

## RRT and conservative management

Evidence review for decision support  
interventions

*NICE guideline NG107*

*Intervention evidence review*

*October 2018*

*Final*

*This evidence review was developed by  
the National Guideline Centre*



## **Disclaimer**

The recommendations in this guideline represent the view of NICE, arrived at after careful consideration of the evidence available. When exercising their judgement, professionals are expected to take this guideline fully into account, alongside the individual needs, preferences and values of their patients or service users. The recommendations in this guideline are not mandatory and the guideline does not override the responsibility of healthcare professionals to make decisions appropriate to the circumstances of the individual patient, in consultation with the patient and, where appropriate, their carer or guardian.

Local commissioners and providers have a responsibility to enable the guideline to be applied when individual health professionals and their patients or service users wish to use it. They should do so in the context of local and national priorities for funding and developing services, and in light of their duties to have due regard to the need to eliminate unlawful discrimination, to advance equality of opportunity and to reduce health inequalities. Nothing in this guideline should be interpreted in a way that would be inconsistent with compliance with those duties.

NICE guidelines cover health and care in England. Decisions on how they apply in other UK countries are made by ministers in the [Welsh Government](#), [Scottish Government](#), and [Northern Ireland Executive](#). All NICE guidance is subject to regular review and may be updated or withdrawn.

## **Copyright**

© National Institute for Health and Care Excellence, 2018. Subject to notice of rights.

**ISBN:** 978-1-4731-3107-1

# Contents

|          |  |                                     |
|----------|--|-------------------------------------|
| <b>1</b> | <b>Decision support</b> .....  | <b>6</b>                            |
| 1.1      | Review question: What is the clinical and cost effectiveness of decision support interventions for people who may require renal replacement therapy? ..... | 6                                   |
| 1.2      | Introduction .....   | 6                                   |
| 1.3      | PICO table.....  | 6                                   |
| 1.4      | Clinical evidence .....  | 7                                   |
| 1.4.1    | Included studies .....   | 7                                   |
| 1.4.2    | Excluded studies.....  | 7                                   |
| 1.4.3    | Summary of clinical studies included in the evidence review.....   | 7                                   |
| 1.4.4    | Quality assessment of clinical studies included in the evidence review ....  | 11                                  |
| 1.5      | Economic evidence .....  | 14                                  |
| 1.5.1    | Included studies .....   | 14                                  |
| 1.5.2    | Excluded studies.....  | 14                                  |
| 1.5.3    | Summary of studies included in the economic evidence review .....  | 15                                  |
| 1.5.4    | Unit costs .....   | 16                                  |
| 1.6      | Resource impact .....  | 16                                  |
| 1.7      | Evidence statements .....  | 16                                  |
| 1.7.1    | Clinical evidence statements.....  | 16                                  |
| 1.7.2    | Health economic evidence statements.....   | 17                                  |
| 1.8      | Recommendations .....  | <b>Error! Bookmark not defined.</b> |
| 1.8.1    | Research recommendations .....   | <b>Error! Bookmark not defined.</b> |
| 1.9      | Rationale and impact.....  | <b>Error! Bookmark not defined.</b> |
| 1.9.1    | Why the committee did not make any recommendations   | <b>Error! Bookmark n</b>            |
| 1.10     | The committee's discussion of the evidence.....  | 17                                  |
| 1.10.1   | Interpreting the evidence.....   | 17                                  |
| 1.10.2   | Cost effectiveness and resource use .....  | 18                                  |
| 1.10.3   | Other factors the committee took into account .....  | 18                                  |
|          | <b>Appendices</b> .....  | <b>24</b>                           |
|          | Appendix A: Review protocols .....   | 24                                  |
|          | Appendix B: Literature search strategies .....   | 28                                  |
|          | B.1 Clinical search literature search strategy .....   | 28                                  |
|          | B.2 Health Economics literature search strategy.....   | 33                                  |
|          | Appendix C: Clinical evidence selection.....   | 37                                  |
|          | Appendix D: Clinical evidence tables .....   | 38                                  |
|          | Appendix E: Forest plots.....  | 49                                  |
|          | Appendix F: GRADE tables .....   | 51                                  |
|          | Appendix G: Health economic evidence selection.....  | 53                                  |
|          | Appendix H: Health economic evidence tables .....  | 54                                  |

|  |    |
|--|----|
| Appendix I: Excluded studies.....          | 55 |
| I.1 Excluded clinical studies.....         | 55 |
| I.2 Excluded health economic studies.....  | 56 |
| Appendix J: Research recommendations ..... | 57 |

# 1 Decision support

## 1.1 Review question: What is the clinical and cost effectiveness of decision support interventions for people who may require renal replacement therapy?

### 1.2 Introduction

People have the right to be involved in discussions and make informed decisions about their, or their child's, treatment and care with their healthcare team. Decision aids are complex interventions designed to enable patients to become involved in decision making by providing information about the options and by clarifying personal values. In the context of people with kidney choosing between treatment options these represent a series of nested choices between RRT and CM, and if RRT is chosen then between transplant and dialysis, and if dialysis is chosen (or transplant not possible) then between the different dialysis modalities. Most renal units offer some form of structured education programme. However, the content, format and intensity of these programmes vary considerably. Decision aids and structured education programmes are intended to help people weigh up the possible advantages and disadvantages of the different options. This question relates to all people who need to make the decision about whether to undergo a transplant, choose between types of dialysis or receive conservative management instead of RRT. This question will look at the value of decision aids and structured education programmes in this process.

### 1.3 PICO table

For full details see the review protocol in appendix A.

**Table 1: PICO characteristics of review question**

|                      |  |
|----------------------|--|
| <b>Population</b>    | Children, young people and adults with CKD stage 3 to 5  |
| <b>Interventions</b> | Decision support aids<br>Structured education programs   |
| <b>Comparisons</b>   | Any of the above strategies compared with any other or usual care (without decision support interventions).<br>Active sham controls to be used for subgroup analysis in case of heterogeneity.   |
| <b>Outcomes</b>      | <ul style="list-style-type: none"> <li>• Patient, family/carer health-related QoL</li> <li>• Mortality</li> <li>• Decision quality/conflict</li> <li>• Knowledge of relevant decision area</li> <li>• Psychological distress and mental wellbeing</li> <li>• Patient, family/carer experience of care</li> </ul> |
| <b>Study design</b>  | RCTs<br>NRS, clinically efficacy outcomes only extracted if adjusted for key confounders (age, ethnicity, co-morbidities and baseline health)  |

## 1.4 Clinical evidence

### 1.4.1 Included studies

5 studies were included in the review; <sup>1, 11, 22, 30, 61</sup> these are summarised in Table 2 below. Evidence from these studies is summarised in the clinical evidence summary below (Table 3).

The majority of the studies (n=4) compared an education programme with usual care, and one study compared decision aids with usual care. Studies involving education programmes typically involved a combination of general education and education focused specifically on supporting decision making (i.e. the risks and benefits of various options). The only available study comparing decision aids with usual care was non-randomised. The studies comparing education programmes with usual care were RCTs. All the included studies looked at the adult population.

See also the study selection flow chart in appendix C, study evidence tables in appendix D, forest plots in appendix E and GRADE tables in appendix F.

### 1.4.2 Excluded studies

See the excluded studies list in appendix I.

### 1.4.3 Summary of clinical studies included in the evidence review

**Table 2: Summary of studies included in the evidence review**

| Study                      | Intervention and comparison  | Population   | Outcomes  | Comments                               |
|----------------------------|--|--|---|--|
| Arriola, 2014 <sup>1</sup> | <p>Education programme (n=149) standard transplant education materials with DVD of information from healthcare professionals and personal stories from donor/recipient pairs on process, risks and benefits of LDKT, 6 months</p> <p>Usual care (n=147) were shown an attention control DVD explaining how dialysis patients can improve their circumstances through exercise, no mention of transplants 6</p> | <p>Adults (M=51.7 years old, Range = 20-76)</p> <p>USA</p> <p>Focussing on living donor kidney transplant education for patients scheduled for evaluation of a kidney transplant</p> | <p>Knowledge of decision area</p> <p>Reported 6 months after intervention</p> | All participants were African American |

| Study                      | Intervention and comparison   | Population   | Outcomes  | Comments                                    |
|----------------------------|---|--|---|---|
|                            | months  |  |   |   |
| Devins, 2005 <sup>11</sup> | <p>Education programme (n=172) via psychoeducational intervention of single one-on-one slide lecture presentation, delivered by a health educator specifically trained to deliver the pre dialysis intervention, providing information on function of kidney, kidney disease, dietary management and alternative modes of RRT including HD, PD and renal transplantation, 5 years</p> <p>Usual care (n=163) patients received relevant information from the attending physician via written materials or by special referral to a nurse clinician, information varied widely among hospitals, 5 years</p> | <p>Adults (M=51.41 years old, SD=16.53)</p> <p>Canada</p> <p>Patients receiving care in a pre-dialysis clinic received lecture presentation before choosing between different modalities of RRT</p>                          | <p>Mortality, Knowledge of decision area</p> <p>Reported at end of intervention and up to 20 years after intervention</p> | Not stratified, but pre-specified           |
| Ismail, 2014 <sup>22</sup> | <p>Education programme (n=84) via 2 home-based educational meetings delivered by an educator, topics included general information on kidneys and dialysis as well as comparison of dialysis with transplantation, comparison of living with deceased donor transplantation, 4 weeks</p>   | <p>Adults (M=54.71 years old, SD=13.25)</p> <p>Netherlands</p> <p>Focussing on patients unable to find a living donor and newly listed for transplant preparation or already listed for deceased donor kidney transplant</p> | <p>Knowledge of decision area</p> <p>Reported at end of intervention</p>  | Results for participants stratified by BAME |



| Study                            | Intervention and comparison   | Population  | Outcomes   | Comments             |
|----------------------------------|---|---|--|----------------------|
|                                  | Usual care (n=79) patients received consultations with a transplant nephrologist, coordinator and a social worker. Yearly check-ups and written educational material and DVD received, 4 weeks  |   |  |                      |
| Manns, 2005 <sup>30</sup>        | <p>Education programme (n=35) via educational booklets (4 booklets, first of which on 'Choosing the type of dialysis best suited to you') and 15 minute video on self-care dialysis and a small group session involving patients and family members, nephrologist and a pre-dialysis nurse, 4 weeks</p> <p>Usual care (n=35) patients all received teaching about kidney disease, via a one on one session where patients are seen by a nurse, dietician and social worker, followed by nephrologist and multidisciplinary care team every 3 to 6 months, 4 weeks</p> | <p>Adults (M=64.4 years old)</p> <p>Canada</p> <p>Patients receiving care in a pre-dialysis clinic given education booklets before choosing between different modalities of RRT</p> | <p>Mortality</p> <p>Reported at end of intervention and after 1 year follow up</p>                                       |                      |
| Winterbottom, 2016 <sup>61</sup> | Decision aids (n=84) via pre-dialysis YoDDA leaflets, delivered by pre dialysis staff, designed for people to make decisions between home HD, in centre HD, CAPD and CCPD. 6 weeks  | <p>Adults (M=62.64 years old, SD=14.44)</p> <p>United Kingdom</p> <p>Patients referred to pre-dialysis services given decision aids</p>   | <p>Decision quality/conflict, knowledge of decision area , experience of care</p> <p>Reported at end of intervention</p> | Non-randomised study |

| Study | Intervention and comparison   | Population  | Outcomes | Comments |
|-------|---|---|----------|----------|
|       | Usual care (n=105) involved education (e.g. consultations, leaflets/videos, peer meetings, home visits) about conservative care and renal replacement therapy options for patients delivered by pre dialysis staff, 6 weeks | before choosing between different modalities of RRT |          |          |

See appendix D for full evidence tables.

### 1.4.4 Quality assessment of clinical studies included in the evidence review

**Table 3: Clinical evidence summary: Education programme vs usual care**

| Outcomes   | No of Participants (studies) Follow up | Quality of the evidence (GRADE)                          | Relative effect (95% CI)      | Anticipated absolute effects  |   |
|--|--|--|-------------------------------|---|---|
|  |  |  |                               | Risk with Usual care  | Risk difference with Education program (95% CI)   |
| Knowledge of decision area (6 months Post treatment (PT), self-rated, 0-18, high is good)  | 296 (1 study) 6 months                 | LOW <sup>1</sup> due to risk of bias                     |                               | The mean knowledge of decision area in the control groups was 14.53 | The mean knowledge of decision area in the intervention groups was 0.2 higher (0.31 lower to 0.71 higher) |
| Knowledge of decision area (4 weeks PT, self-rated, 0-18, Rotterdam Renal Replacement Knowledge Test (R3K-T), high is good) - BAME | 163 (1 study) 4 weeks                  | LOW <sup>1</sup> due to risk of bias                     |                               | The mean knowledge of decision area in the control groups was 11.9  | The mean knowledge of decision area in the intervention groups was 2.9 higher (2.73 to 3.07 higher)       |
| Knowledge of decision area (4 weeks PT, self-rated, 0-18, R3K-T, high is good) - non-BAME  | 163 (1 study) 4 weeks                  | MODERATE <sup>1</sup> due to risk of bias                |                               | The mean knowledge of decision area in the control groups was 15.3  | The mean knowledge of decision area in the intervention groups was 2.8 higher (2.58 to 3.02 higher)       |
| Knowledge of decision area (5 years, self-rated, change score, KDQ form A, high is good)   | 179 (1 study) 5 years                  | LOW <sup>1</sup> due to risk of bias                     |                               | The mean knowledge of decision area in the control groups was -0.26 | The mean knowledge of decision area in the intervention groups was 2.88 higher (2.21 to 3.55 higher)      |
| Mortality (1 year)   | 70 (1 study) 1 years                   | VERY LOW <sup>1,2</sup> due to risk of bias, imprecision | Peto OR 7.61 (0.47 to 124.15) | 0 per 1000  | 60 more per 1000 in intervention group (from 30 fewer to 150 more)  |
| Survival (20 years)  | 335                                    | VERY   | RR                            | - <sup>3</sup>  | -   |

| Outcomes | No of Participants (studies) Follow up | Quality of the evidence (GRADE)                        | Relative effect (95% CI) | Anticipated absolute effects |   |
|----------|--|--|--------------------------|------------------------------|---|
|          |  |  |                          | Risk with Usual care         | Risk difference with Education program (95% CI) |
|          | (1 study)<br>20 years                  | LOW <sup>1,2</sup><br>due to risk of bias, imprecision | 1.32<br>(1 to 1.74)      |                              |   |

1 Downgraded by 1 increment if the majority of the evidence was at high risk of bias, and downgraded by 2 increments if the majority of the evidence was at very high risk of bias.  
 2 Downgraded by 1 increment if the confidence interval crossed one MID.  
 3 Control group risk not available

**Table 4: Clinical evidence summary: Decision aids vs usual care**

| Outcomes   | No of Participants (studies) Follow up | Quality of the evidence (GRADE)                             | Relative effect (95% CI) | Anticipated absolute effects   |  |
|--|--|---|--------------------------|--|--|
|  |  |   |                          | Risk with Usual care   | Risk difference with Decision aids (95% CI)  |
| Knowledge of decision area (6 weeks PT, 'information was enough for me to make a decision', self-rated, 0-6, high is good) | 189<br>(1 study)<br>6 weeks            | VERY LOW <sup>1,2</sup><br>due to risk of bias, imprecision |                          | The mean knowledge of decision area in the control groups was 3.62   | The mean knowledge of decision area in the intervention groups was 0.44 higher (0 to 0.88 higher)          |
| Decisional quality/conflict (6 weeks PT, self-rated, 0-100, high is poor)  | 189<br>(1 study)<br>6 weeks            | LOW <sup>1</sup><br>due to risk of bias                     |                          | The mean decisional quality/conflict in the control groups was 13.83 | The mean decisional quality/conflict in the intervention groups was 0.23 lower (2.98 lower to 2.52 higher) |
| Experience of care,  | 189                                    | VERY  |                          | The mean experience of care in the                                   | The mean experience of care in the   |

| Outcomes   | No of Participants (studies) Follow up | Quality of the evidence (GRADE)                        | Relative effect (95% CI) | Anticipated absolute effects |  |
|--|--|--|--------------------------|------------------------------|--|
|  |  |  |                          | Risk with Usual care         | Risk difference with Decision aids (95% CI)                          |
| satisfaction with pre-dialysis team (6 weeks PT, self-rated, 0-15, high is good)   | (1 study)<br>6 weeks                   | LOW <sup>1,2</sup><br>due to risk of bias, imprecision |                          | control groups was<br>8.13   | intervention groups was<br>0.53 higher<br>(0.04 lower to 1.1 higher) |
| <p>1 Downgraded by 2 increments if the majority of the evidence was at very high risk of bias.<br/>2 Downgraded by 1 increment if the confidence interval crossed one MID.</p> |  |  |                          |                              |  |

See appendix F for full GRADE tables.

