

# NATIONAL INSTITUTE FOR HEALTH AND CARE EXCELLENCE

## INTERVENTIONAL PROCEDURES PROGRAMME

### Interventional procedure overview of percutaneous insertion of craniocaudal expandable implants for vertebral compression fracture

A vertebral compression fracture occurs when the main part of one of the bones in the spine (the vertebral body) is crushed. This can be caused by injury, osteoporosis (weakening of the bones) or the spread of cancer into the spine. In this procedure, metal implants are inserted through the skin and into the crushed vertebra. The implants are expanded to the desired size and surrounded with bone cement. The aim is to improve symptoms caused by the compression fracture.

## Introduction

The National Institute for Health and Care Excellence (NICE) has prepared this interventional procedure (IP) overview to help members of the interventional procedures advisory committee (IPAC) make recommendations about the safety and efficacy of an interventional procedure. It is based on a rapid review of the medical literature and specialist opinion. It should not be regarded as a definitive assessment of the procedure.

## Date prepared

This IP overview was prepared in January 2016.

## Procedure name

- Percutaneous insertion of craniocaudal expandable implants for vertebral compression fracture

## Specialist societies

- British Association of Spinal Surgeons (BASS).

## Description

### ***Indications and current treatment***

Vertebral compression fractures usually occur when the front of the vertebral body collapses, and may be caused by trauma, cancer or osteoporosis.

Pain is the most common symptom in patients with vertebral compression fractures. Fractures can also cause progressive spinal deformity with abnormal curvature (kyphosis). This can lead to increased risk of further fracture at adjacent levels and progressive malalignment, deformity and pain.

Treating vertebral compression fractures aims to reduce pain, improve function and minimise the incidence of new fractures. Non-invasive treatment (such as pain medication, bed rest, and back braces) focuses on relieving symptoms and supporting the spine.

Surgery such as percutaneous vertebroplasty and balloon kyphoplasty may be considered in patients whose condition is refractory to medical therapy and when there is continued vertebral collapse and severe pain. Sometimes more invasive surgery with vertebral body realignment and instrumented fusion (bone grafts and spinal rods) may be needed.

### ***What the procedure involves***

Percutaneous insertion of craniocaudal expandable implants for vertebral compression fracture aims to restore vertebral height and augment the fractured vertebral body to relieve pain and increase mobility.

Vertebral craniocaudal expandable implants are inserted under general, regional or local anaesthesia. With the patient in a prone position, using fluoroscopic guidance, trocars are inserted through the vertebral pedicles into the vertebral body, which is then cannulated. Unexpanded implants, mounted on a bespoke instrument, are placed inside the vertebral body and expanded to restore vertebral height. High-viscosity bone cement is injected into and around each implant, filling the space in the surrounding bone.

## Literature review

### ***Rapid review of literature***

The medical literature was searched to identify studies and reviews relevant to the percutaneous insertion of craniocaudal expandable implants for vertebral compression fracture. The following databases were searched, covering the period from their start to 20 January 2016: MEDLINE, PREMEDLINE, EMBASE, Cochrane Library and other databases. Trial registries and the Internet were also

searched. No language restriction was applied to the searches (see appendix C for details of search strategy). Relevant published studies identified during consultation or resolution that are published after this date may also be considered for inclusion.

The following selection criteria (table 1) were applied to the abstracts identified by the literature search. Where selection criteria could not be determined from the abstracts the full paper was retrieved.

**Table 1 Inclusion criteria for identification of relevant studies**

Characteristic	Criteria
Publication type	Clinical studies were included. Emphasis was placed on identifying good quality studies. Abstracts were excluded where no clinical outcomes were reported, or where the paper was a review, editorial, or a laboratory or animal study. Conference abstracts were also excluded because of the difficulty of appraising study methodology, unless they reported specific adverse events that were not available in the published literature.
Patient	Patients with vertebral compression fracture.
Intervention/test	Percutaneous insertion of craniocaudal expandable implants.
Outcome	Articles were retrieved if the abstract contained information relevant to the safety and/or efficacy.
Language	Non-English-language articles were excluded unless they were thought to add substantively to the English-language evidence base.

### ***List of studies included in the IP overview***

This IP overview is based on 1,062 patients from 3 randomised controlled trials (RCTs)<sup>1-3</sup>, 1 comparative study<sup>4</sup> and 5 case series<sup>5-9</sup>.

Other studies that were considered to be relevant to the procedure but were not included in the main extraction table (table 2) have been listed in appendix A.

## Table 2 Summary of key efficacy and safety findings on percutaneous insertion of craniocaudal expandable implants for vertebral compression fracture

### Study 1 Tutton S M (2015)

#### Details

Study type	<b>RCT</b>
Country	USA and Europe
Recruitment period	2010-2013
Study population and number	n= <b>300 (153 Kiva versus 147 balloon kyphoplasty [BK])</b> patients with 1 or 2 painful osteoporotic vertebral compression fractures
Age and sex	Kiva group: Mean 76 years; 73% (105/144) female BK group: Mean 75 years; 75% (106/141) female
Patient selection criteria	<p><b>Inclusion criteria:</b> minimum 50 years old, back pain VAS score <math>\geq</math> 70 mm after 2–6 weeks of conservative care or a VAS score of <math>\geq</math> 50 mm after 6 weeks of conservative care, ODI score <math>\geq</math> 30%, radiographical evidence of 1 or 2 A 1.1, A 1.2, A 1.3. fractures as classified by the AO Spine Fracture classification, caused by primary or secondary osteoporosis in the thoracic and/or lumbar spine, central pain over the spinous process(es) upon palpation at the index level(s), acute or persistent index fracture(s), index fracture(s) has(have) failed conservative care of at least 2 weeks but no longer than 6 months, index fracture(s) shows (show) radiographical evidence of at least 5% vertebral collapse, the pedicle identified for access to the index fracture has a diameter of <math>\geq</math> 6 mm, patient is mentally capable and willing to sign study-specific informed consent as documentation of the informed consent process prior to any study procedures, patient is willing and able to comply with all study requirements including follow-up visits and radiographical assessments.</p> <p><b>Exclusion criteria:</b> index fracture(s) caused by high-energy trauma, index fracture(s) has (have) <u>known tumour involvement</u>, index fracture(s) diagnosed as osteonecrotic fracture(s), index fracture(s) is a (are) translational force fracture(s), index fracture(s) is a (are) burst fracture(s) or pedicle fracture(s) with posterior cortical wall disruption, index fracture(s) has (have) posterior vertebral wall displacement occupying <math>&gt;</math>20% of the cross-sectional area of the spinal canal, index fracture(s) has (have) severe deformity with reduction of <math>&gt;</math>75% in any height and accompanying area, index level(s) has (have) undergone previous surgical treatment of a vertebral body compression fracture or other surgical procedure at the index level(s), angulation of index fracture(s) makes treatment with the Kiva system impossible, pedicle identified for access to the index fracture has a diameter of <math>&lt;</math> 6 mm, Paget's disease, BMI <math>&gt;</math> 35 kg/m<sup>2</sup>, uncontrolled diabetes, severe cardiopulmonary deficiencies, myelopathy, long-term steroid therapy, medical contraindication to spinal surgery or general anaesthesia, spinal canal compromise causing clinical manifestations of cord, neural foramen, or nerve root compression at the level(s) to be treated, neurological symptoms or deficits or radiculopathy related to the VCF, pain based on clinical diagnosis of herniated nucleus pulposus or severe spinal stenosis, indications of instability related to the index fracture, planned spine surgery during or up to 30 days after the procedure, spine surgery for any disorder in the 30 days before enrolment, documented active systemic or local infection, known allergy to the investigational device materials or acrylics/polymethylmethacrylate or a hypersensitivity to monomers, diagnosis of haemorrhagic diathesis, uncontrolled psychiatric illness or severe dementia, patient currently receiving anticancer or anti-HIV therapy, autoimmune or inflammatory rheumatic disease, patient's life expectancy is less than the study duration or undergoing palliative care, current alcohol or drug abuser, involvement in medical litigation including Workmen's Compensation, prisoner, participation in another investigational study that has the potential to affect the study treatment or the study end points, pregnancy or considering pregnancy during study participation.</p>
Technique	Kiva system BK with the Kyphon inflatable bone tamps, bone filler devices, and cement (Medtronic).
Follow-up	<b>12 months</b>
Conflict of interest/source of funding	Benvenue Medical, Inc., funds were received in support of this study.

#### Analysis

**Follow-up issues:** 95% (285/300) of subjects met the criteria for the as-treated (AT) analysis population (Kiva: n=144; and BK: n=141). 84% (253/300) of patients (Kiva: n=127; and BK: n=126) completed the trial to the 12-month follow-up. In the Kiva group, 10 patients died within the 12-month follow-up, 5 withdrew from the study and 2 were lost to follow-up. In the BK group, 8 patients died and 7 withdrew from the study.

#### Study design issues:

- Multicentre study (21 centres)

- Blocked randomisation with blocks of randomly varying sizes; assignments were allocated via a secure web-based system administered by an independent data coordinating centre. Patients were blinded until after the procedure was completed.
- An independent imaging core laboratory did the assessment of all radiographical measurements and an independent physician adjudicator reviewed all safety events that occurred in the study, along with the associated imaging laboratory assessments.
- Efficacy analyses were done primarily on the AT population, consisting of randomised subjects having the intended procedure with technically successful procedures at all levels. Technical failure was defined as lack of Kiva implant placement or lack of bilateral bone tamp inflation. Additional analyses were done on the per protocol population, consisting of subjects with 12-month data and no major protocol deviations.

**Study population issues:** Kiva patients had a statistically higher percentage of former smokers (Kiva: 42%; and BK: 30%) and prior thoracolumbar junction fractures (Kiva: 29%; and BK: 19%).

