Meta-analysis of KLEIN2006 and RICHARDS2006 KIROPOULOS2008</p> <p>Meta-analysis of CARLBRING2001 and CARLBRING2006 CARLBRING2005</p>
<p><u>ONE YEAR FOLLOW-UP – selected data used in all 4 models</u></p> <p>Probability of non-panic free status – clinician-led CBT</p> <p>Weekly post-treatment probability of non-panic free status – clinician-led CBT</p> <p>Probability of non-panic-free status – CCBT (Internet Psychiatri)</p> <p>Weekly post-treatment probability of non-panic free status – CCBT (Internet Psychiatri)</p> <p>Relative risk of non-panic-free status, CCBT vs. clinician-led CBT</p>	<p>0.125</p> <p>0.026</p> <p>0.080</p> <p>0.024</p> <p>0.64</p>	<p><b>Beta distribution</b></p> <p><math>\alpha = 3, \beta = 21</math></p> <p>determined by linked distributions</p> <p><math>\alpha = 2, \beta = 23</math></p> <p>determined by linked distributions</p> <p><b>Log-normal distribution</b></p> <p>95% CIs: 0.12 to 3.50</p>	<p>All follow-up data from CARLBRING2005</p>
<p><b>Utility values</b></p> <p>Panic-free status</p> <p>Panic status</p>	<p>0.91</p> <p>0.76</p>	<p><b>Beta distribution</b></p> <p><math>\alpha = 17,594, \beta = 1,740</math></p> <p><math>\alpha = 141.36, \beta = 44.64</math></p>	<p>Alonso <i>et al.</i>, 2004a; data available in Kaltenthaler <i>et al.</i>, 2006); distribution estimated from reported data using the method of moments</p>
<p><b>Cost data</b></p> <p>CCBT intervention cost - model 1</p> <p>- model 2</p> <p>- model 3</p> <p>- model 4</p> <p>Clinician-led CBT intervention cost - model 2</p> <p>- model 4</p> <p>Weekly health and social care cost</p>	<p>£475</p> <p>£472</p> <p>£234</p> <p>£219</p> <p>£780</p> <p>£625</p> <p>£8</p>	<p><b>Gamma distribution</b></p> <p><math>\alpha = 6.25, \beta = 75.94</math></p> <p><math>\alpha = 6.25, \beta = 75.44</math></p> <p><math>\alpha = 6.25, \beta = 37.44</math></p> <p><math>\alpha = 6.25, \beta = 35.04</math></p> <p><math>\alpha = 6.25, \beta = 124.80</math></p> <p><math>\alpha = 6.25, \beta = 100.00</math></p> <p><math>\alpha = 2.78, \beta = 2.92</math></p>	<p>See Table 15; standard error of CCBT intervention cost assumed to be 40% of its mean estimate</p> <p>See Table 15; standard error of clinician-led CBT intervention cost assumed to be 40% of its mean estimate</p> <p>See Table 16; standard error of weekly health and social care cost assumed to be 60% of its mean estimate</p>

1



1 **9.2.2.1 Data analysis and presentation of the results**

2 Two methods were employed to analyse the input parameter data and  
3 present the results of the 4 economic models.

4  
5 First, a *deterministic* analysis was undertaken for each model, where data are  
6 analysed as point estimates. The output of each analysis was the Incremental  
7 Cost Effectiveness Ratio (ICER) of CCBT versus its comparator, expressing the  
8 additional cost per QALY gained associated with provision of CCBT instead  
9 of its comparator.

10  
11 A *probabilistic* analysis was also conducted for each model. In this case, all  
12 model input parameters were assigned probability distributions (rather than  
13 being expressed as point estimates), to reflect the uncertainty characterising  
14 the available clinical and cost data. Subsequently, 10,000 iterations were  
15 performed, each drawing random values out of the distributions fitted onto  
16 the model input parameters. This exercise provided more accurate estimates  
17 of mean costs and benefits for each intervention assessed (averaging results  
18 from the 10,000 iterations), by capturing the non-linearity characterising the  
19 economic model structure (Briggs *et al.*, 2006).

20  
21 The baseline probabilities of non-panic free status at end of treatment and at  
22 one-year follow-up (for inactive treatments and clinician-led CBT) were given  
23 a beta distribution. Beta distributions were also assigned to utility values,  
24 using the method of moments. The relative risks of non-panic free status of  
25 CCBT versus its comparator were assigned a log-normal distribution. The  
26 estimation of distribution ranges was based on available data in the published  
27 sources of evidence.

28  
29 Costs were assigned a gamma distribution; in order to define the distribution,  
30 wide standard errors around the mean costs (equalling 40% of the mean  
31 CCBT intervention cost and 60% of the mean monthly health and social care  
32 cost incurred by people with panic disorder) were assumed.

33  
34 Table 17 provides details on the types of distributions assigned to each input  
35 parameter and the methods employed to define their range.

36  
37 Results of probabilistic analysis are presented in the form of a Cost  
38 Effectiveness Acceptability Curve (CEAC), which demonstrates the  
39 probability of CCBT being cost-effective relative to its comparator at different  
40 levels of willingness-to-pay per QALY (that is, at different cost effectiveness  
41 thresholds the decision-maker may set).

1 **9.2.2.2 Results**

2 *Model 1: Panic Online versus information control*

3 Deterministic results are presented in Table 18. Panic Online was associated  
 4 with a higher total cost and a higher number of QALYs compared with  
 5 information control. The ICER of Panic Online versus information control was  
 6 £7,599 per QALY gained.

8 **Table 86. Deterministic results of model 1 – mean costs and QALYs per 100**  
 9 **people and ICER of Panic Online versus information control**

Intervention	Mean total cost	Mean total QALYs	ICER
Panic Online	£59,429	85.463	£7,599/QALY
Information control	£23,933	80.792	
Difference	£35,496	4.671	

10 Probabilistic analysis demonstrated that the probability of Panic Online being  
 11 cost-effective at the NICE lower cost effectiveness threshold of £20,000/QALY  
 12 reached 92%. Figure 5 provides the CEAC showing the probability of Panic  
 13 Online being cost-effective relative to information control at different levels of  
 14 willingness-to-pay per extra QALY gained.

16  
 17 **Figure 10: Cost Effectiveness Acceptability Curve (CEAC) of Panic Online**  
 18 **versus information control. X axis shows the level of willingness-to-pay per**  
 19 **extra QALY gained and Y axis shows the probability of Panic Online being**  
 20 **cost-effective at different levels of willingness-to-pay.**



21  
 22

1 **Model 2: Panic Online versus clinician-led CBT**

2 Deterministic results are presented in Table 87. Panic Online was associated  
 3 with a significantly lower cost and a slight loss in QALYs compared with  
 4 clinician-led CBT. The ICER of Panic Online versus clinician-led CBT was a  
 5 saving of £126,849 per QALY lost.

6

7 **Table 87. Deterministic results of model 2 – mean costs and QALYs per 100**  
 8 **people and ICER of Panic Online versus clinician-led CBT**

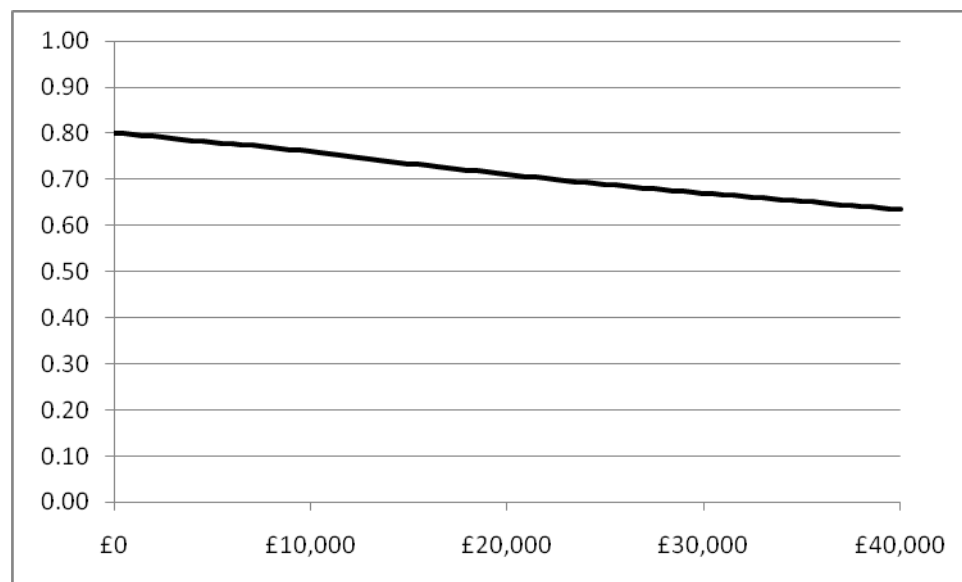
Intervention	Mean total cost	Mean total QALYs	ICER
Panic Online	£61,456	83.059	£126,849/QALY
Clinician-led CBT	£91,756	83.298	
Difference	-£30,300	-0.239	

9

10 According to probabilistic analysis, the probability of Panic Online being cost-  
 11 effective at the NICE lower cost effectiveness threshold of £20,000/QALY  
 12 gained was 71%. Figure 11 provides the CEAC showing the probability of  
 13 Panic Online being cost-effective relative to clinician-led CBT at different  
 14 levels of willingness-to-pay per extra QALY gained.

15

16 **Figure 11: Cost Effectiveness Acceptability Curve (CEAC) of Panic Online**  
 17 **versus clinician-led CBT. X axis shows the level of willingness-to-pay per**  
 18 **extra QALY gained and Y axis shows the probability of Panic Online being**  
 19 **cost-effective at different levels of willingness-to-pay.**



20

21 **Model 3: Internet Psychiatri versus waiting list**

22 Deterministic results are presented in Table 88. Internet Psychiatri resulted in  
 23 a higher total cost and a higher number of QALYs compared with waiting list.

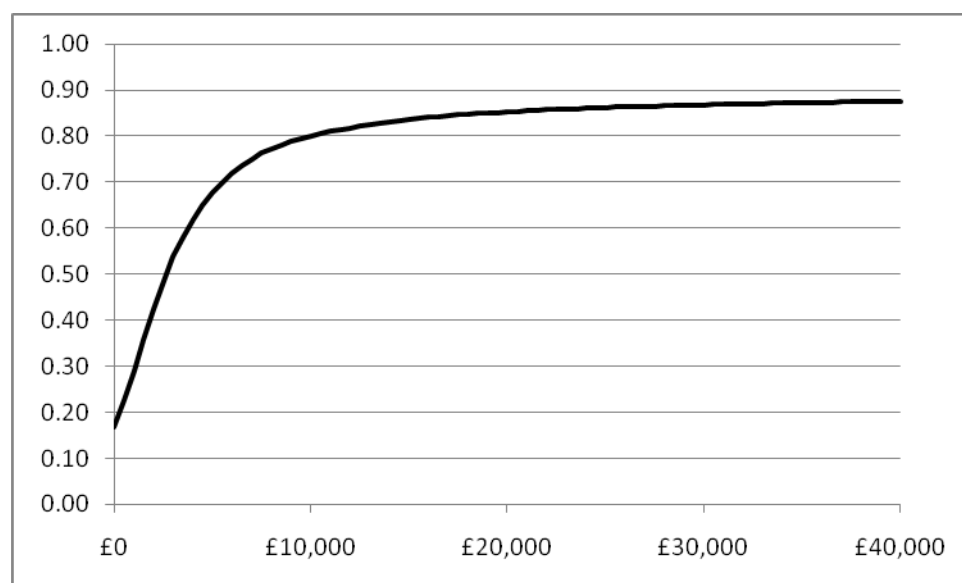
1 The ICER of Internet Psychiatri versus waiting list was £2,216 per QALY  
 2 gained.

3  
 4 **Table 88 Deterministic results of model 3 – mean costs and QALYs per 100**  
 5 **people and ICER of Internet Psychiatri versus waiting list**

Intervention	Mean total cost	Mean total QALYs	ICER
Internet Psychiatri	£32,702	85.026	£2,216/QALY
Waiting list	£21,140	79.809	
Difference	£11,562	5.217	

6  
 7 Probabilistic analysis showed that the probability of Internet Psychiatri being  
 8 cost-effective at the NICE lower cost effectiveness threshold of £20,000/QALY  
 9 reached 85.3%. Figure 12 provides the CEAC showing the probability of  
 10 Internet Psychiatri being cost-effective relative to waiting list at different  
 11 levels of willingness-to-pay per extra QALY gained.

12  
 13 **Figure 12: Cost Effectiveness Acceptability Curve (CEAC) of Internet**  
 14 **Psychiatri versus waiting list. X axis shows the level of willingness-to-pay**  
 15 **per extra QALY gained and Y axis shows the probability of Internet**  
 16 **Psychiatri being cost-effective at different levels of willingness-to-pay.**



17  
 18

19 **Model 4: Internet Psychiatri versus clinician-led CBT**

20 Deterministic results are presented in Table 89. Internet Psychiatri resulted in  
 21 a significantly lower cost and at the same time it provided a higher number of  
 22 QALYs compared with clinician-led CBT. Thus Internet Psychiatri was the  
 23 dominant option in this comparison.

1

2 **Table 89 Deterministic results of model 4 – mean costs and QALYs per 100**  
 3 **people and ICER of Internet Psychiatri versus clinician-led CBT**

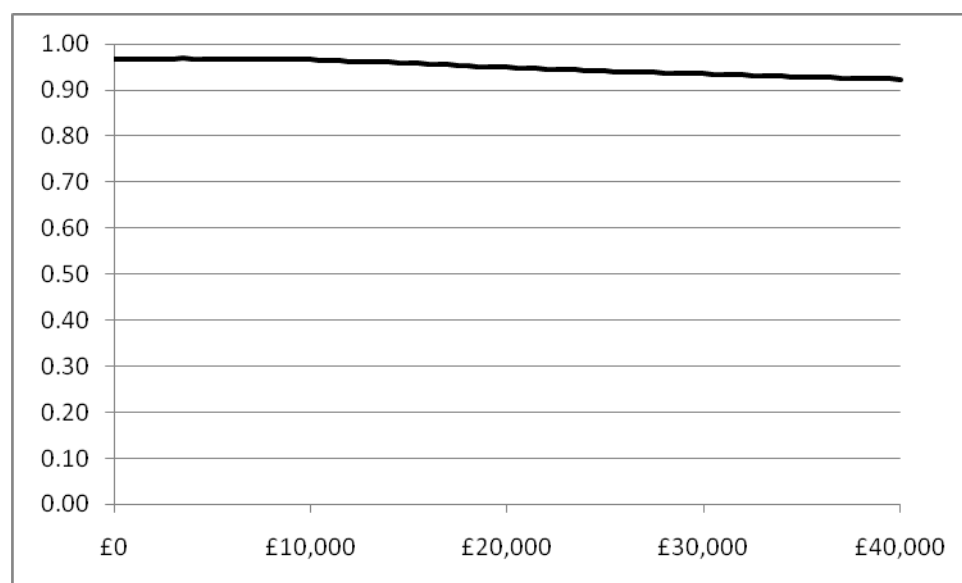
Intervention	Mean total cost	Mean total QALYs	ICER
Internet Psychiatri	£26,217	87.042	Internet Psychiatri dominant
Clinician-led CBT	£69,567	85.796	
Difference	-£43,350	1.247	

4

5 According to probabilistic analysis, the probability of Internet Psychiatri  
 6 being cost-effective at the NICE lower cost effectiveness threshold of  
 7 £20,000/QALY gained was 95%. Figure 13 provides the CEAC showing the  
 8 probability of Internet Psychiatri being cost-effective relative to clinician-led  
 9 CBT at different levels of willingness-to-pay per extra QALY gained.

10

11 **Figure 13: Cost Effectiveness Acceptability Curve (CEAC) of Internet**  
 12 **Psychiatri versus clinician-led CBT. X axis shows the level of willingness-**  
 13 **to-pay per extra QALY gained and Y axis shows the probability of Internet**  
 14 **Psychiatri being cost-effective at different levels of willingness-to-pay.**



15

16

### 17 *Discussion of findings - limitations of the models*

18 The results of the 4 economic models indicate that CCBT (represented by two  
 19 different packages, Panic Online and Internet Psychiatri) is likely to be a cost-  
 20 effective treatment option for people with panic disorder compared with  
 21 inactive treatment and clinician-led CBT. However, analyses were based on  
 22 clinical data derived from a small number of studies (6 studies utilised in 4

1 models). Moreover, the total number of participants in the 6 studies was  
2 rather low (N=294). The studies were characterised by important limitations,  
3 as discussed in the clinical section of this chapter. The definition of panic-free  
4 status was not consistent across studies, a fact that was potentially the cause  
5 of the substantial heterogeneity observed in guideline meta-analyses. Follow-  
6 up data were available in one only study (CARLBRING2005); the other 5  
7 studies had very short time horizons ranging from 5 weeks to 14 weeks.

8  
9 Panic Online is a CCBT package designed for research purposes only and is  
10 not available in clinical practice. On the other hand, Internet Psychiatri is  
11 freely available on the internet for the treatment of people with panic  
12 disorder, but in Swedish. Therefore, the models did not consider a license fee  
13 at the estimation of the CCBT intervention cost. However, alternative CCBT  
14 packages designed for the treatment of people with panic disorder in the  
15 future may not be freely available. A license fee would need to be added to  
16 the intervention cost in such cases, which, if significant, may affect the cost  
17 effectiveness of CCBT.

18  
19 CCBT packages were found to be cost-effective compared with inactive  
20 treatments. Nevertheless, the latter do not represent routine practice for  
21 people with panic disorder within the NHS. On the other hand, both packages  
22 were found to be cost-effective when compared with clinician-led CBT. If this  
23 is confirmed by future research, it will have significant resource implications,  
24 as availability of CCBT packages in English for the treatment of people with  
25 panic disorder is going to free-up a large amount of therapists' time that  
26 could be used for provision of psychological therapies in other areas of mental  
27 health within NHS. Alternatively, CCBT may be effectively used in areas  
28 where there is shortage of therapists providing psychological treatments, to  
29 cover the local needs of people with panic disorder. In any case, currently  
30 there are no CCBT packages available for the treatment of this population in  
31 the NHS.

## 32 **9.3 FROM EVIDENCE TO RECOMMENDATIONS**

### 33 *Panic disorder only population*

34 There is some evidence favouring CCBT when compared with control for  
35 improving panic severity and depression scores. Furthermore, there is initial  
36 evidence showing CCBT is comparable with traditional face-to-face CBT. The  
37 evidence was of moderate to high quality for most outcomes.

38  
39 Economic analyses using the available (limited) clinical evidence showed that  
40 CCBT is likely to be cost effective relative to inactive treatments but also  
41 compared with clinician-led CBT. Currently no CCBT package is available for  
42 the treatment of people with panic disorder in the NHS. It must be noted that

1 the cost effectiveness of a new CCBT package depends also on its (potential)  
2 licence fee – the economic models undertaken for this guideline assumed no  
3 licence fee, since this was not relevant to the two CCBT packages assessed.  
4 However, licence fees need to be considered when evaluating the cost  
5 effectiveness of CCBT packages developed in the future.

6  
7 CCBT packages specifically for the treatment of people with panic disorders  
8 are not available in the NHS. Due to the limited evidence, NICE cannot  
9 advice recommendations for panic disorder only population. Therefore a  
10 research recommendation was made instead on CCBT versus face to face CBT  
11 for panic disorder.

### 12 *Panic disorder and phobia population*

13 No new evidence has emerged since the last technical appraisal. Therefore,  
14 the recommendation for Fear Fighter in the treatment of people with panic  
15 and phobia remains unchanged.

## 17 **9.3.1 Recommendations**

18 **9.3.1.1** FearFighter is recommended as an option for delivering CBT in the  
19 management of panic. [2011]<sup>13</sup>

## 20 **9.3.2 Research recommendation**

### 22 **9.3.2.1 Clinical and cost effectiveness of CCBT compared with CBT for** 23 **treating panic disorder**

24 In well-defined panic disorder population what is the clinical and cost  
25 effectiveness of supported/guided CCBT compared to therapist delivered  
26 CBT?

27  
28 This question should be answered using a randomised controlled trial design  
29 which reports both short-term and medium-term outcomes (including cost-  
30 effectiveness outcomes) of at least 12 months' duration. Particular attention  
31 should be paid to the reproducibility of the treatment model with regard to  
32 content and duration and training and supervision of those delivering  
33 interventions to ensure that the treatments are both robust and generalisable.  
34 The outcomes chosen should include both observer and patient- rated  
35 assessments of improvement including both symptoms and functioning and  
36 an assessment of the acceptability and accessibility of the treatment options.

---

<sup>13</sup> This recommendation for the management of panic is incorporated unchanged from 'Computerised cognitive behaviour therapy for depression and anxiety (review of technology appraisal 51)'. (NICE technology appraisal guidance 97, 2006).

1

2 **Why this is important**

3 Psychological treatments are a recommended therapeutic option for people  
4 with panic disorder. CCBT is a promising intervention but does not have the  
5 substantial evidence base that therapist delivered CBT has. It is therefore  
6 important to establish whether CCBT is an effective alternative to therapist  
7 CBT and one that should be provided. The results of this study will have  
8 important implications for the provision, accessibility and acceptability of  
9 psychological treatment in the NHS.



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13		

# 1 **Appendix 1: Scope for the development of the clinical guideline**

## 2 **Final version**

3

4 Date July 2009

5

### 6 1 *Guideline title*

7 Anxiety: management of generalised anxiety disorder in adults in primary,  
8 secondary and community care (update)

9

#### 10 1.1 *Short title*

11 Anxiety (update)

12

### 13 2 *Background*

14 This is a partial update of NICE clinical guideline 22 (2004): 'Anxiety:  
15 management of generalised anxiety disorder and panic disorder (with or  
16 without agoraphobia) in adults in primary, secondary and community care'.  
17 the original remit, the Department of Health asked NICE to 'prepare a clinical  
18 guideline for the NHS in England and Wales for 'talking' therapies, drug  
19 treatments and prescribing for anxiety and related common mental disorders,  
20 including generalised anxiety disorder (GAD) and panic disorder (with or  
21 without agoraphobia). Following informal consultation with a number of  
22 experts and the assessment of recent high quality systematic reviews,  
23 substantial new trial evidence has been identified for adults with GAD  
24 therefore the management of this disorder has been prioritised for updating.  
25 Other areas of the original scope will be considered for review at a later date.

26

27 In December 2008 the technology appraisal team put forward an update  
28 proposal for the anxiety section of 'Technology Appraisal TA97: computerised  
29 cognitive behaviour therapy (CCBT)' to be updated within the clinical  
30 guideline on anxiety. After consideration of all of the consultation comments  
31 the Institute's Guidance Executive agreed to proceed with the proposal.

32

### 33 3 *Clinical need for the guideline*

34

#### 35 3.1 *Epidemiology*

36 Generalised anxiety disorder is a relatively common condition. It often has a  
37 chronic course, which can lead to significant distress and impairment to the  
38 person with the disorder.

39 A recent US household survey reported prevalence for a range of psychiatric  
40 disorders. For anxiety disorders as a whole there was a 12-month prevalence  
41 of 18.1% and a lifetime prevalence of 28.8%. For generalised anxiety disorder  
42 specifically, there was a 12-month prevalence of 3.1% and a lifetime  
43 prevalence of 5.7%. However, a European study (Belgium, France, Germany,

1 Italy, Netherlands, and Spain) reported a much lower 12-month prevalence of  
2 4.6% for anxiety disorders as a whole.

3

### 4 3.2 *Current practice*

5 a) GAD, along with other anxiety disorders, is most commonly treated in  
6 primary care, although some with more severe impairment are also treated in  
7 secondary care. Treatments include psychological interventions  
8 (computerised and face to face), pharmacological interventions (for example,  
9 SSRIs, venlafaxine, duloxetine, TCAs, benzodiazepines) and self-help.

10

11 b) The Department of Health initiative 'Improving Access to Psychological  
12 Therapies' started in 2008, and is currently increasing the capacity to deliver  
13 psychological interventions for common mental health disorders in primary  
14 care, including interventions for anxiety disorders.

15

## 16 4 *The guideline*

17

18 The guideline development process is described in detail on the NICE website  
19 (see section 6, 'Further information') Anxiety (partial update) scope July 2009  
20 Page 3 of 13

21 This scope defines what the guideline will (and will not) examine, and what  
22 the guideline developers will consider. The scope is based on the referral from  
23 the Department of Health. The areas that will be addressed by the guideline  
24 are described in the following sections.

25

### 26 4.1 *Population*

#### 27 4.1.1 *Groups that will be covered*

28 a) Adults (aged 18 years or older) with a working diagnosis of generalised  
29 anxiety disorder

30

#### 31 4.1.2 *Groups that will not be covered*

32 a) Children and young people (younger than 18)

33 b) This guideline update may be relevant to adults with the following  
34 conditions, but will not specifically address: panic disorder, major depression,  
35 bipolar depression, seasonal affective disorder, combat disorder, phobic  
36 disorders, obsessive compulsive disorder, post-traumatic stress disorder and  
37 anxiety disorders associated with dementia.

38

### 39 4.2 *Healthcare setting*

40 The guideline will cover care received from primary, secondary and  
41 community healthcare professionals who have direct contact with and make  
42 decisions concerning care of people with generalised anxiety disorder.



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### 4.3 *Clinical management*

#### 4.3.1 *Topics that will be updated*

a) Pharmacological interventions compared with: placebo, other pharmacological interventions (those available in the UK according to the British National Formulary), psychological interventions, or combined psychological and pharmacological treatment for generalised anxiety disorder. This will include selective serotonin reuptake inhibitors [SSRIs] (and related drugs), duloxetine, venlafaxine, tricyclic antidepressants, benzodiazepines, azapirones, antihistamines, beta-blockers, antipsychotics.

b) When referring to pharmacological interventions, the guideline will normally recommend use within licensed indications. Exceptionally, and only where the evidence supports it, the guideline may recommend use outside a treatment's licensed indications. The guideline will expect that prescribers will use the Summary of Product Characteristics to inform their prescribing decisions for individual patients.

c) Psychological interventions compared with: control groups (such as treatment as usual), other psychological interventions, pharmacological interventions, or combined psychological and pharmacological treatment for generalised anxiety disorder. This will include cognitive behavioural therapy (CBT), guided self-help, counselling, and short term psychodynamic psychotherapy.

d) The Guideline Development Group will also review the structure of recommendations of the original guideline and care pathways on which it is based to ensure fit with other NICE guidelines for common mental health disorders.

e) The delivery of computerised cognitive behaviour therapy (CCBT) for panic disorder and generalised anxiety disorder.

#### 4.3.1 *Topics that will not be updated*

a) Diagnosis

b) Pharmacological and psychological interventions for panic disorder (with or without agoraphobia)

### 4.4 *Main outcomes*

a) Anxiety symptoms (mean anxiety rating scale score, response [ $>50\%$  reduction in mean anxiety rating scale score], remission) at end of treatment and follow up

- 1 b) Quality of life (for example, SF-36, EQ-5D) at end of treatment and follow  
2 up  
3  
4 c) Tolerability (leaving the study early for any reason, leaving the study early  
5 due to lack of efficacy, leaving the study early due to adverse events)  
6  
7 d) Adverse effects (for example gastro-intestinal symptoms, weight  
8 gain/loss, mortality)  
9

10 4.5 *Economic aspects*

11 Developers will take into account both clinical and cost effectiveness when  
12 making recommendations involving a choice between alternative  
13 interventions. A review of the economic evidence will be conducted and  
14 further economic analyses will be carried out as appropriate. Outcomes of  
15 economic analyses will be expressed in terms of the quality-adjusted life year  
16 (QALY), depending on availability of appropriate clinical and utility data.  
17 Costs will be considered from an NHS and personal social services (PSS)  
18 perspective. Further detail on the methods can be found in 'The guidelines  
19 manual' (see 'Further information').  
20

21 4.6 *Status*

22 4.6.1 *Scope*

23 This is the final scope. There will be no consultation as no new key areas have  
24 been identified that need updating in this guideline.  
25

26 4.6.2 *Timing*

27 The development of the guideline recommendations will begin in June 2009.  
28

29 *Related NICE guidance*

30  
31 5.1 *Published guidance*

32 5.1.1 *NICE guidance to be updated*  
33

34 This guideline will update and partially replace the following NICE guidance.

- 35 • Anxiety. NICE clinical guideline 22 (2004). Available from  
36 [www.nice.org.uk/CG22](http://www.nice.org.uk/CG22)  
37 • Computerised cognitive behaviour therapy for depression and anxiety.  
38 NICE technology appraisal guidance 97 (2006). Available from  
39 [www.nice.org.uk/TA97](http://www.nice.org.uk/TA97). (Anxiety indications only)  
40

41 5.1.2 *Other related NICE guidance*

- 42 • Obsessive-compulsive disorder. NICE clinical guideline 31 (2005). Available  
43 from [www.nice.org.uk/CG31](http://www.nice.org.uk/CG31)

- 1 • Post-traumatic stress disorder. NICE clinical guideline 26 (2005). Available  
2 from [www.nice.org.uk/CG26](http://www.nice.org.uk/CG26)  
3 • Guidance on the use of zaleplon, zolpidem and zopiclone for the short-term  
4 management of insomnia. NICE technology appraisal guidance 77 (2007).  
5 Available from [www.nice.org.uk/TA77](http://www.nice.org.uk/TA77).  
6

7 *5.2 Guidance under development*

8 NICE is currently developing the following related guidance (details available  
9 from the NICE website).

- 10 • Alcohol use disorders: diagnosis, assessment and management of harmful  
11 drinking and alcohol dependence. NICE clinical guideline. Publication  
12 expected January 2011.  
13 • Common mental health disorders: identification and pathways to care.  
14 NICE clinical guideline. Publication expected Summer 2011.

15

16 *6 Further information*

17 Information on the guideline development process is provided in:

- 18 • 'How NICE clinical guidelines are developed: an overview for stakeholders'  
19 the public and the NHS'  
20 • 'The guidelines manual'.  
21

22 These are available from the NICE website  
23 ([www.nice.org.uk/guidelinesmanual](http://www.nice.org.uk/guidelinesmanual)). Information on the progress of the  
24 guideline will also be available from the NICE website ([www.nice.org.uk](http://www.nice.org.uk)).  
25  
26

## 1 **Appendix 2: Scope from original anxiety guideline**

### 2 1 *Guideline title*

3 Anxiety: management of generalised anxiety disorder and panic disorder (with or  
4 without agoraphobia) in adults in primary, secondary and community care

### 6 1.1 *Short title*

7 Anxiety

### 9 2 *Background*

10 a) The National Institute for Clinical Excellence ('NICE' or 'the Institute') has  
11 commissioned the National Collaborating Centre for Primary Care to develop  
12 a clinical guideline on the management of generalised anxiety disorder and  
13 panic disorder (with or without agoraphobia) in adults in primary and  
14 secondary care and in the community for use in the NHS in England and  
15 Wales. This follows referral of the topic by the Department of Health and  
16 Welsh Assembly Government (included in the Appendix). Post-traumatic  
17 stress disorder and obsessive-compulsive disorder are excluded from this  
18 scope, but will be the subject of another guideline being prepared by the  
19 National Collaborating Centre for Mental Health. The guideline will provide  
20 recommendations for good practice that are based on the best available  
21 evidence of clinical and cost effectiveness.