## **1.5 Economic evidence**

### **1.5.1 Included studies**

No relevant health economic studies were included.

### **1.5.2 Excluded studies**

No health economic studies that were relevant to this question were excluded due to assessment of limited applicability or methodological limitations.

See also the health economic study selection flow chart in appendix G.

**1.5.3 Summary of studies included in the economic evidence review**

None.

#### 1.5.4 Unit costs

The cost of a decision aid will relate to the cost of developing and maintaining it, potentially costs for using it or producing the materials (e.g. printing) and the time taken to use it.

A decision aid booklet is available from the Kidney Research UK website called 'Dialysis: Making the Right Choices for You'. The booklet was developed through a Kidney Research UK-supported study by the Yorkshire Dialysis Decision Aid (YoDDA) research team in collaboration with Baxter Healthcare Ltd, the British Renal Society and the Renal Association and so has no NHS costs for development. It is free to download for printing locally or to order individual booklets. Bulk orders cost 40p to £1.80 per booklet depending on the number ordered.

Internet-based decision aids were available in this area developed by the NHS shared decision making programme NHS RightCare (1. Established Kidney Failure; 2. Kidney Transplant; 3. Kidney Dialysis). These are freely available on the internet. However, the cost of developing and maintaining the NHS tools presumably falls on the NHS.

The cost of structured education programmes to support decision making will vary depending on how they are delivered; for example, in a group or individually, by whom, number of sessions/visits. Also if education to support decision making is part of a wider education programme the costs will not only relate to decision support.

### 1.6 Resource impact

No recommendations were made based on this review (Section 1.8).

### 1.7 Evidence statements

#### 1.7.1 Clinical evidence statements

##### *Education program compared to usual care*

No evidence for patient, family/carer health-related QoL, decisional quality/conflict, psychological distress and mental wellbeing and patient, family/carer experience of care.

There was a clinically important benefit for knowledge of decision area (self-rated; 3 studies low to moderate quality).

There was no clinically important benefit for knowledge of decision area (self-rated; 1 study low quality).

There was a clinically important benefit for survival (1 study very low quality).

There was a clinically important harm for mortality (1 study very low quality).

##### *Decision aids compared to usual care*

No evidence for patient, family/carer health-related QoL, mortality, psychological distress and mental wellbeing.

There was no clinically important benefit for knowledge of decision area (PT self-rated; 1 study very low quality), decision quality/conflict (PT self-rated; 1 study low quality) and experience of care (PT self-rated; 1 study very low quality).



## **1.7.2 Health economic evidence statements**

- No relevant economic evaluations were identified.

# **1.8 The committee's discussion of the evidence**

## **1.8.1 Interpreting the evidence**

### **1.8.1.1 The outcomes that matter most**

The committee considered quality of life, mortality and decision quality/conflict to be critical outcomes. The committee considered knowledge, psychological distress/mental wellbeing and experience of care to be important outcomes. The committee noted that while interventions that improved clinical outcomes like mortality would certainly have a merit, the main aim of the interventions in these studies was to improve the quality of decisions – even if the decisions people made led them to say have a reduced life expectancy because they opted for conservative management over RRT.

### **1.8.1.2 The quality of the evidence**

The overall quality of the evidence ranged from moderate to very low, with the majority being either low or very low quality. There was no randomised evidence on decision aids. There was no evidence in children or adults over the age of 70. The only outcomes available were mortality, knowledge and experience of care.

The committee noted that the usual care arms of the studies included in the review varied but in general involved some element of education, even if it was less intensive than the intervention arm. Therefore the treatment effects observed in the studies are likely to be less than in a true 'education/decision aid' versus nil comparison.

The committee noted that in the one study assessing decision aids, the principle aim of the research was to assess acceptability of the decision aid rather than to establish efficacy. The committee agreed that any further research in the area should consider some element of efficacy on clinical outcomes as well as person preference and experience; this was incorporated into the research recommendation in this area.

### **1.8.1.3 Benefits and harms**

There was a clinically important benefit of structured education programmes vs usual care for knowledge of decision area (in 2 studies) and survival but no clinically important difference for knowledge of decision area (in 1 study) and a clinically important harm for mortality. The committee noted that the one study showing a clinically important harm for mortality was based on extremely imprecise evidence from a study with only 70 participants. Although the evidence showing a benefit for survival was also very low quality, the committee agreed that a benefit was more biologically plausible than a harm.

The committee noted that for the knowledge of decision area outcomes the context and specific aims of the interventions in the 3 studies were quite different. The data were therefore not meta-analysed.

Overall the committee agreed that there was insufficient evidence of clinically important benefits to make specific recommendations on structured education programmes to support decision making. However they agreed that education and support in general is likely to lead to better outcomes and incorporated this into their recommendations on the information and support for people requiring RRT or conservative management.

There was no clinically important difference in terms of knowledge, decision quality and experience of care for the comparison of decision aids with usual care. Overall the committee concluded the evidence was insufficient to make a judgement regarding the benefits of decision aids for people requiring RRT or conservative management and that further research was needed.

### **1.8.2 Cost effectiveness and resource use**

No economic evaluations were included.

There may be some costs to the NHS of delivering decision aids related to development and maintenance (if by the NHS) and production of materials (if printed). The committee did not think that consultation time would be impacted by the use of a decision aid. Given the uncertainty regarding the clinical evidence of decision aids the committee was unable to make a judgement regarding cost-effectiveness.

The cost of structured education programmes to support decision making will vary depending on how they are delivered; for example, in a group or individually, by whom, the number of sessions/visits involved. Also if education to support decision making is part of a wider education programme the costs will not only relate to decision support. The interventions in the included clinical studies varied. Given this and the limited clinical evidence it was considered difficult to make a judgement about the cost effectiveness of specific programmes. The committee noted that most renal services would say that they offered a structured education program currently but what exactly is offered will vary considerably and this will not be exclusively aimed at decision support therefore a recommendation specifying a particular structured education programme to support decision making would likely result in a substantial resource impact to the NHS.

### **1.8.3 Other factors the committee took into account**

The committee noted that the quality of decision aids currently available is highly variable. They noted that there were no randomised or non-randomised studies showing a definitive benefit of a decision aid over and above usual care.

The committee made a research recommendation to inform future guidance.

## References

1. Arriola KR, Powell CL, Thompson NJ, Perryman JP, Basu M. Living donor transplant education for African American patients with end-stage renal disease. *Progress in Transplantation*. 2014; 24(4):362-70
2. Barnieh L, McLaughlin K, Manns BJ, Klarenbach S, Yilmaz S, Taub K et al. Evaluation of an education intervention to increase the pursuit of living kidney donation: a randomized controlled trial. *Progress in Transplantation*. 2011; 21(1):36-42
3. Binik YM, Devins GM, Barre PE, Guttman RD, Hollomby DJ, Mandin H et al. Live and learn: patient education delays the need to initiate renal replacement therapy in end-stage renal disease. *Journal of Nervous and Mental Disease*. 1993; 181(6):371-6
4. Boulware LE, Hill-Briggs F, Kraus ES, Melancon JK, Falcone B, Ephraim PL et al. Effectiveness of educational and social worker interventions to activate patients' discussion and pursuit of preemptive living donor kidney transplantation: a randomized controlled trial. *American Journal of Kidney Diseases*. 2013; 61(3):476-86
5. Butler M, Ratner E, McCreedy E, Shippee N, Kane RL. Decision aids for advance care planning: an overview of the state of the science. *Annals of Internal Medicine*. 2014; 161(6):408-18
6. Cho EJ, Park HC, Yoon HB, Ju KD, Kim H, Oh YK et al. Effect of multidisciplinary pre-dialysis education in advanced chronic kidney disease: Propensity score matched cohort analysis. *Nephrology*. 2012; 17(5):472-9
7. Dahlerus C, Quinn M, Messersmith E, Lachance L, Subramanian L, Perry E et al. Patient perspectives on the choice of dialysis modality: Results from the Empowering Patients on Choices for Renal Replacement Therapy (EPOCH-RRT) study. *American Journal of Kidney Diseases*. 2016; 68(6):901-910
8. Davis JL, Davison SN. Hard choices, better outcomes: a review of shared decision-making and patient decision aids around dialysis initiation and conservative kidney management. *Current Opinion in Nephrology and Hypertension*. 2017; 26(3):205-213
9. Devins GM, Hollomby DJ, Barre PE, Mandin H, Taub K, Paul LC et al. Long-term knowledge retention following predialysis psychoeducational intervention. *Nephron*. 2000; 86(2):129-34
10. Devins GM, Mendelssohn DC, Barre PE, Binik YM. Predialysis psychoeducational intervention and coping styles influence time to dialysis in chronic kidney disease. *American Journal of Kidney Diseases*. 2003; 42(4):693-703
11. Devins GM, Mendelssohn DC, Barre PE, Taub K, Binik YM. Predialysis psychoeducational intervention extends survival in CKD: a 20-year follow-up. *American Journal of Kidney Diseases*. 2005; 46(6):1088-98
12. Devoe DJ, Wong B, James MT, Ravani P, Oliver MJ, Barnieh L et al. Patient education and peritoneal dialysis modality selection: A systematic review and meta-analysis. *American Journal of Kidney Diseases*. 2016; 68(3):422-33
13. Dusseux E, Albano L, Fafin C, Hourmant M, Guerin O, Couchoud C et al. A simple clinical tool to inform the decision-making process to refer elderly incident dialysis patients for kidney transplant evaluation. *Kidney International*. 2015; 88(1):121-129

14. Engelen A, Vanderhaegen J, Van Poppel H, Van Audenhove C. Patients' views on using decision support tools: a systematic review. *European Journal for Person Centered Healthcare*. 2016; 4(1):61-186
15. Fortnum D, Grennan K, Smolonogov T. End-stage kidney disease patient evaluation of the Australian 'My Kidneys, My Choice' decision aid. *Clinical Kidney Journal*. 2015; 8(4):469-475
16. Gander JC, Gordon EJ, Patzer RE. Decision aids to increase living donor kidney transplantation. *Current Transplantation Reports*. 2017; 4(1):1-12
17. Gomez CG, Valido P, Celadilla O, Bernaldo de Quiros AG, Mojon M. Validity of a standard information protocol provided to end-stage renal disease patients and its effect on treatment selection. *Peritoneal Dialysis International*. 1999; 19(5):471-7
18. Goovaerts T, Jadoul M, Goffin E. Influence of a pre-dialysis education programme (PDEP) on the mode of renal replacement therapy. *Nephrology Dialysis Transplantation*. 2005; 20(9):1842-7
19. Gordon EJ, Feinglass J, Carney P, Vera K, Olivero M, Black A et al. A culturally targeted website for Hispanics/Latinos about living kidney donation and transplantation: a randomized controlled trial of increased knowledge. *Transplantation*. 2016; 100(5):1149-60
20. Hanko J, Jastrzebski J, Nieva C, White L, Li G, Zalunardo N. Dedication of a nurse to educating suboptimal haemodialysis starts improved transition to independent modalities of renal replacement therapy. *Nephrology, Dialysis, Transplantation*. 2011; 26(7):2302-8
21. Hussain JA, Flemming K, Murtagh FE, Johnson MJ. Patient and health care professional decision-making to commence and withdraw from renal dialysis: a systematic review of qualitative research. *Clinical Journal of the American Society of Nephrology*. 2015; 10(7):1201-15
22. Ismail SY, Luchtenburg AE, Timman R, Zuidema WC, Boonstra C, Weimar W et al. Home-based family intervention increases knowledge, communication and living donation rates: a randomized controlled trial. *American Journal of Transplantation*. 2014; 14(8):1862-9
23. Ismail SY, Luchtenburg AE, Zuidema WC, Boonstra C, Weimar W, Massey EK et al. Multisystemic engagement and nephrology based educational intervention: a randomized controlled trial protocol on the KidneyTteam At Home study. *BMC Nephrology*. 2012; 13:62
24. Kazawa K, Takeshita Y, Yorioka N, Moriyama M. Efficacy of a disease management program focused on acquisition of self-management skills in pre-dialysis patients with diabetic nephropathy: 24 months follow-up. *Journal of Nephrology*. 2015; 28(3):329-38
25. Klang B, Bjorvell H, Clyne N. Predialysis education helps patients choose dialysis modality and increases disease-specific knowledge. *Journal of Advanced Nursing*. 1999; 29(4):869-76
26. Korniewicz DM, O'Brien ME. Evaluation of a hemodialysis patient education and support program. *ANNA Journal*. 1994; 21(1):33-8; discussion 39
27. Kutner NG, Brogan DR. Evaluation of an experimental education program for new dialysis patients. *AANNT Journal*. 1982; 9(6):22-5

