# **Evidence review**

# School-based interventions to prevent the uptake of smoking among children and young people: effectiveness review

**November 2021:** NICE guidelines PH23 (February 2010) and PH26 (June 2010) have been updated and replaced by NG209.

The recommendations labelled [2010] or [2010, amended 2021] in the updated guideline were based on these evidence reviews.

See <a href="https://www.nice.org.uk/guidance/NG209">www.nice.org.uk/guidance/NG209</a> for all the current recommendations and evidence reviews.

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# School-based interventions to prevent the uptake of smoking among children and young people: effectiveness review

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WMHTAC produce systematic reviews, health technology assessments and economic evaluations for NHS R&D HTA programme (NCCHTA), the National Institute for Health and Clinical Excellence (NICE), and for the health service in the West Midlands. WMHTAC also undertakes methodological research on research synthesis, and provides training in systematic reviews and health technology assessment.

# Name of other institution(s) involved

WMHTAC works in close collaboration with the Peninsula Technology Appraisal Group (PenTAG) with respect to providing support to the NICE Centre for Public Health Excellence (CPHE). PENTAG however was not involved in this particular project. Colleagues from the University of Bath undertook the qualitative review related to this project (described in a separate report).

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# Abbreviations and acronyms

CBA

CI confidence interval

ICC intraclass correlation coefficient

OECD organisation for economic co-operation and development

OR odds ratio

controlled before-and-after

RCT randomised controlled trial

QOUROM quality of reporting of meta-analyses

# **Executive summary**

### Introduction and aims

This systematic review examines the effectiveness of interventions delivered in schools and designed to prevent the uptake of smoking in children and young people. The systematic review also considers specific sub-questions related to the factors that might influence effectiveness and quantitative information on barriers to implementation.

### **Methods**

A comprehensive literature search was conducted. Cochrane Library (Wiley) (CDSR, DARE, HTA and CENTRAL) Issue 4, York CRD database (DARE and HTA) October 2008, MEDLINE (Ovid), MEDLINE In Process, EMBASE (Ovid), ERIC (CSA), PsycINFO (Ovid), ASSIA (CSA), and HMIC (Ovid) databases were searched to November 2008. Reference lists of systematic reviews were checked and selected websites were searched. Experts were contacted for additional research. 10625 titles and abstracts were screened, 632 full papers were examined and 62 RCTs (128 papers) were selected for inclusion. A second reviewer independently checked 10% of the records and the degree of agreement was high. Inclusion criteria were studies conducted on schoolchildren and young people less than 19 years of age, who received interventions principally delivered in schools designed to prevent uptake of tobacco smoking compared to do nothing, usual education or any other suitable comparators. The principal outcome was smoking prevalence. Studies conducted in non-OECD countries, published before 1990 or not in English were excluded. Quality of included RCTs was assessed using the NICE public health checklist and data extracted on to a spreadsheet and into evidence tables. One reviewer conducted data extraction which was checked by a second reviewer. Meta-analyses, on outcomes

from school-based only versus usual education or no intervention RCTs, were conducted using STATA version 10.1.

### Summary of findings

Sixty-four RCTs (including 53 cluster RCTs) were included, having between 500 and 17,446 participants and follow up between 6 months and 13 years. Additionally, 37 references for controlled before-and-after studies (non-randomised controlled trials) met all the selection criteria except for the study design. These studies were not included given the large volume of RCTs available. There was a wide variety of school-based interventions described in the studies; six included the family, two included the community and three included family, community and mass-media interventions. Thirty two RCTs had more than one intervention group. All except four RCTs had comparators of usual education. Smoking outcomes reported included weekly or monthly smoking rates, never smoked children becoming occasional or regular smokers, smoking initiation, lifetime involvement in cigarettes and smoking onset rates.

### **Evidence Statements**

Are any school-based interventions more effective than usual practice, minimal or no intervention? This category includes aspects of study design that can influence the apparent effectiveness results seen. Findings include the following:

- ES1: There is evidence from 27 studies that provided usable data for metaanalysis that interventions may be effective.
- ES2: There is strong evidence from subgroup analysis that interventions show
  more pronounced effectiveness in studies with lower quality (as measured by
  ++, + and grades).
- ES3: There is no evidence of the intervention having a differential effect according to whether a study used biochemical validation or not. Evidence

from subgroup analysis shows that the intervention does not have a more pronounced effect when self-reported smoking behaviour was validated using biochemical methods (by saliva thiocyanate or cotinine or expired air carbon monoxide levels) compared to questionnaire completion only.

- ES4: There is good evidence about the differential effect according to type of outcome measures (prevalence of regular or experimental smoking). Results from 16 RCTs that used prevalence of regular smokers provided evidence that interventions may be effective in reducing smoking uptake among children. Pooled result from 10 RCTs that used experimental smoking as the main outcome also found that interventions could be marginally effective in preventing smoking uptake. Programmes that used prevalence of regular smoking tended to produce statistically significant results but the size of combined effect was very similar to that for programmes that used experimental smoking as an outcome measure. The main difference between the two was the width of the confidence intervals, giving one as statistically significant but not the other, so this difference may be a statistical artefact.
- ES5: There is good evidence of the intervention having a differential effect
  according to the way the results were presented. It may be that adjusted results
  tended to produce more significant programme effectiveness, i.e. when RCTs
  adjusted for potential confounders such as baseline smoking rates, sex, and
  socioeconomic status. Many of the studies with adjusted results were of low
  quality.

### 1a. When appropriate interventions can be compared, which are most effective?

• ES6: There is conflicting evidence about the effectiveness of different conceptual models of school-based prevention programmes (social influence, social competence, information giving and combined interventions) and the interventions in many RCTs were not effective in preventing or delaying uptake of smoking in comparison with no programmes or in comparison to

other forms of prevention programmes. Therefore there is no clear evidence to suggest that any particular conceptual model intervention is more effective than any other conceptual model intervention compared usual education. There is evidence from 15 RCTs (two ++, Canada; two ++, USA; three +, UK; four +, USA; one -, Norway; one -, The Netherlands; and two -, USA) that social influence curricula may be effective in preventing smoking but the size of effect is small. Four RCTs (three -, The Netherlands and one -, USA) provided evidence that information giving curricula may be effective with a larger effect size. However, social competence (one -, UK) and combined (one +, USA and three -, USA) curricula detected no difference in smoking prevalence between those students in experimental and control conditions. These results may be confounded by RCT quality.

- ES7: There is moderate evidence indicating that multi-component interventions incorporating both school and community components (with or without an additional family component) are ineffective in preventing the uptake of smoking compared to usual education. Five RCTs provided evidence comparing a multi-component intervention that incorporates both school and community components to usual education: Sun et al. 2006 (+, USA), Piper et al. 2000 (+, USA), Schinke et al. 2000 (+, USA), Schofield et al. 2003 (-, Australia), Gordon et al. 1997 (-, UK). Four of the studies (Gordon et al. 1997, Schinke et al. 2000, Schofield et al. 2003, Sun et al. 2006) found no significant difference between the multi-component intervention group and the usual education group during a maximum follow-up between 6 months (Gordon et al. 1997) and 5 years (Sun et al. 2006). One study (Piper et al. 2000) found no difference at 3-year follow-up and small, marginally significant positive or negative intervention effects (depending on the school component) at 4-year follow-up.
- ES8: There is inconclusive evidence as to the effectiveness of interventions incorporating both school and family components in preventing the uptake of

smoking compared to usual education. Thirteen RCTs provided evidence comparing interventions that incorporate both school and family components to usual education: Storr et al. (-, USA), Elder et al. 1996 (+, USA), Nutbeam et al. 1993 (+, UK), de Vries et al. 2006 (-, EU + UK), Perry et al. 2003 (++, USA), Elder et al. 2002 (+, USA), Spoth et al. 2001 (+, USA), Ary et al. 1990 (-, USA), Spoth et al. 2002 (-, USA), Connell et al. 2007 (-, USA), Simons-Morton et al. 1996 (-, USA), Piper et al. 2000 (+, USA) and Schofield et al. 2003 (-, Australia). Three of the RCTs (Simons-Morton et al. 1996, Storr et al. 2002 and Spoth et al 2001) found a significant positive effect of family and schools intervention compared to usual education. Nine RCTs (Elder et al. 1996, Nutbeam et al. 1993, Piper et al. 2000, Schofield et al. 2003, de Vries et al. 2003, Ary et a. 1990, Connel el a. 2007, Elder et al. 2002 and Spoth et al. 2002) showed no significant difference between family and schools intervention and usual education. One RCT showed a significant effect in boys but not girls (Perry et al. 2003).

### 1b. Are the interventions delaying rather than preventing the onset of smoking?

• ES9: There is conflicting evidence whether school-based smoking prevention programmes are delaying rather than preventing smoking uptake in children. Results from Campbell et al. 2008 (+, UK) and Bond et al. 2004 (+, Australia) RCTs suggested an attenuation of programme effect over time. Crone et al. 2003 (-, The Netherlands) and Sussman et al. 2007 (-, USA) also provided evidence that a smoking prevention programme may be delaying smoking uptake. Evidence from Klepp et al 1994 (-, Norway) suggested that school-based education could have a positive short-term impact on smoking behaviour, but that these effects tended to disappear over time. Dent et al. (-, USA) provided evidence that the intervention may be effective in preventing smoking uptake, and, Elder et al. 1993 (-, USA) provided evidence that their school-based education programme tended to have a long-term impact on smoking behaviour. Nutbeam et al. 1993 (+, UK); Peterson et al. 2000 (++, USA); Eisen et al. 2003 (+, USA); Chatrou et al. 1999 (-, The Netherlands); Ennet et al.

- 1994 (-, USA) and Schinke et al. 2000 (+, USA) showed that school-based prevention was not effective in preventing smoking at all follow-up periods.
- ES10: There is no robust evidence indicating that any school-based intervention has long-lasting effects beyond school leaving age. One US study (Peterson 2000, ++) demonstrated that a comprehensive smoking prevention programme that adopted a social influences approach, started at age 8-9 and continued through to age 17-18 was ineffective when smoking prevalence was measured at age 20. Another US drug prevention programme (Lynam 1999, +) targeting children aged 12-13 also found no significant effect on smoking at age 20.

# 1c. Does effectiveness depend on status of the person (e.g., peer, teacher or external trainer/researcher) delivering it?

• ES11: It is not clear whether effectiveness of school-based smoking prevention programme depend on the status of the person delivering it. There is conflicting evidence whether peer-led programmes produced most effective intervention effects on smoking initiation. It is important to note that a peer-led programme may be differentially effective based on how leaders are selected and how groups are formed, and may be curriculum dependent. There is some evidence that teacher-led, health educator-led, and peer-led programme tend to be equally effective. Eight RCTs examined whether effectiveness of schoolbased smoking prevention programmes depend on the status of the person delivering it. One RCT (Campbell et al. 2008 +, UK) showed that smoking prevention program was much the same for peer supporters and non-peer supporters. Three other studies (one + and two -) provided evidence that peerled interventions tend to enhance smoking prevention programmes. For example, results from Telch et al. 1990 (+, USA) showed a marked suppression in the onset of both experimental and regular smoking among those students exposed to the resistance training with peer involvement. Similarly, Botvin et al. 1990 (-, USA) found that a cognitive-behavioural approach when carried out by peer-leaders and when additional boosters are provided can reduce tobacco

use. Yet Valente et al. 2006 (+, USA) provided evidence that a peer-led programme will be differentially effective based on how leaders are selected and how groups are formed, and this effect may be curriculum dependent. In one RCT (Ellickson et. al. 1993 -, USA), there was no statistically significant difference in regular smoking rates among students taught by health educators and those taught by adult teachers assisted by older teens. Similarly, Armstrong et al. 1990 (-, Australia) confirmed non-superiority of peer-led programmes to teacher-led programmes. However, this result was genderspecific. Both the teacher-led and peer-led programmes reduced, to about the same degree, the uptake of smoking by girls while only the teacher-led programme appeared to be effective in boys. Cameron et al. 1999 (++, Canada) provided evidence that teachers and nurses were equally effective providers regardless of delivery method. While, Sussman et al. 2003 (-, USA) reported that students exposed to interactive health educator-led interventions were less likely to use tobacco compared those not exposed to health educator-led instruction.

### 1d. Does site/setting influence effectiveness?

• ES12: Evidence shows that site or setting may influence effectiveness. One UK RCT tended to have had a more significant effect in rural schools. Otherwise, there is conflicting evidence of interventions having a differential effect according to location (rural or urban) or country of the study. Evidence from one RCT (Campbell et al. 2008; +, UK) indicated that students from schools located in the South Wales valleys were less likely to be regular smokers. Another RCT (Sussman et al. 1993 -) conducted in USA found that trial of cigarette smoking use was higher in the rural schools than in the urban schools. Weekly use of tobacco products did not differ by place of residence. Yet another study (Elder 1996; +, USA) found that Louisianans were more likely to be ever smokers than students from Texas State. Noland et al. 1998 (++, USA) provided limited evidence of significant treatment effects for 30-day,

7-day, and 24-hour smoking for those involved in smoking. One RCT (Ausems et al. 2004 -, The Netherlands) specifically compared in-school and out-of-school smoking prevention. These RCTs found that smoking initiation was lowest in the out-of-school and highest among students in control condition. The European Smoking Prevention Framework Approach (ESFA) found evidence of the intervention differential effect according to the location (country) of the study (de Vries et al. 2006 -, EU). ESFA was effective in prevention uptake of smoking Spain, Finland, and Portugal and ineffective in Denmark and UK. ESFA showed more smoking in the intervention group in The Netherlands. Our planned subgroup analyses provided evidence of no differential effect according to the country.

### 1e. Does effectiveness depend on the intensity of the intervention?

• ES13: There is clear evidence that the addition of booster sessions enhanced effectiveness of main programmes. Four studies (one ++ and three -) analysed effectiveness of booster sessions. Evidence from Perry et. al., 2003 (++, USA) suggests that addition of booster sessions significantly enhanced the effectiveness of the main programme and was more effective than the delayed programme controls. Dijkstra et. al., 1999 (-, USA) found that boosters can be an effective tool for maintaining or increasing the effectiveness of smoking prevention programmes. Botvin et. al., 1990(a) (-, USA) revealed that addition of booster sessions to cognitive-behavioural approach can reduce tobacco use. Another study (Eckhardt et. al., 1997 -, USA) showed that continued intervention students reported significantly less smoking than lapsed intervention and continued control students.

# 1f. How does effectiveness vary according to the age, sex, ethnicity, sexual orientation, baseline risk factors or socioeconomic status of the target audience?

- ES14: It is not clear whether the age of the target audience has any impact on effectiveness of school-based prevention of smoking. There is inconclusive evidence whether the effectiveness of interventions depend on the age at which students were recruited and the age of students at maximum follow-up. There is conflicting evidence that age is an important predictor of smoking in school-based prevention programmes. Three studies (Dijkstra et al. 1999 (+, USA); Gatta et al. 1991; (+, Italy) and Ausems et al. 2004; (-,The Netherlands)) found that the risk of smoking increased linearly with increasing age of the participants. Three studies (Johnson et al. 2005; (+, USA); Elder et al. 2002; (+, USA); and Chatrou et al. 1999; (-, The Netherlands)) found no significant association between age and prevalence of smoking. One particular study (Ausems et al. 2004; (-,The Netherlands)), found that this association diminished and became non-significant with longer duration of follow-up. Contrary to this finding, another study (Chatrou et al. 1999; (-, The Netherlands)) found that age did not predict prevalence of smoking regardless of duration of follow-up. We found inconclusive evidence whether effect of intervention depended on the age at which students were recruited and age of students at maximum follow-up. There is limited evidence from subgroup analyses which revealed that intervention may be effective when students were recruited at 11 or 12 years old, and when students were 14 or 16 years at maximum follow-up.
- ES15: There is weak evidence (Kellam 1998, USA; Storr 2002, USA) indicating that school-based interventions that start soon after entry into primary schools and that target behaviour management in the classroom, poor academic achievement, and teacher-parent communication regarding behaviour management may be effective in reducing the uptake of smoking up to age of

- 14. Evidence for the effectiveness of such interventions beyond this age is lacking.
- ES16: Evidence regarding the effectiveness of school-based interventions starting between age 7 and 10 is inconclusive. Studies report either no significant effect or significant effects immediately post-intervention which diminish over time. Two interventions focusing on smoking prevention demonstrated no significant effects on smoking (Gatta 1991, + Italy; Peterson 2000, ++ USA). Three interventions focusing on drug (substance) use prevention reported either no effect (Ringwalt 1991, + USA); non-significant reduction in smoking prevalence (Schinke 2000, +USA) or significant reduction in smoking prevalence immediately after intervention period that was not sustained at subsequent follow-up (Ennet 1994, -USA). One health promotion program that included a smoking prevention component found no significant effect (Elder 1996, +USA).
- ES17: Forty-six RCTs investigated the effectiveness of school-based interventions that started in secondary schools between ages 11 to 14.

  Quantitative analysis indicated that whilst the observed effect for individual RCTs did not achieve statistical significance in most cases, overall the interventions appear to have modest effect in preventing the uptake of smoking. There is significant heterogeneity in the results between studies, indicating that the findings may be specific to the context of individual studies/interventions.
- ES18: Evidence from seven studies conducted in North America regarding the effectiveness of school-based interventions that start from age 14 or later is inconclusive. One RCT (Sussman et al. 2003 -, USA) reported a significant reduction in the odds of smoking for an educator-led intervention whilst two RCTs (Dent et al. 2001 +, USA; Sun et al. 2006, +, USA) evaluating different versions of the same curriculum reported no significant intervention effect. Four other RCTs reported significant effects either for a specific subgroup

- (Brown et al. 2000 ++, USA) or for outcomes that may be more relevant to smoking cessation than prevention (Brown et al. 2001 +, USA; Werch et al. 2005 +, USA; Winkleby et al. 2004 +, USA).
- ES19: There is conflicting evidence of differential effect of intervention according to the sex of the target audience. However, there is moderate evidence that sex is an important predictor of post-test smoking, but direction of effect (either in male or female student) is inconclusive. Furthermore, association of sex with smoking prevalence depends on how the outcome was measured. One recent study (Campbell et al. 2008 +, UK) found no significant difference in effectiveness of school-based intervention among male and female students. Another study (Peterson et al. 2000 ++, USA) provided no evidence of Hutchinson Smoking Prevention Project impact on the prevalence of daily smoking, either for girls or for boys. Three studies (Brown et al. 2002 ++, Canada; Abernathy & Bertrand 1992 +, Canada; and Kellam & Anthony 1998 -, USA) demonstrated that the intervention was more effective among male students; while only one study (Shean et al. 1994 -, Australia) found that both teacher-led and peer-led programmes reduced the uptake of smoking by girls to about the same degree. There was also conflicting evidence from nine studies whether sex was an important predictor of post-test smoking. Only one study (Chatrou et al. 1999 -, The Netherlands) provided evidence that sex was not associated with post-test smoking. Two studies (Johnson et al. 2005 +, USA and Simons-Morton et al. 2005 -, USA) found that female students were more likely than male students to have reported smoking at follow-up and only one study (Schofield et al. 2003 -, Australia) found that boys were less likely than girls to have reported smoking at follow-up. Yet, three studies (Elder et al. 1996 -, USA; Sussman et al. 2003 -, USA; Ausems et al. 2004 -, The Netherlands) revealed that males were more likely to be a smoker than their female counterparts. Another two studies (Elder et al. 2002 +, USA and Gatta et al. 1991 +, Italy), demonstrated that compared to male students, female students were less likely to have used tobacco.

- ES 20: There was no evidence about sexual orientation of participants and the impact of the interventions.
- ES21: There is moderate evidence that ethnicity is an important predictor of smoking behaviour, such that white students were less likely to be smokers. Similarly, there is moderate evidence that the observed association between race and smoking behaviour depend on how the outcome was measured. Four studies (two +, USA and two - USA) specifically looked at whether race or ethnic group is an important factor in predicting post-test smoking among students exposed to school-based smoking prevention programme. Only one study (Simons-Morton et al. 2005 (-), USA) demonstrated no association between ethnicity and smoking status. Three studies found that ethnicity was an important factor in predicting post-test smoking behaviour. For example, Elder 1996 (+, USA) provided evidence that white students were less likely to be classified as ever-smoker. Two studies (Johnson et al. 2005 (+), USA and Elder et al. 1993 (-), USA) revealed that ethnicity affects smoking prevalence depending on how the outcome was measured. One multi-country study (de Vries et al. 20066 -, EU) in six European countries, provided evidence that in The Netherlands there was differential significant effects for adolescents with a Dutch and non-Dutch origin. The Dutch ESFA programme was effective for non-native adolescents with fewer new weekly smokers compared to new weekly smokers in the control group. An opposite effect was found in native Dutch adolescents with more new weekly smokers in the experimental compared to new smokers in the control group.
- ES 22: There is no conclusive evidence about the variability of programme effectiveness in high risk individuals. Josendal et al. 1997 (++, Norway) showed positive effects of a school-based intervention at six months in certain high risk groups. Snow et al. 1992 (-USA) provided evidence that students from single parent households were less likely to have been positively affected by the intervention than those from two-parent households. The following factors

were also found to be associated with post-test smoking: Attitudes and smoking habits of family (Armstrong et al. 1990 -, Australia; Elder 1996 +, USA and Chatrou et al. 1999 , The Netherlands) attitudes and smoking habits of peers, (Armstrong et al. 1990 -, Australia; Chatrou et al. 1999 -, The Netherlands; Elder 1996 +, USA and Schofield et al. 2003 -, Australia) tobacco advertising (Armstrong et al. 1990 -, Australia), availability of cigarettes at home (Elder 1996 +, USA) involvement of students at school (Schofield et al. 2003 -, Australia) baseline smoking status (Chatrou et al. 1999 -, The Netherlands and Schofield et al. 2003 -, Australia) and future smoking intentions (Armstrong et al. 1990 -, Australia)

• ES23: One RCT (Campbell et al. 2008; + UK) found no association between the students' socioeconomic status and programme effect.

### 1g. Are there any adverse or unintended effects (negative) of the intervention?

• ES24: There is limited evidence on adverse or unintentional effect of school-based prevention of smoking uptake. No studies specifically examined adverse or unintentional effects of school-based smoking prevention programmes. One multi-country study (de Vries et al. 2006 -, EU) in six European countries found that adolescents in The Netherlands exposed to school-based smoking prevention programme were more likely to be a regular smoker than those in control condition. Piper, Moberg, & King 2000 (+, USA) provided evidence that age-appropriate intervention emerged as marginally harmful over the control condition.

### 2. Barriers and facilitators – Quantitative data

• ES25: An obvious barrier to interventions may be poor student attendance so that interventions, regardless of their value, will fail to have positive effects. In one RCT, a dose-response relationship was observed between programme participation and changes in smoking status.

• ES26: In one RCT, engagement with the intervention (reported programme interesting/very interesting and useful) was shown to be related to follow-up smoking status; those engaging being less likely to be smokers at 1 year.

# 1. Background

Smoking has been identified as a major risk factor in the development of cardiovascular disease and cancer and has a significant impact on overall life expectancy (Bjartveit & Tverdal 2005; Freund et al. 1993; Jacobs, Jr. et al. 1999; Streppel et al. 2007). It is estimated that, in the UK alone, smoking is responsible for over 100,000 deaths each year (Sandford 2008).

The National Institute for Health and Clinical Excellence (NICE) has been asked by the Department of Health (DoH) to develop guidance on public health interventions aimed at preventing the uptake of smoking among school children (National Institute for Health and Clinical Excellence 2008). This effectiveness review is part of the evidence review undertaken by the West Midlands Health Technology Assessment Collaboration (WMHTAC) and commissioned by NICE to support the development of this guidance. This report reviews existing evidence on the effectiveness of school-based interventions to prevent the uptake of smoking among children and young people. The findings of the report have been used to inform the development of a de novo economic modelling on this topic, which will be described in a separate report (the economic modelling report). These two reports are complemented by another review of evidence on the cost-effectiveness of school-based interventions (the cost-effectiveness review), and a further review of qualitative literature that explores facilitators and barriers to the successful delivery of these interventions (the qualitative review).

As this is a review of school-based public health interventions, it is important to compare school systems in different countries. **Table 1:1** shows the ages and grades for the UK, US, and Australia.