23 b) The Institute's clinical guidelines will support the implementation of National  
24 Service Frameworks (NSFs) in those aspects of care where a Framework has  
25 been published. The statements in each NSF reflect the evidence that was  
26 used at the time the Framework was prepared. The clinical guidelines and  
27 technology appraisals published by the Institute after an NSF has been issued  
28 will have the effect of updating the Framework.

### 30 3 *Clinical need for the guideline*

31 a) Generalised anxiety disorder is a relatively common condition. It can often  
32 have a chronic course, leading to significant distress and impairment to the  
33 individual.

35 b) Precise and accurate statistics for the incidence and prevalence of generalised  
36 anxiety disorder and related disorders are difficult to find. In a recent survey,  
37 the overall findings suggested that one in six adults living in private  
38 households in Great Britain had a neurotic disorder (Office of National  
39 Statistics 2000). Of these, about 4% were assessed as having generalised  
40 anxiety disorder. Less than 2% had other related disorders such as phobias,  
41 obsessive-compulsive disorder and panic disorder. Whilst these findings  
42 indicate that women have a higher overall rate of anxiety disorders than men,  
43 for generalised anxiety disorder and panic disorder the rates are similar.

### 45 4 *The guideline*

46 a) The guideline development process is described in detail in three booklets  
47 that are available from the NICE website (see 'Further information'). The

1           Guideline Development Process - Information for Stakeholders describes how  
2           organisations can become involved in the development of a guideline.

3

4           b) This document is the scope. It defines exactly what this guideline will (and  
5           will not) examine, and what the guideline developers will consider. The scope  
6           is based on the referral from the Department of Health and Welsh Assembly  
7           Government (see Appendix).

8

9           c) The areas that will be addressed by the guideline are described in the  
10          following sections.

11

#### 12   4.1    *Population*

##### 13   4.1.1   *Group that will be covered*

14   The recommendations made in the guideline will cover management of the following  
15   group.

16

17          a) Adults (aged 16 years or older) with a working diagnosis of generalised  
18          anxiety disorder or panic disorder (with or without agoraphobia).

19

##### 20   4.1.2   *Groups that will not be covered*

21   The following groups will not be covered by this guideline.

22

23          a) Children (younger than 16 years).

24          b) People with major depression.

25          c) People with bipolar depression.

26          d) People with seasonal affective disorder (SAD).

27          e) People with combat disorder.

28          f) People with anxiety disorders associated with dementia.

29          g) People with phobic disorders other than panic disorder with agoraphobia.

30          h) People with organic brain disorders.

31

#### 32   4.2    *Healthcare setting*

33          a) The guideline will cover the care received from primary, secondary and  
34          community healthcare professionals who have direct contact with and make  
35          decisions concerning the care of people with generalised anxiety disorder and  
36          panic disorder (with or without agoraphobia).

37

38          b) The guideline will also be relevant to the work, but will not cover the practice,  
39          of those in:

40                  • the occupational health services

41                  • social services

42                  • the voluntary sector.

43

#### 44   4.3    *Clinical management - areas that will be covered*

45   The guideline will cover the following areas of clinical practice.

46

- 1 a) Diagnosis of generalised anxiety disorder and panic disorder (with or  
2 without agoraphobia).  
3 b) Pharmacological interventions for generalised anxiety disorder and panic  
4 disorder (with or without agoraphobia) (those available in the UK according  
5 to the British National Formulary). When referring to pharmacological  
6 treatments, the guideline will normally recommend use within licensed  
7 indications. Exceptionally, and only where the evidence supports it, the  
8 guideline may recommend use outside a treatment's licensed indications. The  
9 guideline will expect that prescribers will use the Summary of Product  
10 Characteristics to inform their prescribing decisions for individual patients.  
11 c) Non-pharmacological interventions for generalised anxiety disorder and  
12 panic disorder (with or without agoraphobia) - the 'talking' therapies,  
13 including counselling.  
14 d) Self-care.  
15

16 *4.4 Clinical management - areas that will not be covered*

17 The following areas will not be covered in this guideline.  
18

- 19 a) Complementary medicine approaches and interventions for generalised  
20 anxiety disorder, except where high-quality syntheses of evidence exist (for  
21 example, Cochrane reviews).  
22 b) Management of the related anxiety disorder post-traumatic stress disorder  
23 (anxiety disorder manifested by the development of characteristic symptoms  
24 following a psychologically traumatic event that is outside the normal range  
25 of human experience).  
26 c) Management of the related anxiety disorder obsessive-compulsive disorder  
27 (an anxiety disorder characterised by recurrent, persistent obsessions or  
28 compulsions).  
29

30 *4.5 Audit support within guideline*

31 The guideline will be accompanied by level 2 audit review criteria and advice.  
32

33 *4.6 Status*

34 *4.6.1 Scope*

35 This is the final version of the scope.

1

2 **Appendix 3: Declarations of interests by GDG members**

3

<b>Declarations of interest</b>	
<b>Professor John Cape - Chair, Guideline Development Group</b>	
Employment	Head of psychological therapies, Camden and Islington NHS foundation Trust
Personal pecuniary interest	None
Personal family interest	None
Non-personal pecuniary interest	None
Personal non-pecuniary interest	None
<b>Dr Marta Buszewicz</b>	
Employment	Senior Lecturer, Research Department of Primary Care & Population Health, University College London
Personal pecuniary interest	None
Personal family interest	None
Non-personal pecuniary interest	None
Personal non-pecuniary interest	I have been asked to lead a Mental Health Research Network Clinical Research Group on the topic of 'Improving the Detection and Management of Anxiety Disorders in Primary Care', and as such will be involved in writing research proposals on this topic. (April 09)  Involved in research proposal to the HTA using pregabalin as augmentation to SSRIs in people with refractory anxiety. (April 2010)
<b>Dr Carolyn Chew- Graham</b>	
Employment	Professor of Primary Care, University of Manchester
Personal pecuniary interest	Clinical Advisor Joint Commissioning Team, Manchester (June 09)  Invited speaker at 'Neurology and mental health conference' honorarium will be paid. Organised by Haymarket conferences.
Personal family interest	None
Non-personal pecuniary interest	Research Grants  A R&D programme to increase equity of access to high quality mental health services in primary care. 2007-2012 NIHR 1071 Programme Grant £1,924,231. (AMP programme). (June 09)  Multi-centre Randomised Controlled Trial of Collaborative Care for Depression. (CADET study)

	<p>£2,295,000 MRC 2008-2010. (June 09)</p> <p>Short term research fellowship with Manchester PCT to support grant writing. £25,000. Feb-Oct 2008. (June 09)</p> <p>Co-investigator on the successful Greater Manchester CLAHRC award. £10million from NIHR plus £10million in matched funds from Greater Manchester Association of PCTs. Oct 2008 - Oct 2013. (June 09)</p> <p>Developing effective strategies to reduce unscheduled care in chronic disease. £190,000. Jan 2009 - 2014. (June 09)</p> <p>Development and evaluation of a communication training package for primary care practitioners to reduce inappropriate antibiotic prescribing for respiratory tract infections. British Society for antimicrobial therapy. EDG/07/02. £30,000 Jan 2009-Dec 2010. (June 09)</p>
Personal non-pecuniary interest	<p>RCGP Clinical Champion, Mental Health and Co-lead of Primary Care Mental Health Forum. (June 09)</p> <p>Member of steering group, Anxiety UK (third sector organisation in Manchester). (June 09)</p>
Professor Phillip Cowen	
Employment	Professor of Psychopharmacology, University of Oxford
Personal pecuniary interest	<p>I have acted as a paid member of advisory boards and given paid lectures for companies which market medicines relevant to the treatment of anxiety</p> <p>Eli Lilly (£6,000 over last two years for lectures on depression - not product related)</p> <p>Lundbeck (£1,000 for lecture on depression this year - not product related)</p> <p>Servier (£3,000 over last two years for advisory boards concerning agomelatine)</p> <p>Wyeth (£1,000 over last two years for an advisory board on desvenlafaxine). (April 09)</p> <p>I have provided expert advice to solicitors representing Glaxo-Smith-Kline in claims over paroxetine (Seroxat) (payment of £3,000 over last year). (April 09)</p> <p>Astra Zeneca (£250 for chairing a meeting on bipolar</p>



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	disorder, Nov 09).
Personal family interest	None
Non-personal pecuniary interest	None
Personal non-pecuniary interest	<p>I am one of the authors of the “Oxford Textbook of Psychiatry” which provides advice about the treatment of psychiatric disorders. (April 09)</p> <p>I have been a member of guideline development groups for the British Association for Psychopharmacology which issues advice on the appropriate use of psychotropic medicines in the treatment of a range of psychiatric disorders. (April 09).</p>
<b>Ms Judy Leibowitz</b>	
Employment	Consultant Clinical Psychologist Clinical Lead Camden Psychological Therapies Service
Personal pecuniary interest	None
Personal family interest	None
Non-personal pecuniary interest	None
Personal non-pecuniary interest	None
<b>Professor Karina Lovell</b>	
Employment	Professor in mental Health, University of Manchester
Personal pecuniary interest	None
Personal family interest	<p>I receive £6000 a year in my role as a Non Executive Director, and earn approximately £1000 a year running various workshops for NHS Trusts or BABCP (British Association of Behavioural and Cognitive Psychotherapy) – I also received £1000 to be editor of the CSIP publication of CCBT in 2008. (April 09)</p>
Non-personal pecuniary interest	<p>I am an applicant on a number of NIHR research grants (see CV) and therefore the university of Manchester employ research assistants and therapists, but all funding goes to the university. The PCT pay the university of Manchester 2 hours a week of my time – to conduct clinical supervision but this is not paid to me. (April 09)</p>
Personal non-pecuniary interest	<p>I am a Patron of ‘Anxiety UK’ and part of the steering group for ‘self help services’ both 3rd sector organisations. (April 09)</p>
<b>Catherine O’Neill</b>	
Employment	Service User Representative and Services Manager at

DRAFT FOR CONSULTATION

	Anxiety UK
Personal pecuniary interest	None
Personal family interest	None
Non-personal pecuniary interest	<p>I was approached in my role at Anxiety UK to be part of the working group for the mental health providers forum which has an agenda of campaigning for different types of evidence to be accepted by NICE. (July 09)</p> <p>Delivered training to East Sussex Partnership Trust on Phone CBT (Feb 2010)</p>
Personal non-pecuniary interest	I do work for an anxiety disorders charity that provides support advice and therapy services to individuals suffering with a range of anxiety disorders. We do not provide CCBT or medication advice however. (April 09)
<b>Professor Paul Salkovskis</b>	
Employment	Professor of Clinical Psychology and Applied Science, Institute of Psychiatry, Kings College London
Personal pecuniary interest	None
Personal family interest	None
Non-personal pecuniary interest	<p>Will receive £2154.10 from Meiji Seika Kaisha, Ltd (<a href="http://www.meiji.co.jp/en/">http://www.meiji.co.jp/en/</a>) for flights from London to Tokyo and Hong Kong to London for teaching in Japan and Hong Kong in October 2009. There are no conditions attached to this funding which was actually awarded to the conference which I had agreed to speak at and was unaware of any industry links at that time. I am not aware of the products that this company manufactures. (July 09)</p> <p>My group has been contracted to provide “top-up” training in CBT for the London IAPT services. This funding is awarded to King’s College and does not benefit me personally. (July 09)</p>
Personal non-pecuniary interest	<p>I have conducted and been involved in a number of research projects on the treatment of panic disorder with and without agoraphobia. I have been a co-author on a paper in which it was concluded that cognitive behavioural treatment performed better than anti-depressant medication.(May 09)</p> <p>I edit the journal of the British Association for Behavioural and Cognitive Psychotherapy; this organisation is a special interest group for people working in cognitive behavioural treatment. (May 09)</p> <p>I am the patron of four charities involved in advocacy for anxiety disorders. These are No Panic,</p>

	Anxiety UK, OCD Action and OCD-UK. (May 09)
<b>Professor Jan Scott</b>	
Employment	Professor of Psychological Medicine, University of Newcastle
Personal pecuniary interest	I have attended some advisory boards for the following- Astrazeneca, Jansen-Cilag, 4SK, BSM Otsuka, Eli Lilly, Sanofi Aventus- my work is on psychosocial aspects of bipolar disorder and medication adherence. (April 09)
Personal family interest	None
Non-personal pecuniary interest	In the past I have had- Unrestricted educational award 1) to teach multidisciplinary teams about engagement and enhancing adherence, 2) for a catchment art study on met and unmet requirements in bipolar, and 3) have had independent investigator award from Jansen-Cilag on medication adherence. (April 09)
Personal non-pecuniary interest	When asked my views (following lectures) on CCBT I have pointed out that it is not always feasible, e.g. no computer at home, and some patients e.g. older females, may not take up such treatments. (April 09)
<b>NCCMH</b>	
<b>Dr Tim Kendall</b>	
Employment	Joint Director, National Collaborating Centre for Mental Health; Consultant Psychiatrist and Medical Director, Sheffield Health and Social Care NHS Foundation Trust
Personal pecuniary interest	None
Personal family interest	None
Non-personal pecuniary interest	I have been offered C£65K to undertake a systematic review of the mental health impact of abortion by the DH. We have not received the money as yet. (Oct 09)
Personal non-pecuniary interest	None
<b>Ms Henna Bhatti</b>	
Employment	Research Assistant, National Collaborating Centre for Mental Health
Personal pecuniary interest	None
Personal family interest	None
Non-personal pecuniary interest	None
Personal non-pecuniary interest	None
<b>Ms Melissa Chan</b>	
Employment	Systematic Reviewer, National Collaborating Centre for Mental Health
Personal pecuniary interest	None
Personal family interest	None

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Non-personal pecuniary interest	None
Personal non-pecuniary interest	None
<b>Ms Esther Flanagan</b>	
Employment	Guideline Development Manager, National Collaborating Centre for Mental Health
Personal pecuniary interest	None
Personal family interest	None
Non-personal pecuniary interest	None
Personal non-pecuniary interest	None
<b>Ms Marie Halton</b>	
Employment	Research Assistant, National Collaborating Centre for Mental Health
Personal pecuniary interest	None
Personal family interest	None
Non-personal pecuniary interest	None
Personal non-pecuniary interest	None
<b>Dr Ifigeneia Mavranezouli</b>	
Employment	Senior Health Economist, National Collaborating Centre for Mental Health
Personal pecuniary interest	None
Personal family interest	None
Non-personal pecuniary interest	None
Personal non-pecuniary interest	None
<b>Dr Nick Meader</b>	
Employment	Systematic Reviewer, National Collaborating Centre for Mental Health
Personal pecuniary interest	None
Personal family interest	None
Non-personal pecuniary interest	None
Personal non-pecuniary interest	None
<b>Sarah Stockton</b>	
Employment	Senior Information Scientist, National Collaborating Centre for Mental Health
Personal pecuniary interest	None
Personal family interest	None
Non-personal pecuniary interest	None
Personal non-pecuniary interest	None
<b>Clare Taylor</b>	
Employment	Senior Editor, National Collaborating Centre for Mental Health
Personal pecuniary interest	None
Personal family interest	None
Non-personal pecuniary interest	None
Personal non-pecuniary interest	None

- 1 **Appendix 4: Special advisors to the Guideline Development**
- 2 **Group**

3

- 1 **Appendix 5: Stakeholders and experts who submitted comments**
- 2 **in response to the consultation draft of the guideline**
- 3 Stakeholders
- 4 Experts
- 5

1 **Appendix 6: Researchers contacted to request information about**  
2 **unpublished or soon-to-be published studies**

- 3 Professor Per Carlbring
- 4 Professor Crits-Christoph
- 5 Dr Michelle Craske
- 6 Professor Michel J. Dugas
- 7 Dr Alessandra Gorini
- 8 Professor Jurgen Hoyer
- 9 Professor Justin Kenardy
- 10 Dr Litza Kiropoulos
- 11 Professor Britt Klein
- 12 Dr Julie Williams

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1 **Appendix 7: Clinical questions**

- 2 1. For people who have GAD and their carers, what are their experiences  
3 of having problems with GAD, of access to services and of treatment?  
4 2. In the treatment of GAD, do any of the following improve outcomes  
5 compared with other interventions (including treatment as usual): pure  
6 bibliotherapy, pure audiotherapy, pure computer therapy, guided  
7 bibliotherapy, guided computer therapy and psychoeducational  
8 groups?  
9 3. In the treatment of GAD, what are the risks and benefits associated  
10 with the following interventions compared with other interventions  
11 (including treatment as usual)?: CBT, non-directive therapies,  
12 psychodynamic therapies, relaxation.  
13 4. In the treatment of GAD, which drugs improve outcomes compared  
14 with other drugs and with placebo?  
15 5. In the treatment of panic disorder does CCBT improve outcome?  
16  
17



## 1 Appendix 8: Review protocols

Relevant questions	<b>10.1.1.1.1 EXAMPLE::2.1.1a</b> <i>For people with first-episode or early schizophrenia, what are the benefits and downsides of continuous antipsychotic drug<sup>14</sup> treatment when compared to alternative management strategies at the initiation of treatment<sup>15</sup>?</i>
Sub-questions	2.1.3, 2.14a, 2.1.5a, 2.2.1, 2.2.5, 2.2.6, 2.2.7
Chapter	?
Sub-section	?
Topic Group	Pharm
Sub-section lead	?
Search strategy	<b>Databases:</b> CINAHL, CENTRAL, EMBASE, MEDLINE, PSYCINFO <b>Additional sources:</b> Reference lists of included studies, Systematic reviews published after 2002.
Existing reviews	
• Updated	
• Not updated	
Search filters used	Schiz - Antipsychotics [SR update, mainstream] Oct06; Schiz - Antipsychotics [SR update, CDSR_DARE]; Schiz - APs [RCT, Merged]; Schiz [RCT, CENTRAL, update_1] (See Appendix #)
Question specific search filter	NA
Amendments to filter/ search strategy	Schiz - APs [SR, CDSR_DARE, update#2]; Schiz - APs [SR, mainstream, update#2]
Eligibility criteria	
• Intervention	Antipsychotic drugs licensed for use in the UK (BNF 54):  First-generation: <ul style="list-style-type: none"><li>• Benperidol</li><li>• Chlorpromazine hydrochloride</li><li>• Flupentixol</li><li>• Fluphenazine hydrochloride</li></ul>

<sup>14</sup> The analysis will be conducted separately for each antipsychotic drug licensed for use in the UK.

<sup>15</sup> When administered within the recommended dose range (BNF 54).

	<ul style="list-style-type: none"> <li>• Haloperidol</li> <li>• Levomepromazine</li> <li>• Pericyazine</li> <li>• Perphenazine</li> <li>• Pimozide</li> <li>• Prochlorperazine</li> <li>• Promazine hydrochloride</li> <li>• Sulpiride</li> <li>• Trifluoperazine</li> <li>• Zuclopenthixol acetate</li> <li>• Zuclopenthixol dihydrochloride</li> </ul> <p>Second-generation:</p> <ul style="list-style-type: none"> <li>• Amisulpride</li> <li>• Aripiprazole</li> <li>• Clozapine</li> <li>• Olanzapine</li> <li>• Quetiapine</li> <li>• Risperidone</li> <li>• Sertindole</li> <li>• Zotepine</li> </ul> <p>Antipsychotic depot injections:</p> <ul style="list-style-type: none"> <li>• Flupentixol decanoate</li> <li>• Fluphenazine decanoate</li> <li>• Haloperidol</li> <li>• Pipotiazine palmitate</li> <li>• Risperidone</li> <li>• Zuclopenthixol decanoate</li> </ul>
<ul style="list-style-type: none"> <li>• Comparator</li> </ul>	Any relevant alternative management strategy
<ul style="list-style-type: none"> <li>• Population (including age, gender etc)</li> </ul>	Adults (18+) with first-episode or early schizophrenia
<ul style="list-style-type: none"> <li>• Outcomes</li> </ul> <p>(see Outcomes document for definitions)</p>	<ul style="list-style-type: none"> <li>-Mortality (suicide &amp; natural causes)</li> <li>-Global state (including relapse)</li> <li>-Service outcomes</li> <li>-Mental state</li> <li>-Psychosocial functioning</li> <li>-Behaviour</li> <li>-Engagement with services</li> <li>-Cognitive functioning</li> </ul>

	<p>-QoL</p> <p>-Satisfaction with treatment/ subjective well-being</p> <p>-Adherence to medication/ study protocol</p> <p>-Adverse events (including extrapyramidal side effects, weight gain, sedation/fatigue, sexual dysfunction, diabetes/ disturbance of glucose homeostasis, increased prolactin, cardiotoxicity, suicide, depression)</p>
• Study design	RCT
• Publication status	[Published and unpublished (if criteria met)]
• Year of study	2002-2007
• Dosage	[Enter relevant information]
• Minimum sample size	[Enter relevant information]
• Study setting	[Enter relevant information]
<b>Additional assessments</b>	<p>An additional assessment will be undertaken to ensure that restriction to experimental study designs does not result in overlooking the effects of X that are difficult to quantify and have not been captured in these studies.</p> <p>Studies were categorised as short-term (&lt;12 weeks), medium-term (12–51 weeks) and long-term (52 weeks or more).</p> <p>Sensitivity analyses:</p> <ul style="list-style-type: none"> <li>• Exclude studies without blinded/masked assessment</li> <li>• Exclude studies that didn't use ITT</li> <li>• Exclude studies that used LOCF</li> </ul>

1

## 1 **Appendix 9: Search strategies for the identification of clinical** 2 **studies**

### 3 4 **9.1 Search strategies**

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6 The search strategies should be referred to in conjunction with information set  
7 out in Section 3.5. Each search was constructed using the groups of terms as set  
8 out in Table 1. The full set of search terms is documented in sections 9.1.1 to  
9 9.1.3. The selections of terms were kept broad to maximise retrieval of evidence  
10 in a wide range of areas of interest to the GDG. Some of the interventions  
11 searched are not documented in the main body of the guideline due to a lack of  
12 evidence.

#### 13 14 **Table 14: Summary of systematic search strategies**

##### Search strategy construction

##### **Generalised anxiety disorder (GAD):**

##### Psychological interventions (high or low-intensity)

- i) [(GAD terms) AND (general psychological terms) AND (SR filter OR RCT Filter)] OR
- ii) [(GAD terms) AND (high-intensity terms) AND (SR filter OR RCT filter)] OR
- iii) [(GAD terms) AND (low-intensity terms) AND (SR filter OR RCT filter)]

##### Pharmacological interventions

- i) (GAD terms) AND (pharmacological terms) AND (SR filter OR RCT Filter)

##### Alternative interventions

- i) (GAD terms) AND (alternative intervention terms) AND (SR filter OR RCT filter)

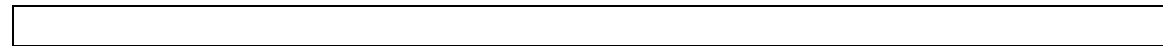
##### Experience of care

- i) [(GAD terms) AND (qualitative filter) AND (SR filter)] OR
- ii) [(GAD terms) AND (experience of care terms) AND (qualitative filter)] OR
- iii) [(GAD terms - modified to be more precise) AND (experience of care terms)]

##### **Panic:**

##### CCBT for panic

- i) (Panic terms) AND (CCBT terms) AND (SR filter OR RCT filter)



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### 9.1.1 Population Search terms

#### a) GAD – population search terms

MEDLINE – Ovid SP interface

1. (anxiety or anxiety disorders).sh.
2. (anxiety or anxious or ((chronic or excessiv or intens or (long adj2 last) or neuros or neurotic or ongoing or persist or serious or sever or uncontrol or un control or unrelent or un relent) adj2 worry)).ti,ab.
3. or/1-2

#### b) Panic – population search terms

MEDLINE – Ovid SP interface

- (panic or panic disorder).sh.  
panic\$.ti,ab.  
or/1-2

### 9.1.2 Question specific search strategies

#### a) Psychological – high and low intensity

MEDLINE – Ovid SP interface

General psychological terms

1. psychotherapy/ or adaption, psychological/
2. (psychotherap\$ or psycho therap\$ or psychotherapeutic or ((humanistic or non pharmacological or psychologic\$) adj3 (approach\$ or assist\$ or coach\$ or educat\$ or instruct\$ or interven\$ or manag\$ or module\$ or program\$ or rehab\$ or strateg\$ or support\$ or technique\$ or therap\$ or train\$ or treat\$ or workshop\$ or work shop\$)) or ((integrated or multimodel or multi model) adj2 therap\$)).ti,ab.
3. or/1-2
4. psychotherapy, brief.sh.
5. ((brief or short term or time limited) adj2 (intervention\$ or program\$ or psychoanaly\$ or psychotherap\$ or solution\$ or therap\$ or treat\$)).ti,ab.

1 6. or/4-5

2 7. or/1-6

3

4

5 High-intensity interventions

6

7 1. exp counseling/

8 2. (counsel\$ or (((client\$ or person) adj2 (centred or centered or  
9 focus?ed)) or non directive\$ or nondirective\$ or rogerian) adj5  
10 (approach\$ or assist\$ or coach\$ or communicat\$ or counsel\$ or educat\$  
11 or help\$ or instruct\$ or interven\$ or learn\$ or manag\$ or module\$ or  
12 network\$ or program\$ or psychoanaly\$ or psychotherap\$ or rehab\$ or  
13 skill\$ or strateg\$ or support\$ or teach\$ or technique\$ or therap\$ or  
14 train\$ or treat\$ or workshop\$ or work shop\$)) or pastoral care or  
15 ((individual or personal or talk\$) adj (psycho\$ or therap\$)).ti,ab.

16 3. or/1-2

17 4. interpersonal relations/ and (th.fs. or (psychotherap\$ or therap\$ or  
18 treatment).hw.)

19 5. (((interpersonal\$ or inter personal\$ or interrelation\$ or relation\$) adj5  
20 (analy\$ or approach\$ or assist\$ or coach\$ or communicat\$ or counsel\$  
21 or educat\$ or help\$ or instruct\$ or interven\$ or learn\$ or manag\$ or  
22 module\$ or network\$ or program\$ or psychoanaly\$ or psychotherap\$  
23 or rehab\$ or skill\$ or strateg\$ or support\$ or teach\$ or technique\$ or  
24 therap\$ or train\$ or treat\$ or workshop\$ or work shop\$)) or  
25 ((interpersonal\$ or inter personal\$ or interrelation\$ or relation\$) adj5  
26 (deficit\$ or difficult\$ or instab\$ or issue\$ or problem\$ or unstab\$) adj5  
27 (analy\$ or approach\$ or assist\$ or coach\$ or communicat\$ or counsel\$  
28 or educat\$ or help\$ or instruct\$ or interven\$ or learn\$ or manag\$ or  
29 module\$ or network\$ or program\$ or psychoanaly\$ or psychotherap\$  
30 or rehab\$ or skill\$ or strateg\$ or support\$ or teach\$ or technique\$ or  
31 therap\$ or train\$ or treat\$ or workshop\$ or work shop\$)) or ipsst or  
32 ipsrt or (ipt not ipth) or (intermittent preventive adj (therap\$ or  
33 treatment\$)) or ((interpersonal\$ or inter personal\$) adj2 social  
34 rhythm\$)).ti,ab.

35 6. or/4-5

36 7. ("patient acceptance of health care"/ or patient compliance.sh.) and  
37 (th.fs. or (psychotherap\$ or therap\$ or treatment).hw.)