28. Lacson E, Jr., Wang W, DeVries C, Leste K, Hakim RM, Lazarus M et al. Effects of a nationwide predialysis educational program on modality choice, vascular access, and patient outcomes. *American Journal of Kidney Diseases*. 2011; 58(2):235-42
29. Machowska A, Alscher MD, Vanga SR, Koch M, Aarup M, Qureshi AR et al. Offering Patients Therapy Options in Unplanned Start (OPTiONS): Implementation of an educational program is feasible and effective. *BMC Nephrology*. 2017; 18:18
30. Manns BJ, Taub K, Vanderstraeten C, Jones H, Mills C, Visser M et al. The impact of education on chronic kidney disease patients' plans to initiate dialysis with self-care dialysis: a randomized trial. *Kidney International*. 2005; 68(4):1777-83
31. Marron B, Martinez Ocana JC, Salgueira M, Barril G, Lamas JM, Martin M et al. Analysis of patient flow into dialysis: role of education in choice of dialysis modality. *Peritoneal Dialysis International*. 2005; 25(Suppl 3):S56-9
32. Mason J, Khunti K, Stone M, Farooqi A, Carr S. Educational interventions in kidney disease care: a systematic review of randomized trials. *American Journal of Kidney Diseases*. 2008; 51(6):933-51
33. Massey EK, Gregoor PJ, Nette RW, van den Dorpel MA, van Kooij A, Zietse R et al. Early home-based group education to support informed decision-making among patients with end-stage renal disease: a multi-centre randomized controlled trial. *Nephrology Dialysis Transplantation*. 2016; 31(5):823-30
34. Mathers TR. Effects of psychosocial education on adaptation in elderly hemodialysis patients. *ANNA Journal*. 1999; 26(6):587-9
35. Mehrotra R, Marsh D, Vonesh E, Peters V, Nissenson A. Patient education and access of ESRD patients to renal replacement therapies beyond in-center hemodialysis. *Kidney International*. 2005; 68(1):378-90
36. Mollicone D, Pulliam J, Lacson E, Jr. The culture of education in a large dialysis organization: informing patient-centered decision making on treatment options for renal replacement therapy. *Seminars in Dialysis*. 2013; 26(2):143-7
37. Mooney A. Decision making around dialysis options. *Contributions to Nephrology*. 2009; 163:257-60
38. Murray MA, Brunier G, Chung JO, Craig LA, Mills C, Thomas A et al. A systematic review of factors influencing decision-making in adults living with chronic kidney disease. *Patient Education and Counseling*. 2009; 76(2):149-58
39. National Institute for Health and Clinical Excellence. The guidelines manual. London. National Institute for Health and Clinical Excellence, 2012. Available from: <http://www.nice.org.uk/article/pmg6/>
40. Parvan K, Hasankhani H, Seyyedrasooli A, Riahi SM, Ghorbani M. The effect of two educational methods on knowledge and adherence to treatment in hemodialysis patients: clinical trial. *Journal of Caring Sciences*. 2015; 4(1):83-93
41. Patzer RE, Basu M, Mohan S, Smith KD, Wolf M, Ladner D et al. A Randomized Controlled Trial of a Mobile Clinical Decision Aid to Improve Access to Kidney Transplantation: iChoose Kidney. *Kidney International Reports*. 2016; 1(1):34-42
42. Patzer RE, Gander J, Sauls L, Amamoo MA, Krisher J, Mulloy LL et al. The RaDIANT community study protocol: community-based participatory research for reducing disparities in access to kidney transplantation. *BMC Nephrology*. 2014; 15:171

43. Perry E, Swartz J, Brown S, Smith D, Kelly G, Swartz R. Peer mentoring: a culturally sensitive approach to end-of-life planning for long-term dialysis patients. *American Journal of Kidney Diseases*. 2005; 46(1):111-9
44. Pradel FG, Suwannaprom P, Mullins CD, Sadler J, Bartlett ST. Short-term impact of an educational program promoting live donor kidney transplantation in dialysis centers. *Progress in Transplantation*. 2008; 18(4):263-72
45. Ravani P, Marinangeli G, Stacchiotti L, Malberti F. Structured pre-dialysis programs: more than just timely referral? *Journal of Nephrology*. 2003; 16(6):862-9
46. Ravani P, Marinangeli G, Tancredi M, Malberti F. Multidisciplinary chronic kidney disease management improves survival on dialysis. *Journal of Nephrology*. 2003; 16(6):870-7
47. Richards D, Chan N, Caldwell PHY. A review of the use of information communication technology to aid decision-making for live kidney donors and recipients. *Health and Technology*. 2015; 5(3-4):167-178
48. Rodrigue JR, Cornell DL, Kaplan B, Howard RJ. A randomized trial of a home-based educational approach to increase live donor kidney transplantation: effects in blacks and whites. *American Journal of Kidney Diseases*. 2008; 51(4):663-70
49. Rodrigue JR, Cornell DL, Lin JK, Kaplan B, Howard RJ. Increasing live donor kidney transplantation: a randomized controlled trial of a home-based educational intervention. *American Journal of Transplantation*. 2007; 7(2):394-401
50. Rodrigue JR, Paek MJ, Egbuna O, Waterman AD, Schold JD, Pavlakis M et al. Making house calls increases living donor inquiries and evaluations for blacks on the kidney transplant waiting list. *Transplantation*. 2014; 98(9):979-86
51. Song MK, Ward SE, Happ MB, Piraino B, Donovan HS, Shields AM et al. Randomized controlled trial of SPIRIT: an effective approach to preparing African-American dialysis patients and families for end of life. *Research in Nursing and Health*. 2009; 32(3):260-73
52. Stacey D, Legare F, Lewis K, Barry MJ, Bennett CL, Eden KB et al. Decision aids for people facing health treatment or screening decisions. *Cochrane Database of Systematic Reviews* 2017, Issue 4. Art. No.: CD001431. DOI: 10.1002/14651858.CD001431.pub5.
53. Sullivan C, Leon JB, Sayre SS, Marbury M, Ivers M, Pencak JA et al. Impact of navigators on completion of steps in the kidney transplant process: a randomized, controlled trial. *Clinical Journal of the American Society of Nephrology*. 2012; 7(10):1639-45
54. Tsay SL, Hung LO. Empowerment of patients with end-stage renal disease - a randomized controlled trial. *International Journal of Nursing Studies*. 2004; 41(1):59-65
55. Tsay SL, Lee YC, Lee YC. Effects of an adaptation training programme for patients with end-stage renal disease. *Journal of Advanced Nursing*. 2005; 50(1):39-46
56. Urstad KH, Wahl AK, Andersen MH, Oyen O, Hagen KB. Limited evidence for the effectiveness of educational interventions for renal transplant recipients. Results from a systematic review of controlled clinical trials. *Patient Education and Counseling*. 2013; 90(2):147-54
57. Waterman AD, Barrett AC, Stanley SL. Optimal transplant education for recipients to increase pursuit of living donation. *Progress in Transplantation*. 2008; 18(1):55-62

58. Waterman AD, McSorley AM, Peipert JD, Goalby CJ, Peace LJ, Lutz PA et al. Explore Transplant at Home: a randomized control trial of an educational intervention to increase transplant knowledge for Black and White socioeconomically disadvantaged dialysis patients. *BMC Nephrology*. 2015; 16:150
59. Waterman AD, Robbins ML, Paiva AL, Peipert JD, Kynard-Amerson CS, Goalby CJ et al. Your Path to Transplant: a randomized controlled trial of a tailored computer education intervention to increase living donor kidney transplant. *BMC Nephrology*. 2014; 15:166
60. Wileman V, Chilcot J, Armitage CJ, Farrington K, Wellsted DM, Norton S et al. Evidence of improved fluid management in patients receiving haemodialysis following a self-affirmation theory-based intervention: A randomised controlled trial. *Psychology & Health*. 2016; 31(1):100-14
61. Winterbottom AE, Gavaruzzi T, Mooney A, Wilkie M, Davies SJ, Crane D et al. Patient acceptability of the Yorkshire Dialysis Decision Aid (YoDDA) booklet: A prospective non-randomized comparison study across 6 predialysis services. *Peritoneal Dialysis International*. 2016; 36(4):374-81
62. Wu IW, Wang SY, Hsu KH, Lee CC, Sun CY, Tsai CJ et al. Multidisciplinary predialysis education decreases the incidence of dialysis and reduces mortality--a controlled cohort study based on the NKF/DOQI guidelines. *Nephrology, Dialysis, Transplantation*. 2009; 24(11):3426-33
63. Zolfaghari M, Asgari P, Bahramnezhad F, AhmadiRad S, Haghani H. Comparison of two educational methods (family-centered and patient-centered) on hemodialysis: Related complications. *Iranian Journal of Nursing and Midwifery Research*. 2015; 20(1):87-92

## Appendices

### Appendix A: Review protocols

**Table 5: Review protocol: Review protocol for decision support interventions**

| Field   | Content  |
|---|--|
| Review question   | What is the clinical and cost effectiveness of decision support interventions for people who may require renal replacement therapy or conservative management?   |
| Type of review question   | Intervention   |
| Objective of the review   | Determine the clinical and cost effectiveness of decision support interventions for people who may require renal replacement therapy or conservative management  |
| Eligibility criteria – population / disease / condition / issue / domain    | Children, young people and adults with CKD stage 3 to 5<br><br>Stratified by: <ul style="list-style-type: none"> <li>• Age (&lt;2, 2 to &lt;18, 18 to &lt;70, ≥70)</li> <li>• BAME vs non-BAME</li> <li>• DM vs no DM</li> </ul>   |
| Eligibility criteria – intervention(s) / exposure(s) / prognostic factor(s) | <ul style="list-style-type: none"> <li>• Decision support aids (for example NHS Kidney Care Right Care)</li> <li>• Structured education programs (to involve &gt;1 direct contact between healthcare professional and patient, aimed at decision support)</li> </ul>   |
| Eligibility criteria – comparator(s) / control or reference (gold) standard | Either of the above strategies compared with each other or usual care (without decision support interventions). Active sham controls to be used for subgroup analysis in case of heterogeneity.  |
| Outcomes and prioritisation   | <p><b>Critical</b></p> <ul style="list-style-type: none"> <li>• Patient, family/carer health-related QoL (continuous)</li> <li>• Mortality (dichotomous and time to event)</li> <li>• Decisional quality/conflict (continuous)</li> </ul> <p><b>Important</b></p> <ul style="list-style-type: none"> <li>• Knowledge of relevant decision area (continuous)</li> <li>• Psychological distress and mental wellbeing (continuous)</li> <li>• Patient, family/carer experience of care (continuous)</li> </ul> <p>When outcomes are reported at multiple timepoints, the later timepoints will be prioritised. All outcomes must be reported after at least 4 weeks of the intervention under investigation. The outcomes of mortality and hospitalisation must be reported after at least 6 months.</p> <p>For quality of life, symptom scores/functional measures, psychological distress/mental wellbeing and experience of care, any validated measures will be accepted.</p> <p>Absolute MIDDs of 30 per 1000 will be used for mortality and modality failure. Absolute MIDDs of 100 per 1000 will be used for all other outcomes dichotomous outcomes. Where relative MIDDs are required (if absolute effects are unavailable), 0.90 to 1.11 will be used for mortality and modality failure. The default relative MIDDs of 0.8 to 1.25 will be</p> |



|  |   |
|--|---|
|  | used for all other dichotomous outcomes. Default continuous MIDDs of 0.5x SD will be used for all continuous outcomes, except where published, validated MIDDs exist.   |
| Eligibility criteria – study design                            | RCTs only, if insufficient RCT evidence, NRS that adjust for key confounders (age, ethnicity, comorbidities and baseline health) will be included. Knowledge/decision making outcomes can be extracted from NRS without adjusting for all key confounders, but must adjust for any baseline differences in those factors.   |
| Other inclusion exclusion criteria                             |   |
| Proposed sensitivity / subgroup analysis, or meta-regression   | <ul style="list-style-type: none"> <li>• Different modalities of RRT</li> </ul>   |
| Selection process – duplicate screening / selection / analysis | No duplicate screening was deemed necessary for this question, for more information please see the separate Methods report for this guideline.  |
| Data management (software)                                     | <ul style="list-style-type: none"> <li>• Pairwise meta-analyses were performed using Cochrane Review Manager (RevMan5).</li> <li>• GRADEpro was used to assess the quality of evidence for each outcome.</li> <li>• Endnote was used for bibliography, citations, sifting and reference management.</li> </ul>  |
| Information sources – databases and dates                      | <p>Clinical search databases to be used: Medline, Embase, Cochrane Library<br/>Date: All years</p> <p>Health economics search databases to be used: Medline, Embase, NHSEED, HTA<br/>Date: Medline, Embase from 2014<br/>NHSEED, HTA – all years</p> <p>Language: Restrict to English only</p> <p>Supplementary search techniques: backward citation searching</p> <p>Key papers: Not known</p>   |
| Identify if an update  | Not an update   |
| Author contacts  | <a href="https://www.nice.org.uk/guidance/indevelopment/gid-ng10019">https://www.nice.org.uk/guidance/indevelopment/gid-ng10019</a>   |
| Highlight if amendment to previous protocol                    | Not an amendment  |
| Search strategy – for one database                             | For details please see the separate search strategy appendices for the guideline.   |
| Data collection process – forms / duplicate                    | A standardised evidence table format will be used, and published as appendices of the evidence report.  |
| Data items – define all variables to be collected              | For details please see evidence tables in Appendix D (clinical evidence tables) or H (health economic evidence tables) of the evidence report.  |
| Methods for assessing bias at outcome / study level            | <p>Standard study checklists were used to critically appraise individual studies. For details please see section 6.2 of Developing NICE guidelines: the manual</p> <p>The risk of bias across all available evidence was evaluated for each outcome using an adaptation of the ‘Grading of Recommendations Assessment, Development and Evaluation (GRADE) toolbox’ developed by the international GRADE working group<br/><a href="http://www.gradeworkinggroup.org/">http://www.gradeworkinggroup.org/</a></p> |
| Criteria for quantitative synthesis                            | For details please see section 6.4 of Developing NICE guidelines: the manual.   |
| Methods for quantitative analysis – combining                  | For details please see the separate Methods report for this guideline.  |

|   |  |
|---|--|
| studies and exploring (in)consistency                             |  |
| Meta-bias assessment – publication bias, selective reporting bias | For details please see section 6.2 of Developing NICE guidelines: the manual.  |
| Confidence in cumulative evidence                                 | For details please see sections 6.4 and 9.1 of Developing NICE guidelines: the manual.   |
| Rationale / context – what is known                               | For details please see the introduction to the evidence report.  |
| Describe contributions of authors and guarantor                   | A multidisciplinary committee developed the guideline. The committee was convened by NGC and chaired by Jan Dudley in line with section 3 of Developing NICE guidelines: the manual. Staff from NGC undertook systematic literature searches, appraised the evidence, conducted meta-analysis and cost-effectiveness analysis where appropriate, and drafted the guideline in collaboration with the committee. For details please see the separate Methods report for this guideline. |
| Sources of funding / support                                      | NGC is funded by NICE and hosted by the Royal College of Physicians.   |
| Name of sponsor   | NGC is funded by NICE and hosted by the Royal College of Physicians.   |
| Roles of sponsor  | NICE funds NGC to develop guidelines for the NHS in England.   |
| PROSPERO registration number                                      | Not registered   |

**Table 6: Health economic review protocol**

| <b>Review question</b> | <b>All questions – health economic evidence</b>  |
|------------------------|--|
| <b>Objectives</b>      | To identify economic studies relevant to any of the review questions.  |
| <b>Search criteria</b> | <ul style="list-style-type: none"> <li>• Populations, interventions and comparators must be as specified in the individual review protocol above.</li> <li>• Studies must be of a relevant economic study design (cost-utility analysis, cost-effectiveness analysis, cost-benefit analysis, cost-consequences analysis, comparative cost analysis).</li> <li>• Studies must not be a letter, editorial or commentary, or a review of economic evaluations. (Recent reviews will be ordered although not reviewed; the bibliographies will be checked for relevant studies, which will then be ordered.)</li> <li>• Unpublished reports will not be considered unless submitted as part of a call for evidence.</li> <li>• Studies must be in English.</li> </ul>  |
| <b>Search strategy</b> | An economic study search will be undertaken using population-specific terms and an economic study filter – see Appendix B.2 Health economics literature search strategy.   |
| <b>Review strategy</b> | <p>Studies not meeting any of the search criteria above will be excluded. Studies published before 2001, abstract-only studies and studies from non-OECD countries or the USA will also be excluded.</p> <p>Each remaining study will be assessed for applicability and methodological limitations using the NICE economic evaluation checklist which can be found in Appendix G of the 2012 NICE guidelines manual.<sup>39</sup> Each included study is summarised in an economic evidence profile and an evidence table. Any excluded studies are detailed in the excluded studies table with the reason for exclusion in Appendix I.</p> <p><b>Inclusion and exclusion criteria</b></p> <ul style="list-style-type: none"> <li>• If a study is rated as both ‘Directly applicable’ and with ‘Minor limitations’ then it will be included in the guideline.</li> </ul> |

- If a study is rated as either 'Not applicable' or with 'Very serious limitations' then it will usually be excluded from the guideline.
- If a study is rated as 'Partially applicable', with 'Potentially serious limitations' or both then there is discretion over whether it should be included.