**Other issues:** None.

## Key efficacy and safety findings

Efficacy				Safety			
Number of patients analysed: <b>285 (144 Kiva versus 141 BK)</b>				<b>Rate of serious adverse events within 12 months</b>			
<b>Technical success</b>				<b>Kiva: 29%</b>			
<b>Kiva: 99%</b>				<b>BK: 35%</b>			
<b>BK: 98%</b>				<b>Device-related serious adverse events: none reported in either group.</b>			
<b>Bone cement usage (per treated level, cm<sup>3</sup>)</b>				<b>Fractured pedicle: 1/144.</b> It was associated with the use of the Kiva-Pilot in the setting of sclerotic bone. This resulted in back pain at the time of patient discharge, which was managed with analgesics.			
<b>Kiva: 2.37 ± 1.06 (n=177)</b>				<b>Herpes zoster: 1/144</b>			
<b>BK: 5.38 ± 2.17 (n=178)</b>				<b>Pain after the procedure: 1/144</b>			
Difference: - 3.01 (- 3.37, - 2.65)				<b>Pruritus: 1/144</b>			
Kiva system superior over BK.				<b>Adjacent level fracture</b>			
<b>Procedure success at 12 months<sup>b</sup></b>							
	<b>Kiva (n=144)</b>	<b>BK (n=141)</b>	<b>Difference (BCI)</b>	<b>Posterior probability non-inferiority*</b>	<b>Posterior probability superiority<sup>a</sup></b>		
<b>Success at 12 months</b>	94% (120/127)	97.6% (123/126)	- 3.1% (-8.6%, 1.7%)	99.92%	9.55%		
* The Kiva system was declared non-inferior to BK if posterior probability non-inferiority > 96.6%.							
<sup>a</sup> The Kiva system was declared superior to BK if posterior probability superiority > 96.6%.							
<sup>b</sup> The procedure success was defined as reduction in pain by 15 mm or more from baseline on the 100-mm VAS, maintenance (did not worsen by ≥ 10 points) or improvement in function from baseline on the 100-point ODI and absence of device-related serious adverse events.							
<b>Pain relief</b>							
	<b>Kiva</b>		<b>BK</b>				
<b>Reduction in VAS score of 15 mm or more at 12 months</b>	95% (121/127)		98% (123/126)				
<b>VAS score change from baseline to</b>	<b>Kiva (n=144)</b>	<b>BK (n=141)</b>	<b>Difference (BCI)</b>				
<b>30 days<sup>c</sup></b>	- 59.8 ± 28.93 (n=140)	- 61.1 ± 26.91 (n=135)	1.3 (-5.35, 7.97)				
<b>6 months<sup>c</sup></b>	- 68.6 ± 25.89 (n=135)	- 65.2 ± 27.37 (n=126)	- 3.4 (-9.94, 3.14)				
<b>12 months<sup>c</sup></b>	- 70.8 ± 26.31 (n=127)	- 71.8 ± 23.47 (n=126)	1 (-5.20, 7.21)				
<sup>c</sup> Kiva system and BK superiority in improvement over baseline assessment.							
<b>Function</b>							
	<b>Kiva</b>		<b>BK</b>				
<b>Maintain or improve ODI score at 12 months</b>	99% (126/127)		100% (126/126)				
				<b>Extravasation of bone cement</b>			
	<b>Kiva</b>	<b>BK</b>	<b>Difference (BCI)</b>				
<b>Extravasation measured at the immediate postoperative time point (patients, CL/IPA)‡</b>	64.6% (93/144)	64.5% (91/141)	0.1% (-10.96%, 11.04%)				
<b>Extravasation measured at the immediate postoperative time point (levels, CL/IPA)‡</b>	55.4% (98/177)	57.9% (103/178)	-2.5% (-12.73%, 7.76%)				
				‡ Kiva system statistically non-inferior to BK.			

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ODI score change from baseline to	Kiva (n=144)	BK (n=141)	Difference (BCI)	CL/IPA ‡			
<b>30 days<sup>c</sup></b>	- 31.4 ± 21.93 (n=140)	- 34.6 ± 20.39 (n=135)	3.2 (-1.84, 8.25)	<b>Extravasation measured at the immediate postoperative time point (levels, site reported)**</b>	16.9% (30/177)	25.8% (46/178)	-8.9% (-17.27%, -0.33%)
<b>6 months<sup>c</sup></b>	- 37.7 ± 20.13 (n=135)	- 38.4 ± 20.41 (n=126)	0.7 (-4.27, 5.67)				
<b>12 months<sup>c</sup></b>	- 38.1 ± 19.81 (n=127)	- 42.2 ± 21.70 (n=126)	4.1 (-1.07, 9.28)				
<sup>c</sup> Kiva system and BK superiority in improvement over baseline assessment.				<sup>‡</sup> Kiva system statistically non-inferior to BK. <sup>**</sup> Kiva system superior over BK.			
Abbreviations used: BCI, Bayesian credible interval; BK, balloon kyphoplasty; CL, core laboratory; IPA, independent physician adjudicator; ODI, Oswestry disability index; VAS, visual analogue scale.							

## Study 2 Vanni D (2012)

### Details

Study type	<b>RCT</b>
Country	Italy
Recruitment period	From 2010
Study population and number	n= <b>300 (150 Spinejack versus 150 balloon kyphoplasty [BK])</b> patients with osteoporotic vertebral fractures
Age and sex	Age: range 65-85 years Sex: not reported
Patient selection criteria	Patients with osteoporotic vertebral fractures type A1 according to Magerl/AO spine classification.
Technique	Group A: percutaneous vertebral augmentation procedure with the Spinejack implant. Group B: Balloon kyphoplasty
Follow-up	<b>12 months</b>
Conflict of interest/source of funding	None.

### Analysis

#### Follow-up issues:

- Patients had a clinical follow-up (using VAS and ODI) and postoperative standing plain radiogram of the spine at 1, 6, and 12 months. The radiographic parameters that were taken into account were: postoperative anterior vertebral body height, preoperative anterior vertebral body height, cephalic anterior vertebral body height, and caudal anterior vertebral body height.

**Study design issues:** Not reported.

**Study population issues:** The 2 groups were homogenous with regards to age, sex, and general clinical findings.

**Other issues:** Not reported.



**Key efficacy and safety findings**

Efficacy	Safety												
<p>Number of patients analysed: <b>300 (150 Spinejack versus 150 BK)</b></p> <p><b>Cement use</b>  <b>Spinejack:</b> 4 ml per patient  <b>BK:</b> 5 ml per patient  <math>p &lt; 0.005</math> for the comparison between groups.</p> <p><b>Vertebral height restoration immediately after the procedure</b></p> <table border="1" data-bbox="237 550 1032 697"> <thead> <tr> <th>Grade</th> <th>Spinejack (% of patients)</th> <th>BK</th> </tr> </thead> <tbody> <tr> <td><b>0 (no change)</b></td> <td>3%</td> <td>16%</td> </tr> <tr> <td><b>1 (below 50%)</b></td> <td>12%</td> <td>26%</td> </tr> <tr> <td><b>2 (more than 50%)</b></td> <td>85%</td> <td>58%</td> </tr> </tbody> </table> <p><b>The postoperative increase in vertebral body height was greater in the Spinejack group than in the kyphoplasty group (<math>p &lt; 0.05</math>).</b></p> <p><b>Pain relief</b>  There was <u>no statistically significant difference in VAS pain scores</u> between the 2 groups at any stage from the preoperative period, through the postoperative period, to the final follow-up.</p> <p><b>Function</b>  There was <u>no statistically significant difference in ODI scores</u> between the 2 groups at any stages from the preoperative period, through the postoperative period, to the final follow-up.</p>	Grade	Spinejack (% of patients)	BK	<b>0 (no change)</b>	3%	16%	<b>1 (below 50%)</b>	12%	26%	<b>2 (more than 50%)</b>	85%	58%	<p>Cement leakage  Spinejack: None  BK: 20 not clinically significant leakage events</p>
Grade	Spinejack (% of patients)	BK											
<b>0 (no change)</b>	3%	16%											
<b>1 (below 50%)</b>	12%	26%											
<b>2 (more than 50%)</b>	85%	58%											
Abbreviations used: BK, balloon kyphoplasty; ODI, Oswestry disability index; VAS, visual analogue scale.													

### Study 3 Korovessis P (2013)

#### Details

Study type	RCT
Country	Greece
Recruitment period	2010
Study population and number	n=185 (92 Kiva versus 93 balloon kyphoplasty [BK]) consecutive patients with osteoporotic vertebral compression fractures
Age and sex	Kiva group: Mean 70 years; 68% (56/82) female BK group: Mean 72 years; 72% (63/86) female
Patient selection criteria	<u>Inclusion criteria</u> : history of low-energy recent trauma or acute onset of back pain without evident trauma, presence of associated back pain of no more than 3 months' duration, and the imaging evidence of presence of 1 or more (1–5) simultaneous vertebral fractures. Osteoporotic fractures were included if they were defined as vertebral collapse of grade 1 or higher according to the grading system of Genant and Jergas 23. <u>Exclusion criteria</u> : previous spinal operation, spinal infection, significant spinal deformity and bleeding disorders, patients with intraoperative biopsy positive for metastasis.
Technique	Implant group: Kiva system. BK with the Kyphon inflatable bone tamps, bone filler devices, and cement (Medtronic). Both procedures were done under biplane fluoroscopy in the operating room and under general anaesthesia and continuous neuromonitoring by a single experienced spine surgeon.
Follow-up	<b>Mean 14 months</b>
Conflict of interest/source of funding	No funds were received in support of this work.

#### Analysis

##### Follow-up issues:

- From the 185 patients who were eligible, 8 patients from the KIVA group and 4 from the BK group were lost to follow-up.
- During vertebral augmentation, metastasis was shown during needle biopsy in 2 patients of the KIVA group and 3 patients of the BK group. These 5 patients were excluded from the final analysis.

##### Study design issues:

- The participants, investigators (other than surgeons doing the procedures), and outcome assessors were unaware of the group assignments.
- Block randomisation with random block size was used.
- No *a priori* power analysis was conducted.

##### Study population issues:

- Only 2 burst fractures in the KIVA group and 1 in the BK group were included in the study.

**Other issues:** None.

**Key efficacy and safety findings**

Efficacy					Safety
Number of patients analysed: <b>168 (82 Kiva versus 86 BK)</b>					<b>Cement leakage</b> Kiva: 3% (4/133 vertebrae) BK: 10% (12/122 vertebrae) $\chi^2 = 5.05$ , $p \leq 0.05$  <b>Intracanal leakage</b> Kiva: None BK: 2% (2/86)  <b>New fractures</b> Kiva: 12% (10/82) BK: 13% (11/86) $\chi^2 = 0.014$ , $p > 0.2$  <b>Adjacent vertebral body fractures</b> Kiva: 7% (6/82) BK: 9% (8/86)  <b>Remote fractures</b> Kiva: 5% (4/82) BK: 3% (3/86)
<b>Bone cement usage (per vertebrae)</b> Kiva: $1.8 \pm 0.4$ mL BK: $2.8 \pm 0.5$ mL $p < 0.001$					
<b>Radiological data</b> <b>Anterior vertebral body height ratio (mean <math>\pm</math> SD)</b>					
	Before the procedure	After the procedure	p	Correction (%)	
<b>KIVA</b>	$0.78 \pm 0.25$	$0.87 \pm 0.17$	0.0014	$24.3 \pm 45$	
<b>BK</b>	$0.74 \pm 0.23$	$0.89 \pm 0.17$	0.0019	$23 \pm 63$	
<b>Intergroup p</b>	0.38	0.67		0.97	
<b>Posterior vertebral body height ratio (mean <math>\pm</math> SD)</b>					
	Before the procedure	After the procedure	p	Changes (%)	
<b>KIVA</b>	$0.92 \pm 0.12$	$0.95 \pm 0.11$	0.082	$5.92 \pm 16$	
<b>BK</b>	$0.92 \pm 0.12$	$0.95 \pm 0.1$	0.31	$- 1.26 \pm 8$	
<b>Intergroup p</b>	0.79	0.95		0.07	
<b>Midline vertebral body height ratio (mean <math>\pm</math> SD)</b>					
	Before the procedure	After the procedure	p	Changes (%)	
<b>KIVA</b>	$0.74 \pm 0.25$	$0.88 \pm 0.18$	0.000008	$30.5 \pm 47$	
<b>BK</b>	$0.70 \pm 0.23$	$0.89 \pm 0.14$	0.000005	$21.9 \pm 26$	
<b>Intergroup p</b>	0.42	0.82		0.45	
<b>Wedge angle (mean <math>\pm</math> SD)</b>					
	Before the procedure	After the procedure	p	Changes (°)	
<b>KIVA</b>	$13.7 \pm 7$	$7.80 \pm 6$	0.009	$5 \pm 3.5$	
<b>BK</b>	$14.9 \pm 8$	$11.5 \pm 7$	0.067	$6 \pm 5$	
<b>Intergroup p</b>	0.52	0.11			
84% (69/82) of spines in the KIVA group and 100% (86/86) of spines in the BK group, showed at the final observation a <b>residual kyphosis</b> of $5^\circ$ or more ( $\chi^2 = 14.6$ , $p < 0.001$ ).					