38 8. ((acceptance adj (based or centered or centred)) or (acceptance adj2  
39 (commitment or mindfulness)) or (act adj (psychotherap\$ or therap\$))  
40 or (contextual adj2 (analy\$ or approach\$ or assist\$ or coach\$ or engag\$  
41 or help\$ or instruct\$ or interven\$ or learn\$ or manag\$ or module\$ or  
42 network\$ or program\$ or psychoanaly\$ or psychotherap\$ or rehab\$ or  
43 skill\$ or strateg\$ or support\$ or teach\$ or technique\$ or therap\$ or

- 1 train\$ or treat\$ or workshop\$ or work shop\$)) or comprehensive  
 2 distancing).ti,ab.
- 3 9. or/7-8
- 4 10. exp behavior therapy/ or psychotherapy, rational emotive.sh.
- 5 11. (((cognit\$ or behavio?r\$ or metacognit\$) adj5 (analy\$ or interven\$ or  
 6 modif\$ or program\$ or psychoanaly\$ or psychotherap\$ or restructur\$  
 7 or retrain\$ or technique\$ or therap\$ or train\$ or treat\$)) or (behav\$ and  
 8 cognit\$ and (analy\$ or interven\$ or modif\$ or program\$ or  
 9 psychoanaly\$ or psychotherap\$ or restructur\$ or retrain\$ or technique\$  
 10 or therap\$ or train\$ or treat\$)) or behavio?r\$ activat\$cbt).ti,ab.
- 11 12. (self care.sh. and (cognit\$ or behavio?r\$ or metacognit\$ or  
 12 recover\$).tw,hw. ) or (selfinstruct\$ or selfmanag\$ or selfattribut\$ or  
 13 (self\$ adj (instruct\$ or manag\$ or attribution\$)) or (rational\$ adj3  
 14 emotiv\$) or (rational adj (living or psychotherap\$ or therap\$)) or (ret  
 15 adj (psychotherap\$ or therap\$)) or rebt or (active directive adj  
 16 (psychotherap\$ or therap\$)).ti,ab.
- 17 13. or/10-12
- 18 14. "biofeedback (psychology)"/
- 19 15. (biofeed\$ or bio feed\$ or neurofeed\$ or neuro feed\$ or  
 20 psychophysiolog\$ or psycho physiolog\$ or ((alpha or brainwave\$ or  
 21 electromyography or emg or physiological) adj2 feed\$)).ti,ab.
- 22 16. or/14-15
- 23 17. (vret\$1 or (expos\$ adj3 fear) or ((exposure or fear) adj3 (interven\$ or  
 24 psychoanaly\$ or psychotherap\$ or therap\$ or treat\$)) or (fear\$ adj5  
 25 (decreas\$ or diminish\$ or extinct\$ or lessen\$ or prevent\$ or reduc\$)  
 26 adj5 (analy\$ or approach\$ or assist\$ or coach\$ or educat\$ or help\$ or  
 27 interven\$ or instruct\$ or learn\$ or manag\$ or modif\$ or module\$ or  
 28 network\$ or program\$ or psychoanaly\$ or psychotherap\$ or rehab\$ or  
 29 skill\$ or strateg\$ or support\$ or teach\$ or technique\$ or therap\$ or  
 30 train\$ or treat\$ or workshop\$ or work shop\$)).ti,ab.
- 31 18. 17
- 32 19. exp leisure activities/ or relaxation therapy/ or (breathing exercises or  
 33 meditation or relaxation or yoga).sh.
- 34 20. (relaxation or ((autogen\$ or relax\$) adj5 (applied or approach\$ or  
 35 assist\$ or coach\$ or educat\$ or exercis\$ or help\$ or imagery or  
 36 instruct\$ or interven\$ or learn\$ or manag\$ or modif\$ or module\$ or  
 37 network\$ or program\$ or psychoanaly\$ or psychotherap\$ or rehab\$ or  
 38 skill\$ or strateg\$ or support\$ or teach\$ or technique\$ or therap\$ or  
 39 train\$ or treat\$ or workshop\$ or work shop\$)) or ((control\$ or deep) adj  
 40 breathing) or ((breath\$ or respirat\$) adj5 (exercis\$ or physiotherap\$ or  
 41 technique\$ or therap\$ or train\$)) or chi kung or chundosunbup or  
 42 kriya or kundalini or meditat\$ or mindfulness or pranayama or qi gong  
 43 or qigong or reiki or sudarshan or tai chi or vipassana or yoga or yogic  
 44 or zen or jacobsonian or ((jacobson\$ or neuromuscular or neuro

- 1 muscular or progressive) adj2 relax\$) or chest physiotherap\$ or inter  
 2 receptor exposure or respiratory musc\$ train\$ or holiday\$ or leisure or  
 3 life skill\$ or meditat\$ or mind body or pastime\$ or restful\$ or  
 4 tranquil\$1 or vacation\$).ti,ab.  
 5 21. or/19-20  
 6 22. exp psychoanalytic therapy/ or psychoanalysis.sh.  
 7 23. (free association or psychoanal\$ or psycho anal\$ or psychodynamic\$ or  
 8 psycho dynamic\$ or transference or ((analytic or dynamic\$) adj3  
 9 (approach\$ or assist\$ or coach\$ or educat\$ or help\$ or instruct\$ or  
 10 interven\$ or learn\$ or manag\$ or modif\$ or module\$ or network\$ or  
 11 program\$ or psychoanaly\$ or psychotherap\$ or rehab\$ or short term or  
 12 skill\$ or strateg\$ or support\$ or teach\$ or technique\$ or therap\$ or time  
 13 limited or train\$ or treat\$ or workshop\$ or work shop\$)) or ((dream or  
 14 psychologic or self transactional) adj anal\$) or b app\$1 ).ti,ab.  
 15 24. or/22-23  
 16 25. socioenvironmental therapy.sh.  
 17 26. ((psychosocial or social) adj3 (care or caring or approach\$ or club\$ or  
 18 class\$ or coach\$ or educat\$ or group\$ or help\$ or instruct\$ or interven\$  
 19 or learn\$ or manag\$ or modif\$ or module\$ or program\$ or  
 20 psychotherap\$ or rehab\$ or skill\$ or support\$ or teach\$ or technique\$  
 21 or therap\$ or train\$ or treat\$ or workshop\$ or work shop\$)) .ti,ab.  
 22 27. or/25-26  
 23 28. exp group processes/ or exp psychotherapy, group/ or self help  
 24 groups/ or (community networks or peer group or social support).sh.  
 25 29. (conjoint therap\$ or family responsive or family relation\$ or ((couples  
 26 or family or group\$1 or marital or marriage\$ or support\$) adj (based or  
 27 cent\$ or focus?ed)) or ((couples or famil\$ or marital or marriage\$) adj3  
 28 (advocacy or approach\$ or assist\$ or coach\$ or educat\$ or help\$ or  
 29 instruct\$ or learn\$ or module\$ or network\$ or participat\$ or program\$  
 30 or psychoanaly\$ or psychotherap\$ or skill\$ or strateg\$ or support\$ or  
 31 teach\$ or train\$ or workshop\$ or work shop\$)) or (group\$1 adj3  
 32 (advocacy or approach\$ or assist\$ or coach\$ or educat\$ or help\$ or  
 33 instruct\$ or learn\$ or module\$ or network\$ or participat\$ or program\$  
 34 or psychoanaly\$ or psychotherap\$ or skill\$ or strateg\$ or support\$ or  
 35 teach\$ or train\$ or workshop\$ or work shop\$)) or (support\$ adj3  
 36 (approach\$ or educat\$ or instruct\$ or interven\$ or learn\$ or module\$ or  
 37 network\$ or program\$ or psychoanaly\$ or psychotherap\$ or strateg\$  
 38 or technique\$ or therap\$ or train\$ or treat\$ or workshop\$ or work  
 39 shop\$)) or (groupwork or (group adj2 work)) or ((emotion\$ or  
 40 network\$ or organi?ation\$ or peer\$) adj2 support\$) or ((couples or  
 41 famil\$ or group or marital or marriage\$) adj therap\$) or ((group\$ or  
 42 network\$ or peer\$1) adj2 (discuss\$ or exchang\$ or interact\$ or  
 43 meeting\$)) ).ti,ab.  
 44 30. or/28-29



- 1 31. ((anxiet\$ or fear or stress\$ or worry\$) adj3 (control\$ or manag\$)).ti,ab.  
2 32. 31  
3 33. ((multisystemic or systemic) adj2 (interven\$ or therap\$ or treat\$)).ti,ab.  
4 34. 33  
5 35. dialectic\$.ti,ab.  
6 36. 35  
7 37. (signpost\$ or sign post\$).ti,ab.  
8 38. 37  
9 39. (problem based learning or problem solving).sh.  
10 40. (((identif\$ or deal\$ or resolv\$ or solution\$ or solv\$) adj3 (difficult\$ or  
11 problem\$)) or ((educat\$ or learn\$ or module\$ or teach\$) adj5 skill\$ adj5  
12 (difficult\$ or problem\$)) or (skill\$ adj3 problem\$) or (problem adj  
13 (focus\$ or orientat\$))).ti,ab.  
14 41. or/39-40  
15 42. solution focused therapy.sh.  
16 43. (solution\$ adj2 (build\$ or focus\$)).ti,ab.  
17 44. or/42-43  
18 45. exp milieu therapy/ or exp psychodrama/ or exp sensory art  
19 therapies/ or acoustic stimulation/ or creativeness/ or "poetry as  
20 topic"/ or recreational therapy/  
21 46. (chromotherap\$ or chromo therap\$ or craft\$ or creativ\$ or dance or  
22 dancing or drama or expressive or improvi?ation or milieu or music\$  
23 or paint\$ or (performance adj2 art\$) or play or poetry or psychodrama\$  
24 or recreation\$ or roleplay or story or stories or theatre or theatrical or  
25 ((acoustic\$ or art\$ or auditor\$ or colo?r\$) adj5 (activit\$ or educat\$ or  
26 help\$ or instruct\$ or interven\$ or learn\$ or module\$ or network\$ or  
27 opportunit\$ or program\$ or psychoanaly\$ or psychotherap\$ or rehab\$  
28 or skill\$ or support\$ or teach\$ or technique\$ or therap\$ or train\$ or  
29 treat\$ or work or workshop\$ or work shop\$))).ti,ab.  
30 47. or/45-46  
31 48. or/1-47  
32

33 \* Evidence of high-intensity physical activity was retrieved as part of the  
34 search for low intensity psychological interventions (this follows).  
35

#### 36 Low-intensity interventions

- 37  
38 1. bibliotherapy.sh.  
39 2. (bibliotherap\$ or biblio therap\$ or ((audio\$ or book\$1 or booklet\$ or  
40 brochure\$ or cd\$1 or cd rom\$ cdrom\$ or computer\$ or cyber\$ or dvd\$1  
41 or electronic\$ or floppy or handheld or hand held or interactive or  
42 internet\$ or leaflet\$ or manual\$1 or material\$ or mobile or multimedia  
43 or multi media or online or palmtop or palm top or pamphlet\$ or pc\$1  
44 or phone\$ or poster\$ or read\$1 or reading or sms\$1 or telephone\$ or

- 1 text or texts or texting or video\$ or virtual or web\$ or workbook\$ or  
 2 written or www) adj5 (approach\$ or assist\$ or coach\$ or club\$ or  
 3 class\$ or educat\$ or empower\$ or help\$ or instruct\$ or interven\$ or  
 4 learn\$ or module\$ or program\$ or psychoanaly\$ or psychotherap\$ or  
 5 rehab\$ or skill\$ or strateg\$ or support\$ or teach\$ or technique\$ or  
 6 therap\$ or train\$ or treat\$ or workshop\$ or work shop\$)) or ((listen\$ or  
 7 read\$1 or reading or watch\$) adj4 (audio\$ or book\$1 or booklet\$ or  
 8 brochure\$ or cd\$1 or cd rom\$ or computer\$ or dvd\$1 or floppy or  
 9 internet\$ or leaflet\$ or manual\$1 or material\$ or multimedia or multi  
 10 media or pamphlet\$ or poster\$ or read\$1 or reading or video\$ or  
 11 virtual or workbook\$ or written or www))).ti,ab.
- 12 3. ((self adj (administer\$ or care or change or directed or help\$ or  
 13 instruct\$ or manag\$ or monitor\$ or regulat\$ or reinforc\$ or re inforc\$))  
 14 or selfhelp\$ or smart recover\$ or (minimal adj (contact or guidance)) or  
 15 helpseek\$ or (help\$ adj2 seek\$) or (mutual adj (help or aid or  
 16 support\$))).ti,ab.
- 17 4. or/1-3
- 18 5. exp health education/ or exp health promotion/ or patient education  
 19 as topic.sh.
- 20 6. (((adult\$ or client\$ or consumer\$ or inpatient\$ or outpatient\$ or  
 21 participant\$ or patient\$ or service user\$) adj4 (educat\$ or empower\$ or  
 22 knowledge or information\$ or instruct\$ or promot\$ or teach\$ or  
 23 train\$)) or ((anxiet\$ or anxious\$ or worry or worrying) adj4 (educat\$ or  
 24 empower\$ or knowledge or information\$ or instruct\$ or promot\$ or  
 25 teach\$ or train\$)) or (education\$ adj3 (interven\$ or program\$ or  
 26 strateg\$ or therap\$ or treat\$)) or booklet\$ or brochure\$ or leaflet\$ or  
 27 pamphlet\$ or poster\$ or workbook\$ or psychoeducat\$ or psycho  
 28 educat\$ or ((oral or printed or written) adj3 inform\$) or ((adult\$ or  
 29 client\$1 or consumer\$ or inpatient\$ or outpatient\$ or participant\$ or  
 30 patient\$ or service user\$) adj5 (book\$1 or manual\$1 or material\$ or  
 31 multimedia or multi media or video\$)) or ((book\$1 or manual\$1 or  
 32 material\$ or multimedia or multi media or video\$) adj5 (intervention\$  
 33 or program\$ or therap\$ or treat\$))).ti,ab.
- 34 7. or/5-6
- 35 8. hotlines.sh. or (call in or callline\$ or call line\$ or help line\$ or helpline\$  
 36 or hotline\$ or hot line\$ or phone in or phonein or (caller\$1 adj3  
 37 (interven\$ or program\$ or therap\$ or treat\$))).ti,ab.
- 38 9. 8
- 39 10. exp exercise/ or exp physical therapy modalities/ or exp sports/  
 40 11. (active living or a?robic\$ or bicycling or cycling or exercis\$ or  
 41 (physical\$ adj3 (activit\$ or agil\$ or educat\$ or fitness\$)) or  
 42 kinesiotherap\$ or kinesitherap\$ or movement therap\$ or running or  
 43 sport\$ or swimming or walking or yoga).ti,ab.
- 44 12. or/10-11

- 1 13. (cacbt or ccbt or c cbt).tw,id.  
2 14. ((beating adj2 blues) or fearfighter or ffeducation or ff education or  
3 internet psykiatri or internet psychiatri or moodgym or netcope or netff  
4 or net ff or (living life adj2 full) or oc fighter or ocfighter or odin or  
5 overcoming depression or panic online or (restoring adj2 balance) or  
6 standaloneff or standalone ff or therapeutic learning program\$).ti,ab.  
7 15. (bt step\$ or calipso\$ or climate or climategp\$ or climateschool\$ or  
8 climatemh\$ or climateclinic\$ or climatetv\$ or crufad\$ or gpcare\$ or  
9 ultrasis or ((anxiety or anxious\$) adj3 package\$)).ti,ab.  
10 16. telemedicine/ or therapy, computer assisted/  
11 17. ((anxiety or stress\$ or worry) adj3 (package\$ or program\$)).ti,ab.  
12 18. (etherap\$ or e therap\$ or telehealth or tele health).ti,ab.  
13 19. (e communication\$ or ecommunication\$ or e consult\$ or econsult\$ or e  
14 visit\$ or evisit\$ or e therap\$ or etherap\$ or telehealth or tele  
15 health).ti,ab.  
16 20. ((audio\$ or cd\$1 or cd rom or cdrom or computer\$ or cyber\$ or digital  
17 assistant\$ or dvd or electronic\$ or floppy or handheld or hand held or  
18 information or interactiv\$ or internet or mobile or multimedia or multi  
19 media or online or palmtop or palm top or pc\$1 or pda or pdas or  
20 personal digital or phone\$ or sms\$1 or telephone\$ or text or texts or  
21 texting or video\$ or virtual or web\$ or www) adj5 (advocacy or  
22 approach\$ or coach\$ or discussion or educat\$ or exchang\$ or guide\$1  
23 or help\$ or instruct\$ or interact\$ or interven\$ or learn\$ or manag\$ or  
24 meeting\$ or module\$ or network\$ or online or participat\$ or  
25 program\$ or psychoanaly\$ or psychotherap\$ or rehab\$ or retrain\$ or  
26 re train\$ or self guide\$ or self help or selfguide\$ or selfhelp or skill\$  
27 or strateg\$ or support\$ or teach\$ or technique\$ or telephone\$ or  
28 therap\$ or train\$ or treat\$ or work shop\$ or workshop\$)).ti,ab.  
29 21. ((audio\$ or cd\$1 or cd rom or cdrom or computer\$ or cyber\$ or digital  
30 assistant\$ or dvd or electronic\$ or floppy or handheld or hand held or  
31 information or interactiv\$ or internet or mobile or multimedia or multi  
32 media or online or palmtop or palm top or pc\$1 or pda or pdas or  
33 personal digital or phone\$ or sms\$1 or telephone\$ or text or texts or  
34 texting or video\$ or virtual or web\$ or www) adj2 (assist\$ or  
35 based)).ti,ab.  
36 22. ((audio\$ or cd\$1 or cd rom or cdrom or computer\$ or cyber\$ or digital  
37 assistant\$ or dvd or electronic\$ or floppy or handheld or hand held or  
38 interactiv\$ or internet or mobile or multimedia or multi media or  
39 online or palmtop or palm top or pc\$1 or pda or pdas or personal  
40 digital or phone\$ or sms\$1 or telephone\$ or text or texts or texting or  
41 video\$ or virtual or web\$ or www) adj5 (aid or aided or appointment\$  
42 or booking\$ or communicat\$ or consult\$ or deliver\$ or feedback or  
43 forum or guided or input\$ or interactiv\$ or letter\$ or messag\$ or  
44 referral\$ or remind\$ or send\$ or transfer\$ or transmi\$ or visit\$)).ti,ab.

- 1 23. ((audio\$ or cd\$1 or cd rom or cdrom or computer\$ or cyber\$ or digital  
2 assistant\$ or dvd or electronic\$ or floppy or handheld or hand held or  
3 information or interactiv\$ or internet or mobile or multimedia or multi  
4 media or online or palmtop or palm top or pc\$1 or pda or pdas or  
5 personal digital or phone\$ or sms\$1 or telephone\$ or text or texts or  
6 texting or video\$ or virtual or web\$ or www) adj5 group\$).ti,ab.  
7 24. ((client\$ or consumer\$ or inpatient\$ or outpatient\$ or patient\$) adj5  
8 (audio\$ or cd\$1 or cd rom or cdrom or computer\$ or cyber\$ or digital  
9 assistant\$ or dvd or electronic\$ or floppy or handheld or hand held or  
10 interactiv\$ or internet or mobile or multimedia or multi media or  
11 online or palmtop or palm top or pc\$1 or pda or pdas or personal  
12 digital or phone\$ or sms\$1 or telephone\$ or text or texts or texting or  
13 video\$ or virtual or web\$ or www)).ti,ab.  
14 25. ((client\$ or consumer\$ or inpatient\$ or outpatient\$ or patient\$ or  
15 health or information or web or internet) adj3 portal\$).ti,ab.  
16 26. or/13-25  
17 27. exp psychotherapy/  
18 28. attitude to computers/ or audiovisual aids/ or computer literacy/ or  
19 computer user training/ or computer-assisted instruction/ or  
20 computing methodologies/ or decision support systems, clinical/ or  
21 hotlines/ or information systems/ or medical informatics computing/  
22 or medical informatics/ or multimedia/ or telemedicine/ or exp  
23 audiovisual aids/ or exp computer systems/ or exp decision making,  
24 computer assisted/ or exp optical storage devices/ or exp software/ or  
25 exp telecommunications/ or comput\$.hw.  
26 29. (audio\$ or cd\$1 or cd rom or cdrom or computer\$ or cyber\$ or dvd or  
27 electronic\$ or floppy or handheld or hand held or interactiv\$ or  
28 internet or mobile or multimedia or multi media or online or palmtop  
29 or palm top or pc\$1 or pda or personal digital assistant\$ or phone\$ or  
30 portal\$1 or sms\$1 or telephone\$ or text or texts or texting or video\$ or  
31 virtual or web\$ or www).ti,ab.  
32 30. interactive voice response.ti,ab.  
33 31. 27 and or/28-30  
34 32. or/26,31  
35 33. or/1-12,32  
36

37 \* The high-intensity search for CBT was sifted for any additional evidence  
38 relating to CCBT.  
39  
40  
41  
42  
43  
44

1 *b) Pharmacotherapy – includes marketing names and different forms of drugs on the*  
2 *advice of the GDG.*

3

4 MEDLINE – Ovid SP interface

5

6 Antidepressants, all

7

8 1. exp antidepressive agents, tricyclic/

9 2. (tricyclic\$ or tca\$1).ti,ab.

10 3. amitriptyline.sh. or (amitriptyl\$1 or amitryptil\$1 or amitryptin\$1 or  
11 amitryptilin\$1 or amytriptil\$1 or amytriptyl\$1 or amytriptil\$1 or  
12 adepress or adepril\$1 or ambivalon\$1 or amineurin\$1 or amitid\$1 or  
13 amitril\$1 or amitrip or amitrol\$1 or anapsique or antitriptylin\$1 or  
14 apoamitriptylin\$1 or damilen\$1 or damylen\$1 or domical\$1 or  
15 elatrol\$1 or elavil\$1 or endep or enovil\$1 or etafon\$1 or etafron\$1 or  
16 euplit\$1 or lantron\$1 or laroxal\$1 or laroxyl\$1 or lentizol\$1 or  
17 novoprotect or proheptadien\$1 or redomex or sarboten retard 75 or  
18 saroten\$1 or sarotex or stelminal\$1 or sylvemid\$1 or syneudon\$1 or  
19 teperin\$1 or terepin\$1 or triptafen\$1 or triptanol\$1 or triptizol\$1 or  
20 triptyl or triptylin\$1 or tryptanol\$1 or tryptin\$1 or tryptizol\$1).ti,ab.

21 4. chlomipramine.sh. or (chlomipramin\$1 or chlorimipramin\$1 or  
22 chloroimipramin\$1 or clomipramin\$1 or anafranil\$1 or anafranilin\$1 or  
23 anafranil or domipramin\$1 or hydiphen\$1 or monochlor imipramin\$1  
24 or monochlorimipramin\$1 or monochloroimipramin\$1).ti,ab.

25 5. dothiepin.sh. or (dothiepin\$1 or dosulepin\$1 or altapin\$1 or  
26 depresym\$1 or dopress or dothep or idom or prothiaden\$1 or  
27 prothiadien\$1 or prothiadin\$1 or protiaden\$1 or thaden).ti,ab.

28 6. doxepin.sh. or (doxepin\$1 or adapin\$1 or apodoxepin\$1 or aponal\$1 or  
29 co dox or curatin\$1 or depretran\$1 or desidox or doneurin\$1 or doxepia  
30 or espadox or mareen or prudoxin\$1 or quitaxon\$1 or silenor or  
31 sinepin or sinequan\$1 or sinquan\$1 or xepin\$1 or zonalon\$1).ti,ab.

32 7. imipramine.sh. or (imipramin\$1 or antideprin\$1 or berkomin\$1 or  
33 chrytemin\$1 or deprimin or deprinol\$1 or depsonil or dynaprin or  
34 eupramin or ia pram or imavate or imidobenzyl\$1 or imidol\$1 or  
35 imipramid\$1 or imipramil or imiprex or imiprin\$1 or imizin\$1 or irmin  
36 or janimin\$1 or melipramin\$1 or norchlorimipramin\$1 or norpramin\$1  
37 or novopramin\$1 or presamin\$1 or pryleun\$1 or psychoforin\$1 or  
38 psychoforin\$1 or servipramin\$1 or sk pramin\$1 or surplix or tofranil\$1  
39 or trofanil\$1).ti,ab.

40 8. lofepramine.sh. or (lofepramin\$1 or lopramin\$1 or amplit\$1 or  
41 deftan\$1 or feprapax or gamanil\$1 or gamonil\$1 or lomont or  
42 lopramin\$1 or tymelyt).ti,ab.

- 1 9. mianserin.sh. or (mianserin\$1 or athymil\$1 or bolvidon\$1 or investig or  
 2 lantanon\$1 or lanthanon\$1 or lerivon\$1 or miaxan\$1 or norval or  
 3 serelan\$1 or tetramid\$1 or tolvin\$1 or tolvon\$1).ti,ab.
- 4 10. nortriptyline.sh. or (nortriptylin\$1 or acetexa or allegron\$1 or altilev or  
 5 atilev or avantyl or aventyl or desitriptylin\$1 or  
 6 desmethyramitriptylin\$1 or martimil\$1 or noramitriptylin\$1 or  
 7 norfenazin\$1 or noritren\$1 or norpress or nortrilen\$1 or nortryptilin\$1  
 8 or nortryptilin\$1 or pamelor or paxtibi or propylamin\$1 or psychostyl  
 9 or sens?val).ti,ab.
- 10 11. opipramol.sh. or (opipramol\$1 or dinsidon\$1 or ensidon\$1 or  
 11 eusidon\$1 or insidon\$1 or nisidan\$1 or oprimol or pramolans\$1).ti,ab.
- 12 12. trazodone.sh. or (trazodon\$1 or beneficat or deprax or desirel or  
 13 desyrel\$1 or molipaxin\$1 or pesyrel\$1 or rpragazon\$1 or pragmarel\$1  
 14 or pragmazon\$1 or thombran\$1 or thrombin\$1 or thrombran\$1 or  
 15 tombran\$1 or trasodon\$1 or trazolan\$1 or trazorel or trazon\$1 or  
 16 trialodine or tritico).ti,ab.
- 17 13. trimepramine.sh. or (trimepramin\$1 or trimeprimin\$1 or  
 18 trimepropimin\$1 or trimidura or trimineurin\$1 maleate or  
 19 trimipramin\$1 or trimoprimin\$1 or eldoral\$1 or herphonal\$1 or  
 20 trimineurin\$1 or novo tripramin\$1 or novotripramin\$1 or  
 21 nutrimipramin\$1 or rhotrimin\$1 or stangyl or surmontil\$1 or apo  
 22 trimip or apotrimip or herphonal\$1 or stangyl or surmontil\$1).ti,ab.
- 23 14. or/1-13
- 24 15. exp serotonin uptake inhibitors/
- 25 16. (ssri\$ or ((serotonin or 5 ht or 5 hydroxytryptamine) adj (uptake or  
 26 reuptake or re uptake) adj inhibit\$)).ti,ab.
- 27 17. citalopram.sh. or (celexa or cipramil\$1 or cytalopram or elopram or  
 28 escitalopram or lexapro or nitalapram or sepram or seropram).ti,ab.
- 29 18. (escitalopram or cipralext or lexapro or seroplext).ti,ab.
- 30 19. fluoxetine.sh. or (fluoxetin\$1 or fluctin\$1 or flunirin\$1 or fluoxifar or  
 31 prosac or prozac or prozamin or sarafem or symbyax).ti,ab.
- 32 20. fluvoxamine.sh. or (fluvoxamin\$1 or depromel\$1 or desiflu or dumirox  
 33 or faverin\$1 or fevarin\$1 or floxyfral\$1 or fluoxamin\$1 or fluoxamin\$1  
 34 or fluvoxadura or luvox).ti,ab.
- 35 21. (nefazadon\$1 or dutonin or nefadar or reseril\$1 or serzon\$1).ti,ab.
- 36 22. paroxetine.sh. or (paroxetin\$1 or aropax or deroxat or motivan\$1 or  
 37 paxil or pexeva or seroxat or tagonis).ti,ab.
- 38 23. sertraline.sh. or (sertralin\$1 or altrulin\$1 or aremis or besitrans\$1 or  
 39 gladem or lustral\$1 or naphthylamin\$1 or sealdin\$1 or serad or  
 40 serlain\$1 or tresleen or zolof).ti,ab.
- 41 24. or/15-23
- 42 25. exp antidepressive agents/ or exp monoamine oxidase inhibitors/
- 43 26. (antidepress\$ or anti depress\$ or maoi\$1 or ((adrenaline or amine or  
 44 mao or mono amin\$ or monoamin\$ or tyramin\$) adj2 inhibit\$)).ti,ab.

- 1 27. (agomelatin\$1 or melitor or thymanax or valdoxan\$1).ti,ab.
- 2 28. chlorprothixene.sh. or (chlorprothixen\$1 or aminasin\$1 or aminasin\$1
- 3 or aminazin\$1 or aminazin\$1 or ampliactil\$1 or amplictil\$1 or
- 4 ancholactil\$1 or chlopromazin\$1 or chlor pz or chlorbromasin\$1 or
- 5 chlorderazin\$1 or chlorderazin\$1 or chloropromazin\$1 or
- 6 chlorpromanyl or chlorpromazin\$1 or chlorprotixen\$1 or clordelazin\$1
- 7 or clorpromazin\$1 or cloxan or contomin\$1 or elmarin\$1 or fenactil\$1
- 8 or hibanil\$1 or hibernal\$1 or hibernol\$1 or klorpromex or largactil\$1 or
- 9 largactyl or megaphen\$1 or neurazin\$1 or novomazin\$1 or
- 10 phenathyl\$1 or plegomazin\$1 or plegomazin\$1 or proma or
- 11 promacid\$1 or promactil\$1 or promapar or promazil\$1 or propaphen\$1
- 12 or propaphenin\$1 or prozil\$1 or psychozin\$1 or sanopron\$1 or
- 13 solidon\$1 or sonazin\$1 or taractan\$1 or taroctil\$1 or thor prom or
- 14 thorazen\$1 or thorazin\$1 or torazina or truxal or vegetamin a or
- 15 vegetamin b or wintamin\$1 or wintermin\$1 or zuledin\$1).ti,ab.
- 16 29. desvenlafaxine.sh. or (desvenlafaxin\$1 or o desmethylvenlafaxin\$1 or o
- 17 norvenlafaxin\$1 or pristiq).ti,ab.
- 18 30. (duloxetine\$1 or ariclaim or cymbalta or xeristar or yentreve).ti,ab.
- 19 31. fezolamin\$1 .ti,ab.
- 20 32. (isocarboxacid\$1 or bmih or enerzer or isocarboazid\$1 or
- 21 isocarboxazid\$1 or marplan\$1 or marplon).ti,ab.
- 22 33. (mirtazapin\$1 or avanza or 6 azamianserin\$1 or lerivon\$1 or
- 23 remergil\$1 or remergon\$1 or remeron\$1 or tolvon\$1 or zispin).ti,ab.
- 24 34. moclobemide.sh. or (moclobemid\$1 or arima or aurorex or aurorix or
- 25 deprenorm or feraken\$1 or manerix or moclamin\$1 or moclix or
- 26 moclobamid\$1 or moclobeta or moclodura or moclonorm or
- 27 novomoclobemid\$1 or numoclobemid\$1 or rimoc).ti,ab.
- 28 35. phenelzine.sh. or (phenelzin\$1 or 2 phenethylhydrazin\$1 or 2
- 29 phenylethylhydrazin\$1 or benzylmethylhydrazin\$1 or beta
- 30 phenethylhydrazin\$1 or beta phenylethylhydrazine or fenelzin or
- 31 fenizin\$1 or mao rem or nardelzin\$1 or nardil\$1 or phenalzin\$1 or
- 32 phenethylhydrazin\$1 or phenylethylhydrazin\$1 or stinerval\$1).sh,tw.
- 33 36. (reboxetin\$1 or davedax or edronax or norebox or prolift or solvex or
- 34 vestra).sh,tw.
- 35 37. tranlycypromine.sh. or (tranlycypromin\$1 or
- 36 phenylcyclopropylamin\$1 or dl trans 2 phenylcyclopropylamin\$1 or
- 37 jatrosom\$1 or parmotalin\$1 or parnate or parniten\$1 or parnitin\$1 or
- 38 trancilpromin\$1 or trancylpromin\$1 or trancylprominesulfate or
- 39 tranilacipromin\$1 or trans 2 phenylcyclopropylamin\$1 or transamin\$1
- 40 or tylciprin\$1).ti,ab.
- 41 38. (venlafaxin\$1 or efexor or effexor or foraven or tifaxin or trevilor or
- 42 venaxx or venlalic or winfex).sh,tw.
- 43 39. or/25-38
- 44 40. exp serotonin uptake inhibitors/

- 1 41. (snri\$ or ssnri\$ or ((noradrenalin or norepinephrine) adj serotonin adj  
2 (uptake or reuptake or re uptake) adj inhibitor\$) or (serotonin adj  
3 (noradrenalin or norepinephrine) adj (uptake or reuptake or re uptake)  
4 adj inhibitor\$)).ti,ab.  
5 42. or/40-41  
6 43. tetracyclic\$.ti,ab.  
7 44. 1-43

8

9 Antipsychotics, Antihistamines, Azapirones

10

- 11 1. exp antipsychotic agents/  
12 2. (antipsychotic\$ or anti psychotic\$ or (major adj2 (butyrophenon\$ or  
13 phenothiazin\$ or tranquil\$)) or neuroleptic\$).ti,ab.  
14 3. (amisulprid\$1 or aminosultoprid\$1 or amisulpirid\$1 or sertol\$1 or  
15 socian or solian).ti,ab.  
16 4. (aripiprazol\$1 or abilify or abilitat).ti,ab.  
17 5. (benperidol\$1 or anquil or benperidon\$1 or benzoperidol\$1 or  
18 benzperidol\$1 or frenactil\$1 or frenactyl or glianimon\$1 or  
19 phenactil\$1).ti,ab.  
20 6. chlorpromazine.sh. or (chlorpromazin\$1 or aminazin\$1 or chlorazin\$1  
21 or chlordelazin\$1 or contomin\$1 or fenactil\$1 or largactil\$1 or  
22 propaphenin\$1 or thorazin\$1).ti,ab.  
23 7. chlorprothixene.sh. or (chlorprothixen\$1 or aminasin\$1 or aminasin\$1  
24 or aminazin\$1 or aminazin\$1 or ampliactil\$1 or amplictil\$1 or  
25 ancholactil\$1 or chlopromazin\$1 or chlor pz or chlorbromasin\$1 or  
26 chlordelazin\$1 or chlorderazin\$1 or chloropromazin\$1 or  
27 chlorpromanyl or chlorpromazin\$1 or chlorprotixen\$1 or clordelazin\$1  
28 or clorpromazin\$1 or cloxan or contomin\$1 or elmarin\$1 or fenactil\$1  
29 or hibanil\$1 or hibernal\$1 or hibernol\$1 or klorpromex or largactil\$1 or  
30 largactyl or megaphen\$1 or neurazin\$1 or novomazin\$1 or phenathyl  
31 or plegomazin\$1 or plegomazin\$1 or proma or promacid\$1 or  
32 promactil\$1 or promapar or promazil\$1 or propaphen\$1 or  
33 propaphenin\$1 or prozil or psychozin\$1 or sanopron\$1 or solidon\$1 or  
34 sonazin\$1 or taractan\$1 or taroctil\$1 or thor prom or thorazen\$1 or  
35 thorazin\$1 or torazin\$1 or truxal or vegetamin a or vegetamin b or  
36 wintamin\$1 or wintermin\$1 or zuledin\$1).ti,ab.  
37 8. clozapine.sh. or (clozapin\$1 or alemoxan\$1 or azaleptin\$1 or clopine or  
38 clozaril\$1 or denzapin\$1 or dorval or dozapin\$1 or fazaclo or froidir or  
39 klozapol or lapenax or leponex or wander compound or zaponex).ti,ab.  
40 9. flupenthixol.sh. or (flupentixol\$1 or flupenthixol\$1 or depixel\$1 or  
41 emergil\$1 or fluaxol\$1 or flupentixol\$1 or emergil\$1 or fluaxol\$1 or  
42 piperazineethanol\$1 or viscoleo).ti,ab.  
43 10. fluspirilene.sh. or (fluspirilen\$1 or fluspi or imap or kivat or redeptin\$1  
44 or spirodiflamin\$1).ti,ab.



