

- recommendations for research
- rationale and impact sections that explain why the committee made the 2019 recommendations and how they might affect practice
- the guideline context.

Full details of the evidence and the committee's discussion on the 2019 recommendations are in the [evidence reviews](#). Evidence for older recommendations is in the [evidence reviews](#) for the 2018 guideline and the [full version](#) of the 2010 guideline.

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1 Recommendations

People have the right to be involved in discussions and make informed decisions about their care, as described in [your care](#).

[Making decisions using NICE guidelines](#) explains how we use words to show the strength (or certainty) of our recommendations, and has information about prescribing medicines (including off-label use), professional guidelines, standards and laws (including on consent and mental capacity), and safeguarding.

2 1.1 *Diagnosing COPD*

3 The diagnosis of chronic obstructive pulmonary disease (COPD) depends on
4 thinking of it as a cause of breathlessness or cough. The diagnosis is suspected on
5 the basis of symptoms and signs and is supported by spirometry.

6 **Symptoms**

7 1.1.1 Suspect a diagnosis of COPD in people over 35 who have a risk factor
8 (generally smoking or a history of smoking) and who present with 1 or
9 more of the following symptoms:

- 10 • exertional breathlessness
- 11 • chronic cough
- 12 • regular sputum production
- 13 • frequent winter 'bronchitis'
- 14 • wheeze. **[2004]**

15 1.1.2 When thinking about a diagnosis of COPD, ask the person if they have:

- 16 • weight loss
- 17 • reduced exercise tolerance
- 18 • waking at night with breathlessness
- 19 • ankle swelling
- 20 • fatigue
- 21 • occupational hazards

- 1 • chest pain
- 2 • haemoptysis (coughing up blood).

3 These last 2 symptoms are uncommon in COPD and raise the possibility
4 of alternative diagnoses. **[2004]**

5 1.1.3 One of the primary symptoms of COPD is breathlessness. The Medical
6 Research Council (MRC) dyspnoea scale (see table 1) should be used to
7 grade the breathlessness according to the level of exertion required to
8 elicit it. **[2004]**

9 **Table 1 MRC dyspnoea scale**

Grade	Degree of breathlessness related to activities
1	Not troubled by breathlessness except on strenuous exercise
2	Short of breath when hurrying or walking up a slight hill
3	Walks slower than contemporaries on level ground because of breathlessness, or has to stop for breath when walking at own pace
4	Stops for breath after walking about 100 metres or after a few minutes on level ground
5	Too breathless to leave the house, or breathless when dressing or undressing

Adapted from Fletcher CM, Elmes PC, Fairbairn MB et al. (1959) [The significance of respiratory symptoms and the diagnosis of chronic bronchitis in a working population](#). British Medical Journal 2: 257–66.

10 **Spirometry**

11 1.1.4 Perform spirometry:

- 12 • at diagnosis
- 13 • to reconsider the diagnosis, for people who show an exceptionally good
14 response to treatment
- 15 • to monitor disease progression. **[2004, amended 2018]**

16 1.1.5 Measure post-bronchodilator spirometry to confirm the diagnosis of
17 COPD. **[2010]**

18 1.1.6 Think about alternative diagnoses or investigations for older people who
19 have an FEV1/FVC ratio below 0.7 but do not have typical symptoms of
20 COPD. **[2010]**

1 1.1.7 Think about a diagnosis of COPD in younger people who have symptoms
2 of COPD, even when their FEV1/FVC ratio is above 0.7. **[2010]**

3 1.1.8 All healthcare professionals who care for people with COPD should have
4 access to spirometry and be competent in interpreting the results. **[2004]**

5 1.1.9 Spirometry can be performed by any healthcare worker who has had
6 appropriate training and has up-to-date skills. **[2004]**

7 1.1.10 Spirometry services should be supported by quality control processes.
8 **[2004]**

9 1.1.11 It is recommended that [GLI 2012 reference values](#) are used, but it is
10 recognised that these values are not applicable for all ethnic groups.
11 **[2004, amended 2018]**

12 **Incidental findings on chest X-ray or CT scans**

13 1.1.12 Consider primary care respiratory review and spirometry (see
14 recommendations 1.1.1 to 1.1.11) for people with emphysema or signs of
15 chronic airways disease on a chest X-ray or CT scan. **[2018]**

16 1.1.13 If the person is a current smoker, their spirometry results are normal and
17 they have no symptoms or signs of respiratory disease:

- 18 • offer smoking cessation advice and treatment, and referral to specialist
19 stop smoking services (see the NICE guideline on [stop smoking
20 interventions and services](#))
- 21 • warn them that they are at higher risk of lung disease
- 22 • advise them to return if they develop respiratory symptoms
- 23 • be aware that the presence of emphysema on a CT scan is an
24 independent risk factor for lung cancer. **[2018]**

25 1.1.14 If the person is not a current smoker, their spirometry is normal and they
26 have no symptoms or signs of respiratory disease:

- 1
- ask them if they have a personal or family history of lung or liver
- 2
- disease and consider alternative diagnoses, such as alpha-1 antitrypsin
- 3
- deficiency
- 4
- reassure them that their emphysema or chronic airways disease is
- 5
- unlikely to get worse
- 6
- advise them to return if they develop respiratory symptoms
- 7
- be aware that the presence of emphysema on a CT scan is an
- 8
- independent risk factor for lung cancer. **[2018]**

To find out why the committee made the 2018 recommendations on incidental findings on chest X-ray or CT scans and how they might affect practice, see [rationale and impact](#).

9 **Further investigations**

10 1.1.15 At the time of their initial diagnostic evaluation in addition to spirometry all

11 patients should have:

- 12
- a chest radiograph to exclude other pathologies
- 13
- a full blood count to identify anaemia or polycythaemia
- 14
- body mass index (BMI) calculated. **[2004]**

15 1.1.16 Perform additional investigations when needed, as detailed in table 2.

16 **[2004, amended 2018]**

1 **Table 2 Additional investigations**

Investigation	Role
Sputum culture	To identify organisms if sputum is persistently present and purulent
Serial home peak flow measurements	To exclude asthma if diagnostic doubt remains
ECG and serum natriuretic peptides*	To assess cardiac status if cardiac disease or pulmonary hypertension are suspected because of: <ul style="list-style-type: none"> • a history of cardiovascular disease, hypertension or hypoxia or • clinical signs such as tachycardia, oedema, cyanosis or features of cor pulmonale
Echocardiogram	To assess cardiac status if cardiac disease or pulmonary hypertension are suspected
CT scan of the thorax	To investigate symptoms that seem disproportionate to the spirometric impairment To investigate signs that may suggest another lung diagnosis (such as fibrosis or bronchiectasis) To investigate abnormalities seen on a chest X-ray To assess suitability for lung volume reduction procedures
Serum alpha-1 antitrypsin	To assess for alpha-1 antitrypsin deficiency if early onset, minimal smoking history or family history
Transfer factor for carbon monoxide (TLCO)	To investigate symptoms that seem disproportionate to the spirometric impairment To assess suitability for lung volume reduction procedures
*See the NICE guideline on chronic heart failure in adults for recommendations on using serum natriuretic peptides to diagnose heart failure.	

2

3 1.1.17 Offer people with alpha 1 antitrypsin deficiency a referral to a specialist
4 centre to discuss how to manage their condition. **[2004]**

5 **Reversibility testing**

6 1.1.18 For most people, routine spirometric reversibility testing is not necessary
7 as part of the diagnostic process or to plan initial therapy with

1 bronchodilators or corticosteroids. It may be unhelpful or misleading
 2 because:

- 3 • repeated FEV1 measurements can show small spontaneous
- 4 fluctuations
- 5 • the results of a reversibility test performed on different occasions can
- 6 be inconsistent and not reproducible
- 7 • over-reliance on a single reversibility test may be misleading unless the
- 8 change in FEV1 is greater than 400 ml
- 9 • the definition of the magnitude of a significant change is purely arbitrary
- 10 • response to long-term therapy is not predicted by acute reversibility
- 11 testing. **[2004]**

12 1.1.19 Untreated COPD and asthma are frequently distinguishable on the basis
 13 of history (and examination) in people presenting for the first time.
 14 Whenever possible, use features from the history and examination (such
 15 as those listed in table 3) to differentiate COPD from asthma. For more
 16 information on diagnosing asthma see the NICE guideline on [asthma](#).
 17 **[2004, amended 2018]**

18 **Table 3 Clinical features differentiating COPD and asthma**

	COPD	Asthma
Smoker or ex-smoker	Nearly all	Possibly
Symptoms under age 35	Rare	Often
Chronic productive cough	Common	Uncommon
Breathlessness	Persistent and progressive	Variable
Night time waking with breathlessness and/or wheeze	Uncommon	Common
Significant diurnal or day-to-day variability of symptoms	Uncommon	Common

19
 20 1.1.20 In addition to the features in table 3, use longitudinal observation of
 21 people (with spirometry, peak flow or symptoms) to help differentiate
 22 COPD from asthma. **[2004]**

1 1.1.21 When diagnostic uncertainty remains, or both COPD and asthma are
2 present, use the following findings to help identify asthma:

- 3 • a large (over 400 ml) response to bronchodilators
- 4 • a large (over 400 ml) response to 30 mg oral prednisolone daily for
5 2 weeks
- 6 • serial peak flow measurements showing 20% or greater diurnal or day-
7 to-day variability.

8 Clinically significant COPD is not present if the FEV1 and FEV1/FVC ratio
9 return to normal with drug therapy. **[2004]**

10 1.1.22 If diagnostic uncertainty remains, think about referral for more detailed
11 investigations, including imaging and measurement of transfer factor for
12 carbon monoxide (TLCO). **[2004]**

13 1.1.23 Reconsider the diagnosis of COPD for people who report a marked
14 improvement in symptoms in response to inhaled therapy. **[2004]**

15 **Assessing severity and using prognostic factors**

16 COPD is heterogeneous, so no single measure can adequately assess disease
17 severity in an individual. Severity assessment is, nevertheless, important because it
18 has implications for therapy and relates to prognosis.

19 1.1.24 Do not use a multidimensional index (such as BODE) to assess prognosis
20 in people with stable COPD. **[2018]**

21 1.1.25 From diagnosis onwards, when discussing prognosis and treatment
22 decisions with people with stable COPD, think about the following factors
23 that are individually associated with prognosis:

- 24 • FEV1
- 25 • smoking status
- 26 • breathlessness (MRC scale)
- 27 • chronic hypoxia and/or cor pulmonale
- 28 • low BMI

- 1 • severity and frequency of [exacerbations](#)
- 2 • hospital admissions
- 3 • symptom burden (for example, COPD Assessment Test [CAT] score)
- 4 • exercise capacity (for example, 6-minute walk test)
- 5 • TLCO
- 6 • whether the person meets the criteria for long-term oxygen therapy
- 7 and/or home non-invasive ventilation
- 8 • multimorbidity
- 9 • frailty. **[2010, amended 2018]**

To find out why the committee made the recommendations on assessing severity and using prognostic factors and how it might affect practice, see [rationale and impact](#).

10 **Assessing and classifying the severity of airflow obstruction**

- 11 1.1.26 Assess the severity of airflow obstruction according to the reduction in
- 12 FEV₁, as shown in table 4. **[2010]**
- 13 1.1.27 For people with mild airflow obstruction, only diagnose COPD if they have
- 14 one or more of the symptoms in recommendation 1.1.1. **[2010]**

1 **Table 4 Gradation of severity of airflow obstruction**

		NICE guideline CG12 (2004)	ATS/ERS ¹ 2004	GOLD 2008 ²	NICE guideline CG101 (2010)
Post-bronchodilator FEV1/FVC	FEV1 % predicted	Severity of airflow obstruction			
			Post-bronchodilator	Post-bronchodilator	Post-bronchodilator
< 0.7	≥ 80%		Mild	Stage 1 – Mild	Stage 1 – Mild
< 0.7	50–79%	Mild	Moderate	Stage 2 – Moderate	Stage 2 – Moderate
< 0.7	30–49%	Moderate	Severe	Stage 3 – Severe	Stage 3 – Severe
< 0.7	< 30%	Severe	Very severe	Stage 4 – Very severe*	Stage 4 – Very severe*
*Or FEV1 below 50% with respiratory failure.					