#### **Where there is discretion**

The health economist will make a decision based on the relative applicability and quality of the available evidence for that question, in discussion with the Committee if required. The ultimate aim is to include economic studies that are helpful for decision-making in the context of the guideline and the current NHS setting. If several studies are considered of sufficiently high applicability and methodological quality that they could all be included, then the health economist, in discussion with the Committee if required, may decide to include only the most applicable studies and to selectively exclude the remaining studies. For example, if a high quality study from a UK perspective is available a similar study from another country's perspective may be excluded.

The health economist will be guided by the following hierarchies.

#### *Setting:*

- UK NHS (most applicable).
- OECD countries with predominantly public health insurance systems (for example, France, Germany, Sweden).
- OECD countries with predominantly private health insurance systems (for example, Switzerland).
- Studies set in non-OECD countries or in the USA will have been excluded before being assessed for applicability and methodological limitations.

#### *Economic study type:*

- Cost-utility analysis (most applicable).
- Other type of full economic evaluation (cost-benefit analysis, cost-effectiveness analysis, cost-consequences analysis).
- Comparative cost analysis.
- Non-comparative cost analyses including cost-of-illness studies will have been excluded before being assessed for applicability and methodological limitations.

#### *Year of analysis:*

- The more recent the study, the more applicable it will be.
- Studies published in 2001 or later but that depend on unit costs and resource data entirely or predominantly from before 2001 will be rated as 'Not applicable'.
- Studies published before 2001 will have been excluded before being assessed for applicability and methodological limitations.

#### *Quality and relevance of effectiveness data used in the economic analysis:*

- The more closely the clinical effectiveness data used in the economic analysis matches with the outcomes of the studies included in the clinical review the more useful the analysis will be for decision-making in the guideline.
- The following will be rated as 'Very serious limitations' and excluded: economic analyses undertaken as part of clinical studies that are excluded from the clinical review; economic models where relative treatment effects are based entirely on studies that are excluded from the clinical review; comparative costing analyses that only look at the cost of delivering dialysis (as current UK NHS reference costs are considered a more relevant estimate of this for the guideline); within-trial economic analyses based on non-randomised studies that do not meet the minimum adjustment criteria outlined in the main review protocol.

## Appendix B: Literature search strategies

### B.1 Clinical search literature search strategy

The literature searches for this review are detailed below and complied with the methodology outlined in Developing NICE guidelines: the manual 2014, updated 2017  
<https://www.nice.org.uk/guidance/pmg20/resources/developing-nice-guidelines-the-manual-pdf-72286708700869>

*For more detailed information, please see the Methodology Review.*

Searches were constructed using a PICO framework where population (P) terms were combined with Intervention (I) and in some cases Comparison (C) terms. Outcomes (O) are rarely used in search strategies for interventions as these concepts may not be well described in title, abstract or indexes and therefore difficult to retrieve. Search filters were applied to the search where appropriate.

**Table 7: Database date parameters and filters used**

| Database                     | Dates searched   | Search filter used   |
|------------------------------|--|--|
| Medline (OVID)               | 1946 – 11 December 2017  | Exclusions<br>Randomised controlled trials<br>Systematic review studies<br>Observational studies |
| Embase (OVID)                | 1974 – 11 December 2017  | Exclusions<br>Randomised controlled trials<br>Systematic review studies<br>Observational studies |
| The Cochrane Library (Wiley) | Cochrane Reviews to 2017 Issue 12 of 12<br>CENTRAL to 2017 Issue 11 of 12<br>DARE, and NHSEED to 2015 Issue 2 of 4<br>HTA to 2016 Issue 4 of 4 | None   |

- Line 81 (Medline) and line 75 (Embase) were added to the search strategy to reduce the number of items retrieved for observational studies as the overall results from the search were very large.

This was checked to ensure that relevant studies were not excluded.

#### Medline (Ovid) search terms

|     |  |
|-----|--|
| 1.  | exp Renal Replacement Therapy/   |
| 2.  | ((renal or kidney) adj2 replace*).ti,ab.                               |
| 3.  | (hemodiafilt* or haemodiafilt* or (biofilt* adj1 acetate-free)).ti,ab. |
| 4.  | (hemodialys* or haemodialys*).ti,ab.                                   |
| 5.  | ((kidney* or renal) adj3 (transplant* or graft*)).ti,ab.               |
| 6.  | capd.ti,ab.  |
| 7.  | dialys*.ti,ab.   |
| 8.  | (artificial adj1 kidney*).ti,ab.                                       |
| 9.  | or/1-8   |
| 10. | limit 9 to English language  |
| 11. | letter/  |

|     |  |
|-----|--|
| 12. | editorial/   |
| 13. | news/  |
| 14. | exp historical article/  |
| 15. | Anecdotes as Topic/  |
| 16. | comment/   |
| 17. | case report/   |
| 18. | (letter or comment*).ti.   |
| 19. | or/11-18   |
| 20. | randomized controlled trial/ or random*.ti,ab.   |
| 21. | 19 not 20  |
| 22. | animals/ not humans/   |
| 23. | Animals, Laboratory/   |
| 24. | exp animal experiment/   |
| 25. | exp animal model/  |
| 26. | exp Rodentia/  |
| 27. | (rat or rats or mouse or mice).ti.   |
| 28. | or/21-27   |
| 29. | 10 not 28  |
| 30. | randomized controlled trial.pt.  |
| 31. | controlled clinical trial.pt.  |
| 32. | randomi#ed.ti,ab.  |
| 33. | placebo.ab.  |
| 34. | drug therapy.fs.   |
| 35. | randomly.ti,ab.  |
| 36. | trial.ab.  |
| 37. | groups.ab.   |
| 38. | or/30-37   |
| 39. | Clinical Trials as topic.sh.   |
| 40. | trial.ti.  |
| 41. | or/30-33,35,39-40  |
| 42. | Meta-Analysis/   |
| 43. | Meta-Analysis as Topic/  |
| 44. | (meta analy* or metanaly* or metaanaly* or meta regression).ti,ab.   |
| 45. | ((systematic* or evidence*) adj3 (review* or overview*)).ti,ab.  |
| 46. | (reference list* or bibliograph* or hand search* or manual search* or relevant journals).ab.   |
| 47. | (search strategy or search criteria or systematic search or study selection or data extraction).ab.  |
| 48. | (search* adj4 literature).ab.  |
| 49. | (medline or pubmed or cochrane or embase or psychlit or psyclit or psychinfo or psycinfo or cinahl or science citation index or bids or cancerlit).ab. |
| 50. | cochrane.jw.   |
| 51. | ((multiple treatment* or indirect or mixed) adj2 comparison*).ti,ab.   |
| 52. | or/42-51   |
| 53. | 29 and (41 or 52)  |
| 54. | exp Renal Replacement Therapy/   |

|     |   |
|-----|---|
| 55. | ((renal or kidney*) adj2 replace*).ti,ab.   |
| 56. | (hemodiafilt* or haemodiafilt* or haemofilt* or hemofilt*).ti,ab.   |
| 57. | (hemodialys* or haemodialys*).ti,ab.  |
| 58. | ((kidney* or renal or pre-empt* or preempt*) adj3 (transplant* or graft*)).ti,ab.   |
| 59. | (capd or apd or ccpd or dialys*).ti,ab.   |
| 60. | or/54-59  |
| 61. | letter/   |
| 62. | editorial/  |
| 63. | news/   |
| 64. | exp historical article/   |
| 65. | Anecdotes as Topic/   |
| 66. | comment/  |
| 67. | case report/  |
| 68. | (letter or comment*).ti.  |
| 69. | or/61-68  |
| 70. | randomized controlled trial/ or random*.ti,ab.  |
| 71. | 147 not 148   |
| 72. | animals/ not humans/  |
| 73. | Animals, Laboratory/  |
| 74. | exp Animal Experimentation/   |
| 75. | exp Models, Animal/   |
| 76. | exp Rodentia/   |
| 77. | (rat or rats or mouse or mice).ti.  |
| 78. | or/72-77  |
| 79. | 60 not 78   |
| 80. | limit 79 to English language  |
| 81. | (mycophenolic acid or azathioprine or sirolimus or everolimus or tacrolimus or cyclosporin* or steroid or calcineurin inhibitor or anaemi* or anemi* or vitamin d or immunosuppres*).ti. <sup>1</sup> |
| 82. | 80 not 81   |
| 83. | Epidemiologic studies/  |
| 84. | Observational study/  |
| 85. | exp Cohort studies/   |
| 86. | (cohort adj (study or studies or analys* or data)).ti,ab.   |
| 87. | ((follow up or observational or uncontrolled or non randomi#ed or epidemiologic*) adj (study or studies or data)).ti,ab.  |
| 88. | ((longitudinal or retrospective or prospective or cross sectional) and (study or studies or review or analys* or cohort* or data)).ti,ab.   |
| 89. | Controlled Before-After Studies/  |
| 90. | Historically Controlled Study/  |
| 91. | Interrupted Time Series Analysis/   |
| 92. | (before adj2 after adj2 (study or studies or data)).ti,ab.  |
| 93. | or/83-92  |
| 94. | Registries/   |
| 95. | Management Audit/ or Clinical Audit/ or Nursing Audit/ or Medical Audit/  |
| 96. | (registry or registries).ti,ab.   |

|      |  |
|------|--|
| 97.  | (audit or audits or auditor or auditors or auditing or auditable).ti,ab. |
| 98.  | or/94-97   |
| 99.  | 93 or 98   |
| 100. | 82 and 99  |
| 101. | 100 not 53   |
| 102. | 53 or 101  |

### Embase (Ovid) search terms

|     |  |
|-----|--|
| 1.  | exp *renal replacement therapy/  |
| 2.  | ((renal or kidney) adj2 replace*).ti,ab.                               |
| 3.  | (hemodiafilt* or haemodiafilt* or (biofilt* adj1 acetate-free)).ti,ab. |
| 4.  | (hemodialys* or haemodialys*).ti,ab.                                   |
| 5.  | ((kidney* or renal) adj3 (transplant* or graft*)).ti,ab.               |
| 6.  | capd.ti,ab.  |
| 7.  | dialys*.ti,ab.   |
| 8.  | (artificial adj1 kidney*).ti,ab.                                       |
| 9.  | or/1-8   |
| 10. | limit 9 to English language  |
| 11. | letter.pt. or letter/  |
| 12. | note.pt.   |
| 13. | editorial.pt.  |
| 14. | case report/ or case study/  |
| 15. | (letter or comment*).ti.   |
| 16. | or/11-15   |
| 17. | randomized controlled trial/ or random*.ti,ab.                         |
| 18. | 16 not 17  |
| 19. | animal/ not human/   |
| 20. | nonhuman/  |
| 21. | exp Animal Experiment/   |
| 22. | exp Experimental Animal/   |
| 23. | animal model/  |
| 24. | exp Rodent/  |
| 25. | (rat or rats or mouse or mice).ti.                                     |
| 26. | or/18-25   |
| 27. | 10 not 26  |
| 28. | random*.ti,ab.   |
| 29. | factorial*.ti,ab.  |
| 30. | (crossover* or cross over*).ti,ab.                                     |
| 31. | ((doubl* or singl*) adj blind*).ti,ab.                                 |
| 32. | (assign* or allocat* or volunteer* or placebo*).ti,ab.                 |
| 33. | crossover procedure/   |
| 34. | single blind procedure/  |
| 35. | randomized controlled trial/   |
| 36. | double blind procedure/  |
| 37. | or/28-36   |
| 38. | systematic review/   |

|     |   |
|-----|---|
| 39. | meta-analysis/  |
| 40. | (meta analy* or metanaly* or metaanaly* or meta regression).ti,ab.  |
| 41. | ((systematic or evidence) adj3 (review* or overview*)).ti,ab.   |
| 42. | (reference list* or bibliograph* or hand search* or manual search* or relevant journals).ab.  |
| 43. | (search strategy or search criteria or systematic search or study selection or data extraction).ab.   |
| 44. | (search* adj4 literature).ab.   |
| 45. | (medline or pubmed or cochrane or embase or psychlit or psyclit or psychinfo or psycinfo or cinahl or science citation index or bids or cancerlit).ab.  |
| 46. | cochrane.jw.  |
| 47. | ((multiple treatment* or indirect or mixed) adj2 comparison*).ti,ab.  |
| 48. | or/38-47  |
| 49. | 27 and (37 or 48)   |
| 50. | *renal replacement therapy/   |
| 51. | ((renal or kidney*) adj2 replace*).ti,ab.   |
| 52. | (hemodiafilt* or haemodiafilt* or haemofilt* or hemofilt*).ti,ab.   |
| 53. | (hemodialys* or haemodialys*).ti,ab.  |
| 54. | ((kidney* or renal or pre-empt* or preempt*) adj3 (transplant* or graft*)).ti,ab.   |
| 55. | (capd or apd or ccpd or dialys*).ti,ab.   |
| 56. | or/50-55  |
| 57. | letter.pt. or letter/   |
| 58. | note.pt.  |
| 59. | editorial.pt.   |
| 60. | case report/ or case study/   |
| 61. | (letter or comment*).ti.  |
| 62. | or/57-61  |
| 63. | randomized controlled trial/ or random*.ti,ab.  |
| 64. | 62 not 63   |
| 65. | animal/ not human/  |
| 66. | nonhuman/   |
| 67. | exp Animal Experiment/  |
| 68. | exp Experimental Animal/  |
| 69. | animal model/   |
| 70. | exp Rodent/   |
| 71. | (rat or rats or mouse or mice).ti.  |
| 72. | or/64-71  |
| 73. | 56 not 72   |
| 74. | limit 73 to English language  |
| 75. | (mycophenolic acid or azathioprine or sirolimus or everolimus or tacrolimus or cyclosporin* or steroid or calcineurin inhibitor or anaemi* or anemi* or vitamin d or immunosuppres*).ti. <sup>1</sup> |
| 76. | 74 not 75   |
| 77. | Clinical study/   |
| 78. | Observational study/  |
| 79. | family study/   |



|      |   |
|------|---|
| 80.  | longitudinal study/   |
| 81.  | retrospective study/  |
| 82.  | prospective study/  |
| 83.  | cohort analysis/  |
| 84.  | follow-up/  |
| 85.  | cohort*.ti,ab.  |
| 86.  | 84 and 85   |
| 87.  | (cohort adj (study or studies or analys* or data)).ti,ab.   |
| 88.  | ((follow up or observational or uncontrolled or non randomi#ed or epidemiologic*) adj (study or studies or data)).ti,ab.                  |
| 89.  | ((longitudinal or retrospective or prospective or cross sectional) and (study or studies or review or analys* or cohort* or data)).ti,ab. |
| 90.  | (before adj2 after adj2 (study or studies or data)).ti,ab.  |
| 91.  | or/77-83,86-90  |
| 92.  | register/   |
| 93.  | medical audit/  |
| 94.  | (registry or registries).ti,ab.   |
| 95.  | (audit or audits or auditor or auditors or auditing or auditable).ti,ab.  |
| 96.  | or/92-95  |
| 97.  | 91 or 96  |
| 98.  | 76 and 97   |
| 99.  | 98 not 49   |
| 100. | 49 or 99  |

#### Cochrane Library (Wiley) search terms

|     |  |
|-----|--|
| #1. | MeSH descriptor: [Renal Replacement Therapy] explode all trees                     |
| #2. | ((renal or kidney*) near/2 replace*).ti,ab   |
| #3. | (hemodiafilt* or haemodiafilt* or haemofilt* or hemofilt*).ti,ab                   |
| #4. | (hemodialys* or haemodialys*).ti,ab  |
| #5. | ((kidney* or renal or pre-empt* or preempt*) near/3 (transplant* or graft*)):ti,ab |
| #6. | (capd or apd or ccpd or dialys*).ti,ab   |
| #7. | (biofilt* near/1 acetate-free):ti,ab   |
| #8. | (artificial near/1 kidney*).ti,ab  |
| #9. | (or #1-#8)   |

## B.2 Health Economics literature search strategy

Health economic evidence was identified by conducting a broad search relating to renal replacement therapy population in NHS Economic Evaluation Database (NHS EED – this ceased to be updated after March 2015) and the Health Technology Assessment database (HTA) with no date restrictions. NHS EED and HTA databases are hosted by the Centre for Research and Dissemination (CRD). Additional searches were run on Medline and Embase for health economics.