- 1 11. haloperidol.sh. or (haloperidol\$1 or aloperidin\$1 or bioperidolo or  
2 brotopon or celenase or cerenace or dozic or duraperidol or einalon s  
3 or eukystol or fortunans\$1 or haldol or halidol or haloneural\$1 or  
4 haloperitol\$1 or halosten or keselan or linton or peluces or serenace or  
5 serenase or siegoperidol\$1 or sigaperidol\$1).ti,ab.
- 6 12. methotrimeprazine.sh. or (levomepromazin\$1 or 2  
7 methoxytrimeprazin\$1 or hirnamin\$1 or levo promazin\$1 or  
8 levomeprazin\$1 or levopromazin\$1 or levoprom\$1 or mepromazin\$1  
9 or methotrimeprazin\$1 or methotrimperazin\$1 or milezin\$1 or  
10 minozinan\$1 or neozin\$1 or neuractil\$1 or neurocil\$1 or nirvan or  
11 nosinan\$1 or nozinan\$1 or sinogan or tiscercin\$1 or tizercin\$1 or  
12 tizertsin\$1 or veractil\$1).ti,ab.
- 13 13. (olanzapin\$1 or lanzac or midax or olansek or olzapin or rexapin or  
14 zalasta or zolafren or zydis or zypadhera or zyprex\$1).ti,ab.
- 15 14. (paliperidon\$1 or 9 hydroxyrisperidon\$1 or invega).ti,ab.
- 16 15. paroxetine.sh. or (paroxetin\$1 or aropax or deroxat or motivan or  
17 paxil\$1 or pexeva or seroxat or tagonis).ti,ab.
- 18 16. (pericyazin\$1 or aolept or neulactil\$1 or neuleptil\$1 or periciazin\$1 or  
19 properciazin\$1 or propericiazin\$1).ti,ab.
- 20 17. perphenazine.sh. or (perphenazin\$1 or chlorperphenazin\$1 or  
21 chlorpiprazin\$1 or chlorpiprozin\$1 or decentan\$1 or etaperazin\$1 or  
22 ethaperazin\$1 or etrafon or fentazin\$1 or perfenazin\$1 or perfenazin\$1  
23 or perferazin\$1 or perphenan\$1 or perphenezin\$1 or thilatazin\$1 or  
24 tranquisan\$1 or triavail or trifalon\$1 or trilafan\$1 or trilafon\$1 or  
25 trilifan\$1 or triliphan\$1).ti,ab.
- 26 18. pimozide.sh. or (pimozid\$1 or antalon\$1 or opiran\$1 or orap or  
27 pimocid\$1 or pimorid\$1 or pinozid\$1).ti,ab.
- 28 19. prochlorperazine.sh. or (prochlorperazin\$1 or buccastem or capazin\$1  
29 or chlormeprazin\$1 or chlorpeazin\$1 or chlorperazin\$1 or compazin\$1  
30 or dicopal\$1 or emelent or kronocin\$1 or meterazin\$1 or metherazin\$1  
31 or nipodal\$1 or phenotil or prochlor perazin\$1 or prochlorpemazin\$1  
32 or prochlorperacin\$1 or prochlorperzin\$1 or prochlorpromazin\$1 or  
33 proclorperazin\$1 or stemetil or stemzine or tementil\$1 or  
34 temetil\$1).ti,ab.
- 35 20. promazine.sh. or (promazin\$1 or alofen\$1 or alophen\$1 or ampazin\$1  
36 or amprazim\$1 or centractyl or delazin\$1 or esparin\$1 or lete or  
37 liranol\$1 or neo hibernex or neuroplegil\$1 or piarin\$1 or prazin\$1 or  
38 pro tan or promantin\$1 or promanyl\$1 or promilen\$1 or promwill or  
39 protactil\$1 or protactyl\$1 or romthiazin\$1 or romtiazin\$1 or sediston\$1  
40 or sinophenin\$1 or sparin\$1 or tomil or varophen\$1 or  
41 verophen\$1).ti,ab.
- 42 21. (quetiapin\$1 or ketipinor or quepin or seroquel or tienapin\$1).ti,ab.
- 43 22. risperidone.sh. or (risperidon\$1 or belivon\$1 or ridal or riscalin or  
44 risolept or rispen or risperdal\$1 or sizodon).ti,ab.

- 1 23. (sertindol\$1 or indole or serdolect or serlect).ti,ab.  
2 24. sulpiride.sh. or (sulpirid\$1 or abilit or aiglonyl\$1 or arminol\$1 or  
3 bosnyl or deponerton\$1 or desisulpid\$1 or digton or dobren or  
4 dogmatil\$1 or dogmatyl or dolmatil\$1 or eglonyl or ekilid or equilid or  
5 guastil\$1 or isnamid\$1 or leboprid\$1 or levopraid or levosulpirid\$1 or  
6 meresa or miradol\$1 or modal or neogama or pontirid\$1 or psicocen\$1  
7 or sulfirid\$1 or sulp\$1 or sulperid\$1 or sulpitil\$1 or sulpivert or sulpor  
8 or sulpyride or synedil\$1 or tepavil\$1 or vertigo meresa or vertigo  
9 neogama or vipral).sh,tw.  
10 25. trifluoperazine.sh. or (trifluoperazin\$1 or apotrifluoperazine\$1 or  
11 calmazin\$1 or dihydrochlorid\$1 or eskazin\$1 or eskazin\$1 or eskazinyl  
12 or fluoperazin\$1 or flupazin\$1 or jatroneural\$1 or modalina or  
13 stelazin\$1 or terfluzin\$1 or terfluzin\$1 or trifluoperazid\$1 or  
14 trifluoperazin\$1 or trifluoperzin\$1 or trifluoroperazin\$1 or  
15 trifluorperacin\$1 or trifluperazin\$1 or triflurin\$1 or triftazin\$1 or  
16 triftazinum or triphthazin\$1 or triphthasin\$1 or triphthazin\$1).ti,ab.  
17 26. (zotepin\$1 or lodopin\$1 or losizopilon or nipolept or setous or  
18 zoleptil).ti,ab.  
19 27. clopenthixol.sh. or (zuclopenthixol\$1 or acuphase or clopenthixol\$1 or  
20 clopixol or cisordinol\$1 or sedanxol\$1).ti,ab.  
21 28. or/1-27  
22 29. a?apiron\$.ti,ab.  
23 30. (gepiron\$2 or ariza or variza).ti,ab.  
24 31. (ipsapiron\$2 or isapiron\$2).ti,ab.  
25 32. lesopitron\$2.ti,ab.  
26 33. (tandospiron\$2 or dihydrogen citrate or metanopirone or sediel).ti,ab.  
27 34. umespiro\$2.ti,ab.  
28 35. zalospiron\$2.ti,ab.  
29 36. or/29-35  
30 37. exp histamine antagonists/  
31 38. (antihistamin\$ or anti histamin\$ or (histamin\$ adj2 (antagonist\$ or  
32 block\$))).ti,ab.  
33 39. cetirizine.sh. or (cetirizin\$1 or alerlisin\$1 or cetalerg or ceterifug or ceti  
34 tad or cetiderm or cetidura or cetil von ct or cetilich or ceti puren or  
35 cetirigamma or cetirlan or cetzine or reactin\$1 or virlix or voltric or  
36 zetir or zirtec or zirtek or zyrtec or zyrtek).ti,ab.  
37 40. chlorphenamine.sh. or (chlorphenamin\$1 or alermine\$1 or aller chlor  
38 or allergisan\$1 or alunex or antihistaminico llorens or chlo amine or  
39 chlor trimeton or chlortrimeton or chlor tripolon or chlor tripolon or  
40 chloramate unicelles or chlorophenamin\$1 or chloroton\$1 or  
41 chlorpheniramin\$1 or chlorpro or chlorprophenpyridamin\$1 or  
42 chlortab 4 or chlortrimeton\$1 or chlor trimeton\$1 or chlortripolon\$1 or  
43 chlor tripolon\$1 or cloro trimeton\$1 or efidac 24 or histadur or hista 12  
44 or histaspan\$1 or kloromin\$1 or noscosed or piriton or teldrin).ti,ab.

- 1 41. (clemastin\$1 or meclastin\$1 or mecloprodin\$1 or neclastin\$1 or  
2 tavegil\$1 or tavegyl or tavist).ti,ab.  
3 42. cyproheptadine.sh. or (cyproheptadin\$1 or antergan\$1 or astonin or  
4 cipractin\$1 or ciproeptadin\$1 or cryoheptidin\$1 or crypoheptadin\$1 or  
5 cypraheptidin\$1 or cyprohaptadin\$1 or cyproheptadien\$1 or  
6 dihexazin\$1 or nuran or periactin\$1 or periactinol\$1 or peritol\$1 or  
7 viternum).ti,ab.  
8 43. (desloratadin\$1 or aerius or allex or azomyr or clarinex or claramax or  
9 clarinex or decarbethoxyloratadin\$1 or delot or  
10 descarboethoxyloratadin\$1 or neoclaritin\$1 or opulis).ti,ab.  
11 44. (fexofenadin\$1 or allegra or fastofen or methylpropionic acid or telfast  
12 or tilfur or vifas).ti,ab.  
13 45. hydroxyzine.sh. or (hydroxyzin\$1 or arcanax or alamon or atarax or  
14 attarax or aterax or durrax or equipose or hydroxizin\$1 or idroxizin\$1  
15 or masmoran\$1 or orgatrax or otarex or paxistil or quiness or tran q or  
16 tranquizine or ucerax or vistaril\$1).ti,ab.  
17 46. ketotifen.sh. or (ketotifen\$1 or ketotiphen\$1 or zaditen).ti,ab.  
18 47. (levocetirizin\$1 or xozal or xusal or xuzal or xyzal).ti,ab.  
19 48. loratadine.sh. or (loratadin\$1 or alavert or civeran\$1 or claratyn\$1 or  
20 claritin\$1 or clarityn\$1 or clarium or flonidan or fristamin or lisino or  
21 lisono or loratazin\$1 or loratidin\$1 or lomilan or lorfast or rinolan or  
22 roletra or symphoral or tidilor or versal).ti,ab.  
23 49. (mizolastin\$1 or mizolen\$1 or mizollen\$1 or zolim).ti,ab.  
24 50. promethazine.sh. or (promethazin\$1 or allergan or anergan or  
25 antiallersin\$1 or atosil\$1 or avomine or baymethazine or dimapp or  
26 diphergan\$1 or diprazin\$1 or diprazin\$1 or diprazin\$1 or diprozin\$1  
27 or fargan\$1 or fellozin\$1 or fenazil\$1 or fenergan\$1 or ganphen\$1 or  
28 hiberna or isopromethazin\$1 or lercigan\$1 or lergigan\$1 or phargan\$1  
29 or phenargan\$1 or phenergan\$1 or phensedyl\$1 or pipolfen\$1 or  
30 pipolphen\$1 or proazamin\$1 or procit or promazinamid\$1 or promet  
31 or prometazin\$1 or promethacin\$1 or promethegan or promethazon\$1  
32 or prothiazine or protazin\$1 or prothazin\$1 or provigan\$1 or pyrethia  
33 or receptozine or remsed or romergan\$1 or rumergan\$1 or sayomol\$1  
34 or tanidil\$1 or thiergan\$1 or vallergin\$1).ti,ab.  
35 51. trimeprazine.sh. or (alimemazin\$1 or isobutrazin\$1 or  
36 methylpromazin\$1 or nedeltran\$1 or panectyl or repeltin\$1 or  
37 spansul\$1 or temaril\$1 or temaryl or teralen\$1 or therafene or  
38 theralen\$1 or theraligene or trimeprazin\$1 or valergan\$1 or vallergan\$1  
39 or vanectyl\$1 or variargil\$1).ti,ab.  
40 52. or/37-51  
41 53. or/1-52  
42  
43 Anxiolytics  
44

- 1 1. exp benzodiazepines/
- 2 2. (benzo\$1 or benzodiazepin\$).ti,ab.
- 3 3. alprazolam.sh. or (alprazolam or alprox or apo alpraz or apoalpraz or
- 4 aprazolam\$1 or cassadan\$1 or esparon\$1 or helex or kalma or novo
- 5 alprazol\$1 or novoalprazol\$1 or nu alpraz or nualpraz or ralozam or
- 6 solanax or tafil\$1 or trunkimazin\$1 or valeans or xanax or xanor).ti,ab.
- 7 4. bromazepam.sh. or (bromazepam or anxyrex or bartul or bromalich or
- 8 bromaz 1a pharma or bromazanyl\$1 or bromazep von ct or durazanyl\$1
- 9 or lectopam\$1 or lexamil\$1 or lexatin\$1 or lexaurin\$1 or lexilium or
- 10 lexomil\$1 or lexotan\$1 or lexotanil\$1 or lexotanil\$1 or normoc or
- 11 sintrogel\$1).ti,ab.
- 12 5. chlordiazepoxide.sh. or (chlordiazepoxid\$1 or methaminodiazepoxid\$1
- 13 or elenium\$1 or librium\$1 or chlozepid\$1 or ansiacal\$1 or
- 14 benzodiapin\$1 or cebrum\$1 or chlordiazepoxyd\$1 or
- 15 chlorodiazepoxid\$1 or clopoxid\$1 or contol\$1 or decacil\$1 or defobin\$1
- 16 or disarim\$1 or dizepin\$1 or dopoxid\$1 or droxol\$1 or eden psych or
- 17 elenium\$1 or elenum\$1 or equibral\$1 or kalmocaps or labican\$1 or
- 18 librelease or libritabs or librium or lipoxide or mesural\$1 or
- 19 metaminodiazepoxid\$1 or methaminodiazepoxid\$1 or mildmen\$1 or
- 20 mitran\$1 or multum\$1 or murcil\$1 or napoton\$1 or napoton\$1 or
- 21 novosed\$1 or psichial\$1 or psicosan\$1 or psicoterin\$1 or radepur or
- 22 reliberan\$1 or reposans 10 or risolid or seren vita or servium or
- 23 silibrin\$1 or sk lygen or sonimen\$1 or timosin\$1 or viansin\$1 or
- 24 viopsicol\$1).ti,ab.
- 25 6. (clobazam or chlorepin\$1 or clobazepam or clorepin\$1 or frisium or
- 26 noiafren\$1 or urbadan\$1 or urbanil\$1 or urbanyl).ti,ab.
- 27 7. clonazepam.sh. or (clonazepam or antelepsin\$1 or clonopin\$1 or
- 28 iktorivil\$1 or klonazepam or klonopin\$1 or landsen\$1 or
- 29 rivotril\$1).ti,ab.
- 30 8. clorazepate dipotassium.sh. or (clorazepat\$1 or carboxylic acid or
- 31 chlorazepat\$1 or chloroazepat\$1 or clorazepic acid or tranxen\$1 or
- 32 tranxilium).ti,ab.
- 33 9. (delorazepam or briantum\$1 or chlordemethyldiazepam or
- 34 chlordesmethyldiazepam or chloro n demethyldiazepam or
- 35 chlorodemethyldiazepam or chlorodesmethyldiazepam or
- 36 chloronordiazepam).ti,ab.
- 37 10. diazepam.sh. or (diazepam or alupram or ansiolin\$1 or antenex or
- 38 apaurin\$1 or apaurin\$1 or apozepam or assival\$1 or audium\$1 or
- 39 bialzepam or bialzegan\$1 or calmpos\$1 or cercin\$1 or cersin\$1 or
- 40 chlordiazepam or dialar or diastat or diazelium or diazemuls or
- 41 diazidem or ducen\$1 or duxen\$1 or eridan or eurosan\$1 or evacalm\$1
- 42 or fanstan\$1 or faustan\$1 or gewacalm\$1 or lamra or lembrol\$1 or
- 43 lipodiazepam or lorinon\$1 or methyldiazepinon\$1 or
- 44 methyldiazepinon\$1 or morosan\$1 or neocalm\$1 or neurolytril\$1 or

- 1 noan or novazam or paceum or plidan or psychopax or relanium or  
2 rimapam or sedapam or seduxen\$1 or serendin\$1 or setonil\$1 or  
3 sibazon\$1 or sonacon\$1 or stesolid\$1 or stesolin\$1 or tanquo tablinen\$1  
4 or tensium or tranimul\$1 or tranquo puren or umbrium\$1 or  
5 valaxon\$1 or valclair or valiquid\$1 or valium or valpam or valreleas\$1  
6 or vatran\$1 or vival\$1 or vivol4 or zetran\$1).ti,ab.
- 7 11. flunitrazepam.sh. or (flunitrazepam or flurazepam or fluridrazepam or  
8 darken\$1 or fluni 1a pharma or flunibeta or flunimerck or fluninoc or  
9 flunipam or flunita or flunitrax or flunizep von ct or hypnodorm\$1 or  
10 hypnosedon\$1 or inervon\$1 or narcozep or parnox or rohipnol\$1 or  
11 rohypnol\$1 or roipnol\$1 or silece or valsera).ti,ab.
- 12 12. flurazepam.sh. or (flurazepam or benozil\$1 or dalmadorm\$1 or  
13 dalman\$1 or dalmate or dormodor\$1 or lunipax or staurodorm\$1 or  
14 dalman\$1 or dormodor\$1 or dalmadorm\$1).ti,ab.
- 15 13. (flutoprazepam or restas).ti,ab.
- 16 14. loprazolam .ti,ab.
- 17 15. lorazepam.sh. or (lorazepam or almazin\$1 or alzapam or  
18 apolorazepam or ativan or bonatranquan\$1 or donix or duralozam or  
19 durazolam or idalprem or kendol\$1 or laubeel or lorabenz or loranas\$1  
20 or loranz\$1 or lorans or lorax or lorazep von ct or loridem\$1 or  
21 lorivan\$1 or mesmerin\$1 or novo lorazem\$1 or novolorazem\$1 or novo  
22 lorazem\$1 or nu loraz or nuloraz or orfidal or orifadal\$1 or pro dorm  
23 or quait or securit or sedicepan\$1 or sinestron\$1 or somagerol\$1 or  
24 tavor or temesta or tolid or wypax).ti,ab.
- 25 16. (lormetazepam or loramet or (lorazepam adj2 methyl) or  
26 methylorazepam or minians or minias or noctamid\$1 or  
27 pronoctan\$1).ti,ab.
- 28 17. (mexazolam or melex or sedoxil\$1).ti,ab.
- 29 18. midazolam.sh. or (midazolam or dormicum or dormonid\$1 or  
30 hypnoval\$1 or hypnovel\$1 or hypnoyvel\$1 or versed).ti,ab.
- 31 19. nitrazepam.sh. or (nitrazepam or alodorm or atempol\$1 or benzalin\$1  
32 or dormalon\$1 or dormo puren or dumolid or eatan or eunoctin\$1 or  
33 hypnotex or imadorm or imeson\$1 or insomin\$1 or mogadan\$1 or  
34 mogadon\$1 or nelbon\$1 or nirven\$1 or nitra zepam or nitrados or  
35 nitravet or nitrazadon\$1 or nitrazep or nitrodiazepam or novanox or  
36 pacisyn or radedorm\$1 or remnos or restorem\$1 or sedamon\$1 or  
37 serenade or somnased\$1 or somnibel\$1 n or somnit\$1).ti,ab.
- 38 20. oxazepam.sh. or (oxazepam or abboxapam or adumbran\$1 or alopam  
39 or anxiolit\$1 or azutranquil\$1 or durazepam or expidet\$1 or hilong or  
40 isodin\$1 or linbial\$1 or noctazepam or oxapuren\$1 or oxepam or  
41 praxiten\$1 or serax or serenid\$1 or serepax or seresta or serpax or  
42 sigacalm\$1 or sobril\$1 or tazepam\$1 or uskan).ti,ab.

- 1 21. prazepam.sh. or (prazepam or centrax or demetrin\$1 or lysanxia or  
2 mono demetrin\$1 or monodemetrin\$1 or reapam or sedapran\$1 or  
3 verstran).ti,ab.
- 4 22. temazepam.sh. or (temazepam or apo temazepam or dasuen or  
5 euhypnos or hydroxydiazepam or levaxol\$1 or methyloxazepam or  
6 nocturne\$1 or norkotral tema or normison\$1 or normitab or nortem or  
7 oxydiazepam or planum or pronervon t or remestan\$1 or restoril\$1 or  
8 signopam or temaz\$1 or temazep von ct or temazepax or temtabs or  
9 tenox or texapam).ti,ab.
- 10 23. or/1-22
- 11 24. exp antianxiety agents/
- 12 25. (((antianxiety or anti anxiety or ataractic) adj2 (agent\$ or drug\$ or  
13 treat\$)) or anxiolytic\$ or ((medium or minor) adj2 tranquil\$) or  
14 (serotonergic adj (agent\$ or drug\$ or preparation\$))).ti,ab.
- 15 26. buspiron.sh. or (buspiron\$1 or anxut or axoren or bespar or busp or  
16 buspar or buspin\$1 or neurosin\$1).ti,ab.
- 17 27. chlormezanone.sh. or (chlormezanon\$1 or alinam\$1 or banabin sintyal  
18 or chlormethazanone\$1 or chlormethazan\$1 or dichloromethazanone\$1  
19 or fenarol\$1 or lobak or mio sed or rexan\$1 or rilansyl or rilaquil\$1 or  
20 rilassol\$1 or supotran\$1 or suprotan\$1 or tanafol\$1 or  
21 trancopal\$1).ti,ab.
- 22 28. estazolam.sh. or (estazolam or domnamid\$1 or eurodin\$1 or kainever  
23 or nuctalon\$1 or prosom or tasedan\$1).ti,ab.
- 24 29. medazepam.sh. or (medazepam or anxitol\$1 or diepin\$1 or mezepam  
25 or nobrium or resmit or rudotel\$1 or rusedal\$1 or siman).ti,ab.
- 26 30. meprobamate.sh. or (meprobamat\$1 or anastress or andaxin\$1 or  
27 aneural\$1 or aneurol\$1 or aneural\$1 or apascil\$1 or apasil\$1 or  
28 appetrol\$1 or arpon\$1 or artolon\$1 or atraxin\$1 or aycramat\$1 or  
29 biobamat\$1 or biobamat\$1 or calmax or calmiren\$1 or cirpon\$1 or  
30 cirponyl or cyrpon\$1 or dapaz or ecuanil\$1 or edenal\$1 or epikur or  
31 equanil\$1 or equinil\$1 or gadexyl\$1 or gagexyl\$1 or harmonin\$1 or  
32 hartrol\$1 or holbamat\$1 or klort or laitren\$1 or lepetown\$1 or  
33 mepantini\$1 or mepavlon\$1 or meposed\$1 or meprindon\$1 or  
34 meprobamat\$1 or meprobamat\$1 or meprocompren\$1 or meprodil\$1 or  
35 meprol\$1 or meprosan\$1 or meprosin\$1 or meprosopan\$1 or  
36 meprotab\$1 or meprotan\$1 or meprotap\$1 or meptran\$1 or mesmar or  
37 miltann\$1 or miltaun\$1 or miltown or misedant or morbam or  
38 muprobamat\$1 or nervonus or oasil or panediol\$1 or panquil\$1 or  
39 pathibamat\$1 or perequil\$1 or perquietil\$1 or pertranquil\$1 or  
40 placidon\$1 or probamat\$1 or probamyl or procalinadiol\$1 or  
41 procalmadiol\$1 or procalmidol\$1 or quanam\$1 or quanil\$1 or  
42 reostran\$1 or restenil\$1 or restinal\$1 or restinil\$1 or sedanyl\$1 or  
43 sedazil\$1 or sedoquil\$1 or seril\$1 or setran\$1 or shalvaton\$1 or sowell

- 1 or tamate or trankvilan\$1 or tranlisant or tranmep or tranquila\$1 or  
2 tranquilax or urbil or visano).ti,ab.  
3 31. nordazepam.sh. or (nordazepam or 1 demethyldiazepam or 1  
4 desmethyldiazepam or 1 nordiazepam or calmday or  
5 dealkylhalazepam or dealkylprazepam or  
6 decyclopropylmethylprazepam or demethyldiazepam or  
7 deoxydemoxepam or desalkylhalazepam or desmethyldiazepam or  
8 madar or n dealkylhalazepam or n demethyl diazepam or n  
9 demethyldiazepam or n desalkylhalazepam or n descyclopropylmethyl  
10 prazepam or n descyclopropylmethylprazepam or n desmethyl  
11 diazepam or n desmethyldiazepam or n destrifluoroethylhalazepam or  
12 n nordiazepam or nordaz or nordiazepam or norprazepam or stilny or  
13 tranxilium n or vegesan\$1).ti,ab.  
14 32. (pregabalin\$1 or 3 aminomethyl 5 methylhexanoic acid or 3 isobutyl 4  
15 aminobutyric acid or 3 isobutylgaba or 4 amino 3 isobutylbutyric acid  
16 or lyrica).ti,ab.  
17 33. (tiagabin\$1 or gabitril\$1 or tiabex).ti,ab.  
18 34. triazolam.sh. or (triazolam or apo triazo or halcyon\$1 or somniton\$1 or  
19 songar or triazolam or trilam).ti,ab.  
20 35. zolazepam.sh. or (zolazepam or zolasepam or flupyrazopon\$1 or  
21 flupyrazapon\$1).ti,ab.  
22 36. or/24-35  
23 37. or/1-36  
24  
25

26 Beta blockers

- 27  
28 1. exp adrenergic betaantagonists/  
29 2. ((beta adj3 (antagonist\$ or block\$)) or betaantagonis\$ or betablock\$ or  
30 (beta adj2 (adrenolytic\$ or antagonist\$ or antiadrenergic or  
31 sympathicolytic\$ or sympatholytic)) or betasympatholytic\$).ti,ab.  
32 3. acebutolol.sh. or (acebutolol\$1 or acetobutolol\$1 or apoacebutolol\$1 or  
33 espesil\$1 or monitan\$1 or neptal\$1 or neptall\$1 or novoacebutolol\$1 or  
34 prent or rhotral\$1 or sectral\$1).ti,ab.  
35 4. alprenolol.sh. or (alprenol\$1 or alfeprol\$1 or alloprenalol\$1 or  
36 alpheprol\$1 or alprendol\$1 or alprenololum or apliobal\$1 or apllobal  
37 or aprenolol\$1 or aptia or aptin or aptine or aptindurile\$1 or  
38 aptondurile\$1 or aptin or aptol or astra or betacard or betapin\$1 or  
39 gubernal\$1 or patina or regletin or yobir).ti,ab.  
40 5. atenolol.sh. or (atenol\$1 or atenigran\$1 or beta adalat or blokium or co  
41 tenidon\$1 or diube or kalten or neotenol\$1 or normiten\$1 or ormidol\$1  
42 or teneretic or tenif or tenoblock or tenolol\$1 or tenorectic or tenoret or  
43 tenoretic or tenormin\$1 or tensinor\$1).ti,ab.

- 1 6. betaxolol.sh. or (betaxolol\$1 or betaxon\$1 or betoptic or betoptim\$1 or  
2 kerlon\$1 or lokren or oxodal\$1).ti,ab.
- 3 7. bisoprolol.sh. or (bisoprolol\$1 or cardicor or concor or emcor).ti,ab.
- 4 8. bupranolol.sh. or (bupranolol\$1 or betadrenol\$1 or ophthorenin\$1 or  
5 panimit).ti,ab.
- 6 9. butoxamine.sh or (butoxamin\$1 or butaxamin\$1 or butaxamin\$1 or  
7 butoxamid\$1).ti,ab.
- 8 10. carteolol.sh. or (carteol\$1 or arteolol\$1 or arteoptic or arteoptik or  
9 carbonolol\$1 or cartrol\$1 or endak or endak mite or mikelan\$1 or  
10 ocupress teoptic).ti,ab.
- 11 11. (carvedilol\$1 or carloc or coreg or dilatrend or dilbloc or dimiton\$1 or  
12 eucardic or eucardic or kredex or querto).ti,ab.
- 13 12. celiprolol.sh. or (celiprolol\$1 or abecor or cardem or celectol\$1 or  
14 celipres or celipro or celol or cordiax or diethylurea or dilanorm or  
15 selector or selectol\$1).ti,ab.
- 16 13. dihydroalprenolol\$1.sh,tw.
- 17 14. (esmolol\$1 or brevibloc).ti,ab.
- 18 15. iodocyanopindolol.sh. or (iodocyanopindolol\$1 or icyp or i  
19 cyanopindolol\$1 or cyanoiodopindolol\$1).ti,ab.
- 20 16. labetalol.sh. or (labetalol\$1 or albetol\$1 or apolabetalol\$1 or dilevalol\$1  
21 or labetolol\$1 or normodyn\$1 or presolol\$1 or trandate).ti,ab.
- 22 17. levobunolol.sh. or (levobunolol\$1 or ak beta or akbeta or albetol\$1 or  
23 apolevobunolol\$1 or betagan\$1 or bunolol\$1 or ibidomid\$1 or  
24 lamitol\$1 or liquifilm or normodyn\$1 or novo levobunolol\$1 or  
25 novolevobunolol\$1 or pmslevobunolol\$1 or presdate or trandate or  
26 ultracortenol\$1 or vistagan\$1).ti,ab.
- 27 18. metipranolol.sh. or (metipranolol\$1 or beta ophtiol\$1 or betaman\$1 or  
28 betamet or betanol\$1 or betanolol\$1 or disorat or glaulin\$1 or  
29 methypranol\$1 or minims or ophtiol\$1 or optipranolol\$1 or  
30 trimepranol\$1).ti,ab.
- 31 19. metoprolol.sh. or (metoprolol\$1 or beloc durile\$1 or belocdurile\$1 or  
32 belok zok or betaloc or betalocastra or betalok or corvitol or lopres?  
33 or metropolol\$1 or minax or metrol or neobloc or presolol or  
34 seloke?n\$1 or spesicor or spesikor or toprolxl).ti,ab.
- 35 20. nadolol.sh. or (nadolol\$1 or altinadolol or anabet or aponadol or  
36 betadol\$1 or corgard or corzide or novonadolol or propanol\$1 or  
37 solgol\$1).ti,ab.
- 38 21. (nebivolol\$1 or bystolic or lobivon\$1 or narbivolol\$1 or nebilet or  
39 nebilong or nebicard or nebilet or nebilox or nodon or nubeta or  
40 symbian).ti,ab.
- 41 22. oxprenolol.sh. or (oxprenol\$1 or captol or corbeton or cordexol\$1 or  
42 coretal\$1 or koretal\$1 or laracor or oxtrenolol\$1 or oxyprenolol\$1 or  
43 slowpren or tevacor or tras?cor or trasidex or trasitensin).ti,ab.