2

3 **Identifying early disease**4 1.1.28 Perform spirometry in people who are over 35, current or ex-smokers, and
5 have a chronic cough. **[2004]**6 1.1.29 Consider spirometry in people with chronic bronchitis. A significant
7 proportion of these people will go on to develop airflow limitation. **[2004]**8 **Referral for specialist advice**9 1.1.30 When clinically indicated, refer people for specialist advice. Referral may
10 be appropriate at all stages of the disease and not solely in the most
11 severely disabled people (see table 5). **[2004]**

¹ Celli BR, MacNee W (2004) Standards for the diagnosis and treatment of patients with COPD: a summary of the ATS/ERS position paper. *European Respiratory Journal* 23(6): 932–46.

² Global Initiative for Chronic Obstructive Lung Disease (GOLD) (2008) Global strategy for the diagnosis, management, and prevention of chronic obstructive pulmonary disease.

1 **Table 5 Reasons for referral include**

Reason	Purpose
There is diagnostic uncertainty	Confirm diagnosis and optimise therapy
Suspected severe COPD	Confirm diagnosis and optimise therapy
The person with COPD requests a second opinion	Confirm diagnosis and optimise therapy
Onset of cor pulmonale	Confirm diagnosis and optimise therapy
Assessment for oxygen therapy	Optimise therapy and measure blood gases
Assessment for long-term nebuliser therapy	Optimise therapy and exclude inappropriate prescriptions
Assessment for oral corticosteroid therapy	Justify need for continued treatment or supervise withdrawal
Bullous lung disease	Identify candidates for lung volume reduction procedures
A rapid decline in FEV1	Encourage early intervention
Assessment for pulmonary rehabilitation	Identify candidates for pulmonary rehabilitation
Assessment for a lung volume reduction procedure	Identify candidates for surgical or bronchoscopic lung volume reduction
Assessment for lung transplantation	Identify candidates for surgery
Dysfunctional breathing	Confirm diagnosis, optimise pharmacotherapy and access other therapists
Onset of symptoms under 40 years or a family history of alpha-1 antitrypsin deficiency	Identify alpha-1 antitrypsin deficiency, consider therapy and screen family
Symptoms disproportionate to lung function deficit	Look for other explanations including cardiac impairment, pulmonary hypertension, depression and hyperventilation
Frequent infections	Exclude bronchiectasis
Haemoptysis	Exclude carcinoma of the bronchus

2

3 1.1.31 People who are referred do not always have to be seen by a respiratory
4 physician. In some cases they may be seen by members of the COPD
5 team who have appropriate training and expertise. [2004]

6 **1.2 Managing stable COPD**

7 NICE has also produced a [visual summary covering non-pharmacological](#)
8 [management and use of inhaled therapies](#).

1 1.2.1 For guidance on the management of multimorbidity, see the NICE
2 guideline on [multimorbidity](#). [2018]

3 **Smoking cessation**

4 1.2.2 Document an up-to-date smoking history, including pack years smoked
5 (number of cigarettes smoked per day, divided by 20, multiplied by the
6 number of years smoked) for everyone with COPD. [2004]

7 1.2.3 At every opportunity, advise and encourage every person with COPD who
8 is still smoking (regardless of their age) to stop, and offer them help to do
9 so. [2004]

10 1.2.4 Unless contraindicated, offer nicotine replacement therapy, varenicline or
11 bupropion as appropriate to people who want to stop smoking, combined
12 with an appropriate support programme to optimise smoking quit rates for
13 people with COPD. [2010]

14 1.2.5 For more guidance on helping people to quit smoking, see the NICE
15 guideline on [stop smoking interventions and services](#). [2010]

16 1.2.6 For more guidance on varenicline see the NICE technology appraisal
17 guidance on [varenicline for smoking cessation](#). [2010]

18 **Inhaled therapy**

19 ***Short-acting beta2 agonists (SABA) and short-acting muscarinic antagonists***
20 ***(SAMA)***

21 1.2.7 Use short-acting bronchodilators, as necessary, as the initial empirical
22 treatment to relieve breathlessness and exercise limitation. [2004]

23 ***Inhaled corticosteroids (ICS)***

24 1.2.8 Do not use oral corticosteroid reversibility tests to identify which people
25 should be prescribed inhaled corticosteroids, because they do not predict
26 response to inhaled corticosteroid therapy. [2004]

1 1.2.9 Be aware of, and be prepared to discuss with the person, the risk of side
2 effects (including pneumonia) in people who take inhaled corticosteroids
3 for COPD³. **[2010, amended 2018]**

4 ***Inhaled combination therapy***

5 Inhaled combination therapy refers to combinations of long-acting muscarinic
6 antagonists (LAMA), long-acting beta2 agonists (LABA), and inhaled corticosteroids
7 (ICS).

8 1.2.10 Do not assess the effectiveness of bronchodilator therapy using lung
9 function alone. Include a variety of other measures such as improvement
10 in symptoms, activities of daily living, exercise capacity, and rapidity of
11 symptom relief. **[2004]**

12 1.2.11 Offer LAMA+LABA⁴ to people who:

- 13 • have spirometrically confirmed COPD **and**
- 14 • do not have [asthmatic features/features suggesting steroid](#)
15 [responsiveness](#) **and**
- 16 • remain breathless or have exacerbations despite:
 - 17 – having used or been offered treatment for tobacco dependence if
18 they smoke **and**
 - 19 – optimised non-pharmacological management and relevant
20 vaccinations **and**
 - 21 – using a short-acting bronchodilator. **[2018]**

22 1.2.12 Consider LABA+ICS for people who:

- 23 • have spirometrically confirmed COPD **and**
- 24 • have [asthmatic features/features suggesting steroid responsiveness](#)
25 **and**
- 26 • remain breathless or have exacerbations despite:

³ The Medicines and Healthcare Products Regulatory Agency (MHRA) has published advice on the [risk of psychological and behavioural side effects](#) associated with inhaled corticosteroids (2010).

⁴ The MHRA has published advice on the [risk for people with certain cardiac conditions when taking tiotropium delivered via Respimat or Handihaler](#) (2015).

- 1 – having used or been offered treatment for tobacco dependence if
- 2 they smoke **and**
- 3 – optimised non-pharmacological management and relevant
- 4 vaccinations **and**
- 5 – using a short-acting bronchodilator. **[2018]**

6 1.2.13 For people using long-acting bronchodilators outside of recommendations
7 1.2.11 and 1.2.12 before the December 2018 update was published,
8 explain to them that they can continue with their current treatment until
9 both they and their NHS healthcare professional agree it is appropriate to
10 change. **[2018]**

11 1.2.14 In people with COPD who are taking LABA+ICS, offer LAMA+LABA+ICS
12 if:
13 • their symptoms continue to interfere with activities of daily living **or**
14 • they have a [severe exacerbation](#) (requiring hospitalisation) **or**
15 • they have 2 [moderate exacerbations](#) within a year. **[2019]**

16 1.2.15 In people with COPD who are taking LAMA+LABA, consider
17 LAMA+LABA+ICS if:
18 • they have a [severe exacerbation](#) (requiring hospitalisation) **or**
19 • they have 2 [moderate exacerbations](#) within a year. **[2019]**

20 1.2.16 In people with COPD who are taking LAMA+LABA and who have
21 symptoms that continue to interfere with activities of daily living, consider
22 a 3-month trial of LAMA+LABA+ICS, and:
23 • if symptoms improve, continue with LAMA+LABA+ICS
24 • if symptoms do not improve, switch back to LAMA+LABA. **[2019]**

25 1.2.17 Base the choice of drugs and inhalers on:

- 26 • how much they improve symptoms
- 27 • the person's preferences and ability to use the inhalers
- 28 • the drugs' potential to reduce exacerbations
- 29 • their side effects

- 1 • their cost.

2 Minimise the number of inhalers and the number of different types of
3 inhaler used by each person as far as possible. **[2018]**

4 1.2.18 When prescribing long-acting drugs, ensure people receive inhalers they
5 have been trained to use (for example, by specifying the brand and
6 inhaler in prescriptions). **[2018]**

To find out why the committee made the 2018 and 2019 recommendations on inhaled combination therapy and how they might affect practice, see [rationale and impact](#).

7 ***Delivery systems used to treat stable COPD***

8 Most people with COPD – whatever their age – can develop adequate inhaler
9 technique if they are given training. However, people with significant cognitive
10 impairment may be unable to use any form of inhaler device. In most people with
11 COPD, however, a pragmatic approach guided by individual patient assessment is
12 needed when choosing a device.

13 ***Inhalers***

14 1.2.19 In most cases bronchodilator therapy is best administered using a hand-
15 held inhaler (including a spacer if appropriate). **[2004]**

16 1.2.20 Provide an alternative inhaler if a person cannot use a particular one
17 correctly or it is not suitable for them. **[2004]**

18 1.2.21 Only prescribe inhalers after people have been trained to use them and
19 can demonstrate satisfactory technique. **[2004]**

20 1.2.22 People with COPD should have their ability to use an inhaler regularly
21 assessed and corrected if necessary by a healthcare professional
22 competent to do so. **[2004]**

1 **Spacers**

2 1.2.23 Provide a spacer that is compatible with the person's metered-dose
3 inhaler. **[2004]**

4 1.2.24 Advise people to use a spacer with a metered-dose inhaler in the
5 following way:

- 6
- administer the drug by single actuations of the metered-dose inhaler
7 into the spacer, inhaling after each actuation
 - there should be minimal delay between inhaler actuation and inhalation
 - normal tidal breathing can be used as it is as effective as single breaths
 - repeat if a second dose is required. **[2004]**
- 8
9
10

11 1.2.25 Advise people on spacer cleaning. Tell them:

- 12
- not to clean the spacer more than monthly, because more frequent
13 cleaning affects their performance (because of a build-up of static)
 - to hand wash using warm water and washing-up liquid, and allow the
14 spacer to air dry. **[2004, amended 2018]**
- 15

16 **Nebulisers**

17 1.2.26 Think about nebuliser therapy for people with distressing or disabling
18 breathlessness despite maximal therapy using inhalers. **[2004]**

19 1.2.27 Do not prescribe nebulised therapy without an assessment of the person's
20 and/or carer's ability to use it. **[2004]**

21 1.2.28 Do not continue nebulised therapy without assessing and confirming that
22 1 or more of the following occurs:

- 23
- a reduction in symptoms
 - an increase in the ability to undertake activities of daily living
 - an increase in exercise capacity
 - an improvement in lung function. **[2004]**
- 24
25
26

- 1 1.2.29 Use a nebuliser system that is known to be efficient⁵. **[2004]**
- 2 1.2.30 Offer people a choice between a facemask and a mouthpiece to
3 administer their nebulised therapy, unless the drug specifically requires a
4 mouthpiece (for example, anticholinergic drugs). **[2004]**
- 5 1.2.31 If nebuliser therapy is prescribed, provide the person with equipment,
6 servicing, and ongoing advice and support. **[2004]**

7 **Oral therapy**

8 ***Oral corticosteroids***

- 9 1.2.32 Long-term use of oral corticosteroid therapy in COPD is not normally
10 recommended. Some people with advanced COPD may need long-term
11 oral corticosteroids when these cannot be withdrawn following an
12 exacerbation. In these cases, the dose of oral corticosteroids should be
13 kept as low as possible. **[2004]**
- 14 1.2.33 Monitor people who are having long-term oral corticosteroid therapy for
15 osteoporosis, and give them appropriate prophylaxis. Start prophylaxis
16 without monitoring for people over 65. **[2004]**

17 ***Oral theophylline***

18 In this section of the guideline, the term theophylline refers to slow-release
19 formulations of the drug.

- 20 1.2.34 Theophylline should only be used after a trial of short-acting
21 bronchodilators and long-acting bronchodilators, or for people who are
22 unable to use inhaled therapy, as plasma levels and interactions need to
23 be monitored. **[2004]**
- 24 1.2.35 Take particular caution when using theophylline in older people, because
25 of differences in pharmacokinetics, the increased likelihood of
26 comorbidities and the use of other medications. **[2004]**

⁵ The [MHRA](#) has published a safety alert around the use of non CE marked nebulisers for COPD.