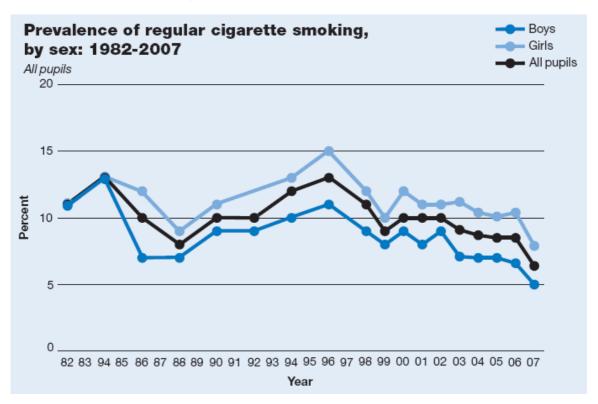
Table 1:1 School systems in the UK, US and Australia

Age (years)	UK	US	Australia
	Infant school		
5-6	Year 1	Kindergarten	Reception
		Elementary school	Primary school
6-7	Year 2	1st grade	Year 1
	Junior school		
7-8	Year 3	2 <sup>nd</sup> grade	Year 2
8-9	Year 4	3 <sup>rd</sup> grade	Year 3
9-10	Year 5	4 <sup>th</sup> grade	Year 4
10-11	Year 6	5 <sup>th</sup> grade	Year 5
	Secondary school	Middle school	
11-12	Year 7	6 <sup>th</sup> grade	Year 6
			Secondary school
12-13	Year 8	7 <sup>th</sup> grade	Year 7
13-14	Year 9	8 <sup>th</sup> grade	Year 8
		High school	
14-15	Year 10	9 <sup>th</sup> grade (Freshman)	Year 9
15-16	Year 11	10th grade (Sophomore)	Year 10
	Sixth form		
16-17	Year 12	11 <sup>th</sup> grade (Junior)	Year 11
17-18	Year 13	12 <sup>th</sup> grade (Senior)	Year 12

# 1.1. Smoking in children and adolescents

Smoking trends in UK school children are monitored on an annual basis and the most recent statistics show that rates in children, as with adults, are decreasing. (Fuller 2008) For children aged 11-15 years, rates of regular (weekly) smoking have decreased in recent years (Figure 1:1). Although trends appear positive, they are not outside of the large variation in smoking rates observed. It was estimated that, in 2007, approximately 6% of 11-15 year olds were regular smokers. (Fuller 2008)

Figure 1:1 Trends in cigarette smoking in UK 11-15 year olds. The health and social Care Information Centre (Fuller 2008)



Early initiation of lifetime smoking increases the risk of developing later life lung cancer and heart disease (Muller 2007) and prevention of early teenage smoking may be important for reducing rates of life-long smoking and associated disease. In addition, it has been suggested that most adult smokers begin smoking before the age of eighteen (Jason et al. 1999) (US study) and this is supported by UK data.

Figure 1:2 shows current rates of regular smoking in children of different ages. At 15 years; approximately 15% of children are regular smokers. With the addition of some who may smoke less frequently at this age, it is easily perceivable that current rates of adult smoking (24% in 2005 and falling) (Office of National Statistics 2006) are largely attributable to smoking initiated in adolescence.

Figure 1:2 Current (2007) cigarette smoking in UK 11-15 year olds. The Health and Social Care Information Centre (Fuller 2008)

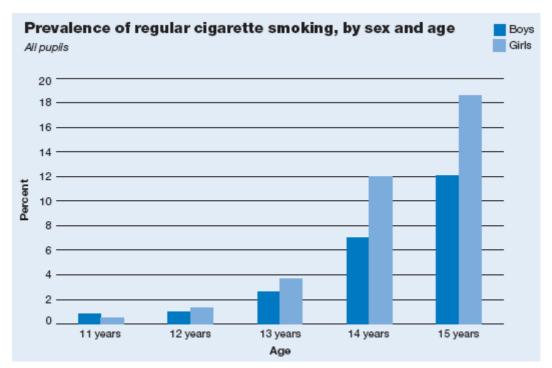
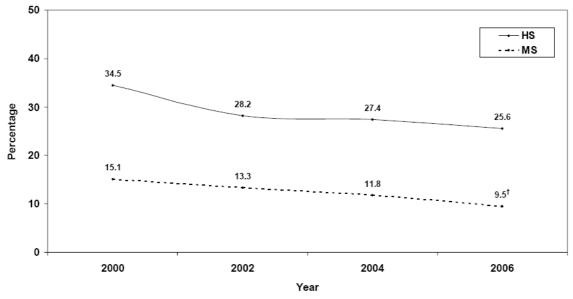


Figure 1:3 Trends in past month cigarette smoking in US high (15-18 years) and middle (11-14 years) school students. The department of Health and Human Services, Centres for Disease Control and Prevention (CDC 2006)

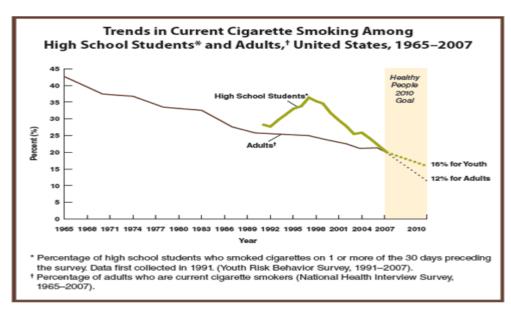
### 2006 National Youth Tobacco Survey and Key Prevalence Indicators

FIGURE: Pecentage of middle school and high school students who currently use any tobacco product\*, by year -- United States, 2000-2006



<sup>\*</sup> Use of cigarettes or cigars or smokeless tobacco or pipes or bidis or kreteks on ≥1 of the 30 preceding days.

Figure 1:4 Trends in past month cigarette smoking in US high (15-18 years) and middle (11-14 years) school students. The department of Health and Human Services, Centres for Disease Control and Prevention(CDC 2009)



<sup>&</sup>lt;sup>†</sup> Significant difference (p<0.05) versus preceding data collection period.

For this review, US trends are also relevant since many of the included studies were conducted in the United States. Here, trends in the US are briefly discussed. Figure 1:3 shows trends in US middle (11-14 years) and US high (14-18 years) school students since 2000(CDC 2006) and figure 4 shown trends in adults and high school students since the early 1990s (CDC 2009).

In 2006, 9.5% of US 11-14 year olds used tobacco (CDC 2006). This is slightly higher than the approximate 2006 UK level in 11-15 year olds (~8%) and, if 15 year olds had been included in the US survey, rates may have been even higher. The US survey measured monthly smoking whereas the UK survey measured weekly smoking. These uncertainties make it difficult to directly compare rates but it appears that they are reasonably similar.

From 2000, smoking has reduced in a similar way in 11-14 year old/middle school children in the US and the UK (Figure 1:1 and Figure 1:3). Trends in US high and middle school children appear to be similar (Figure 1:3) and it may therefore be reasonable to use earlier US high school data, from the 1990s (Figure 1:4), to compare with UK 11-15 year old data (Figure 1:1). Smoking trends in the US appear to show a similar pattern to the UK, with increases in rates in the 1990s (Figure 1:1 and Figure 1:3) and reductions since 2000 (Figure 1:1, Figure 1:3 and Figure 1:4).

# 1.2. Risk factors for childhood smoking

There are several factors that increase the risk of starting to smoke in childhood. Age will naturally play a part, with increasing smoking prevalence as adolescents progress into their teenage years (Figure 1:2), but gender, socioeconomic status and ethnicity are also important determinants of smoking initiation (Fuller et al. 2007; Fuller 2008). Paternal smoking, single parent families, parents divorce, death of a parent and migration to a town were also shown to be associated with teenage smoking (Isohanni et al. 1991) but, as with many potential risk factors, the possibility of confounding must be taken into consideration.

The influence of peer pressure is likely to play a major role in determining teenage smoking habits. In response to a survey, 45% of 11-15 year olds thought that, of people their own age, half smoked (Fuller et al. 2007). The real rate is well below this value, highlighting a teenage perception that smoking prevalence is high amongst their peers. This may increase the social pressure to smoke in order to conform to a perceived norm or that the surveys are undercounting the prevalence of smoking.

The importance of friendship group and social context to smoking habit were highlighted in a study of UK 15 year olds (Bell et al. 1999). Changing friendship groups, decisions on whether to start in further education, increased parental freedom and fluctuating income influenced smoking and decisions to initiate, continue or quit are likely to be complex and influenced by social circumstances.

School-based interventions may be important to provide an early grounding in education, encouragement and support to help adolescents negotiate current and future influences and pressures to smoke.

### 1.3. School-based interventions

Schools provide an attractive venue for smoking prevention interventions. The majority of children go to school. Schools are the primary sources of health-related education and they provide natural classroom settings for intervention delivery. Furthermore, schools-based intervention may reach and support children in one of their most vulnerable environments; grouped with other children and under the influence of their peers. Various approaches have been adopted in school-based interventions for preventing the uptake of smoking. These are mainly based on a few theoretical/conceptual frameworks:

**1. Information-giving**: school children are presented with information about smoking, including its prevalence and incidence and its short-term and long-term impact on health. Information is sometimes presented in ways that dramatise the dangers associated with smoking (or other substances) in an attempt to evoke fear

(Botvin & Griffin 2007). This approach assumes that information alone can influence behaviour.

- **2. Social competence**: school children are taught generic personal and social skills, such as goal-setting, problem-solving and decision making; cognitive skills to resist media and interpersonal influences, to enhance self-esteem, to cope with stress and anxiety, to increase assertiveness and to interact with others of both genders. This approach assumes that children and young people learn smoking through observing, imitation, modelling and reinforcement, and this process is influenced by their cognitions, attitudes and skills. Poor personal and social skills and poor self-concept increase their susceptibility to smoking (Thomas & Perera 2006).
- 3. Social influence: this approach adopts normative education, which aims to influence school children's perception of societal normality and correct the misconception that smoking among their peers (and adults) is normal and frequent. Methods used include presentation of survey data showing actual prevalence rates and guided class discussions on opinions toward smoking. This approach also aims to increase school children's awareness of influences from their peers, family and media on smoking, and to improve their recognition of high-risk situations for smoking uptake and their refusal skills when exposed to these situations.

These approaches are not mutually exclusive and have evolved over time. Whilst some early interventions (before 1990s) have focused on information-giving alone, subsequent interventions have adopted social competence and/or social influence approaches with or without retaining the information-giving component. Many interventions based on the social influence framework apply generic training of social competence skills to specific anti-tobacco (or other substance) contexts.

### 1.3.1 Important elements of a school-based intervention

One recent review of systematic reviews developed a nice summary of the effective ingredients of effective drug prevention programs (Flay BR 2009):

- Interactive delivery methods
- The use of the social influence model
- Including components on norms, commitment not to use, and intention not to use
- Add community components
- Including the use of peer leaders rather than relying totally on adult providers
- Including training and practice in the use of refusal and other life skills
- Programs that have more sessions, and that continue for multiple years are more effective

Interventions to prevent smoking in children and young people need not take place in schools. Various interventions that focus on parents (family) and community involvement have been developed and tested. These interventions are sometimes used in combination with school-based interventions in the hope of maximising the effectiveness of the interventions. Distinction between these types of interventions is not always clear. For example, school-based interventions may incorporate family components ranging from providing an information leaflet actively inviting parents to attend meetings and training. Family interventions that focus on parents may use schools as the medium for recruiting and liaising with parents, or simply use the school venue for delivering training programmes. For the purpose of this review, family/community interventions with at least some school component (explicit or implicit) are included. Those clearly without any school component are beyond the scope of this review and are not included.

# 1.4. Research questions for this review

Two key questions were specified in the scope published by NICE for developing this guidance (National Institute for Health and Clinical Excellence 2008):

- Which school-based interventions, or combination of school-based interventions, are effective and cost-effective in preventing children and young people from taking up smoking?
- What factors aid the delivery of effective school-based interventions to prevent the uptake of smoking? What are the barriers to successful delivery?

The four reports (cost-effectiveness review, effectiveness review, qualitative review and economic modelling report) that collectively form the evidence review undertaken by the WMHTAC address these questions.

For this effectiveness review, the primary question being addressed is:

Are any school-based interventions more effective than usual practice, minimal or no intervention, or other school-based interventions in preventing children and young people from taking up smoking?

Any studies that address the primary research question has been reviewed to identify evidence that helps answering one or more of the following sub-questions:

- When appropriate interventions can be compared, which are most effective?
- Are the interventions delaying rather than preventing the onset of smoking?
- Does effectiveness depend on the status of the person (e.g., peer, teacher or external trainer/researcher) delivering it?
- Does site/setting influence effectiveness?
- Does effectiveness depend on the intensity of the intervention (longer versus shorter duration programmes / booster sessions after programme completion versus no booster)?

- How does effectiveness vary according to the age, sex, ethnicity, or socio-economic status of the target audience?
- Are there any adverse or unintended effects (negative) of the intervention?

This review also covers quantitative evidence regarding factors that aid and barriers that hinder the successful delivery of effective school-based interventions to prevent the uptake of smoking. However the primary evidence relating to these is of qualitative nature and is covered in a separate qualitative review.

Previous relevant NICE public health guidance in this area include the following:

- Preventing the uptake of smoking by children and young people. NICE public health guidance 14 (2008). Available from: <a href="https://www.nice.org.uk/PH14">www.nice.org.uk/PH14</a>
- Smoking cessation services. NICE public health guidance 10 (2008). Available from: <a href="https://www.nice.org.uk/PH10">www.nice.org.uk/PH10</a>
- Social and emotional wellbeing in primary education. NICE public health guidance 12 (2008). Available from: <a href="www.nice.org.uk/PH12">www.nice.org.uk/PH12</a>
- School-based interventions on alcohol. NICE public health guidance 7 (2007).
   Available from: <a href="www.nice.org.uk/PH7">www.nice.org.uk/PH7</a>
- Varenicline for smoking cessation. NICE technology appraisal 123 (2007).
   Available from: www.nice.org.uk/TA123
- Brief interventions and referral for smoking cessation in primary care and other settings. NICE public health guidance 1 (2006). Available from:
   www.nice.org.uk/PH1

# 2. Methods

### 2.1. Literature search

### 2.1.1 Overview

To address the question "Which school-based interventions are effective and costeffective in preventing young people from taking up smoking?" the following types of literature were targeted:

- Primary studies located via searches of bibliographic databases and selected websites.
- Primary studies identified from references in existing systematic reviews
- Studies suggested by experts/stakeholders.
- Studies obtained via public health and other appropriate websites

The searches of bibliographic databases involved: (1) an initial scoping search during which key references were identified and search strategies were refined; (2) a main search using the agreed search strategies to identify potentially relevant studies for all four reports (effectiveness review, cost-effectiveness review, economic modelling report and qualitative review). In addition, a cost-effectiveness search was conducted to facilitate the identification of economic studies. This separate search has been described in the cost-effectiveness review and will not be further described in this report.

### 2.1.2 Search process and methods

### Bibliographic database search strategies

Our initial scoping searches targeted systematic reviews, evidence briefings and guidelines as well as a brief search for primary studies. A search strategy was developed and tested using a number of significant studies retrieved during this

scoping process. This strategy was then refined and expanded after discussion with information specialists at NICE. The key concepts of the search question are the intervention i.e. 'interventions used to prevent the uptake of smoking' and the population 'children/young people in school/educational settings'.

The databases and websites that were searched are described in subsequent sections. The final, full search strategy for the main search is detailed in Appendix 1. The search process has been clearly documented (databases searched, date searched, time span searched, results of individual searches) to ensure it is transparent and repeatable. Search results have been saved as text files and also stored in a Reference Manager database managed by the reviewers.

### Bibliographic databases

The following electronic databases were searched:

Systematic reviews and primary studies: Cochrane Library (Wiley) (CDSR, DARE, HTA and CENTRAL) 2008 Issue 4, York CRD database (DARE and HTA) October 2008, MEDLINE (Ovid) 1950 – November week 1 2008, MEDLINE In Process at 12 November 2008, EMBASE (Ovid) 1980 – 2008 week 45, ERIC (CSA) at 12 November 2008, PsycINFO (Ovid) 1987 – November week 2 2008, ASSIA (CSA) at 14 November 2008, and HMIC (Ovid) October 2008

As the searches sought to retrieve both quantitative and qualitative studies, no study design filter was employed. Instead all studies retrieved were sifted by the reviewers and tagged according to type of study. For the MEDLINE and EMBASE searches for systematic reviews the Haynes (Montori et al. 2005) optimised reviews filter was used to target such reviews in the initial scoping searches. Reviews published in the intervening period were tagged by the reviewers during the sifting process of the main search. The searches used the following limits: English language only and a date range of 1990-2008.

#### Selected websites

The database searches were also supplemented by searches of the following websites:

- ARIF website and database <a href="http://www.arif.bham.ac.uk/">http://www.arif.bham.ac.uk/</a>
- TRIP database <a href="http://www.tripdatabase.com/index.html">http://www.tripdatabase.com/index.html</a>
- Clinical Evidence
   http://clinicalevidence.bmj.com/ceweb/conditions/index.jsp
- Bandolier http://www.medicine.ox.ac.uk/bandolier/index.html
- Cochrane Public Health Group <a href="http://www.ph.cochrane.org/en/index.html">http://www.ph.cochrane.org/en/index.html</a>
- The Campbell Collaboration <a href="http://www.campbellcollaboration.org/">http://www.campbellcollaboration.org/</a>
- The Evidence for Policy and Practice Information and Co-ordinating Centre (EPPI-Centre Social Science Research Unit Institute of Education, University of London) <a href="http://eppi.ioe.ac.uk/cms/">http://eppi.ioe.ac.uk/cms/</a>
- The Trials Register of Promoting Health Interventions (TRoPHI)
   <a href="http://eppi.ioe.ac.uk/webdatabases/Intro.aspx?ID=5">http://eppi.ioe.ac.uk/webdatabases/Intro.aspx?ID=5</a>
- NICE public health guidance
   <a href="http://www.nice.org.uk/guidance/index.jsp?action=byType&type=5">http://www.nice.org.uk/guidance/index.jsp?action=byType&type=5</a>
- HDA publications via NICE website
   <a href="http://www.nice.org.uk/aboutnice/whoweare/aboutthehda/hdapublications/">http://www.nice.org.uk/aboutnice/whoweare/aboutthehda/hdapublications/</a>
   /hda publications.jsp
- UK Public Health Association <a href="http://www.ukpha.org.uk/">http://www.ukpha.org.uk/</a>
- Websites of Public Health Observatories
- Department for Children Schools and Families
   <a href="http://www.dcsf.gov.uk/index.htm">http://www.dcsf.gov.uk/index.htm</a>
- National Service Framework for Children, Young People and Maternity
   Services Case studies Database
   <a href="http://www.childrensnsfcasestudies.dh.gov.uk/children/nsfcasestudies.nsf">http://www.childrensnsfcasestudies.dh.gov.uk/children/nsfcasestudies.nsf</a>
- Every Child Matters : Change for Children
   <a href="http://www.everychildmatters.gov.uk/">http://www.everychildmatters.gov.uk/</a>
- Action on Smoking and Health (ASH) http://www.ash.org.uk/
- Quit <a href="http://www.quit.org.uk">http://www.quit.org.uk</a>

- Centre for UK Tobacco Control Research <a href="http://www.ctcr.stir.ac.uk">http://www.ctcr.stir.ac.uk</a>
- ASH Scotland website <a href="http://www.ashscotland.org.uk/ash/">http://www.ashscotland.org.uk/ash/</a>
- ASH Wales website <a href="http://www.ashwales.co.uk/">http://www.ashwales.co.uk/</a>
- Health Scotland <a href="http://www.healthscotland.com/">http://www.healthscotland.com/</a>

## 2.2. Selection of studies for inclusion

## 2.2.1 Identification of potentially relevant studies

Records retrieved from the main search of bibliographic databases were imported into a Reference Manager database, which detected and excluded some of the duplicated records during importing. Among 10,625 records imported, a further 1,601 duplicated citations were identified and deleted manually. The title and/or abstract of the remaining 9,024 records were screened by one reviewer (OU or IY) to identify potentially relevant studies (of any design) using a pre-designed checklist (see Appendix 2). Six hundred records were considered potentially relevant and full papers for these records were ordered. A second reviewer (YFC) independently checked 10% of the 9,024 records and found good agreement in the identification of potentially relevant articles (Kappa = 0.78, 95% CI 0.72-0.86). Thirty two further potentially relevant titles were identified through checking published systematic reviews and the reference lists of identified primary studies. Overall 632 articles were considered potentially relevant.

# 2.2.2 Applying inclusion/exclusion criteria

Full papers of potentially relevant articles were assessed for inclusion by one reviewer (OU) using the inclusion/exclusion criteria described below.

#### 2.2.2.1 Population

#### Groups that are included in this review:

Children and young people under 19 years of age attending educational institutions including, but not limited to:

- State-sector primary and secondary schools
- 'Extended schools' where nursery or other informal education is provided
- City technology colleges, academics, grammar schools, further education colleges, special and independent primary and secondary schools and alternative centres of education (such as learning centres, secure training and local authority secure units)
- Consideration was given to disadvantaged and vulnerable groups

## Groups that are not included in this review:

- Children under the age of 5 who do not attend an educational institution
- Children and young people who are educated at home
- Children and young people who are excluded from school
- Young people aged over 16 who are not in education
- Young people aged 19 and older

#### 2.2.2.2 Intervention

#### Activities/interventions that are included in this review:

Any form of educational interventions principally delivered in schools designed to prevent uptake of tobacco smoking. These include, but are not limited to:

- Information giving, social competence, social influence, combined social influence and competence, or multimodal programmes
- Peer-led, teacher-led, health care worker-led or researcher-led programmes

• Tobacco-focused or tobacco together with other substances such as drugs and alcohol

School-based programmes that had, as one their goals, deterance of the uptake of tobacco smoking (e.g. programmes to prevent substance abuse including tobacco smoking) are included if outcomes related to tobacco smoking were measured and reported separately. Education or health promotion programmes that did not target tobacco smoking but included tobacco smoking as one of the outcome measures were excluded unless the authors explicitly clarified the relationship between the targeted behaviours and uptake of smoking.

#### Activities/interventions that are not included in this review:

Interventions with no school component, including:

- Mass-media and point-of-sales measures
- Community-based interventions
- Family interventions
- Interventions that challenge the social acceptability of smoking (such as smoke-free homes or cars)
- Interventions to encourage or support children and young people to quit smoking
- Interventions to discourage or reduce the uptake of tobacco chewing and the use of smokeless tobacco by children
- Tobacco pricing policies (e.g. tax increases) or measures to control tobacco smuggling
- Interventions to alter the prevalence of smoking substances other than tobacco (e.g. cannabis, opium, heroin, and crack cocaine)

#### 2.2.2.3 Comparators

No intervention, usual education and other range of comparators, specific to studies.

#### **2.2.2.4** Outcomes

#### **Primary outcome**

The primary outcome considered in this review is change in smoking prevalence. This can be attributed to either changes in the uptake of smoking among non-smokers (the main outcome of interest for this review) or changes in the cessation of smoking among existing smokers (beyond the scope of this review) or both together. The preference in this review is given to studies in which outcomes related to children who are non-smokers at baseline are reported. Studies which report changes in overall smoking prevalence without separating baseline non-smokers from smokers are included with the fact noted.

Multiple definitions of classifying a child as a smoker are used in the research literature. The preferred definition for this review is 'smoking one or more cigarettes per week'. Where studies use other definitions, such as:

- Smoking daily
- Smoking in the past month
- Experimentation with smoking

These are included and the definitions noted. Smoking status may be self-reported or biochemically validated.

#### **Secondary outcomes**

Secondary outcomes of interest include:

- Quantitative changes in knowledge and attitudes related to smoking
- Improved social skills (including refusal skills)
- An ability to cope with stress or peer pressure
- Improved self-esteem and self-efficacy

• Any adverse or unintended (positive or negative) effects of the intervention

A study needs to report a primary outcome to be included. Studies which report only secondary outcomes but do not report the primary outcome are excluded.

## 2.2.2.5 Language, time period and location

Studies conducted in OECD-listed countries (see Appendix 2), published from 1990 onwards and reported in English are included. Studies conducted in non-OECD countries, published before 1990 or published in non-English language are excluded.

#### 2.2.2.6 Study types

Full papers that were retrieved for further assessment were tagged according to the design of the studies using a pre-defined checklist (see Appendix 3). The inclusion/exclusion criteria related to the types of study design are described below.

#### **Review level studies**

The main aim of searching for systematic reviews was to identify primary studies. These reviews were tagged and their reference lists checked but they were not included nor extracted. This prevented quoting duplicated evidence.

