

# **NATIONAL INSTITUTE FOR HEALTH AND CLINICAL EXCELLENCE**

## **INTERVENTIONAL PROCEDURES PROGRAMME**

### **Interventional procedure overview of percutaneous (non-thoracoscopic) epicardial catheter radiofrequency ablation for atrial fibrillation**

Atrial fibrillation is a condition that affects the heart, causing an irregular heartbeat. It increases the risk of blood clots in the heart and stroke. Electrical impulses (originating from the atria, the small chambers of the heart) control the heartbeat. In atrial fibrillation these impulses become disorganised, so that the heart beats irregularly and too quickly. When this happens, the heart cannot efficiently pump blood around the body. This may cause symptoms such as palpitations, chest pain, shortness of breath, dizziness and fainting. In percutaneous (non-thoracoscopic) epicardial catheter radiofrequency ablation, selected areas of the heart are destroyed using heat, with the aim of preventing the abnormal electrical impulses responsible for atrial fibrillation. The procedure is carried out through a special catheter which is inserted into the lower chest area and guided to the outer part of the heart.

## **Introduction**

The National Institute for Health and Clinical Excellence (NICE) has prepared this overview to help members of the Interventional Procedures Advisory Committee (IPAC) make recommendations about the safety and efficacy of an interventional procedure. It is based on a rapid review of the medical literature and specialist opinion. It should not be regarded as a definitive assessment of the procedure.

## **Date prepared**

This overview was prepared in July 2008.

## **Procedure name**

- Percutaneous (non-thoracoscopic) epicardial catheter radiofrequency ablation for atrial fibrillation

## **Specialty societies**

- Society of Cardiothoracic Surgeons of Great Britain and Ireland
- British Cardiovascular Intervention Society

- Heart Rhythm UK

## Description

### *Indications and current treatment*

Atrial fibrillation (AF) is irregular and rapid beating of the atria. It is the most common type of cardiac arrhythmia and the incidence increases markedly with age.

AF can be classified as paroxysmal, persistent or permanent. Paroxysmal AF is characterised by self-terminating and relapsing episodes usually lasting less than 48 hours that are often initiated by focal triggers within or near the orifice of the pulmonary veins. It is most common among middle-aged people. Persistent AF is characterised by episodes typically lasting longer than 7 days and is unlikely to resolve without treatment. Restoration of the normal rhythm can be achieved with treatment; however, episodes tend to recur. In permanent AF, restoration of the normal rhythm has either failed or not been attempted (as clinically judged futile). It is usually associated with structural and/or ischaemic heart disease and is most common in older people.

Patients with AF may be asymptomatic or have symptoms such as fatigue, palpitations, chest pain, shortness of breath, dizziness and fainting. There is an increased risk of death and stroke or other thromboembolic events.

Drug therapy for AF has two different aims. First, anticoagulation medication is used to prevent stroke and thromboembolism. Second, medication can be used either to help maintain a normal rhythm following successful cardioversion ('rhythm control therapy') or to help reduce the heart rate ('rate control therapy').

Interventional treatments are indicated when drug therapy is either not tolerated or ineffective. An interventional approach can involve surgical or endovascular ablative procedures which aim to isolate or destroy the atrial areas responsible for the generation of AF (pulmonary vein isolation and trigger focus ablation). The Cox maze procedure involves cutting and resewing the atrium with the aim of modifying the electrical properties of the atria. Radiofrequency ablation is now more commonly used to achieve this aim.

Percutaneous ablation for AF is usually performed with an intravascular approach to the inside (endocardial surface) of the atrium. The present procedure has been proposed as a means of treating AF in patients in whom an endocardial approach has been unsuccessful (failure to eliminate AF or recurrence of AF) or in whom there is an increased risk associated with a standard procedure (higher risk of trans-septal puncture or subsequent pulmonary vein stenosis). The rationale is that the use of additional energy from the outside of the heart (epicardial surface) provides an adjunctive means to ensure successful ablation in these difficult cases.

### ***What the procedure involves***

The procedure is carried out with the patient under sedation or general anaesthesia. Under fluoroscopic guidance, a needle is inserted through the skin (usually via a subxiphoid approach) and advanced towards the pericardium to access the pericardial space. Access into the pericardial space may be identified by a loss of resistance against the needle. To identify the location of the needle tip, a small amount of contrast medium may be injected and visualisation of the contrast medium around the cardiac silhouette indicates that the needle has accessed the pericardial space. A guidewire is introduced through the needle and a sheath is advanced over the guidewire so that the tip is placed inside the pericardial sac. The sheath is aspirated to check for bleeding. A radiofrequency catheter is inserted into the sheath.