<b>Back pain relief (measured on VAS from 1 to 10)</b>			
	<b>Before the procedure</b>	<b>1 year after the procedure</b>	<b>p</b>
<b>KIVA</b>	8.2 ± 1.4	2.7 ± 3	0.001
<b>BK</b>	7.8 ± 1.2	2.5 ± 3	0.001
<b>Between groups p</b>		0.95	

Significant (> 5.5 points) back pain score (VAS) improvement was shown in 54% (44/82) and in 43% (37/86) of patients in KIVA and BK groups, respectively.

<b>SF-36 (Physical functioning domain)</b>				
	<b>Before the procedure</b>	<b>1 year after the procedure</b>	<b>p</b>	<b>Improvement (%)</b>
<b>KIVA</b>	32 ± 11	65.8 ± 15.6	0.001	51
<b>BK</b>	28 ± 12	68 ± 19.8	0.001	59
<b>Between groups p</b>		0.72		

<b>SF-36 (Mental health domain)</b>				
	<b>Before the procedure</b>	<b>1 year after the procedure</b>	<b>p</b>	<b>Improvement (%)</b>
<b>KIVA</b>	42 ± 10	64 ± 11	0.001	34
<b>BK</b>	41 ± 9	62 ± 9.7	0.001	34
<b>Between groups p</b>		0.64		

<b>Functional impairment (Oswestry disability index)</b>			
	<b>Before the procedure (%)</b>	<b>1 year after the procedure (%)</b>	<b>p</b>
<b>KIVA</b>	64 ± 19	31.7 ± 19	0.001
<b>BK</b>	62 ± 14	26.3 ± 15.7	0.001
<b>Between groups p</b>		0.43	

Abbreviations used: BK, balloon kyphoplasty; SD, standard deviation; SF-36, 36-Item Short Form Health Survey; VAS, visual analogue scale.

## Study 4 Otten LA (2013)

### Details

Study type	<b>Retrospective matched-paired comparative study</b>
Country	Germany
Recruitment period	Kiva patients: 2010-2011 BK: 2004-2009
Study population and number	n= <b>52 (26 Kiva versus 26 BK)</b> patients with 68 vertebral compression fractures
Age and sex	Kiva: Mean 74 years; 77% (20/26) female BK: Mean 66 years; 58% (15/26) female
Patient selection criteria	Patients with 1 or two A1.1, A1.2, or A1.3 (AO Spine Fracture classification) painful osteoporotic vertebral fracture(s) at the thoracic and lumbar spine.
Technique	<u>Implant group</u> : pKiva VCF Treatment System (Benvenue Medical) The procedure was done under general anaesthesia, or local anaesthesia with fluoroscopic guidance. <u>BK</u> : The procedure was done with the KyphX-Systems (Kyphon) under general anaesthesia and biplanar fluoroscopy for control.
Follow-up	<b>6 months</b>
Conflict of interest/source of funding	None

### Analysis

**Follow-up issues:** Not reported.

### Study design issues:

- The criteria to match pairs across the 2 groups were defined by the cranial vertebral body treated, and the age.
- Back pain severity was evaluated with the 10-cm VAS in the Kiva group and with a numeric rating scale (0-100, from no pain to worst possible pain) for balloon kyphoplasty.

**Study population issues:** In each group 69 (18/26) of patients received treatment in only 1 vertebral body and 31% (8/26) of patients received treatment in 2 vertebral bodies.

**Other issues:** Not reported.

**Key efficacy and safety findings**

Efficacy			Safety		
Number of patients analysed: <b>52 (26 versus 26)</b>			<b>Cement extravasation</b>		
<b>Pain relief (mean VAS score <math>\pm</math> SD)</b>			Kiva: 23% (6/26) BK: 31% (8/26)		
	<b>Before the procedure</b>	<b>6 months after the procedure</b>	No statistically significant difference between groups.		
<b>KIVA</b>	87.6 $\pm$ 12.8	10.8 $\pm$ 20.8	<b>New fractures</b>		
<b>BK</b>	83.1 $\pm$ 14.9	24.6 $\pm$ 11.0		<b>Kiva</b>	<b>BK</b>
<b>Between groups</b>		<0.0001	<b>All</b>	12% (3/26)*	54% (14/26)*
<b>p</b>			<b>Adjacent</b>	8% (2/26)	35% (9/26)
In the Kiva group 96% of the patients and in the BK group 100% of the patients had pain relief 6 months after the treatment.			<b>Non adjacent</b>	4% (1/26)	19% (5/26)
<b>Functional impairment (mean Oswestry disability index score <math>\pm</math> SD)</b>			*Significant difference between groups, $p < 0.0001$ .		
	<b>Before the procedure (%)</b>	<b>6 months after the procedure (%)</b>	No new fractures at the treated levels were reported in either group.		
<b>KIVA</b>	68.7 $\pm$ 15.8%	24.8 $\pm$ 18.6%			
<b>BK</b>	80.6 $\pm$ 8.6%	33.2 $\pm$ 6.3%			
<b>Between groups</b>		0.03			
<b>p</b>					
100% of patients in the Kiva group and 100% of patients in the balloon kyphoplasty group had an increased functional ability after the treatment.					
<b>Change of anterior and mid-vertebral height (mean<math>\pm</math>SD, mm)</b>					
		<b>Pre-op</b>	<b>Post-op</b>	<b>3 months</b>	<b>6 months</b>
<b>Kiva</b>	<b>Anterior</b>	21.06 $\pm$ 2.77 (n = 34)	22.41 $\pm$ 7.14 (n = 34)	22.40 $\pm$ 7.08 (n = 32)	22.28 $\pm$ 6.85 (n = 33)
	<b>Mid</b>	18.36 $\pm$ 5.64 (n = 34)	20.89 $\pm$ 6.00 (n = 34)	21.06 $\pm$ 5.90 (n = 32)	21.19 $\pm$ 6.08 (n = 33)
<b>BK</b>	<b>Anterior</b>	21.68 $\pm$ 2.08 (n = 34)	25.09 $\pm$ 2.54 (n = 34)	24.55 $\pm$ 2.25 (n = 33)	24.56 $\pm$ 2.27 (n = 34)
	<b>Mid</b>	21.97 $\pm$ 1.78 (n = 34)	25.29 $\pm$ 2.10 (n = 34)	25.00 $\pm$ 2.09 (n = 34)	24.91 $\pm$ 2.08 (n = 34)
A significant increase in the anterior and mid wall height was seen in both groups preoperatively compared with postoperatively ( $p < 0.001$ ). At 6-month follow-up the vertebral height did not change significantly in both groups.					
Abbreviations used: BK, balloon kyphoplasty; SD, standard deviation; VAS, visual analogue scale.					

## Study 5 Renaud C (2015)

### Details

Study type	<b>Retrospective case series</b>
Country	France
Recruitment period	Not reported
Study population and number	n= <b>77</b> patients with 83 vertebral compression fracture(s)
Age and sex	Mean 60.9 years; gender not reported
Patient selection criteria	Patients with vertebral compression fracture(s) due to trauma or osteoporosis.
Technique	The Spinejack device was used.
Follow-up	<b>Mean 35 months</b>
Conflict of interest/source of funding	None

### Analysis

**Follow-up issues:** The follow-up range was 6-67 months.

**Study design issues:** None.

**Study population issues:**

- Of the 83 fractures, 61% (51/83) were caused by trauma and 39% (32/83) by osteoporosis.
- The time to surgery was less than 15 days in 74% of patients.
- The procedure was done on a single vertebral body in 71 patients and on 2 vertebral bodies in 6 patients.
- The distribution of fracture types in the Magerl classification was: A1, 47% (A1.2, 30%); A2, 41% and A3.1, 11%).
- The most frequently affected levels were L1 (33%), L2 (23%) and T12 (17%).

**Other issues:** 2 generations of the Spinejack device were used (Spinejack G1 and Spinejack G2).

**Key efficacy and safety findings**

Efficacy						Safety																										
Number of patients analysed: <b>77</b>  Mean <b>hospital length of stay</b> was 3.7 days.  <b>Pain relief</b> <table border="1"> <thead> <tr> <th></th> <th>Before the procedure</th> <th>Hospital discharge</th> <th>1 month</th> <th>3 months</th> <th>12 months</th> </tr> </thead> <tbody> <tr> <td><b>Pain score (VAS)</b></td> <td>7.9</td> <td>1.8</td> <td>1.8</td> <td>1.4</td> <td>1.1</td> </tr> </tbody> </table> <p><b>Significant improvement from baseline at each time point, p&lt;0.001.</b></p>							Before the procedure	Hospital discharge	1 month	3 months	12 months	<b>Pain score (VAS)</b>	7.9	1.8	1.8	1.4	1.1	<b>Procedure-related complications: 4% (3/77)</b> <table border="1"> <thead> <tr> <th>Procedure-related complications</th> <th>Patients (n/N)</th> <th>Details</th> </tr> </thead> <tbody> <tr> <td><b>Device migration</b></td> <td>1/77</td> <td>This reflected a technical problem that occurred with an instrument prototype.</td> </tr> <tr> <td><b>Secondary pedicular fracture line</b></td> <td>1/77</td> <td></td> </tr> <tr> <td><b>Infection</b></td> <td>1/77</td> <td>Nosocomial skin infection probably caused by contamination from an oral infection. It was treated with antibiotics.</td> </tr> </tbody> </table>			Procedure-related complications	Patients (n/N)	Details	<b>Device migration</b>	1/77	This reflected a technical problem that occurred with an instrument prototype.	<b>Secondary pedicular fracture line</b>	1/77		<b>Infection</b>	1/77	Nosocomial skin infection probably caused by contamination from an oral infection. It was treated with antibiotics.
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Abbreviations used: VAS, visual analogue scale.						<b>Adjacent fractures: 3% (2/77)</b> of patients. No reoperation was needed. <b>Recurrent compression fracture at the treated site: none.</b> <b>Cement leakage identified by CT scan: 14% (11/77).</b> All patients had post-traumatic fractures. Symptoms were present in a single patient who had nerve root pain caused by leakage of the cement along a secondary fracture line in the pedicle (reported above).																										