1 1.2.36 Assess the effectiveness of theophylline by improvements in symptoms,
2 activities of daily living, exercise capacity and lung function. **[2004]**

3 1.2.37 Reduce the dose of theophylline for people who are having an
4 exacerbation if they are prescribed macrolide or fluoroquinolone
5 antibiotics (or other drugs known to interact). **[2004]**

6 ***Oral mucolytic therapy***

7 1.2.38 Consider mucolytic drug therapy for people with a chronic cough
8 productive of sputum. **[2004]**

9 1.2.39 Only continue mucolytic therapy if there is symptomatic improvement (for
10 example, reduction in frequency of cough and sputum production). **[2004]**

11 1.2.40 Do not routinely use mucolytic drugs to prevent exacerbations in people
12 with stable COPD. **[2010]**

13 ***Oral anti-oxidant therapy***

14 1.2.41 Treatment with alpha-tocopherol and beta-carotene supplements, alone or
15 in combination, is not recommended. **[2004]**

16 ***Oral anti-tussive therapy***

17 1.2.42 Anti-tussive therapy should not be used in the management of stable
18 COPD. **[2004]**

19 ***Oral prophylactic antibiotic therapy***

20 1.2.43 Before starting prophylactic antibiotic therapy in a person with COPD,
21 think about whether respiratory specialist input is needed. **[2018]**

22 1.2.44 Consider azithromycin (usually 250 mg 3 times a week) for people with
23 COPD if they:

- 24
- do not smoke **and**
 - have optimised non-pharmacological management and inhaled therapies, relevant vaccinations and (if appropriate) have been referred for pulmonary rehabilitation **and**
- 25
26
27

- 1
- continue to have 1 or more of the following, particularly if they have
- 2 significant daily sputum production:
- frequent (typically 4 or more per year) exacerbations with sputum
- 3 production
- prolonged exacerbations with sputum production
- 4
- exacerbations resulting in hospitalisation.⁶ **[2018]**
- 5
- 6

7 **1.2.45** Before offering prophylactic antibiotics, ensure that the person has had:

- 8
- sputum culture and sensitivity (including tuberculosis culture), to
- 9 identify other possible causes of persistent or recurrent infection that
- 10 may need specific treatment (for example, antibiotic-resistant
- 11 organisms, atypical mycobacteria or *Pseudomonas aeruginosa*)
- training in airway clearance techniques to optimise sputum clearance
- 12 (see recommendation 1.2.97)
- a CT scan of the thorax to rule out bronchiectasis and other lung
- 13 pathologies. **[2018]**
- 14
- 15

16 **1.2.46** Before starting azithromycin, ensure the person has had:

- 17
- an electrocardiogram (ECG) to rule out prolonged QT interval **and**
- 18
- baseline liver function tests. **[2018]**

19 **1.2.47** When prescribing azithromycin, advise people about the small risk of

20 hearing loss and tinnitus, and tell them to contact a healthcare

21 professional if this occurs. **[2018]**

22 **1.2.48** Review prophylactic azithromycin after the first 3-months, and then at

23 least every 6 months. **[2018]**

⁶ At the time of consultation (February 2019), azithromycin did not have a UK marketing authorisation for this indication. The prescriber should follow relevant professional guidance, taking full responsibility for the decision. Informed consent should be obtained and documented. See the General Medical Council's [Prescribing guidance: prescribing unlicensed medicines](#) for further information.

- 1 1.2.49 Only continue treatment if the continued benefits outweigh the risks. Be
2 aware that there are no long-term studies on the use of prophylactic
3 antibiotics in people with COPD. **[2018]**
- 4 1.2.50 For people who are taking prophylactic azithromycin and are still at risk of
5 exacerbations, provide a non-macrolide antibiotic to keep at home as part
6 of their exacerbation action plan (see recommendation 1.2.124). **[2018]**
- 7 1.2.51 Be aware that it is not necessary to stop prophylactic azithromycin during
8 an acute exacerbation of COPD.

To find out why the committee made the 2018 recommendations on prophylactic oral antibiotic therapy and how they might affect practice, see [rationale and impact](#).

9 ***Oral phosphodiesterase-4 inhibitors***

- 10 1.2.52 For guidance on treating severe COPD with roflumilast, see NICE's
11 technology appraisal guidance on [roflumilast for treating chronic](#)
12 [obstructive pulmonary disease](#). **[2018]**

13 **Oxygen**

14 ***Long-term oxygen therapy***

- 15 1.2.53 Be aware that inappropriate oxygen therapy in people with COPD may
16 cause respiratory depression. **[2004]**

- 17 1.2.54 Assess the need for oxygen therapy in people with:

- 18
- 19 • very severe airflow obstruction (FEV1 below 30% predicted)
 - 20 • cyanosis (blue tint to skin)
 - 21 • polycythaemia
 - 22 • peripheral oedema (swelling)
 - 23 • a raised jugular venous pressure
 - oxygen saturations of 92% or less breathing air.

1 Also consider assessment for people with severe airflow obstruction
2 (FEV1 30–49% predicted). **[2004]**

3 1.2.55 Assess people for long-term oxygen therapy by measuring arterial blood
4 gases on 2 occasions at least 3 weeks apart in people who have a
5 confident diagnosis of COPD, who are receiving optimum medical
6 management and whose COPD is stable. **[2004]**

7 1.2.56 Consider long-term oxygen therapy⁷ for people with COPD who do not
8 smoke and who:

- 9
- 10 • have a partial pressure of oxygen in arterial blood (PaO₂) below
11 7.3 kPa when stable **or**
 - 12 • have a PaO₂ above 7.3 and below 8 kPa when stable, if they also have
13 1 or more of the following:
 - 14 – secondary polycythaemia
 - 15 – peripheral oedema
 - pulmonary hypertension. **[2018]**

16 1.2.57 Conduct and document a structured risk assessment for people being
17 assessed for long-term oxygen therapy who meet the criteria in
18 recommendation 1.2.56. As part of the risk assessment, cover the risks
19 for both the person with COPD and the people who live with them,
20 including:

- 21
- 22 • the risks of falls from tripping over the equipment
 - 23 • the risks of burns and fires, and the increased risk of these for people
24 who live in homes where someone smokes (including e-cigarettes).

24 Base the decision on whether long-term oxygen therapy is suitable on the
25 results of the structured risk assessment. **[2018]**

26 1.2.58 For people who smoke or live with people who smoke, but who meet the
27 other criteria for long-term oxygen therapy, ensure the person who

⁷ At the time of consultation (February 2019) the MHRA has published an alert on the [risk of death and severe harm](#) from failure to obtain and continue flow from oxygen cylinders (2018).

- 1 smokes is offered smoking cessation advice and treatment, and referral to
2 specialist stop smoking services (see the NICE guidelines on [stop](#)
3 [smoking interventions and services](#) and [medicines optimisation](#)). **[2018]**
- 4 1.2.59 Do not offer long-term oxygen therapy to people who continue to smoke
5 despite being offered smoking cessation advice and treatment, and
6 referral to specialist stop smoking services. **[2018]**
- 7 1.2.60 Advise people who are having long-term oxygen therapy that they should
8 breathe supplemental oxygen for a minimum of 15 hours per day. **[2018]**
- 9 1.2.61 Do not offer long-term oxygen therapy to treat isolated nocturnal
10 hypoxaemia caused by COPD. **[2018]**
- 11 1.2.62 To ensure everyone eligible for long-term oxygen therapy is identified,
12 pulse oximetry should be available in all healthcare settings. **[2004]**
- 13 1.2.63 Oxygen concentrators should be used to provide the fixed supply at home
14 for long-term oxygen therapy. **[2004]**
- 15 1.2.64 People who are having long-term oxygen therapy should be reviewed at
16 least once per year by healthcare professionals familiar with long-term
17 oxygen therapy. This review should include pulse oximetry. **[2004]**

To find out why the committee made the 2018 recommendations on long-term oxygen therapy and how they might affect practice, see [rationale and impact](#).

18 ***Ambulatory oxygen therapy***

- 19 1.2.65 Do not offer ambulatory oxygen to manage breathlessness in people with
20 COPD who have [mild or no hypoxaemia](#) at rest. **[2018]**
- 21 1.2.66 Consider ambulatory oxygen in people with COPD who have exercise
22 desaturation and are shown to have an improvement in exercise capacity
23 with oxygen, and have the motivation to use oxygen. **[2004, amended**
24 **2018]**

1 1.2.67 Prescribe ambulatory oxygen to people who are already on long-term
2 oxygen therapy, who wish to continue oxygen therapy outside the home,
3 and who are prepared to use it. **[2004]**

4 1.2.68 Only prescribe ambulatory oxygen therapy after an appropriate
5 assessment has been performed by a specialist. The purpose of the
6 assessment is to assess the extent of desaturation, the improvement in
7 exercise capacity with supplemental oxygen, and the oxygen flow rate
8 needed to correct desaturation. **[2004]**

9 1.2.69 Small light-weight cylinders, oxygen-conserving devices and portable
10 liquid oxygen systems should be available for people with COPD. **[2004]**

11 1.2.70 When choosing which equipment to prescribe, take account of the hours
12 of ambulatory oxygen use and oxygen flow rate needed. **[2004]**

13 ***Short-burst oxygen therapy***

14 1.2.71 Do not offer short-burst oxygen therapy to manage breathlessness in
15 people with COPD who have [mild or no hypoxaemia](#) at rest. **[2018]**

To find out why the committee made the 2018 recommendations on ambulatory oxygen and short-burst oxygen therapy, and how they might affect practice, see [rationale and impact](#).

16 ***Non-invasive ventilation***

17 1.2.72 Refer people who are adequately treated but have chronic hypercapnic
18 respiratory failure and have needed assisted ventilation (whether invasive
19 or non-invasive) during an exacerbation, or who are hypercapnic or
20 acidotic on long-term oxygen therapy, to a specialist centre for
21 consideration of long-term non-invasive ventilation. **[2004]**

22 **Managing pulmonary hypertension and cor pulmonale**

23 In this guideline 'cor pulmonale' is defined as a clinical condition that is identified and
24 managed on the basis of clinical features. It includes people who have right heart

1 failure secondary to lung disease and people whose primary pathology is salt and
2 water retention, leading to the development of peripheral oedema (swelling).

3 ***Diagnosing pulmonary hypertension and cor pulmonale***

4 1.2.73 Suspect a diagnosis of cor pulmonale for people with:

- 5
- 6 • peripheral oedema (swelling)
 - 7 • a raised venous pressure
 - 8 • a systolic parasternal heave
 - 9 • a loud pulmonary second heart sound. **[2004]**

9 1.2.74 It is recommended that the diagnosis of cor pulmonale is made clinically
10 and that this process should involve excluding other causes of peripheral
11 oedema (swelling). **[2004]**

12 ***Treating pulmonary hypertension***

13 1.2.75 Do not offer the following treatments solely to manage pulmonary
14 hypertension caused by COPD, except as part of a randomised controlled
15 trial:

- 16
- 17 • bosentan
 - 18 • losartan
 - 19 • nifedipine
 - 20 • nitric oxide
 - 21 • pentoxifylline
 - 22 • phosphodiesterase-5 inhibitors
 - 23 • statins. **[2018]**

23 ***Treating cor pulmonale***

24 1.2.76 Ensure that people with cor pulmonale caused by COPD are offered
25 optimal COPD treatment, including advice and interventions to help them
26 stop smoking. For people who need treatment for hypoxia, see the section
27 on [long-term oxygen therapy](#). **[2018]**

28 1.2.77 Oedema associated with cor pulmonale can usually be controlled
29 symptomatically with diuretic therapy. **[2004]**

1 1.2.78 Do not use the following to treat cor pulmonale caused by COPD:

- 2
- 3 • alpha-blockers
 - 4 • angiotensin-converting enzyme inhibitors
 - 5 • calcium channel blockers
 - digoxin (unless there is atrial fibrillation). **[2018]**

To find out why the committee made the 2018 recommendations on managing pulmonary hypertension and cor pulmonale and how they might affect practice, see [rationale and impact](#).