After electrophysiological mapping to determine target ablation sites radiofrequency energy pulses are applied to the epicardium (along a line starting at the junction between the left atrial roof and right superior pulmonary vein and ending at the mitral isthmus).

During the procedure, catheter position is monitored with a 3D mapping system (to avoid collateral damage), saline is placed in the pericardial space (to reduce the risk of oesophageal injury) and steroids are administered (to reduce the risk of pericarditis).

### ***Efficacy***

This overview is based on six patients, from one case series of five patients and one case report.

In the case series of five patients, four patients had percutaneous epicardial catheter radiofrequency ablation after previous failed procedures of endocardial ablation. These patients were AF free and without any antiarrhythmic medication at 2, 6, 13 and 15 months' follow-up (method of assessing AF not reported). In the fifth patient, epicardial ablation was done immediately after failed endocardial ablation. The patient was in sinus rhythm with antiarrhythmic medication at 4 months' follow-up<sup>1</sup>.

A case report described a patient who had persistent AF despite amiodarone and in whom a pacemaker had been implanted for sinus pauses. The procedure was successful and the patient remained in sinus rhythm one month post-operatively<sup>2</sup>.

### ***Safety***

In the case series of five patients, one case of haemopericardium developed during the percutaneous epicardial puncture which was successfully drained. In another patient, a tachycardia originating from the left inferior pulmonary vein was observed during the procedure but this was successfully terminated with further epicardial and endocardial energy delivery<sup>3</sup>.

## Literature review

### *Rapid review of literature*

The medical literature was searched to identify studies and reviews relevant to percutaneous (non-thoracoscopic) epicardial catheter radiofrequency ablation. Searches were conducted of the following databases, covering the period from their commencement to 27 June 2008: MEDLINE, PREMEDLINE, EMBASE, Cochrane Library and other databases. Trial registries and the Internet were also searched. No language restriction was applied to the searches (see appendix C for details of the search strategy).

The following selection criteria (table 1) were applied to the abstracts identified by the literature search. Where selection criteria could not be determined from the abstracts the full paper was retrieved.

**Table 1 Inclusion criteria for identification of relevant studies**

Characteristic	Criteria
Publication type	Clinical studies were included. Emphasis was placed on identifying good quality studies. Abstracts were excluded where no clinical outcomes were reported, or where the paper was a review, editorial, or a laboratory or animal study. Conference abstracts were also excluded because of the difficulty of appraising study methodology, unless they reported specific adverse events that were not available in the published literature.
Patient	Patients with atrial fibrillation.
Intervention/test	Percutaneous (non-thoracoscopic) epicardial catheter radiofrequency ablation.
Outcome	Articles were retrieved if the abstract contained information relevant to the safety and/or efficacy.
Language	Non-English-language articles were excluded unless they were thought to add substantively to the English-language evidence base.

### *Existing assessments of this procedure*

There were no published assessments from other organisations identified at the time of the literature search.

### *Related NICE guidance*

Below is a list of NICE guidance related to this procedure. Appendix B gives details of the recommendations made in each piece of guidance listed below.

### **Interventional procedures**

- High-intensity focused ultrasound for atrial fibrillation in association with other cardiac surgery. NICE interventional procedures guidance 184 (2006). Available from [www.nice.org.uk/IPG184](http://www.nice.org.uk/IPG184)
- Percutaneous radiofrequency catheter ablation for atrial fibrillation. NICE interventional procedures guidance 168 (2006). Available from [www.nice.org.uk/IPG168](http://www.nice.org.uk/IPG168)
- Cryoablation for atrial fibrillation in association with other cardiac surgery. NICE interventional procedures guidance 123 (2005). Available from [www.nice.org.uk/IPG123](http://www.nice.org.uk/IPG123)
- Microwave ablation for atrial fibrillation in association with other cardiac surgery. NICE interventional procedures guidance 122 (2005). Available from [www.nice.org.uk/IPG122](http://www.nice.org.uk/IPG122)
- Radiofrequency ablation for atrial fibrillation in association with other cardiac surgery. NICE interventional procedures guidance 121 (2005). Available from [www.nice.org.uk/IPG121](http://www.nice.org.uk/IPG121)

### **Clinical guidelines**

- Atrial fibrillation. NICE clinical guidelines 36 (2006). Available from [www.nice.org.uk/CG36](http://www.nice.org.uk/CG36)

**Table 2 Summary of key efficacy and safety findings on percutaneous (non-thoracoscopic) epicardial catheter radiofrequency ablation for atrial fibrillation**