- 1 23. penbutolol.sh. or (penbutolol\$1 or betapressin\$1 or betapressin\$1 or  
2 blocotin\$1 or hostabloc or levatol\$1 or lobeta or paginol\$1 or  
3 penbutalol\$1).ti,ab.
- 4 24. pindolol.sh. or (pindolol\$1 or betapindol\$1 or blockin l or blocklin l or  
5 calvisken\$1 or cardilate or carvisken\$1 or decreten\$1 or durapindol\$1  
6 or glauco visken or hydroxypropylaminopropoxyindol\$1 or pectobloc  
7 or pectoblock or pinbetol or pinolol lb 46 or prindolol\$1 or prindolol\$1  
8 or prinodolol\$1 or pynastin or viskeen or visken\$1).ti,ab.
- 9 25. practolol.sh. or (practolol\$1 or cardiol or cordialina or dalzic or dl  
10 practolol\$1 or eraldin\$1 or practalol\$1 or praktol or praktolol\$1 or  
11 pralon or proctalol\$1 or teranol).ti,ab.
- 12 26. propranolol.sh. or (propranolol\$1 or anaprilin\$1 or anaprilin\$1 or  
13 anaprylin\$1 or arcablock or authus or avlocardyl or bedranol\$1 or  
14 bepran\$1 or bercolol\$1 or beta neg or beta tablinen\$1 or beta timelet\$1  
15 or betadipresan\$1 or betadren\$1 or betaneg or betaprol\$1 or betares or  
16 betaryl\$1 or cardinol\$1 or ciplar or corbeta or deralin\$1 or  
17 dexpropranolol\$1 or dideral\$1 or dociton\$1 or durabeton\$1 or  
18 efektolol\$1 or elbrol\$1 or frekven\$1 or ikopal\$1 or inderal\$1 or inderex  
19 or indobloc or innoproan\$1 or ipran or l propranolol\$1 or lederpronol\$1  
20 or levopropranolol\$1 or naprilin\$1 or obsidian\$1 or obsin or obzidan or  
21 prandol\$1 or prano puren or pranopuren\$1 or prolol\$1 or pronovan\$1  
22 or propabloc or propal\$1 or propercuten\$1 or prophylux or propra  
23 ratiopharm or propral\$1 or propranur\$1 or proprasylyt or  
24 proprasylyt\$1 or rexigen or sagittol\$1 or stapranolol\$1 or  
25 sumial\$1).ti,ab.
- 26 27. sotalol.sh. or (sotalol\$1 or darob or beta cardon\$1 or betacardon\$1 or  
27 betade\$1 or betapace or bonpro or corsotalol\$1 or darob or  
28 dexsotalol\$1 or dextrosotalol\$1 or gilucor\$1 or isotalol\$1 or  
29 levosotalol\$1 or l sotalol\$1 or rentibloc or rotalol\$1 or satalol\$1 or  
30 satolol\$1 or sotabeta or sotacol\$1 or sotacor or sota hexal\$1 or sotalex or  
31 sotalol or sotapor\$1 or sota saar or sotastad or tachyталol\$1).ti,ab.
- 32 28. timolol.sh. or (timolol\$1 or betim or betimol\$1 or blocadren\$1 or  
33 istalol\$1 or moducren\$1 or optimal\$1 or prestim or propanolol\$1 or  
34 timacar or timoptic or timoptol\$1).sh,tw.
- 35 29. or/1-28
- 36
- 37 Miscellaneous: lithium
- 38
- 39 1. lithium\$.sh. or (lithium\$1 or camcolit or candamid\$1 or carbolith or  
40 carbolitium or cibalith s or contemnol\$1 or dilithium or eskalith or  
41 hypnorex or li salt or limas or linthane or liskonium or liskonum or  
42 litarex or lithane or lithiofor or lithionit or lithiophor or lithobid or  
43 lithocarb or lithonate or lithotabs or maniprex or mesin or micalith or

1 neurolepsin or neurolithium or plenur or priadel or quilinormretard or  
2 quilonorm or quilonum or teralithe or theralite or theralithe).ti,ab.

3

4

5 *c) Alternative interventions*

6

7 MEDLINE - Ovid SP interface

8

- 9 1. exp complementary therapies/ or ((alternative or complement\$ or  
10 traditional) adj2 (medicine\$ or interven\$ or therap\$ or treat\$)).ti,ab.
- 11 2. acupuncture.sh. or exp acupuncture therapy/ or electroacupuncture/  
12 or medicine, chinese traditional/
- 13 3. (acu point\$ or acupoint\$ or acu pressure or acupressure or acu puntur\$  
14 or acupunctur\$ or (ching adj2 lo) or cizhen or dianzhen or  
15 electroacupunc\$ or (jing adj2 luo) or jingluo or zhenjiu or zhenci or  
16 electroacupunctur\$ or needle therap\$).ti,ab.
- 17 4. (meridian or moxa\$ or moxibustion).ti,ab.
- 18 5. or/1-4
- 19 6. (reflexotherapy or therapeutic touch).sh. or exp musculoskeletal  
20 manipulations/
- 21 7. (acupressure or acu pressure or acu touch or acutouch or alexander  
22 technique or jin shin or massage or myofascial release or myotherapy  
23 or polarity therapy or reflexology or rolfing or shiatsu or therapeutic  
24 touch or trager psychophysical or ((craniosacral or neuromuscular or  
25 neuro muscular or reflex) adj2 therapy) or ((feldenkrais or hakomi or  
26 mitchell) adj method) or (pfrimmer adj25 therapy)).ti,ab.
- 27 8. or/6-7
- 28 9. (holistic health or homeopathy).sh.
- 29 10. (homeop\$ or homoeop\$ or homoop\$ or omeop\$).ti,ab.
- 30 11. or/9-10
- 31 12. exp balneology/ or (health resorts or hydrotherapy).sh.
- 32 13. (balneotherapy or balneology or crenotherapy or hydrotherapy or spa  
33 or (water adj (exercis\$ or therap\$)) or thalassotherapy).ti,ab.
- 34 14. or/12-13
- 35 15. (relaxation or relaxation therapy).sh.
- 36 16. (relaxation or ((autogen\$ or relax\$) adj5 (apply or applied or analy\$ or  
37 approach\$ or assist\$ or coach\$ or educat\$ or help\$ or imagery or  
38 instruct\$ or interven\$ or learn\$ or manag\$ or modif\$ or program\$ or  
39 psychoanaly\$ or psychotherap\$ or seminar\$ or strateg\$ or support\$ or  
40 teach\$ or technique\$ or therap\$ or train\$ or treat\$ or workshop\$ or  
41 work shop\$)) or relaxed state or ((breath\$ or movement or respirat\$ or  
42 relax\$) adj2 (exercis\$ or interven\$ or physiotherap\$ or technique\$ or  
43 therap\$ or train\$)) or ((control?ed or deep) adj breathing)).ti,ab.
- 44 17. or/15-16

- 1 18. (breathing exercises or buddhism or mind body therapies or tai ji or  
2 therapeutic touch or meditation or yoga).sh.
- 3 19. (chikung or chi kung or chundosunbup or kriya or kundalini or qigong  
4 or qi gong or meditat\$ or mindfulness or mind body or pranayama or  
5 reiki or sudarshan or taichi or tai chi or tai ji or tai ji quan or taiji or  
6 taijiquan or t ai chi or vipassana or yoga or yogic or zen).ti,ab.
- 7 20. or/18-19
- 8 21. exp hypnosis/ or exp hypnosis, anesthetic/ or "imagery  
9 (psychotherapy)"/
- 10 22. (autohypnosis or (autogenic adj (ormesmer\$ or train\$)) or hypnos\$ or  
11 hypnotherap\$ or imagery or mesmerism or suggestion or  
12 visuali?ation).ti,ab.
- 13 23. or/21-22
- 14 24. ginkgo biloba.sh.
- 15 25. (gingko\$ or ginkgo\$ or ginkgold or ginko\$ or kaveri\$ or rokan or  
16 supergingko\$ or superginkgo\$ or superginko\$ or tanakan\$ or tanaken\$  
17 or tebonin\$).ti,ab.
- 18 26. or/24-25
- 19 27. (valerian or valerianaceae).sh.
- 20 28. (valerian\$ or valepotriat\$).ti,ab.
- 21 29. or/27-28
- 22 30. galphimia.sh,ti,ab.
- 23 31. 30
- 24 32. kava.sh.
- 25 33. (kava or kawa or piper methysticum).ti,ab.
- 26 34. or/32-33
- 27 35. hypericum.sh.
- 28 36. (hyperic\$ or johanniskraut or john\$ wort or johnswort).ti,ab.
- 29 37. or/35-36
- 30 38. (drugs, chinese herbal or medicine, chinese traditional or medicine,  
31 east asian traditional or plant extracts or plants, medicinal).sh.
- 32 39. ((chinese adj2 medic\$) or herb\$ or plant\$1).ti,ab.
- 33 40. or/38-39
- 34 41. or/1-40

37 d) *Experience of care*

38  
39 *An initial broad search was conducted for systematic reviews of qualitative research*  
40 *for anxiety. Further to analysis of the results, the GDG requested a more specific*  
41 *search for primary studies as follows. Given the diversity of qualitative approaches,*  
42 *and the difficulties of retrieving such evidence from the bibliographic databases, search*  
43 *requests #2-3,6 were generated without the use of a qualitative filter.*  
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MEDLINE - Ovid SP interface

1. (anxiety or anxiety disorders).sh. and (anxiety or anxious or ((chronic or excessiveness or intense or (long adj2 last) or neurotic or neurotic or ongoing or persistent or serious or severe or uncontrolled or uncontrolled or unrelenting or unrelenting) adj2 worry)).ti,ab. and (consumer participation or consumer satisfaction or health behavior or hospital patient relations or medication adherence or nurse patient relations or patient acceptance of health care or patient advocacy or patient compliance or patient participation or patient preference or patient satisfaction or physician patient relations or professional patient relations or public opinion or treatment refusal).sh.
2. ((anxiety or anxious or (gad1 not (glutamic acid decarboxylase or glutamic decarboxylase or gad saad)) or ((chronic or excessiveness or incessant or intense or neurotic or neurotic or ongoing or persistent or serious or severe or uncontrolled or uncontrolled or unrelenting or unrelenting) adj2 worry)) and (acceptance or account1 or adhere or aspiration or attitude or aversion or awareness or barrier or belief or centredness or choice or cognitions or compliance or conception1 or concern1 or confusion or content or diary or diaries or demand or dissatisfaction or disclosure or discontent or disgruntle or engaging or engage1 or experience or feeling or happy or help or incentive or involve or need or needs or obstacle or opinion or participate or perception or perceived or perspective or position or prefer or preferred or preference or persistence or refusal or satisfaction or scepticism or self-observation or self-observation or (service adj2 use) or stigma or story or stories or support or tolerance or understand or unhappy or utilization or view or willing or voice) and (adult1 or attendee or attendee or client or consumer or individuals or inpatient or men or minorities or outpatient or participant or patient or people or population or public or respondent or subjects or survivor or women or user or caregiver or caregiver or carer or (care adj (manager or worker)) or family or families)).ti.
3. (((mental or psychological or psychiatric) adj2 (disease or disorder or distress or health or ill or problem)) and (acceptance or account1 or adhere or aspiration or attitude or aversion or awareness or barrier or belief or centredness or choice or cognitions or compliance or conception or concern1 or confusion or content or diary or diaries or demand or dissatisfaction or disclosure or discontent or disgruntle or engaging or engage1 or experience or feeling or happy or help or incentive or involve or need or needs or obstacle or opinion or participate or perception or perceived or perspective or position or prefer or preferred or preference or persistence or refusal

1 or satisf\$ or scepticism or selfobservat\$ or self observat\$ or (service\$  
2 adj2 use\$) or stigma\$ or story or stories or support\$ or tolerance or  
3 understand\$ or unhappy or utili?ation or view\$ or willing\$ or voice\$)  
4 and (adult\$1 or attendee\$ or attender\$ or client\$ or consumer\$ or  
5 individuals or inpatient\$ or men or minorities or outpatient\$ or  
6 participant\$ or patient\$ or people or population or public respondents  
7 or subjects or survivor\$ or women or user\$ or care giver\$ or caregiver\$  
8 or carer\$ or (care adj (manager\$ or worker\$)) or family or families)).ti.  
9 4. ((anxiet\$ or anxious\$ or (gad\$1 not (glutamic acid decarboxylase or  
10 glutamic decarboxylase or gad saad)) or ((chronic\$ or excessiv\$ or  
11 incessant\$ or intens\$ or neuros\$ or neurotic\$ or ongoing or persist\$ or  
12 serious\$ or sever\$ or uncontrol\$ or un control\$ or unrelent\$ or un  
13 relent\$) adj2 worry\$)) adj8 (acceptance or account\$1 or adher\$ or  
14 aspiration\$ or attitude\$ or aversion\$ or awareness or barrier\$ or  
15 belief\$ or centredness or choice\$ or cognitions or complianc\$ or  
16 conception\$ or concern\$1 or confus\$ or content\$ or diary or diaries or  
17 demand\$ or disatisf\$ or disclos\$ or discontent\$ or disgruntle\$ or  
18 engaging or engage\$1 or experienc\$ or feeling or happy or help\$ or  
19 incentive\$ or involv\$ or need or needs or obstacle\$ or opinion\$ or  
20 participa\$ or perception\$ or perceived or perspective\$ or position\$ or  
21 prefer or preferred or preference\$ or persistence or refus\$ or satisf\$ or  
22 scepticism or selfobservat\$ or self observat\$ or (service\$ adj2 use\$) or  
23 stigma\$ or story or stories or support\$ or tolerance or understand\$ or  
24 unhappy or utili?ation or view\$ or willing\$ or voice\$) adj8 (adult\$1 or  
25 attendee\$ or attender\$ or client\$ or consumer\$ or individuals or  
26 inpatient\$ or men or minorities or outpatient\$ or participant\$ or  
27 patient\$ or people or population or public or respondents or subjects or  
28 survivor\$ or women or user\$ or care giver\$ or caregiver\$ or carer\$ or  
29 (care adj (manager\$ or worker\$)) or family or families)).ab.  
30 5. (((adult\$ or attendee\$ or client\$ or consumer\$ or inpatient\$ or  
31 minorities or outpatient\$ or patient\$ or people or public or survivor\$  
32 or user\$) adj2 (acceptance or account\$1 or adher\$ or aspiration\$ or  
33 attitude\$ or aversion\$ or awareness or barrier\$ or belief\$ or  
34 centredness or choice\$ or cognitions or complianc\$ or conception\$ or  
35 concern\$1 or confus\$ or content\$ or diary or diaries or demand\$ or  
36 disatisf\$ or disclos\$ or discontent\$ or disgruntle\$ or engaging or  
37 engage\$1 or experienc\$ or feeling or happy or help\$ or incentive\$ or  
38 involv\$ or need or needs or obstacle\$ or opinion\$ or participa\$ or  
39 perception\$ or perceived or perspective\$ or position\$ or prefer or  
40 preferred or preference\$ or persistence or refus\$ or satisf\$ or scepticism  
41 or selfobservat\$ or self observat\$ or (service\$ adj2 use\$) or stigma\$ or  
42 story or stories or support\$ or tolerance or understand\$ or unhappy or  
43 utili?ation or view\$ or willing\$ or voice\$)) adj15 (anxiet\$ or anxious\$ or  
44 (gad\$1 not (glutamic acid decarboxylase or glutamic decarboxylase or

- 1 gad saad)) or ((chronic\$ or excessiv\$ or incessant\$ or intens\$ or  
2 neuros\$ or neurotic\$ or ongoing or persist\$ or serious\$ or sever\$ or  
3 uncontrol\$ or un control\$ or unrelent\$ or un relent\$) adj2  
4 worry\$)).ti,ab.  
5 6. (anxiety or anxiety disorders).sh. and (anxiet\$ or anxious\$ or ((chronic\$  
6 or excessiv\$ or intens\$ or (long\$ adj2 last\$) or neuros\$ or neurotic\$ or  
7 ongoing or persist\$ or serious\$ or sever\$ or uncontrol\$ or un control\$  
8 or unrelent\$ or un relent\$) adj2 worry\$)).ti,ab. and (attitude or attitude  
9 to health or knowledge, attitudes, practice or patient satisfaction).sh.  
10 7. or/1-6  
11  
12

13 e) CCBT [for panic]  
14

15 MEDLINE - Ovid SP interface  
16

- 17 1. exp psychotherapy/  
18 2. (((cognit\$ or behavio?r\$ or metacognit\$) adj5 (analy\$ or interven\$ or  
19 modif\$ or program\$ or psychoanaly\$ or psychotherap\$ or restructur\$  
20 or retrain\$ or technique\$ or therap\$ or train\$ or treat\$)) or (behav\$ and  
21 cognit\$ and (analy\$ or interven\$ or modif\$ or program\$ or  
22 psychoanaly\$ or psychotherap\$ or restructur\$ or retrain\$ or technique\$  
23 or therap\$ or train\$ or treat\$)) or cbt).ti,ab.  
24 3. (self care.sh. and (cognit\$ or behavio?r\$ or metacognit\$ or  
25 recover\$).tw,hw. ) or (selfinstruct\$ or selfmanag\$ or selfattribut\$ or  
26 (self\$ adj (instruct\$ or manag\$ or attribution\$)) or (rational\$ adj3  
27 emotiv\$) or (rational adj (living or psychotherap\$ or therap\$)) or (ret  
28 adj (psychotherap\$ or therap\$)) or rebt or (active directive adj  
29 (psychotherap\$ or therap\$)).ti,ab.  
30 4. or/1-3  
31 5. attitude to computers/ or audiovisual aids/ or computer literacy/ or  
32 computer user training/ or computer-assisted instruction/ or  
33 computing methodologies/ or decision support systems, clinical/ or  
34 hotlines/ or information systems/ or medical informatics computing/  
35 or medical informatics/ or multimedia/ or telemedicine/ or exp  
36 audiovisual aids/ or exp computer systems/ or exp decision making,  
37 computer assisted/ or exp optical storage devices/ or exp software/ or  
38 exp telecommunications/ or comput\$.hw.  
39 6. (audio\$ or cd\$1 or cd rom or cdrom or computer\$ or cyber\$ or digital  
40 assistant\$ or dvd or electronic\$ or floppy or handheld or hand held or  
41 interactiv\$ or internet or mobile or multimedia or multi media or  
42 online or palmtop or palm top or pc\$1 or pda or personal digital r  
43 phone\$ or portal\$1 or sms\$1 or telephone\$ or text or texts or texting or  
44 video\$ or virtual or web\$ or www).ti,ab.

- 1 7. interactive voice response.ti,ab.
- 2 8. or/5-7
- 3 9. 4 and 8
- 4 10. (cacbt or ccbt or c cbt).ti,ab.
- 5 11. ((beating adj2 blues) or fearfighter or ffeducation or ff education or
- 6 internet psykiatri or internet psychiatri or moodgym or netcope or netff
- 7 or net ff or (living life adj2 full) or oc fighter or ocfighter or odin or
- 8 overcoming depression or panic online or (restoring adj2 balance) or
- 9 standaloneff or standalone ff or therapeutic learning program\$).ti,ab.
- 10 12. (bt step\$ or calipso\$ or climate or climategp\$ or climateschool\$ or
- 11 climatemh\$ or climateclinic\$ or climatetv\$ or crufad\$ or gpcare\$ or
- 12 ultrasi\$).ti,ab.
- 13 13. telemedicine/ or therapy, computer assisted/
- 14 14. (panic\$ adj3 (package\$ or program\$)).ti,ab.
- 15 15. (etherap\$ or e therap\$ or telehealth or tele health).ti,ab.
- 16 16. (e communication\$ or ecommunication\$ or e consult\$ or econsult\$ or e
- 17 visit\$ or evisit\$ or e therap\$ or etherap\$ or telehealth or tele
- 18 health).ti,ab.
- 19 17. ((audio\$ or cd\$1 or cd rom or cdrom or computer\$ or cyber\$ or digital
- 20 assistant\$ or dvd or electronic\$ or floppy or handheld or hand held or
- 21 information or interactiv\$ or internet or mobile or multimedia or multi
- 22 media or online or palmtop or palm top or pc\$1 or pda or pdas or
- 23 personal digital or phone\$ or sms\$1 or telephone\$ or text or texts or
- 24 texting or video\$ or virtual or web\$ or www) adj5 (advocacy or
- 25 approach\$ or coach\$ or discussion or educat\$ or exchang\$ or guide\$1
- 26 or help\$ or instruct\$ or interact\$ or interven\$ or learn\$ or manag\$ or
- 27 meeting\$ or module\$ or network\$ or online or participat\$ or
- 28 program\$ or psychoanaly\$ or psychotherap\$ or rehab\$ or retrain\$ or
- 29 re train\$ or self guide\$ or self help or selfguide\$ or selfhelp or skill\$
- 30 or strateg\$ or support\$ or teach\$ or technique\$ or telephone\$ or
- 31 therap\$ or train\$ or treat\$ or work shop\$ or workshop\$)).ti,ab.
- 32 18. ((audio\$ or cd\$1 or cd rom or cdrom or computer\$ or cyber\$ or digital
- 33 assistant\$ or dvd or electronic\$ or floppy or handheld or hand held or
- 34 information or interactiv\$ or internet or mobile or multimedia or multi
- 35 media or online or palmtop or palm top or pc\$1 or pda or pdas or
- 36 personal digital or phone\$ or sms\$1 or telephone\$ or text or texts or
- 37 texting or video\$ or virtual or web\$ or www) adj2 (assist\$ or
- 38 based)).ti,ab.
- 39 19. ((audio\$ or cd\$1 or cd rom or cdrom or computer\$ or cyber\$ or digital
- 40 assistant\$ or dvd or electronic\$ or floppy or handheld or hand held or
- 41 interactiv\$ or internet or mobile or multimedia or multi media or
- 42 online or palmtop or palm top or pc\$1 or pda or pdas or personal
- 43 digital or phone\$ or sms\$1 or telephone\$ or text or texts or texting or
- 44 video\$ or virtual or web\$ or www) adj5 (aid or aided or appointment\$

- 1 or booking\$ or communicat\$ or consult\$ or deliver\$ or feedback or  
 2 forum or guided or input\$ or interactiv\$ or letter\$ or messag\$ or  
 3 referral\$ or remind\$ or send\$ or transfer\$ or transmi\$ or visit\$)).ti,ab.  
 4 20. ((audio\$ or cd\$1 or cd rom or cdrom or computer\$ or cyber\$ or digital  
 5 assistant\$ or dvd or electronic\$ or floppy or handheld or hand held or  
 6 information or interactiv\$ or internet or mobile or multimedia or multi  
 7 media or online or palmtop or palm top or pc\$1 or personal digital or  
 8 pda or pdas or personal digital or digital assistant\$ or phone\$ or sms\$1  
 9 or telephone\$ or text or texts or texting or video\$ or virtual or web\$ or  
 10 www) adj5 group\$).ti,ab.  
 11 21. ((client\$ or consumer\$ or inpatient\$ or outpatient\$ or patient\$) adj3  
 12 (audio\$ or cd\$1 or cd rom or cdrom or computer\$ or cyber\$ or digital  
 13 assistant\$ or dvd or electronic\$ or floppy or handheld or hand held or  
 14 information or interactiv\$ or internet or mobile or multimedia or multi  
 15 media or online or palmtop or palm top or pc\$1 or pda or pdas or  
 16 personal digital or phone\$ or sms\$1 or telephone\$ or text or texts or  
 17 texting or video\$ or virtual or web\$ or www)).ti,ab.  
 18 22. ((client\$ or consumer\$ or inpatient\$ or outpatient\$ or patient\$ or health  
 19 or information or web or internet) adj3 portal\$).ti,ab.  
 20 23. or/10-22  
 21 24. or/9,23  
 22  
 23

### 24 9.1.3 Search filters

25  
 26 *a) Systematic review search filter – this is an adaptation of a filter designed by the*  
 27 *Health Information Research Unit of the McMaster University, Ontario.*  
 28

29 MEDLINE – Ovid SP interface

- 30  
 31 1 meta analysis/ or "review literature as topic"/  
 32 2 (((analy\$ or evidence\$ or methodol\$ or quantativ\$ or systematic\$) adj5  
 33 (overview\$ or review\$)) or (systematic\$ adj5 search\$)).ti,ab. or ((analy\$  
 34 or assessment\$ or evidence\$ or methodol\$ or quantativ\$ or qualitativ\$  
 35 or systematic\$).ti. and review\$.ti,pt.)  
 36 3 ((electronic database\$ or bibliographic database\$ or computeri?ed  
 37 database\$ or online database\$ or bids or cochrane or embase or index  
 38 medicus or isi citation or medline or psyclit or psychlit or scisearch or  
 39 science citation or (web adj2 science)).ti,ab. or databases,  
 40 bibliographic.sh) and (review\$.ti,ab,pt. or  
 41 systematic\$.ti,ab.)  
 42 4 (metaanal\$ or meta anal\$ or metasyntes\$ or meta synthes\$).ti,ab.  
 43 5 (research adj (review\$ or integration)).ti,ab.  
 44 6 reference list\$.ab.



1 7 bibliograph\$.ab.  
2 8 published studies.ab.  
3 9 relevant journals.ab.  
4 10 selection criteria.ab.  
5 11 (data adj (extraction or synthesis)).ab.  
6 12 (handsearch\$ or ((hand or manual) adj search\$)).ti,ab.  
7 13 (mantel haenszel or peto or dersimonian or der simonian).ti,ab.  
8 14 (fixed effect\$ or random effect\$).ti,ab.  
9 15 metaanalysis.pt.  
10 16 ((pool\$ or combined or combining) adj2 (data or trials or studies or  
11 results)).ti,ab.  
12 17 or/1-16

13

14

15 *b) Randomised controlled trial search filter – this is an adaptation of a filter designed*  
16 *by the Health Information Research Unit of the McMaster University, Ontario.*

17

18 MEDLINE – Ovid SP interface

19

20 1 exp clinical trial/ or cross over studies/ or double blind method/ or  
21 random allocation/ or randomized controlled trials as topic/ or single  
22 blind method/  
23 2 (clinical adj2 trial\$).ti,ab.  
24 3 (crossover or cross over).ti,ab.  
25 4 (((single\$ or doubl\$ or trebl\$ or tripl\$) adj5 blind\$) or mask\$ or dummy  
26 or singleblind\$ or doubleblind\$ or trebleblind\$ or tripleblind\$).ti,ab.  
27 5 (placebo\$ or random\$).mp.  
28 6 (clinical trial\$ or controlled clinical trial\$ or random\$).pt.  
29 7 animals/ not (humans/ or human\$.tw.)  
30 8 (or/1-6) not 7

31

32

33 *c) Qualitative filter – this is an adaptation of filters designed by the Health*  
34 *Information Research Unit of McMaster University, Ontario, and the University of*  
35 *Alberta.*

36

37 MEDLINE – Ovid SP interface

38

39 1. qualitative research/  
40 2. interview/ or personal narratives/ or exp interviews as topic/ or  
41 interview, psychological/  
42 3. narration/  
43 4. exp tape recording/ or videodisc recording/  
44 5. sampling studies/ or cluster analysis/

- 1 6. anthropology, cultural/  
2 7. nursing methodology research/  
3 8. observation/  
4 9. (qualitative or ethno\$ or emic or etic or heuristic or semiotics or  
5 phenomenolog\$).ti,ab.  
6 10. interview\$.ti,ab.  
7 11. (((audio or tape or video\$) adj5 record\$) or audiorecord\$ or  
8 taperecord\$ or videorecord\$ or videotap\$).ti,ab.  
9 12. (story or stories or storytell\$ or story tell\$).ti,ab.  
10 13. testimon\$.ti,ab.  
11 14. ((focus adj4 (group\$ or sampl\$)) or narrat\$ or ((life or lived) adj  
12 experience\$)).ti,ab.  
13 15. ((participant\$ or nonparticipant\$) adj3 observ\$).ti,ab.  
14 16. (constant adj (comparative or comparison)).ti,ab.  
15 17. (content analy\$ or (field adj (note\$ or record\$ or stud\$ or research)) or  
16 fieldnote\$).ti,ab.  
17 18. (data adj1 saturat\$).ti,ab.  
18 19. discourse analys?s.ti,ab.  
19 20. (grounded adj (theor\$ or study or studies or research)).ti,ab.  
20 21. (hermeneutic\$ or heidegger\$ or husserl\$ or colaizzi\$ or giorgi\$ or  
21 glaser or spiegelberg\$ or strauss).ti,ab.  
22 22. (maximum variation or snowball).ti,ab.  
23 23. (cross case analys\$ or metaethno\$ or meta ethno\$ or metanarrative\$ or  
24 meta narrative\$ or metasynthes\$ or meta synthes\$ or metasummar\$ or  
25 meta summar\$ or metastud\$ or meta stud\$ or narrative synthes\$ or  
26 qualitative synthes\$ or qualitative overview or metaoverview or meta  
27 overview).ti,ab.  
28 24. purpos\$ sampl\$.ti,ab.  
29 25. (structured categor\$ or unstructured categor\$).ti,ab.  
30 26. ((thematic\$ adj3 analys\$) or themes).ti,ab.  
31 27. (theoretical sampl\$ or ricoeur or spiegelberg\$ or merleau).ti,ab.  
32 28. (van kaam\$ or van manen or constant compar\$).ti,ab.  
33 29. action research.ti,ab.  
34 30. human science.ti,ab.  
35 31. (critical social\$ or ethical enquiry or (pilot testing and survey) or  
36 shadowing or ((philosophical or social) adj research\$)).ti,ab.  
37 32. or/1-31  
38  
39

1 Appendix 10: Clinical study data extraction form

2

Main Data Entry Form - Lillypop's Data Extraction Database

Reference: ALLGLANDER2001

Reference: Allgulander, C., Hackett, D. & Salinas, E. (2001) Venlafaxine extended release (ER) in the treatment of generalised anxiety disorder. British Journal of Psychiatry, 179, 15-22.