## Study 6 Rosales Olivarez L M (2011)

### Details

Study type	<b>Case series</b>
Country	Mexico (3 sites) and Venezuela (1 site)
Recruitment period	Not reported.
Study population and number	n= 57 patients with painful osteoporotic vertebral compression fractures
Age and sex	Mean 72 years; 81% (46/57) female
Patient selection criteria	Age at entry of 50 years or greater, 1 to 3 symptomatic VCFs due to osteoporosis, a back pain visual analogue scale score of 5 or greater, fracture age of less than 6 months, and an Oswestry Disability Index score of 30% or greater.
Technique	The Kiva device was used.
Follow-up	<b>Maximum 12 months</b>
Conflict of interest/source of funding	Not reported.

### Analysis

**Follow-up issues:** 84% (48/57) of patients were available for 6-week follow-up, 72% (41/57) for 3-month follow-up and 63% (36/57) for 12-month follow-up.

### Study design issues:

- Patient-reported outcomes were measured before device implantation and at 6 weeks, 3 months, and 12 months. Back pain severity was evaluated with a 100-mm VAS. Condition-specific functional impairment was evaluated with the ODI. Cement extravasation was evaluated from plain X-rays at an independent image analysis core laboratory by a musculoskeletal radiologist. Newly occurring adjacent and nonadjacent VCFs also were identified by the same radiologist.
- Overall clinical success was defined as a 30% improvement in VAS pain severity or greater and maintenance or improvement in the ODI.

### Study population issues:

- There were 89% (51/57) single-level treatments, 9% (5/57) two-level treatments, and 2% (1/57) three-level treatment, representing 64 treated levels.
- Duration of symptoms was less than 6 weeks in 51% (29/57) of patients, 6 weeks to less than 3 months in 17% (10/57), 3 months to less than 6 months in 12% (7/57) and 6 to 12 months in 19% (11/57).

**Other issues:** None.

**Key efficacy and safety findings**

Efficacy					Safety
Number of patients analysed: <b>57</b>					<p><b>Cement extravasation identified radiographically:</b> 8% (5/64) None was symptomatic.</p> <p><b>Fracture:</b> In 30 patients (34 fractures) with adequate 12-month radiographs, 15% (5/34) adjacent-level fractures, 6% (2/34) nonadjacent fractures, and 3% (1/34) re-fracture at a previously treated index level were identified.</p> <p><b>Dural tear:</b> 1/57 It occurred during the initial pedicle access with the Jamshidi needle. A small quantity of Gelfoam was used at the site, the event resolved without incident, and there were no residual or permanent sequelae.</p>
<b>Pain relief</b>					
	<b>Before the procedure (n=55)</b>	<b>6 weeks (n=48)</b>	<b>3 months (n=41)</b>	<b>12 months (n=36)</b>	
<b>Mean back pain score (VAS)</b>	79.3±17.2	21.9±21.3	21.9±24.6	23.2±23.3 (mean decrease at 12 months was 49.9±30.3mm, and the corresponding mean percentage improvement in VAS pain scores was approximately 66%).	
<b>Significant improvement from baseline at each time point, p&lt;0.0001.</b>					
<b>Functional impairment (Oswestry disability index)</b>					
	<b>Before the procedure (n=56)</b>	<b>6 weeks (n=48)</b>	<b>3 months (n=41)</b>	<b>12 months (n=36)</b>	
<b>Mean ODI score</b>	68.1%±16.9%	27.4%±17.2%	23.8%±18.7%	23.3%±15.5% (mean change from baseline of 39.2±19.6 percentage points, or approximately 63%)	
<b>Significant improvement from baseline at each time point, p&lt;0.0001.</b>					
<b>Clinical success rates</b>					
	<b>6 weeks (n=47)</b>	<b>3 months (n=40)</b>	<b>12 months (n=35)</b>		
<b>Clinical success rates</b>	91% (43/47)	88% (35/40)	89% (31/35)		
<b>Cement usage (per vertebral body):</b> mean of 2.2±0.12 mL					
Abbreviations used: ODI, Oswestry disability index; VAS, visual analogue scale; VCF, vertebral compression fractures.					

## Study 7 Ender SA (2014)

### Details

Study type	<b>Prospective case series</b>
Country	Germany
Recruitment period	2010-2012
Study population and number	n= <b>32</b> consecutive patients with 46 vertebral compression fractures
Age and sex	Mean 71 years; 78% (25/32) female
Patient selection criteria	<p><u>Inclusion criteria</u>: Symptomatic new lumbar or thoracic <u>osteoporotic or tumorous</u> vertebral fracture and unsuccessful conservative therapy.</p> <p><u>Exclusion criteria</u>: symptoms of neurological deficit, involvement of the posterior edge with relevant constriction of the spinal canal and a known allergy to the ingredients of the Osseofix® system or the bone cement.</p>
Technique	<p>The Osseofix implant was used.</p> <p>The procedure was done under intubation anaesthesia and the patients received perioperative intravenous antibiotics (1.5 g Cefuroxime or 600mg clindamycin in case of allergy). Postoperative patient mobilisation was started on the first postoperative day with standing up of the patient under physiotherapeutic instruction and with physical therapy in the further course of recovery to strengthen the spine-stabilising musculature. All patients received postoperative thromboembolism prophylaxis with a low-molecular heparin derivative. Previously prescribed pain medication was continued postoperatively and reduced over time.</p> <p>In the case of an osteoporotic vertebral fracture, a special osteoporosis medication was continued if available or an oral medication with a bisphosphonate was started. In the case of a tumorous vertebral fracture, a previously prescribed bisphosphonate medication was continued or in the case of oncological recommendation bisphosphonate medication was started.</p>
Follow-up	<b>12 months</b>
Conflict of interest/source of funding	Not reported

### Analysis

**Follow-up issues:** Clinical and radiological follow-up evaluation was performed 3 days postoperatively and after 12 months (12 – 15 months).

**Study design issues:** None.

**Study population issues:** The average duration of symptoms was 8.9 weeks (3-15 weeks).

**Other issues:** None.

**Key efficacy and safety findings**

Efficacy					Safety
Number of patients analysed: <b>32</b>					Pronounced <b>haematoma</b> : 1/32. Revision was not needed.  Symptomatic L2 <b>adjacent fracture</b> : 1/32. It occurred during the stationary postoperative period. This was also stabilised with the Osseofix® system.  Minor <b>loss of height of the stabilized L2 vertebral body</b> in an osteoporotic fracture: 1/32 The Beck Index changed postoperatively from 1.0 to 0.96 and the Cobb angle ( $\gamma$ ) changed from 11 degrees to 13 degrees. The VAS score remained unchanged.  No cement leakage was reported.
<b>Pain relief (VAS score, mean<math>\pm</math>SD)</b>					
	<b>Before the procedure</b>	<b>3 days after the procedure</b>	<b>12 months after the procedure</b>		
<b>All fractures (n=46)</b>	7.8 $\pm$ 1.6	2.1 $\pm$ 1.2	1.6 $\pm$ 0.95		
<b>Osteoporotic fractures (n=38)</b>	7.6	1.8	1.5		
<b>Tumorous fractures (n=8)</b>	8.6	3.8	2.1		
Significant improvement from baseline compared against 12-month follow-up, $p < 0.001$ .					
<b>Functional impairment (Oswestry disability index score, mean<math>\pm</math>SD)</b>					
	<b>Before the procedure</b>	<b>3 days after the procedure</b>	<b>12 months after the procedure</b>		
<b>All fractures (n=46)</b>	71% $\pm$ 4%	32% $\pm$ 5%	30% $\pm$ 4%		
<b>Osteoporotic fractures (n=38)</b>	71%	30%	30%		
<b>Tumorous fractures (n=8)</b>	74%	38%	33%		
Significant improvement from baseline compared against 12-month follow-up, $p < 0.001$ .					
<b>Sagittal spine alignment (mean<math>\pm</math>SD)</b>					
	<b>Before the procedure</b>	<b>3 days after the procedure</b>	<b>12 months after the procedure</b>	<b>p value for (comparison 12-month against baseline)</b>	
<b>Vertebral kyphotic angle (<math>\alpha</math>-angle)</b>	9.0 $^{\circ}$ $\pm$ 5.8	8.3 $^{\circ}$ $\pm$ 5.6	8.3 $^{\circ}$ $\pm$ 5.5	$p < 0.05$	
<b>Cobb angle (<math>\gamma</math>-angle)</b>	12.3 $^{\circ}$ $\pm$ 16.4	10.8 $^{\circ}$ $\pm$ 16.4	10.8 $^{\circ}$ $\pm$ 16.3	$p < 0.05$	
<b>Beck index (mean<math>\pm</math>SD)</b>					
	<b>Before the procedure</b>	<b>3 days after the procedure</b>	<b>12 months after the procedure</b>		
<b>Beck index</b>	0.75 $\pm$ 0.14	0.77 $\pm$ 0.15	0.77 $\pm$ 0.14		
Abbreviations used: ODI, Oswestry disability index; SD, standard deviation; VAS, visual analogue scale.					

## Study 8 Noriega D (2015)

### Details

Study type	<b>Prospective case series</b>
Country	7 European sites
Recruitment period	2009-2010
Study population and number	n= 32 patients with 39 vertebral compression fractures
Age and sex	Mean 71 years; 94% (30/32) female
Patient selection criteria	Patients with vertebral compression fractures due to osteoporosis or trauma.
Technique	The Spinejack (Vexim) implant was used.
Follow-up	<b>12 months</b>
Conflict of interest/source of funding	The study was sponsored by Vexim.

### Analysis

#### Follow-up issues:

- Data were collected at baseline, after 48–72 hours or at discharge, at 6 and at 12 months.
- After 6 months, 72% (23/32) of patients were available for follow-up visits and after 12 months, 69% (22/32) were available. Complete data was collected from 21 individuals; 2 patients died and 5 did not show up at any of the follow-up appointments whereas 4 showed up only once, at 3, 6, or 12 months.
- The primary endpoint was to determine the occurrence of cement leakages assessed by X-ray or CT scan.

#### Study design issues:

- Multicentre study.
- A percutaneous transpedicular approach was used for 97% of patients; in 1 patient, an open surgery was done; this patient was treated with a posterior fixation in combination with the Spinejack procedure.

#### Study population issues:

- 67% of the fractures were located between T11 and L1, 33% between L2 and L5.
- 81% (26/32) of patients had 1 level treated, 16% (5/32) had 2 levels treated and 1 patient had 3 levels treated.
- 78% (25/32) of the patients had osteoporosis and 22% had fractures caused by trauma.
- Mean fracture age was 42 days.