#### **Primary studies**

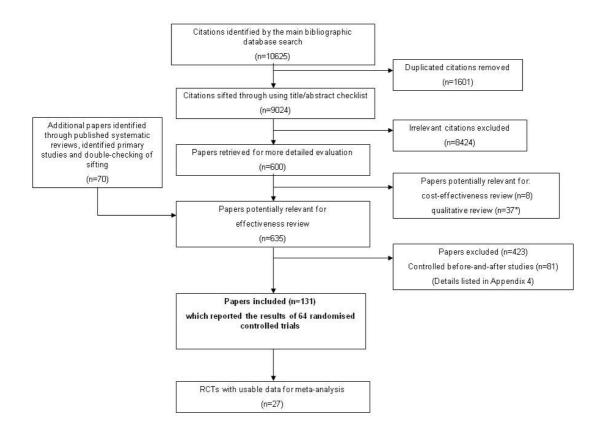
Randomised controlled trials (RCTs): cluster RCTs that meet all the above inclusion
criteria were tagged. RCTs with follow-up of six months or longer were tagged
separately from those with follow-up of less than six months. RCTs with follow-up
of ≥ 6 months and a sample size ≥ 500 were included. Small trials with sample size
less than 500 lack statistical power and make it difficult to draw a reliable
conclusion.

- Longitudinal intervention studies (i.e. non-randomised controlled trials or controlled before and after studies): these studies were tagged and divided according to duration of follow-up.
- Longitudinal observational studies such as cohort studies and case control studies, as well as before and after studies, and interrupted time series with no control group were tagged.
- Cross-sectional studies and ecological studies: these studies were excluded but were also tagged.
- Qualitative research: these studies were tagged but were not assessed in this
  review. All tagged qualitative researches were forwarded to the team who
  separately undertook the synthesis of qualitative evidence and compiled the
  qualitative review.
- Economic literature: these studies were tagged but were not assessed in this
  review. All tagged economic studies were forwarded to the team who compiled the
  cost-effectiveness review.
- Studies of unusual design that did not fit into any of the above categories were tagged and were excluded as so many good-quality large RCTs with long followup were found.

# 2.2.3 Results of study selection

Of the 635 articles that were considered potentially relevant, 131 papers met the above selection criteria and were included in this effectiveness review. A list of excluded studies and reasons for exclusion can be found in appendix 4. The overall study selection process is shown in Figure 2:1

Figure 2:1 Flow chart (QUOROM diagram) for the study selection process of the effectiveness review



Note:

\*Ten of these also included quantitative data and thus were counted within the 128 papers included in this review

#### Data extraction and quality assessment

#### 2.2.4 Data extraction

Data from each included studies were extracted into evidence tables based on the format suggested in the *Methods for the development of NICE public health guidance* (2008). In addition, information on key variables and outcome measures was entered into an Excel spreadsheet, which can export data in various formats to facilitate graphical display of individual study results and quantitative data analysis. Data entered into the database were checked by a second reviewer and any discrepancies were resolved through discussion.

For the primary outcome, the preferred measure was prevalence of weekly smoking (smoked one cigarette or more per week) at follow-up(s) among children who were non-smokers at baseline. If this measure was not reported, alternative measures (e.g. daily smoking or experimenting with smoking; prevalence of smoking for all children including baseline smokers) were recorded. Odds ratios between intervention and comparator groups were the preferred format. Risk differences (i.e. differences in percentages/prevalence) between groups were also extracted. Data at multiple follow-ups, if available, were collected to allow assessment of possible change of effect over time. Analysis using the intention-to-treat population was preferred. Data extraction for secondary outcomes follows the same principles (i.e. preference for baseline non-smokers, odds ratios and intention-to-treat analysis) except that results were recorded only for the longest follow-up, with a note taken if the possibility of change of effect over time has been explored.

# 2.2.5 Quality assessment

Assessment of internal validity of included studies was carried out using the methodology checklist (randomised controlled trials) from the *Methods for development* of NICE public health guidance (National Institute for Health and Clinical Excellence 2006). An additional item 'contamination between groups acceptably low' was added

as this is considered important for clustered trials which constitute the vast majority of included studies. Overall assessment of internal validity was coded according the *Methods guidance* (National Institute for Health and Clinical Excellence 2006):

- ++ All or most of the criteria have been fulfilled. Where they have not been fulfilled the study conclusions are thought very unlikely to alter.
- + Some of the criteria have been fulfilled. Those criteria that have not been fulfilled or not adequately described are thought unlikely to alter the study conclusions.
- Few or no criteria have been fulfilled. The study conclusions are thought likely or very likely to alter.

In view of the nature of school-based interventions, criteria related to 'allocation concealment' and 'blinding of study participants and investigators' were considered unimportant and the internal validity of a study was **not** downgraded simply because either of these criteria was not fullfiled.

External validity of each study was assessed according to the *Methods for development* of NICE public health guidance (National Institute for Health and Clinical Excellence 2006) and was coded in the evidence table as:

- 1. Likely to be applicable across a broad range of populations and settings.
- 2. Likely to be applicable across a broad range of populations and settings, assuming it is appropriately adapted.
- 3. Applicable only to populations or settings included in the studies the success of broader application is uncertain.
- 4. Applicable only to settings or populations included in the studies.

Assessment of internal and external validity was carried out by one reviewer and checked by another reviewer. Disagreements were discussed in order to reach a consensus.

# 2.3. Synthesis of evidence and reporting framework

Data extracted from individual studies were summarised and presented in Chapter 3 according to the following framework:

- Section 3.1 provides an overview of key features of included studies.
- Section 3.2 summarises evidence in relation to the overall question of whether any school-based interventions are more effective than usual education, minimum or no intervention.
- Section 3.3 to 3.9 examines each of the subquestions described in section 1.4
   regarding various factors that may influence the effectiveness of school-based interventions.
- Section 3.3.2 describes school-based interventions that include at least one non-school component such as a family and community component.
- Section 3.10 assesses quantitative data concerning the barriers and facilitators of school-based interventions.

Within each section, an evidence statement that summaries the key findings is presented at the beginning of each section. An overview of relevant evidence is first presented, followed by further description of details at individual study where necessary/appropriate. When studies could not be combined for meta-analysis due to diversity of interventions covered in the included studies, narrative syntheses were conducted and results of individual studies were displayed graphically to enable more succinct summary of evidence.

We performed meta-analyses on outcome data from school-based only versus usual education or no intervention studies. Studies with mixed interventions were included if data for the relevant school only versus usual education or no intervention can be extracted. For example:

- In one study (Cameron et al. 1999), schools were randomly assigned to conditions: (1) teacher/self preparation, (2) teacher/workshop, (3) nurse/self-preparatory, (4) nurse/workshop, and (5) control. In this study it was possible to extract data on all four treatment combined and control condition
- Sun et al. 2008 randomly assigned schools to one of the three conditions: (1) cognitive perception information curriculum, (2) cognitive perception information plus behavioural skills curriculum, (3) standard control. In this study, data from combined intervention and control was used in the meta-analysis
- Nutbeam et al. 1993 randomly allocated schools to one four groups: (1) family smoking education (FSE), (2) the smoke and me project (SAM school-based), (3) both projects in sequence (FSE/SAM), or no intervention. Data from SAM and control condition were extracted for meta-analysis
- In one study (Johnson et al. 2005), students received the multicultural curriculum, a similar curriculum without reference to cultural issues (standard), or a control condition. Standard curriculum versus control was used for meta-analysis.

Meta-analysis of summary statistics from individual trials was performed with standard software (Stata 10.1 Stata Corporation, College Station, TX) using the METAN programme. The pooled effect (odds ratio) of each grouping of trials was derived from the point estimate for each separate trial. These were weighted using the generic inverse variance function, and random effects assumptions were employed. For inclusion of cluster randomized trials in meta-analyses, we adjusted the sample sizes of the intervention and control groups to take account of non-independence between individuals in the same cluster. Where published estimates of the intra cluster correlation coefficient were not available, we adjusted the analysis to take account of clustering using a value of 0.030 for the ICC. This was based on a published ICC from a recent study (Campbell et al. 2008). We did sensitivity analyses assuming a range of intracluster correlation coefficients from 0.00 to 2.00. We used extreme values for the ICC as used in a review of school-based programmes to prevent violence. To evaluate the stability of the results and to test whether one study

had an undue influence on the meta-analysis, leave-one-study-out sensitivity analysis was performed. The scope of this analysis was to evaluate the influence of individual studies, by estimating pooled estimate in the absence of each study. We assessed heterogeneity amongst trials by inspecting the forest plots and using the chi-squared test for heterogeneity with a 10% level of statistical significance, and using the  $I^2$  statistic with a value of 50% representing moderate heterogeneity. Publication bias was examined by constructing Begg funnel plot and by testing for funnel plot asymmetry using Egger weighted regression. We performed the following post-hoc subgroup analyses: study quality, biochemical validation (yes or no), type of smoking outcome measure (regular or experimental), location of the study (country), age at which students were recruited, and age of the participant at maximum follow-up.

The summary of findings (Chapter 3) focuses on the primary outcome (smoking prevalence/actual tobacco smoking uptake). Data related to secondary outcomes (changes in knowledge, attitude etc) are presented in evidence tables of individual studies in Chapter 5 but are not described in Chapter 3 given the large volume of evidence available on the primary outcome and the uncertainty in the relationship between primary and secondary outcomes.

# 3. Summary of findings

## 3.1. Overview of identified literature

Sixty-four RCTs were included in this review based on selection criteria described in section 2.2.2. Additionally, 81 controlled before-and-after studies (non-randomised controlled trials) were found of which 37 met all the selection criteria except for the study design. These studies were not included given the large volume of RCTs available. They are separately listed in Appendix 5. Appendix 6 summarises the controlled before-and-after studies, the names (if available) of the interventions/programmes adopted in each study, together with some of the study characteristics.

#### **Country**

Only five RCTs were conducted in the UK (Aveyard et al. 2001; Campbell et al. 2008; de Vries et al. 2006; Gordon et al. 1997; Nutbeam et al. 1993), including one multinational European RCT (de Vries et al. 2006) that was carried out in Denmark, Finland, Netherlands, Spain, Portugal and the UK. The vast majority of the included RCTs were conducted in the USA (41) with the remaining in Australia (5), Netherlands (4), Canada (3), Norway (2), Germany (1) and Italy (1).

#### Time frame

Nine RCTs started in 2000 or later, 23 started during 1990-99, 19 during 1980-89, one during 1970-79 and 12 did not report this information (these studies were published between 1990 – 2007). The longest follow-up of each study ranged from 6-months (the cut-off of the inclusion criteria) to 13 years. Eleven studies reported follow-up of five years or longer (Connell et al. 2007; Elder 1996; Ellickson et al. 1993b; Kellam & Anthony 1998; Klepp et al. 1994; Lynam et al. 1999; Peterson et al. 2000a; Shean et al.

1994; Spoth et al. 2001; Storr et al. 2002; Sun et al. 2006). Four of these reported follow-up of ten years or longer (Kellam & Anthony 1998; Klepp et al. 1994; Lynam et al. 1999; Peterson et al. 2000a).

## Trial design

The RCTs were predominantly clustered trials with schools as the unit of allocation. Other units of allocation used included school districts, classrooms and individual pupils. Sample size ranged from 572-19034 children / 6-190 schools.

#### Target population and focus

Eleven studies included interventions that covered children in primary (elementary) schools (up to age 10-11). Some of these interventions continued into secondary (high) schools. The majority of the studies (57) targeted children in secondary schools (age 11-18).

Twenty-nine RCTs focused on smoking prevention (Abernathy & Bertrand 1992; Armstrong et al. 1990; Ausems et al. 2004; Aveyard et al. 2001; Biglan et al. 2000; Brown et al. 2002; Buller et al. 2008; Cameron et al. 1999; Campbell et al. 2008; Chatrou et al. 1999; Crone et al. 2003; de Vries et al. 2006; Dent et al. 1995; Dijkstra et al. 1999; Elder et al. 1993b; Flay et al. 1995; Gatta et al. 1991; Gordon et al. 1997; Johnson et al. 2005; Josendal et al. 1997; Murray et al. 1992; Noland et al. 1998; Nutbeam et al. 1993; Peterson et al. 2000a; Shean et al. 1994; Sussman et al. 2007; Telch et al. 1990; Winkleby et al. 2004). F ive of these also targeted smokeless tobacco) (Biglan et al. 2000; Dent et al. 1995; Elder et al. 1993b; Murray et al. 1992; Noland et al. 1998). A few interventions covered both prevention and cessation of smoking (Aveyard et al. 2001; Brown et al. 2002; Dent et al. 1995; Flay et al. 1995; Sussman et al. 2007; Winkleby et al. 2004). Other RCTs had a broader focus of drug (substance) use prevention (Botvin et al. 1990b; Botvin et al. 1990a; Botvin et al. 2001; Connell et al. 2007; Dent et al. 2001; Eisen et al. 2003; Elder et al. 1993a; Elder et al. 2002; Ellickson et al. 1993b; Ellickson et al. 2003; Ennett et al. 1994; Hansen & Graham 1991; Lynam et al. 1999; Perry et al. 2003;

Ringwalt et al. 1991; Schinke et al. 2000; Simons-Morton et al. 2005b; Snow 1992; Spoth et al. 2001; Spoth et al. 2002; Sun et al. 2008; Sun et al. 2006; Sussman et al. 2003), promotion of cardiovascular health (Elder 1996) or health behaviour (Bond et al. 2004; Klepp et al. 1994; Piper et al. 2000; Schofield et al. 2003; Werch et al. 2005), or prevention of antisocial or other problematic behaviours (Kellam & Anthony 1998; Storr et al. 2002). Most RCTs have included general school populations. A few RCTs targeted children considered at high risk of smoking uptake, such as those attending alternative schools (e.g. continuation schools in the USA) which enrol children who are transferred from regular school systems due to various problems (Sun et al. 2008; Sun et al. 2006; Sussman et al. 2003; Sussman et al. 2007; Winkleby et al. 2004). A small number of RCTs targeted children of specific ethnic origins such as Hispanic immigrants [414 /id] and Native American youth (Schinke et al. 2000).

#### Types of intervention and comparator

Fifty three RCTs adopted interventions with predominantly school components. Fourteen RCTs had a family component added to the school components (Connell et al. 2007; de Vries et al. 2006; Elder et al. 1993a; Elder et al. 2002; Elder 1996; Nutbeam et al. 1993; Perry et al. 2003; Piper et al. 2000; Simons-Morton et al. 2005b; Spoth et al. 2001; Spoth et al. 2002; Storr et al. 2002) (Schofield et al. 2003); five RCTs had a community component added (Gordon et al. 1997; Piper et al. 2000; Schinke et al. 2000; Schofield et al. 2003; Sun et al. 2006). One RCT had more than two components, e.g. school plus family plus mass media (Flay et al. 1995). Further details regarding the characteristics of trials will be described in subquent sections and sub-sections of this chapter. Most RCTs had usual education/no intervention as the control group, the details of which were often poorly described. Approximately half (32) of the RCTs included more than one intervention arm and allowed direct comparison between different school-based interventions, different ways of delivering an intervention or interventions with different components.

Table 3:1 summarises the included RCTs, the names (if available) of the interventions/programmes adopted in each study, together with some of the aforementioned study charasteristics. The table is sorted according to the (youngest) age of the target population, focus of the intervention, year when the intervention started, and length of follow-up. Further details of each study can be found in Chapter 5 Evidence Tables (page 185) where studies are sorted by first author in alphabetic order and year according to the reference publication (the publication that reported the longest follow-up and/or that reported the primary outcome, e.g. smoking prevalence) of each trial. In addition, an index mapping the intervention/programme name to the reference publication of a trial and other publications related to the trial is provided in Appendix 8 to facilitate cross-referencing between interventions, RCTs and publications. Table 3:2 shows contents of trials with study quality (++).

Table 3:1 Overview of included RCTs

Author/year of	Name of the project /	Age of	Focus of	Year of	Longest	N randomised/	Number of	Comment/additional information	
publication	intervention	recruited	intervention	intervention	follow up	consented	clusters		
Country		cohort (age				(analysed)			
		covered by							
		intervention,							
		if different)							
Intervetions starting	ng before age 7								
Kellam and	Good Behaviour Game	6-7 (6-8)	Aggressive/	1985	13 yrs	2311	41 classrooms	With 2 intervention arms (GBG, ML)	
Graham 1998	(GBG) & Mastery Learning		disruptive				within 19	vs control	
USA	(ML)		behaviour &				schools	Grade 1 elementary school at study	
(Baltimore)			poor academic					entry.	
			achievement						
Storr et al. 2002	Classroom Centred (CC)	6-7	Classroom and	1993	7 yrs	678	Not reported	With 2 intervention arms (CC, FSP)	
USA	intervention incorporating		behaviour				(classrooms	vs control. Grade 1 elementary	
(Baltimore)	Good Behaviour Game		management				within 9 schools)	school at study entry.	
	(GBG) & Family-School								
	Partnership (FSP)								
	intervention								
Interventions starting between age 7 and 10									
Peterson et al.	Hutchinson Smoking	8-9 (8-18)	Smoking	1984	12 yrs	8388	40 school	Grade 3 elementary school at study	
2000 USA	Prevention Project (HSPP)		prevention				districts	entry; curriculum covered grades 3-	
(Washington)								12	

Author/year of	Name of the project /	Age of	Focus of	Year of	Longest	N randomised/	Number of	Comment/additional information
publication	intervention	recruited	intervention	intervention	follow up	consented	clusters	
Country		cohort (age				(analysed)		
		covered by						
		intervention,						
		if different)						
Elder et al. 1996	Child and Adolescent	8-9 (8-11)	Cardiovascular	1991	5 yrs	6527	96 schools	With two intervention arms (school
(USA,	Trial for Cardiovascular		Health					only, school + family)
California,	Health (CATCH)							Grade 3 elementary school at entry.
Louisiana,	incorporating Facts and							Curriculum covers grade 3-5.
Minnesota,	Activities about Chewing							
Texas)	Tobacco and Smoking							
	(F.A.C.T.S. for 5) and 'The							
	Unpuffables'							
Schinke et al.	Life skills training tailored	8-11	Prevention of	Not	3.5 yrs	1396	27 schools	With 2 intervention arms (skills
2000 USA	to Native American young		substance use	reported				only, skills-community)
(North and	people with community			(pre-1992)				Grades 3-5 elementary school at
South Dakota,	involvement							study entry.
Idaho,								
Montana,								
Oklahoma)								
Gatta et al. 1991	One-day lesson developed	9-10	Smoking	1982	4 yrs	17446 (10317)	163 schools	Single day lesson
Italy (Milan)	by the Italian League		prevention					
	against Cancer (Milan							
	Divison)							

Author/year of	Name of the project /	Age of	Focus of	Year of	Longest	N randomised/	Number of	Comment/additional information
publication	intervention	recruited	intervention	intervention	follow up	consented	clusters	
Country		cohort (age				(analysed)		
		covered by						
		intervention,						
		if different)						
Ringwalt et al.	Project DARE (Drug	10-12	Drug use	1991	1 year	1402	20 elementary	Grades 5-6 elementary school at
1991	Abuse Resistance		prevention				schools	study entry
US (North	Education)							
Carolina)								
Ennet et al. 1994	Project DARE (Drug	10 -12	Drug prevention	1990	2 years	(1334)	36 elementary	Grades 5-6 elementary school at
US (Illinois)	Abuse Resistance						schools	study entry
	Education)							
Interventions star	ting between age 11 and 14							
Abernathy et al.	The Peer Assisted	11-12	Smoking	1988	2.5 yrs	7508	190 schools	
1992	Learning (PAL) smoking		prevention					
Canada (Calgary)	prevention programme							
Nutbeam et al.	Family Smoking	11-12	Smoking	1988	1 yr	4538	39 schools	With 3 intervention arms (FSE, SAM,
1993	Education (FSE) Project &	(11-12 for	prevention					FSE + SAM)
UK	Smoking and Me (SAM)	FSE; 12-13 for SAM)						first year secondary school at study
	Project	IOI SAIVI)						entry
Gordon et al.	Stopping them Starting	11-12	Smoking	1994	6 months	787	23 schools	Included a community component
1997			prevention					
UK (Cardiff)								

Author/year of	Name of the project /	Age of	Focus of	Year of	Longest	N randomised/	Number of	Comment/additional information
publication	intervention	recruited	intervention	intervention	follow up	consented	clusters	
Country		cohort (age				(analysed)		
		covered by						
		intervention,						
		if different)						
Johnson et al.	Fun, Learning About	11-12	Smoking	2001	2 yr	3157	24 schools ( 84	Grade 6 (first year middle school) at
2005	Vitality, Origins and		prevention				classes?)	study entry
USA (Southern	Respect (FLAVOR) &							With 6 intervention arms: two
California)	Choosing Healthy							programmes (Flavor, Chips) with
	Influences for a Positive							three implementation methods
	Self (CHIPS)							within each programme (peer-
								nominated leader + randomly
								assigned group, peer-nominated
								leader + assignment to nominated
								leader, teacher-nomiated leader +
								teacher assigned group)
Cameron et al	Waterloo Smoking Project	11-14	Smoking	Not	3 yrs	4971	100 schools	With 4 (2 by 2) intervention arms:
1999			prevention	reported				(classroom teacher vs public health
Canada								nurse) by (intensive workshop
(Southwestern Ontario)								training vs self-directed learning kit
								for providers)
Snow et al. 1992	Adolescent Decision-	11-12	Prevention of	1980	4 yrs	1360 (1075)	Not reported	Grade 6 at study entry. Intervention
USA (southern	Making (ADM)	(11-17)	substance use				(randomed by	has three phases and covered: (I)
New England)	Programme						classrooms)	grade 6; (II) grade 8-9; (III) grade 10-
	_							11

Author/year of	Name of the project /	Age of	Focus of	Year of	Longest	N randomised/	Number of	Comment/additional information
publication	intervention	recruited	intervention	intervention	follow up	consented	clusters	
Country		cohort (age				(analysed)		
		covered by						
		intervention,						
		if different)						
Lynam et al.	Project DARE	11-12	Drug prevention	1987	10 years	1429 (1002)	31 elementary	
1999							schools	
US								
Simons-Morton	Going Places Programme	11-12	Prevention of	1996	3 yrs	2651 (1484)	7 schools	Grade 6 at study entry; curriculum
et al. 2005		(11-14)	substance use					covered grades 6-8
USA			and antisocial					
(Maryland)			behaviour					
Eisen et al. 2003	Lions–Quest Skills for	11-12	Prevention of	1998	2 yrs	7426 (5694)	34 schools	Grade 6 (age 11-12) at study entry
USA (4 large	Adolescence	(12-13)	substance use					but curriculm was implemented in
metropolitan areas)								Grade 7
,								
Spoth et al. 2001	The Preparing for the	11-12	Prevention of	Not	6 yrs	667 (447)	33 schools	With two intervention arms: PDFY,
USA (a	Drug Free Years (PDFY);		substance use	reported				ISFP. Both were family focused.
Midwestern state)	The Iowa Strengthening							
,	Families Programme							
	(ISFP)							

Author/year of	Name of the project /	Age of	Focus of	Year of	Longest	N randomised/	Number of	Comment/additional information
publication	intervention	recruited	intervention	intervention	follow up	consented	clusters	
Country		cohort (age				(analysed)		
		covered by						
		intervention,						
		if different)						
Connell et al.	Adolescent Transitions	11-12	Antisocial	Not	5 yrs	998	Not applicable	Grade 6 (first year middle school) at
2007 USA	Programme (ATP)		behaviour and	reported			(individually	study entry
(Northwest	incorporating the Family		substance use				randomised)	
region)	Check-Up (FCU) and							
	SHAPe Curriculum, a							
	reduced version of Life							
	Skills Training							
Piper et al. 2000	Healthy for Life:	11-12 (11-14)	Health	1988	4 yrs	2483	21 middle	With two intervention arms:
USA (Wisconsin)			promotion				schools	Intensive version, Age Appropriate
			(tobacco,					version. Grade 6 at study entry.
			alcohol,					Curriculum covered either grade 7
			marijuana,					(Intensive ) or grades 6-8 (Age
			nutrition,					Appropriate)
			sexuality)					
Buller et al.	The Consider This	11-13	Smoking	2001	6-12 months	1234 (1004)	21 schools	Grades 6 and 7 at study entry
2008a	Programme		prevention					
US								
(Colorado and New Mexico)								

Author/year of	Name of the project /	Age of	Focus of	Year of	Longest	N randomised/	Number of	Comment/additional information
publication	intervention	recruited	intervention	intervention	follow up	consented	clusters	
Country		cohort (age				(analysed)		
		covered by						
		intervention,						
		if different)						
Klepp et al.	The Oslo Youth Study	11-14	Health	1979	12 years	827 (711)	6 schools	
1994			education on					
Norway (Oslo)			eating patterns,					
			physical activity					
			levels and					
			cigarette					
			smoking					
Elder et al. 2002	Sembrando Salud (sowing	Adolescents	Tobacco and	1996	2 yrs	660	22 schools	Family-based intervention using
USA	the seeds of health), a		alcohol use					school as the unit of allocation and
(California)	culturally sensitive		prevention					venue for delivering the programme.
	intervention focusing on							
	Hispanic migrant							
	adolescents							
Ary et al. 1990	Oregon Research Institute	11-17	Substance use	Not	1 yr	7837	37 schools	Grade 6-11 at study entry.
USA (Oregon)	(ORI) Project PATH	(11-16)	prevention	reported				Intervention covered grades 6-10 but
	(Programmes to Advance							only results for grades 6-9 were
	Teen Health)							presented. Also assessed the
								effectiveness of parent message
								within the trial.