6 **Pulmonary rehabilitation**

7 Pulmonary rehabilitation is defined as a multidisciplinary programme of care for
8 people with chronic respiratory impairment. It is individually tailored and designed to
9 optimise each person's physical and social performance and autonomy.

10 1.2.79 Make pulmonary rehabilitation available to all appropriate people with
11 COPD (see recommendation 1.2.80), including people who have had a
12 recent hospitalisation for an acute exacerbation. **[2010]**

13 1.2.80 Offer pulmonary rehabilitation to all people who view themselves as
14 functionally disabled by COPD (usually Medical Research Council [MRC]
15 grade 3 and above). Pulmonary rehabilitation is not suitable for people
16 who are unable to walk, who have unstable angina or who have had a
17 recent myocardial infarction. **[2004]**

18 1.2.81 For pulmonary rehabilitation programmes to be effective, and to improve
19 adherence, they should be held at times that suit people, in buildings that
20 are easy to get to and that have good access for people with disabilities.
21 Places should be available within a reasonable time of referral. **[2004]**

22 1.2.82 Pulmonary rehabilitation programmes should include multicomponent,
23 multidisciplinary interventions that are tailored to the individual person's
24 needs. The rehabilitation process should incorporate a programme of

1 physical training, disease education, and nutritional, psychological and
2 behavioural intervention. **[2004]**

3 1.2.83 Advise people of the benefits of pulmonary rehabilitation and the
4 commitment needed to gain these. **[2004]**

5 **Vaccination and anti-viral therapy**

6 1.2.84 Offer pneumococcal vaccination and an annual flu vaccination to all
7 people with COPD, as recommended by the Chief Medical Officer. **[2004]**

8 1.2.85 For guidance on preventing and treating flu, see the NICE technology
9 appraisals on [oseltamivir, amantadine \(review\) and zanamivir for the](#)
10 [prophylaxis of influenza](#) and [amantadine, oseltamivir and zanamivir for the](#)
11 [treatment of influenza](#). **[2004]**

12 **Lung surgery and lung volume reduction procedures**

13 1.2.86 Offer a respiratory review to assess whether a lung volume reduction
14 procedure is a possibility for people with COPD when they complete
15 pulmonary rehabilitation and at other subsequent reviews, if all of the
16 following apply:

- 17 • they have severe COPD, with FEV1 less than 50% and breathlessness
18 that affects their quality of life despite optimal medical treatment (see
19 recommendations 1.2.11 to 1.2.16)
- 20 • they do not smoke
- 21 • they can complete a 6-minute walk distance of at least 140 m (if limited
22 by breathlessness). **[2018]**

23 1.2.87 At the respiratory review, refer the person with COPD to a lung volume
24 reduction multidisciplinary team to assess whether lung volume reduction
25 surgery or endobronchial valves are suitable if they have:

- 26 • hyperinflation, assessed by lung function testing with body
27 plethysmography **and**
- 28 • emphysema on unenhanced CT chest scan **and**
- 29 • optimised treatment for other comorbidities. **[2018]**

1 1.2.88 Only offer endobronchial coils as part of a clinical trial and after
2 assessment by a lung volume reduction multidisciplinary team. **[2018]**

3 1.2.89 For more guidance on lung volume reduction procedures, see the NICE
4 interventional procedures guidance on [lung volume reduction surgery](#),
5 [endobronchial valves](#) and [endobronchial coils](#). **[2018]**

6 1.2.90 Refer people with COPD for an assessment for bullectomy if they are
7 breathless and a CT scan shows a bulla occupying at least one third of
8 the hemithorax. **[2018]**

9 1.2.91 Consider referral to a specialist multidisciplinary team to assess for lung
10 transplantation for people who:

- 11 • have severe COPD, with FEV1 less than 50% and breathlessness that
12 affects their quality of life despite optimal medical treatment (see
13 recommendations 1.2.11 to 1.2.16) **and**
- 14 • do not smoke **and**
- 15 • have completed pulmonary rehabilitation **and**
- 16 • do not have contraindications for transplantation (for example,
17 comorbidities or frailty). **[2018]**

18 1.2.92 Do not use previous lung volume reduction procedures as a reason not to
19 refer a person for assessment for lung transplantation. **[2018]**

To find out why the committee made the 2018 recommendations on lung volume reduction procedures, bullectomy and lung transplantation and how they might affect practice, see [rationale and impact](#).

20 **Alpha-1 antitrypsin replacement therapy**

21 1.2.93 Alpha-1 antitrypsin replacement therapy is not recommended for people
22 with alpha-1 antitrypsin deficiency (see also recommendation 1.1.17).
23 **[2004]**

24 **Multidisciplinary management**

25 1.2.94 COPD care should be delivered by a multidisciplinary team. **[2004]**

1 1.2.95 When defining the activity of the multidisciplinary team, think about the
2 following functions:

- 3 • assessment (including performing spirometry, assessing which delivery
4 systems to use for inhaled therapy, the need for aids for daily living and
5 assessing the need for oxygen)
- 6 • care and treatment, including:
 - 7 – pulmonary rehabilitation
 - 8 – identifying and managing anxiety and depression
 - 9 – advising people on relaxation techniques
 - 10 – dietary issues
 - 11 – exercise
 - 12 – social security benefits and travel
 - 13 – hospital-at-home/early discharge schemes
 - 14 – non-invasive ventilation and palliative care
- 15 • advising people on self-management strategies
- 16 • identifying and monitoring people at high risk of exacerbations and
17 undertaking activities to avoid emergency admissions
- 18 • education for people with COPD, their carers, and for healthcare
19 professionals. **[2004]**

20 ***Respiratory nurse specialists***

21 1.2.96 It is recommended that the multidisciplinary COPD team includes
22 respiratory nurse specialists. **[2004]**

23 ***Physiotherapy***

24 1.2.97 If people have excessive sputum, they should be taught:

- 25 • how to use positive expiratory pressure devices
- 26 • active cycle of breathing techniques. **[2004, amended 2018]**

27 ***Identifying and managing anxiety and depression***

28 1.2.98 Be alert for anxiety and depression in people with COPD. Consider
29 whether people have anxiety or depression, particularly if they:

- 1 • have severe breathlessness
2 • are hypoxic
3 • have been seen at or admitted to a hospital with an exacerbation of
4 COPD. **[2004, amended 2018]**

5 1.2.99 For guidance on diagnosing and managing depression, see the NICE
6 guideline on [depression in adults with a chronic physical health problem](#).
7 **[2004]**

8 1.2.100 For guidance on managing anxiety, see the NICE guideline on
9 [generalised anxiety disorder and panic disorder in adults](#). **[2018]**

10 ***Nutritional factors***

11 1.2.101 Calculate BMI for people with COPD:

- 12 • the normal range for BMI is 20 to less than 25 kg/m² ⁸
13 • refer people for dietetic advice if they have a BMI that is abnormal (high
14 or low) or changing over time
15 • for people with a low BMI, give nutritional supplements to increase their
16 total calorific intake and encourage them to exercise to augment the
17 effects of nutritional supplementation. **[2004]**

18 1.2.102 For guidance on nutrition support, see the NICE guideline on [nutrition](#)
19 [support for adults](#). **[2004]**

20 1.2.103 Pay attention to changes in weight in older people, particularly if the
21 change is more than 3 kg. **[2004]**

22 ***Palliative care***

23 1.2.104 When appropriate, use opioids to relieve breathlessness in people with
24 end-stage COPD that is unresponsive to other medical therapy. **[2004]**

⁸ This recommendation was not reviewed as part of the 2019 guideline update. The NICE guideline on [obesity](#) states that a healthy range is 18.5 to 24.9 kg/m², but this range may not be appropriate for people with COPD.

- 1 1.2.105 When appropriate, use benzodiazepines, tricyclic antidepressants, major
2 tranquillisers and oxygen for breathlessness in people with end-stage
3 COPD that is unresponsive to other medical therapy. **[2004]**
- 4 1.2.106 People with end-stage COPD and their family members or carers (as
5 appropriate) should have access to the full range of services offered by
6 multidisciplinary palliative care teams, including admission to hospices.
7 **[2004]**
- 8 1.2.107 For standards and measures on palliative care, see the NICE quality
9 standard on [end of life care for adults](#). **[2018]**
- 10 1.2.108 For guidance on care for people in the last days of life, see the NICE
11 guideline on [care of dying adults](#). **[2018]**
- 12 ***Assessment for occupational therapy***
- 13 1.2.109 Regularly ask people with COPD about their ability to undertake activities
14 of daily living and how breathless these activities make them. **[2004]**
- 15 1.2.110 Clinicians that care for people with COPD should assess their need for
16 occupational therapy using validated tools. **[2004]**
- 17 ***Social services***
- 18 1.2.111 Consider referring people for assessment by social services if they have
19 disabilities caused by COPD. **[2004]**
- 20 ***Advice on travel***
- 21 1.2.112 Assess people who are using long-term oxygen therapy and who are
22 planning air travel in line with the BTS recommendations⁹. **[2004]**
- 23 1.2.113 Assess people with an FEV1 below 50% predicted who are planning air
24 travel in line with the BTS recommendations. **[2004]**

⁹ British Thoracic Society Standards of Care Committee (2002) [Managing passengers with respiratory disease planning air travel: British Thoracic Society recommendations](#). Thorax 57(4): 289–304.

1 1.2.114 Warn people with bullous disease that they are at a theoretically
2 increased risk of a pneumothorax during air travel. **[2004]**

3 ***Advice on diving***

4 1.2.115 Scuba diving is not generally recommended for people with COPD.
5 Advise people with queries to seek specialist advice. **[2004]**

6 ***Education***

7 1.2.116 There are significant differences in the response of people with COPD
8 and asthma to education programmes. Programmes designed for asthma
9 should not be used in COPD. **[2004]**

10 1.2.117 At diagnosis and at each review appointment, offer people with COPD
11 and their family members or carers (as appropriate):

- 12 • written information about their condition
- 13 • opportunities for discussion with a healthcare professional who has
- 14 experience in caring for people with COPD. **[2018]**

15 1.2.118 Ensure the information provided is:

- 16 • available on an ongoing basis
- 17 • relevant to the stage of the person's condition
- 18 • tailored to the person's needs. **[2018]**

19 1.2.119 At minimum, the information should cover:

- 20 • an explanation of COPD and its symptoms
- 21 • advice on quitting smoking (if relevant) and how this will help with the
- 22 person's COPD
- 23 • advice on avoiding passive smoke exposure
- 24 • managing breathlessness
- 25 • physical activity and pulmonary rehabilitation
- 26 • medicines, including inhaler technique and the importance of
- 27 adherence
- 28 • vaccinations

- 1
- identifying and managing exacerbations
- 2
- details of local and national organisations and online resources that can
- 3
- provide more information and support
- 4
- how COPD will affect other long-term conditions that are common in
- 5
- people with COPD (for example hypertension, heart disease, anxiety,
- 6
- depression and musculoskeletal problems). **[2018]**

7 1.2.120 Be aware of the obligation to provide accessible information as detailed in

8 the NHS [Accessible Information Standard](#). For more guidance on

9 providing information to people and discussing their preferences with

10 them, see the NICE guideline on [patient experience in adult NHS](#)

11 [services](#). **[2018]**

To find out why the committee made the 2018 recommendations on education and how they might affect practice, see [rationale and impact](#).