**Other issues:** Not reported.

**Key efficacy and safety findings**

Efficacy					Safety																							
Number of patients analysed: <b>32</b>					<b>Cement leakage</b> rate: 31% (12/39) of vertebrae																							
<b>Pain relief (VAS score)</b>					The leakages were all asymptomatic and had no consequences on clinical outcome. 42% (5 leakages) were found in paravertebral veins, 33% (4) in soft tissues, and 25% (3) in the intervertebral disc. Half of the leaks were detected only on CT scan.																							
	<b>Before the procedure (n=32)</b>	<b>48-72 hours after the procedure (n=31)</b>	<b>6 months after the procedure (n=23)</b>	<b>12 months after the procedure (n=22)</b>	<b>Adverse events: 6% (2/32)</b> <ul style="list-style-type: none"> <li>• <b>Fracture of the operated vertebral body</b> at 6-month follow-up: 1/32</li> <li>• <b>Collapse of the disc above the operated vertebral body</b> as a consequence of the trauma: 1/32. Neither was implant-related.</li> </ul> <b>Serious adverse events: 22% (2/32)</b> <table border="1"> <thead> <tr> <th>Serious adverse events</th> <th>Patients (n/N)</th> <th>Details</th> </tr> </thead> <tbody> <tr> <td>Death</td> <td>2/32</td> <td>1 death was caused by heart failure 4 months after the procedure and the other death was caused by metastatic pancreatic cancer 8 days after the procedure.</td> </tr> <tr> <td>Medium cerebral artery infarction</td> <td>1/32</td> <td></td> </tr> <tr> <td>Pituitary adenoma</td> <td>1/32</td> <td></td> </tr> <tr> <td>Paralysis of diaphragm</td> <td>1/32</td> <td></td> </tr> <tr> <td>Fall in blood pressure/vagal reactions</td> <td>1/32</td> <td></td> </tr> <tr> <td>Degenerative lumbar syndrome with stenosis L3–L5</td> <td>1/32</td> <td>The patient was hospitalised.</td> </tr> </tbody> </table>			Serious adverse events	Patients (n/N)	Details	Death	2/32	1 death was caused by heart failure 4 months after the procedure and the other death was caused by metastatic pancreatic cancer 8 days after the procedure.	Medium cerebral artery infarction	1/32		Pituitary adenoma	1/32		Paralysis of diaphragm	1/32		Fall in blood pressure/vagal reactions	1/32		Degenerative lumbar syndrome with stenosis L3–L5	1/32	The patient was hospitalised.
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<b>VAS score</b>	6.8	2.3	2	1.3 (81% score reduction from baseline)																								
<b>Significant improvement from baseline at each follow-up, p&lt;0.001.</b>																												
<b>Analgesic intake</b>																												
At inclusion 8 patients required strong analgesics, postoperatively only 2 patients and only 1 patient at 12 months. The number of patients needing moderate to strong analgesics decreased from 75% at baseline to 9% at 12 months.																												
<b>Functional impairment (ODI)</b>																												
	<b>Before the procedure (n=30)</b>	<b>6 months after the procedure (n=23)</b>	<b>12 months after the procedure (n=22)</b>																									
<b>ODI</b>	65%	12%	10.5% (84% overall improvement from baseline)																									
<b>Significant overall improvement from baseline at 6 and at 12 months, p&lt;0.001.</b>																												
<b>Quality of life (EQ-5D VAS, from worst to best)</b>																												
	<b>Before the procedure (n=30)</b>	<b>6 months after the procedure (n=23)</b>	<b>12 months after the procedure (n=22)</b>																									
<b>EQ-VAS</b>	36%	76%	76% (52% overall improvement from baseline)																									
<b>Significant overall improvement from baseline at 6 and at 12 months, p&lt;0.001.</b>																												
<b>Mean (±SD) hospital length of stay: 3.7±2.9 days (2-17 days)</b>					The authors reported that none of the serious adverse events were implant- or surgery-related.																							
Abbreviations used: EQ-5D, European quality of life score-5 dimensions; ODI, Oswestry disability index; SD, standard deviation; VAS, visual analogue scale.																												

## Study 9 Baesa S S (2015)

### Details

Study type	<b>Prospective case series</b>
Country	Spain
Recruitment period	2012
Study population and number	n= <b>27</b> patients with vertebral compression fractures
Age and sex	Mean 56 years; 56% (15/27) female
Patient selection criteria	<p><b>Inclusion criteria:</b> Patients over 18 years old, persistent back pain due to VCF due to osteoporosis or trauma for 6 weeks and body mass index of 30 and less. Following fracture types according to the Magerl classification were included: A1.2, A1.3, and A3.1. Patients were included regardless of the present history of trauma, or osteoporosis.</p> <p><b>Exclusion criteria:</b> History of pain for more than 6 weeks, VCF of Magerl type more than A3.1, presence of neurological deficit, or pathological (related to metastatic or haematological disease) VCF.</p>
Technique	The Spinejack (Vexim) implant was used.
Follow-up	<b>Minimum 12 months</b>
Conflict of interest/source of funding	Not reported

### Analysis

**Follow-up issues:** The patients were followed at 3, 6, and 12 months with clinical VAS and radiological assessments.

**Study design issues:** All patients underwent surgery within 6 weeks from time of injury.

### Study population issues:

- The VCF distribution by location was as follows: 7% (2/27) in the T10 level, 15% (4/27) at T12, 48% (13/27) at L1, 22% (6/27) at level L2, and 7% (2/27) at L4 level.

**Other issues:** Not reported.

**Key efficacy and safety findings**

Efficacy						Safety
Number of patients analysed: 27						<p><b>Adjacent fractures:</b> 7% (2/27)</p> <p>They occurred at T8 and T10 during follow-up.</p> <p><b>Cement leakage:</b> 19% (5/27)</p> <p>In all 5 patients, they were in the paravertebral soft tissue without any clinical relevance.</p>
<b>Pain relief (VAS score, mean [range])</b>						
	<b>Before the procedure</b>	<b>Within 24 hours after the procedure</b>	<b>3 months after the procedure</b>	<b>6 months after the procedure</b>	<b>12 months after the procedure</b>	
<b>VAS score</b>	7.0 (6.0-7.4)	3.2 (3.1-4.3)	2.2 (1.6-2.3)	2.1 (1.4-2.2)	1.5 (1.4-2.4)	
<b>Significant improvement from baseline at each follow-up interval (<math>p &lt; 0.05</math>).</b>						
<b>Restoration of vertebral height</b>						
<p>There was a statistically significant increase in all the measured parameters of vertebral height. The mean increase in vertebral height was 3.56 mm for the anterior vertebral height, 2.49 mm for the central vertebral height and 1.28 mm for the posterior vertebral height. These results were maintained at 12-month follow-up (<math>p = 0.001</math>).</p>						
<b>Mean values for postoperative vertebral height compared to adjacent vertebrae values</b>						
<p>These values show statistically significant differences (<math>p &lt; 0.05</math>) in the central areas and former endplate.</p>						
<b>Kyphotic angle</b>						
<p>Before the procedure: Mean 13.71 degrees</p> <p>Immediately after the procedure: Mean 2.66 degrees</p> <p>Significant improvement versus baseline (<math>p &lt; 0.001</math>)</p>						
Abbreviations used: VAS, visual analogue scale, VCF, vertebral compression fracture.						



## **Efficacy**

### **Procedure success (clinical)**

In a randomised controlled trial (RCT) of 300 patients treated by a vertebral craniocaudal expandable implant (n=153) or by balloon kyphoplasty (n=147), procedure success at 12 months was 94% (120/127) in the implant group and 98% in the balloon kyphoplasty group (no statistically significant difference between groups; Bayesian credible interval -3%, 9% to 2%). Procedure success was defined as a reduction in pain by 15 mm or more from baseline on the 100 mm visual analogue scale (VAS), maintenance of function (did not worsen by 10 or more points) or improvement in function from baseline on the 100-point Oswestry disability index (ODI), and no device-related serious adverse events.<sup>1</sup>

In a case series of 57 patients, the clinical success rate was 91% (43/47) at 6 weeks, 88% (35/40) at 3 months and 89% (31/35) at 12 months.<sup>6</sup>

### **Pain relief**

In the RCT of 300 patients treated by a vertebral craniocaudal expandable implant (n=153) or by balloon kyphoplasty (n=147), there was a statistically significant improvement from baseline in the mean VAS scores for pain (0–100 mm, from no pain to worst imaginable pain) in both groups at follow-up. In the implant group, the mean VAS score changes ( $\pm$  standard deviation, SD) from baseline were:  $-59.8 \pm 28.9$  (n=140) at 30 days,  $-68.6 \pm 25.9$  (n=135) at 6 months and  $-70.8 \pm 26.3$  (n=127) at 12 months. In the balloon kyphoplasty group, the mean VAS score changes from baseline were  $-61.1 \pm 26.9$  (n=135) at 30 days,  $-65.2 \pm 27.4$  (n=126) at 6 months and  $-71.8 \pm 23.5$  (n=126) at 12 months. No statistically significant differences between groups were seen at follow-up.<sup>1</sup>

In an RCT of 300 patients treated by a vertebral craniocaudal expandable implant (n=150) or by balloon kyphoplasty (n=150), there were no statistically significant differences in VAS pain scores between the 2 groups at any stage from the preoperative period, through the postoperative period, to the final follow-up.<sup>2</sup>

In an RCT of 185 patients treated by a vertebral craniocaudal expandable implant (n=92) or by balloon kyphoplasty (n=93), mean VAS scores improved statistically significantly in both groups from before the procedure to 1 year after the procedure: from  $8.2 \pm 1.4$  to  $2.7 \pm 3$  in the implant group and from  $7.8 \pm 1.2$  to  $2.5 \pm 3$  in the balloon kyphoplasty group ( $p=0.001$  for both groups for the comparison with baseline). There was a statistically significant improvement ( $>5.5$  points) of back pain score (VAS) in 54% (44/82) and 43% (37/86) of patients in the implant and balloon kyphoplasty groups, respectively. VAS scores 1 year after the procedure were not statistically significantly different between groups ( $p=0.95$ ).<sup>3</sup>

In a retrospective matched-paired comparative study of 52 patients treated by a vertebral craniocaudal expandable implant (n=26) or by balloon kyphoplasty

(n=26), the mean VAS scores ( $\pm$ SD) improved in both groups from 87.6 $\pm$ 12.8 before the procedure to 10.8 $\pm$ 20.8 at 6 months in the implant group and from 83.1 $\pm$ 14.9 to 24.6 $\pm$ 11.0 in the balloon kyphoplasty group (p value within group not reported). VAS scores 6 months after the procedure were statistically significantly different between groups (p<0.0001).<sup>4</sup>

In a retrospective case series of 77 patients treated by a vertebral craniocaudal expandable implant, VAS scores statistically significantly improved from 7.9 before the procedure to 1.8 at hospital discharge and at 1 month, 1.4 at 3 months and 1.1 at 12 months (p<0.001 for the comparison from baseline with each follow-up visit).<sup>5</sup>

In the case series of 57 patients, mean VAS score ( $\pm$ SD) for back pain improved statistically significantly from 79.3 $\pm$ 17.2 before the procedure to 21.9 $\pm$ 21.3 at 6 weeks, 21.9 $\pm$ 24.6 at 3 months, and 23.2 $\pm$ 23.3 at 12 months (p<0.0001 for each follow-up time).<sup>6</sup>