**Table 8: Database date parameters and filters used**

| Database         | Dates searched          | Search filter used                     |
|------------------|-------------------------|--|
| Medline & Embase | 2014 – 11 December 2017 | Exclusions<br>Health economics studies |

| Database                                    | Dates searched                              | Search filter used |
|---|---|--------------------|
| Centre for Research and Dissemination (CRD) | HTA & NHS EED- Inception – 11 December 2017 | None               |

### Medline (Ovid) search terms

|     |  |
|-----|--|
| 1.  | exp Renal Replacement Therapy/   |
| 2.  | ((renal or kidney) adj2 replace*).ti,ab.                               |
| 3.  | (hemodiafilt* or haemodiafilt* or (biofilt* adj1 acetate-free)).ti,ab. |
| 4.  | (hemodialys* or haemodialys*).ti,ab.                                   |
| 5.  | ((kidney* or renal) adj3 (transplant* or graft*)).ti,ab.               |
| 6.  | capd.ti,ab.  |
| 7.  | dialys*.ti,ab.   |
| 8.  | (artificial adj1 kidney*).ti,ab.                                       |
| 9.  | or/1-8   |
| 10. | limit 9 to English language  |
| 11. | letter/  |
| 12. | editorial/   |
| 13. | news/  |
| 14. | exp historical article/  |
| 15. | Anecdotes as Topic/  |
| 16. | comment/   |
| 17. | case report/   |
| 18. | (letter or comment*).ti.   |
| 19. | or/11-18   |
| 20. | randomized controlled trial/ or random*.ti,ab.                         |
| 21. | 19 not 20  |
| 22. | animals/ not humans/   |
| 23. | Animals, Laboratory/   |
| 24. | exp animal experiment/   |
| 25. | exp animal model/  |
| 26. | exp Rodentia/  |
| 27. | (rat or rats or mouse or mice).ti.                                     |
| 28. | or/21-27   |
| 29. | 10 not 28  |
| 30. | Economics/   |
| 31. | Value of life/   |
| 32. | exp "Costs and Cost Analysis"/   |
| 33. | exp Economics, Hospital/   |
| 34. | exp Economics, Medical/  |
| 35. | Economics, Nursing/  |
| 36. | Economics, Pharmaceutical/   |
| 37. | exp "Fees and Charges"/  |
| 38. | exp Budgets/   |
| 39. | budget*.ti,ab.   |

|     |   |
|-----|---|
| 40. | cost*.ti.   |
| 41. | (economic* or pharmaco?economic*).ti.   |
| 42. | (price* or pricing*).ti,ab.   |
| 43. | (cost* adj2 (effective* or utilit* or benefit* or minimi* or unit* or estimat* or variable*)).ab. |
| 44. | (financ* or fee or fees).ti,ab.   |
| 45. | (value adj2 (money or monetary)).ti,ab.   |
| 46. | or/30-45  |
| 47. | 29 and 46   |

### Embase (Ovid) search terms

|     |  |
|-----|--|
| 1.  | exp renal replacement therapy/   |
| 2.  | ((renal or kidney) adj2 replace*).ti,ab.                               |
| 3.  | (hemodiafilt* or haemodiafilt* or (biofilt* adj1 acetate-free)).ti,ab. |
| 4.  | (hemodialys* or haemodialys*).ti,ab.                                   |
| 5.  | ((kidney* or renal) adj3 (transplant* or graft*)).ti,ab.               |
| 6.  | capd.ti,ab.  |
| 7.  | dialys*.ti,ab.   |
| 8.  | (artificial adj1 kidney*).ti,ab.                                       |
| 9.  | or/1-8   |
| 10. | limit 9 to English language  |
| 11. | letter.pt. or letter/  |
| 12. | note.pt.   |
| 13. | editorial.pt.  |
| 14. | case report/ or case study/  |
| 15. | (letter or comment*).ti.   |
| 16. | or/11-15   |
| 17. | randomized controlled trial/ or random*.ti,ab.                         |
| 18. | 16 not 17  |
| 19. | animal/ not human/   |
| 20. | nonhuman/  |
| 21. | exp Animal Experiment/   |
| 22. | exp Experimental Animal/   |
| 23. | animal model/  |
| 24. | exp Rodent/  |
| 25. | (rat or rats or mouse or mice).ti.                                     |
| 26. | or/18-25   |
| 27. | 10 not 26  |
| 28. | *health economics/   |
| 29. | exp *economic evaluation/  |
| 30. | exp *health care cost/   |
| 31. | exp *fee/  |

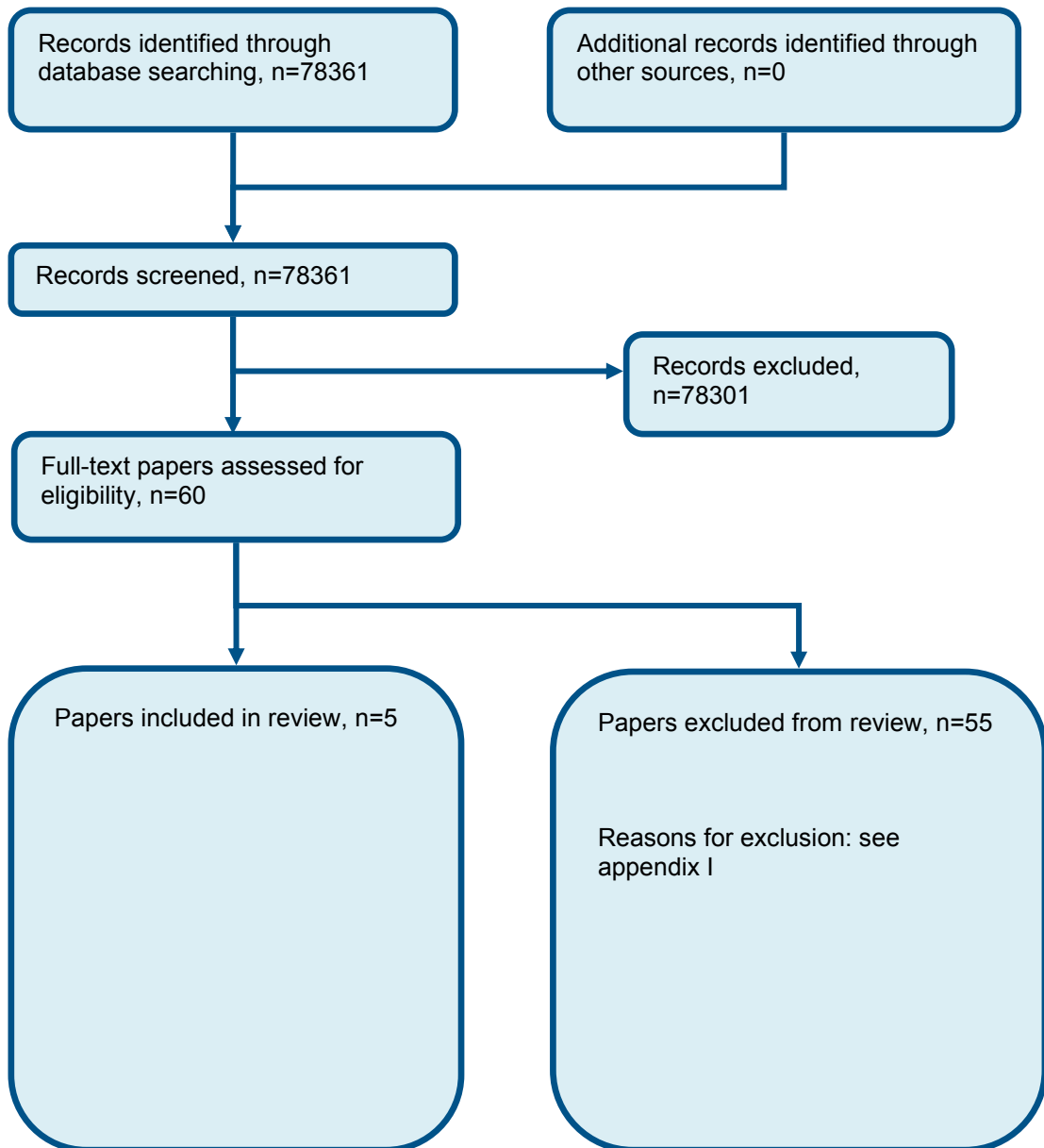
|     |   |
|-----|---|
| 32. | budget/   |
| 33. | funding/  |
| 34. | budget*.ti,ab.  |
| 35. | cost*.ti.   |
| 36. | (economic* or pharmaco?economic*).ti.   |
| 37. | (price* or pricing*).ti,ab.   |
| 38. | (cost* adj2 (effective* or utilit* or benefit* or minimi* or unit* or estimat* or variable*)).ab. |
| 39. | (financ* or fee or fees).ti,ab.   |
| 40. | (value adj2 (money or monetary)).ti,ab.   |
| 41. | or/28-40  |
| 42. | 27 and 41   |

### NHS EED and HTA (CRD) search terms

|     |   |
|-----|---|
| #1. | MeSH DESCRIPTOR Renal Replacement Therapy EXPLODE ALL TREES       |
| #2. | (((renal or kidney) adj2 replace*))                               |
| #3. | ((hemodiafilt* or haemodiafilt* or (biofilt* adj1 acetate-free))) |
| #4. | ((hemodialys* or haemodialys*))                                   |
| #5. | (((kidney* or renal) adj3 (transplant* or graft*)))               |
| #6. | (capd)  |
| #7. | (dialys*)   |
| #8. | ((artificial adj1 kidney*))                                       |
| #9. | #1 OR #2 OR #3 OR #4 OR #5 OR #6 OR #7 OR #8                      |

## Appendix C: Clinical evidence selection

Figure 1: Flow chart of clinical study selection for the review of co-ordinating care



## Appendix D: Clinical evidence tables

| Study (subsidiary papers)                   | Devins 2005 <sup>11</sup> (Binik 1993 <sup>3</sup> , Devins 2000 <sup>9</sup> )  |
|---|--|
| Study type                                  | RCT (Patient randomised; Parallel)   |
| Number of studies (number of participants)  | 1 (n=361 randomised, 47 at final follow-up)  |
| Countries and setting                       | Conducted in Canada; Setting: Five participating renal centres and their satellite units in Alberta and Quebec, 1983-1988  |
| Line of therapy                             | Adjunctive to current care   |
| Duration of study                           | 5 years. Follow up (post intervention): Outcomes available at time of intervention, and a range of time up to a mean 8.5y after intervention   |
| Method of assessment of guideline condition | Adequate method of assessment/diagnosis  |
| Stratum                                     | Overall  |
| Subgroup analysis within study              | Not stratified but pre-specified: Analyses early presenting and late presenting patients separately  |
| Inclusion criteria                          | Chronic deteriorating kidney disease, with a creatinine of 350umol or over, and an expectation that they would need RRT  |
| Exclusion criteria                          | Not defined  |
| Recruitment/selection of patients           | Randomised when identified, then approached about study. 261 identified, 57 excluded as declined (22), language barrier (10), death (4) or illness (18), or moving away from centre (4). Later study includes 588 patients, of whom 400 entered the study (unclear where extra people came from, as identical setting and recruitment dates, and refers to earlier papers as having more details on the cohort).   |
| Age, gender and ethnicity                   | Age - Range of means: mean 51.7 (int), mean 48.5 (control). Gender (M:F): 139 male, 65 female consented (female 32%). Ethnicity: Not stated  |
| Further population details                  |  |
| Extra comments                              | Group randomised to education had better health rating than control (mean 6.3(1.49) vs 6.0(1.50), and this was adjusted for in survival analyses. Marital status, employment and education did not differ between randomised groups, but did between early- and late-presenting. The 400-strong cohort is split into 172 early referred (saw a nephrologist >3 months prior to dialysis), and 163 late referred (within three months), with remaining not progressing to RRT (mostly as too ill) |
| Indirectness of population                  | No indirectness: Note randomised and then consented  |

|               |  |
|---------------|--|
| Interventions | <p>(n=172) Intervention 1: Education program. Predialysis psychoeducation programme (PPE): One-to-one meeting lasting 60-75 minutes with a Bachelor-level health educator with training specifically to deliver the PPE. Consisted of a slide-lecture presentation about the kidneys, dietary management of renal disease, and alternative methods of RRT, including haemodialysis, peritoneal dialysis and renal transplantation, with limited coverage of pharmaceutical regimen and fluid restriction. Patient was given ample opportunity to ask questions in the contents of the presentation and received a 22-page booklet to take home for future reference. Any questions about problems or symptoms were referred back to the treating physician. Duration Single session (60 minutes). Concurrent medication/care: As usual<br/>Comments: 39 of 172 pts randomised to PPE did not receive it. Earlier papers report 87 pts in this arm.</p> <p>(n=163) Intervention 2: Usual care. Standard education: None of the hospitals at the time had a formal pre-dialysis education programme. Information was available through the attending physician, through written materials, or via a referral to the nurse clinician if the nephrologist felt it appropriate. Thus the actual education received was likely to vary within and between hospitals. Duration No control intervention. Concurrent medication/care: As usual. Indirectness: Serious indirectness; Indirectness comment: It is acknowledged that this is no longer usual care<br/>Comments: In earlier papers, 92 participants</p> |
| Funding       | Principal author funded by industry (National health research and development program (Canada), two main authors also supported by Ortho-Biotech Inc)  |