12

13 1.2.121 Advise people with COPD that the following factors increase their risk of

14 exacerbations:

- 15
- continued smoking or relapse for ex-smokers
- 16
- exposure to passive smoke
- 17
- viral or bacterial infection
- 18
- indoor and outdoor air pollution
- 19
- lack of physical activity
- 20
- seasonal variation (winter and spring). **[2018]**

To find out why the committee made the 2018 recommendation on risk factors for exacerbations and how it might affect practice see [rationale and impact](#).

21 **Self-management**

22 1.2.122 Develop an individualised self-management plan in collaboration with

23 each person with COPD and their family members or carers (as

24 appropriate), and:

- 1 • include education on all relevant points from recommendation 1.2.119
2 • review the plan at future appointments. **[2018]**

3 1.2.123 Develop an individualised exacerbation action plan in collaboration with
4 each person with COPD who is at risk of exacerbations. **[2018]**

5 1.2.124 Offer people a short course of oral corticosteroids and a short course of
6 oral antibiotics to keep at home as part of their exacerbation action plan if:

- 7 • they have had an exacerbation within the last year, and remain at risk
8 of exacerbations
9 • they understand and are confident about when and how to take these
10 medicines, and the associated benefits and harms
11 • they know to tell their healthcare professional when they have used the
12 medicines, and to ask for replacements. **[2018]**

13 1.2.125 For guidance on the choice of antibiotics see the NICE guideline on
14 [antimicrobial prescribing for acute exacerbations of COPD](#). **[2018]**

15 1.2.126 At all review appointments, discuss corticosteroid and antibiotic use with
16 people who keep these medicines at home, to check that they still
17 understand how to use them. For people who have used 3 or more
18 courses of oral corticosteroids and/or oral antibiotics in the last year,
19 investigate the possible reasons for this. **[2018]**

20 1.2.127 See recommendations 1.3.13 to 1.3.20 for more guidance on oral
21 corticosteroids. **[2018]**

22 1.2.128 Encourage people with COPD to respond promptly to exacerbation
23 symptoms by following their action plan, which may include:

- 24 • adjusting their short-acting bronchodilator therapy to treat their
25 symptoms
26 • taking a short course of oral corticosteroids if their increased
27 breathlessness interferes with activities of daily living
28 • adding oral antibiotics if their sputum changes colour and increases in
29 volume or thickness beyond their normal day-to-day variation

- 1 • telling their healthcare professional. **[2018]**

2 1.2.129 Ask people with COPD if they experience breathlessness they find
3 frightening. If they do, consider including a cognitive behavioural
4 component in their self-management plan to help them manage anxiety
5 and cope with breathlessness. **[2018]**

6 1.2.130 For people at risk of hospitalisation, explain to them and their family
7 members or carers (as appropriate) what to expect if this happens
8 (including non-invasive ventilation and discussions on future treatment
9 preferences, ceilings of care and resuscitation). **[2018]**

10 ***Telehealth monitoring***

11 1.2.131 Do not offer routine telehealth monitoring of physiological status as part of
12 management for stable COPD. **[2018]**

To find out why the committee made the 2018 recommendations on self-management and telehealth monitoring and how they might affect practice, see [rationale and impact](#).

13 **Fitness for general surgery**

14 1.2.132 The ultimate clinical decision about whether or not to proceed with surgery
15 should rest with a consultant anaesthetist and consultant surgeon, taking
16 account of comorbidities, functional status and the need for the surgery.
17 **[2004]**

18 1.2.133 It is recommended that lung function should not be the only criterion used
19 to assess people with COPD before surgery. Composite assessment tools
20 such as the ASA scoring system are the best predictors of risk. **[2004]**

21 1.2.134 If time permits, optimise the medical management of people with COPD
22 before surgery. This might include a course of pulmonary rehabilitation.
23 **[2004]**

24 **Follow-up of people with COPD**

25 1.2.135 Follow-up of all people with COPD should include:

- 1
- 2
- 3
- 4
- 5
- 6
- 7
- 8
- 9
- 10
- 11
- highlighting the diagnosis of COPD in the case record and recording this using Read Codes on a computer database
 - recording the values of spirometric tests performed at diagnosis (both absolute and percent predicted)
 - offering advice and treatment to help them stop smoking, and referral to specialist stop smoking services (see the NICE guideline on [stop smoking interventions and services](#))
 - recording the opportunistic measurement of spirometric parameters (a loss of 500 ml or more over 5 years will show which people have rapidly progressing disease and may need specialist referral and investigation). **[2004, amended 2018]**

12 1.2.136 Review people with COPD at least once per year and more frequently if
13 indicated, and cover the issues listed in table 6. **[2004]**

14 1.2.137 For most people with stable severe COPD regular hospital review is not
15 necessary, but there should be locally agreed mechanisms to allow rapid
16 access to hospital assessment when needed. **[2004]**

17 1.2.138 When people with very severe COPD are reviewed in primary care they
18 should be seen at least twice per year, and specific attention should be
19 paid to the issues listed in table 6. **[2004]**

20 1.2.139 Specialists should regularly review people with severe COPD who need
21 interventions such as long-term non-invasive ventilation. **[2004]**

1 **Table 6 Summary of follow-up of people with COPD in primary care**

	Mild/moderate/severe (stages 1 to 3)	Very severe (stage 4)
Frequency	At least annual	At least twice per year
Clinical assessment	<ul style="list-style-type: none"> • Smoking status and motivation to quit • Adequacy of symptom control: <ul style="list-style-type: none"> – breathlessness – exercise tolerance – estimated exacerbation frequency • Need for pulmonary rehabilitation • Presence of complications • Effects of each drug treatment • Inhaler technique • Need for referral to specialist and therapy services 	<ul style="list-style-type: none"> • Smoking status and motivation to quit • Adequacy of symptom control: <ul style="list-style-type: none"> – breathlessness – exercise tolerance – estimated exacerbation frequency • Presence of cor pulmonale • Need for long-term oxygen therapy • Person with COPD's nutritional state • Presence of depression • Effects of each drug treatment • Inhaler technique • Need for social services and occupational therapy input • Need for referral to specialist and therapy services • Need for pulmonary rehabilitation
Measurements to make	<ul style="list-style-type: none"> • FEV1 and FVC • calculate BMI • MRC dyspnoea score 	<ul style="list-style-type: none"> • FEV1 and FVC • calculate BMI • MRC dyspnoea score • SaO₂

2

3 **1.3 Managing exacerbations of COPD**4 **Definition of an exacerbation**5 An exacerbation is a sustained worsening of the patient's symptoms from their usual
6 stable state which is beyond normal day-to-day variations, and is acute in onset.7 Commonly reported symptoms are worsening breathlessness, cough, increased
8 sputum production and change in sputum colour. The change in these symptoms
9 often necessitates a change in medication.

Assessing the need for hospital treatment

1.3.1 Use the factors in table 7 to assess whether people with COPD need hospital treatment. [2004]

Table 7 Factors to consider when deciding where to treat the person with COPD

Factor	Treat at home	Treat in hospital
Able to cope at home	Yes	No
Breathlessness	Mild	Severe
General condition	Good	Poor/deteriorating
Level of activity	Good	Poor/confined to bed
Cyanosis	No	Yes
Worsening peripheral oedema	No	Yes
Level of consciousness	Normal	Impaired
Already receiving long-term oxygen therapy	No	Yes
Social circumstances	Good	Living alone/not coping
Acute confusion	No	Yes
Rapid rate of onset	No	Yes
Significant comorbidity (particularly cardiac disease and insulin-dependent diabetes)	No	Yes
SaO ₂ < 90%	No	Yes
Changes on chest radiograph	No	Present
Arterial pH level	≥ 7.35	< 7.35
Arterial PaO ₂	≥ 7 kPa	< 7 kPa

Investigating an exacerbation

The diagnosis of an exacerbation is made clinically and does not depend on the results of investigations. However, investigations may sometimes be useful in ensuring appropriate treatment is given. Different investigation strategies are needed for people in hospital (who will tend to have more severe exacerbations) and people in the community.

Primary care

1.3.2 For people who have their exacerbation managed in primary care:

- sending sputum samples for culture is not recommended in routine practice

- 1 • pulse oximetry is of value if there are clinical features of a severe
2 exacerbation. **[2004]**

3 ***People referred to hospital***

4 1.3.3 In all people presenting to hospital with an acute exacerbation:

- 5 • obtain a chest X-ray
6 • measure arterial blood gas tensions and record the inspired oxygen
7 concentration
8 • record an ECG (to exclude comorbidities)
9 • perform a full blood count and measure urea and electrolyte
10 concentrations
11 • measure a theophylline level on admission in people who are taking
12 theophylline therapy
13 • send a sputum sample for microscopy and culture if the sputum is
14 purulent
15 • take blood cultures if the person has pyrexia. **[2004, amended 2018]**

16 **Hospital-at-home and assisted-discharge schemes**

17 1.3.4 Hospital-at-home and assisted-discharge schemes are safe and effective
18 and should be used as an alternative way of caring for people with
19 exacerbations of COPD who would otherwise need to be admitted or stay
20 in hospital. **[2004]**

21 1.3.5 The multiprofessional team that operates these schemes should include
22 allied health professionals with experience in managing COPD, and may
23 include nurses, physiotherapists, occupational therapists and other health
24 workers. **[2004]**

25 1.3.6 There are currently insufficient data to make firm recommendations about
26 which people with COPD with an exacerbation are most suitable for
27 hospital-at-home or early discharge. Selection should depend on the
28 resources available and absence of factors associated with a worse
29 prognosis (for example, acidosis). **[2004]**

1 1.3.7 Include people's preferences about treatment at home or in hospital in
2 decision-making. **[2004]**

3 **Pharmacological management**

4 Increased breathlessness is a common feature of COPD exacerbations. This is
5 usually managed by taking increased doses of short-acting bronchodilators.

6 ***Delivery systems for inhaled therapy during exacerbations***

7 1.3.8 Both nebulisers and hand-held inhalers can be used to administer inhaled
8 therapy during exacerbations of COPD. **[2004]**

9 1.3.9 The choice of delivery system should reflect the dose of drug needed, the
10 person's ability to use the device, and the resources available to
11 supervise therapy administration. **[2004]**

12 1.3.10 Change people to hand-held inhalers as soon as their condition has
13 stabilised, because this may allow them to be discharged from hospital
14 earlier. **[2004]**

15 1.3.11 If a person with COPD is hypercapnic or acidotic the nebuliser should be
16 driven by compressed air rather than oxygen (to avoid worsening
17 hypercapnia). If oxygen therapy is needed, administer it simultaneously by
18 nasal cannulae. **[2004]**

19 1.3.12 The driving gas for nebulised therapy should always be specified in the
20 prescription. **[2004]**

21 ***Systemic corticosteroids***

22 1.3.13 In the absence of significant contraindications, use oral corticosteroids, in
23 conjunction with other therapies, in all people admitted to hospital with a
24 COPD exacerbation. **[2004]**

25 1.3.14 In the absence of significant contraindications, consider oral
26 corticosteroids for people in the community who have an exacerbation
27 with a significant increase in breathlessness that interferes with daily
28 activities. **[2004]**

1 1.3.15 Encourage people who need corticosteroid therapy to present early to get
2 maximum benefits. **[2004]**

3 1.3.16 Offer oral prednisolone 30 mg daily for up to 7 days. Be aware that there
4 is no benefit from taking corticosteroids for more than 7 days. **[2019]**

5 1.3.17 For guidance on stopping oral corticosteroid therapy it is recommended
6 that clinicians refer to the BNF. **[2004]**

7 1.3.18 Think about osteoporosis prophylaxis for people who need frequent
8 courses of oral corticosteroids. **[2004]**

9 1.3.19 Make people aware of the optimum duration of treatment and the adverse
10 effects of prolonged therapy. **[2004]**

11 1.3.20 Give people (particularly people discharged from hospital) clear
12 instructions on why, when and how to stop their corticosteroid treatment.
13 **[2004]**

To find out why the committee made the 2019 recommendation on duration of oral corticosteroid use and it might affect practice, see [rationale and impact](#).