In a prospective case series of 32 patients, mean VAS score ( $\pm$ SD) statistically significantly improved from 7.8 $\pm$ 1.6 before the procedure to 2.1 $\pm$ 1.2 at 3 days and 1.6 $\pm$ 0.95 at 12 months (p<0.001 for the comparison from baseline against 12-month follow-up).<sup>7</sup>

In a second prospective case series of 32 patients, the mean VAS score statistically significantly improved from 6.8 before the procedure to 1.3 at 12 months, representing 81% score reduction (p<0.001). In the same study, it was reported that the number of patients needing moderate to strong analgesics decreased from 75% at baseline to 9% at 12 months.<sup>8</sup>

In a prospective case series of 27 patients, the mean VAS score statistically significantly improved from 7.0 before the procedure to 1.5 at 12-month follow-up (p<0.05).<sup>9</sup>

### **Improvement in function**

In the RCT of 300 patients treated by a vertebral craniocaudal expandable implant (n=153) or by balloon kyphoplasty (n=147), the mean ODI score (0–100, from no disability to maximum disability) changes from baseline were -31.4 $\pm$ 21.9 (n=140) at 30 days, -37.7 $\pm$ 20.1 (n=135) at 6 months and -38.1 $\pm$ 19.8 (n=127) at 12 months in the implant group. In the balloon kyphoplasty group, the mean ODI score changes from baseline were -34.6 $\pm$ 20.4 (n=135) at 30 days, -38.4 $\pm$ 20.4 (n=126) at 6 months and -42.2 $\pm$ 21.7 (n=126) at 12 months. There was a statistically significant improvement in ODI scores within groups but not between groups (level of statistical significance not reported).<sup>1</sup>

In the RCT of 300 patients treated by a vertebral craniocaudal expandable implant (n=150) or by balloon kyphoplasty (n=150), there were no statistically significant differences in ODI scores between the 2 groups at any stage from the preoperative period, through the postoperative period, to the final follow-up.<sup>2</sup>

In the RCT of 185 patients treated by a vertebral craniocaudal expandable implant (n=92) or by balloon kyphoplasty (n=93), mean ODI scores improved statistically significantly in both groups from before the procedure to 1 year after the procedure: from 64±19% to 31.7±19% in the implant group and from 62±14% to 26.3±15.7% in the balloon kyphoplasty group (p=0.001 for both groups for the comparison with baseline). ODI scores 1 year after the procedure were not statistically significantly different between groups (p=0.43).<sup>3</sup>

In the retrospective matched-paired comparative study of 52 patients treated by a vertebral craniocaudal expandable implant (n=26) or by balloon kyphoplasty (n=26), mean ODI scores improved in both groups from before the procedure to 6 months after the procedure: from 68.7±15.8% to 24.8±18.6% in the implant group and from 80.6±8.6% to 33.2±6.3% in the balloon kyphoplasty group (p value within group not reported). All patients in the implant group and all patients in the balloon kyphoplasty group had an increased functional ability after the treatment.<sup>4</sup>

In the case series of 57 patients mean ODI score (±SD) improved statistically significantly, from 68±17% before the procedure to 27±17% at 6 weeks, 24±19% at 3 months and 23±16% at 12 months (p<0.0001 for each follow-up time).<sup>6</sup>

In the prospective case series of 32 patients, mean ODI score (±SD) improved statistically significantly from 71±4% before the procedure to 32±5% at 3 days and 30±4% at 12 months (p<0.001 for the comparison from baseline against 12-month follow-up).<sup>7</sup>

In the prospective case series of 32 patients, the mean ODI score improved statistically significantly from 65% before the procedure to 10.5% at 12 months, representing an 84% overall improvement (p<0.001).<sup>8</sup>

### Quality of life

In the RCT of 185 patients treated by a vertebral craniocaudal expandable implant (n=92) or by balloon kyphoplasty (n=93), there was a statistically significant improvement in the mean short-form (SF)-36 (physical functioning domain) scores in both groups from 32±11 before the procedure to 65.8±15.6 at 1 year in the implant group and from 28±12 to 68±19.8 in the balloon kyphoplasty group (p=0.001 for both groups compared with baseline, but no statistically significant difference between groups at 1-year follow-up, p=0.72). There was also a statistically significant improvement in the mean SF-36 (mental health domain) scores in both groups, from 42±10 before the procedure to 64±11 at 1 year in the implant group and from 41±9 to 62±9.7 in the balloon kyphoplasty group (p=0.001 for both groups compared with baseline but no statistically significant difference between groups at 1-year follow-up, p=0.64).<sup>3</sup>

In the prospective case series of 32 patients, the mean EQ-5D VAS score improved statistically significantly from 36% before the procedure to 76% at 6 and 12 months ( $p < 0.001$ ).<sup>8</sup>

### Restoration of vertebral height

In the RCT of 300 patients treated by a vertebral craniocaudal expandable implant ( $n=150$ ) or by balloon kyphoplasty ( $n=150$ ), there was a statistically significantly greater increase in vertebral body height after the procedure in the implant group than in the kyphoplasty group ( $p < 0.05$ ). In the implant group, vertebral height was restored by more than 50% in 85% of patients, by less than 50% in 12% of patients and there was no change in 3%. In the balloon kyphoplasty group, vertebral height was restored by more than 50% in 58% of patients, by less than 50% in 26% of patients and there was no change in 16%.<sup>2</sup>

In the RCT of 185 patients treated by a vertebral craniocaudal expandable implant ( $n=92$ ) or by balloon kyphoplasty ( $n=93$ ), mean ( $\pm$ SD) anterior vertebral body height ratio improved statistically significantly in both groups from before the procedure to after the procedure: from  $0.78 \pm 0.25$  to  $0.87 \pm 0.17$  in the implant group and from  $0.74 \pm 0.23$  to  $0.89 \pm 0.17$  in the balloon kyphoplasty group ( $p=0.0014$  and  $0.0019$  for the implant and balloon kyphoplasty groups respectively). Anterior vertebral body height ratios after the procedure were not statistically significantly different between groups ( $p=0.67$ ).<sup>3</sup>

In the same study, posterior vertebral body height ratio did not improve statistically significantly in both groups:  $0.92 \pm 0.12$  to  $0.95 \pm 0.11$  in the implant group and  $0.92 \pm 0.12$  to  $0.95 \pm 0.1$  in the balloon kyphoplasty group ( $p=0.082$  and  $0.31$  respectively). Posterior vertebral body height ratios after the procedure were not statistically significantly different between groups ( $p=0.95$ ).<sup>3</sup>

In the same study, midline vertebral body height ratio improved statistically significantly in both groups from before the procedure to after the procedure:  $0.74 \pm 0.25$  to  $0.88 \pm 0.18$  in the implant group and  $0.70 \pm 0.23$  to  $0.89 \pm 0.14$  ( $p < 0.0001$  for both groups). Midline vertebral body height ratios after the procedure were not statistically significantly different between groups ( $p=0.82$ ).<sup>3</sup>

In the retrospective matched-paired comparative study of 52 patients treated by a vertebral craniocaudal expandable implant ( $n=26$ ) or by balloon kyphoplasty ( $n=26$ ), there was a statistically significant increase in anterior and mid-vertebral height (mean $\pm$ SD) in both groups after the procedure. This increased from  $21.06 \pm 2.77$  mm before the procedure to  $22.41 \pm 7.14$  mm after the procedure (anterior) and from  $18.36 \pm 5.64$  mm to  $20.89 \pm 6.00$  mm (mid) in the implant group, and from  $21.68 \pm 2.08$  mm to  $25.09 \pm 2.54$  mm (anterior) and from  $21.97 \pm 1.78$  mm to  $25.29 \pm 2.10$  mm (mid) in the balloon kyphoplasty group ( $p < 0.001$  for the within-group comparison). At 6 months vertebral height had not changed much from after the procedure in both groups: in the implant group, anterior vertebral height was  $22.28 \pm 6.85$  mm and mid-vertebral height was  $21.19 \pm 6.08$  mm, and in the

balloon kyphoplasty group, anterior vertebral height was  $24.56 \pm 2.27$  mm and mid-vertebral height was  $24.91 \pm 2.08$  mm.<sup>4</sup>

In the prospective case series of 32 patients, the mean ( $\pm$ SD) Beck index (anterior edge height divided by posterior edge height) changed from  $0.75 \pm 0.14$  before the procedure to  $0.77 \pm 0.14$  at 12 months.<sup>7</sup>

In the prospective case series of 27 patients, the mean increase in vertebral height was 3.56 mm for the anterior vertebral height, 2.49 mm for the central vertebral height and 1.28 mm for the posterior vertebral height. These results were maintained at 12-month follow-up ( $p=0.001$ ).<sup>9</sup>

### **Spine alignment**

In the RCT of 185 patients treated by a vertebral craniocaudal expandable implant ( $n=92$ ) or by balloon kyphoplasty ( $n=93$ ) there was a statistically significant decrease in mean ( $\pm$ SD) wedge angle only in the implant group, from  $13.7 \pm 7$  degrees before the procedure to  $7.80 \pm 6$  degrees after the procedure ( $p=0.009$ ). The mean wedge angle in the balloon kyphoplasty group decreased from  $14.9 \pm 8$  degrees to  $11.5 \pm 7$  degrees ( $p=0.067$ ). Wedge angles after the procedure were not statistically significantly different between groups ( $p=0.11$ ).<sup>3</sup>

In the prospective case series of 32 patients, there was a statistically significant decrease in the mean ( $\pm$ SD) vertebral kyphotic angle and in the mean Cobb angle from  $9.0 \pm 5.8$  degrees before the procedure to  $8.3 \pm 5.6$  degrees at 3 days and  $8.3 \pm 5.5$  degrees at 12 months. For the mean ( $\pm$ SD) Cobb angle there was a statistically significant decrease from  $12.3 \pm 16.4$  degrees before the procedure to  $10.8 \pm 16.4$  degrees at 3 days and  $10.8 \pm 16.3$  degrees at 12 months ( $p < 0.05$  for the comparisons at 12 months versus baseline).<sup>7</sup>

In the prospective case series of 27 patients, the mean kyphotic angle decreased statistically significantly from 13.71 degrees before the procedure to 2.66 degrees immediately after the procedure ( $p < 0.001$ ).<sup>9</sup>

### **Residual kyphosis**

In the RCT of 185 patients treated by a vertebral craniocaudal expandable implant ( $n=92$ ) or by balloon kyphoplasty ( $n=93$ ), there was residual kyphosis of 5 degrees or more at the final observation in 84% (69/82) of spines in the implant group and in 100% (86/86) of spines in the balloon kyphoplasty group ( $p < 0.001$ ).<sup>3</sup>

## **Safety**

### **Death**

Death was reported in 2 patients in a prospective case series of 32 patients treated by a vertebral craniocaudal expandable implant. One death was caused

by heart failure 4 months after the procedure and the other was caused by metastatic pancreatic cancer 8 days after the procedure.<sup>8</sup>