Abbreviations used: AF, atrial fibrillation; PV, pulmonary vein			
Study details	Key efficacy findings	Key safety findings	Comments
<p>Pak et al. (2007)<sup>4</sup>                      Study type: <b>case series</b>                      Country: Korea                      Study period: not stated                      Study population: patients with persistent (n = 4) or permanent (n =1) AF  <i>'Redo' ablation procedures for persistent AF (n = 4:</i> one patient had endocardial catheter ablation for persistent AF (plus left atrial antral ablation with electrical isolation of all four pulmonary veins and cavotricuspid isthmus block) 6 months previously. The AF returned and become highly symptomatic. One patient had endocardial ablation for persistent AF 10 months previously. The AF became paroxysmal and the procedure was carried out because of a risk of PV stenosis. In the other two patients PV potentials had recurred during the endocardial ablation and they had PV stenosis.                      Epicardial ablation was done on average 22 months (<math>\pm</math> 18 months) after endocardial ablation.  <i>De novo ablation of permanent AF (n = 1):</i> the patient had had two failed external cardioversions. Despite creating an endocardial ablation line during the current procedure AF recurred repeatedly immediately after cardioversion so epicardial ablation was attempted.                      n = 5                      Age: 49 years (mean)                      Sex: 100% male                       Inclusion criteria: not stated                      Technique: left atrial linear ablation from the roof to the perimitral isthmus, or localised ablation at the junction between the left atrial appendage and left pulmonary veins or ligament of Marshall, using an open irrigation tip catheter.                       Follow-up: <b>8 months (mean <math>\pm</math> 6.3 months)</b>                      Conflict of interest: not stated</p>	<p><b><i>Freedom from AF at last follow-up</i></b>                      (Method of assessing AF not reported)                      The four patients for whom this was a redo procedure were AF free and without any antiarrhythmic medication for 2, 6, 13 and 15 months of follow-up.                      The patient for whom this was the first ablation procedure was in sinus rhythm with antiarrhythmic medication (200 mg amiodarone) for 4 months of follow-up.</p>	<p><b><i>Complications</i></b>                      In one patient a haemopericardium developed during the percutaneous epicardial puncture. However, the ablation procedure took less than 10 minutes and the haemopericardium was successfully drained.                      In one patient, a PV tachycardia continued from the left inferior PV so more energy was delivered both epicardially and endocardially and the PV tachycardia was eliminated.</p>	

Abbreviations used: AF, atrial fibrillation; PV, pulmonary vein			
Study details	Key efficacy findings	Key safety findings	Comments
<p>Reddy et al. (2003)<sup>5</sup>                      Study type: <b>case report</b>                      Country: USA                      Study period: not stated                      Study population: one patient with persistent AF despite treatment with antiarrhythmic medication. The patient had had two failed electrical cardioversions within the previous 12 months.                      n = 1                      Age: 55 years                      Sex: male</p> <p>Technique: combined endocardial and epicardial ablation using a percutaneous subxiphoid puncture approach to the pericardial space.                      Epicardial radiofrequency ablation was performed immediately after failed endocardial irrigated radiofrequency ablation (endocardial ablation was unable to eliminate a residual electrical breakthrough across an encircling lesion set and failed to achieve extraostial pulmonary vein isolation).                      The radiofrequency ablation catheter was moved to the epicardial aspect of the breakthrough site. Standard ablation isolated the left-sided lesion set within seconds and entrance block was confirmed. The right-sided lesion set was completed by endocardial ablation alone.</p> <p>Follow-up: <b>not stated</b></p> <p>Conflict of interest: not stated</p>	<p>Freedom from AF</p> <p>The patient was well and without symptoms at a 1-month postoperative visit (the patient reported having no symptoms of fatigue or syncope before the procedure but did report presyncopal spells).</p>	<p>No safety outcomes were reported.</p>	

### ***Validity and generalisability of the studies***

- The case report described a combined endocardial and epicardial approach.
- In the case series, four patients had epicardial ablation after other failed ablation procedures. One patient had combined endocardial and epicardial ablation as the first interventional procedure for AF.
- In both studies in table 2, it is not clear how AF was assessed during follow-up.

### **Specialist Advisers' opinions**

Specialist advice was sought from consultants who have been nominated or ratified by their Specialist Society or Royal College. The advice received is their individual opinion and does not represent the view of the society.

Richard Schilling (British Cardiovascular Intervention Society), Dr Derick Todd (Heart Rhythm UK).