14 ***Antibiotics***

15 1.3.21 For guidance on using antibiotics to treat COPD exacerbations, see the
16 NICE guideline on [antimicrobial prescribing for acute exacerbations of](#)
17 [COPD](#). **[2018]**

18 ***Theophylline and other methylxanthines***

19 1.3.22 Only use intravenous theophylline as an adjunct to exacerbation
20 management if there is an inadequate response to nebulised
21 bronchodilators. **[2004]**

22 1.3.23 Take care when using intravenous theophylline, because of its
23 interactions with other drugs and potential toxicity if the person has been
24 taking oral theophylline. **[2004]**

1 1.3.24 Monitor theophylline levels within 24 hours of starting treatment, and as
2 frequently as indicated by the clinical circumstances after this. **[2004]**

3 ***Respiratory stimulants***

4 1.3.25 It is recommended that doxapram is used only when non-invasive
5 ventilation is either unavailable or inappropriate. **[2004]**

6 **Oxygen therapy during exacerbations of COPD**

7 1.3.26 Measure oxygen saturation in people with an exacerbation if there are no
8 facilities to measure arterial blood gases. **[2004]**

9 1.3.27 If necessary, prescribe oxygen to keep the oxygen saturation of arterial
10 blood (SaO₂) within the individualised target range. **[2010]**

11 1.3.28 Pulse oximeters should be available to all healthcare professionals
12 involved in the care of people with exacerbations of COPD, and they
13 should be trained in their use. Clinicians should be aware that pulse
14 oximetry gives no information about the PaCO₂ or pH. **[2004]**

15 1.3.29 Measure arterial blood gases and note the inspired oxygen concentration
16 in all people who arrive at hospital with an exacerbation of COPD. Repeat
17 arterial blood gas measurements regularly, according to the response to
18 treatment. **[2004]**

19 **Non-invasive ventilation (NIV) and COPD exacerbations**

20 1.3.30 Use NIV as the treatment of choice for persistent hypercapnic ventilatory
21 failure during exacerbations despite optimal medical therapy. **[2004]**

22 1.3.31 It is recommended that NIV should be delivered in a dedicated setting,
23 with staff who have been trained in its application, who are experienced in
24 its use and who are aware of its limitations. **[2004]**

25 1.3.32 When people are started on NIV there should be a clear plan covering
26 what to do in the event of deterioration, and ceilings of therapy should be
27 agreed. **[2004]**

1 **Invasive ventilation and intensive care**

2 1.3.33 Treat hospitalised exacerbations of COPD on intensive care units,
3 including invasive ventilation when this is thought to be necessary. **[2004]**

4 1.3.34 When assessing suitability for intubation and ventilation during
5 exacerbations, think about functional status, BMI, need for oxygen when
6 stable, comorbidities and previous admissions to intensive care units, in
7 addition to age and FEV1. Neither age nor FEV1 should be used in
8 isolation when assessing suitability. **[2004]**

9 1.3.35 Consider NIV for people who are slow to wean from invasive ventilation.
10 **[2004]**

11 **Respiratory physiotherapy and exacerbations**

12 1.3.36 Consider physiotherapy using positive expiratory pressure devices for
13 selected people with exacerbations of COPD, to help with clearing
14 sputum. **[2004, amended 2018]**

15 **Monitoring recovery from an exacerbation**

16 1.3.37 Monitor people's recovery by regular clinical assessment of their
17 symptoms and observation of their functional capacity. **[2004]**

18 1.3.38 Use pulse oximetry to monitor the recovery of people with non-
19 hypercapnic, non-acidotic respiratory failure. **[2004]**

20 1.3.39 Use intermittent arterial blood gas measurements to monitor the recovery
21 of people with respiratory failure who are hypercapnic or acidotic, until
22 they are stable. **[2004]**

23 1.3.40 Do not routinely perform daily monitoring of peak expiratory flow (PEF) or
24 FEV1 to monitor recovery from an exacerbation, because the magnitude
25 of changes is small compared with the variability of the measurement.
26 **[2004]**

27 **Discharge planning**

28 1.3.41 Measure spirometry in all people before discharge. **[2004]**

- 1 1.3.42 Re-establish people on their optimal maintenance bronchodilator therapy
2 before discharge. [2004]
- 3 1.3.43 People who have had an episode of respiratory failure should have
4 satisfactory oximetry or arterial blood gas results before discharge. [2004]
- 5 1.3.44 Assess all aspects of the routine care that people receive (including
6 appropriateness and risk of side effects) before discharge. [2004]
- 7 1.3.45 Give people (or home carers) appropriate information to enable them to
8 fully understand the correct use of medications, including oxygen, before
9 discharge. [2004]
- 10 1.3.46 Make arrangements for follow-up and home care (such as visiting nurse,
11 oxygen delivery or referral for other support) before discharge. [2004]
- 12 1.3.47 The person, their family and their physician should be confident that they
13 can manage successfully before they are discharged. A formal activities of
14 daily living assessment may be helpful when there is still doubt. [2004]

15 ***Terms used in this guideline***

16 **Asthmatic features/features suggesting steroid responsiveness**

17 This includes any previous, secure diagnosis of asthma or of atopy, a higher blood
18 eosinophil count, substantial variation in FEV1 over time (at least 400 ml) or
19 substantial diurnal variation in peak expiratory flow (at least 20%).

20 **Exacerbation**

21 An exacerbation is a sustained worsening of the patient's symptoms from their usual
22 stable state which is beyond normal day-to-day variations, and is acute in onset.
23 Commonly reported symptoms are worsening breathlessness, cough, increased
24 sputum production and change in sputum colour. The change in these symptoms
25 often necessitates a change in medication.

26 A general classification of the severity of an acute exacerbation ([Oba Y et al. \[2017\]](#))
27 is:

- 1 • mild exacerbation, the person has an increased need for medication, which they
2 can manage in their own normal environment
- 3 • moderate exacerbation, the person has a sustained worsening of respiratory
4 status that requires treatment with systemic corticosteroids and/or antibiotics
- 5 • severe exacerbation, the person experiences a rapid deterioration in respiratory
6 status that requires hospitalisation.

7 **Mild or no hypoxaemia**

8 People who are not taking long-term oxygen and who have a mean PaO₂ greater
9 than 7.3k Pa.

10 **Recommendations for research**

11 The guideline committee has made the following recommendations for research. As
12 part of the 2018 update, the guideline committee made additional research
13 recommendations on prognostic indices, inhaled therapies, prophylactic antibiotics,
14 pulmonary hypertension and the diagnosis of COPD through incidental CT scans.

15 ***Key recommendations for research***

16 **1 Pulmonary rehabilitation during hospital admission**

17 In people with COPD, does pulmonary rehabilitation during hospital admission for
18 exacerbation and/or in the early recovery period (within 1 month of an exacerbation)
19 improve quality of life and reduce hospitalisations and exacerbations compared with
20 a later (defined as after 1 month) pulmonary rehabilitation programme, and in which
21 groups is it most clinically and cost effective?

22 **Why this is important**

23 The greatest reconditioning and potential benefit from rehabilitation may occur in the
24 early post-exacerbation phase. If inpatient pulmonary rehabilitation is demonstrated
25 to be effective this may potentially impact on service delivery (for example, early
26 discharge schemes). The cost effectiveness of early versus later pulmonary
27 rehabilitation programmes should also be evaluated. Studies should be cluster
28 randomised, be of sufficiently long duration and be adequately powered.

1 **2 Multidimensional assessment of outcomes**

2 How can the individual factors associated with COPD prognosis (collected from a
3 range of sources including primary care, imaging and pulmonary rehabilitation
4 results) be combined into a multidimensional analysis that provides accurate and
5 useful information on prognosis?

6 **Why this is important**

7 People with COPD can experience anxiety concerning their disease prognosis.
8 Suitable prognostic tools could help alleviate this stress and allow people to make
9 plans for the future. Existing multidimensional indices are:

- 10 • unable to classify people reliably into high- and low-risk groups better than FEV1
11 alone **or**
- 12 • no better at predicting outcomes than FEV1 alone **or**
- 13 • time-consuming and consisting of components that would not be routinely
14 available in primary care.

15 However, many individual factors are known to provide information, and the
16 development of an index/indices combining these factors could help with prognosis.
17 These indices should be validated in a general UK COPD population, and in primary
18 care, in a wider range of outcomes than mortality alone.

19 **3 Inhaled therapies for people with COPD and asthma**

20 What is the clinical and cost effectiveness of inhaled therapies (bronchodilators
21 and/or inhaled corticosteroids) in people with both stable COPD and asthma?

22 **Why this is important**

23 There are a large number of trials that look at the effectiveness of bronchodilators
24 and/or steroids in people with COPD, but the majority of them specifically excluded
25 people with comorbid asthma. As a result, there is a lack of evidence concerning the
26 most clinically and cost-effective treatments for this subgroup of people with COPD.
27 Trials that recruit people with asthma and COPD could provide this evidence and
28 ensure that these people receive the most effective maintenance treatments for their
29 COPD and asthma.

1 **4 Inhaled corticosteroid responsiveness**

2 What features predict inhaled corticosteroid responsiveness most accurately in
3 people with COPD?

4 **Why this is important**

5 Bronchodilators and/or steroids are the main pharmacological treatments used to
6 manage COPD. People with asthma or asthmatic features that may make them
7 steroid responsive may need a different combination of drugs to other groups of
8 people with COPD for the most effective treatment of their symptoms. Identifying
9 these people would help ensure that they receive appropriate treatment.

10 **5 Prophylactic antibiotics for preventing exacerbations**

11 Which subgroups of people with stable COPD who are at high risk of exacerbations
12 are most likely to benefit from prophylactic antibiotics?

13 **Why this is important**

14 People with COPD commonly experience exacerbations, which have a negative
15 impact on their quality of life and are linked to worse disease prognosis. Certain
16 groups of people with COPD are at higher risk of exacerbations, and reducing the
17 number of exacerbations they experience should improve quality of life for them and
18 their families. However, subgroups of these people may benefit particularly from this
19 treatment. Identifying and targeting prophylactic antibiotics for these people should
20 help improve their quality of life. It may also identify people who would not benefit
21 from prophylactic antibiotics, and so reduce the risk of antibiotic resistance by
22 reducing the overall number of people taking prophylactic antibiotics for COPD.
23 Randomised trials that include subgroup analysis of participants based on factors
24 such as biomarkers, clinical features, bacterial patterns and comorbidities could
25 provide useful information on this topic.

1 ***Other recommendations for research***

2 **Diagnosing COPD**

3 What are the characteristics of people diagnosed with COPD as a result of an
4 incidental finding of emphysema on a CT scan, compared with those diagnosed with
5 symptoms?

6 **Prophylactic antibiotics for preventing exacerbations**

7 What is the long-term clinical and cost effectiveness of prophylactic antibiotics for
8 people with stable COPD who are at high risk of exacerbations?

9 What is the comparative effectiveness of different antibiotics, doses and regimens of
10 prophylactic antibiotics for people with stable COPD who are at high risk of
11 exacerbations?

12 What is the comparative effectiveness of seasonal versus continuous prophylactic
13 antibiotics for people with stable COPD who are at high risk of exacerbations?

14 **Pulmonary hypertension**

15 What are the most clinical and cost-effective treatments for pulmonary hypertension
16 in people with COPD?

17 **Mucolytic therapy**

18 In people with COPD, does mucolytic drug therapy prevent exacerbations in
19 comparison with placebo and other therapies?

20 **Rationale and impact**

21 These sections briefly explain why the committee made the recommendations and
22 how they might affect practice. They link to details of the evidence and a full
23 description of the committee's discussion.