Author/year of	Name of the project /	Age of	Focus of	Year of	Longest	N randomised/	Number of	Comment/additional information
publication	intervention	recruited	intervention	intervention	follow up	consented	clusters	
Country		cohort (age				(analysed)		
		covered by						
		intervention,						
		if different)						
Biglan et al.	Project SixTeen; school	11-18	Tobacco use	1991	4 yrs*	Not stated	16 communities	Curriculum covered grades 6 to 12.
2000	component based on		prevention					School vs School + Community
US (Oregon)	Project Programmes to							
	Advance Teen Health							
	(PATH)							
Brown 2005,	Raising Healthy Children	11 to 14	Substance use		48 months	1040	10 schools	
USA								
(Washington								
DC)								
Schulze 2006,	Smoke-Free Class	11 to 15	Smoking	1998	18 months	4048	172 classes	
Germany	Competition		prevention					
Byrne 2005,		11 to 17	Smoking		12 months	2719		
Australia			prevention					
Shean et al. 1994	Modified from the	12 -13	Smoking	1981	7 years	2366 (1647)	18 schools	With two intervention arms: (1)
Australia	Minnesota smoking		prevention					teacher-led and (2) peer-led;
	prevention programme							Year 7 (last year of primary school)
								at study entry
Armstrong et al.	Modified from the	12-13	Smoking	1981	2 yrs	2366	45 schools	With two intervention arms: peer-
1990	Minnesota smoking		prevention					led; teacher-led. Year 7 (last year
Australia	prevention programme							primary school) at study entry.

Author/year of	Name of the project /	Age of	Focus of	Year of	Longest	N randomised/	Number of	Comment/additional information
publication	intervention	recruited	intervention	intervention	follow up	consented	clusters	
Country		cohort (age				(analysed)		
		covered by						
		intervention,						
		if different)						
Telch et al. 1990	Project C.L.A.S.P.	12-13	Smoking	1984	7 months	572	15 classrooms	With two intervention arms: (1)
USA			prevention					videotape social pressure resistance
(California)								training with peer leader
								involvement and (2) videotape social
								pressure resistance training alone
Flay et al. 1995	Television, School, and	12-13	Smoking	1986	2 years	7351	340 classrooms	With four intervention arms: (1) a
US (San Diego	Family Project (TVSFP)		prevention and					social-resistance classroom
and Los			cessation					curriculum, (2) a media (television)
Angeles)								intervention, (3) a health-
								information based attention-control
								curriculum and (4) a social-
								resistance classroom curriculum
								combined with a mass-media
								intervention

Author/year of	Name of the project /	Age of	Focus of	Year of	Longest	N randomised/	Number of	Comment/additional information
publication	intervention	recruited	intervention	intervention	follow up	consented	clusters	
Country		cohort (age				(analysed)		
		covered by						
		intervention,						
		if different)						
Murray et al.	(1) Minnesota Smoking	12-13	Tobacco use	1987	3 yrs	7180	81 schools (48	With three intervention arms: MSPP,
1992	Prevention programme		prevention				sampling units)	SFG, MDEG
USA	(MSPP); (2) The Smoke							
	Free Generation (SFG); (3)							
	The Minnesota							
	Department of Education's							
	Guidelines (MDEG)							
Elder et al. 1993	Student Helping Others	11-12	Smoking	1988	3 years	3655 (2668)	22 schools	
US (California)	Understand Tobacco		(+smokeless					
	(Project SHOUT)		tobacco)					
			prevention					
Noland et al.	Kentucky Adolescent	12-13	Tobacco use	1992	2 yrs	3588	19 schools	Adolescents living in a Tobacco-
1998	Tobacco Prevention		prevention					producing region
USA (Kentucky)	Project							
Jøsendal et al.	BE smoke FREE	12-13	Smoking	1994	2.5 yrs	4441	99 schools	With three intervention arms:
1998	With three components:	(12-15)	prevention					(1)+(2)+(3) vs (1)+(2) vs (1)+(3)
Norway	(1) classroom programme,							Grade 7 at study entry. Intervention
	(2) parent involvement,							covered grades 7-9.
	(3) teacher training							

Author/year of	Name of the project /	Age of	Focus of	Year of	Longest	N randomised/	Number of	Comment/additional information
publication	intervention	recruited	intervention	intervention	follow up	consented	clusters	
Country		cohort (age				(analysed)		
		covered by						
		intervention,						
		if different)						
de Vries et al.	The European Smoking	12-13 (12-15)	Smoking	1997	3 years	(2212)	41 schools in UK	
2006	Prevention Framework		prevention					
Six European	Approach (ESFA)							
countries								
(including UK)								
Ausems et al.	Healthy Schools and	12-13	Smoking	1997	18 months	1910	36 schools	With three intervention arms: In-
2004	Stimulants Programme		prevention					school, Out-of-school, In-school +
The Netherland								Out-of-school.
(Maastricht)								Grade 7 (first year vocational school)
								at study entry.
Crone et al.	Intervention developed by	12-13	Smoking	1998	20 month	2562	26 schools	1st year secondary school at study
2003	Stivoro and Trimbos		prevention					entry
Netherlands	Institute							
Campbell et al.	ASSIST (A Stop Smoking	12-13	Smoking	2001	2 yrs	11043	66 (59*) schools	UK year 8 at study entry
2008 UK	In Schools Trial)		prevention					*

Author/year of	Name of the project /	Age of	Focus of	Year of	Longest	N randomised/	Number of	Comment/additional information
publication	intervention	recruited	intervention	intervention	follow up	consented	clusters	
Country		cohort (age				(analysed)		
		covered by						
		intervention,						
		if different)						
Dent et al. 1995	Project Towards No	12-13	Tobacco use	N/A	2 years	6716	48 junior high	With four intervention arms: (1)
US (Southern	Tobacco Use		prevention and				schools	informational social influence, (2)
California)			cessation					normative social influence, (3)
								physical consequences, and (4)
								combined
Ellickson et al.	Project ALERT	12-13	Drug prevention	1984	5 yrs	6527	30 schools	Curriculum covered Grades 7-8
1993 USA								Grade 7 (2 <sup>nd</sup> year middle school) at
(California and								study entry
Oregon)								
Botvin et al.	The Life Skills Training	12 to 13	Substance use	1985	3 years	5954 (3684)	56 schools	With two intervention arms: (1)
1990b	(LST) programme		prevention					prevention programme with a 1-day
US								teacher workshop and
(New York)								implementation feedback by project
								staff and (2) prevention programme
								with teacher training provided by
								video tape and no implementation
								feedback by project staff

Author/year of	Name of the project /	Age of	Focus of	Year of	Longest	N randomised/	Number of	Comment/additional information
publication	intervention	recruited	intervention	intervention	follow up	consented	clusters	
Country		cohort (age				(analysed)		
		covered by						
		intervention,						
		if different)						
Hansen &	Adolescent Alcohol	12 -13	Drug prevention	1987	1 year	3011 (2135)	12 junior high	With four intervention arms: (1)
Graham 1991	Prevention trial						schools	information only, (2) resistance
US (California)								training, (3) normative education,
								and (4) combined programme
Ellickson et al.	(Revised) Project ALERT	12-13 (12-16)	Drug prevention	1997	1.5 yrs	5412	48 school	With two intervention groups:
2003 USA	and ALERT Plus						clusters (55	Revised ALERT (covered grades 7-
(South Dakota)							schools)	8) vs Revised ALERT + ALERT Plus
								(covered grades 9-10)
								Grade 7 (2 <sup>nd</sup> year middle school) at
								study entry
Perry et al. 2003	Project DARE and Project	12-13	Drug use	1999	2 years	6237	24	With two intervention arms: (1)
USA	DARE Plus		prevention and					DARE only; (2) DARE + DARE Plus
(Minnesota)			violent					
			behaviour					
Botvin et al.	N/A	12 to 13	Substance use		1 yr	1311 (1185)	10 schools	With four intervention arms: Peer-
1990a			prevention					led, Peer-led with booster sessions,
US								Teacher-led, and Teacher-led with
(New York)								booster sessions.

Author/year of	Name of the project /	Age of	Focus of	Year of	Longest	N randomised/	Number of	Comment/additional information
publication	intervention	recruited	intervention	intervention	follow up	consented	clusters	
Country		cohort (age				(analysed)		
		covered by						
		intervention,						
		if different)						
Spoth et al. 2002	Strengthening Families	12-13 (12-14)	Prevention of	Not	1 yr (from	1673	36 schools	With two intervention arms (LST +
USA (a	Programme: For Parents		substance use	reported	intervention			SFP 10-14, LST only) Grade 7 at
Midwestern state)	and Youth 10-14 (SFP 10-				post-test)			study entry (2 <sup>nd</sup> year middle school);
	14) + Life Skills Training							intervention covered grades 7 and 8
	(LST)							
Botvin et al.	Life Skills Training	12-13 (12-14)	Substance use	N/A	1 year	3621	29 schools	
2001	(revised for use with		prevention					
US	minority youth)							
(New York								
City)								
Chatrou et al.	The Brabant smoking	12-14	Smoking	1987	1.5 yrs	949	48 classes	With two intervention groups:
1999	prevention programme		prevention					emotional/self groups
Netherlands								
Schofield et al.	Health Promoting Schools	12-14	Health	1995	2 yrs	4841 (1852)	22 schools	Year 7 & 8 (1st & 2nd year of
2003	(HPS)		promotion	2330		1011 (1002)	22 36110013	secondary school at study entry)
Australia	(113)		(reducing					secondary serior aroundy entry)
			smoking, unsafe					
			drinking, sun					
			exposure)					
			слрозитеј					

Author/year of	Name of the project /	Age of	Focus of	Year of	Longest	N randomised/	Number of	Comment/additional information
publication	intervention	recruited	intervention	intervention	follow up	consented	clusters	
Country		cohort (age				(analysed)		
		covered by						
		intervention,						
		if different)						
Buller et al.	The Consider This	12-15	Smoking	2001	6-12 monts	2077	25 schools	Grades 7 to 9 at study entry
2008b	Programme		prevention					
Australia (Victoria and								
South Australia)								
Dijkstra et al.	Social influence (SI)	13-15	Smoking	1990	1.5 yrs	4060	52 schools	With two intervention arms: SI, SIDM
1999	programme, SI		prevention		}			
Netherlands	programme with a		1					
	decision-making							
	component (SI <sup>DM</sup> )							
Ayeyard et al.	N/a	13-14	Smoking	1997	2 years	8352 (6817)	53 schools (one	
2001			prevention				school drop-out	
UK							after	
(West							randomisation)	
Midlands)								
Bond et al. 2004	Gatehouse Project	13 to 14	Promoting	1997	3 yrs	2678	26 schools (16	Year 8 (2 <sup>nd</sup> year of secondary school)
Australia		(13 to 15)	emotional and				educational	
			behavioural				districts	
			wellbeing					

Author/year of	Name of the project /	Age of	Focus of	Year of	Longest	N randomised/	Number of	Comment/additional information
publication	intervention	recruited	intervention	intervention	follow up	consented	clusters	
Country		cohort (age				(analysed)		
		covered by						
		intervention,						
		if different)						
Sussman et al.	Project EX-4	13-19	Smoking	Not	1 yr	1367 (1097)	12 continuation	*Alternative high schools that
2007 USA			prevention and	reported			high schools*	enrolled students who were
(South			cessation					transferred out of the regular high
California)								schools due to various problems
Sun et al. 2008	Project Towards No Drug	13-19	Drug prevention	1997	1 year	2734 (2608)	18 schools	
US (California)	Abuse (TND-4)							
Interventions star	ting from age 14 or later	1	1	l		1	1	
Brown et al.	Extracurricular activities	14-15	Smoking	Not	2 yrs	3028	30 high schools	Grade 9 (1st year high school) at
2002	approach	(14-16)	prevention (and	reported				study entry. Intervetion covered
Canada			cessation)					Grades 9-10.
Werch et al.	Project SPORT	14-15, 16-17	Health	2002	1 yr	604	Randomised	Grades 9 & 11high school (1st & 3rd
2005			promotion				individuals	year) at study entry
USA (Florida)			(multi-health					
			behaviour)					
Dent et al. 2001	Project Towards No Drug	14-17	Drug prevention	1995	1 yr	1208 (679)	26 classes from 3	Grades 9-11 at study entry
USA (Los	Abuse (Project TND) –						schools	
Angeles)	First Curriculum Version –							
	Continuation High School							
	Trial (TND-1 RHS)							

Author/year of	Name of the project /	Age of	Focus of	Year of	Longest	N randomised/	Number of	Comment/additional information
publication	intervention	recruited	intervention	intervention	follow up	consented	clusters	
Country		cohort (age				(analysed)		
		covered by						
		intervention,						
		if different)						
Brown et al.	Project Chrysalis	14-17	Reducing	1995	2 yrs	1108 (723)	Individually	Targeted female adolescents with
2001			negative				randomised	histories of physical, sexual or
US			consequences of					emotional abuse.
			childhood abuse					
Sussman et al.	Towards No Drug Abuse	14-19	Drug prevention	1997	2 yrs	1037	18 continuation	With 2 intervention arms (health
2003 USA	– Second Curriculum						high schools*	educator-led, health educator-
(South	Version – Continuation							assisted self-instruction) *Alternative
California)	High School Trial (TND-2							high schools that enrolled students
	CHS)							who were transferred out of the
								regular high schools due to various
								problems
Sun et al. 2006	Project Towards No Drug	14-19	Drug prevention	1994	5 yrs	1578	21 continuation	With 2 intervention arms (classroom
USA (South	Abuse (Project TND) –						high schools*	only, school-as-community)
California)	First Curriculum Version –							*Alternative high schools that
	Continuation High School							enrolled students who were
	Trial (TND-1 CHS)							transferred out of the regular high
								schools due to various problems

Author/year of	Name of the project /	Age of	Focus of	Year of	Longest	N randomised/	Number of	Comment/additional information
publication	intervention	recruited	intervention	intervention	follow up	consented	clusters	
Country		cohort (age				(analysed)		
		covered by						
		intervention,						
		if different)						
Winkleby et al.	Advocacy intervention	16-18	Smoking	2000	6 months	813	10 continuation	Grades 11-12 at study entry;
2004			prevention and		(post		schools	comparator was a modified version
USA (Northern California)			cessation		intervention)			of TND

Table 3:2 Contents of high quality trials (internal validy, ++)

Study	Delivered by	Interactive	MaterialsNovel methods		Intervention	Information
Brown et a. 2002	Peers, teachers,			Extracurricular	Not reported	
Canada	researchers, and nurses	no	Newsletter	activities		
Biglan et al. 2000	Peers, teachers, and		newspaper articles, radio	Video assisted	Contact period: 5 years	
USA	community coordinators	yes	announcements	instructions		health facts, refusal skills
	Teacher				Duration: 30-50 minutes	
					Number of sessions: 65	
Peterson et al.					Contact period: 10 years	
2000					Total exposure 2805	
USA		no	newspaper, posters	No	minutes	refusal skills
Cameron et. al.	Teachers and public				Duration: 40 minutes	
1999 Canada	health nurses	yes	Videotapes		Contact period: 3 years	self-efficacy
Noland et al.	Peers, teachers, and					
1998 USA	researchers	no				refusal skills, assertiveness
	Peers an d police officers				Duration: 45-50 minutes	
Perry et al. 2003					Number of sessions: 10	influences and skills related to peers, social groups,
USA		yes	teen magazine		Contact period: 2 years	media, and role models
	Teachers				Duration: 45-60 minutes,	self-efficacy, positive outcome expectancies for not
Buller et al 2008					number of sessions: 5	smoking, negative outcome expectations for
USA		yes		online activities		smoking
	Teachers					refusal skills, personal freedom, freedom to choose,
Jøsendal et al.						freedom from addition, short-term consequences of
1998 Norway		no	Brochures			smoking

# 3.2. Are any school-based interventions more effective than usual practice, minimal or no intervention?

#### 3.2.1 Overall effectiveness

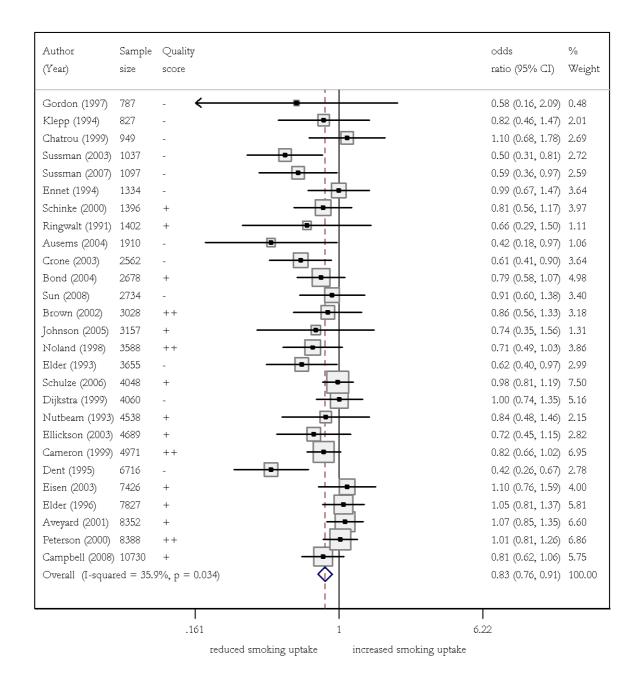
#### **ES1** Evidence statement:

There is evidence from 27 studies that provided usable data for meta-analysis that interventions may be effective. Meta-analysis of 27 RCTs demonstrated a significant intervention effect for school-based intervention for preventing uptake of smoking among children. There was moderate statistical heterogeneity between the trial results ( $X^2$ = 40.58; df = 26; p = .034) with the degree of heterogeneity quantified by the I² at 35.9%. **Applicability:** Most of the studies took place outside of the UK It is not clear if these findings are directly applicable to the UK

We combined the odd ratios estimates at maximum follow up for 27 studies in a meta-analysis using available case analyses using the random effects model (see Figure 3.1). See appendix 9 from the numeric results for non-meta-analysed studies. The meta-analysis demonstrated statistically significant superiority of school-based smoking prevention over usual education or no intervention (odds ratio [OR] = 0.83; 95% confidence interval [CI] 0.76 to 0.91) with evidence of moderate heterogeneity. Figure 3:2 shows results of leave-one-study-out sensitivity analyses. No study had undue influence on pooled odds ratio, thus confirming the stability of the results.

Figure 3.3 shows results of sensitivity analyses assuming a range of intracluster correlation coefficients (ICC). Increasing ICC value had minimal effect on pooled estimates. Figure 3:4 displays the funnel plot of precision by log odds ratio. As shown in the figure, there was asymmetry of funnel. In addition, significant publication bias was found by both Egger and Begg tests. Two factors may be responsible for this significant publication bias. First, we included only studies published in English language. Second, we excluded studies with sample size less than 500. Figure displays asymmetry with a suggestive lack of smaller studies in the bottom of the plot.

Figure 3:1 Forest plot of the odds ratios (ORs) and 95% confidence intervals (CIs) of individual trials and pooled data for prevalence of smoking. Pooled effect estimate is from random-effects model



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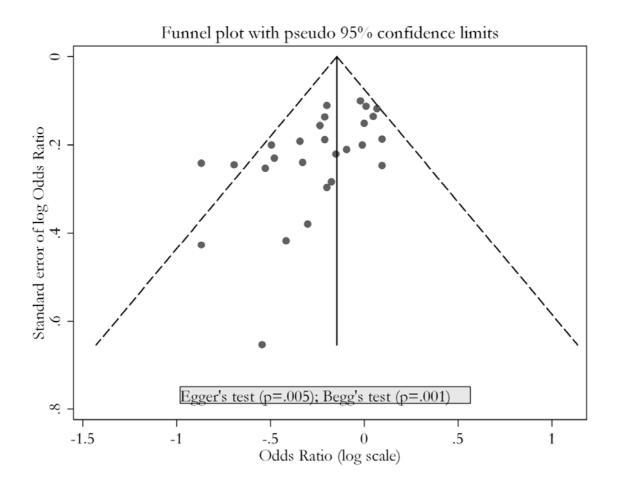
Figure 3:2 Leave-one-out sensitivity analysis – plot indicating the influence of each country on the pooled result, given named study is omitted.

Author (Year)	odds ratio (95% CI)
Gordon (1997) Klepp (1994) Chatrou (1999) Sussman (2003) Sussman (2007) Ennet (1994) Schinke (2000) Ringwalt (1991) Ausems (2004) Crone (2003) Bond (2004) Sun (2008) Brown (2002) Johnson (2005) Noland (1998) Elder (1993) Schulze (2006) Dijkstra (1999) Nutbeam (1993) Ellickson (2003) Cameron (1999) Dent (1995) Eisen (2003) Elder (1996) Aveyard (2001)	natio (95% CI)  0.84 (0.76, 0.92) 0.83 (0.76, 0.91) 0.83 (0.75, 0.91) 0.85 (0.78, 0.93) 0.84 (0.77, 0.92) 0.83 (0.75, 0.91) 0.83 (0.76, 0.92) 0.84 (0.76, 0.92) 0.84 (0.77, 0.92) 0.85 (0.77, 0.93) 0.84 (0.76, 0.92) 0.83 (0.76, 0.91) 0.83 (0.76, 0.91) 0.83 (0.76, 0.91) 0.83 (0.76, 0.92) 0.84 (0.77, 0.92) 0.84 (0.77, 0.92) 0.84 (0.77, 0.92) 0.84 (0.76, 0.92) 0.84 (0.77, 0.92) 0.84 (0.77, 0.92) 0.84 (0.76, 0.92) 0.85 (0.75, 0.90) 0.80 (0.76, 0.91) 0.81 (0.76, 0.92) 0.82 (0.75, 0.90) 0.83 (0.76, 0.92) 0.84 (0.76, 0.92) 0.85 (0.76, 0.92) 0.86 (0.80, 0.93) 0.87 (0.75, 0.90) 0.89 (0.75, 0.90) 0.89 (0.75, 0.90) 0.89 (0.75, 0.90)
Peterson (2000) Campbell (2008) Combined  .76 .83 .91	0.82 (0.75, 0.90) 0.83 (0.76, 0.92) 0.83 (0.76, 0.91)

Figure 3:3 Sensitivity analyses: Intracluster correlation coefficient (ICC)

Intracluste Correlation		odds ratio (95% CI)
00.00	←	0.81 (0.74, 0.88)
00.01		0.82 (0.75, 0.90)
00.02	<del></del>	0.83 (0.76, 0.91)
00.03	<del></del>	0.83 (0.76, 0.91)
00.04		0.84 (0.77, 0.92)
00.05	<del></del>	0.84 (0.77, 0.92)
00.10		0.86 (0.79, 0.94)
00.50	<del></del>	0.87 (0.79, 0.95)
01.00	<del></del>	0.87 (0.79, 0.95)
01.50	<del></del>	0.87 (0.79, 0.96)
02.00		0.87 (0.79, 0.96)
	.739 1	1.35

Figure 3:4 Funnel plot of the odds ratio of smoking, by the standard error, for all 27 studies with usable data included in the meta-analysis.



## 3.2.2 Study quality

Subgroup analyses were performed to determine if the results were influenced by study characteristics. Sections 3.2.2 to 3.2.5 considered all included RCTs that compared a school-based intervention to usual practice or no intervention as a whole and explores the potential influences of study characteristics on estimated effectiveness.

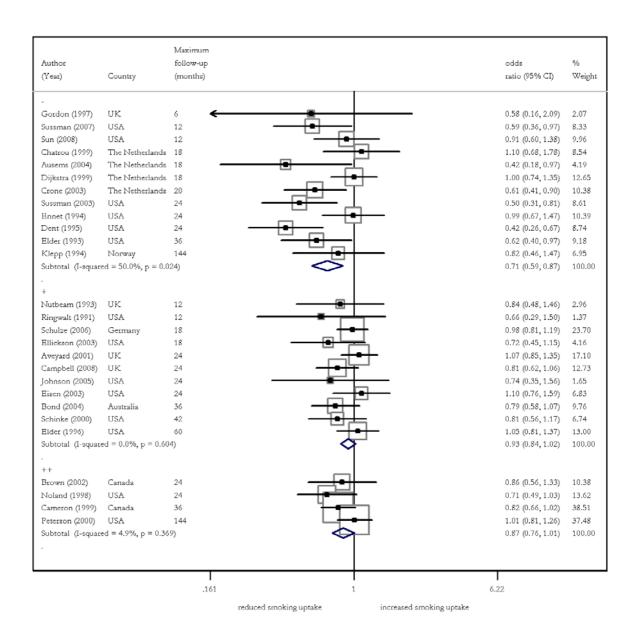
**ES2 Evidence statement:** There is strong evidence from subgroup analysis that interventions show more pronounced effectiveness in studies with lower quality (as measured by ++, + and – grades).