**RESULTS (NUMBERS ANALYSED) AND RISK OF BIAS FOR COMPARISON: EDUCATION PROGRAM versus USUAL CARE**

**Protocol outcome 1: Mortality**

- Actual outcome: Survival from time of pre-dialysis education (corrected for age and non-renal health) at 20 years; RR; 1.32 (95%CI 1 to 1.74) (Mortality would be inverse of these values, i.e. 0.76 (0.57-1.00): median survival 7.84 (PPE) 5.07 (usual)) , Comments: 67% died during follow-up;  
Risk of bias: All domain - Very high, Selection - High, Blinding - Low, Incomplete outcome data - High, Outcome reporting - Low, Measurement - High, Crossover - Low, Subgroups - Low, Other 1 - Low, Other 2 - Low, Other 3 - Low, Comments - Unclear randomisation procedure. Reports ITT (excludes those who were excluded after randomisation and before the intervention, but includes those who did not attend scheduled education in intervention group) - ? plausible that no missing data for survival at 20 years. Hazard ratio would be preferable method of reporting for this length of follow-up with high mortality. Inconsistency in numbers between papers. Stratifies by early/late presenters, but then gives one summary stat.; Indirectness of outcome: No indirectness ; Baseline details: health at baseline reported to be different, and subsequently corrected for.; Group 1 Number missing: 39, Reason: Did not attend education session; Group 2 Number missing: 0

**Protocol outcome 2: Knowledge of decision area**

- Actual outcome: Changes in ESRD-Related knowledge and the 'education effect' - KDQ form A at 5 years; Group 1: mean 2.62 (SD 2.47); n=87, Group 2: mean -0.26 (SD 2.06); n=92

Risk of bias: All domain - Very high, Selection - High, Blinding - Low, Incomplete outcome data - High, Outcome reporting - Low, Measurement - High, Crossover - Low, Subgroups - Low, Other 1 - Low, Other 2 - Low, Other 3 - Low, Comments - Unclear randomisation procedure. Reports ITT (excludes those who were excluded after randomisation and before the intervention, but includes those who did not attend scheduled education in intervention group) - ? plausible that no missing data for survival at 20 years. Hazard ratio would be preferable method of reporting for this length of follow-up with high mortality. Inconsistency in numbers between papers. Stratifies by early/late presenters, but then gives one summary stat.; Indirectness of outcome: No indirectness ; Baseline details: health at baseline reported to be different, and subsequently corrected for.; Group 1 Number missing: 25, Reason: 8 - refused to participate in rest of experiment, 5 - because of language or intellectual difficulties in understanding the educational program, 10 - because of experimental error and 2 - because they became too ill during the period of time when the education program was to be administered. ; Group 2 Number missing: 0

Protocol outcomes not reported by the study

Quality of life ; Decisional quality/conflict ; Psychological distress/mental wellbeing ; Experience of care



| Study                                       | Ismail 2014 <sup>22</sup>  |
|---|--|
| Study type                                  | RCT (Patient randomised; Parallel)   |
| Number of studies (number of participants)  | 1 (n=163)  |
| Countries and setting                       | Conducted in Netherlands; Setting: Consisted of 2 sessions at the patients home.   |
| Line of therapy                             | Adjunctive to current care   |
| Duration of study                           | Intervention + follow up: 4 weeks + 6 months   |
| Method of assessment of guideline condition | Adequate method of assessment/diagnosis  |
| Stratum                                     | Overall  |
| Subgroup analysis within study              | Not applicable   |
| Inclusion criteria                          | Eligible candidates were either newly referred for transplant preparation or already listed for DDKT from both Western and non-Western descent. Eligible candidates were required to be >18 years and medically (e.g. no hospital admission) and mentally fit (e.g. no mental deterioration).  |
| Exclusion criteria                          | Not stated.  |
| Recruitment/selection of patients           | Patients were invited to participate by the home educators after at least two consultations with one of the transplant nephrologists.  |
| Age, gender and ethnicity                   | Age - Mean (SD): 54.71 (13.25). Gender (M:F): 93 male, 70 female. Ethnicity: Dutch 65, Antillean 29, Moroccan 17, Turkish 15, Cape Verdean 7, Asian 15 and other 15.   |
| Further population details                  |  |
| Indirectness of population                  | No indirectness  |
| Interventions                               | (n=84) Intervention 1: Education program. Home-based education program - Consisted of 2 sessions at the patients' home. During the first visit (approximately 1 hr) the family network of the patients was depicted on a sociogram by the educators in order to familiarize themselves with the family structure and to recognize the values of that particular social system. At the end of the first session, the educators helped the patient to make a list of individuals who they were going to invite for the second session. The goal of the second session (approximately 2.5 h) was to provide information and support communication; therefore, it was not necessary that all the invitees were potential donors. The process of the intervention was based on principles and communication techniques drawn from multi systemic therapy (MST). The educators stimulated an open communication between the patient and the family members and used the strengths and possibilities of the natural network of the patient. The objective of MST is to achieve a lasting consensus on the patient's goals and how these goals can be reached with engagement and/or support of his/her social ecology. The second session was organized in such a way that the educators had to do "whatever it takes," in line with one of the |

|   |   |
|---|---|
|   | <p>basic principles of MST, to achieve that lasting consensus on the various renal replacement therapies. . Duration 4 weeks . Concurrent medication/care: Both groups received standard care. . Indirectness: No indirectness</p> <p>(n=79) Intervention 2: Usual care. Standard care - all newly registered patients visiting our pre transplantation outpatient clinic receive consultations with a transplant nephrologist, a transplant coordinator and a social worker. After that all patients receive a yearly check-up with the nephrologist or a nurse practitioner. In addition to verbal information, patients receive a variety of written educational material and a DVD regarding the various living donation and transplantation programs (e.g. national exchange). Duration 4 weeks. Concurrent medication/care: Both groups received standard care. . Indirectness: No indirectness</p> |
| Funding   | Other (This study is funded by the Netherlands Kidney Foundation.)  |
| <p><b>RESULTS (NUMBERS ANALYSED) AND RISK OF BIAS FOR COMPARISON: EDUCATION PROGRAM versus USUAL CARE</b></p> <p>Protocol outcome 1: Knowledge of decision area<br/>         - Actual outcome for BAME: Knowledge - assessed with the Rotterdam Renal Replacement Knowledge Test (R3K-T). at 4 weeks PT; Group 1: mean 14.8 (SD 0.5); n=84, Group 2: mean 11.9 (SD 0.6); n=79<br/>         Risk of bias: All domain - Very high, Selection - High, Blinding - High, Incomplete outcome data - Low, Outcome reporting - Low, Measurement - Low, Crossover - Low, Subgroups - Low; Indirectness of outcome: No indirectness ; Baseline details: Control - 14.9, Experimental - 16.3; Group 1 Number missing: 8, Reason: Patients were unable to find individuals in their social network to be present during the educational session or that patients received a DDKT before receiving the educational session (2/*8). ; Group 2 Number missing: 0, Reason: N/A<br/>         - Actual outcome for non-BAME: Knowledge - assessed with the Rotterdam Renal Replacement Knowledge Test (R3K-T). at 4 weeks PT; Group 1: mean 18.1 (SD 0.8); n=84, Group 2: mean 15.3 (SD 0.6); n=79<br/>         Risk of bias: All domain - High, Selection - Low, Blinding - High, Incomplete outcome data - Low, Outcome reporting - Low, Measurement - Low, Crossover - Low, Subgroups - Low; Indirectness of outcome: No indirectness ; Baseline details: Control - 11.7, Experimental - 11.2; Group 1 Number missing: 8, Reason: Patients were unable to find individuals in their social network to be present during the educational session or that patients received a DDKT before receiving the educational session (2/*8). ; Group 2 Number missing: 0, Reason: N/A</p> |   |
| Protocol outcomes not reported by the study   | Quality of life ; Mortality ; Decisional quality/conflict ; Psychological distress/mental wellbeing ; Experience of care  |

| Study                                       | Living ACTS trial: Arriola 2014 <sup>1</sup>   |
|---|--|
| Study type                                  | RCT (Patient randomised; Parallel)   |
| Number of studies (number of participants)  | 1 (n=296)  |
| Countries and setting                       | Conducted in USA; Setting: Single centre transplant programme  |
| Line of therapy                             | Adjunctive to current care   |
| Duration of study                           | Intervention + follow up: 6 months   |
| Method of assessment of guideline condition | Adequate method of assessment/diagnosis: All awaiting outpatient evaluation for kidney transplant  |
| Stratum                                     | BAME: All Black ethnicity, 93% Black American  |
| Subgroup analysis within study              | Not applicable   |
| Inclusion criteria                          | Age 18 or over. Awaiting output transplant evaluation. Self-identify as Black.   |
| Exclusion criteria                          | Not medically suitable for transplant, DNA evaluation appointment, decline to participate  |
| Recruitment/selection of patients           | 8-month period until met desired study size (unclear when, before 2014). 762 considered, 466 excluded due to not meeting inclusion (263), DNA (105), not approached (85), declined (13)  |
| Age, gender and ethnicity                   | Age - Mean (range): 51.7 (20-76). Gender (M:F): 11:9. Ethnicity: All self-identifying as Black/African American  |
| Further population details                  |  |
| Extra comments                              | Other characteristics: married 40%, high school degree 89%, professional degree 6%, unemployed 78%, private health insurance 41%, at least 60% participants had already been on dialysis at least six months, at least 36% have been on dialysis for over 2y   |
| Indirectness of population                  | No indirectness  |
| Interventions                               | (n=149) Intervention 1: Education program. Shown DVD: Living ACTS - About Choices in Transplantation and Sharing. General premise was live donor transplantation is practical treatment option to explore among patients requiring RRT. Vehicle is personal stories that emphasise the role of family and factual information from health care professionals. Key points: Live donors/recipients discuss the decision to pursue living donation; medical practitioners discuss the benefits of live donor transplant over deceased donor transplant; transplant social worker discusses the process; medical provider discusses importance of preventing organ rejection; signpost to resources. Shown during evaluation appointment, then given lunch bag with Living ACTS DVD and Living ACTS information booklet. Duration Once, further follow-up at six months. Concurrent medication/care: Both groups received standard transplant material, written and via online course, which included information about the process of transplant, risk and benefits of transplant (both live and deceased donor), and living with a new kidney. Indirectness: Serious indirectness; Indirectness comment: Regarding the decision of live donor transplantation only |

|   |   |
|---|---|
|   | <p>Comments: 13 lost to follow-up</p> <p>(n=147) Intervention 2: Usual care. Shown DVD (for attention control): Exercise, Live Well and Feel Better. General premise, dialysis patients can improve their circumstances through exercise. Vehicle, personal stories (no mention of transplant). Duration Once, with follow up at 6 months. Concurrent medication/care: Both groups received standard transplant material, written and via online course, which included information about the process of transplant, risk and benefits of transplant (both live and deceased donor), and living with a new kidney. Indirectness: No indirectness<br/>Comments: 15 lost to follow-up</p> |
| Funding   | Academic or government funding (Health Resources and Services Administration Division of Transplantation)   |
| <p><b>RESULTS (NUMBERS ANALYSED) AND RISK OF BIAS FOR COMPARISON: EDUCATION PROGRAM versus USUAL CARE</b></p> <p>Protocol outcome 1: Knowledge of decision area<br/>         - Actual outcome for BAME: Knowledge of LDKT at 6 months; Group 1: mean 14.73 (SD 2.14); n=149, Group 2: mean 14.35 (SD 2.37); n=147;<br/>         Knowledge of LKDT 0-18 Top=High is good outcome<br/>         Risk of bias: All domain - Very high, Selection - High, Blinding - Low, Incomplete outcome data - Low, Outcome reporting - Low, Measurement - High, Crossover - Low, Subgroups - Low, Other 1 - Low, Other 2 - Low, Other 3 - Low, Comments - Randomisation/allocation not described, not blinded but objective measure. Unvalidated scale.; Indirectness of outcome: No indirectness, Comments: Score is index devised by authors using 18 true-false questions, with number of correct items summed; Baseline details: Knowledge at baseline similar: Int 14.41(2.12) control 14.30(2.02), as are education level and health insurance; Blinding details: Attention control provides some blinding, but would be aware they are being tested on something they had seen or not; Group 1 Number missing: 13, Reason: lost to follow-up; Group 2 Number missing: 15, Reason: lost to follow-up</p> |   |
| Protocol outcomes not reported by the study   | Quality of life ; Mortality ; Decisional quality/conflict ; Psychological distress/mental wellbeing ; Experience of care  |

| Study                                       | Manns 2005 <sup>30</sup>  |
|---|---|
| Study type                                  | RCT (Patient randomised; Parallel)  |
| Number of studies (number of participants)  | 1 (n=70)  |
| Countries and setting                       | Conducted in Canada   |
| Line of therapy                             | Adjunctive to current care  |
| Duration of study                           | Intervention time: 1 year   |
| Method of assessment of guideline condition | Adequate method of assessment/diagnosis   |
| Stratum                                     | Overall   |
| Subgroup analysis within study              | Not applicable  |
| Inclusion criteria                          | Patients who had been seen at least once by this multidisciplinary progressive renal care team (and therefore received standard teaching about the dialytic modality choices) and had a GFR <30 mL/min/1.73m <sup>2</sup> were eligible for enrolment.  |
| Exclusion criteria                          | Exclusion criteria included the following: patients with cognitive dysfunction (i.e. significant dementia), non-English speaking patients (unless they had family members who spoke English and could translate since all education materials were written in English), patients who were not personally independent based on assessment by study nurse (i.e. unable to do own activities of daily living), patients who were currently on dialysis (since our educational materials and small group sessions were focused on pre-dialysis education), and patients who were unable or unwilling to provide informed consent.   |
| Recruitment/selection of patients           | Patients were enrolled from the Southern Alberta Renal Program (SARP) progressive renal care clinic.  |
| Age, gender and ethnicity                   | Age - Other: Mean - 64.4 (59.05, 69.7). Gender (M:F): 38 male, 32 female. Ethnicity: Not stated.  |
| Further population details                  |   |
| Indirectness of population                  | No indirectness   |
| Interventions                               | (n=35) Intervention 1: Education program. Standard Care and Educational intervention - Patients received 4 written manuals and a 15 minute video, produced locally, which detailed visually the different types of dialysis and the potential advantages and disadvantages of self-care dialysis, including patient testimonials that described the impact of the different modalities on everyday life. The second component of the education, which occurred 2 weeks after the educational material was given to patients, involved a 90 minute small group interactive session involving 3 to 6 patients (plus family members), a nephrologist and a pre-dialysis nurse. The main teaching format was problem-based learning in small groups focused around cases that were representative of the local population. The session began with a brain storming session in which the participants described the advantages and disadvantages of self-care dialysis based on their current knowledge. Following this, the participants separated into 2 smaller groups where they “problem- |

|   |  |
|---|--|
|   | <p>solved” a “dialysis scenario”. The educational intervention was specifically designed to incorporate both predisposing interventions (written manuals and video; phase 1) and an enabling intervention (small group session; phase 2). Duration 1 year . Concurrent medication/care: All patients receive teaching about kidney disease, including dietary instructions and detailed information about the different modalities of renal replacement therapy. This occurs via an initial 3 hour one on one session where patients are seen by a nurse, dietician and social worker. Patients are then followed by their nephrologist and the multidisciplinary care team every 3 to 6 months. Indirectness: No indirectness</p> <p>(n=35) Intervention 2: Usual care. All patients receive teaching about kidney disease, including dietary instructions and detailed information about the different modalities of renal replacement therapy. This occurs via an initial 3 hour one on one session where patients are seen by a nurse, dietician and social worker. Patients are then followed by their nephrologist and the multidisciplinary care team every 3 to 6 months. . Duration 1 year . Concurrent medication/care: All patients receive teaching about kidney disease, including dietary instructions and detailed information about the different modalities of renal replacement therapy. This occurs via an initial 3 hour one on one session where patients are seen by a nurse, dietician and social worker. Patients are then followed by their nephrologist and the multidisciplinary care team every 3 to 6 months. Indirectness: No indirectness</p> |
| Funding   | Study funded by industry (This research was supported by the Southern Alberta Renal Program, Calgary Health Trust Funds. )   |
| <p><b>RESULTS (NUMBERS ANALYSED) AND RISK OF BIAS FOR COMPARISON: EDUCATION PROGRAM versus USUAL CARE</b></p> <p>Protocol outcome 1: Mortality<br/>         - Actual outcome: Mortality at 1 year PT ; Group 1: 2/35, Group 2: 0/35<br/>         Risk of bias: All domain - Very high, Selection - High, Blinding - High, Incomplete outcome data - High, Outcome reporting - Low, Measurement - Low, Crossover - Low, Subgroups - Low; Indirectness of outcome: No indirectness ; Group 1 Number missing: 7, Reason: 2 died, 1 started PD, 2 transplanted, 2 did not return second questionnaire. ; Group 2 Number missing: 1, Reason: Started PD.</p> |  |
| Protocol outcomes not reported by the study   | Quality of life ; Decisional quality/conflict ; Knowledge of decision area ; Psychological distress/mental wellbeing ; Experience of care  |