- One Specialist Adviser provided the same advice for both this procedure (for AF) and the same procedure for ventricular tachycardia (IP709). He stated that he regularly performs an epicardial approach catheter ablation in cases where an endocardial approach is not successful. The other Specialist Adviser had never performed the procedure.
- One Specialist Adviser thought that the procedure was established practice and had no comparator. The other thought that it was novel and that the comparator was endocardial or thoracoscopic AF ablation.
- The Advisers thought potential safety concerns included myocardial puncture, pericarditis, gastric puncture, coronary artery damage, damage to the oesophagus, bronchi and phrenic nerve, damage to abdominal vessels and organs when accessing the pericardial space, and perforation of the right ventricle.
- One Adviser described an anecdotal report of a death in a patient who had had epicardial implantation percutaneously of a pacing lead where access caused gastric damage.
- One Adviser thought that the key efficacy outcome was freedom from AF.
- One Adviser commented that audit would not be meaningful or possible because it is such a rare procedure.
- One Adviser commented that there was uncertainty about the long-term safety of this procedure. He thought that there was also uncertainty about efficacy of the procedure because of the small number of cases that have been reported in the literature.
- One Adviser thought that formal training in the procedure should be recommended. The other thought that it can safely be performed in an electrophysiology laboratory where endocardial ablation is performed and that training and experience in pericardial puncture is necessary.



## **Issues for consideration by IPAC**

- Consider alternative titles: Non-surgical transthoracic epicardial radiofrequency ablation for AF or Percutaneous (non-surgical) epicardial catheter radiofrequency ablation for AF.
- The evidence base is very small.
- There are only two Specialist Advisers.

## References

1. Pak HN, Hwang C, Lim HE et al. (2007) Hybrid epicardial and endocardial ablation of persistent or permanent atrial fibrillation: a new approach for difficult cases. *Journal of Cardiovascular Electrophysiology* 18: 917–23.
2. Reddy VY, Neuzil P, Ruskin JN (2003) Extra-ostial pulmonary venous isolation: use of epicardial ablation to eliminate a point of conduction breakthrough. *Journal of Cardiovascular Electrophysiology* 14: 663–6.

## **Appendix A: Additional papers on percutaneous (non-thoracoscopic) epicardial catheter radiofrequency ablation for atrial fibrillation**

There were no additional papers identified.

## Appendix B: Related NICE guidance for percutaneous (non-thoracoscopic) epicardial catheter radiofrequency ablation for atrial fibrillation

Guidance	Recommendations
Interventional procedures	<p data-bbox="440 474 1398 569"><b>High-intensity focused ultrasound for atrial fibrillation in association with other cardiac surgery. NICE interventional procedures guidance 184 (2006).</b></p> <ol style="list-style-type: none"> <li data-bbox="493 611 1409 737">1.1. Current evidence on the safety and efficacy of high-intensity focused ultrasound (HIFU) for atrial fibrillation in association with other cardiac surgery is insufficient for this procedure to be used without special arrangements for consent and for audit or research.</li> <li data-bbox="493 743 1409 1136">1.2. Clinicians wishing to undertake HIFU for atrial fibrillation in association with other cardiac surgery should take the following actions. <ul style="list-style-type: none"> <li data-bbox="537 842 1243 873">• Inform the clinical governance leads in their Trusts.</li> <li data-bbox="537 879 1398 1041">• Ensure that patients understand the uncertainty about the procedure's safety and efficacy and provide them with clear written information. In addition, use of the Institute's information for patients ('Understanding NICE guidance') is recommended (available from <a href="http://www.nice.org.uk/IPG184publicinfo">www.nice.org.uk/IPG184publicinfo</a>).</li> <li data-bbox="537 1047 1365 1136">• Audit and review clinical outcomes of all patients undergoing HIFU for atrial fibrillation in association with other cardiac surgery.</li> </ul> </li> <li data-bbox="493 1142 1398 1268">1.3. Patient selection and follow-up should be carried out by a multidisciplinary team. Cardiac surgeons undertaking this procedure should have specific training in the use of high-intensity focused ultrasound equipment.</li> <li data-bbox="493 1274 1349 1367">1.4. Publication of safety and efficacy outcomes will be useful. The Institute may review the procedure upon publication of further evidence.</li> </ol> <p data-bbox="440 1415 1377 1478"><b>Percutaneous radiofrequency catheter ablation for atrial fibrillation. NICE interventional procedures guidance 168 (2006).</b></p> <ol style="list-style-type: none"> <li data-bbox="493 1520 1409 1667">1.1. Current evidence on the safety and efficacy of percutaneous radiofrequency ablation for atrial fibrillation appears adequate to support the use of this procedure in appropriately selected patients (see section 2.1.4) provided that normal arrangements are in place for audit and clinical governance.</li> <li data-bbox="493 1673 1409 1835">1.2. Clinicians should ensure that patients fully understand the potential complications, the likelihood of success and the risk of recurrent atrial fibrillation associated with this procedure. In addition, use of the Institute's Information for the public is recommended (available from <a href="http://www.nice.org.uk/IPG168publicinfo">www.nice.org.uk/IPG168publicinfo</a>).</li> <li data-bbox="493 1841 1365 1873">1.3. This procedure should only be performed in specialist units and</li> </ol>