**Applicability:** Most of the studies took place outside of the UK. It is not clear if these findings are directly applicable to the UK

We undertook a subgroup analyses to examine whether there is evidence of differential effect according to the study quality (internal validity). Using estimated odds ratios for prevalence of smoking for 26 studies that compared school-based only programme with usual education or no intervention (see Figure 3:5), we found that RCTs with lower quality tended to produce statistically significant programme effects. Results from 12 RCTs with internal validity (-), provided evidence that school-based education was effective in reducing smoking uptake among children (pooled odds ratio [OR] =0.71; 95% confidence interval [CI] 0.59 to 0.87; with evidence of statistically significant moderate heterogeneity, *I*<sup>2</sup>=50.0%, p=0.024). Whereas, studies with higher quality scores did not produce statistically significant results: studies with (+) quality score (11; pooled OR=0.93; 95% CI 0.84 to 1.02; with evidence of no statistically significant heterogeneity, *I*<sup>2</sup>=0.0%, p=0.604) and studies with (++) quality score (four;

pooled OR=0.87; 95% CI 0.76 to 1.01; with evidence of no statistically significant heterogeneity,  $I^2$ =4.9%, p=0.369).

Figure 3:5 Forest plot of the odds ratios (ORs) and 95% confidence intervals (CIs) of individual trials and pooled data for prevalence of smoking; subgroup analysis according to study quality



**Table 3:3** shows result of meta-regression where the natural log of the odds ratio was the outcome and study quality was explanatory factor. We found that worse quality studies tended to provide evidence that intervention was more effective than better quality studies (OR=0.81; 95% CI 0.67 to 0.99; p=.036).

Table 3:3 Results from univariable meta-regression: study characteristics

Study quality	Number of	Ratio of odds ratio (95%	p-	R <sup>2*</sup>
	studies	confidence interval)	value	
Study quality				
+/++	15	1 (reference)		
-	12	0.81(0.67 to 0.99)	.036	38.2
Biochemical validation				
No	16	1 (reference)		
Yes	11	0.96(0.78 to 1.17)	.697	0.00
Type of smoking outcome				
Experimental smokers	11	1 (reference)		
Regular smokers	16	0.93(0.76 to 1.14)	.465	0.00
Type of results presented				
Unadjusted	9	1 (reference)		
Adjusted	18	0.93(0.75 to 1.16)	.531	0.00

<sup>\*</sup>Percentage of total variability in log odds ratio of outcome explained by model

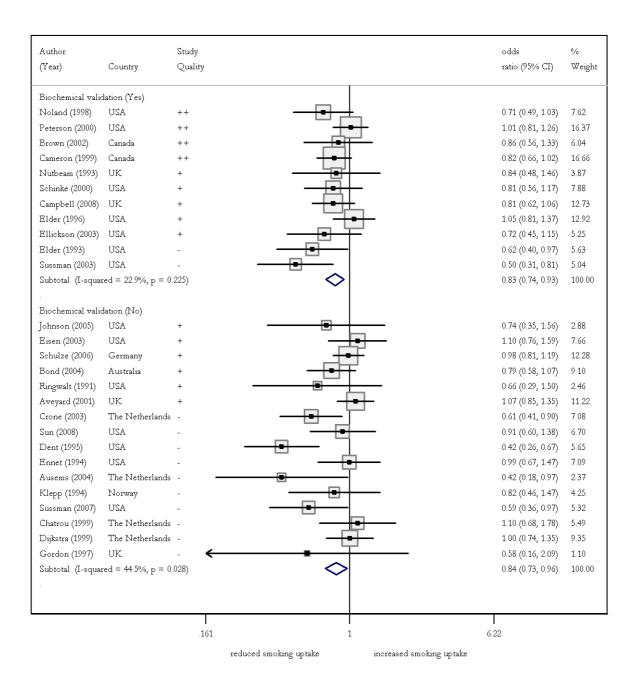
## 3.2.3 Biomedical validation

**ES3 Evidence statement:** There is no evidence of the intervention having a differential effect according to whether a study used biochemical validation or not. Evidence from subgroup analysis shows that the intervention does not have a more pronounced effect when self-reported smoking behaviour was validated using biochemical methods (by saliva thiocyanate or cotinine or expired air carbon monoxide levels) compared to questionnaire completion only.

**Applicability**: Most of the studies took place outside of the UK. It is not clear if these findings are directly applicable to the UK

Pooled results from subgroup analysis provided evidence that regardless whether a study used biochemical validation or not, intervention showed a similar reduction in odds of smoking (see Figure 3:6): studies with biochemical validation (11; pooled OR=0.83; 95% CI 0.74 to 0.93; with evidence of no statistically significant heterogeneity,  $I^2=22.9\%$ , p=0.225) and studies without biochemical validation (16; pooled OR=0.84; 95% CI 0.73 to 0.96; with evidence of statistically significant moderate heterogeneity,  $I^2=44.5\%$ , p=0.028). Similarly, results from univariable meta-regression confirmed that there is no evidence of the intervention having a differential effect according to whether a study used biochemical validation or not (**Table 3:3**).

Figure 3:6 Forest plot of the odds ratios (ORs) and 95% confidence intervals (CIs) of individual trials and pooled data for prevalence of smoking; subgroup analysis according to whether study used biochemical validation



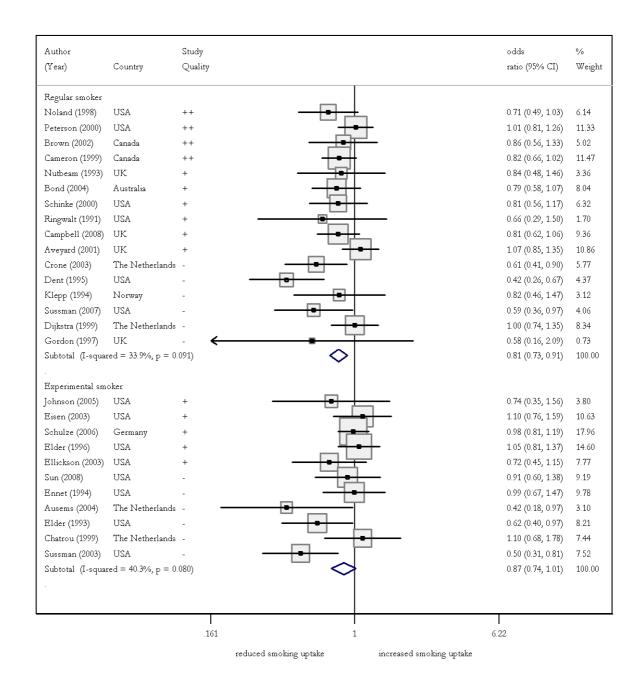
## 3.2.4 Type of outcome measure

**ES4 Evidence statement:** There is good evidence about the differential effect according to type of outcome measures (prevalence of regular or experimental smoking). Results from 16 RCTs that used prevalence of regular smokers provided evidence that interventions may be effective in reducing smoking uptake among children. Pooled results from 10 RCTs that used experimental smoking as the main outcome also found that interventions could be marginally effective in preventing smoking uptake. Programmes that used prevalence of regular smoking tended to produce statistically significant results but the size of combined effect was very similar to that for programmes that used experimental smoking as an outcome measure. The main difference between the two was the width of the confidence intervals, giving one as statistically significant but not the other, so this difference may be a statistical artefact.

**Applicability:** Most of the studies took place outside of the UK. It is not clear if these findings are directly applicable to the UK.

We found that studies that used prevalence of smoking in the past week (regular smokers) as the primary outcome tended to produce a statistically significant programme effect (see Figure 3:7). Results from 16 RCTs that used prevalence of regular smokers provided evidence that school-based education was effective in reducing smoking uptake among children (pooled OR=0.81; 95% CI 0.73 to 0.91; with evidence of statistically significant moderate heterogeneity, *I*<sup>2</sup>=33.9%, p=0.091). RCTs that used prevalence of experimental smoking (30-day smoking and ever-smoker) tended not to have significant programme effect (11; pooled OR=0.87; 95% CI 0.74 to 1.01; with evidence of statistically significant moderate heterogeneity, *I*<sup>2</sup>=40.3%, p=0.080). Results from meta-regression confirmed that there is no evidence of the intervention having a differential effect according to type of outcome measures (**Table 3:3**)

Figure 3:7 Forest plot of the odds ratios (ORs) and 95% confidence intervals (CIs) of individual trials and pooled data for prevalence of smoking; subgroup analysis according to whether study used type of outcome measure



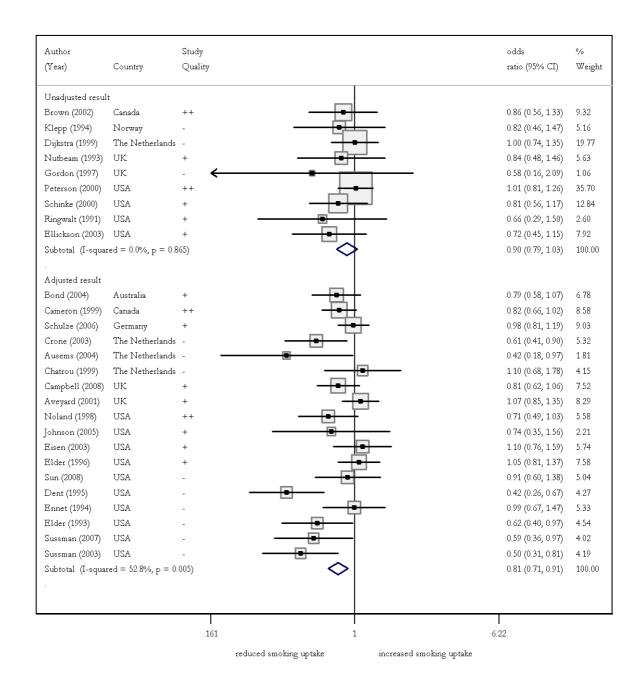
## 3.2.5 Adjustment for potential confounders

**ES5 Evidence statement:** There is good evidence of the intervention having a differential effect according to the way the results were presented. It may be that adjusted results tended to produce more significant programme effectiveness, i.e. when RCTs adjusted for potential confounders such as baseline smoking rates, sex, and socioeconomic status. Many of the studies with adjusted results were of low quality.

**Applicability:** Most of the studies took place outside of the UK. It is not clear if these findings are directly applicable to the UK

We found that RCTs that adjusted results for potential confounders tended to produce statistically significant programme effectiveness (see Figure 3:8). Results from 18 RCTs that presented adjusted results provided evidence that school-based education was effective in reducing smoking uptake among children (pooled OR=0.81; 95% CI 0.71 to 0.91; with evidence of statistically significant moderate heterogeneity,  $I^2$ =52.8%, p=0.005). Whereas, studies that presented unadjusted results tended to not produce statistically significant results (9, pooled OR=0.90; 95% CI 0.79 to 1.03; with evidence of no statistically significant heterogeneity,  $I^2$ =0.0%, p=0.865). Results from univariable meta-regression confirmed that there is no evidence of the intervention having a differential effect according to type of results presented (**Table 3:3**)

Figure 3:8 Forest plot of the odds ratios (ORs) and 95% confidence intervals (CIs) of individual trials and pooled data for prevalence of smoking; subgroup analysis according to whether study used type of results presented (adjusted or unadjusted)



# 3.3. When appropriate interventions can be compared, which are most effective?

## 3.3.1 Conceptual models

**ES6 Evidence statement:** There is conflicting evidence about the effectiveness of different conceptual models of school-based prevention programmes (social influence, social competence, information giving and combined interventions) and the interventions in many RCTs were not effective in preventing or delaying uptake of smoking in comparison with no programmes or in comparison to other forms of prevention programmes. Therefore there is no clear evidence to suggest that any particular conceptual model intervention is more effective than any other conceptual model intervention compared to usual education.

There is evidence from 15 RCTs (two ++, Canada; two ++, USA; three +, UK; four +, USA; one -, Norway; one -, The Netherlands; and two -, USA) that social influence curricula may be effective in preventing smoking but the size of effect is small. Four RCTs (three -, The Netherlands and one -, USA) provided evidence that information giving curricula may be effective with a larger effect size. However, social competence (one -, UK) and combined (one +, USA and three -, USA) curricula detected no difference in smoking prevalence between those students in experimental and control conditions. These results may be confounded by RCT quality.

**Applicability:** Most of the studies took place outside of the UK. It is not clear if these findings are directly applicable to the UK.

#### Social influence

There was evidence from three UK (three +) (Campbell 2008, Nutbeam 1993, Aveyard et al. 2001), one EU+UK RCT (-) (de Vries et al. 2006), 19 US (two ++, twelve +, five -) (Noland 1998, Peterson 2000, Ellickson 2003, Johnson 2005, Schinke 2000, Eisen 2003, Winkleby 2004, Piper 2000, Flay 1995, Murray 1992, Telch 1990, Lynam 1999, Botvin 2001, Brown 2001, Elder 1993, Ellickson 1993, Ary 1990, Hansen & Graham 1991, Ennet 1994), three Canadian (two ++, one +) (Cameron 1999, Brown 2002, Abernathy 1992), one Norwegian (-) (Klepp 1994), one Netherlands (-) (Dijkstra 1999) and three Australian (three -) (Schofield et al. 2003, Shean 1994, Armstrong 1990) RCTs on the social influence model of intervention. They gave a mixed picture of its effectiveness compared to usual education. Two RCTs (Winkleby 2004, Flay 1995) gave no smoking-related outcomes and two RCTs (Armstrong 1990, Abernathy 1992) only gave subgroup results.

- One RCT (Elder 1993) showed a significant improvement in past month smoking for the intervention but no difference in past week smoking.
- One RCT (Noland 1998) showed a significant improvement in 24 hour, seven
  day and thirty day cigarette use but no difference in ever cigarette use
  compared to control. One RCT (Piper 2000) showed a significant improvement
  in cigarette use in the past month for the intervention group compared to
  control.
- For frequency of last month cigarette, one RCT (Lynam 1999) found no significant difference between intervention and control groups.
- For the proportion of new smokers, one RCT (Ellickson 2003) reduced the
  proportion in the intervention group compared to control. One RCT (Ennet
  1994) found no difference in cigarette initiation and one RCT (Brown 2001)
  gave mixed results depending on the number of activities attended.
- For never smokers becoming ever smokers, one RCT (de Vries) found no difference between intervention and control group.

- For children who had never smoked becoming a smoker, one RCT (Johnson 2005) found a significant improvement for the intervention but only in the multicultural group and one RCT (Nutbeam 1993) found no difference between school versus control and school plus family versus control. One RCT (Shean 1994) found no difference in teacher led intervention for both boys and girls but a significant improvement for peer-led intervention for girls but not boys.
- For children who had never smoked becoming a regular smoker, two RCTs (Campbell et al. 2008, Aveyard et al. 2001) found no difference between intervention and control groups.
- For smoking rates, one RCT (Schinke 2000) found no difference between school intervention versus usual education, school plus community intervention versus usual education and school versus community interventions. Six RCTs (Cameron 1999, Klepp 1994, Eisen 2003, Schofield et al. 2003, Ellickson 1993, Telch 1990) found no difference between interventions and control. One RCT (Botvin 2001) found significant improvements in both smoking frequency and smoking quantity for the intervention compared to control.
- For smoking rates in children who were smoking at the start of the trial, one RCT (Ary 1990) found a higher adjusted covariate rate in the intervention group. One RCT (Murray 1992) found higher incidence and prevalence of smoking for the three different intervention groups compared to control.
- For never smoked rates, one RCT (Brown 2002) found a significant improvement for the intervention compared to control for boys but not for girls or for the whole group overall.
- For ever smoking rates, one RCT (Hansen & Graham 1991) had a lower smoking rate in the normative education group compared to control.

#### Social competence

There were four US (one ++, 3 - ) and one Australian (+) RCTs (Simons-Morton 2005, Storr 2002, Buller 2008a, Kellam 1998, Buller 2008b) that used a social competence type intervention and gave a mixed picture of its effectiveness compared to usual education. One RCT (Simons-Morton 2005) did not report a smoking outcome.

- For initiation of smoking at follow up, one RCT (Storr 2002) showed a
  statistically significant difference in favour of the intervention, One RCT
  (Buller 2008a) showed no difference and one RCT (Kellam 1998) showed no
  difference for girls but a significant improvement for boys at follow up.
- For smoking in the last 30 days, one RCT (Buller 2008b) showed no difference between intervention and control.

#### Information giving

There were three Netherlands (three - ) three US (two +, one - ) and one Italian (+) RCTs (Ausems 2004, Crone 2003, Chatrou 1999, Sussman 2007, Sun 2006, Dent 2001, Gatta 1991) that used a predominantly information giving intervention and gave a mixed picture of its effectiveness of compared to usual education.

- For being a smoker at follow up, two RCTs (Ausems 2004, Crone 2003) showed statistically significant differences in favour of the interventions and three RCTs showed no significant difference (Chatrou 1999, Dent 2001, Gatta 1991)
- For weekly smoking, one RCT (Sussman 2007) showed a statistically significant improvement for the intervention group at follow up.
- For adjusted mean level of 30-day cigarette use, one RCT (Sun 2006) showed no significant differences between school plus family, school and control groups at follow up.

#### Combined

There were 10 US (four +, six -) and 1 Norwegian (++) RCTs (Dent 1995, Sussman 2003, Sun 2008, Elder 1996, Werch 2005, Spoth 2002, Spoth 2001, Botvin 1990a, Botvin 1990b, Snow 1992, Jøsendal 1998) that used a combined conceptual model intervention and gave a mixed picture of its effectiveness of compared to usual education. Two RCTs (Werch 2005, Botvin 1990a) did not report smoking related results. One RCT (Snow 1992) reported overall substance use rather than smoking so results are not presented here.

- For weekly cigarette use at follow up, one RCT (Dent 1995) showed a statistically significant difference in favour of the intervention.
- For being a smoker at follow up, one RCT (Sussman 2003) showed a statistically significant difference in favour of the intervention and one RCT (Sun 2008) showed no significant difference. One RCT (Botvin 1990b) showed an overall significant difference between teacher led, video and control. One RCT (Jøsendal 1998) found that the percentage of smokers was significantly higher in the control group at follow up than the school plus family intervention group.
- For ever smoking, one RCT (Spoth 2001) showed a statistically significant improvement in favour of the intervention and one RCT (Elder 1996) showed a non-significant increase for the intervention (school plus some family) compared to usual education.
- For new user rates, one RCT (Spoth 2002) showed no significant differences for school compared to usual education and school plus family compared to usual education.

#### Unclear or not reported

There were one UK ( - ), 6 US (three ++, two +, one -), one Australian (+) and one Netherlands (-) RCTs (Gordon 1997, Ringwalt 1991, Connell 2007, Elder 2002, Biglan

2000, Perry 2003, Bond 2004) that were too unclear about the description of the intervention for us to assign a conceptual model. One RCT (Connell 2007) did not report smoking-related outcomes.

We undertook a subgroup analysis to examine whether there is evidence of differential effect according to the conceptual model adopted by the study. Results of subgroup analyses provided evidence that RCTs that used social influence and combined curricula tended to give statistically significant results (Figure 3:9). For example, pooling results from 16 RCTs that used social influence curricula, we found that school-based education may be effective in preventing smoking (pooled OR=0.91; 95% CI 0.84 to 0.98; with evidence of no statistically significant heterogeneity, *I*<sup>2</sup>=0.0%, p=0.614). Similarly, pooled result from information giving curricula demonstrated that school-based education may be effective in preventing smoking (four -, pooled OR=0.67; 95% CI 0.47 to 0.96; with evidence of statistically significant moderate heterogeneity, *I*<sup>2</sup>=47.1%, p=0.129). We found evidence that combined (-, OR=0.58; 95% CI 0.16 to 2.09) and social competence (five, pooled OR=0.69; 95% CI 0.46 to 1.02; with evidence of statistically significant substantial heterogeneity, *I*<sup>2</sup>=74.0%, p=0.004) curricular may not be effective in preventing smoking among children.

**Table 3:4** shows result of meta-regression where the natural log of the odds ratio was the outcome and conceptual model was explanatory factor. Using social influence as referent, we found that location of study was not an important factor in explanation prevalence of smoking (joint test for all covariates; F(3,22)=1.53; p=.235).

Figure 3:9 Forest plot of the odds ratios (ORs) and 95% confidence intervals (CIs) of individual trials and pooled data for prevalence of smoking; subgroup analysis according to conceptual model

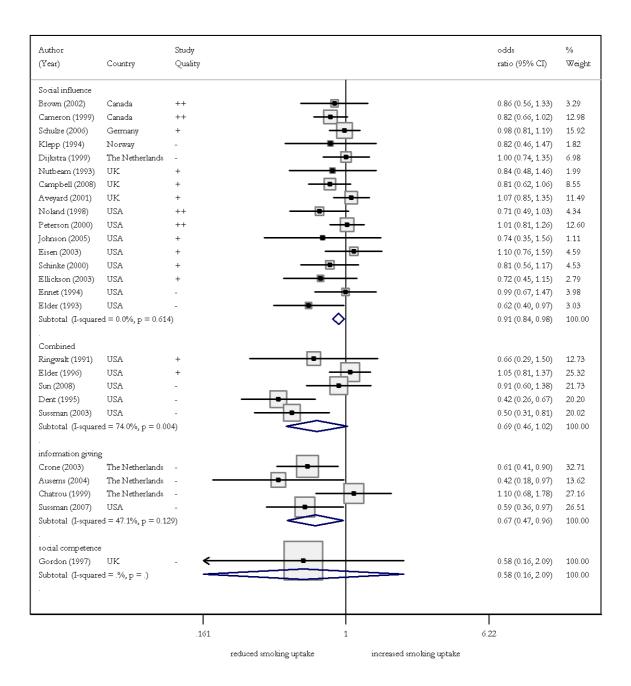


Table 3:4 Results from univariable meta-regression analysis, Conceptual model

Conceptual model	Number of studies	Ratio of odds ratio (95% CI)	P-value
Social influence	16	Reference	
Information giving	4	0.77(0.55 to 1.06)	.104
Social competence	1	0.65(0.16 to 2.64)	.533
Combined	5	0.83(0.63 to 1.09)	.165

R<sup>2</sup>=15.5% (percentage of total variability in log odds ratio of outcome explained by model)

## 3.3.2 Adding a school-based component to other smoking prevention programme

#### Multi-component school-based interventions with a community component

ES7 Evidence statement: There is moderate evidence indicating that multi-component interventions incorporating both school and community components (with or without an additional family component) are ineffective in preventing the uptake of smoking compared to usual education. Five RCTs provided evidence comparing a multi-component intervention that incorporates both school and community components to usual education: Sun et al. 2006 (+, USA), Piper et al. 2000 (+, USA), Schinke et al. 2000 (+, USA), Schofield et al. 2003 (-, Australia), Gordon et al. 1997 (-, UK). Four of the studies (Gordon et al. 1997, Schinke et al. 2000, Schofield et al. 2003, Sun et al. 2006) found no significant difference between the multi-component intervention group and the usual education group during a maximum follow-up between 6 months (Gordon et al. 1997) and 5 years (Sun et al. 2006). One study (Piper et al. 2000) found no difference at 3-year follow-up and small, marginally significant positive or negative intervention effects (depending on the school component) at 4-year follow-up.

**Applicability:** The applicability of the non-UK studies to current UK context is unclear due to differences in location, nature of the communities, as well as targeted populations. The UK study (Gordon et al. 1997) had short duration of follow-up and the risk of bias was considered high due to methodological considerations.

Five RCTs provided evidence comparing a multi-component intervention that incorporates both school and community components to usual education: Sun et al. 2006 (+, USA), Piper et al. 2000 (+, USA), Schinke et al. 2000 (+, USA), Schofield et al. 2003 (-, Australia), Gordon et al. 1997 (-, UK). All studies except Schinke et al. 2000 included secondary school children. Sun et al. 2006 targeted students in continuation high schools whereas Schinke et al. 2000 targeted Native American children in

primary (elementary) schools. The interventions focused on smoking (Gordon et al. 1997), substance use prevention (Schinke et al. 2000; Sun et al. 2006) or health promotion (Piper et al. 2000; Schofield et al. 2003).