| Study                                       | Winterbottom 2016 <sup>61</sup>  |
|---|--|
| Study type                                  | Non randomised study   |
| Number of studies (number of participants)  | 1 (n=189)  |
| Countries and setting                       | Conducted in United Kingdom; Setting: In referral centres.   |
| Line of therapy                             | Adjunctive to current care   |
| Duration of study                           | Intervention time: 6 weeks   |
| Method of assessment of guideline condition | Adequate method of assessment/diagnosis  |
| Stratum                                     | Overall  |
| Subgroup analysis within study              | Not applicable   |
| Inclusion criteria                          | All adult patients with chronic kidney disease referred to the Yorkshire-Humber pre dialysis services over the study period were eligible for inclusion in this study, an estimated 67 patients per month.   |
| Exclusion criteria                          | N/A.   |
| Recruitment/selection of patients           | Patients referred to pre dialysis services. Research nurses informed patients of the study either at the clinic or by mail.  |
| Age, gender and ethnicity                   | Age - Mean (SD): 62.64 (14.44). Gender (M:F): 120 male, 69 female. Ethnicity: 170 white, remainder other   |
| Further population details                  |  |
| Indirectness of population                  | No indirectness  |
| Interventions                               | <p>(n=84) Intervention 1: Decision aids. Usual care and YoDDA - Included usual pre dialysis care plus a copy of the YoDDA booklet, or the YoDDA booklet with additional self-report questions about their lifestyle and values (VT), delivered by pre dialysis staff. The YoDDA booklet was developed using a systematic method. They applied decision support techniques to identify and structure the decision-relevant information in the context of disease management (48–50), de-bias the information presented, and encourage active reasoning about options in accordance with a person's values. The YoDDA booklet can be used independently by patients, their carers and their family, and/or with staff delivering pre-dialysis care. It is 44 pages long with 5 sections. Duration 6 weeks . Concurrent medication/care: Both groups received usual care. Usual care involved education (e.g. consultations, leaflets/videos, peer meetings, home visits) about conservative care and renal replacement therapy options for patients delivered by pre dialysis staff. Indirectness: No indirectness</p> <p>(n=105) Intervention 2: Usual care. Usual care involved education (e.g. consultations, leaflets/videos, peer meetings, home visits) about conservative care and renal replacement therapy options for patients delivered by pre dialysis staff. Duration 6 weeks. Concurrent medication/care: Both groups received usual care. Usual</p> |

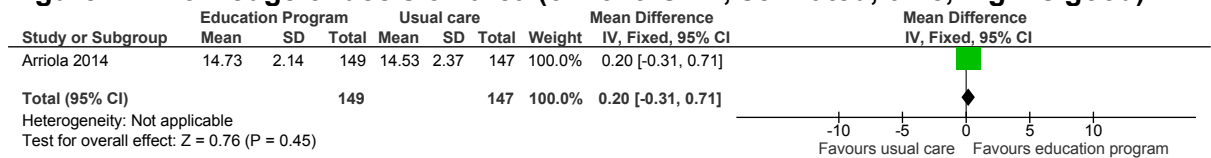
|  |  |
|--|--|
|  | care involved education (e.g. consultations, leaflets/videos, peer meetings, home visits) about conservative care and renal replacement therapy options for patients delivered by pre dialysis staff. Indirectness: No indirectness                                      |
| Funding  | Study funded by industry (Unrestricted projects were provided by: Kidney Research UK, in partnership with Baxter Health Care Ltd, the British renal society and renal association; the Yorkshire Kidney research fund, UK; informed medical decisions foundation, USA. ) |
| <p><b>RESULTS (NUMBERS ANALYSED) AND RISK OF BIAS FOR COMPARISON: DECISION AIDS versus USUAL CARE</b></p> <p>Protocol outcome 1: Decisional quality/conflict<br/>         - Actual outcome: Patients' decisional conflict scores at 6 weeks PT; Group 1: mean 13.6 (SD 9.75); n=84, Group 2: mean 13.83 (SD 9.37); n=105<br/>         Risk of bias: All domain - Very high, Selection - High, Blinding - High, Incomplete outcome data - Low, Outcome reporting - Low, Measurement - Low, Crossover - Low, Subgroups - Low; Indirectness of outcome: No indirectness ; Key confounders: Age, ethnicity, co-morbidities and baseline health; Group 1 Number missing: 0; Group 2 Number missing: 0</p> <p>Protocol outcome 2: Knowledge of decision area<br/>         - Actual outcome: Patients views of pre dialysis written information by study group - 'the information was enough to make a decision' at 6 weeks PT; Group 1: mean 4.06 (SD 1.39); n=84, Group 2: mean 3.62 (SD 1.73); n=105<br/>         Risk of bias: All domain - Very high, Selection - High, Blinding - High, Incomplete outcome data - Low, Outcome reporting - Low, Measurement - Low, Crossover - Low, Subgroups - Low; Indirectness of outcome: No indirectness ; Key confounders: Age, ethnicity, co-morbidities and baseline health; Group 1 Number missing: 0; Group 2 Number missing: 0</p> <p>Protocol outcome 3: Experience of care<br/>         - Actual outcome: Satisfaction with the pre dialysis team at 6 weeks PT; Group 1: mean 8.66 (SD 1.67); n=84, Group 2: mean 8.13 (SD 2.34); n=105<br/>         Risk of bias: All domain - Very high, Selection - High, Blinding - High, Incomplete outcome data - Low, Outcome reporting - Low, Measurement - Low, Crossover - Low, Subgroups - Low; Indirectness of outcome: No indirectness ; Key confounders: Age, ethnicity, co-morbidities and baseline health; Group 1 Number missing: 0; Group 2 Number missing: 0</p> |  |
| Protocol outcomes not reported by the study  | Quality of life ; Mortality ; Psychological distress/mental wellbeing  |



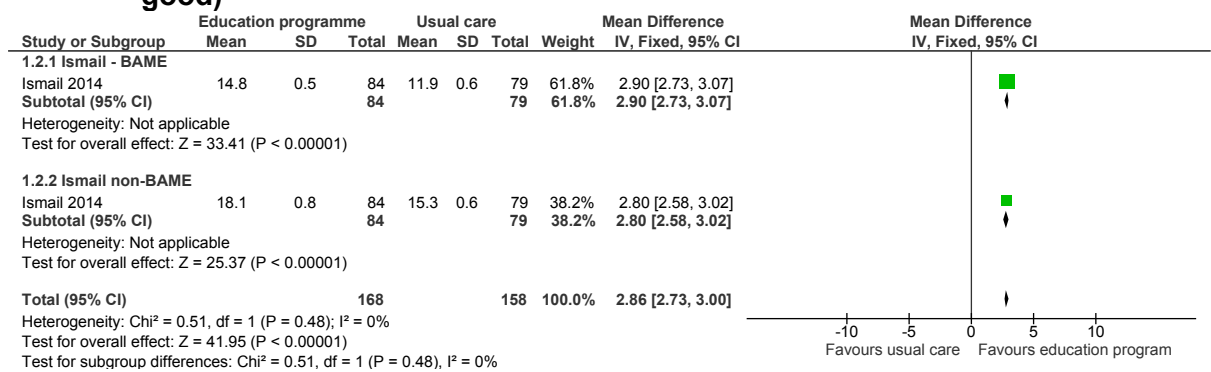
# Appendix E: Forest plots

## E.1 Education programme vs usual care

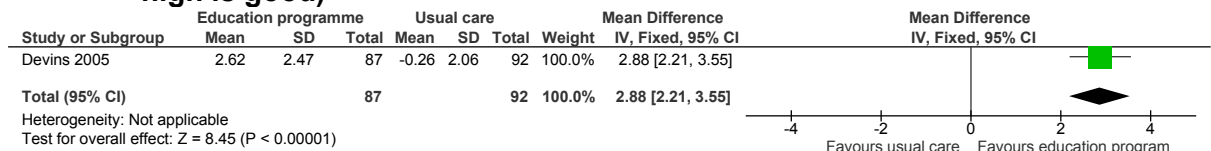
**Figure 2: Knowledge of decision area (6 months PT, self rated, 0-18, high is good)**



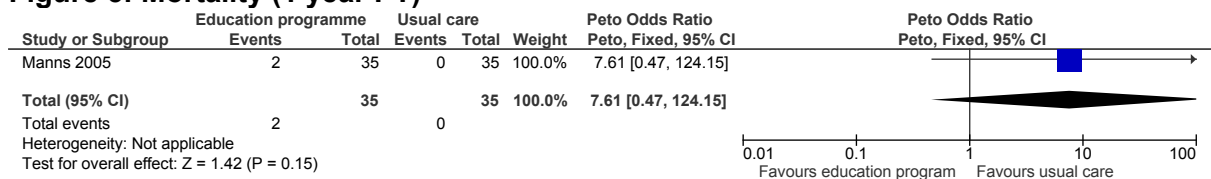
**Figure 3: Knowledge of decision area (4 weeks PT, self rated, 0-18, R3K-T, high is good)**



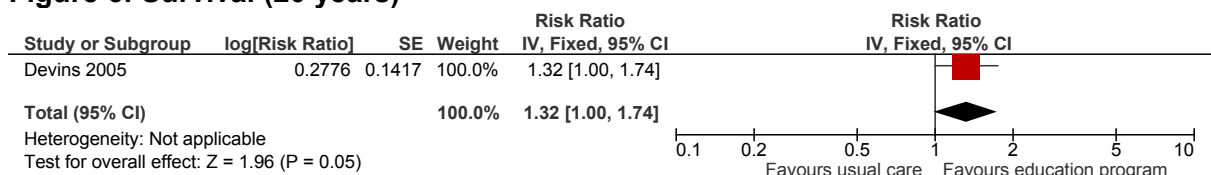
**Figure 4: Knowledge of decision area (5 years, self rated, change score, KDQ form A, high is good)**



**Figure 5: Mortality (1 year PT)**

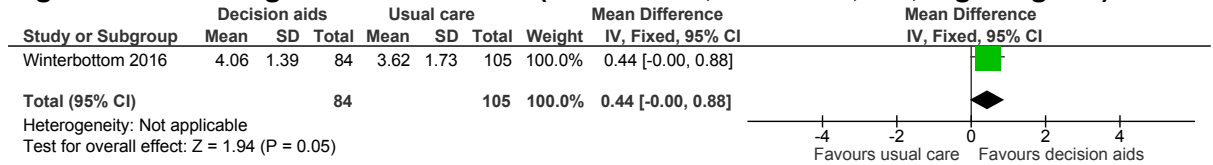


**Figure 6: Survival (20 years)**

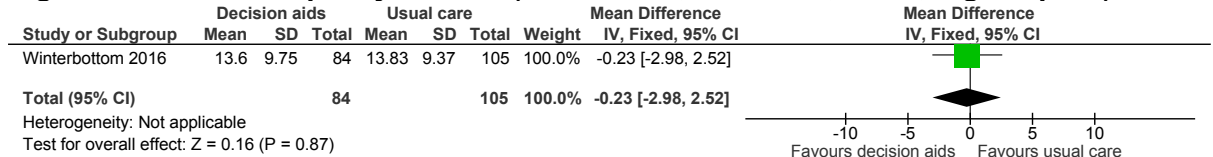


## E.2 Decision aids vs usual care

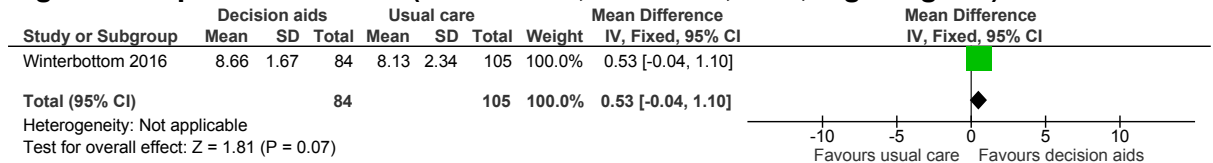
**Figure 7: Knowledge of decision area (6 weeks PT, self rated, 0-6, high is good)**



**Figure 8: Decisional quality/conflict (6 weeks PT, self-rated, 0-100, high is poor)**



**Figure 9: Experience of care (6 weeks PT, self rated, 0-15, high is good)**



# Appendix F: GRADE tables

**Table 9: Clinical evidence profile: Education programme versus usual care**

| Quality assessment  |                   |                           |                          |                         |                        |                      | No of patients    |            | Effect                |   | Quality          | Importance |
|---|-------------------|---------------------------|--------------------------|-------------------------|------------------------|----------------------|-------------------|------------|-----------------------|---|------------------|------------|
| No of studies   | Design            | Risk of bias              | Inconsistency            | Indirectness            | Imprecision            | Other considerations | Education program | Usual care | Relative (95% CI)     | Absolute                                  |                  |            |
| <b>Knowledge of decision area (6 months PT, self rated, 0-18, high is good) (follow-up 6 months; Better indicated by lower values)</b>                        |                   |                           |                          |                         |                        |                      |                   |            |                       |   |                  |            |
| 1   | randomised trials | very serious <sup>1</sup> | no serious inconsistency | no serious indirectness | no serious imprecision | none                 | 149               | 147        | -                     | MD 0.2 higher (0.31 lower to 0.71 higher) | ⊕⊕○○<br>LOW      | CRITICAL   |
| <b>Knowledge of decision area (4 weeks PT, self rated, 0-18, R3K-T, high is good) - Ismail - BAME (follow-up 4 weeks; Better indicated by lower values)</b>   |                   |                           |                          |                         |                        |                      |                   |            |                       |   |                  |            |
| 1   | randomised trials | very serious <sup>1</sup> | no serious inconsistency | no serious indirectness | no serious imprecision | none                 | 84                | 79         | -                     | MD 2.9 higher (2.73 to 3.07 higher)       | ⊕⊕○○<br>LOW      | CRITICAL   |
| <b>Knowledge of decision area (4 weeks PT, self rated, 0-18, R3K-T, high is good) - Ismail non-BAME (follow-up 4 weeks; Better indicated by lower values)</b> |                   |                           |                          |                         |                        |                      |                   |            |                       |   |                  |            |
| 1   | randomised trials | serious <sup>2</sup>      | no serious inconsistency | no serious indirectness | no serious imprecision | none                 | 84                | 79         | -                     | MD 2.8 higher (2.58 to 3.02 higher)       | ⊕⊕⊕○<br>MODERATE | CRITICAL   |
| <b>Knowledge of decision area (5 years, self rated, change score, KDQ form A, high is good) (follow-up 5 years; Better indicated by lower values)</b>         |                   |                           |                          |                         |                        |                      |                   |            |                       |   |                  |            |
| 1   | randomised trials | very serious <sup>1</sup> | no serious inconsistency | no serious indirectness | no serious imprecision | none                 | 87                | 92         | -                     | MD 2.88 higher (2.21 to 3.55 higher)      | ⊕⊕○○<br>LOW      | CRITICAL   |
| <b>Mortality (1 year PT) (follow-up mean 1 years)</b>   |                   |                           |                          |                         |                        |                      |                   |            |                       |   |                  |            |
| 1   | randomised trials | very serious <sup>1</sup> | no serious inconsistency | no serious indirectness | serious <sup>3</sup>   | none                 | 2/35<br>(5.7%)    | 0%         | RR 5 (0.25 to 100.53) | -   | ⊕○○○<br>VERY LOW | CRITICAL   |
| <b>Survival (20 years) (follow-up 20 years; Better indicated by higher values)</b>  |                   |                           |                          |                         |                        |                      |                   |            |                       |   |                  |            |
| 1   | randomised trials | very serious <sup>1</sup> | no serious inconsistency | no serious indirectness | no serious imprecision | none                 | 172               | 163        | -                     | SMD 0.8551 higher (0.63 to 1.08 higher)   | ⊕⊕○○             | CRITICAL   |

|  |  |  |  |  |  |  |  |  |  |  |  |     |  |
|--|--|--|--|--|--|--|--|--|--|--|--|-----|--|
|  |  |  |  |  |  |  |  |  |  |  |  | LOW |  |
|--|--|--|--|--|--|--|--|--|--|--|--|-----|--|

<sup>1</sup> Downgraded by 2 increments if the majority of the evidence was at very high risk of bias.  
<sup>2</sup> Downgraded by 1 increment if the majority of the evidence was at high risk of bias.  
<sup>3</sup> Downgraded by 1 increment if the confidence interval crossed one MID.