	<p>with arrangements for cardiac surgical support in the event of complications.</p> <p>1.4. This procedure should only be performed by cardiologists with extensive experience of other types of ablation procedures.</p> <p>1.5. The Department of Health runs the Central Cardiac Audit Database (CCAD), and clinicians are encouraged to enter all patients undergoing percutaneous radiofrequency ablation for atrial fibrillation onto this database (<a href="http://www.ccad.org.uk">www.ccad.org.uk</a>).</p> <p><b>Cryoablation for atrial fibrillation in association with other cardiac surgery. NICE interventional procedures guidance 123 (2005).</b></p> <p>1.1. Current evidence on the safety and efficacy of cryoablation for atrial fibrillation in association with other cardiac surgery appears adequate to support the use of this procedure provided that the normal arrangements are in place for consent, audit and clinical governance.</p> <p>1.2. Patient selection and follow-up should be carried out by a multidisciplinary team. Cardiac surgeons undertaking this procedure should have specific training in the use of cryoablation equipment.</p> <p><b>Microwave ablation for atrial fibrillation in association with other cardiac surgery. NICE interventional procedures guidance 122 (2005).</b></p> <p>1.1. Current evidence on the safety and efficacy of microwave ablation for atrial fibrillation in association with other cardiac surgery appears adequate to support the use of this procedure provided that the normal arrangements are in place for consent, audit and clinical governance.</p> <p>1.2. Patient selection and follow-up should be carried out by a multidisciplinary team. Cardiac surgeons undertaking this procedure should have specific training in the use of microwave energy equipment.</p> <p><b>Radiofrequency ablation for atrial fibrillation in association with other cardiac surgery. NICE interventional procedures guidance 121 (2005).</b></p> <p>1.1. Current evidence on the safety and efficacy of radiofrequency ablation (RFA) for atrial fibrillation in association with other cardiac surgery appears adequate to support the use of this procedure provided that the normal arrangements are in place for consent, audit and clinical governance.</p> <p>1.2. Patient selection and follow-up should be carried out by a multidisciplinary team. Cardiac surgeons undertaking this procedure should have specific training in the use of radiofrequency equipment.</p>
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## Appendix C: Literature search for percutaneous (non-thoracoscopic) epicardial catheter radiofrequency ablation for atrial fibrillation

Source	Date searched	Title, year and link
Notification and specialist advisors papers	20 June 2008	Pak HN et al. (2007) Hybrid epicardial and endocardial ablation of persistent or permanent atrial fibrillation: a new approach for difficult cases. <i>Journal of Cardiovascular Electrophysiology</i> 18: 917–23.
FDA (MAUDE database)	27 June 2008	Nothing found
ASERNIP	20 June 2008	Systematic review of intraoperative ablation for the treatment of atrial fibrillation. July 2004
ANZHSN	20 June 2008	Nothing found
Cochrane reviews (CDSR)	27 June 2008	3
Conference websites	24 June 2008	Nothing found
General Internet search	24 June 2008	Nothing found

The following search strategy was used to identify papers in MEDLINE. A similar strategy was used to identify papers in other databases.

1	Surgical Procedures, Minimally Invasive/ (9245)
2	(surgic\$ procedur\$ adj3 minimal\$ invasiv\$).tw. (262)
3	percutan\$.tw. (66525)
4	epicardial\$.tw. (9343)
5	or/1-4 (83910)
6	exp Electrocoagulation/ (20731)
7	electrocoag\$.tw. (1982)
8	RFA.tw. (1386)
9	RFCA.tw. (152)
10	((radio\$ or cathet\$) adj3 (ablat\$ or remov\$ or eradicat\$ or destruct\$ or cut\$)).tw. (15372)
11	or/6-10 (29955)
12	exp Atrial Fibrillation/ (21836)

13	(atrial\$ adj3 fibrill\$.tw. (21882)
14	AF.tw. (11053)
15	(auricul\$ adj3 fibrill\$.tw. (766)
16	or/12-15 (34558)
17	5 and 11 and 16 (262)
18	Animals/ (4287246)
19	Humans/ (10480715)
20	18 not (18 and 19) (3227844)
21	17 not 20 (224)