A wide range of school components and community components were used. Two of the studies also included a family component (Piper et al. 2000; Schofield et al. 2003). Except for Piper et al. 2000, none of the studies found a significant difference between the multi-component intervention group and the usual education group at any time point during a maximum follow-up between 6 months (Gordon et al. 1997) and 5 years (Sun et al. 2006). Piper et al. 2000 had two intervention arms: one was an intensive school curriculum (delivered within one year) combined with community and family components; the other was an 'age appropriate' school curriculum (delivered over three years) combined with the same community and family components. There was no significant difference between the intervention arms and the control at 3-year follow-up. Marginally significant differences between groups were observed at 4-year follow-up: the smoking prevalence (past month cigarette use) was 30% in the control group, 36% in the age appropriate group and 28% in the intensive group. The negative effect (higher prevalence) for the age appropriate group compared to control group was not statistically significant (p<0.10) and the positive effect for the intensive group compared to control group was statistically significant (p<0.05) after adjusted for baseline demographics.

#### Multi-component school-based interventions with a family component

**ES8 Evidence statement:** There is inconclusive evidence as to the effectiveness of interventions incorporating both school and family components in preventing the uptake of smoking compared to usual education.

Thirteen RCTs provided evidence comparing interventions that incorporate both school and family components to usual education: Storr et al. (-, USA), Elder et al.

1996 (+, USA), Nutbeam et al. 1993 (+, UK), de Vries et al. 2006 (-, EU + UK), Perry et al. 2003 (++, USA), Elder et al. 2002 (+, USA), Spoth et al. 2001 (+, USA), Ary et al. 1990 (-, USA), Spoth et al. 2002 (-, USA), Connell et al. 2007 (-, USA), Simons-Morton et al. 1996 (-, USA), Piper et al. 2000 (+, USA) and Schofield et al. 2003 (-, Australia). Three of the RCTs (Simons-Morton et al. 1996, Storr et al. 2002 and Spoth et al 2001) found a significant positive effect of family and schools intervention compared to usual education. Nine RCTs (Elder et al. 1996, Nutbeam et al. 1993, Piper et al. 2000, Schofield et al. 2003, de Vries et al. 2003, Ary et a. 1990, Connel el a. 2007, Elder et al. 2002 and Spoth et al. 2002) showed no significant difference between family and schools intervention and usual education. One RCT showed a significant effect in boys but not girls (Perry et al. 2003).

**Applicability:** The applicability of the non-UK studies to current UK context is unclear due to differences in the location and nature of the communities.

Thirteen RCTs provided evidence as to the effectiveness of combined school and family-based programmes compared to usual education: Storr et al. (-, USA), Elder et al. 1996 (+, USA), Nutbeam et al. 1993 (+, UK), de Vries et al. 2006 (-, EU + UK), Perry et al. 2003 (++, USA), Elder et al. 2002 (+, USA), Spoth et al. 2001 (+, USA), Ary et al. 1990 (-, USA), Spoth et al. 2002 (-, USA), Connell et al. 2007 (-, USA), Simons-Morton et al. 1996 (-, USA), Piper et al. 2000 (+, USA) and Schofield et al. 2003 (-, Australia). All studies included secondary school children and interventions included some element of parental/home-based intervention.

Some studies using combined schools and family-based intervention did suggest positive effects. The Going Places Program included a schools curriculum combined with parent education and school environment enhancement. Parents were sent a video on authoritative parenting, a guidance booklet and periodic newsletters (Simons-Morton et al. 2005b). Growth in the prevalence of 30-day cigarette smoking was significantly lower for the treatment compared to the control group (added growth factor=-0.124, p <0.05) (Simons-Morton et al. 2005b).

Storr et al. studied the effect of an intervention that provided education and support to parents (Storr et al. 2002). Trained teachers gave nine workshops aimed at establishing good parent-school communication and to teach effective strategies for discipline. Children of intervention parents showed a significantly reduced risk of smoking initiation compared to controls (RR=0.69; 95% CI 0.50 to 0.97).

A study by Spoth et al. describes two parent/student interventions in 11-12 year olds (Spoth et al. 2001). The Preparing for the Drug Free Years (PDFY) intervention gave education over 5 training sessions, primarily to parents but with one session for both parents and children. The Iowa Strengthening Families Program (ISFP) included seven sets of parental training sessions with separate, concurrent sessions for children, followed by a joint training session. Four years after intervention, the proportion of children who had ever smoked cigarettes were significantly lower for the ISFP (33 vs. 50%, p<.01) but not the PDFY (44 vs. 50%, p<.01) interventions compared to the control (Spoth et al. 2001). At six years, there were statistically significant slower overall growths in lifetime cigarette use among ISFP (growth rate = -2.95, p<.01) and PDFY (growth rate = -2.94, p<.01) intervention adolescents compared to controls (Spoth et al. 2001).

However, in another study of the same ISF programme, later renamed the Strengthening Families Program, when added to a comprehensive schools-based intervention in 10-14 year olds, there were no significant differences for smoking initiation in new users for the combined school/family intervention compared to the control (12.1% vs 16.7%, ns) (Spoth et al. 2002).

In one study significant effects were found only in boys, but not girls. The D.A.R.E Plus intervention in 12-13 year olds, involved a 4-session classroom education programme and the intervention material (a magazine) contained activities related to classroom themes for students to complete at home with their parents (Perry et al. 2003). Growth rates of smoking over the 2 year intervention period were significantly lower in intervention compared to control boys (0.18±0.05 vs. 0.31±0.05, p=.02) but not girls (0.22±0.07 vs. 0.28±0.07, p=0.25) (Perry et al. 2003).

The majority of studies showed no significant effect of combined school/family-based interventions. As part of the Childhood and Adolescent Trial for Cardiovascular Health (CATCH) (Elder 1996), smoking intervention involved the provision of smokefree schools, classroom teaching and home-based intervention. Students were given materials to complete 4 exercises at home, to complement lessons at school. Prevalence of smoking in intervention compared to control schools was not significantly different at 1 (4.5 vs. 5.9%), 2 (11.2 vs. 10.2%) or 3 (16.2 vs. 15.6%) years follow-up.

In the study by Nutbeam et al., one intervention arm was given the classroom based 'smoking and me' intervention as well as a family smoking education intervention (Nutbeam et al. 1993). The proportion of children who had never smoked at follow-up was lower, but not significantly so, 12 months post-intervention (69.4 vs. 73.9%, ns).

The Healthy for Life Program included family and community elements in addition to the schools interventions (Intensive or Age Appropriate) (Piper et al. 2000). Prior to the programme, parents were given an orientation session. Three home mailings were given and children were encouraged to interview parents/adults as homework assignments. At 3 years, the Intensive condition significantly reduced the likelihood of smoking in 10<sup>th</sup> grade (beta= -0.38±0.17; p<0.05), but not in 9<sup>th</sup> grade (beta= -0.30±0.17; p=ns) but the Age Appropriate condition showed no significant benefit of intervention in 9<sup>th</sup> (beta=0.18±0.12, p=ns) or 10<sup>th</sup> (beta=0.41±0.20, p=ns) grade (Piper et al. 2000).

The Health Promoting Schools (HPS) intervention provided information leaflets and biweekly school newsletters for parents as well as implementing a formal schools curriculum (Schofield et al. 2003). After 2 years, there was no difference in the prepost intervention changes in weekly smoking for intervention compared to control groups (9.7% vs. 10.0% increases in smoking, ns) (Schofield et al. 2003).

In the multi-national European Smoking prevention Framework Approach (ESFA) (de Vries et al. 2003), a school-based programme (varied between counties) was supported through community action and the majority of parents received letters about the project. When the whole European sample was analysed, intervention did

not appear to affect the proportion of baseline non-smokers becoming weekly smokers after 1 (8.5% vs. 9.0% OR 0.98 CI 0.86-1.11, p ns) or 2 (18.4% vs. 18.8% OR 0.97 CI 0.69-1.08, p=0.62) years but showed significant positive effects after 2.5 years (21.9% vs. 23.4% OR 0.89 CI 0.80-0.99, p=0.03). However, for the restricted UK sample, there were no significant effects at 2 (17.7% vs. 18.8% OR 1.00 CI 0.75-1.25, p=0.99) or 2.5 (21.2% vs. 23.6% OR 0.91 CI 0.73-1.14, p=0.42) years and, at the first 1 year evaluation, had shown a significant negative programme effect (9.0% vs. 9.0% OR \*1.27 (1.0-1.162, p<0.05).

Another schools-based programme in 11-12 year olds consisted of five taught lessons and parents were sent brochures to promote parent/child discussion of views and rules relating to cigarette use (Elder et al. 1993a). At one year, among pre-test smokers, the number of cigarettes smoked per month was not different in the intervention compared to the control (111.6 vs. 76.6) (Elder et al. 1993a).

Another predominantly family orientated intervention offered a family check-up (FCU) to families of 12-14 year old children considered to be high risk (Connell et al. 2007). The 3-session intervention, consisted of an initial interview, assessment session and feedback and families were offered relevant services such as consultations, feedback on school behaviour, video/book resources and individual/family therapy. There was no significant difference between treatment and control groups for nicotine abuse/dependence (X²=3.09, p=ns) over the 5 year evaluation period (Connell et al. 2007).

An intervention in adolescents considered to be at high risk of smoking initiation (hispanic migrants), used structured education of parents as well as children (Elder et al. 2002). For each child given the intervention, teaching was given to one of their adult caregivers on communication skills such as listening, confirmation and reassurance. Reduction in 30-day cigarette use was not significantly different in intervention compared to controls at 1 (3.3 vs. 4.7%, ns) or 2 (2.9 vs. 3.5%, ns) years of intervention.

# 3.4. Are the interventions delaying rather than preventing the onset of smoking?

## 3.4.1 Change of effect over time

ES9 Evidence statement: There is conflicting evidence whether school-based smoking prevention programmes are delaying rather than preventing smoking uptake in children. Results from Campbell et al. 2008 (+, UK) and Bond et al. 2004 (+, Australia) RCTs suggested an attenuation of programme effect over time. Crone et al. 2003 (-, The Netherlands) and Sussman et al. 2007 (-, USA) also provided evidence that a smoking prevention programmes may delay smoking uptake. Evidence from Klepp et al 1994 (-, Norway) suggested that school-based education could have a positive short-term impact on smoking behaviour, but that these effects tended to disappear over time. Dent et al. (-, USA) provided evidence that intervention may be effective in preventing smoking uptake, and, Elder et al. 1993 (-, USA) provided evidence that a school-based education programmes tended to have a long-term impact on smoking behaviour. However, Nutbeam et al. 1993 (+, UK); Peterson et al. 2000 (++, USA); Eisen et al. 2003 (+, USA); Chatrou et al. 1999 (-, The Netherlands); Ennet et al. 1994 (-, USA) and Schinke et al. 2000 (+, USA) showed that school-based prevention was not effective in preventing smoking at all follow-up periods.

**Applicability:** The majority of the studies took place outside of the UK. It is not clear if findings are applicable to the UK. However, the Campbell et al. 2008 (+, UK) and Nutbeam et al. 1993 (+, UK) findings are directly applicable.

It is not clear whether smoking prevention programmes are delaying rather than preventing smoking uptake in children. Estimated odds ratios from studies with multiple follow-ups were used to examine change in programme effectiveness over time. There was inconsistent evidence from studies with two, three or four follow-ups

that school-based smoking prevention programmes were delaying rather than preventing smoking uptake. For example, using data from studies with two followups, Crone et al. 2003 (-, The Netherlands) and Sussman et al. 2007 (-, USA) provided evidence that smoking prevention programmes may be delaying smoking uptake (Figure 3:10). Crone et al. 2003 (-, The Netherlands) found that intervention was effective in preventing uptake after eight months of follow-up, but the association disappeared at 20 months follow-up period when students were 14 years old. Sussman et al. 2007 (-, USA) also found that intervention was only effective after six months follow-up and became marginally effective after 12 months follow-up. Yet, Dent et al. (-, USA) provided evidence that intervention may be effective in preventing smoking uptake. In this study, the programme effect was sustained both after 12 and 24 months follow-up. However, in three studies (Nutbeam et al. 1993 (+, UK); Peterson et al. 2000 (++, USA); Eisen et al. 2003 (+, USA)) the intervention did not produce statistically significant results at either follow-up.

Figure 3:10 Programme effects – odds ratios with 95% confidence interval – from studies with two follow-ups

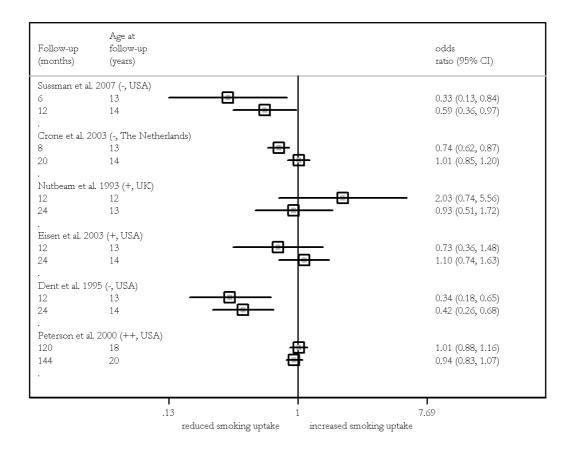
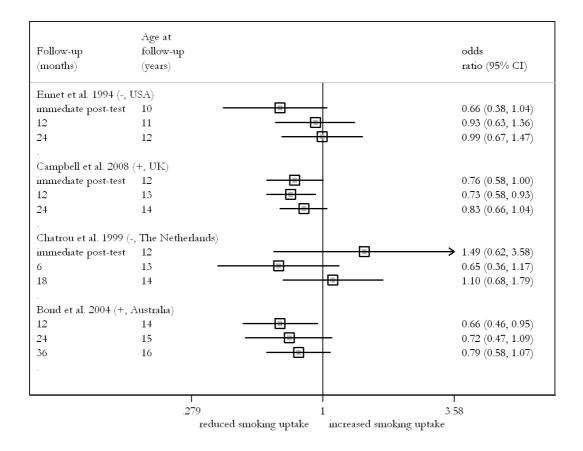


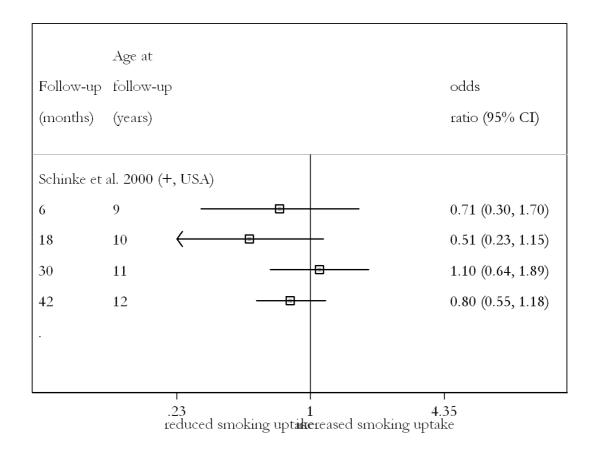
Figure 3:11 shows programme effectiveness from studies with three follow-ups. Results from Campbell et al. 2008 (+, UK) and Bond et al. 2004 (+, Australia) suggest an attenuation of programme effect over time. However, in two studies, Chatrou et al. 1999 (-, The Netherlands) and Ennet et al. 1994 (-, USA) the intervention did not produce statistically significant results at all three follow-ups.

Figure 3:11 Programme effects – odds ratios with 95% confidence interval – from studies with three follow-ups



Only Schinke et al. 2000 (+, USA) provided usable estimated odds ratio for four follow-up periods (Figure 3:12). Similarly, in this study school-based prevention was not effective in preventing smoking at all four follow-up periods.

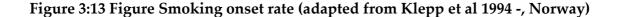
Figure 3:12 Programme effects – odds ratios with 95% confidence interval – from studies with four follow-ups (Shinke et al. 2000 +, USA)

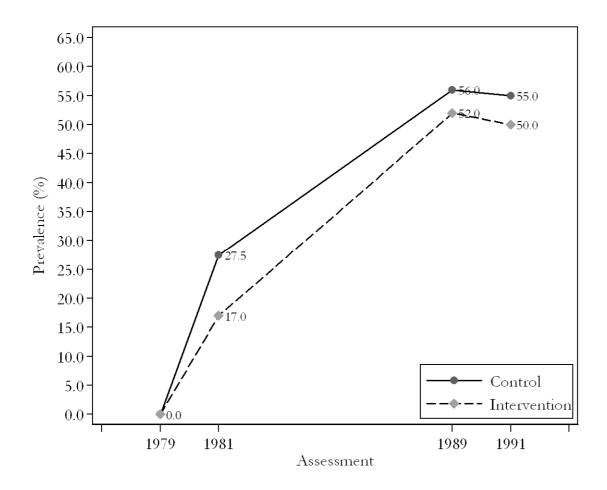


Klepp et al 1994 (-, Norway) provided evidence that school-based education can have a positive short-term impact on smoking behaviour, but that these effects tend to disappear over time. The design of this 12 year follow-up Oslo Youth Study is shown in Table 3:5. Figure 3:13 shows the smoking onset rate (daily and occasionally smoking) from 1979 to 1991 for men and women combined. As seen in the Figure 3:13, smoking onset rates for those students exposed to intervention and those in the control group diverged slightly between 1979 and 1981. The programme reached largest difference after two years from pre-test. Thereafter, the differences in smoking onset rates tended to disappear over time.

Table 3:5 Design of the Oslo Youth study 1979 – 1991 (adapted from Klepp et al 1994 -, Norway)

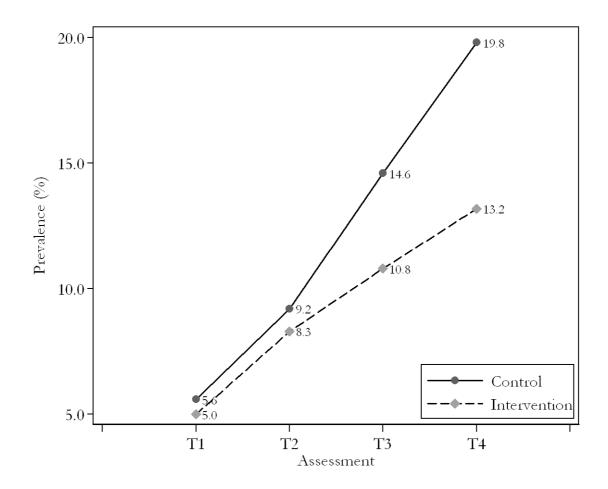
Period	Activity
Spring 1979	Baseline
Autumn 1979 – 1980	Health education programme
Spring 1981	Follow-up Survey I
Autumn 1989	Follow-up Survey II
Autumn 1991	Follow-up Survey III





Contrary to this, Elder et al. 1993 (-, USA) provided evidence that their school-based education programme tended to have a long-term impact on smoking behaviour. In this RCT, students were surveyed four times during 3-year period, including baseline assessment at the beginning of seventh grade (T1), and post-test assessments at the end of grades seven (T2), eight (T3), and nine (T4). Figure 3:14 depicts the prevalence of past month tobacco use measures at the four measurement periods. As can be seen in Figure 3:14 past month tobacco use rates for control and intervention conditions ran roughly parallel between the first two observation periods (T1 and T2), diverged slightly between the second and third periods (T2 and T3), then reached their largest difference at the final follow-up (T4).

Figure 3:14 Prevalence of past month smoking (adapted from Elder et. al. 1993 -, USA)



#### 3.4.2 Effects beyond school leaving age

ES10 Evidence Statement: There is no robust evidence indicating that any school-based intervention has long-lasting effects beyond school leaving age. One US study (Peterson 2000, ++) demonstrated that a comprehensive smoking prevention programme that adopted a social influences approach, started at age 8-9 and continued through to age 17-18 was ineffective when smoking prevalence was measured at age 20. Another US drug prevention programme (Lynam 1999, +) targeting children aged 12-13 also found no significant effect on smoking at age 20. Applicability: The applicability of these findings to current UK settings is unclear. No UK study has followed children beyond school leaving age.

Four studies (Klepp et al. 1994, Shean et al. 1994, Lyman et al 1999, Perterson et al. 2000) followed participants until after school-leaving age. The Hutchinson Smoking Prevention Project (Peterson et al. 2000a)(++, USA) started at age 8-9 and the intervention continued through age 8-18 (3<sup>rd</sup> year elementary school through middle school to final year high school). Final follow-up at the age of 20 (two years after students left high schools) showed no significant difference in smoking prevalence (at least weekly smoking) between intervention and control groups (33.0% vs 34.3%, RD=-1.3%, 95%CI -4.1% to 2.0%).

Follow-up at age of 20 from Project DARE (Lynam et al. 1999)(+, USA), a drug prevention programme that targeted age 11-12 (first year middle school), also showed that the intervention had no effect on the frequency of past month cigarette use (beta coefficient/standardised effect size =0.101, P>0.05).

The Oslo Youth Study (Klepp et al. 1994) (-, Norway) was a health education programme that covered cigarette smoking, nutrition and physical activity and delivered the interventions to children predominantly aged 11-14 over 15 months. At 12-year follow-up (mean age 25), no significant difference in the prevalence of daily smoking between cross-sectional samples of intervention and control groups was found (44% vs 48%, P=0.10).

Follow-up at age of 18-19 from a brief 5-session smoking prevention programme (Shean et al. 1994)(-, Australia) targeting age 12-13 (last year primary school) found significant protective effect among baseline non-smoking girls (daily smoking OR = 0.53, 95%CI 0.27 to 1.01 for teacher-led group vs control; OR = 0.50, 95% CI 0.26 to 0.98 for peer-led group vs control) but not among baseline non-smoking boys ((daily smoking OR = 0.87, 95%CI 0.39 to 1.96 for teacher-led group vs control; OR = 1.10, 95% CI 0.53 to 2.28 for peer-led group vs control).

The study had high attrition rate at this long-term follow-up of 63% and the gender difference in the effectiveness was an unexpected finding.

All the above studies were initiated more than two decades ago, between 1979 (Klepp et al. 1994) and 1987 (Lynam et al. 1999). None were conducted in the UK. The applicability of the results to current UK settings is uncertain.

# 3.5. Does effectiveness depend on status of the person (e.g., peer, teacher or external trainer/researcher) delivering it?

**ES11 Evidence statement:** It is not clear whether effectiveness of school-based smoking prevention programmes depend on the status of the person delivering it. There is conflicting evidence whether peer-led programmes produced most effective intervention effects on smoking initiation. It is important to note that a peer-led programme may be differentially effective based on how leaders are selected and how groups are formed, and may be curriculum dependent. There is some evidence that teacher-led, health educator-led and peer-led programme tend to be equally effective.

Seven RCTs examined whether effectiveness of school-based smoking prevention programmes depend on the status of the person delivering it. Three other studies (Botvin et al. 1990; Telch et al. 1990; Valente et al.2006) provided evidence that peerled interventions tend to enhance smoking prevention programmes. For example, results from Telch et al. 1990 (+, USA) showed a marked suppression in the onset of both experimental and regular smoking among those students exposed to the resistance training with peer involvement. Similarly, Botvin et al. 1990 (-, USA) found that a cognitive-behavioural approach when carried out by peer-leaders and when additional boosters are provided can reduce tobacco use. Yet Valente et al. 2006 (+, USA) provided evidence that a peer-led programme will be differentially

effective based on how leaders are selected and how groups are formed, and this effect may be curriculum dependent. In one RCT (Ellickson et. al. 1993 -, USA), there was no statistically significant difference in regular smoking rates among students taught by health educators and those taught by adult teachers assisted by older teens. Campbell et al. 2008 (+, UK) found that the effect of ASSIST intervention was much the same for peer supporters and non-peer supporters. Similarly, Armstrong et al. 1990 (-, Australia) confirmed non-superiority of peer-led programmes to teacher-led programmes. This result was gender-specific. Both the teacher-led and peer-led programmes reduced, to about the same degree, the uptake of smoking by girls while only the teacher-led programme appeared to be effective in boys.

Cameron et al. 1999 (++, Canada) provided evidence that teachers and nurses were equally effective providers regardless of delivery method. While, Sussman et al. 2003 (-, USA) reported that students exposed to interactive health educator-led interventions were less likely to use tobacco compared to those not exposed to health educator-led instruction.

**Applicability:** Most of the studies were conducted in the USA. It is not clear if these findings are directly applicable to the UK since the interventions under investigation are specific to USA. Furthermore, demographics of the participants are different from those in the UK. Only study Campbell and colleagues is likely to be directly applicable.

One UK study (RCT+) assessed the effectiveness of a peer-led intervention that aimed to prevent smoking uptake in secondary schools (Campbell et al. 2008). Schools were randomly assigned to either intervention condition or to continue their usual smoking education. The intervention, ASSIST (A Stop Smoking In School Trial) consisted of training influential students to act as peer supporters during informal interactions outside the classroom with the aim of encouraging their peers not to smoke. Results of the planned subgroup analysis provided evidence of no differential effect of the intervention according to peer-supporter status (ratio of OR=0.90; 95% CI 0.72 to 1.21).

The authors concluded that the effect of ASSIST intervention was much the same for peer supporters and non-peer supporters.