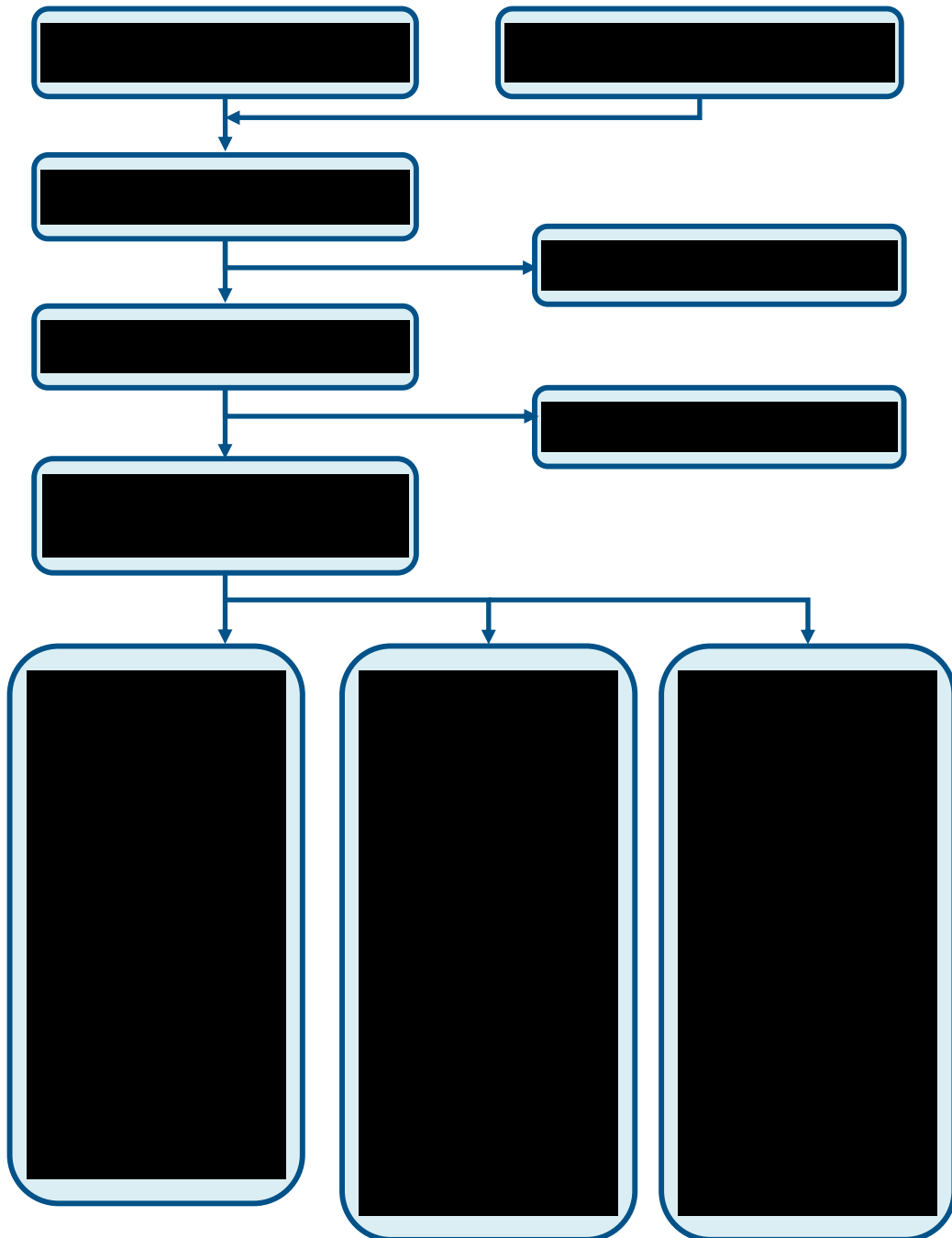
**Table 10: Clinical evidence profile: Decision aids versus usual care**

| Quality assessment   |                   |                           |                          |                         |                        |                      | No of patients |            | Effect            |   | Quality          | Importance |
|--|-------------------|---------------------------|--------------------------|-------------------------|------------------------|----------------------|----------------|------------|-------------------|---|------------------|------------|
| No of studies  | Design            | Risk of bias              | Inconsistency            | Indirectness            | Imprecision            | Other considerations | Decision aids  | Usual care | Relative (95% CI) | Absolute                                  |                  |            |
| <b>Knowledge of decision area (6 weeks PT, self rated, 0-6, high is good) (follow-up 6 weeks; Better indicated by lower values)</b>    |                   |                           |                          |                         |                        |                      |                |            |                   |   |                  |            |
| 1  | randomised trials | very serious <sup>1</sup> | no serious inconsistency | no serious indirectness | serious <sup>2</sup>   | none                 | 84             | 105        | -                 | MD 0.44 higher (0 to 0.88 higher)         | ⊕○○○<br>VERY LOW | CRITICAL   |
| <b>Decisional quality/conflict (6 weeks PT, self rated, 0-100, high is poor) (follow-up 6 weeks; Better indicated by lower values)</b> |                   |                           |                          |                         |                        |                      |                |            |                   |   |                  |            |
| 1  | randomised trials | very serious <sup>1</sup> | no serious inconsistency | no serious indirectness | no serious imprecision | none                 | 84             | 105        | -                 | MD 0.23 lower (2.98 lower to 2.52 higher) | ⊕⊕○○<br>LOW      | CRITICAL   |
| <b>Experience of care (6 weeks PT, self rated, 0-15, high is good) (follow-up 6 weeks; Better indicated by lower values)</b>           |                   |                           |                          |                         |                        |                      |                |            |                   |   |                  |            |
| 1  | randomised trials | very serious <sup>1</sup> | no serious inconsistency | no serious indirectness | serious <sup>2</sup>   | none                 | 84             | 105        | -                 | MD 0.53 higher (0.04 lower to 1.1 higher) | ⊕○○○<br>VERY LOW | IMPORTANT  |

<sup>1</sup> Downgraded by 2 increments if the majority of the evidence was at very high risk of bias.  
<sup>2</sup> Downgraded by 1 increment if the confidence interval crossed one MID.

## Appendix G: Health economic evidence selection

Figure 10: Flow chart of economic study selection for the guideline



A = starting RRT  
B = modality of RRT, subgroups and CM  
C = sequencing  
D = planning for RRT  
E = When to assess  
F = what to assess

G = Indicators for switching or stopping RRT  
I = diet and fluids  
J = frequency of review  
L = decision support interventions  
M = coordinating care

Note: Reviews H and K do not have an economic component

## Appendix H: Health economic evidence tables

None.

# Appendix I: Excluded studies

## I.1 Excluded clinical studies

**Table 11: Studies excluded from the clinical review**

| Study                         | Exclusion reason  |
|-------------------------------|---|
| Barnieh 2011 <sup>2</sup>     | No usable outcomes  |
| Boulware 2013 <sup>4</sup>    | Intervention not DM   |
| Butler 2014 <sup>5</sup>      | Review, not systematic                                      |
| Cho 2012 <sup>6</sup>         | Not decision-making   |
| Dahlerus 2016 <sup>7</sup>    | Not intervention trial                                      |
| Davis 2017 <sup>8</sup>       | Review, not systematic                                      |
| Devins 2003 <sup>10</sup>     | No usable outcomes  |
| Devoe 2016 <sup>12</sup>      | Review, references checked                                  |
| Dusseux 2015 <sup>13</sup>    | risk tool for clinicians                                    |
| Engelen 2016 <sup>14</sup>    | review, full-text order cancelled                           |
| Fortnum 2015 <sup>15</sup>    | No control (pre/post)                                       |
| Gander 2017 <sup>16</sup>     | Review, LDKT resources only                                 |
| Gomez 1999 <sup>17</sup>      | NRS w/o adeq adjustment                                     |
| Goovaerts 2005 <sup>18</sup>  | no control arm  |
| Gordon 2016 <sup>19</sup>     | Time-point  |
| Hanko 2011 <sup>20</sup>      | Time-point  |
| Hussain 2015 <sup>21</sup>    | non-relevant review   |
| Ismail 2012 <sup>23</sup>     | protocol  |
| Kazawa 2015 <sup>24</sup>     | Aims to improve pre-RRT care, decision-making not mentioned |
| Klang 1999 <sup>25</sup>      | NRS, RCTs available   |
| Korniewicz 1994 <sup>26</sup> | Intervention not DM   |
| Kutner 1982 <sup>27</sup>     | Not decision-making   |
| Lacson 2011 <sup>28</sup>     | NRS w/o adeq adjustment                                     |
| Machowska 2017 <sup>29</sup>  | NRS w/o adeq adjustment                                     |
| Marron 2005 <sup>31</sup>     | NRS w/o adjustment  |
| Mason 2008 <sup>32</sup>      | review, references checked                                  |
| Massey 2016 <sup>33</sup>     | No usable outcomes  |
| Mathers 1999 <sup>34</sup>    | Intervention not DM   |
| Mehrotra 2005 <sup>35</sup>   | No specific intervention                                    |
| Mollicone 2013 <sup>36</sup>  | NRS w/o adeq adjustment                                     |
| Mooney 2009 <sup>37</sup>     | Compares two different decision aids                        |
| Murray 2009 <sup>38</sup>     | review  |
| Parvan 2015 <sup>40</sup>     | Intervention not DM   |
| Patzer 2014 <sup>42</sup>     | randomisation and outcomes at centre level                  |
| Patzer 2016 <sup>41</sup>     | Protocol  |
| Perry 2005 <sup>43</sup>      | Intervention not DM   |
| Pradel 2008 <sup>44</sup>     | Time-point  |
| Ravani 2003 <sup>45</sup>     | Aims to improve pre-RRT care, decision-making not mentioned |
| Ravani 2003 <sup>46</sup>     | Incorrect interventions                                     |

| Study                         | Exclusion reason  |
|-------------------------------|---|
| Richards 2015 <sup>47</sup>   | review, references checked                                  |
| Rodrigue 2007 <sup>49</sup>   | Compares two different education program interventions.     |
| Rodrigue 2008 <sup>48</sup>   | Compares two different education program interventions.     |
| Rodrigue 2014 <sup>50</sup>   | Compares two different education program interventions      |
| Song 2009 <sup>51</sup>       | Intervention not DM   |
| Stacey 2017 <sup>52</sup>     | review  |
| Sullivan 2012 <sup>53</sup>   | Intervention not DM   |
| Tsay 2004 <sup>54</sup>       | Intervention not DM   |
| Tsay 2005 <sup>55</sup>       | Intervention not DM   |
| Urstad 2013 <sup>56</sup>     | non-relevant review   |
| Waterman 2008 <sup>57</sup>   | Cross-sectional survey                                      |
| Waterman 2014 <sup>59</sup>   | protocol  |
| Waterman 2015 <sup>58</sup>   | protocol  |
| Wileman 2016 <sup>60</sup>    | Fluid-management intervention                               |
| Wu 2009 <sup>62</sup>         | Aims to improve pre-RRT care, decision-making not mentioned |
| Zolfaghari 2015 <sup>63</sup> | Not decision-making   |

## I.2 Excluded health economic studies

Studies that meet the review protocol population and interventions and economic study design criteria but have not been included in the review based on applicability and/or methodological quality are summarised below with reasons for exclusion.

**Table 12: Studies excluded from the health economic review**

| Reference | Reason for exclusion |
|-----------|----------------------|
| None.     |                      |



## Appendix J: Research recommendations

### J.1 Effectiveness of decision aids

**Research question: What is the clinical and cost effectiveness of using decision aids in the context of RRT?**

**Why this is important:** The committee were unable to make a recommendation on the effectiveness of decision aids for RRT due to limited evidence identified in this review. Recommendations in this area are important to ensure the most clinical and cost effective decision making tools are efficiently provided.

#### Criteria for selecting high-priority research recommendations:

|   |  |
|---|--|
| <b>PICO question</b>                            | <p>Population: Children, young people and adults with CKD stage 3 to 5 considering starting RRT or conservative management</p> <p>Intervention: Decision aids with integration into care path, staff training + usual care</p> <p>Comparison: Usual care (information delivered only as standard face to face communication +/- leaflets)</p> <p>Outcomes: patient, family/carer health-related QoL, mortality, decision quality/conflict, decisional regret, knowledge of relevant decision area, psychological distress and mental wellbeing, patient, family/carer experience of care, resource use</p> |
| <b>Importance to patients or the population</b> | If decisions aids were shown to be clinically and cost effective interventions, there would be a stronger evidence base on which to promote their use and thereby increasing patient knowledge and effective decision making.  |
| <b>Relevance to NICE guidance</b>               | There is current uncertainty concerning the clinical and cost effectiveness of decision aids for RRT.  |
| <b>Relevance to the NHS</b>                     | Research in this area will inform NICE recommendations for service delivery and provide information about clinical and cost-effectiveness.   |
| <b>Current evidence base</b>                    | There is little evidence on the clinical and cost effectiveness of decision aids for RRT. It is important to have sufficient information on the effectiveness of decision aids so further evidence based information can be given in regards to the different RRT options.   |
| <b>Equality</b>                                 | Not applicable   |
| <b>Study design</b>                             | RCT  |
| <b>Feasibility</b>                              | No obvious feasibility issues  |
| <b>Other comments</b>                           | Not applicable   |
| <b>Importance</b>                               | <ul style="list-style-type: none"> <li>• Medium: the research is relevant to the recommendations in the guideline, but the research recommendations are not key to future updates.</li> </ul>  |