Reprint Status: In File

Source: Entry Review

Published or Unpublished Data?: Published Data Only

References Checked for Additional Papers?: Includes CoR D.M.A? No

States within Topic Groups, Clinical Questions and Comparisons

Topic Group: Pharmacology

Status for this Topic Group: Relevant

Reason for Exclusion: [Empty]

Clinical Question: In the treatment of generalised anxiety disorder does pharmacology improve outcome?

Comparison: Venlafaxine (SNRI) versus placebo

Warning: Until this ReferenceID is allocated to a topic group and assigned as included, excluded or awaiting assessment, it will not appear in any Evidence Tables, and will not be returned by any Complex Query.

3

4

Main Data Entry Form - Lillypop's Data Extraction Database

Reference: BARLOW1992

Study Description: Type of study: RCT; Type of analysis: Completers; Description of study: Relaxation, CT or their combination were compared to WLC for those with GAD.

Participants

No. Participants Included in Study		No info	
Sex (no. males and females)	Male	Female	CT
	Lower	Mean	Upper
Age (in whole years)	19	40	65

Diagnoses: DSM-III-R: 100% (Sample with The Diagnosis)

Notes: Only subjects meeting criteria for GAD as a principal diagnosis and for whom that diagnosis rated an ADIS-R clinical severity rating of 4 or more were included in study.

5

1

2

The screenshot shows a web-based data entry form titled "Main Data Entry Form - Lollypop's Data Extraction Database". The form is for a study identified by "ReferenceID: DAHL2005". It is divided into several sections:

- Interventions:** A table with columns for "Intervention" and "Mean dose". The intervention listed is "Sertraline". Below this is a text box for "Intervention Details" containing: "First week: 25mg/day. Weeks 2-4: 50mg/day. Weeks 5-6: flexible dosing was permitted in the range of 50-100mg/day. Weeks 7-12: increased to a range of 50-100mg/day. At week 12, sertraline was blindly discontinued immediately or over a week." There are also navigation controls for this section.
- Outcomes:** A table with columns for "OutcomeID" and "Usable". The outcome listed is "Response (50% reduction in HAMA score)". There are navigation controls for this section.
- Notes about Outcomes:** A text box containing "TAKEN AT: weeks 1, 2, 4, 6, 8 and 12."

The form also shows a "Number of Participants in this Group" of 184 and a status bar at the bottom indicating "Record: 117 of 449" and "caribring". The Windows taskbar at the bottom shows the Start button and several open applications, including "Lollypop's Dat..." and "Search Desktop".

3

4

## 1 Appendix 11: Quality checklists for clinical studies and reviews

2 The methodological quality of each study was evaluated using dimensions  
 3 adapted from SIGN (SIGN, 2001). SIGN originally adapted its quality criteria  
 4 from checklists developed in Australia (Liddel *et al.*, 1996). Both groups  
 5 reportedly undertook extensive development and validation procedures  
 6 when creating their quality criteria.

7

<b>Quality Checklist for a Systematic Review or Meta-Analysis</b>			
<b>Study ID:</b>			
<b>Guideline topic:</b>		<b>Key question no:</b>	
<b>Checklist completed by:</b>			
SECTION 1: INTERNAL VALIDITY			
In a well-conducted systematic review:		In this study this criterion is: (Circle one option for each question)	
1.1	The study addresses an appropriate and clearly focused question.	Well covered Adequately addressed Poorly addressed	Not addressed Not reported Not applicable
1.2	A description of the methodology used is included.	Well covered Adequately addressed Poorly addressed	Not addressed Not reported Not applicable
1.3	The literature search is sufficiently rigorous to identify all the relevant studies.	Well covered Adequately addressed Poorly addressed	Not addressed Not reported Not applicable
1.4	Study quality is assessed and taken into account.	Well covered Adequately addressed Poorly addressed	Not addressed Not reported Not applicable
1.5	There are enough similarities between the studies selected to make combining them reasonable.	Well covered Adequately addressed Poorly addressed	Not addressed Not reported Not applicable
SECTION 2: OVERALL ASSESSMENT OF THE STUDY			
2.1	How well was the study done to minimise bias? Code ++, + or -		

8

9 **Notes on the use of the methodology checklist: systematic reviews and**  
 10 **meta-analyses**

11

1 Section 1 identifies the study and asks a series of questions aimed at  
2 establishing the internal validity of the study under review – that is, making  
3 sure that it has been carried out carefully and that the outcomes are likely to  
4 be attributable to the intervention being investigated. Each question covers an  
5 aspect of methodology that research has shown makes a significant difference  
6 to the conclusions of a study.

7  
8 For each question in this section, one of the following should be used to  
9 indicate how well it has been addressed in the review:

- 10  
11 • well covered
- 12 • adequately addressed
- 13 • poorly addressed
- 14 • not addressed (that is, not mentioned or indicates that this aspect of  
15 study design was ignored)
- 16 • not reported (that is, mentioned but insufficient detail to allow  
17 assessment to be made)
- 18 • not applicable.

19 **1.1 The study addresses an appropriate and clearly focused question**

20 Unless a clear and well-defined question is specified in the report of the  
21 review, it will be difficult to assess how well it has met its objectives or how  
22 relevant it is to the question to be answered on the basis of the conclusions.

23  
24 **1.2 A description of the methodology used is included**

25 One of the key distinctions between a systematic review and a general review  
26 is the systematic methodology used. A systematic review should include a  
27 detailed description of the methods used to identify and evaluate individual  
28 studies. If this description is not present, it is not possible to make a thorough  
29 evaluation of the quality of the review, and it should be rejected as a source of  
30 level-1 evidence (though it may be useable as level-4 evidence, if no better  
31 evidence can be found).

32  
33 **1.3 The literature search is sufficiently rigorous to identify all the  
34 relevant studies**

35 A systematic review based on a limited literature search – for example, one  
36 limited to MEDLINE only – is likely to be heavily biased. A well-conducted  
37 review should as a minimum look at EMBASE and MEDLINE and, from the  
38 late 1990s onward, the Cochrane Library. Any indication that hand searching  
39 of key journals, or follow-up of reference lists of included studies, were

1 carried out in addition to electronic database searches can normally be taken  
 2 as evidence of a well-conducted review.

3  
 4 **1.4 Study quality is assessed and taken into account**

5 A well-conducted systematic review should have used clear criteria to assess  
 6 whether individual studies had been well conducted before deciding whether  
 7 to include or exclude them. If there is no indication of such an assessment, the  
 8 review should be rejected as a source of level-1 evidence. If details of the  
 9 assessment are poor, or the methods are considered to be inadequate, the  
 10 quality of the review should be downgraded. In either case, it may be  
 11 worthwhile obtaining and evaluating the individual studies as part of the  
 12 review being conducted for this guideline.

13  
 14 **1.5 There are enough similarities between the studies selected to make  
 15 combining them reasonable**

16 Studies covered by a systematic review should be selected using clear  
 17 inclusion criteria (see question 1.4 above). These criteria should include, either  
 18 implicitly or explicitly, the question of whether the selected studies can  
 19 legitimately be compared. It should be clearly ascertained, for example, that  
 20 the populations covered by the studies are comparable, that the methods used  
 21 in the investigations are the same, that the outcome measures are comparable  
 22 and the variability in effect sizes between studies is not greater than would be  
 23 expected by chance alone.

24  
 25 Section 2 relates to the overall assessment of the paper. It starts by rating the  
 26 methodological quality of the study, based on the responses in Section 1 and  
 27 using the following coding system:  
 28

++	All or most of the criteria have been fulfilled. Where they have not been fulfilled, the conclusions of the study or review are thought <b>very unlikely</b> to alter.
+	Some of the criteria have been fulfilled. Those criteria that have not been fulfilled or not adequately described are thought <b>unlikely</b> to alter the conclusions.
-	Few or no criteria fulfilled. The conclusions of the study are thought <b>likely or very likely</b> to alter.

29

Quality Checklist for an RCT	
Study ID:	
Guideline topic:	Key question no:
Checklist completed by:	
SECTION 1: INTERNAL VALIDITY	
In a well-conducted RCT study:	In this study this criterion is: (Circle one option for each

		question)	
1.1	The study addresses an appropriate and clearly focused question.	Well covered Adequately addressed Poorly addressed	Not addressed Not reported Not applicable
1.2	The assignment of subjects to treatment groups is randomised.	Well covered Adequately addressed Poorly addressed	Not addressed Not reported Not applicable
1.3	An adequate concealment method is used.	Well covered Adequately addressed Poorly addressed	Not addressed Not reported Not applicable
1.4	Subjects and investigators are kept 'blind' about treatment allocation.	Well covered Adequately addressed Poorly addressed	Not addressed Not reported Not applicable
1.5	The treatment and control groups are similar at the start of the trial.	Well covered Adequately addressed Poorly addressed	Not addressed Not reported Not applicable
1.6	The only difference between groups is the treatment under investigation.	Well covered Adequately addressed Poorly addressed	Not addressed Not reported Not applicable
1.7	All relevant outcomes are measured in a standard, valid and reliable way.	Well covered Adequately addressed Poorly addressed	Not addressed Not reported Not applicable
1.8	What percentage of the individuals or clusters recruited into each treatment arm of the study dropped out before the study was completed?		
1.9	All the subjects are analysed in the groups to which they were randomly allocated (often referred to as intention-to-treat analysis).	Well covered Adequately addressed Poorly	Not addressed Not reported Not applicable



		addressed	
1.10	Where the study is carried out at more than one site, results are comparable for all sites.	Well covered Adequately addressed Poorly addressed	Not addressed Not reported Not applicable
<b>SECTION 2: OVERALL ASSESSMENT OF THE STUDY</b>			
2.1	How well was the study done to minimise bias? <i>Code ++, + or -</i>		

1

2 **Notes on the use of the methodology checklist: RCTs**

3

4 Section 1 identifies the study and asks a series of questions aimed at  
5 establishing the internal validity of the study under review – that is, making  
6 sure that it has been carried out carefully and that the outcomes are likely to  
7 be attributable to the intervention being investigated. Each question covers an  
8 aspect of methodology that research has shown makes a significant difference  
9 to the conclusions of a study.

10

11 For each question in this section, one of the following should be used to  
12 indicate how well it has been addressed in the review:

13

- 14 • well covered
- 15 • adequately addressed
- 16 • poorly addressed
- 17 • not addressed (that is, not mentioned or indicates that this aspect of  
18 study design was ignored)
- 19 • not reported (that is, mentioned but insufficient detail to allow  
20 assessment to be made)
- 21 • not applicable.

22 **1.1 The study addresses an appropriate and clearly focused question**

23 Unless a clear and well-defined question is specified, it will be difficult to  
24 assess how well the study has met its objectives or how relevant it is to the  
25 question to be answered on the basis of its conclusions.

26

1 **1.2 The assignment of subjects to treatment groups is randomised**

2 Random allocation of patients to receive one or other of the treatments under  
3 investigation, or to receive either treatment or placebo, is fundamental to this  
4 type of study. If there is no indication of randomisation, the study should be  
5 rejected. If the description of randomisation is poor, or the process used is not  
6 truly random (for example, allocation by date or alternating between one  
7 group and another) or can otherwise be seen as flawed, the study should be  
8 given a lower quality rating.

9

10 **1.3 An adequate concealment method is used**

11 Research has shown that where allocation concealment is inadequate,  
12 investigators can overestimate the effect of interventions by up to 40%.  
13 Centralised allocation, computerised allocation systems or the use of coded  
14 identical containers would all be regarded as adequate methods of  
15 concealment and may be taken as indicators of a well-conducted study. If the  
16 method of concealment used is regarded as poor, or relatively easy to subvert,  
17 the study must be given a lower quality rating, and can be rejected if the  
18 concealment method is seen as inadequate.

19

20 **1.4 Subjects and investigators are kept 'blind' about treatment allocation**

21 Blinding can be carried out up to three levels. In single-blind studies, patients  
22 are unaware of which treatment they are receiving; in double-blind studies,  
23 the doctor and the patient are unaware of which treatment the patient is  
24 receiving; in triple-blind studies, patients, healthcare providers and those  
25 conducting the analysis are unaware of which patients receive which  
26 treatment. The higher the level of blinding, the lower the risk of bias in the  
27 study.

28

29 **1.5 The treatment and control groups are similar at the start of the trial**

30 Patients selected for inclusion in a trial should be as similar as possible, in  
31 order to eliminate any possible bias. The study should report any significant  
32 differences in the composition of the study groups in relation to gender mix,  
33 age, stage of disease (if appropriate), social background, ethnic origin or  
34 comorbid conditions. These factors may be covered by inclusion and  
35 exclusion criteria, rather than being reported directly. Failure to address this  
36 question, or the use of inappropriate groups, should lead to the study being  
37 downgraded.

38

39 **1.6 The only difference between groups is the treatment under**  
40 **investigation**

41 If some patients receive additional treatment, even if of a minor nature or  
42 consisting of advice and counselling rather than a physical intervention, this  
43 treatment is a potential confounding factor that may invalidate the results. If  
44 groups are not treated equally, the study should be rejected unless no other

1 evidence is available. If the study is used as evidence, it should be treated  
2 with caution and given a low quality rating.

3  
4 **1.7 All relevant outcomes are measured in a standard, valid and reliable**  
5 **way**

6 If some significant clinical outcomes have been ignored, or not adequately  
7 taken into account, the study should be downgraded. It should also be  
8 downgraded if the measures used are regarded as being doubtful in any way  
9 or applied inconsistently.

10  
11 **1.8 What percentage of the individuals or clusters recruited into each**  
12 **treatment arm of the study dropped out before the study was**  
13 **completed?**

14 The number of patients that drop out of a study should give concern if the  
15 number is very high. Conventionally, a 20% drop-out rate is regarded as  
16 acceptable, but this may vary. Some regard should be paid to why patients  
17 drop out, as well as how many. It should be noted that the drop-out rate may  
18 be expected to be higher in studies conducted over a long period of time. A  
19 higher drop-out rate will normally lead to downgrading, rather than rejection,  
20 of a study.

21  
22 **1.9 All the subjects are analysed in the groups to which they were**  
23 **randomly allocated (often referred to as intention-to-treat analysis)**

24 In practice, it is rarely the case that all patients allocated to the intervention  
25 group receive the intervention throughout the trial, or that all those in the  
26 comparison group do not. Patients may refuse treatment, or contraindications  
27 arise that lead them to be switched to the other group. If the comparability of  
28 groups through randomisation is to be maintained, however, patient  
29 outcomes must be analysed according to the group to which they were  
30 originally allocated, irrespective of the treatment they actually received. (This  
31 is known as intention-to-treat analysis.) If it is clear that analysis is not on an  
32 intention-to-treat basis, the study may be rejected. If there is little other  
33 evidence available, the study may be included but should be evaluated as if it  
34 were a non-randomised cohort study.

35  
36 **1.10 Where the study is carried out at more than one site, results are**  
37 **comparable for all sites**

38 In multi-site studies, confidence in the results should be increased if it can be  
39 shown that similar results have been obtained at the different participating  
40 centres.

41  
42 Section 2 relates to the overall assessment of the paper. It starts by rating the  
43 methodological quality of the study, based on the responses in Section 1 and  
44 using the following coding system:

1

++	All or most of the criteria have been fulfilled. Where they have not been fulfilled, the conclusions of the study or review are thought <b>very unlikely</b> to alter.
+	Some of the criteria have been fulfilled. Those criteria that have not been fulfilled or not adequately described are thought <b>unlikely</b> to alter the conclusions.
-	Few or no criteria fulfilled. The conclusions of the study are thought <b>likely or very likely</b> to alter.

2

<b>Quality Checklist for a Cohort Study*</b>			
<b>Study ID:</b>		<b>Relevant questions:</b>	
<b>Guideline topic:</b>			
<b>Checklist completed by:</b>			
<b>SECTION 1: INTERNAL VALIDITY</b>			
In a well conducted cohort study:		In this study the criterion is: <i>(Circle one option for each question)</i>	
1.1	The study addresses an appropriate and clearly focused question.	Well covered Adequately addressed Poorly addressed	Not addressed Not reported Not applicable
<b>SELECTION OF SUBJECTS</b>			
1.2	The two groups being studied are selected from source populations that are comparable in all respects other than the factor under investigation.	Well covered Adequately addressed Poorly addressed	Not addressed Not reported Not applicable
1.3	The study indicates how many of the people asked to take part did so, in each of the groups being studied.	Well covered Adequately addressed Poorly addressed	Not addressed Not reported Not applicable
1.4	The likelihood that some eligible subjects might have the outcome at the time of enrolment is assessed and taken into account in the analysis.	Well covered Adequately addressed Poorly addressed	Not addressed Not reported Not applicable
1.5	What percentage of individuals or clusters recruited into each arm of the study dropped out before the study was completed?		
1.6	Comparison is made between full participants and those lost to follow-	Well covered Adequately	Not addressed Not reported

	up, by exposure status.	addressed Poorly addressed	Not applicable
<b>ASSESSMENT</b>			
1.7	The outcomes are clearly defined.	Well covered Adequately addressed Poorly addressed	Not addressed Not reported Not applicable
1.8	The assessment of outcome is made blind to exposure status.	Well covered Adequately addressed Poorly addressed	Not addressed Not reported Not applicable
1.9	Where blinding was not possible, there is some recognition that knowledge of exposure status could have influenced the assessment of outcome.	Well covered Adequately addressed Poorly addressed	Not addressed Not reported Not applicable
1.10	The measure of assessment of exposure is reliable.	Well covered Adequately addressed Poorly addressed	Not addressed Not reported Not applicable
1.11	Evidence from other sources is used to demonstrate that the method of outcome assessment is valid and reliable.	Well covered Adequately addressed Poorly addressed	Not addressed Not reported Not applicable
1.12	Exposure level or prognostic factor is assessed more than once.	Well covered Adequately addressed Poorly addressed	Not addressed Not reported Not applicable
<b>CONFOUNDING</b>			
1.13	The main potential confounders are identified and taken into account in the design and analysis.	Well covered Adequately addressed Poorly addressed	Not addressed Not reported Not applicable
<b>STATISTICAL ANALYSIS</b>			
1.14	Have confidence intervals been provided?		
<b>SECTION 2: OVERALL ASSESSMENT OF THE STUDY</b>			
2.1	How well was the study done to minimise the risk of bias or confounding, and to establish a causal relationship between exposure and effect? <i>Code ++, + or -</i>		

1 \*A cohort study can be defined as a retrospective or prospective follow-up  
2 study. Groups of individuals are defined on the basis of the presence or  
3 absence of exposure to a suspected risk factor or intervention. This checklist is  
4 not appropriate for assessing uncontrolled studies (for example, a case series  
5 where there is no comparison [control] group of patients).

6

### 7 **Notes on the use of the methodology checklist: cohort studies**

8

9 The studies covered by this checklist are designed to answer questions of the  
10 type 'What are the effects of this exposure?' It relates to studies that compare  
11 a group of people with a particular exposure with another group who either  
12 have not had the exposure or have a different level of exposure. Cohort  
13 studies may be prospective (where the exposure is defined and subjects  
14 selected before outcomes occur) or retrospective (where exposure is assessed  
15 after the outcome is known, usually by the examination of medical records).  
16 Retrospective studies are generally regarded as a weaker design, and should  
17 not receive a 2++ rating.

18

19 Section 1 identifies the study and asks a series of questions aimed at  
20 establishing the internal validity of the study under review – that is, making  
21 sure that it has been carried out carefully, and that the outcomes are likely to  
22 be attributable to the intervention being investigated. Each question covers an  
23 aspect of methodology that has been shown to make a significant difference to  
24 the conclusions of a study.

25

26 Because of the potential complexity and subtleties of the design of this type of  
27 study, there are comparatively few criteria that automatically rule out use of a  
28 study as evidence. It is more a matter of increasing confidence in the  
29 likelihood of a causal relationship existing between exposure and outcome by  
30 identifying how many aspects of good study design are present and how well  
31 they have been tackled. A study that fails to address or report on more than  
32 one or two of the questions considered below should almost certainly be  
33 rejected.

34

35 For each question in this section, one of the following should be used to  
36 indicate how well it has been addressed in the review:

37

- 38 • well covered
- 39 • adequately addressed
- 40 • poorly addressed

- 1       • not addressed (that is, not mentioned or indicates that this aspect of  
2       study design was ignored)
- 3       • not reported (that is, mentioned but insufficient detail to allow  
4       assessment to be made)
- 5       • not applicable.

6       **1.1 The study addresses an appropriate and clearly focused question**

7       Unless a clear and well-defined question is specified, it will be difficult to  
8       assess how well the study has met its objectives or how relevant it is to the  
9       question to be answered on the basis of its conclusions.

10  
11       **1.2 The two groups being studied are selected from source populations  
12       that are comparable in all respects other than the factor under  
13       investigation**

14       Study participants may be selected from the target population (all individuals  
15       to which the results of the study could be applied), the source population (a  
16       defined subset of the target population from which participants are selected)  
17       or from a pool of eligible subjects (a clearly defined and counted group  
18       selected from the source population). It is important that the two groups  
19       selected for comparison are as similar as possible in all characteristics except  
20       for their exposure status or the presence of specific prognostic factors or  
21       prognostic markers relevant to the study in question. If the study does not  
22       include clear definitions of the source populations and eligibility criteria for  
23       participants, it should be rejected.

24  
25       **1.3 The study indicates how many of the people asked to take part did  
26       so in each of the groups being studied**

27       This question relates to what is known as the participation rate, defined as the  
28       number of study participants divided by the number of eligible subjects. This  
29       should be calculated separately for each branch of the study. A large  
30       difference in participation rate between the two arms of the study indicates  
31       that a significant degree of selection bias may be present, and the study  
32       results should be treated with considerable caution.

33  
34       **1.4 The likelihood that some eligible subjects might have the outcome at  
35       the time of enrolment is assessed and taken into account in the  
36       analysis**

37       If some of the eligible subjects, particularly those in the unexposed group,  
38       already have the outcome at the start of the trial, the final result will be  
39       biased. A well-conducted study will attempt to estimate the likelihood of this  
40       occurring and take it into account in the analysis through the use of sensitivity  
41       studies or other methods.

42

1 **1.5 What percentage of individuals or clusters recruited into each arm of**  
2 **the study dropped out before the study was completed?**

3 The number of patients that drop out of a study should give concern if the  
4 number is very high. Conventionally, a 20% drop-out rate is regarded as  
5 acceptable, but in observational studies conducted over a lengthy period of  
6 time a higher drop-out rate is to be expected. A decision on whether to  
7 downgrade or reject a study because of a high drop-out rate is a matter of  
8 judgement based on the reasons why people drop out and whether drop-out  
9 rates are comparable in the exposed and unexposed groups. Reporting of  
10 efforts to follow up participants that drop out may be regarded as an  
11 indicator of a well-conducted study.  
12

13 **1.6 Comparison is made between full participants and those lost to**  
14 **follow-up by exposure status**

15 For valid study results, it is essential that the study participants are truly  
16 representative of the source population. It is always possible that participants  
17 who drop out of the study will differ in some significant way from those who  
18 remain part of the study throughout. A well-conducted study will attempt to  
19 identify any such differences between full and partial participants in both the  
20 exposed and unexposed groups. Any indication that differences exist should  
21 lead to the study results being treated with caution.  
22

23 **1.7 The outcomes are clearly defined**

24 Once enrolled in the study, participants should be followed until specified  
25 end points or outcomes are reached. In a study of the effect of exercise on the  
26 death rates from heart disease in middle-aged men, for example, participants  
27 might be followed up until death, reaching a predefined age or until  
28 completion of the study. If outcomes and the criteria used for measuring them  
29 are not clearly defined, the study should be rejected.  
30

31 **1.8 The assessment of outcome is made blind to exposure status**

32 If the assessor is blinded to which participants received the exposure, and  
33 which did not, the prospects of unbiased results are significantly increased.  
34 Studies in which this is done should be rated more highly than those where it  
35 is not done or not done adequately.  
36

37 **1.9 Where blinding was not possible, there is some recognition that**  
38 **knowledge of exposure status could have influenced the assessment**  
39 **of outcome**

40 Blinding is not possible in many cohort studies. In order to assess the extent of  
41 any bias that may be present, it may be helpful to compare process measures  
42 used on the participant groups – for example, frequency of observations,  
43 who carried out the observations and the degree of detail and completeness of



1 observations. If these process measures are comparable between the groups,  
2 the results may be regarded with more confidence.

3  
4 **1.10 The measure of assessment of exposure is reliable**

5 A well-conducted study should indicate how the degree of exposure or  
6 presence of prognostic factors or markers was assessed. Whatever measures  
7 are used must be sufficient to establish clearly that participants have or have  
8 not received the exposure under investigation and the extent of such  
9 exposure, or that they do or do not possess a particular prognostic marker or  
10 factor. Clearly described, reliable measures should increase the confidence in  
11 the quality of the study.

12  
13 **1.11 Evidence from other sources is used to demonstrate that the method  
14 of outcome assessment is valid and reliable**

15 The inclusion of evidence from other sources or previous studies that  
16 demonstrate the validity and reliability of the assessment methods used  
17 should further increase confidence in study quality.

18  
19 **1.12 Exposure level or prognostic factor is assessed more than once**

20 Confidence in data quality should be increased if exposure level or the  
21 presence of prognostic factors is measured more than once. Independent  
22 assessment by more than one investigator is preferable.

23  
24 **1.13 The main potential confounders are identified and taken into  
25 account in the design and analysis**

26 Confounding is the distortion of a link between exposure and outcome by  
27 another factor that is associated with both exposure and outcome. The  
28 possible presence of confounding factors is one of the principal reasons why  
29 observational studies are not more highly rated as a source of evidence. The  
30 report of the study should indicate which potential confounders have been  
31 considered and how they have been assessed or allowed for in the analysis.  
32 Clinical judgement should be applied to consider whether all likely  
33 confounders have been considered. If the measures used to address  
34 confounding are considered inadequate, the study should be downgraded or  
35 rejected, depending on how serious the risk of confounding is considered to  
36 be. A study that does not address the possibility of confounding should be  
37 rejected.

38  
39 **1.14 Have confidence intervals been provided?**

40 Confidence limits are the preferred method for indicating the precision of  
41 statistical results and can be used to differentiate between an inconclusive  
42 study and a study that shows no effect. Studies that report a single value with  
43 no assessment of precision should be treated with caution.

44

1 Section 2 relates to the overall assessment of the paper. It starts by rating the  
2 methodological quality of the study, based on the responses in Section 1 and  
3 using the following coding system:  
4

++	All or most of the criteria have been fulfilled. Where they have not been fulfilled, the conclusions of the study or review are thought <b>very unlikely</b> to alter.
+	Some of the criteria have been fulfilled. Those criteria that have not been fulfilled or not adequately described are thought <b>unlikely</b> to alter the conclusions.
-	Few or no criteria fulfilled. The conclusions of the study are thought <b>likely or very likely</b> to alter.

5  
6

1 **Appendix 12: Search strategies for the identification of health**  
2 **economics evidence**

3 Search strategies for the identification of health economics and quality-of-life  
4 studies.

5  
6 **12.1 Search strategies**

7  
8 The search strategies should be referred to in conjunction with information set  
9 out in Section 3.6.1. Each search was constructed using the groups of terms as  
10 set out in Table 1. The selections of terms were kept broad to maximise  
11 retrieval of evidence in a wide range of areas of interest to the GDG. Some of  
12 the interventions searched are not documented in the main body of the  
13 guideline due to a lack of evidence.

14  
15 **Table 15: Summary of systematic search strategies**

16

**Search strategy construction**

**Generalised anxiety disorder (GAD):**

Psychological interventions (high or low-intensity)

- i) [(GAD terms) AND (general psychological terms) AND (HE filter)]  
OR
- ii) [(GAD terms) AND (high-intensity terms) AND (HE filter)] OR
- iii) [(GAD terms) AND (low-intensity terms) AND (HE filter)]

Pharmacological interventions

- i) (GAD terms) AND (pharmacological terms) AND (HE filter)

Alternative interventions

- i) (GAD terms) AND (alternative intervention terms) AND (HE filter)

**Panic:**

CCBT for panic

- i) (Panic terms) AND (CCBT terms) AND (SR filter OR RCT filter)

17

18

19

20

1 **Search strategy construction**

2

3 **12.1.1 Population search terms**

4

5 *a) GAD – population search terms.*

6

7 MEDLINE – Ovid SP interface

8

9 1. (anxiety or anxiety disorders).sh.

10 2. (anxiety\$ or anxious\$ or ((chronic\$ or excessiv\$ or intens\$ or (long\$ adj2  
11 last\$) or neuros\$ or neurotic\$ or ongoing or persist\$ or serious\$ or  
12 sever\$ or uncontrol\$ or un control\$ or unrelent\$ or un relent\$) adj2  
13 worry)).ti,ab.

14 3. or/1-2

15

16 *b) Panic – population search terms.*

17

18 MEDLINE – Ovid SP interface

19

20 1 (panic or panic disorder).sh.

21 2 panic\$.ti,ab.

22 3 or/1-2

23

24

25 **12.1.2 Question specific search strategies**

26

27 *The question specific searches used in the identification of economic evidence are*  
28 *documented in Section 9.1.2 of Appendix 9.*

29

30

31 **12.1.3 Search filters**

32

33 *Health economics and quality of life search filter – this is an adaptation of a filter*  
34 *designed by the NHS Centre for Reviews and Dissemination at the University of*  
35 *York.*

36

37 MEDLINE - Ovid SP interface

38

39 1. exp “costs and cost analysis”/ or health priorities/ or health

40 resources/ or exp resource allocation/

41 2. budgets/ or socioeconomic factors/ or (economi\$ or fee or fees or  
42 financ\$).hw.