Telch and colleagues examined whether use of same-age peer leaders enhanced social influence smoking prevention programme (Telch et al. 1990). Seventh grade students were randomly assigned by classrooms to: (a) videotape instruction, (b) videotape instruction plus peer leader involvement, or (c) survey only control schools (control 1 and control 2). The researchers found that peer-led intervention enhanced effects of the programme on smoking adoption: transition from non-smoking to experimental smoking and transition from non-smoking to regular smoking. There was statistically significantly lower onset rate for experimental smoking ( $\chi^2(1) = 4.4$ , p<0.05). The experimentation (defined as smoking less than once per week) onset rates at post-test were lowest for the tape programme with peers (2.1%), followed by the tape programme without peers (7.4%), control 1 (8.0%), and control 2 (10.1%).

Students randomised to the Tape programme with peers were less likely to have reported regular smoking (i.e. at least once per week) at post-test than those exposed to the Tape programme without peers ( $\chi^2(1) = 4.7$ , p<0.05) and two control conditions ( $\chi^2(1) = 4.6$ , p< 0.05). Overall, results from this study lend support for the use of peerled social pressure resistance training in suppressing the adoption of cigarette smoking among junior high school students. The authors concluded by explaining the possible processes by which peer leaders enhanced school-based smoking prevention programmes. Firstly, the use of peer leaders may increase programme credibility and enhanced students' attention to the pressure resistance skills being taught. Secondly, the use of peer-leaders may serve to facilitate normative changes concerning cigarette smoking.

Ellikson and co-researchers from RAND examined whether the effectiveness of the project ALERT (Adolescent Learning Experiences in Resistance Training) curriculum

depends on the status of the person delivering it (Ellickson, Bell, & McGuigan 1993 -, USA). Schools were randomised to two treatment groups and one control group. In half of the treatment schools, student were taught by adult health educators while in other half older teens assisted adult teachers in delivering the lessons. The findings from the study revealed that regular smoking rates were not significantly different among the three experimental groups. The researchers concluded that once the lessons stopped, the programme's effect on drug use stopped regardless of who delivered it.

Armstrong and colleagues studied how effective peer-led programmes were in preventing the uptake of smoking by children (Armstrong et al. 1990 -, Australia). The programme was based on social consequences curriculum and schools were randomised to one of three groups: control group (no planned intervention); peer-led programme; and teacher-led programme. The proportion of girls who started to smoke increased in the second year of follow-up but remained lower in both intervention groups than in the control group ( $\chi^2$ =6.7; df = 2; p=0.03). The results of this study revealed that both the teacher-led and peer-led programmes reduced, to about the same degree, the uptake of smoking by girls while only the teacher-led programme appeared to be effective in boys.

Botvin and co-researchers examined effects of status of person delivering a cognitive-behavioural approach to substance abuse (Botvin et al. 1990 -, USA). The study was a five-arm trial: (1) peer-led intervention, (2) peer-led intervention with booster sessions, (3) teacher-led intervention, (4) teacher-led intervention with booster sessions, and (5) control. The study found that there were significantly fewer students in the peer booster condition reporting cigarette smoking than in the teacher booster condition on the monthly measure (p<0.0001), the weekly measure (p<0.0001), and the daily measure (p<0.0005). The researchers concluded that cognitive-behavioural approach when carried by peer-leaders and when additional boosters are provided can reduce tobacco use.

One study (Valente et al. 2006 +, USA) compared the effects of three leader and group selection methods within the context of two tobacco prevention programmes: a social influences programme (Chips) and multicultural emphasis (Flavor). Students were randomly assigned to one of three leader and group creation conditions: (i) leaders were defined as those who received the most nominations by students and groups created randomly (random group), (ii) same as (i) but groups created by assigning students to the leaders they nominated (network), and (iii) leaders and groups created by teachers (teacher). The study found that main effects of the curriculum and network assignments were non-significant on smoking initiation when entered alone. There was no statistically significant difference in smoking initiation between those students in Flavor and Chip groups (OR=1.24; 95% CI 0.67 to 2.27). It is important to note that the network condition in Chips (the reference curriculum) was associated with a higher smoking initiation rate (OR= 1.22; 95% CI 1.06 to 1.40) compared to the random condition. Interaction terms of curriculum and assignment methods were significant.

The network and teacher conditions were less effective than the random group condition with Chips, and more effective than random group condition with Flavor. Student in Teacher Flavor (OR=0.41; 95% CI 0.19 to 0.89) and network Flavor (OR=0.50; 95% CI 0.27 to 0.92) significantly reduced smoking initiation. The authors concluded by emphasizing that school-based prevention programmes should be evaluated in light of who implements the programme and that a peer-led programme will be differentially effective based on how leaders are selected and how groups are formed, and this effect may be curriculum dependent.

Cameron et al. 1999 (++, Canada) determined the effect of provider (nurse or teacher) and training method (workshop or self-preparation) on outcomes of a social influences smoking prevention programme. Students from elementary schools were stratified by risk score and assigned randomly to one of the five experimental conditions: (1) teacher-led and self-preparation; (2) teacher-led and workshop; (3)

nurse-led and self-preparation; (4) nurse-led and workshop; and (5) control. The study found that in high risk schools, both teacher and nurse provider conditions, regardless of the training method, resulted in significantly lower smoking rate relative to control schools (smoking rates of 16.0% in intervention and 26.9% in control schools). In low-risk schools (i.e. schools with low smoking rates in the senior class), regardless of training method, neither nurses nor teachers achieved results that were statistically significant different from those of control condition. Because of these findings, Cameron concluded that teachers and nurses were equally effective providers.

Sussman et al. 2003 (-, USA) examined whether messages from a health educator can affect effectiveness of a drug abuse prevention programme (Project Towards No Drug Abuse). Schools were randomly assigned by block to one of three conditions – standard care (control), health educator-led classroom programme, and self-instruction classroom programme.

The RCT found that students in the health educator-led group were 50% less likely to use tobacco compared to those who did not receive health educator-led instruction (OR=0.50; 95% CI 0.31 to 0.81). This RCT revealed that health educator-led version of project TND showed maintenance of effect on cigarette smoking after 2-year follow-up.

### 3.6. Does site/setting influence effectiveness?

**ES12 Evidence statement:** Evidence shows that site or setting may influence effectiveness. One UK RCT tended to have had a more significant effect in rural schools. Otherwise, there is conflicting evidence of interventions having a differential effect according to location (rural or urban) or country of the study.

Evidence from one RCT (Campbell et al. 2008; +, UK) indicated that students from schools located in the South Wales valleys were less likely to be regular smokers. Another RCT (Sussman et al. 1993 -) conducted in USA found that trial of cigarette smoking use was higher in the rural schools than in the urban schools. Weekly use of tobacco products did not differ by place of residence. Yet another study (Elder 1996; +, USA) found that Louisianans were more likely to be ever smokers than students from Texas State. Noland et al. 1998 (++, USA) provided evidence that intervention had no differential effect on students who raised tobacco than those not involved in tobacco production. One RCT (Ausems et al. 2004 -, The Netherlands) specifically compared in-school and out-of-school smoking prevention. These RCTs found that smoking initiation was lowest in the out-of-school and highest among students in the control condition. The European Smoking Prevention Framework Approach (ESFA) found evidence of the intervention differential effect according to the location (country) of the study (de Vries et al. 2006 -, EU). ESFA was effective in prevention uptake of smoking in Spain, Finland, and Portugal and ineffective in Denmark and UK. ESFA showed more smoking in the intervention group in The Netherlands. Our planned subgroup analyses provided evidence of no differential effect according to the country.

**Applicability:** The majority of the studies took place outside of the UK in a wide range of countries; including Denmark, Finland, The Netherlands, Portugal, and Spain. However, it is likely that their findings are applicable to the UK. Only the UK study by Campbell and colleagues is likely to be directly applicable.

Four RCTs specifically examined whether effectiveness of school-based prevention programmes depend on the location of the school. For example, one recent RCT (Campbell et al. 2008 +, UK) found statistically significant differences between schools located in towns or cities and those located in the South Wales valleys. Students from schools located in South Wales valleys were less likely to be a regular smoker (OR=0.52; 95% CI 0.34 to 0.78); while the intervention was not effective in reducing prevalence of regular smoking among students from towns or cities (OR=0.89; 95% CI 0.71 to 1.11) in fully adjusted model.

Sussman et al. 1993; (-, USA) demonstrated that smoking prevalence was higher in the rural schools than in the urban schools. In this RCT, students from rural schools were more likely to have reported trial use of tobacco than their counterparts from urban schools immediately post-test (43% versus 35%; p<0.05) and after 1-year follow-up (50% versus 44%; p<0.05). There was no statistically significant difference between rural and urban schools when prevalence of weekly tobacco use was used as the outcome.

One RCT (Elder 1996 +, USA), the CATCH (Child and Adolescent Trial for Cardiovascular Health) examined the effects of school environment, class curricula, and family intervention components in promoting the cardiovascular health of elementary school students. In this multistate field trial, using Texas as referent, Louisianans were more likely to be ever smokers (OR=1.60; 95% CI 1.12 to 2.30). There was no statistically significant difference between students from California, Minnesota and Texas State.

Another RCT (Noland et al. 1998 ++, USA) investigated the efficacy of a Social-Influences Tobacco Prevention Programme conducted with adolescent living in a high tobacco producing area. This study revealed that after 2-year of follow-up, significant effects were found for 30-day (experiment versus control: 36.8% vs. 51.8%; p<0.01), 7-day (34.2% vs. 45.6%; p<0.05) and 24-hour (25.7% vs. 36.9%; p<0.01) smoking for those involved in raising tobacco. Amongst those not involved, there was a significant effect for 30-day (experiment versus control: 29.8% vs. 35.1%; p<0.05) smoking, along with a marginally significant effect for 7-day (25.2% vs. 29.9%; p=.067) smoking. However, when analysis was conducted to determine whether the intervention had a differential effect on students who raised tobacco; no significant treatment and involvement interaction effects were found.

One RCT (Ausems et al. 2004 -, The Netherlands) specifically evaluated the additional effectiveness of out-of-school smoking prevention on an existing in-school programme. In this RCT, students were randomly allocated to one of four research conditions: (a) the in-school condition, (b) the out-of-school condition, computertailored letters sent to the students' home; (c) the in-school and out-of-school, a combined approach; and (d) the control condition. Ausems et al. 2002 (-, The Netherlands) found that smoking initiation was lowest in the out-of-school condition (10.4%), followed by in-school condition (14.9%) and the in-school and out-of-school (15.2%); while the highest smoking initiation was observed in control condition (18.1%). With other factors controlled for statistically, the out-of-school programme tended to prevent pretest never smokers from uptake of smoking (OR=0.73; 95% CI 0.50 to 1.03; p=0.08). Ausems et al. 2004 assessed the percentage of pre-test neversmoking students who indicated to have started smoking at post-test 1 (6 months after pretest), post-test 2 (12 months after pretest), and post-test 3 (18 months after pretest). This study found that *Post-test 1* showed that smoking initiation among pre-test never-smokers was lowest in the out-of-school condition (16.8%) and highest in the inschool condition (27.4%). At post-test 2, smoking initiation among pre-test neversmokers was lowest in the out-of-school smoking sample (25%) and highest in the control condition (40.9%). At post-test 3, smoking initiation among pre-test neversmokers was lowest in the out-of-school condition (27.2%) and highest in the control condition (47.9%). There were no significant interaction factors between the in-school and out-of-school for smoking initiation at *post-test 1*, implying that the effect of 'in

and out' was not larger than the sum of the effects of the in-school and the out-of-school programme.

The in-school and the out-of-school programmes did not show a significant effect on smoking *initiation*. Similarly, at *post-test* 2, there were no significant 'in and out' interactions. However, at *post-test* 3, significant 'in and out' interactions were found for smoking initiation. Students in the out-of-school condition were less likely to have initiated smoking at post-test 3 than students in the control condition (OR=0.42; 95% CI 0.18 to 0.96).

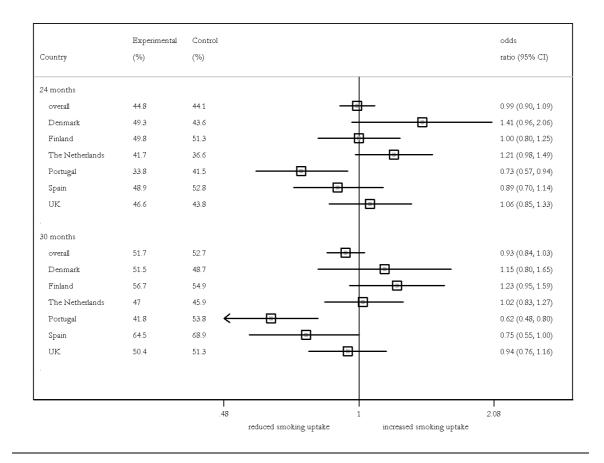
The European Smoking Prevention Framework Approach (ESFA) study in six countries tested the effects of a comprehensive smoking prevention programme after 24 and 30 months (de Vries et al. 2006 -, EU). The programme targeted four levels, i.e. adolescents in schools, school policies, parents and communities. The results of ESFA having differential effect according to the study sites (countries) are presented below, see Figure 3:15 and Figure 3:16.

#### Pretest never smokers to ever smokers

After 24 months: Significantly fewer ever-smokers were found in the Portuguese experimental group than the control group (OR=0.73; 95% CI 0.57 to 0.94). A borderline counter-effect was found in The Netherlands, with slightly more ever-smokers in the experimental condition than in the control condition (OR=1.21; 95% CI 0.98 to 1.49; p=0.07). A similar trend was observed in Denmark (OR=1.41; 95% CI 0.96 to 2.06; p=0.08)

After 30 months: There were fewer ever-smokers in the experimental condition in Portugal (OR=0.62; 95% CI 0.48 to 0.80; p<0.001) and Spain (OR=0.75; 95% CI 0.55 to 1.00; p=0.05).

Figure 3:15 Never smokers to ever-smokers after 24 and 30 months (de Vries et al. 2006 -, EU)

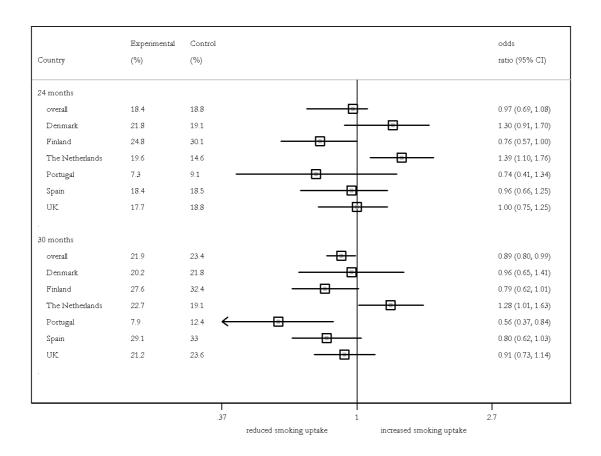


#### Pretest non-smokers becoming weekly-smokers

After 24 months: The lowest percentage of weekly smoking was observed in Portugal (7.3% in the experimental group versus 9.1% in the control group). The highest percentages were found in Finland (24.8% in the experimental group versus 30.1% in the control group). There were significant differences in weekly smoking between the experimental and control groups in Finland (OR=0.76; 95% 0.57 to 1.00; p=0.05). A significant counter-effect was found in The Netherlands in that more adolescents smoked regularly in the experimental condition than in the control condition (OR=1.39; 95% CI 1.10 to 1.76).

After 30 months: Significant differences were found in Portugal with fewer new weekly smokers in the experimental group than in the control group (OR=0.56; 95% CI 0.37 to 0.84). Borderline effects were found in Finland with 27.6% new smokers in the experimental condition versus 32.4% in the control group (OR=0.79; 95% CI 0.62 to 1.01; p=0.06) and in Spain with 29.1% new smokers in the experimental condition versus 33.0% new smokers in the control group (OR=0.80; 95% CI 0.62 to 1.03; p=0.08). A significant counter-effect was found in The Netherlands in that more adolescents smoked regularly in the experimental condition than in the control condition (OR= 1.29; 95% CI 0.73 to 1.14).

Figure 3:16 Never smokers to weekly smokers after 24 and 30 months (de Vries et al. 2006 -, EU)



We undertook a planned subgroup analyses to examine whether there is association between location of the study (country) and programme effects on prevalence of smoking. Using estimated odds ratios for prevalence of smoking for 26 studies that compared school-based only programmes with usual education or no intervention, we found inconsistent association between location of the study and programme effects. Figure 3:17 shows the pooled estimates for prevalence of smoking by subgroup categories - countries. Not unexpectedly, the pooled results from 14 USA studies provided evidence that the intervention was effective in reducing prevalence of smoking (pooled OR=0.78; 95% CI 0.67 to 0.92; with evidence of statistically significant substantial heterogeneity, *I*<sup>2</sup>=50.7%, p=0.015). The intervention was not effective in preventing smoking uptake when students were recruited from Australia, Canada, Germany, Norway, The Netherlands, and United Kingdom. The results of the subgroup analysis revealed that the effect of school-based prevention programme was not statistically significantly different from that of usual education or no intervention when students were recruited from: Australia (+; OR=0.79; 95% CI 0.58 to 1.07); Canada (two ++: pooled OR=0.83; 95% CI 0.68 to 1.01; with evidence of no statistically significant heterogeneity *I*<sup>2</sup>=0.0%, p=0.847); Germany (+; OR= 0.98; 95% CI 0.81 to 1.19); Norway (-; OR= 0.82; 95% CI 0.46 to 1.47); The Netherlands (three + and one -; pooled OR=0.79; 95% CI; 0.55 to 1.14; with evidence of statistically significant substantial heterogeneity *I*<sup>2</sup>=61.0%, p=0.053); and ); UK (three + and one -; pooled OR=0.93; 95% CI 0.78 to 1.10; with evidence of no statistically significant heterogeneity  $I^2$ =2.6%, p=0.379). Table 3:6 shows result of meta-regression where the natural log of the odds ratio was the outcome and study location was explanatory factor. Using USA as referent, we found that location of study was not an important factor in explanation prevalence of smoking (joint test for all covariates; F(6,20)=0.24; p=.958).

Figure 3:17 Forest plot of the odds ratios (ORs) and 95% confidence intervals (CIs) of individual trials and pooled data for prevalence of smoking; subgroup analysis according to study location (country)

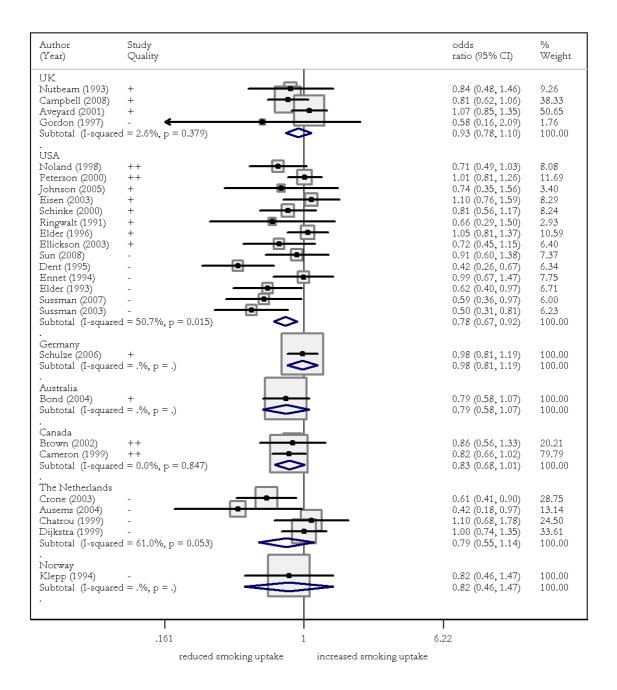


Table 3:6 Results from univariable meta-regression analysis, Country

Variable	Number of studies	Ratio of OR (95% CI)	P-
			value
Australia	1	1.01(0.59 to 1.73)	.985
Canada	2	1.06(0.71 to 1.59)	.760
Germany	1	1.25(0.71 to 2.01)	.349
Norway	1	1.04(0.49 to 2.22)	.908
The	4	1.03(0.72 to 1.46)	.862
Netherlands			
United	4	1.14(0.81 to 1.61)	.418
Kingdom			
USA	14	Reference	

R<sup>2</sup>=0.00% (percentage of total variability in log odds ratio of outcome explained by model)

## 3.7. Does effectiveness depend on the intensity of the intervention?

**ES13** Evidence statement: There is clear evidence that the addition of booster sessions enhanced effectiveness of main programmes.

Four studies (one ++ and three -) analysed effectiveness of booster sessions. Evidence from Perry et. al., 2003 (++, USA) suggests that addition of booster sessions significantly enhanced the effectiveness of the main programme and was more effective than the delayed programme controls. Dijkstra et. al., 1999 (-, USA) found that boosters can be an effective tool for maintaining or increasing the effectiveness of smoking prevention programmes. Botvin et. al., 1990(a) (-, USA) revealed that addition of booster sessions to cognitive-behavioural approach can reduce tobacco use. Another study (Eckhardt et. al., 1997 -, USA) showed that continued intervention students reported significantly less smoking than lapsed intervention and continued control students.

**Applicability:** All four studies were conducted in USA. It is not clear if the findings are directly relevant to the UK context

Perry and colleagues evaluated the effect of the middle and junior high school Drug Abuse and Resistance Education (D.A.R.E.) and D.A.R.E. plus programmes on drug use and violence (Perry et al. 2003 ++, USA). The D.A.R.E only was taught by police officers and consisted of a ten session curriculum. The D.A.R.E. programme provided skills in resisting influences to use drugs and in handling violent situations. The D.A.R.E. plus condition provided an extra 4-session programme carried out by trained teachers once a week for 4 weeks and extracurricular activities for students. The study found that those in the D.A.R.E. Plus schools were less likely than those in the control schools to show increases in current smoking, tobacco use behaviour and intentions. The growth rate for current smokers was lowest among students in

D.A.R.E. Plus (0.18), followed by students in D.A.R.E (0.28) and control condition (0.31). The authors concluded that the D.A.R.E. Plus Project demonstrated that a multi-component intervention and addition of extra sessions significantly improved the D.A.R.E. middle and junior high school D.A.R.E. curriculum and became an effective intervention for reducing increases in multidrug use.

In another study (Dijkstra et al. 1999 -, USA), the authors examined the contribution of boosters on two smoking prevention programmes, a social influence (SI) and an SI programme with an additional decision-making component (SI<sup>DM</sup>). Using self-reported smoking behaviour, the study showed that at 12 months after pre-test the SI programme was only effective when boosters were included in the programme; however SI<sup>DM</sup> without boosters was effective. Students randomised to SI+Booster were less likely to be a smoker compared to those students randomised to SI only (OR=0.41; 95% CI 0.26 to 0.63) or students randomised to control conditions (OR=0.44; 95% CI 0.30 to 0.65). At 18 months follow-up, the SI programme with boosters remained effective. Students randomised to SI+Booster were less likely to be a smoker compared to those students randomised to SI only (OR=0.62; 95% CI 0.43 to 0.90) or students randomised to control conditions (OR=0.62; 95% CI 0.45 to 0.87). The authors concluded that booster sessions should be included in SI programmes.

Botvin et al. 1990 (-, USA) compared the effectiveness of cognitive-behavioural skills training approach with and without booster sessions. The study was a five-arm trial: (1) peer-led intervention, (2) peer-led intervention with booster sessions, (3) teacher-led intervention, (4) teacher-led intervention with booster sessions, and (5) control. Students in the four treatment conditions received 20-session substance abuse prevention programme during the seventh grade. Students in booster conditions participated in a 10-session booster programme during the eighth grade. Smoking status was determined by means of three dichotomous self-reported measures: a

monthly recall measure, a weekly recall measure, and a 24-hour recall measure. The study findings indicated that there was a significantly lower proportion of smokers in the peer-led booster condition when compared to the control condition for the monthly measure (p<0.02), the weekly measure (p<0.005), and the daily measure (p<0.005). There were also significantly fewer students in the peer booster condition reporting smoking than in the peer non-booster condition for the monthly measure (p<0.0002), the weekly measure (p<0.0002), and the daily measure (p<0.001).

Eckhardt and colleagues examined the relative effectiveness of continued, lapsed, and delayed smoking prevention intervention among senior high school students (Eckhardt 1997 -, USA). The original intervention was conducted during grades 7 to 9. The Intervention was reintroduced in the 11<sup>th</sup> grade to some students (continued intervention), was withdrawn from some other students (lapsed intervention), and was initiated with some control students (delayed intervention). Using past month smoking rates as main outcome, the study found that the continued intervention group (7%) had the lowest smoking rate, followed by the delayed intervention (9.4%), lapsed intervention (10.8%), and continued control (12.8%). Overall, the authors emphasized the importance of continuing smoking prevention activities.