### **Cement extravasation**

Cement extravasation measured immediately after the procedure and assessed on X-ray by an independent laboratory was reported in 55% (98/177) of vertebra levels in patients treated by a vertebral craniocaudal expandable implant and in 58% (103/178) of levels in patients treated by balloon kyphoplasty in an RCT of 300 patients treated by an implant (n=153) or by balloon kyphoplasty (n=147). There was no statistically significant difference between the groups; BCI -3% (-13% to 8%). However, in a secondary analysis, cement extravasation was reported statistically significantly less frequently in the implant group than in the balloon kyphoplasty group (17% [30/177] of levels compared with 26% [46/178] of levels, difference in BCI -9% [-17% to -0.33%]).<sup>1</sup>

Cement leaks were reported statistically significantly less frequently in the implant group (3% [4/133] of vertebrae) than in the balloon kyphoplasty group (10% [12/122] of vertebrae;  $p \leq 0.05$ ) in an RCT of 185 patients treated by a vertebral craniocaudal expandable implant (n=92) or by balloon kyphoplasty (n=93). Intracanal leaks were reported in none of the patients treated by the implant and in 2% (2/86) treated by balloon kyphoplasty.<sup>3</sup>

Cement extravasation was reported in 23% (6/26) of patients in the implant group and in 31% (8/26) of patients in the balloon kyphoplasty group in a retrospective matched-paired comparative study of 52 patients treated by a vertebral craniocaudal expandable implant (n=26) or by balloon kyphoplasty (n=26); no statistically significant difference between groups.<sup>4</sup>

Cement leaks identified by CT scan were reported in 14% (11/77) of patients in a retrospective case series of 77 patients treated by a vertebral craniocaudal expandable implant. All patients had post-traumatic fractures. One patient had nerve root pain caused by the cement leaking along a secondary fracture line in the pedicle (reported below).<sup>5</sup>

Cement extravasation identified radiographically was reported in 8% (5/64) of vertebrae in a case series of 57 patients. None of these were symptomatic.<sup>6</sup>

Cement leaks identified by X-ray or CT-scan were reported in 31% of vertebrae in the prospective case series of 32 patients. The leaks were asymptomatic and had no effect on clinical outcome; 42% (5 leaks) were found in paravertebral veins, 33% (4 leaks) in soft tissues, and 25% (3 leaks) in the intervertebral disc. Half of the leaks were detected only on CT scan.<sup>8</sup>

Cement leaks were reported in 19% (5/27) of patients in a prospective case series of 27 patients. In all 5 patients they were in the paravertebral soft tissue and had no clinical relevance.<sup>9</sup>

## Dural tear

Dural tear was reported in 1 patient in the case series of 57 patients. It occurred during the initial pedicle access with the Jamshidi needle. It was treated with Gelfoam and there were no residual or permanent sequelae.<sup>6</sup>

## New fractures

Adjacent level fracture was reported in 21% (28/134) of the as-treated population in the implant group and in 22% (29/130) of the as-treated population in the balloon kyphoplasty group in the RCT of 300 patients treated by an implant (n=153) or by balloon kyphoplasty (n=147). There was no statistically significant difference between the groups; BCI -1% (-11% to 8%). In the same study, a fractured pedicle was reported in 1 patient in the implant group. It was associated with the use of the implant in the setting of sclerotic bone. This resulted in back pain at the time of discharge, which was treated with analgesics.<sup>1</sup>

New fractures were reported in 12% (10/82) of patients in the implant group and in 13% (11/86) of patients in the balloon kyphoplasty group in the RCT of 185 patients (no statistical significant difference between groups,  $p>0.2$ ). Of these new fractures, 7% (6/82) were adjacent and 5% (4/82) were remote in the implant group and 9% (8/86) were adjacent and 3% (3/86) were remote in the balloon kyphoplasty group.<sup>3</sup>

New fractures were reported in 12% (3/26) of patients in the implant group and in 54% (14/26) of patients in the balloon kyphoplasty group in a retrospective matched-paired comparative study of 52 patients. The difference between the groups was statistically significant,  $p<0.0001$ . Adjacent fractures were reported in 8% (2/26) of patients in the implant group and in 35% (9/26) of patients in the balloon kyphoplasty group.<sup>4</sup>

Adjacent fractures were reported in 3% (2/77) of patients in the retrospective case series of 77 patients; no reoperation was needed. In the same study, a secondary pedicular fracture line was reported in 1 patient.<sup>5</sup>

Adjacent-level fracture was reported in 15% (5/34) of vertebrae from 30 patients with adequate 12-month radiographs in the case series of 57 patients. Non-adjacent fractures were reported in 6% (2/34) of vertebrae and re-fracture at a previously treated index level was reported in 3% (1/34).<sup>6</sup>

Symptomatic adjacent fracture at the L2 level was reported in 1 patient in a prospective case series of 32 patients. It occurred during the stationary postoperative period. This was also stabilised with the implant.<sup>7</sup>

Fracture of the operated vertebral body was reported in 1 patient in the prospective case series of 32 patients, at the 6-month follow-up. The authors stated that this was not implant-related.<sup>8</sup>

Adjacent fractures were reported in 7% (2/27) of patients in the prospective case series of 27 patients; they occurred at T8 and T10 during 12-month follow-up.<sup>9</sup>

### **Pain after the procedure**

Pain after the procedure was reported in 1 patient in the implant group in the RCT of 300 patients treated by an implant (n=153) or by balloon kyphoplasty (n=147).<sup>1</sup>

### **Infection**

Skin infection that started in hospital was reported in 1 patient in the retrospective case series of 77 patients. The infection was probably caused by contamination from an oral infection and was treated with antibiotics.<sup>5</sup>

### **Cerebral artery infarction**

Medium cerebral artery infarction was reported in 1 patient in the prospective case series of 32 patients; the authors stated that this was neither implant- nor procedure-related.<sup>8</sup>

### **Pituitary adenoma**

Pituitary adenoma was reported in 1 patient in the prospective case series of 32 patients; the authors stated that this was neither implant- nor procedure-related.<sup>8</sup>

### **Paralysis of the diaphragm**

Paralysis of the diaphragm was reported in 1 patient in the prospective case series of 32 patients; the authors stated that this was neither implant- nor procedure-related.<sup>8</sup>

### **Fall in blood pressure and vagal reaction**

Fall in blood pressure and vagal reaction was reported in 1 patient in the prospective case series of 32 patients; the authors stated that this was neither implant- nor procedure-related.<sup>8</sup>

### **Degenerative lumbar syndrome with stenosis**

Degenerative lumbar syndrome with stenosis at L3–L5 levels was reported in 1 patient in the prospective case series of 32 patients; the authors stated that this was neither implant- nor procedure-related. The patient was hospitalised.<sup>8</sup>

### **Haematoma**



Haematoma was reported in 1 patient in a different prospective case series of 32 patients treated by a vertebral craniocaudal expandable implant; revision was not needed.<sup>7</sup>

### **Loss of height of the treated vertebral body**

Minor loss of height of the stabilised L2 vertebral body in an osteoporotic fracture was reported in 1 patient in the second prospective case series of 32 patients. The Beck Index changed after the procedure from 1.0 to 0.96 and the Cobb angle changed from 11 degrees to 13 degrees. The VAS score remained unchanged.<sup>7</sup>

### **Collapse of the disc above the operated vertebral body**

Collapse of the disc above the operated vertebral body as a result of the trauma which caused the initial fracture was reported in 1 patient in the first prospective case series of 32 patients.<sup>8</sup>

### **Herpes zoster**

Herpes zoster was reported in 1 patient in the implant group in the RCT of 300 patients treated by an implant (n=153) or by balloon kyphoplasty (n=147).<sup>1</sup>

### **Pruritus**

Pruritus was reported in 1 patient in the implant group in the RCT of 300 patients treated by an implant (n=153) or by balloon kyphoplasty (n=147).<sup>1</sup>

### **Device migration**

Device migration was reported in 1 patient in the retrospective case series of 77 patients; this reflected a technical problem that occurred with an instrument prototype.<sup>5</sup>

## ***Validity and generalisability of the studies***

- In the studies included in table 2, 3 different types of vertebral expandable implants were used: Spinejack<sup>2,5,6,8,9</sup>, Kiva<sup>1,3,4,6</sup> and Osseofix<sup>7</sup>.
- Studies involving vertebral expandable devices that were not left in situ were excluded.
- Two of the 3 RCTs<sup>12</sup> included involved the use of the Kiva implant. In the 3rd one, the Spinejack implant was used.<sup>3</sup>
- The longest follow-up was 35 months.

- Most evidence comes from patients with osteoporotic and trauma fractures. In the Ender (2014) study <sup>7</sup> the procedure was used for treating tumour-associated vertebral collapse in 8 patients.

### ***Existing assessments of this procedure***

There were no published assessments from other organisations identified at the time of the literature search.

### ***Related NICE guidance***

Below is a list of NICE guidance related to this procedure. Appendix B gives details of the recommendations made in each piece of guidance listed.

#### **Interventional procedures**

- Balloon kyphoplasty for vertebral compression fractures. NICE interventional procedure guidance 166 (2006). Available from <http://www.nice.org.uk/guidance/IPG166>
- Percutaneous vertebroplasty. NICE interventional procedure guidance 12 (2003). Available from <http://www.nice.org.uk/guidance/IPG12>

#### **Technology appraisals**

- Percutaneous vertebroplasty and percutaneous balloon kyphoplasty for treating osteoporotic vertebral compression fractures. NICE technology appraisal guidance 279 (2013). Available from <http://www.nice.org.uk/guidance/TA279>

## **Specialist advisers' opinions**

Specialist advice was sought from consultants who have been nominated or ratified by their Specialist Society or Royal College. The advice received is their individual opinion and is not intended to represent the view of the society. The advice provided by Specialist Advisers, in the form of the completed questionnaires, is normally published in full on the NICE website during public consultation, except in circumstances but not limited to, where comments are considered voluminous, or publication would be unlawful or inappropriate. Two Specialist Advisor Questionnaires for percutaneous insertion of craniocaudal expandable implants for vertebral compression fracture were submitted and can be found on the [NICE website](#).

## Patient commentators' opinions

NICE's Public Involvement Programme sent xxx questionnaires to xxx NHS trusts for distribution to patients who had the procedure (or their carers). NICE received xxx completed questionnaires.

### Section to be inserted if there is no patient commentary

NICE's Public Involvement Programme was unable to gather patient commentary for this procedure.

### Section to be inserted if patient commentators raised no new issues

The patient commentators' views on the procedure were consistent with the published evidence and the opinions of the specialist advisers.

### Section to be inserted if patient commentators raised new issues

The patient commentators raised the following issues about the safety/efficacy of the procedure, which did not feature in the published evidence or the opinions of specialist advisers, and which the committee considered to be particularly relevant:

- [insert additional efficacy and safety issues raised by patient commentators and highlighted by IPAC, add extra rows as necessary].
- [Last item in list].