43 3. quality adjusted life years/ or "quality of life"/ or "value of life"/

44 4. exp models, economic/ or models, statistical/ or monte carlo method/

- 1 5. health status indicators/  
2 6. decision trees/  
3 7. (budget\$ or cost\$ or econom\$ or expenditure\$ or financ\$ or fiscal or  
4 funding or pharmaco-economic\$ or socio-economic\$ or price or prices or  
5 pricing or (value adj3 money) or (burden adj3 (disease\$ or  
6 illness\$))).ti,ab.  
7 8. (daly or qol or hql or hqol or hrqol or hr ql or hrql or (quality adj2 life)  
8 or (adjusted adj2 life) or qaly\$ or (health adj2 stat\$) or well being or  
9 wellbeing or qald\$ or qale\$ or qtime\$ or eq5d or eq 5d or qwb or  
10 ((quality or value\$) adj3 (life or survival or well\$)) or hui\$1 or (utilit\$  
11 adj1 (health or score\$ or weigh\$)) or (life adj2 year\$) or health year  
12 equivalent\$ or ((disability or quality) adj adjusted) or utility value\$ or  
13 (weight\$ adj3 preference\$) or euroqol or euro qol or visual analog\$ or  
14 standard gamble or time trade or qtwist or q twist or (valu\$ adj2  
15 quality)).tw.  
16 9 decision tree/ or decision trees/  
17 10 (decision analy\$ or monte carlo or markov or simulation model\$ or  
18 rosser or disutili\$ or willingness to pay or tto or hye or hyes or  
19 (resource adj (allocat\$ or use\$ or utilit\$))).tw.  
20 11 (sf36 or sf 36 or short form 36 or shortform 36 or sf thirtysix or sf thirty  
21 six or shortform thirtysix or shortform thirty six or short form thirtysix  
22 or short form thirty six).tw.  
23 12 (sf6 or sf 6 or short form 6 or shortform 6 or sf six or sfsix or shortform  
24 six or short form six).tw.  
25 13 (sf12 or sf 12 or short form 12 or shortform 12 or sf twelve or sftwelve  
26 or shortform twelve or short form twelve).tw.  
27 14 (sf16 or sf 16 or short form 16 or shortform 16 or sf sixteen or sfsixteen  
28 or shortform sixteen or short form sixteen).tw.  
29 15 (sf20 or sf 20 or short form 20 or shortform 20 or sf twenty or sftwenty  
30 or shortform twenty or short form twenty).tw.  
31 16 ec.fs. [ANDed with subject heading searches for the main population/topic]  
32 17 or/1-16  
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1 **Appendix 13: Methodology checklist for economic studies**

<b>Study identification</b> <i>Including author, title, reference, year of publication</i>		
<b>Guideline topic:</b>		<b>Question no:</b>
<b>Checklist completed by:</b>		
<b>Section 1: Applicability (relevance to specific guideline review question(s) and the NICE reference case). This checklist should be used first to filter out irrelevant studies.</b>		<b>Yes/ Partly/ No/Unclear /NA</b>
1.1	Is the study population appropriate for the guideline?	
1.2	Are the interventions appropriate for the guideline?	
1.3	Is the healthcare system in which the study was conducted sufficiently similar to the current UK NHS context?	
1.4	Are costs measured from the NHS and personal social services (PSS) perspective?	
1.5	Are all direct health effects on individuals included?	
1.6	Are both costs and health effects discounted at an annual rate of 3.5%?	
1.7	Is the value of health effects expressed in terms of quality-adjusted life years (QALYs)?	
1.8	Are changes in health-related quality of life (HRQoL) reported directly from patients and/or carers?	
1.9	Is the valuation of changes in HRQoL (utilities) obtained from a representative sample of the general public?	
1.10	Overall judgement: Directly applicable/Partially applicable/Not applicable  There is no need to use section 2 of the checklist if the study is considered 'not applicable'.	
Other comments:		

2

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<b>Section 2: Study limitations (the level of methodological quality) This checklist should be used once it has been decided that the study is sufficiently applicable to the context of the clinical guideline.</b>		<b>Yes/ Partly /No/ Unclear/ NA</b>	<b>Comments</b>
2.1	Does the model structure adequately reflect the nature of the health condition under evaluation?		
2.2	Is the time horizon sufficiently long to reflect all important differences in costs and outcomes?		
2.3	Are all important and relevant health outcomes included?		
2.4	Are the estimates of baseline health outcomes from the best available source?		
2.5	Are the estimates of relative treatment effects from the best available source?		
2.6	Are all important and relevant costs included?		
2.7	Are the estimates of resource use from the best available source?		
2.8	Are the unit costs of resources from the best available source?		
2.9	Is an appropriate incremental analysis presented or can it be calculated from the data?		
2.10	Are all important parameters whose values are uncertain subjected to appropriate sensitivity analysis?		
2.11	Is there no potential conflict of interest?		
2.12	Overall assessment: Minor limitations/Potentially serious limitations/Very serious limitations		
Other comments:			

2

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1 **Notes on use of Methodology checklist: economic evaluations**

2 For all questions:

3

- 4 • answer 'yes' if the study fully meets the criterion
- 5 • answer 'partly' if the study largely meets the criterion but differs in some
- 6 important respect
- 7 • answer 'no' if the study deviates substantively from the criterion
- 8 • answer 'unclear' if the report provides insufficient information to judge
- 9 whether the study complies with the criterion
- 10 • answer 'NA (not applicable)' if the criterion is not relevant in a particular
- 11 instance.

12

13 For 'partly' or 'no' responses, use the comments column to explain how the

14 study deviates from the criterion.

15

16 **Section 1: applicability**

17

18 *1.1 Is the study population appropriate for the guideline?*

19 The study population should be defined as precisely as possible and should

20 be in line with that specified in the guideline scope and any related review

21 protocols. This includes consideration of appropriate subgroups that require

22 special attention. For many interventions, the capacity to benefit will differ for

23 participants with differing characteristics. This should be explored separately

24 for each relevant subgroup as part of the base-case analysis by the provision

25 of estimates of clinical and cost effectiveness. The characteristics of

26 participants in each subgroup should be clearly defined and, ideally, should

27 be identified on the basis of an a priori expectation of differential clinical or

28 cost effectiveness as a result of biologically plausible known mechanisms,

29 social characteristics or other clearly justified factors.

30

31 Answer 'yes' if the study population is fully in line with that in the guideline

32 question(s) and if the study differentiates appropriately between important

33 subgroups. Answer 'partly' if the study population is similar to that in the

34 guideline question(s) but: (i) it differs in some important respects; or (ii) the

35 study fails to differentiate between important subgroups. Answer 'no' if the

36 study population is substantively different from that in the guideline

37 question(s).

38

39 *1.2 Are the interventions appropriate for the guideline?*

40 All relevant alternatives should be included, as specified in the guideline

41 scope and any related review protocols. These should include routine and

42 best practice in the NHS, existing NICE guidance and other feasible options.

43 Answer 'yes' if the analysis includes all options considered relevant for the

44 guideline, even if it also includes other options that are not relevant. Answer



1 'partly' if the analysis omits one or more relevant options but still contains  
2 comparisons likely to be useful for the guideline. Answer 'no' if the analysis  
3 does not contain any relevant comparisons.

4  
5 *1.3 Is the healthcare system in which the study was conducted sufficiently similar to*  
6 *the current UK NHS context?*

7 This relates to the overall structure of the healthcare system within which the  
8 interventions were delivered. For example, an intervention might be  
9 delivered on an inpatient basis in one country whereas in the UK it would be  
10 provided in the community. This might significantly influence the use of  
11 healthcare resources and costs, thus limiting the applicability of the results to  
12 a UK setting. In addition, old UK studies may be severely limited in terms of  
13 their relevance to current NHS practice.

14  
15 Answer 'yes' if the study was conducted within the UK and is sufficiently  
16 recent to reflect current NHS practice. For non-UK or older UK studies,  
17 answer 'partly' if differences in the healthcare setting are unlikely to  
18 substantively change the cost-effectiveness estimates. Answer 'no' if the  
19 healthcare setting is so different that the results are unlikely to be applicable  
20 in the current NHS.

21  
22 *1.4 Are costs measured from the NHS and personal social services (PSS) perspective?*

23 The decision-making perspective of an economic evaluation determines the  
24 range of costs that should be included in the analysis. NICE works in a  
25 specific context; in particular, it does not set the budget for the NHS. The  
26 objective of NICE is to offer guidance that represents an efficient use of  
27 available NHS and PSS resources. For these reasons, the perspective on costs  
28 used in the NICE reference case is that of the NHS and PSS. Productivity costs  
29 and costs borne by patients and carers that are not reimbursed by the NHS or  
30 PSS are not included in the reference case. The reference case also excludes  
31 costs to other government bodies, although these may sometimes be  
32 presented in additional analyses alongside the reference case.

33  
34 Answer 'yes' if the study only includes costs for resource items that would be  
35 paid for by the NHS and PSS. Also answer 'yes' if other costs have been  
36 included in the study, but the results are presented in such a way that the cost  
37 effectiveness can be calculated from an NHS and PSS perspective. Answer  
38 'partly' if the study has taken a wider perspective but the other non-NHS/PSS  
39 costs are small in relation to the total expected costs and are unlikely to  
40 change the cost-effectiveness results. Answer 'no' if non-NHS/PSS costs are  
41 significant and are likely to change the cost-effectiveness results. Some  
42 interventions may have a substantial impact on non-health outcomes or costs  
43 to other government bodies (for example, treatments to reduce illicit drug  
44 misuse may have the effect of reducing drug-related crime). In such

1 situations, if the economic study includes non-health costs in such a way that  
2 they cannot be separated out from NHS/PSS costs, answer 'no' but consider  
3 retaining the study for critical appraisal. If studies containing non-reference-  
4 case costs are retained, use the comments column to note why.

5  
6 *1.5 Are all direct health effects on individuals included?*

7 In the NICE reference case, the perspective on outcomes should be all direct  
8 health effects, whether for patients or, when relevant, other people  
9 (principally carers). This is consistent with an objective of maximising health  
10 gain from available healthcare resources. Some features of healthcare delivery  
11 that are often referred to as 'process characteristics' may ultimately have  
12 health consequences; for example, the mode of treatment delivery may have  
13 health consequences through its impact on concordance with treatment. Any  
14 significant characteristics of healthcare technologies that have a value to  
15 people that is independent of any direct effect on health should be noted.  
16 These characteristics include the convenience with which healthcare is  
17 provided and the level of information available for patients.

18  
19 This question should be viewed in terms of what is **excluded** in relation to the  
20 NICE reference case; that is, non-health effects.

21  
22 Answer 'yes' if the measure of health outcome used in the analysis excludes  
23 non-health effects (or if such effects can be excluded from the results). Answer  
24 'partly' if the analysis includes some non-health effects but these are small  
25 and unlikely to change the cost-effectiveness results. Answer 'no' if the  
26 analysis includes significant non-health effects that are likely to change the  
27 cost-effectiveness results.

28  
29 *1.6 Are both costs and health effects discounted at an annual rate of 3.5%?*

30 The need to discount to a present value is widely accepted in economic  
31 evaluation, although the specific rate varies across jurisdictions and over time.  
32 NICE considers it appropriate to discount costs and health effects at the same  
33 rate. The annual rate of 3.5%, based on the recommendations of the UK  
34 Treasury for the discounting of costs, applies to both costs and health effects.

35  
36 Answer 'yes' if both costs and health effects (for example, quality-adjusted life  
37 years [QALYs]) are discounted at 3.5% per year. Answer 'partly' if costs and  
38 effects are discounted at a rate similar to 3.5% (for example, costs and effects  
39 are both discounted at 3% per year). Answer 'no' if costs and/or health effects  
40 are not discounted, or if they are discounted at a rate (or rates) different from  
41 3.5% (for example, 5% for both costs and effects, or 6% for costs and 1.5% for  
42 effects). Note in the comments column what discount rates have been used. If  
43 all costs and health effects accrue within a short time (roughly a year), answer  
44 'NA'.

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*1.7 Is the value of health effects expressed in terms of quality adjusted life years (QALYs)?*

The QALY is a measure of a person’s length of life weighted by a valuation of their health-related quality of life (HRQoL) over that period.

Given its widespread use, the QALY is considered by NICE to be the most appropriate generic measure of health benefit that reflects both mortality and effects on HRQoL. It is recognised that alternative measures exist (such as the healthy-year equivalent), but few economic evaluations have used these methods and their strengths and weaknesses are not fully established.

NICE’s position is that an additional QALY should be given the same weight regardless of the other characteristics of the patients receiving the health benefit.

Answer ‘yes’ if the effectiveness of the intervention is measured using QALYs; answer ‘no’ if not. There may be circumstances when a QALY cannot be obtained or where the assumptions underlying QALYs are considered inappropriate. In such situations answer ‘no’, but consider retaining the study for appraisal. Similarly, answer ‘no’ but retain the study for appraisal if it does not include QALYs but it is still thought to be useful for GDG decision-making: for example, if the clinical evidence indicates that an intervention might be dominant, and estimates of the relative costs of the interventions from a cost-minimisation study are likely to be useful. When economic evaluations not using QALYs are retained for full critical appraisal, use the comments column to note why.

*1.8 Are changes in health-related quality of life (HRQoL) reported directly from patients and/or carers?*

In the NICE reference case, information on changes in HRQoL as a result of treatment should be reported directly by patients (and directly by carers when the impact of treatment on the carer’s health is also important). When it is not possible to obtain information on changes in patients’ HRQoL directly from them, data should be obtained from carers (not from healthcare professionals).

For consistency, the EQ-5D is NICE’s preferred measure of HRQoL in adults. However, when EQ-5D data are not available or are inappropriate for the condition or the effects of treatment, other multi-attribute utility questionnaires (for example, SF6D, QWB or HUI) or mapping methods from disease-specific questionnaires may be used to estimate QALYs. For studies not reporting QALYs, a variety of generic or disease-specific methods may be used to measure HRQoL.

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Answer 'yes' if changes in patients' HRQoL are estimated by the patients themselves. Answer 'partly' if estimates of patients' HRQoL are provided by carers. Answer 'no' if estimates come from healthcare professionals or researchers. Note in the comments column how HRQoL was measured (EQ-5D, QWB, HUI and so on). Answer 'NA' if the cost-effectiveness study does not include estimates of HRQoL (for example, studies reporting 'cost per life year gained' or cost-minimisation studies).

10 *1.9 Is the valuation of changes in HRQoL (utilities) obtained from a representative*  
11 *sample of the general public?*

12 The NICE reference case specifies that the valuation of changes in HRQoL  
13 (utilities) reported by patients should be based on public preferences elicited  
14 using a choice-based method (such as the time trade-off or standard gamble)  
15 in a representative sample of the UK population.

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Answer 'yes' if HRQoL valuations were obtained using the EQ-5D UK tariff. Answer 'partly' if the valuation methods were comparable to those used for the EQ-5D. Answer 'no' if other valuation methods were used. Answer 'NA' if the study does not apply valuations to HRQoL (for studies not reporting QALYs). In the comments column note the valuation method used (such as time trade-off or standard gamble) and the source of the preferences (such as patients or healthcare professionals).

25 *1.10 Overall judgement*

26 Classify the applicability of the economic evaluation to the clinical guideline,  
27 the current NHS situation and the context for NICE guidance as one of the  
28 following:

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- **Directly applicable** – the study meets all applicability criteria, or fails to meet one or more applicability criteria but this is unlikely to change the conclusions about cost effectiveness.
- **Partially applicable** – the study fails to meet one or more applicability criteria, and this could change the conclusions about cost effectiveness.
- **Not applicable** – the study fails to meet one or more applicability criteria, and this is likely to change the conclusions about cost effectiveness. Such studies would be excluded from further consideration and there is no need to continue with the rest of the checklist.

## 40 **Section 2: study limitations**

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43

*2.1 Does the model structure adequately reflect the nature of the health condition under evaluation?*

1 This relates to the choice of model and its structural elements (including cycle  
2 length in discrete time models, if appropriate). Model type and its structural  
3 aspects should be consistent with a coherent theory of the health condition  
4 under evaluation. The selection of treatment pathways, whether health states  
5 or branches in a decision tree, should be based on the underlying biological  
6 processes of the health issue under study and the potential impact (benefits  
7 and adverse consequences) of the intervention(s) of interest.

8  
9 Answer 'yes' if the model design and assumptions appropriately reflect the  
10 health condition and intervention(s) of interest. Answer 'partly' if there are  
11 aspects of the model design or assumptions that do not fully reflect the health  
12 condition or intervention(s) but that are unlikely to change the cost-  
13 effectiveness results. Answer 'no' if the model omits some important aspect of  
14 the health condition or intervention(s) and this is likely to change the cost  
15 effectiveness results. Answer 'NA' for economic evaluations based on data  
16 from a clinical study which do not extrapolate treatment outcomes or costs  
17 beyond the study context or follow-up period.

18  
19 *2.2 Is the time horizon sufficiently long to reflect all important differences in costs  
20 and outcomes?*

21 The time horizon is the period of analysis of the study: the length of follow-up  
22 for participants in a trial-based evaluation, or the period of time over which  
23 the costs and outcomes for a cohort are tracked in a modelling study. This  
24 time horizon should always be the same for costs and outcomes, and should  
25 be long enough to include all relevant costs and outcomes relating to the  
26 intervention. A time horizon shorter than lifetime could be justified if there is  
27 no differential mortality effect between options, and the differences in costs  
28 and  
29 HRQoL relate to a relatively short period (for example, in the case of an acute  
30 infection).

31  
32 Answer 'yes' if the time horizon is sufficient to include all relevant costs and  
33 outcomes. Answer 'partly' if the time horizon may omit some relevant costs  
34 and outcomes but these are unlikely to change the cost-effectiveness results.  
35 Answer 'no' if the time horizon omits important costs and outcomes and this  
36 is likely to change the cost-effectiveness results.

37  
38 *2.3 Are all important and relevant health outcomes included?*

39 All relevant health outcomes should include direct health effects relating to  
40 harms from the intervention (adverse effects) as well as any potential benefits.

41  
42 Answer 'yes' if the analysis includes all relevant and important harms and  
43 benefits. Answer 'partly' if the analysis omits some harms or benefits but  
44 these would be unlikely to change the cost-effectiveness results. Answer 'no'

1 if the analysis omits important harms and/or benefits that would be likely to  
2 change the cost-effectiveness results.

3

4 *2.4 Are the estimates of baseline health outcomes from the best available source?*

5 The estimate of the overall net treatment effect of an intervention is  
6 determined by the baseline risk of a particular condition or event and/or the  
7 relative effects of the intervention compared with the relevant comparator  
8 treatment. The overall net treatment effect may also be determined by other  
9 features of the people comprising the population of interest.

10

11 The process of assembling evidence for economic evaluations should be  
12 systematic – evidence must be identified, quality assessed and, when  
13 appropriate, pooled, using explicit criteria and justifiable and reproducible  
14 methods. These principles apply to all categories of evidence that are used to  
15 estimate clinical and cost effectiveness, evidence for which will typically be  
16 drawn from a number of different sources.

17

18 The sources and methods for eliciting baseline probabilities should be  
19 described clearly. These data can be based on ‘natural history’ (patient  
20 outcomes in the absence of treatment or with routine care), sourced from  
21 cohort studies. Baseline probabilities may also be derived from the control  
22 arms of experimental studies. Sometimes it may be necessary to rely on expert  
23 opinion for particular parameters.

24

25 Answer ‘yes’ if the estimates of baseline health outcomes reflect the best  
26 available evidence as identified from a recent well-conducted systematic  
27 review of the literature. Answer ‘partly’ if the estimates are not derived from  
28 a systematic review but are likely to reflect outcomes for the relevant group of  
29 patients in routine NHS practice (for example, if they are derived from a large  
30 UK-relevant cohort study). Answer ‘no’ if the estimates are unlikely to reflect  
31 outcomes for the relevant group in routine NHS practice.

32

33 *2.5 Are the estimates of relative treatment effects from the best available source?*

34 The objective of the analysis of clinical effectiveness is to produce an unbiased  
35 estimate of the mean clinical effectiveness of the interventions being  
36 compared.

37

38 The NICE reference case indicates that evidence on outcomes should be  
39 obtained from a systematic review, defined as the systematic location,  
40 inclusion, appraisal and synthesis of evidence to obtain a reliable and valid  
41 overview of the data relating to a clearly formulated question.

42

1 Synthesis of outcome data through meta-analysis is appropriate provided that  
2 there are sufficient relevant and valid data obtained using comparable  
3 measures of outcome.

4

5 Head-to-head randomised controlled trials (RCTs) provide the most valid  
6 evidence of relative treatment effect. However, such evidence may not always  
7 be available. Therefore, data from non-randomised studies may be required to  
8 supplement RCT data. Any potential bias arising from the design of the  
9 studies used in the assessment should be explored and documented.

10

11 Data from head-to-head RCTs should be presented in the base-case analysis, if  
12 available. When head-to-head RCTs exist, evidence from indirect or mixed  
13 treatment comparison analyses may be presented if it is considered to add  
14 information that is not available from the head-to-head comparison. This  
15 indirect or mixed treatment comparison must be fully described and  
16 presented as additional to the base-case analysis. (A 'mixed treatment  
17 comparison' estimates effect sizes using both head-to-head and indirect  
18 comparisons.)

19

20 If data from head-to-head RCTs are not available, indirect treatment  
21 comparison methods should be used. (An 'indirect comparison' is a synthesis  
22 of data from a network of trials that compare the interventions of interest with  
23 other comparators.)

24

25 When multiple interventions are being assessed that have not been compared  
26 within a single RCT, data from a series of pairwise head-to-head RCTs should  
27 be presented. Consideration should also be given to presenting a combined  
28 analysis using a mixed treatment comparison framework if it is considered to  
29 add information that is not available from the head-to-head comparison.

30

31 Only indirect or mixed treatment comparison methods that preserve  
32 randomisation should be used. The principles of good practice for standard  
33 meta-analyses should also be followed in mixed and indirect treatment  
34 comparisons.

35

36 The methods and assumptions that are used to extrapolate short-term results  
37 to final outcomes should be clearly presented and there should be  
38 documentation of the reasoning underpinning the choice of survival function.

39

40 Evidence for the evaluation of diagnostic technologies should normally  
41 incorporate evidence on diagnostic accuracy. It is also important to  
42 incorporate the predicted changes in health outcomes and costs resulting  
43 from treatment decisions based on the test result. The general principles  
44 guiding the assessment of the clinical and cost effectiveness of diagnostic

1 interventions should be the same as for other technologies. However,  
2 particular consideration of the methods of analysis may be required,  
3 particularly in relation to evidence synthesis. Evidence for the effectiveness of  
4 diagnostic technologies should include the costs and outcomes for people  
5 whose test results lead to an incorrect diagnosis, as well as for those who are  
6 diagnosed correctly.

7  
8 As for other technologies, RCTs have the potential to capture the pathway of  
9 care involving diagnostic technologies, but their feasibility and availability  
10 may be limited. Other study designs should be assessed on the basis of their  
11 fitness for purpose, taking into consideration the aim of the study (for  
12 example, to evaluate outcomes, or to evaluate sensitivity and specificity) and  
13 the purpose of the diagnostic technology.

14  
15 Answer 'yes' if the estimates of treatment effect appropriately reflect all  
16 relevant studies of the best available quality, as identified through a recent  
17 well-conducted systematic review of the literature. Answer 'partly' if the  
18 estimates of treatment effect are not derived from a systematic review but are  
19 similar in magnitude to the best available estimates (for example, if the  
20 economic evaluation is based on a single large study with treatment effects  
21 similar to pooled estimates from all relevant studies). Answer 'no' if the  
22 estimates of treatment effect are likely to differ substantively from the best  
23 available estimates.

24  
25 *2.6 Are all important and relevant costs included?*

26 Costs related to the condition of interest and incurred in additional years of  
27 life gained as a result of treatment should be included in the base-case  
28 analysis. This should include the costs of handling non-adherence to  
29 treatment and treating side effects. Costs that are considered to be unrelated  
30 to the condition or intervention of interest should be excluded. If introduction  
31 of the intervention requires additional infrastructure to be put in place,  
32 consideration should be given to including such costs in the analysis.

33  
34 Answer 'yes' if all important and relevant resource use and costs are included  
35 given the perspective and the research question under consideration. Answer  
36 'partly' if some relevant resource items are omitted but these are unlikely to  
37 affect the cost-effectiveness results. Answer 'no' if important resource items  
38 are omitted and these are likely to affect the cost-effectiveness results.

39  
40 *2.7 Are the estimates of resource use from the best available source?*

41 It is important to quantify the effect of the interventions on resource use in  
42 terms of physical units (for example, days in hospital or visits to a GP) and  
43 valuing those effects in monetary terms using appropriate prices and unit  
44 costs. Evidence on resource use should be identified systematically. When



1 expert opinion is used as a source of information, any formal methods used to  
2 elicit these data should be clearly reported.

3  
4 Answer 'yes' if the estimates of resource use appropriately reflect all relevant  
5 evidence sources of the best available quality, as identified through a recent  
6 well-conducted systematic review of the literature. Answer 'partly' if the  
7 estimates of resource use are not derived from a systematic review but are  
8 similar in magnitude to the best available estimates. Answer 'no' if the  
9 estimates of resource use are likely to differ substantively from the best  
10 available estimates.

11  
12 *2.8 Are the unit costs of resources from the best available source?*

13 Resources should be valued using the prices relevant to the NHS and PSS.  
14 Given the perspective of the NICE reference case, it is appropriate for the  
15 financial costs relevant to the NHS/PSS to be used as the basis of costing,  
16 although these may not always reflect the full social opportunity cost of a  
17 given resource. A first point of reference in identifying costs and prices  
18 should be any current official listing published by the Department of Health  
19 and/or the Welsh Assembly Government.

20  
21 When the acquisition price paid for a resource differs from the public list price  
22 (for example, pharmaceuticals and medical devices sold at reduced prices to  
23 NHS institutions), the public list price should be used in the base-case  
24 analysis. Sensitivity analysis should assess the implications of variations from  
25 this price. Analyses based on price reductions for the NHS will only be  
26 considered when the reduced prices are transparent and can be consistently  
27 available across the NHS, and if the period for which the specified price is  
28 available is guaranteed.

29  
30 National data based on healthcare resource groups (HRGs) such as the  
31 Payment by Results tariff can be used when they are appropriate and  
32 available. However, data based on HRGs may not be appropriate in all  
33 circumstances (for example, when the definition of the HRG is broad, or the  
34 mean cost probably does not reflect resource use in relation to the  
35 intervention(s) under consideration). In such cases, other sources of evidence,  
36 such as micro-costing studies, may be more appropriate. When cost data are  
37 taken from the literature, the methods used to identify the sources should be  
38 defined. When several alternative sources are available, a justification for the  
39 costs chosen should be provided and discrepancies between the sources  
40 explained. When appropriate, sensitivity analysis should have been  
41 undertaken to assess the implications for results of using alternative data  
42 sources.

43

1 Answer 'yes' if resources are valued using up-to-date prices relevant to the  
2 NHS and PSS. Answer 'partly' if the valuations of some resource items differ  
3 from current NHS/PSS unit costs but this is unlikely to change the cost-  
4 effectiveness results. Answer 'no' if the valuations of some resource items  
5 differ substantively from current NHS/PSS unit costs and this is likely to  
6 change the cost-effectiveness results.

7  
8 *2.9 Is an appropriate incremental analysis presented or can it be calculated from the*  
9 *data?*

10 An appropriate incremental analysis is one that compares the expected costs  
11 and health outcomes of one intervention with the expected costs and health  
12 outcomes of the next-best non-dominated alternative.

13  
14 Standard decision rules should be followed when combining costs and effects,  
15 and should reflect any situation where there is dominance or extended  
16 dominance. When there is a trade-off between costs and effects, the results  
17 should be presented as an incremental cost-effectiveness ratio (ICER): the  
18 ratio of the difference in mean costs to the difference in mean outcomes of a  
19 technology compared with the next best alternative. In addition to ICERs,  
20 expected net monetary or health benefits can be presented using values  
21 placed on a QALY gained of £20,000 and £30,000.

22  
23 For cost-consequence analyses, appropriate incremental analysis can only be  
24 done by selecting one of the consequences as the primary measure of  
25 effectiveness.

26  
27 Answer 'yes' if appropriate incremental results are presented, or if data are  
28 presented that allow the reader to calculate the incremental results. Answer  
29 'no' if: (i) simple ratios of costs to effects are presented for each alternative  
30 compared with a standard intervention; or (ii) if options subject to simple or  
31 extended dominance are not excluded from the incremental analyses.

32  
33 *2.10 Are all important parameters whose values are uncertain subjected to*  
34 *appropriate sensitivity analysis?*

35 There are a number of potential selection biases and uncertainties in any  
36 evaluation (trial- or model-based) and these should be identified and  
37 quantified where possible. There are three types of bias or uncertainty to  
38 consider:

39  
40 • Structural uncertainty – for example in relation to the categorisation of  
41 different states of health and the representation of different pathways of care.  
42 These structural assumptions should be clearly documented and the evidence  
43 and rationale to support them provided. The impact of structural uncertainty

1 on estimates of cost effectiveness should be explored by separate analyses of a  
2 representative range of plausible scenarios.

3 • Source of values to inform parameter estimates – the implications of  
4 different estimates of key parameters (such as estimates of relative  
5 effectiveness) must be reflected in sensitivity analyses (for example, through  
6 the inclusion of alternative scenarios). Inputs must be fully justified, and  
7 uncertainty explored by sensitivity analysis using alternative input values.  
8 • Parameter precision – uncertainty around the mean health and cost inputs  
9 in the model. Distributions should be assigned to characterise the uncertainty  
10 associated with the (precision of) mean parameter values. Probabilistic  
11 sensitivity analysis is preferred, as this enables the uncertainty associated  
12 with parameters to be simultaneously reflected in the results of the model. In  
13 non-linear decision models – when there is not a straight-line relationship  
14 between inputs and outputs of a model (such as Markov models) –  
15 probabilistic methods provide the best estimates of mean costs and outcomes.  
16 Simple decision trees are usually linear.

17  
18 The mean value, distribution around the mean, and the source and rationale  
19 for the supporting evidence should be clearly described for each parameter  
20 included in the model.

21  
22 Evidence about the extent of correlation between individual parameters  
23 should be considered carefully and reflected in the probabilistic analysis.  
24 Assumptions made about the correlations should be clearly presented.

25  
26 Answer ‘yes’ if an extensive sensitivity analysis was undertaken that explored  
27 all key uncertainties in the economic evaluation. Answer ‘partly’ if the  
28 sensitivity analysis failed to explore some important uncertainties in the  
29 economic evaluation. Answer ‘no’ if the sensitivity analysis was very limited  
30 and omitted consideration of a number of important uncertainties, or if the  
31 range of values or distributions around parameters considered in the  
32 sensitivity analysis were not reported.

### 33 34 *2.11 Is there no potential conflict of interest?*

35 The BMJ defines competing interests for its authors as follows: “A competing  
36 interest exists when professional judgment concerning a primary interest  
37 (such as patients' welfare or the validity of research) may be influenced by a  
38 secondary interest (such as financial gain or personal rivalry). It may arise for  
39 the authors of a BMJ article when they have a financial interest that may  
40 influence, probably without their knowing, their interpretation of their results  
41 or those of others.” Whenever a potential financial conflict of interest is  
42 possible, this should be declared.

43

1 Answer 'yes' if the authors declare that they have no financial conflicts of  
2 interest. Answer 'no' if clear financial conflicts of interest are declared or  
3 apparent (for example, from the stated affiliation of the authors). Answer  
4 'unclear' if the article does not indicate whether or not there are financial  
5 conflicts of interest.