24 ***Incidental findings on chest X-ray or CT scans***

25 Recommendations 1.1.12 to 1.1.14

1 **Why the committee made the recommendations**

2 The evidence showed that CT scans and chest X-rays are accurate tests for
3 identifying people who would test positive for COPD using spirometry, including
4 people without symptoms. However, some of the CT and chest X-ray techniques
5 used in the studies are not routinely used in UK clinical practice. This limited how
6 applicable the evidence was to the NHS, so the committee was unable to make a
7 wider recommendation on using CT scans and chest X-rays for diagnosing COPD.
8 The committee therefore made recommendations on what to do if a CT scan or
9 X-ray that was performed for another reason showed signs of emphysema or chronic
10 airways disease.

11 There was no evidence on what to do for people who have emphysema or signs of
12 chronic airways disease on a CT scan or chest X-ray, but who have no symptoms.
13 Because of this, the committee made consensus recommendations based on their
14 experience and on current practice in the NHS. The committee also made a [research](#)
15 [recommendation](#) to find out more about the characteristics of this group, to try to
16 determine whether they differ in ways that might mean standard COPD treatment
17 has to be modified for them.

18 The committee also reviewed evidence on using pulse oximetry or high-sensitivity
19 C-reactive protein (hs-CRP) for diagnosing COPD. They did not recommend these
20 because:

- 21 • pulse oximetry is normally used to measure the severity of COPD rather than to
22 diagnose it, and there are other possible causes of low oxygen saturation
- 23 • elevated hs-CRP levels are not specifically linked to COPD, and could be caused
24 by other conditions
- 25 • the evidence showed that they were not effective diagnostic tests.

26 The committee amended the 'Additional investigations' table, based on their
27 knowledge and experience, to more accurately reflect good practice.

28 **How the recommendations might affect practice**

29 As the recommendation only covers CT scans or chest X-rays taken for other
30 purposes, there would be no additional costs from these tests. The recommendation

1 to consider spirometry and GP respiratory review and the amendments to the
2 'Additional investigations' table all reflect current practice. There may be a small
3 number of additional referrals for spirometry, but this is expected to have a minimal
4 resource impact.

5 Full details of the evidence and the committee's discussion are in [evidence review D:
6 Diagnosing COPD and predicting outcomes](#)

7 [Return to recommendations](#)

8 ***Assessing severity and using prognostic factors***

9 Recommendations 1.1.24 and 1.1.25

10 **Why the committee made the recommendations**

11 The committee recommended against using multidimensional indices, such as
12 BODE, because they were:

- 13 • unable to classify people reliably into high- and low-risk groups better than FEV1
14 alone **or**
- 15 • no better at predicting outcomes than FEV1 alone **or**
- 16 • time-consuming and consisted of components that would not be routinely
17 available in primary care.

18 However, the committee recognised the need for an effective prognostic tool that did
19 not have these problems, so they made a [research recommendation](#) to address this.

20 The committee used their knowledge and experience to list factors associated with
21 prognosis. In the absence of a single prognostic tool, thinking about these factors
22 can help guide discussions, and help people with COPD to understand how their
23 condition is likely to progress and decide which treatments are right for them.

24 **How the recommendations might affect practice**

25 The BODE index is not used routinely in the NHS and no alternative indices have
26 been recommended, so there should be minimal impact on practice.

27 Full details of the evidence and the committee's discussion are in [evidence review D:
28 Diagnosing COPD and predicting outcomes](#)

1 [Return to recommendations](#)

2 ***Inhaled combination therapy***

3 Recommendations 1.2.11 to 1.2.18

4 **Why the committee made the recommendations**

5 The evidence showed that, compared with other dual therapy combinations and with
6 monotherapy, LAMA+LABA:

- 7 • provides the greatest benefit to overall quality of life
8 • is better than other inhaled treatments for many individual outcomes (such as
9 reducing the risk of moderate to severe exacerbations)
10 • is the most cost-effective option.

11 The committee did not recommend a particular LAMA because they were not
12 convinced that the evidence showed any meaningful differences in effectiveness
13 between the drugs in this class. Instead, they updated the existing recommendation
14 on drug and inhaler choice, based on their experience of what factors should be
15 taken into account. In particular, minimising the number and types of inhalers
16 prescribed will make it easier for people to use their inhalers correctly.

17 Most of the trials specifically excluded people with COPD and asthma, so there was
18 no direct evidence for this group. The committee recommended LABA+ICS based on
19 their clinical experience and knowledge of the likely benefit of inhaled corticosteroids
20 in certain specific COPD phenotypes.

21 The committee decided that there should be separate recommendations on triple
22 therapy for people who are currently taking LABA+ICS and for people taking
23 LAMA+LABA. They agreed that there was stronger evidence from a greater number
24 of studies that triple therapy benefits people taking LABA+ICS, compared with
25 people taking LAMA+LABA.

26 For people currently taking LABA+ICS, the evidence showed that LAMA+LABA+ICS
27 reduced the rate of severe exacerbations, improved FEV1, and did not increase the
28 risk of pneumonia or other serious adverse events.

1 For people currently taking LAMA+LABA, the evidence showed that
2 LAMA+LABA+ICS reduced the rate of serious exacerbations and provides some
3 quality of life improvement. However, these improvements were smaller than the
4 ones for people who were taking LABA+ICS before they started triple therapy. In
5 addition, people who switched from LAMA+LABA to triple therapy were more likely to
6 get pneumonia.

7 The criteria for starting triple therapy are based on the inclusion criteria for the
8 studies the committee reviewed. For people who are currently taking LAMA+LABA,
9 the committee made separate recommendations for:

- 10 • people who are having severe or frequent exacerbations, for whom the benefit of
11 fewer exacerbations outweighs the increased risk of pneumonia
- 12 • people with less severe symptoms, for whom it is less clear if triple therapy
13 provides enough benefits to outweigh the risk of pneumonia.

14 The committee looked at making recommendations for people with asthmatic
15 features. However, the evidence excluded people with asthma and did not provide
16 much information on asthmatic features (such as eosinophil count). Because of this,
17 and because people with asthmatic features are likely to be covered by the
18 recommendation for people taking LABA+ICS, the committee agreed not to make a
19 specific recommendation for this group.

20 The committee did not make a recommendation in favour of single or multiple inhaler
21 devices because the included evidence did not show a meaningful difference in
22 clinical effectiveness between triple therapy compared with dual therapy, based on
23 the number of devices. From the economic evidence, using a single inhaler device
24 was more cost effective, but the committee agreed that there were circumstances
25 where using multiple inhalers could be better for the person with COPD. Finally, the
26 committee had already made a recommendation about the factors to be taken into
27 account when choosing an inhaler device. These included costs and minimising the
28 numbers of inhalers if possible, so an additional recommendation on this issue was
29 unnecessary.

30

1 Although the combination therapies recommended in this guideline are the most
2 effective options, some people are currently using different therapies, such as LAMA
3 or LABA monotherapy, and may have their symptoms under control with these. The
4 committee did not want to make people change treatments unnecessarily, so they
5 made a recommendation highlighting that people did not need to switch treatments
6 until their clinical needs changed.

7 **How the recommendations might affect practice**

8 The recommendation on LAMA+LABA dual therapy is likely to increase the number
9 of people with COPD who are having this treatment. The higher cost of dual therapy
10 compared with monotherapy may result in a significant resource impact, but cost
11 savings are also likely from a reduction in treatments needed for exacerbations
12 (including hospitalisation).

13 Using LABA+ICS for people with features of [asthma/features suggesting steroid](#)
14 [responsiveness](#) is in line with current practice.

15 The recommendations may result in an increase in the number of people who are
16 prescribed triple therapy and an increase in the number of people who need
17 treatment for pneumonia, although this may be mitigated by the relatively
18 widespread current use of triple therapy. However, the criteria for who should be
19 offered triple therapy and the recommendation for a trial period should limit the
20 impact of both of these changes.

21 Triple therapy regimens have a higher cost than dual long-acting bronchodilator
22 regimens. However, this cost is likely to be at least partially offset by savings from
23 reduced numbers of exacerbations and better management of symptoms for people
24 switching to triple therapy.

25 The recommendation on how to choose drugs and inhalers covers factors that
26 prescribers routinely consider, so is not a change in practice. However, minimising
27 the number and type of inhaler devices and avoiding unnecessary within-class
28 switching may produce cost savings through lower upfront spending and better
29 symptom control.

1 Full details of the evidence and the committee's discussion are in [evidence review F:](#)
2 [Inhaled therapies](#) and [evidence review I: Triple therapy](#)

3 [Return to recommendations](#)

4 ***Oral prophylactic antibiotic therapy***

5 Recommendations 1.2.43 to 1.2.51

6 **Why the committee made the recommendations**

7 The evidence showed that prophylactic antibiotics reduce the risk of people having
8 an exacerbation and the number of exacerbations per year in people with COPD and
9 sputum production. However, prescribing these to large numbers of people with
10 COPD could increase levels of antibiotic resistance. Problems with adherence may
11 make this worse, as people are not taking the antibiotics to help with any current
12 symptoms and (for azithromycin) have to remember to take it 3 times a week. In
13 addition, the longest follow-up in the trials was 12 months, so there is no evidence on
14 the long-term effects of prophylactic antibiotics. With this in mind, the committee
15 made recommendations for the people who would benefit the most from prophylactic
16 antibiotics, and whose exacerbations were not being managed well by other
17 treatments.

18 The committee recommended azithromycin because this antibiotic had the most
19 evidence of effectiveness (based on the numbers of trials and study participants).
20 The recommended dosage is taken from the trials the committee reviewed.

21 People taking prophylactic azithromycin may also keep antibiotics at home as part of
22 their exacerbation action plan (see recommendation 1.2.124). This should be a
23 different class of antibiotic to ensure that it is effective when they need it, as the
24 person may develop resistance to azithromycin.

25 The committee recommended strict criteria for using and reviewing prophylactic
26 antibiotics, to ensure that:

- 27 • the risk of antibiotic resistance is minimised, both for the person taking them and
28 for society
- 29 • people only take them if it is safe to do so

- 1 • people do not continue taking them if there is no benefit.

2 **How the recommendations might affect practice**

3 It is likely that these recommendations will increase the number of people taking
4 prophylactic antibiotics. This is unlikely have a significant resource impact, given the
5 relatively low cost of antibiotics. By reducing exacerbation frequency it is likely to
6 reduce the amount of oral corticosteroids taken by people with COPD.

7 Full details of the evidence and the committee's discussion are in [evidence review E:
8 Predicting and preventing exacerbations](#)

9 [Return to recommendations](#)

10 ***Long-term oxygen therapy***

11 Recommendations 1.2.56 to 1.2.61

12 **Why the committee made the recommendations**

13 There is evidence that continuous long-term oxygen therapy improves survival in
14 people with more severe hypoxaemia, but not for people with mild hypoxaemia. The
15 specific thresholds for long-term oxygen therapy are taken from the trials that
16 provided the evidence.

17 The recommendation that people should use supplemental oxygen for more than 15
18 hours a day is based on the available evidence. There is also evidence that long-
19 term oxygen therapy was not effective for isolated nocturnal hypoxaemia caused by
20 COPD.

21 The evidence showed risks of harm from the use of long-term oxygen therapy, in
22 particular burns and fires as a result of smoking while using oxygen and falls from
23 tripping over equipment. Given these risks to the person with COPD and the people
24 they live with, the committee agreed that it is important to conduct a detailed risk
25 assessment before offering this treatment.

26 The committee decided that there were 2 levels of risk posed by smoking around
27 oxygen and the recommendations they made reflect these differences:

- 1 • People with COPD who do not smoke but who live with people who smoke. Using
2 cigarettes near oxygen could cause fires or burns, but this risk is likely to be lower
3 because the person who smokes can keep away from the oxygen. Oxygen
4 therapy may benefit these people if they meet the eligibility criteria and the risk
5 assessment is favourable.
- 6 • People with COPD who smoke. They will be smoking in close proximity to the
7 oxygen, and the risks to them, the people they live with and their neighbours
8 outweigh the potential benefits of long-term oxygen therapy.