## Issues for consideration by IPAC

- Ongoing studies:
  - NCT02461810: Prospective comparative study to compare safety and effectiveness of two vertebral compression fracture reduction techniques (SAKOS); study type, randomised controlled trial; location, multicentre (France, Germany, Spain, Switzerland); estimated enrolment, 160; estimated completion date, December 2017.



## References

1. Tutton SM, Pflugmacher R, Davidian M et al. (15-6-2015) KAST Study: The Kiva System As a Vertebral Augmentation Treatment-A Safety and Effectiveness Trial: A Randomized, Noninferiority Trial Comparing the Kiva System With Balloon Kyphoplasty in Treatment of Osteoporotic Vertebral Compression Fractures. *Spine* 40:865-875.
2. Vanni D, Pantalone A, Bigossi F et al. (2012) New perspective for third generation percutaneous vertebral augmentation procedures: Preliminary results at 12 months. *Journal of Craniovertebral Junction & Spine* 3:47-51.
3. Korovessis P, Vardakastanis K, Repantis T et al. (2013) Balloon kyphoplasty versus KIVA vertebral augmentation--comparison of 2 techniques for osteoporotic vertebral body fractures: a prospective randomized study.[Erratum appears in *Spine (Phila Pa 1976)*. 2013 Nov 1;38(23):E1506]. *Spine* 38:292-299.
4. Otten LA, Bornemnn R, Jansen TR et al. (2013) Comparison of balloon kyphoplasty with the new Kiva VCF system for the treatment of vertebral compression fractures. *Pain Physician* 16:E505-E512.
5. Renaud C. (2015) Treatment of vertebral compression fractures with the cranio-caudal expandable implant SpineJack: Technical note and outcomes in 77 consecutive patients. *Orthopaedics & traumatology, surgery & research* 101:857-859.
6. Rosales Olivarez LM, Dipp JM, Escamilla RF et al. (2011) Vertebral augmentation treatment of painful osteoporotic compression fractures with the Kiva VCF Treatment System. *SAS Journal*.5 (4) 114-119.
7. Ender SA, Gradl G, Ender M et al. (2014) Osseofix system for percutaneous stabilization of osteoporotic and tumorous vertebral compression fractures - clinical and radiological results after 12 months. *Rofo: Fortschritte auf dem Gebiete der Rontgenstrahlen und der Nuklearmedizin* 186:380-387.
8. Noriega D, Kruger A, Ardura F et al. (2015) Clinical outcome after the use of a new craniocaudal expandable implant for vertebral compression fracture treatment: one year results from a prospective multicentric study. *BioMed Research International* :927813.
9. Baeesa SS, Krueger A, Aragon FA et al. (2015) The efficacy of a percutaneous expandable titanium device in anatomical reduction of vertebral compression fractures of the thoracolumbar spine. *Saudi Medical Journal* 36:52-60.

## **Appendix A: Additional papers on percutaneous insertion of craniocaudal expandable implants for vertebral compression fracture**

The following table outlines the studies that are considered potentially relevant to the IP overview but were not included in the main data extraction table (table 2). It is by no means an exhaustive list of potentially relevant studies.

Article	Number of patients/follow-up	Direction of conclusions	Reasons for non-inclusion in table 2
Anselmetti GC, Tutton SM, Facchini FR et al. (2012) Percutaneous vertebral augmentation for painful osteolytic vertebral metastasis: a case report. International Medical Case Reports Journal 5:13-17.	Single case report (kiva implant)  FU= 4 months	The Kiva system represents a novel and effective minimally invasive treatment option for patients suffering from severe pain caused by osteolytic vertebral metastasis.	Studies with more patients or longer follow-up are already included. No new safety event reported.
Berjano P, Damilano M, Pejrona M et al. (2014) KIVA VCF system in the treatment of T12 osteoporotic vertebral compression fracture. European Spine Journal 23:1379-1380.	Single case report (Kiva implant)  FU= 3 months	Back pain improved from 1 day after the procedure. At 3 months, ODI score=8% and VAS=3/10.	Studies with more patients or longer follow up are already included. No new safety event reported.
Ender SA, Eschler A, Ender M et al. (2015) Fracture care using percutaneously applied titanium mesh cages (OsseoFix) for unstable osteoporotic thoracolumbar burst fractures is able to reduce cement-associated complications-results after 12 months. Journal of Orthopaedic Surgery 10:175.	Prospective case series (Osseofix implant)  n=15  FU=12 months	As a safe and effective procedure, the use of intravertebral expandable titanium mesh cages presents a valuable alternative to usual intravertebral stabilisation procedures for incomplete osteoporotic burst fractures and bears the potential to reduce cement-associated complications.	Same patient population as in Ender (2014) which is included in Table 2.
Eschler A, Ender SA, Ulmar B et al. (2014) Cementless fixation of osteoporotic VCFs using titanium mesh implants (OsseoFix): preliminary results. BioMed Research International 2014:853897.	Prospective case series (Osseofix implant)  n=4  FU=28 months	Preliminary results in a small, selected patient collective indicate the ability of bony healing for osteoporotic vertebral compression fractures. Cementless fixation using intravertebral titanium mesh cages revealed substantial pain relief, adequate reduction, and reduction maintenance without complications.	Studies with more patients or longer follow up are already included. No new safety event reported.
Korovessis P, Repantis T, Miller LE et al. (2011) Initial clinical experience with a novel vertebral augmentation system for treatment of symptomatic vertebral compression fractures: a case series of 26 consecutive patients. BMC Musculoskeletal Disorders 12:206.	Prospective case series (Kiva implant)  n=26  FU=6 months	The initial clinical experience with the Kiva system demonstrated significant improvements in back pain and function with minimal and clinically insignificant procedural cement leakage	Studies with more patients or longer follow up are already included. No new safety event reported.

## Appendix B: Related NICE guidance for percutaneous insertion of craniocaudal expandable implants for vertebral compression fracture

Guidance	Recommendations
Interventional procedures	<p><b>Balloon kyphoplasty for vertebral compression fractures. NICE interventional procedure guidance 166 (2006)</b></p> <p>1.1 Current evidence on the safety and efficacy of balloon kyphoplasty for vertebral compression fractures appears adequate to support the use of this procedure provided that normal arrangements are in place for consent, audit and clinical governance.</p> <p>1.2 The following are recommended.</p> <ul style="list-style-type: none"> <li>• This procedure should only be undertaken with prior discussion by a specialist multidisciplinary team that includes a radiologist and a spinal surgeon, and when there are facilities for good imaging, and arrangements for good access to a spinal surgery service.</li> <li>• Clinicians should receive training to reach an appropriate level of expertise before carrying out this procedure. In particular, they must follow the manufacturer's instructions for making the cement, to reduce the risk of embolisation.</li> </ul> <p><b>Percutaneous vertebroplasty. NICE interventional procedure guidance 12 (2003)</b></p> <p>1.1 Current evidence on the safety and efficacy of percutaneous vertebroplasty appears adequate to support the use of the procedure, provided that normal arrangements are in place for consent, audit and clinical governance.</p> <p>1.2 The following are recommended.</p> <ul style="list-style-type: none"> <li>• This procedure should only be undertaken when there are arrangements for good access to a spinal surgery service, and with prior discussion between a specialist multidisciplinary team that includes a radiologist and a spinal surgeon.</li> <li>• Clinicians should receive training to reach an appropriate level of expertise before carrying out this procedure. In particular, they must follow the manufacturer's instructions for making the cement, to reduce the risk of embolisation.</li> <li>• The procedure should be limited to patients whose pain is refractory to more conservative treatment.</li> </ul>
Technology appraisals	<b>Percutaneous vertebroplasty and percutaneous balloon</b>



	<p><b>kyphoplasty for treating osteoporotic vertebral compression fractures. NICE technology appraisal guidance 279 (2013)</b></p> <p>1.1 Percutaneous vertebroplasty, and percutaneous balloon kyphoplasty without stenting, are recommended as options for treating osteoporotic vertebral compression fractures only in people:</p> <ul style="list-style-type: none"><li>• who have severe ongoing pain after a recent, unhealed vertebral fracture despite optimal pain management <b>and</b></li><li>• in whom the pain has been confirmed to be at the level of the fracture by physical examination and imaging.</li></ul>
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## Appendix C: Literature search for percutaneous insertion of craniocaudal expandable implants for vertebral compression fracture

Databases	Date searched	Version/files	No. retrieved
Cochrane Database of Systematic Reviews – CDSR (Cochrane)	20/01/2016	Issue 1 of 12, January 2016	11
HTA database (Cochrane)	20/01/2016	Issue 1 of 12, January 2016	0
Cochrane Central Database of Controlled Trials – CENTRAL (Cochrane)	20/01/2016	Issue 1 of 12, January 2016	0
MEDLINE (Ovid)	12/01/2016	1946 to December Week 5 2015	1077
MEDLINE In-Process (Ovid)	12/01/2016	January 11, 2016	131
EMBASE (Ovid)	20/01/2016	1974 to 2016 Week 03	1841
PubMed	20/01/2016		3
BLIC (British Library)	20/01/2016	-	1

The following search strategy was used to identify papers in MEDLINE. A similar strategy was used to identify papers in other databases.

Database: Medline
<p>Strategy used</p> <ol style="list-style-type: none"> <li>1 Spinal Fractures/ (11655)</li> <li>2 Spin* injur*.tw. (6134)</li> <li>3 ((spin* or vertebral*) and (fractur* or trauma* or metastas** or compress*)).tw. (55650)</li> <li>4 (trauma* or mylema* or osteopor*).tw. (295152)</li> <li>5 3 and 4 (25738)</li> <li>6 fractures, compression/ or osteoporotic fractures/ (3752)</li> <li>7 (fractur* adj3 (compress* or osteoporot*)).tw. (9538)</li> <li>8 vcf.tw. (695)</li> <li>9 1 or 2 or 5 or 6 or 7 or 8 (42681)</li> <li>10 (vertebr* adj3 cranio caudal).tw. (2)</li> <li>11 (craniocaud* adj3 implant*).tw. (2)</li> <li>12 (spin* adj4 fract* adj4 reduc*).tw. (156)</li> <li>13 (Compress* fract* adj4 reduct*).tw. (18)</li> <li>14 (vertebr* adj4 fract* adj4 reduct*).tw. (293)</li> <li>15 (vertebr* adj4 (augument* or implant*)).tw. (276)</li> <li>16 PVP.tw. (4155)</li> <li>17 PKP.tw. (583)</li> <li>18 Bone Cements/ (9443)</li> <li>19 (bone adj4 (cement* or glue* or paste* or adhesiv*)).tw. (6769)</li> </ol>

20 or/10-19 (17812)  
21 9 and 20 (1787)  
22 spinejack.tw. (3)  
23 Osseofix\*.tw. (6)  
24 22 or 23 (9)  
25 21 or 24 (1791)  
26 Animals/ not Humans/ (4137434)  
27 25 not 26 (1740)  
28 limit 27 to yr="2005 -Current" (1301)  
29 limit 28 to english language (1077)