6

7 *2.12 Overall assessment*

8 The overall methodological study quality of the economic evaluation should  
9 be classified as one of the following:

- 10 • **Minor limitations** – the study meets all quality criteria, or the study fails to  
11 meet one or more quality criteria but this is unlikely to change the conclusions  
12 about cost effectiveness.
- 13 • **Potentially serious limitations** – the study fails to meet one or more quality  
14 criteria and this could change the conclusions about cost effectiveness.
- 15 • **Very serious limitations** – the study fails to meet one or more quality  
16 criteria and this is highly likely to change the conclusions about cost  
17 effectiveness. Such studies should usually be excluded from further  
18 consideration.

1 **Appendix 14: Network (mixed treatment comparison) meta-**  
2 **analytic methods used in the economic analysis of**  
3 **pharmacological treatments for people with GAD**  
4

5 *Clinical data considered in the network meta-analyses*

6 Clinical data synthesised using network meta-analytic techniques for the  
7 economic model on pharmacological treatment for people with GAD  
8 consisted of data on treatment discontinuation due to intolerable side effects  
9 and data on response for people not discontinuing treatment due to side  
10 effects (that is, data on conditional response). All data were derived from  
11 trials included in the guideline systematic review of pharmacological  
12 interventions for people with GAD. Inspection of the relevant data included  
13 in the review indicated that 38 RCTs with 13,298 participants provided direct  
14 or indirect evidence on discontinuation due to intolerable side effects between  
15 the 7 treatment options assessed in the economic analysis (that is, duloxetine,  
16 escitalopram, paroxetine, pregabalin, sertraline, venlafaxine XL and no  
17 treatment); and 25 RCTs with 9,507 participants provided direct or indirect  
18 evidence on conditional response between the 7 treatment options assessed.  
19 Response, in all 25 trials, was defined as a 50% reduction in HAMA scores. It  
20 must be noted that a small number of trials included in the guideline  
21 systematic review reported response data but did not provide data on  
22 discontinuation due to intolerable side effects. Consequently, extraction of  
23 data on conditional response from these studies was not possible; therefore  
24 these studies were not considered in the respective network meta-analysis.  
25

26 Data on discontinuation due to intolerable side effects that were included in  
27 network meta-analysis are presented in Table 90. The respective evidence  
28 network constructed based on these data is shown in Figure 16. Data on  
29 conditional response that were considered in network meta-analysis are  
30 provided in Table 91. The evidence network constructed from data on  
31 conditional response is presented in Figure 17.  
32  
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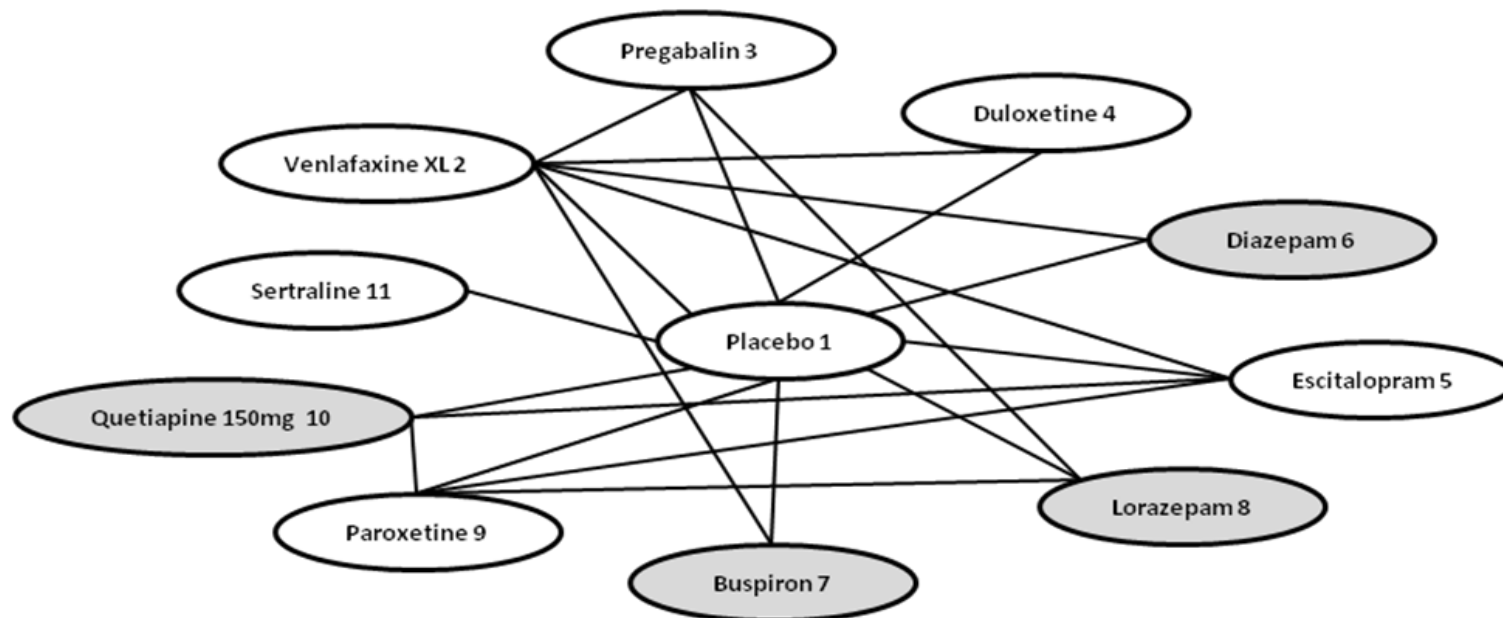
Table 90. RCTs reporting data on treatment discontinuation due to intolerable side effects considered in the respective network meta-analysis

Study	Duration (days)	Placebo 1	Venlafaxine XI 2	Pregabalin 3	Duloxetine 4	Escitalopram 5	Diazepam 6	Buspirone 7	Lorazepam 8	Paroxetine 9	Quetiapine 150mg 10	Sertraline 11
1.ALLGULANDER2001	168	14/130	33/271									
2.GELENBERG2000	196	18/127	30/124									
3.RICKELS2000A	56	7/97	49/273									
4.KASPER2009	60	7/128	22/125	15/121								
5.MONTGOMERY2006	42	10/101	23/113	21/207								
6.HARTFORD2007	70	3/161	18/164		23/162							
7.NICOLINI2009	70	15/170	20/169		20/158							
8.BOSE2008	60	7/140	17/133			9/131						
9.HACKETT2003	60	4/97	40/370				2/89					
10.DAVIDSON1999	56	10/104	50/203					15/98				
11.MONTGOMERY2008	56	9/96		19/177								
12.RICKELS2005	28	9/91		22/270								
13.POHL2005	42	7/86		28/255								
14.PANDE2003	28	7/69		16/139					19/68			
15.PFIZER2005	61	7/67		25/135					26/64			
16.FELTNER2003	28	4/67		18/136					24/68			
17.KOPONEN2007	63	4/175			45/338							
18.RYNN2008	70	13/159			34/168							
19.DAVIDSON2004	56	8/159				14/158						
20.LENZE2009	84	4/92				3/85						
21.BALDWIN2006	84	4/139				22/269				13/140		
22.ASTRAZENECA2007B	56	15/215				25/213					41/219	
23.ANSSEAU1991	28	0/57					2/54					
24.RICKELS2000B	60	7/104					15/104					
25.ANDREATINI2002	28	1/12					1/12					
26.SRAMEK1996	42	1/82						9/80				
27.POLLACK1997	42	11/112						22/115				
28.PFIZER2008	28	2/57							14/55	2/55		
29.GSK2005	56	6/182								22/179		
30.POLLACK2001	60	6/163								17/161		
31.RICKELS2003	60	12/180								43/385		
32.GSK2002	56	5/167								9/168		
33.HEWETT2001	56	2/186								18/188		

34.ASTRAZENECA2007A	56	9/217								17/217	35/218	
35.ASTRAZENECA2007C	56	16/235									46/241	
36.ALLGULANDER2004	84	19/190										15/188
37.BRAWMAN-MINTZER2006	70	3/163										9/165
38.BIELSKI2005	180					4/61				14/62		

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**Figure 16. Evidence network of data on treatment discontinuation due to intolerable side effects considered in the respective network meta-analysis. Drugs in grey shade were not considered in the economic analysis but were included in network meta-analysis to strengthen inference on the relative effect of the other treatment options.**



6

1

**Table 91. RCTs reporting data on treatment discontinuation due to intolerable side effects considered in the respective network meta-analysis**

Study	Duration (days)	Placebo 1	Pregabalin 2	Venlafaxine XI 3	Duloxetine 4	Escitalopram 5	Diazepam 6	Quetiapine 150mg 7	Paroxetine 8	Sertraline 9
1.FELTNER2003	28	29/63	72/118							
2.PANDE2003	28	17/62	51/123							
3.PFIZER2005	61	24/60	55/110							
4.POHL2005	42	28/79	134/227							
5.RICKELS2005	28	29/82	140/248							
6.MONTGOMERY2008	56	39/87	90/158							
7.KASPER2009	60	59/121	71/106	55/103						
8.MONTGOMERY2006	42	45/91	117/186	70/90						
9.DAVIDSON1999	56	35/94		87/153						
10.HARTFORD2007	70	58/158		86/146	70/139					
11.NICOLINI2009	70	69/155		97/149	98/138					
12.BOSE2008	60	57/133		67/116		67/122				
13.HACKETT2003	60	44/93		200/330			50/87			
14.KOPONEN2007	63	53/171			189/293					
15.RYNN2008	70	48/146			67/134					
16.ASTRAZENECA2007B	56	98/200				109/188		133/178		
17.BALDWIN2006	84	83/135				204/247			84/127	
18.ANSSEAU1991	28	19/57					35/52			
19.RICKELS2000B	60	46/97					66/89			
20.ASTRAZENECA2007C	56	114/219						139/195		
21.ASTRAZENECA2007A	56	113/208						153/183	141/200	
22.GSK2005	56	66/176							65/157	
23.POLLACK2001	60	77/157							100/144	
24.ALLGULANDER2004	84	55/171								103/173
25.BRAWMAN-MINTZER2006	70	78/160								97/156

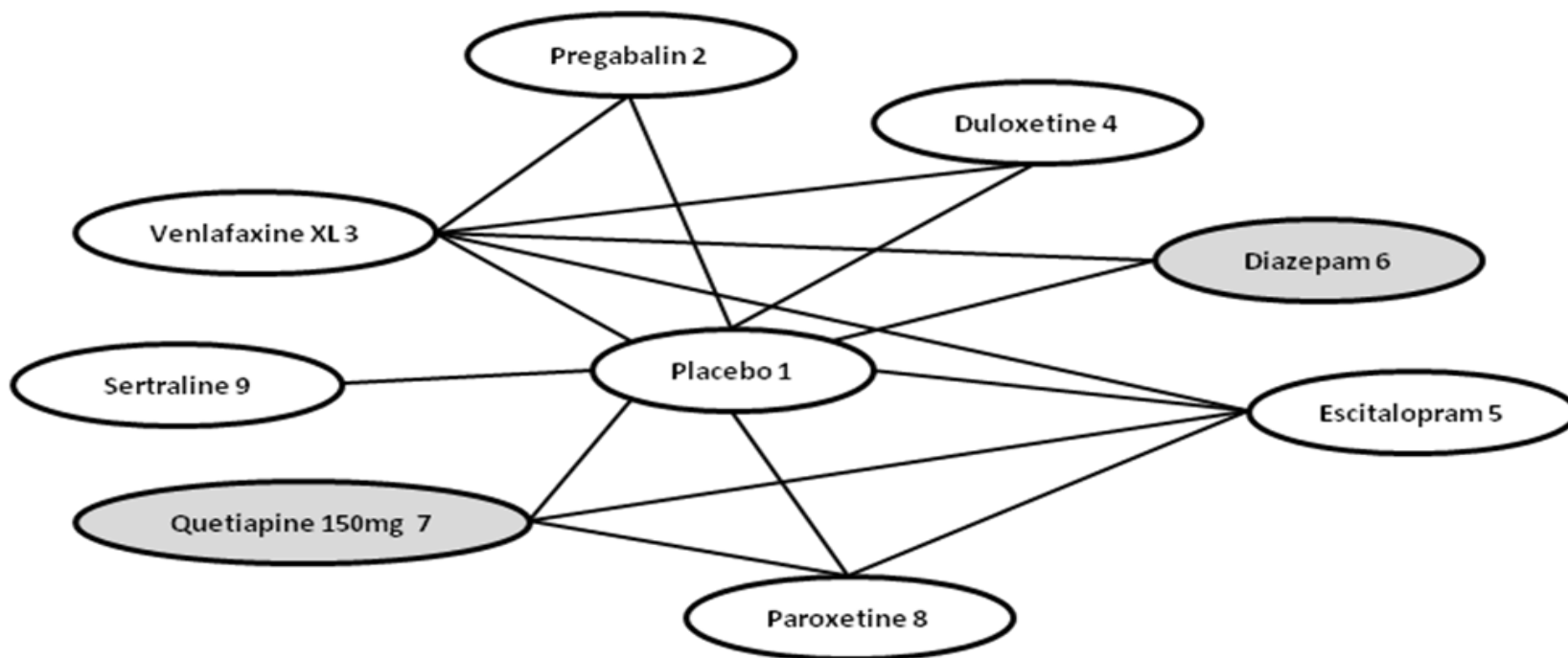
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- 1 Figure 17. Evidence network of data on conditional response considered in the respective network meta-analysis. Drugs in grey
- 2 shade were not considered in the economic analysis but were included in network meta-analysis to strengthen inference on the
- 3 relative effect of the other treatment options.



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*Network meta-analyses of data on discontinuation due to intolerable side effects and data on conditional response - full random effects models*

Two separate full random effects models were constructed to estimate the relative effect between  $k$  interventions, using data from the 38 RCTs reporting data on discontinuation due to intolerable side effects summarised in Table 90 (model 1a) and the 25 RCTs reporting data on conditional response summarised in Table 91 (model 2a). In each model, the data for each trial  $j$  comprised a binomial likelihood:

$$r_{jk} \sim \text{Bin}(p_{jk}, n_{jk})$$

where  $p_{jk}$  is the probability of the event of interest (that is, discontinuation due to intolerable side effects in model 1a and conditional response in model 2a) in trial  $j$  under treatment  $k$ ,  $r_{jk}$  is the number of people experiencing the event in trial  $j$  under treatment  $k$ , and  $n_{jk}$  is the total number of people at risk of the event in trial  $j$  under treatment  $k$ .

The duration of the trials considered in the analysis varied from 28 to 196 days in model 1a and from 28 to 84 days in model 2a. Both models assumed constant hazards  $\exp(\theta_{jk})$  acting over a period  $T_j$  in days. Thus, in each model, the probability of the event of interest by the end of the period  $T_j$  for treatment  $k$  in trial  $j$  was:

$$p_{jk}(T_j) = 1 - \exp(-\exp(\theta_{jk}) T_j)$$

Treatment effects were modelled on the log-hazard rate scale and were assumed to be additive to the baseline treatment  $b$  in trial  $j$ :

$$\begin{aligned} \theta_{jk} &= \mu_{jb} && \text{for } k = b; \\ \theta_{jk} &= \mu_{jb} + \delta_{jkb} && \text{for } k \neq b \end{aligned}$$

where  $\mu_{jb}$  is the log hazard of the event (i.e. discontinuation due to intolerable side effects in model 1a and conditional response in model 2a) for 'baseline' treatment  $b$  in trial  $j$  and  $\delta_{jkb}$  is the trial-specific log-hazard ratio of treatment  $k$  relative to treatment  $b$ .

The two full random effects models took into account the correlation structure induced by 14 three-arm trials included in the 38 RCTs considered in model 1a and 9 three-arm trials included in the 25 RCTs considered in model 2a; this type of model structure relies on the realisation of the bivariate normal distribution as a univariate marginal distribution and a univariate conditional distribution (Higgins & Whitehead, 1996):

$$\text{If } \begin{pmatrix} x_1 \\ x_2 \end{pmatrix} \sim N \left[ \begin{pmatrix} \mu_1 \\ \mu_2 \end{pmatrix}, \begin{pmatrix} \sigma^2 & \sigma^2/2 \\ \sigma^2/2 & \sigma^2 \end{pmatrix} \right]$$

$$\text{then } x_1 \sim N(\mu_1, \sigma^2), \text{ and } x_2 | x_1 \sim N\left(\mu_2 + \frac{1}{2}(x_1 - \mu_1), \frac{3}{4}\sigma^2\right)$$

In each model, the trial-specific log-hazard ratios for every pair of interventions were assumed to come from a normal random effects distribution:

$$\delta_{jkb} \sim \text{Normal}(d_{kb}, \sigma^2)$$

The mean of this distribution ( $d_{kb}$ ) is the true mean effect size between  $k$  and  $b$  and  $\sigma^2$  is the variance of the normal distribution which was assumed to be common in all pairs of treatments, in each of the models.

Vague priors were assigned to trial baselines, mean treatment effects and common variance, separately in each model:

$$\mu_{jb}, d_{kb} \sim \text{Normal}(0, 100^2); \quad \sigma \sim \text{Uniform}(0, 2)$$

In addition, two separate random effects models (models 1b and 2b) were constructed to estimate the baseline placebo effect on discontinuation due to side effects and on conditional response, respectively, using data from 40 trials (model 1b) and 26 trials (model 2b) with a placebo arm, respectively, included in the guideline systematic review. Data for model 1b are shown in Table 92; data for model 2b are shown in Table 93. In each model 1b and 2b, the placebo effect ( $\varphi_j$ ) was again modelled on a log hazard scale and was assumed to come from a normal random effects distribution:

$$\varphi_j \sim \text{Normal}(B, \omega^2)$$

$$B \sim \text{Normal}(0, 100^2); \quad \omega \sim \text{Uniform}(0, 2)$$

$$pj(T_j) = 1 - \exp(-\exp(\varphi_j) T_j)$$

Subsequently, for each outcome of interest, the absolute log hazard  $\theta_{jk}$  of each drug  $k$  was estimated based on the treatment effect relative to placebo (as estimated in models 1a and 2a for discontinuation due to intolerable side effects and conditional response, respectively) added to a random value of the absolute log hazard of placebo (estimated in respective models 1b and 2b). The output of each pair of models (i.e. models 1a and 1b; models 2a and 2b) that was used in the economic analysis was the probability of discontinuation due to intolerable side effects (models 1a and 1b) and probability of conditional response (models 2a and 2b) for each intervention by the end of 56 days (8 weeks). The estimated probabilities for placebo were used to populate the 'no treatment' arm of the economic model.

1 **Table 92. Data on discontinuation due to intolerable side effects in all placebo arms of**  
 2 **RCTs included in the guideline systematic review.**

Study	Duration (days)	Placebo
1.ALLGULANDER2001	168	14/130
2.GELENBERG2000	196	18/127
3.RICKELS2000A	56	7/97
4.KASPER2009	60	7/128
5.MONTGOMERY2006	42	10/101
6.HARTFORD2007	70	3/161
7.NICOLINI2009	70	15/170
8.BOSE2008	60	7/140
9.HACKETT2003	60	4/97
10.DAVIDSON1999	56	10/104
11.MONTGOMERY2008	56	9/96
12.RICKELS2005	28	9/91
13.POHL2005	42	7/86
14.PANDE2003	28	7/69
15.PFIZER2005	61	7/67
16.FELTNER2003	28	4/67
17.KOPONEN2007	63	4/175
18.RYNN2008	70	13/159
19.DAVIDSON2004	56	8/159
20.LENZE2009	84	4/92
21.BALDWIN2006	84	4/139
22.ASTRAZENECA2007B	56	15/215
23.ANSSEAU1991	28	0/57
24.RICKELS2000B	60	7/104
25.ANDREATINI2002	28	1/12
26.SRAMEK1996	42	1/82
27.POLLACK1997	42	11/112
28.PFIZER2008	28	2/57
29.GSK2005	56	6/182
30.POLLACK2001	60	6/163
31.RICKELS2003	60	12/180
32.GSK2002	56	5/167
33.HEWETT2001	56	2/186
34.ASTRAZENECA2007A	56	9/217
35.ASTRAZENECA2007C	56	16/235
36.ALLGULANDER2004	84	19/190
37.BRAWMAN-MINTZER2006	70	3/163
38.ASTRAZENECA2008	64	3/227
39.DARCIS1995	28	1/56
40.LLORCA2002	84	4/113

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1 **Table 93. Data on conditional response in all placebo arms of RCTs included in the**  
 2 **guideline systematic review.**

Study	Duration (days)	Placebo
1.FELTNER2003	28	29/63
2.PANDE2003	28	17/62
3.PFIZER2005	61	24/60
4.POHL2005	42	28/79
5.RICKELS2005	28	29/82
6.MONTGOMERY2008	56	39/87
7.KASPER2009	60	59/121
8.MONTGOMERY2006	42	45/91
9.DAVIDSON1999	56	35/94
10.HARTFORD2007	70	58/158
11.NICOLINI2009	70	69/155
12.BOSE2008	60	57/133
13.HACKETT2003	60	44/93
14.KOPONEN2007	63	53/171
15.RYNN2008	70	48/146
16.ASTRAZENECA2007B	56	98/200
17.BALDWIN2006	84	83/135
18.ANSSEAU1991	28	19/57
19.RICKELS2000B	60	46/97
20.ASTRAZENECA2007C	56	114/219
21.ASTRAZENECA2007A	56	113/208
22.GSK2005	56	66/176
23.POLLACK2001	60	77/157
24.ALLGULANDER2004	84	55/171
25.BRAWMAN-MINTZER2006	70	78/160
26.ASTRAZENECA2008	64	54/224

3  
 4  
 5 Analysis was undertaken following Bayesian statistics principles and conducted using  
 6 Markov chain Monte Carlo simulation techniques implemented in Winbugs 1.4 (Lunn *et*  
 7 *al.*, 2000; Spiegelhalter *et al.*, 2001). In each pair of models (models 1a and 1b; 2a and 2b)  
 8 the first 60,000 iterations were discarded, and 300,000 further iterations were run;  
 9 because of high autocorrelation observed in some model parameters, the model was  
 10 thinned so that every 30<sup>th</sup> simulation was retained. Consequently, 10,000 posterior  
 11 simulations were recorded for each pair of models.

12  
 13 The goodness of fit of the models 1a and 2a was tested using the residual deviance  
 14 (resdev). The resdev of model 1a was 93.02 (which is acceptable, given that the model has  
 15 90 degrees of freedom); the resdev of model 2a was 41.29 (which is, again, satisfactory,  
 16 since the model has 59 degrees of freedom).

17  
 18 The Winbugs code used to estimate, separately, the 8-week (56 days) probability of  
 19 discontinuation due to intolerable side effects and the 8-week (56 days) probability of  
 20 conditional response is provided in Table 94.

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 23

- 1 **Table 94. Winbugs code used to estimate the probability of discontinuation due to**  
 2 **intolerable side effects and the probability of conditional response at 56 days of all**  
 3 **treatment options considered in the economic analysis**

```

model{
sw[1] <- 0
for(i in 1:NA){
r[i] ~ dbin(p[i],n[i])                #binomial likelihood
theta[i]<-mu[s[i]]+ delta[i]*(1-equals(t[i],b[i])) #baseline and treatment
effects
delta[i] ~ dnorm(md[i],taud[i])        #trial-specific log-hazard
distributions
taud[i] <- tau * (1 + equals(m[i],3) /3) #precisions of log-hazard
distributions
md[i] <- d[t[i]] - d[b[i]] + equals(m[i],3) * sw[i] #mean of random effect
p[i] <- (1-exp(-lam[i]*ds[i]/56))      #prob of event (ds=days; 56
days = 8 wks)
log(lam[i]) <- theta[i]                #log rates for each arm
rhat[i] <- p[i] * n[i]                 #predicted events
dev[i] <- -2 *r[i]*log(rhat[i]/r[i])   #deviance residuals for data i
}
resdev <-sum(dev[])                    #total deviance

for (i in 2:NA) { sw[i] <- (delta[i-1] - d[t[i-1]] + d[b[i-1]] ) /2} #adjustment for
3 arm trials

#priors
for(j in 1:NS){ mu[j]~dnorm(0,.0001)} #vague priors for trial
baselines
tau <- 1/(sd*sd)                       #precision
sd~dunif(0,2)                           #vague prior for random effects standard
deviation
d[1]<-0
for (k in 2:NT){d[k] ~ dnorm(0,.0001)    #vague priors for basic
parameters
log(hazr[k]) <-d[k]                     #hazard ratios
}

#code for absolute effect on baseline (placebo, treatment 1)
for (i in 1:NSb) {
rb[i] ~ dbin(pb[i],nb[i])                #binomial likelihood
pb[i] <- (1-exp(-lamb[i]*dsb[i]/56))      #prob of event (dsb=days; 56 days =
8 weeks)
log(lamb[i]) <- mub[sb[i]]                # log rate
}

for (j in 1:NSb) {mub[j] ~ dnorm(mb,tab)} # priors for outcome and trial-

```

```

specific events
mb ~ dnorm(0,.001)
tab <- 1/(sdb*sdb)
sdb ~ dunif(0,2)

#code for predicted effect at 56 days, on a probability scale. Baseline risks in
new placebo trial
d.new[1] <-0
for(k in 2:NT)
{d.new[k] ~ dnorm(d[k],tau)}
for (k in 1:NT)
{theta56[k] <-mub[Z] +d.new[k]
log(lam56[k]) <-theta56[k]
p56[k] <- (1-exp(-lam56[k]))
}
}
}

```

NA = number of arms, NT = number of treatments, NS = number of studies in models 1a & 2a; NSb = number of studies in models 1b & 2b; Z (number of a new placebo trial) is 41 for the 'discontinuation due to side effects' model 1b and 27 for the 'conditional response' model 2b

1  
2 Summary statistics for the treatment options considered in the economic analysis are  
3 provided in Table 95 (models 1a and 1b) and Table 96 (models 2a and 2b). Results are  
4 reported as mean values with 95% credible intervals, which are analogous to confidence  
5 intervals in frequentist statistics.

6  
7 **Table 95. Summary statistics of Winbugs models 1a and 1b (discontinuation due to**  
8 **intolerable side effects)**

node	mean	sd	MC error	2.50%	median	97.50%	start	Sample
d[2]	0.9254	0.1346	0.003367	0.6768	0.9231	1.1990	60001	10000
d[3]	0.3760	0.1627	0.003669	0.0585	0.3725	0.6991	60001	10000
d[4]	1.1610	0.2022	0.003266	0.7633	1.1550	1.5780	60001	10000
d[5]	0.4657	0.1936	0.004651	0.0829	0.4642	0.8589	60001	10000
d[9]	0.8670	0.1661	0.003578	0.5426	0.8657	1.2160	60001	10000
d[11]	0.1498	0.3523	0.007745	-0.5070	0.1479	0.8550	60001	10000
hazr[2]	2.5460	0.3474	0.008549	1.9680	2.5170	3.3160	60001	10000
hazr[3]	1.4760	0.2433	0.005356	1.0600	1.4510	2.0120	60001	10000
hazr[4]	3.2610	0.6767	0.011020	2.1450	3.1740	4.8440	60001	10000
hazr[5]	1.6230	0.3186	0.007989	1.0860	1.5910	2.3600	60001	10000
hazr[9]	2.4130	0.4094	0.008626	1.7210	2.3770	3.3730	60001	10000
hazr[11]	1.2380	0.4689	0.009258	0.6023	1.1590	2.3510	60001	10000
p56[1]	0.0583	0.0398	3.71E-04	0.0136	0.0483	0.1614	60001	10000
p56[2]	0.1423	0.0963	0.001022	0.0312	0.1183	0.3953	60001	10000
p56[3]	0.0858	0.0624	6.58E-04	0.0172	0.0693	0.2560	60001	10000
p56[4]	0.1750	0.1155	0.001041	0.0373	0.1468	0.4749	60001	10000
p56[5]	0.0935	0.0674	7.27E-04	0.0182	0.0761	0.2750	60001	10000
p56[9]	0.1348	0.0931	9.15E-04	0.0291	0.1113	0.3808	60001	10000
p56[11]	0.0725	0.0599	7.56E-04	0.0127	0.0559	0.2368	60001	10000
resdev	93.02	70.08	0.703200	-45.79	92.84	227	60001	10000
sd	0.2098	0.1193	0.005348	0.0146	0.2103	0.4521	60001	10000

sdb	0.6258	0.1158	0.001069	0.4229	0.6181	0.8742	60001	10000
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1  
2 **Table 96. Summary statistics of Winbugs models 2a and 2b (conditional response)**

node	mean	sd	MC error	2.50%	median	97.50%	start	Sample
d[2]	0.4920	0.0959	0.001203	0.2995	0.4936	0.6820	60001	10000
d[3]	0.5708	0.0899	0.001106	0.3933	0.5716	0.7513	60001	10000
d[4]	0.6713	0.1190	0.001221	0.4375	0.6712	0.9052	60001	10000
d[5]	0.4598	0.1236	0.001228	0.2127	0.4584	0.7029	60001	10000
d[8]	0.2785	0.1140	0.001165	0.0574	0.2769	0.5071	60001	10000
d[9]	0.6048	0.1744	0.001770	0.2640	0.6038	0.9520	60001	10000
hazr[2]	1.6430	0.1577	0.001986	1.3490	1.6380	1.9780	60001	10000
hazr[3]	1.7770	0.1602	0.001955	1.4820	1.7710	2.1200	60001	10000
hazr[4]	1.9710	0.2359	0.002430	1.5490	1.9570	2.4730	60001	10000
hazr[5]	1.5960	0.1981	0.001962	1.2370	1.5810	2.0200	60001	10000
hazr[8]	1.3300	0.1528	0.001561	1.0590	1.3190	1.6600	60001	10000
hazr[9]	1.8590	0.3286	0.003300	1.3020	1.8290	2.5910	60001	10000
p56[1]	0.4277	0.1168	0.001208	0.2231	0.4173	0.6838	60001	10000
p56[2]	0.5904	0.1450	0.001492	0.3147	0.5893	0.8719	60001	10000
p56[3]	0.6160	0.1453	0.001476	0.3371	0.6153	0.8917	60001	10000
p56[4]	0.6509	0.1467	0.001506	0.3571	0.6521	0.9194	60001	10000
p56[5]	0.5788	0.1479	0.001512	0.3051	0.5760	0.8699	60001	10000
p56[8]	0.5190	0.1445	0.001588	0.2611	0.5107	0.8219	60001	10000
p56[9]	0.6287	0.1527	0.001496	0.3290	0.6304	0.9101	60001	10000
resdev	41.29	88.88	0.988100	-129.4	40.48	216.6	60001	10000
sd	0.1782	0.0524	7.35E-04	0.0835	0.1749	0.2904	60001	10000
sdb	0.3719	0.0648	6.81E-04	0.2641	0.3648	0.5192	60001	10000

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