9 **How the recommendations might affect practice**

10 These recommendations may result in an increase in demand for stop smoking
11 services, but these are known to provide good value for money. Additional time may
12 be needed to conduct risk assessments. As these should prevent people from being
13 given oxygen therapy if they would not benefit or may be harmed by it, it would be an
14 appropriate use of resources and should not lead to an overall increase in resource
15 use. These recommendations may also reduce the cost of managing harms
16 associated with oxygen use, including falls, burns and the wider costs of fires.

17 Full details of the evidence and the committee's discussion are in [evidence review B:](#)
18 [Oxygen therapy in people with stable COPD](#)

19 [Return to recommendations](#)

20 ***Ambulatory and short-burst oxygen therapy***

21 Recommendations 1.2.65 and 1.2.71

22 **Why the committee made the recommendations**

23 The evidence for people with mild or no hypoxaemia showed that neither ambulatory
24 oxygen nor short-burst oxygen provide a clinically meaningful improvement in
25 breathlessness.

26 **How the recommendations might affect practice**

27 Reducing the use of ambulatory and short-burst oxygen therapy in people who would
28 not benefit is likely to be cost saving and will allow resources to be invested in
29 effective treatments for breathlessness instead.

1 Full details of the evidence and the committee's discussion are in [evidence review B:](#)
2 [Oxygen therapy in people with stable COPD](#)

3 [Return to recommendations](#)

4 ***Managing pulmonary hypertension and cor pulmonale***

5 Recommendations 1.2.75, 1.2.76 and 1.2.78

6 **Why the committee made the recommendations**

7 ***Pulmonary hypertension***

8 The committee agreed that there was not enough evidence to recommend any of the
9 reviewed treatments for pulmonary hypertension in people with COPD. Although
10 some of the treatments improved blood pressure readings, there was no evidence
11 that they improved quality of life and the clinical trials only involved small numbers of
12 people.

13 There is a shortage of good evidence in this area, so the committee made an
14 exception for using these treatments in randomised controlled trials, and made a
15 [research recommendation](#).

16 ***Cor pulmonale***

17 The evidence on long-term oxygen therapy for people with COPD and cor pulmonale
18 showed no improvement in survival. However, long-term oxygen therapy can also
19 help with hypoxia. The committee saw no evidence that people with cor pulmonale
20 should be treated or assessed for long-term oxygen therapy differently than other
21 people with COPD.

22 **How the recommendations might affect practice**

23 The recommendations will not change practice, as none of the treatments the
24 committee has recommended against for pulmonary hypertension or cor pulmonale
25 are currently in routine use specifically for these conditions in people with COPD.

26 Full details of the evidence and the committee's discussion are in [evidence review A:](#)
27 [Managing pulmonary hypertension and cor pulmonale](#)

28 [Return to recommendations](#)

1 ***Lung volume reduction procedures, bullectomy and lung***
2 ***transplantation***

3 Recommendations 1.2.86 to 1.2.92

4 **Why the committee made the recommendations**

5 The evidence showed that people with severe COPD show improvements in lung
6 function, exercise capacity, quality of life and long-term mortality as a result of lung
7 volume reduction surgery. The criteria for who should be referred for this procedure
8 are based on the criteria used in the trials reviewed by the committee and the
9 committee's clinical expertise, taking into account current practice in the NHS.

10 It was not clear from the evidence whether endobronchial coils work better than
11 standard lung volume reduction surgery. In addition, the procedure is relatively new.
12 For these reasons, the committee recommended that it is only offered as part of a
13 clinical trial.

14 The recommendations on referral for bullectomy and lung transplantation are based
15 on the committee's knowledge and experience. The lung transplantation referral
16 criteria were adapted from the criteria used for the respiratory review for lung volume
17 reduction surgery. The committee noted that some people are refused lung
18 transplantation because they have had previous lung volume reduction procedures.
19 These people could still benefit from transplantation, so the committee made a
20 recommendation to reflect this.

21 **How the recommendations might affect practice**

22 It is current clinical practice to assess for future treatment plans after pulmonary
23 rehabilitation. However, the criteria for referring people to a multidisciplinary team to
24 assess for lung volume reduction assessment have been broadened, as
25 recommended treatment options now include endobronchial valves. The broadening
26 of criteria will lead to more referrals and improved access to these treatments. This
27 will have an impact on resource use, in particular, as a new group of people for
28 whom lung volume reduction surgery was unsuitable may now be treated with
29 endobronchial valves.

1 Full details of the evidence and the committee's discussion are in [evidence review G:](#)
2 [Referral criteria for lung volume reduction procedures, bullectomy and lung](#)
3 [transplantation](#)

4 [Return to recommendations](#)

5 ***Risk factors for COPD exacerbations***

6 Recommendation 1.2.121

7 **Why the committee made the recommendation**

8 The factors associated with exacerbations are taken from the evidence available and
9 the committee's experience. The evidence on physical activity was not reviewed, but
10 as promoting exercise and physical activity is an important part of management for
11 stable COPD the committee agreed to include it. The list only covers the factors that
12 people can avoid or reduce their exposure to. Other factors are also associated with
13 exacerbations (for example, disease-related factors, biomarkers and other
14 medicines), but people cannot avoid these on their own and these factors are
15 addressed in other areas of the guideline.

16 **How the recommendation might affect practice**

17 These recommendations are unlikely to have a significant impact on resources, as
18 the marginal cost of providing advice on exacerbations to people with COPD is very
19 low. An increased emphasis on physical activity may lead to an increase in referrals
20 to pulmonary rehabilitation, which is known to be a highly cost-effective intervention
21 for people with COPD. The recommendations may produce some cost savings by
22 reducing the number of exacerbations people have.

23 Full details of the evidence and the committee's discussion are in [evidence review E:](#)
24 [Predicting and preventing exacerbations](#)

25 [Return to recommendations](#)

26 ***Self-management, education and telehealth monitoring***

27 Recommendations 1.2.117 to 1.2.119 and 1.2.122 to 1.2.131

1 **Why the committee made the recommendations**

2 Evidence showed that self-management plans improve quality of life and reduce
3 hospital admissions. The committee recommended that self-management plans
4 include:

- 5 • patient education, because this was a common component of the self-
6 management plans they examined and because education alone was shown to
7 improve knowledge about COPD
- 8 • cognitive behavioural components for people with frightening breathlessness,
9 because there is some evidence that these reduce distress (although they do not
10 help with the symptoms of breathlessness).

11 The list of topics to be covered in information about COPD is taken from the self-
12 management plans the committee examined and their own clinical and personal
13 experience.

14 Exacerbation action plans were shown to improve quality of life and reduce hospital
15 admissions for people at risk of exacerbations. Most of the exacerbation action plans
16 that the committee examined provided people with short courses of antibiotics and
17 corticosteroids to use at home to respond to symptoms, and monitoring to make sure
18 they were using those medicines appropriately. Therefore these components were
19 included in the recommendations. The committee also discussed the potential for
20 antibiotic overuse, and stressed the importance of continued monitoring to ensure
21 people are using these medicines appropriately.

22 Telehealth monitoring does not improve quality of life or reduce hospitalisations for
23 people with COPD, and it leads to higher costs. However, the committee did not
24 want to prevent telehealth monitoring being used for specific reasons that were not
25 covered in the evidence they reviewed, such as short-term monitoring following
26 hospital discharge, so they only recommended against routine telehealth monitoring.

27 **How the recommendations might affect practice**

28 Self-management plans are already in place for some people with COPD. The
29 recommendations may change the content of these plans, and may increase the
30 number of people using a self-management plan. However, self-management plans

1 are highly cost effective and the increased cost of providing them should be offset by
2 cost savings from a reduction in hospitalisations.

3 The number of people with stable COPD who are having telehealth monitoring
4 should decrease, which is likely to reduce costs.

5 Full details of the evidence and the committee's discussion are in [evidence review C:
6 Self-management interventions, education and telehealth monitoring](#)

7 [Return to recommendations](#)

8 ***Duration of oral corticosteroids for managing exacerbations***

9 Recommendation 1.3.16

10 **Why the committee made the recommendations**

11 There are risks associated with long-term corticosteroid use, so it is important to use
12 the shortest effective treatment duration. The evidence showed no benefit from
13 taking corticosteroids for more than 7 days and shorter courses are routinely used in
14 clinical practice already. Treatment is recommended for 'up to' 7 days because some
15 people will recover from their exacerbation faster than others and may need less
16 than 7 days of treatment. In addition, the trials looked at different durations of short
17 courses (from 3–7 days) compared with a longer course, but due to the small sizes
18 of the trials it was not possible to make a more specific recommendation. The dose
19 of steroid was retained from the recommendation in the 2018 guideline.

20 **How the recommendations might affect practice**

21 The recommendation may reduce the amount of corticosteroids used in clinical
22 practice, which may result in a cost saving. However, the overall impact is likely to be
23 small because oral corticosteroids are cheap, and because prescribing
24 corticosteroids for 7 days or less is current practice for many clinicians.

25 Full details of the evidence and the committee's discussion are in [evidence review J:
26 Corticosteroids](#)

27 [Return to recommendations](#)

1 **Context**

2 Approximately 1.2 million people have a diagnosis of chronic obstructive pulmonary
3 disease (COPD) in the UK¹⁰. Although there are 115,000 new diagnoses per year,
4 most people with COPD are not diagnosed until they are in their fifties or older and
5 many more people may remain undiagnosed. The UK has the 12th highest recorded
6 deaths from COPD in the world, with an age-standardised mortality rate of 210.7
7 deaths per million people between 2001 and 2010.

8 Recently, new evidence has emerged and practice has changed in relation to the
9 use of inhaled triple therapy and oral corticosteroids. This evidence and the changes
10 in how care is delivered may have a significant impact on people with COPD who are
11 still experiencing symptoms despite being prescribed triple therapy.

12 **Finding more information and resources**

13 You can see everything NICE says on chronic obstructive pulmonary disease in our
14 interactive flowchart on [chronic obstructive pulmonary disease](#).

15 To find out what NICE has said on topics related to this guideline, see our web page
16 on [chronic obstructive pulmonary disease](#).

17 **Update information**

18 **January 2019**

19 This guideline is an update of NICE guideline NG115 (published December 2018).

20 We have reviewed evidence on inhaled triple therapy for managing stable COPD,
21 and oral corticosteroids for managing exacerbations.

22 Recommendations are marked **[2019]** if the evidence has been reviewed.

23 ***Recommendations that have been deleted or changed***

24 We propose to delete some recommendations from the 2018 guideline. [Table 1](#) sets
25 out these recommendations and includes details of replacement recommendations.

¹⁰ British Lung Foundation. [Chronic obstructive pulmonary disease \(COPD\) statistics](#) [online; accessed 23 April 2018]

1 If there is no replacement recommendation, an explanation for the proposed deletion
2 is given.

3 In recommendations shaded in grey and ending [2004], [2004, amended 2018],
4 [2010], [2010, amended 2018] or [2018], we have not reviewed the evidence.

5 See also the [previous NICE guideline and supporting documents](#).

6 **Table 1 Recommendations that have been deleted**

Recommendation in 2018 guideline	Comment
Offer LAMA+LABA+ICS to people with COPD with asthmatic features/features suggesting steroid responsiveness who remain breathless or have exacerbations despite taking LABA+ICS. (1.2.14)	This recommendation was replaced following an evidence review of inhaled triple versus dual therapies. Replaced by recommendations: 1.2.14 to 1.2.16
Prescribe prednisolone 30 mg orally for 7 to 14 days. (1.3.16)	This recommendation was replaced following an evidence review of the duration of systemic corticosteroid courses during an exacerbation. Replaced by recommendation: 1.3.16
It is recommended that a course of corticosteroid treatment should not be longer than 14 days, as there is no advantage in prolonged therapy. (1.3.17)	This recommendation was removed following an evidence review of the duration of systemic corticosteroid courses during an exacerbation. Replaced by recommendation: 1.3.16

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