# 3.8. How does effectiveness vary according to the age, sex, ethnicity, sexual orientation, baseline risk factors or socioeconomic status of the target audience?

#### 3.8.1 Age

**ES14 Evidence statement:** It is not clear whether the age of the target audience has any impact on effectiveness of school-based prevention of smoking. There is inconclusive evidence whether the effectiveness of interventions depend on the age at which students were recruited and the age of students at maximum follow-up.

There is conflicting evidence that age is an important predictor of smoking in school-based prevention programmes. Three studies (Dijkstra et al. 1999 (+, USA); Gatta et al. 1991; (+, Italy) and Ausems et al. 2004; (-,The Netherlands)) found that the risk of smoking increased linearly with increasing age of the participants. Three studies (Johnson et al. 2005; (+, USA); Elder et al. 2002; (+, USA); and Chatrou et al. 1999; (-, The Netherlands)) found no significant association between age and prevalence of smoking. One particular study (Ausems et al. 2004; (-,The Netherlands)), found that this association diminished and became non-significant with longer duration of follow-up. Contrary to this finding, another study (Chatrou et al. 1999; (-, The Netherlands)) found that age did not predict prevalence of smoking regardless of duration of follow-up. We found inconclusive evidence whether effectiveness of the intervention depends on the age at which students were recruited and age of students at maximum follow-up. There is limited evidence from subgroup analyses that intervention may be effective when students were recruited at 11 or 12 years old, and when students were 14 or 16 years at maximum follow-up.

**Applicability:** None of the studies were conducted in the UK. It is not clear if these findings are directly applicable to the UK since the interventions under investigation

are specific to USA. Furthermore, demographics of the participants are different from those in the UK.

The main question to be answered for this sub-section is whether interventions started at younger ages is more or less effective compared to interventions started at older ages. Six RCTs specifically assessed whether age of the participant is an important predictor of smoking initiation in school-based prevention of smoking uptake. Four of these RCTs found that older students had a significantly increased risk of smoking at post test. Dijkstra and colleagues found that for each one year increase in student age, the risk of smoking increased by 25% (OR=1.25; 95% CI 1.06 to 1.47) after 12 months follow-up (Dijkstra et al. 1999). Another study (Gatta et al. 1991, + Italy), found that prevalence of smoking was lower in children aged less than 14 years compared to those students older than 14 years (relative risk = 0.86, p<0.05). Ausems and colleagues found that smoking initiation increased with age of the student (Ausems et al. 2004). At six-months post-test, for each one year increase in age of participants, the odds of smoking initiation increased by 69% (OR=1.69; 95% CI 1.21 to 2.46). After one-year post-test, the effect diminished and became non-significant (OR=1.33; 95% CI 1.00 to 1.78).

Three studies (two + and one -) found age was not significantly associated with prevalence of smoking. For example Johnson and co-researchers (Johnson et al. 2005) found no statistically significant association between age and prevalence of eversmoking (OR=1.02; 95% 0.78 to 1.34). The study also found that age was not a significant predictor of past-month smoking (OR=1.18; 95% CI 0.85 to 1.65). One study (Elder et al. 2002, + USA) revealed that smoking was not related to students' age (OR=1.14; 95% CI 1.00 to 1.29). Another RCT (-, The Netherlands) found that prevalence of smoking was not associated with age of participant regardless of the duration of follow-up (Chatrou et al. 1999). With other factors controlled, Chatrou and

colleagues found that age was not an important predictor of prevalence of smoking immediate post-test (OR=1.02; 95% CI 0.55 to 1.88), after 6-months follow-up (OR=1.43; 95% CI 0.91 to 2.23), and after 18-months follow-up (OR=0.99; 95% CI 0.66 to 1.48).

We examined whether there is an association between age at which students were recruited into the programme and age of the students at maximum follow-up using sungroup analyses. Using estimated odds ratios for prevalence of smoking for 26 studies that compared school-based only programme with usual education or no intervention, we found an inconsistent association between starting age and prevalence of post-test smoking and no age-related trend. Figure 3:18 shows pooled estimates for prevalence of smoking by age at which studies were recruited. Results from this subgroup analysis provided evidence that intervention was effective in reducing prevalence of smoking when the students were recruited at 11 and 12 years old. Results from seven studies showed that when students were recruited at 11 years old, the intervention was able to reduce the smoking prevalence by 14% (pooled OR=0.86; 95% CI 0.76 to 0.98; with evidence of no statistically significant heterogeneity, I<sup>2</sup>=0.0%, p=0.615). Similarly, results from another seven studies (++, USA; +, UK; two +, USA; two -, The Netherlands; and -, USA) showed that when students were recruited at 12 years old, the intervention was able to reduce prevalence of smoking by 25% (pooled OR = 0.75; 95% CI 0.60 to 0.94; with evidence of statistically significant moderate heterogeneity, *I*<sup>2</sup>=56.4%, p=0.911). The intervention was not effective in preventing smoking uptake when students were recruited into the study at 8, 10, 13, and 14 years old. The results of the subgroup analysis revealed that the effect of school-based prevention programme was not statistically significantly different from that of usual education or no intervention when students were recruited at: 8 years old (four studies [++, USA; two +, USA]; pooled OR=0.98; 95% CI 0.84 to 1.15; with evidence of no statistically significant heterogeneity  $I^2$ =0.0%, p=0.508); 10 years old (two studies [+, USA and -, USA]; pooled OR=0.92; 95% CI 0.64

to 1.31; with evidence of no statistically significant heterogeneity  $I^2$ =0.0%, p=0.911); 13 years old (six studies [+, UK; +, Australia; two -, The Netherlands; and two -, USA]; pooled OR= 0.86; 95% CI 0.70 to 1.05; with evidence of statistically significant moderate heterogeneity  $I^2$ =46.2%, p=0.098); and 14 years old (two studies [++, Canada and -, USA]; pooled OR=0.66; 95% CI; 0.39 to 1.13; with evidence of statistically significant moderate heterogeneity  $I^2$ =63.0%, p=0.100). Result from meta-regression (Figure 3:19) revealed that age at which students were recruited was not an important factor in explanation prevalence of smoking (ratio of odds ratio = 0.96; 95% CI 0.91 to 1.01; p=.144).

Figure 3:18 Forest plot of the odds ratios (ORs) and 95% confidence intervals (CIs) of individual trials and pooled data for prevalence of smoking; subgroup analysis according to age at which students were recruited subgroups.

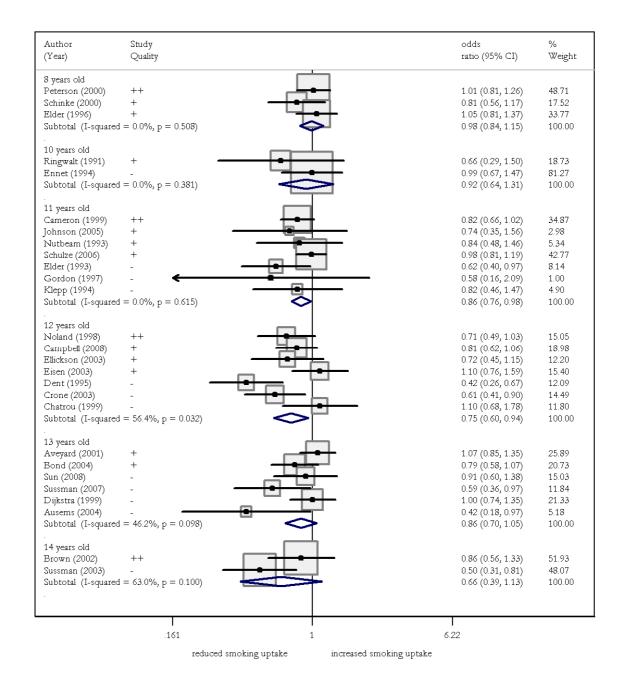


Figure 3:19 Predicted log odds ratio of smoking prevalence as function of age at which students were recruited

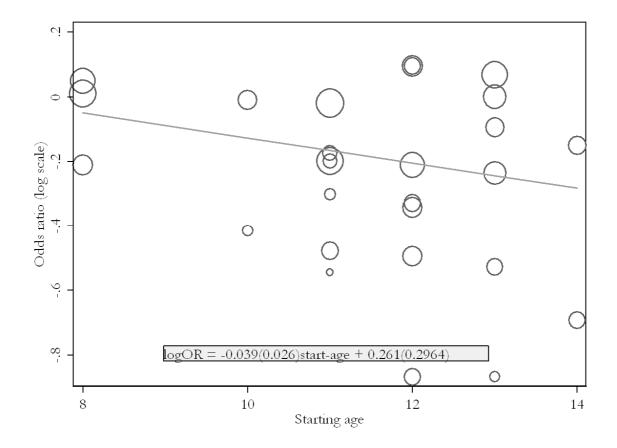


Figure 3:20 shows pooled estimates for prevalence of smoking by age of students at maximum follow-up. Results from this subgroup analysis provided evidence that the intervention was effective in reducing prevalence of smoking when the students were 14 and 16 years old after maximum follow-up. Results from 11 RCTs (++, Canada; ++, USA; +, UK; two +, USA; two -, The Netherlands; and four -, USA) showed that when students were 14 years old after maximum follow-up, the intervention was able to reduce the smoking prevalence by 24% (pooled OR=0.76; 95% CI 0.65 to 0.88; with evidence of moderate statistically significant heterogeneity, *I*<sup>2</sup>=40.2%, p=0.081). Similarly, results from three studies (++, Canada; + Australia; and -, USA) showed that when students were 16 years old after maximum follow-up, the intervention was able to reduce prevalence of smoking by 28% (pooled OR = 0.72; 95% CI 0.54 to 0.96; with evidence of statistically significant moderate heterogeneity, *I*<sup>2</sup>=37.3%, p=0.203). The intervention was not effective in preventing smoking uptake when students were 11, 12, 13, 15, 20, and 23 years old after maximum follow-up. The results of the subgroup analysis revealed that the effect of school-based prevention programme was not statistically significantly different from that of usual education or no intervention when students were: 11 years old (+, USA; OR=0.66; 95% CI 0.29 to 1.49); 12 years old (four studies [+, UK; -UK; +, USA and -, USA]; pooled OR=0.87; 95% CI 0.68 to 1.10; with evidence of no statistically significant heterogeneity *I*<sup>2</sup>=0.0%, p=0.811); 13 years old (three studies; pooled OR= 0.99; 95% CI 0.85 to 1.16; with evidence of no statistically significant heterogeneity *I*<sup>2</sup>=0.0%, p=0.675); 15 years old (three studies [+, UK and two -, The Netherlands]; pooled OR=0.93; 95% CI; 0.68 to 1.28; with evidence of statistically significant moderate heterogeneity *I*<sup>2</sup>=55.1%, p=0.108); 20 years old (++, USA; OR=1.01; 95% CI 0.81 to 1.26) and 23 years old (-, Norway; OR=0.82; 95% CI 0.46 to 1.47) at maximum follow-up. Figure 3:21 shows result of meta-regression result where the natural log of the odds ratio was the outcome and age at maximum followup was explanatory factor. We found that age of students at maximum follow-up was not statistically significant factor in explanation prevalence of smoking (ratio of odds ratio = 1.01; 95% CI 0.96 to 1.05; p=.723).

Figure 3:20 Forest plots of pooled estimated odds ratio of smoking prevalence by age of students at maximum follow-up subgroups.

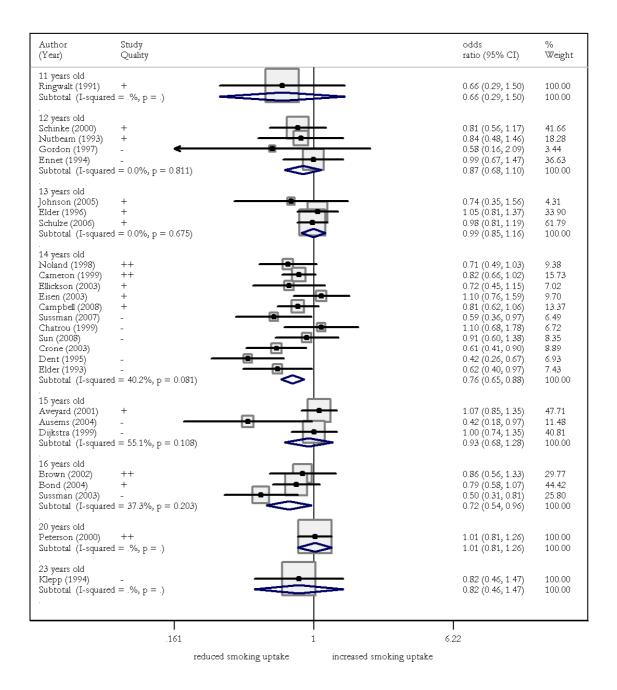
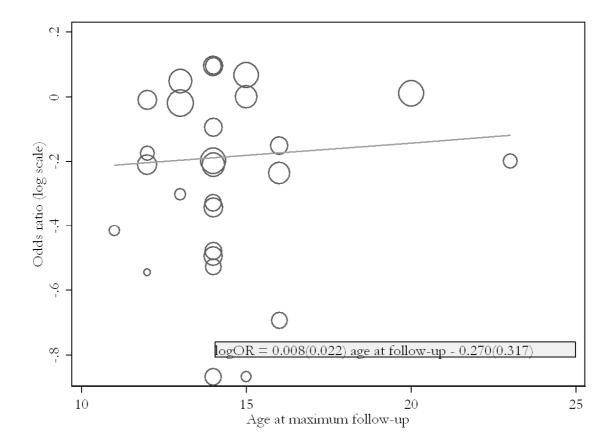


Figure 3:21 Predicted log odds ratio of smoking prevalence as function of age of students at maximum follow-up



#### 3.8.2 Interventions starting in primary schools

Eight studies assessed the effectiveness of school-based interventions that started before age 11 (corresponding to primary school population in England and Wales). Interventions started before age 7 (corresponding to Key Stage 1 in England) were evaluated in two of the studies and interventions started between age 7 and 10 (corresponding to Key Stage 2) were investigated in six of the studies.

#### Interventions starting before age 7

ES15 Evidence statement: There is weak evidence (Kellam 1998, - USA; Storr 2002, - USA) indicating that school-based interventions that start soon after entry into primary schools and that target behaviour management in the classroom, poor academic achievement, and teacher-parent communication regarding behaviour management may be effective in reducing the uptake of smoking up to age of 14. Evidence for the effectiveness of such interventions beyond this age is lacking.

**Applicability**: Both studies were conducted in the USA during 1980s-1990s. It is not clear whether the evidence is directly applicable to current UK setting.

Two studies (Kellam 1998, - USA; Storr 2002, - USA) tested interventions starting at age 6 (grade 1, first year of elementary school). Interventions tested in both studies focused on management of aggressive and other risk behaviours known to be associated with later substance use but did not directly address smoking. Kellam et al 1998 compared two different interventions to a control of no intervention: the 'Good Behaviour Game' that aimed at preventing and/or better management of aggressive/disruptive classroom behaviour, and 'Mastery Learning' that targeted poor academic achievement. Both interventions were delivered over two years (grade 1 and 2). Follow-up at age 14 found that boys in Good Behaviour Game classrooms had a

significantly lower risk of starting to smoke than boys in control classrooms (RR=0.62, 95%CI 0.40 to 0.97, p=0.04). No significant effect on the initiation of smoking among girls was found (Good Behaviour Game vs control, RR=0.90, 95% CI 0.57 to 1.42). Result for the Mastery Learning intervention showed some non-significant trend risk reduction. Significant reduction in the risk was found only in the subgroup of boys from one of the two cohorts participated in the study (RR=0.46, 95% CI 0.24 to 0.87, p=0.017).

The same research group (Storr et al 2002) subsequently carried out a study comparing a usual control group to two different interventions: a classroom-centred (CC) intervention that built upon both the Good Behaviour Game and Mastery Learning, and a Family-School Partnership (FSP) intervention that aimed at improving school achievement and reducing early aggression, shy behaviour and concentration problems by enhancing parent-teacher communication and providing parents with effective teaching and child behaviour management strategies. Both interventions were implemented over one year (grade 1). Results from follow-up six years later (age 12-13) showed that both interventions reduced the risk of smoking initiation compared to control condition (CC vs control adjusted RR=0.57, 95% CI 0.34 to 0.96, p=0.03; FSP vs control adjusted RR=0.69, 95%CI 0.50 to 0.97, p=0.03). No differential effect between boys and girls was found.

#### Interventions starting between age 7 and 10

ES16 Evidence statement: Evidence regarding the effectiveness of school-based interventions starting between age 7 and 10 is inconclusive. Studies have reported either no significant effect or significant effects immediately post-intervention which diminish over time. Two interventions focusing on smoking prevention demonstrated no significant effects on smoking (Gatta 1991, + Italy; Peterson 2000, ++ USA). Three interventions focusing on drug (substance) use prevention reported either no effect (Ringwalt 1991, + USA); non-significant reduction in smoking prevalence (Schinke 2000, +USA) or significant reduction in smoking prevalence immediately after intervention period that was not sustained at subsequent follow-up (Ennet 1994, -USA). One health promotion program that included a smoking prevention component found no significant effect (Elder 1996, +USA).

**Applicability:** None of the studies were carried out in the UK. Whether the findings are applicable to current UK context is unclear.

Seven studies investigated school-based interventions that started between age 7 and 10 (Elder 1996, + USA; Ennet 1994, - USA; Gatta 1991,+ Italy; Klepp 1994, - Norway; Peterson 2000, ++ USA; Schinke 2000, + USA; Ringwalt 1991, +USA). The focus of the interventions was smoking prevention in two studies (Gatta et al 1991, Perterson et al 2000), drug/substance use prevention in three studies (Ennet et al 1994, Ringwalt et al 1991, Schinke et al 2000), and health promotion that included a smoking prevention component in two studies (Elder et al 1996, Klepp et al 1994). The interventions varied substantially in terms of contents, duration and intensity. Two studies reported results from follow-ups of longer than 10 years from baseline (Klepp et al 1994, Pererson et al 2000).

#### **Smoking prevention programmes**

Peterson et al (2000, ++ USA) conducted the Hutchinson Smoking Prevention Project, a vigorous evaluation of a comprehensive school-based smoking prevention programme based on social influence model. The intervention started at age 8-9 (grade 3) and covered every school year through to age 18. Follow-ups 10-12 years after the start of the programme (with an exceptionally low attrition rate of 7%) showed no significant intervention effect (difference in the prevalence of weekly smoking, intervention versus control: 0.2%, -3.1% to 3.9% at age 18 and -1.3%, -4.1% to 2.0% at age 20).

The intervention tested by Gatta et al (1991, + Italy) was a single day lesson focusing on cigarette smoking and was implemented during aged 9-10 (Gatta 1991). Follow-up at four years showed a non-significant reduction in the risk of being a smoker for intervention group compared to control group (RR=0.92, 0.79 to 1.06).

#### Drug (substance) use prevention programmes

The intervention assessed by Ringwalt et al (1991, + USA) in North Carolina and Ennet et al (1994, -USA) in Illinois was the Project Drug Abuse Resistance Education (DARE), which was a programme that adopted social influences approach and involved 17 weekly classroom sessions delivered by uniformed police officers to students aged between 10 to 12. Ringwalt et al (1991) found the intervention changed students' drug-related attitudes and their assertiveness but did not significantly influence lifetime involvement with cigarette (prevalence changed from 18.2% to 22.3% in the intervention group and from 16.0% to 22.1% in the control group). Ennet et al (1994) found an effect in reducing the initiation of smoking immediately post-intervention (OR=0.63, 0.38 to 1.04). The effect was however not maintained at 12 months (OR=0.93, 0.63 to 1.36) and 24 months (OR=0.99, 0.67 to 1.47).

Schinke et al (2000, + USA) investigated the effectiveness of a Life Skills Training (LST) programme based on social competence approach aiming to prevent substance use including tobacco, alcohol and marijuana. The programme was tailored and delivered to a cohort of Native American youths. Fifteen weekly classroom sessions were delivered to a cohort of Native American children aged between 8 and 11. Cigarette smoking at 42 months was lower in the intervention group compared to control (24.4% vs. 28.6%) but the difference was not statistically significant.

#### Health promotion programme

Elder et al (1996, + USA) conducted the Childhood and Adolescent Trial for Cardiovascular health (CATCH) among a cohort of children aged 8-9 (grade 3). The smoking prevention component of the cardiovascular health promotion programme was delivered during the third year of the programme (grade 5) and included classroom sessions, activities to be completed with parents and promotion of smoking-free policy at school levels. No significant differences between intervention and control groups were found during follow-ups at grades 6, 7 and 8 (prevalence of ever smoking 16.2% vs 15.6% for intervention vs control).

### 3.8.3 Interventions starting in secondary schools

#### Interventions starting between age 11 to 14

ES17 Evidence statement: Forty-six RCTs investigated the effectiveness of school-based interventions that started in secondary schools between ages 11 to 14. Quantitative analysis (see Figure 3:18) indicated that whilst the observed effect for individual RCTs did not achieve statistical significance in most cases, overall the interventions appear to have modest effect in preventing the uptake of smoking. There is significant heterogeneity in the results between studies, indicating that the findings may be specific to the context of individual studies/interventions.

**Applicability**: results from the five UK studies are directly applicable to UK settings. However the smoking prevalence and general social environment with regard to smoking has changed since the studies were conducted hence there remains some uncertainty with regard to the generalisability of the result to current UK context. The applicability of studies carried outside the UK is unclear.

The vast majority (54/62) of included studies investigated interventions that started from age 11 onwards (equivalent to secondary school in England and Wales). Fortysix of these studies evaluated interventions that started between age 11 to 14 (equivalently to Key Stage 3). A few studies conducted in Australia (Armstrong et al 1990; Shean et al 1994), Canada (Abernathy et al 1992; Cameron et al 1999), Norway (Klepp et al 1994) and the USA (Ary et al 1990; Lynam et al 1999) included primary (elementary) school children as some elementary schools in these countries included grades that covered pupils up to age 13-14. The RCTs are Aveyard et al. 2001; (+, UK), Campbell et al. 2008; (+, UK), Nutbeam et al. 1993; (+, UK), De Vries et al. 2006, (-, UK and EU), Gordon et al. 1997 (-, UK), Bond et al. 2004 (+, Australia), Buller et al. 2008b (+, Australia), Armstrong et al. 1990 (-, Australia), Schofield et al. 2003 (-, Australia), Shean et al. 1994 (-, Australia), Cameron et al. 1999 (++, Canada), Abernathy et al. 1992

(+, Canada), Ausems et al. 2004 (-, Netherlands), Chatrou et al. 1999 (-, Netherlands), Crone et al. 2003 (-, Netherlands), Dijkstra et al. 1999 (-, Netherlands), Jøsendal et al. 1998 (++, Norway), Klepp et al. 1994 (-, Norway), Biglan et al. 2000 (++, USA), Buller et al. 2008 (++, USA), Noland et al. 1998 (++, USA), Perry et al. 2003 (++, USA), Botvin et al. 2001 (+, USA), Eisen et al. 2003 (+, USA), Elder et al. 2002 (+, USA), Ellickson et al. 2003 (+, USA), Flay et al. 1995 (+, USA), Hansen & Graham 1991 (+, USA), Johnson et al. 2005 (+, USA), Lynam et al. 1999 (+, USA), Murray et al. 1992 (+, USA), Piper et al. 2000 (+, USA), Spoth et al. 2001 (+, USA), Spoth et al. 2002 (+, USA), Telch et al. 1990 (+, USA), Ary et al. 1990 (-, USA), Botvin et al. 1990a (-, USA), Botvin et al. 1993 (-, USA), Connell et al. 2007 (-, USA), Dent et al. 1995 (-, USA), Elder et al. 1993 (-, USA), Ellickson et al. 1993 (-, USA), Simons-Morton et al. 2005 (-, USA), Snow et al. 1992 (-, USA), Sun et al. 2008 (-, USA), Sussman et al. 2007 (-, USA).

Given the large volume of evidence, the results of five UK studies are summaried below. The remaining studies were not individually summarised but their details can be found in the Evidence Tables in section 5.

#### Summary of UK-based studies

The study by Nutbeam et al (1993, +UK) evaluated the effectiveness of the Family Smoking Education project (FSE), the Smoking And Me project (SAM) and the combination of both (FSE+SAM) compared to no intervention in 39 schools in England and Wales. The FSE targeted first year secondary school pupils (aged 11-12). It focuses on the immediate health impact of smoking on the pupils and included both classroom lessons and a leaflet to encourage parents to reinforce the messages from school and to show disapproval of smoking. The SAM was a British version of the Minnesota smoking prevention program (see Murray et al 1992) and targeted second year secondary school pupils (aged 12-13). It involved pupil-led lessons focusing on the social consequences of smoking and peer, family and media influences on smoking as well as practising skills for managing social situations in which smoking occurs. At 2-year follow-up, there was no significant difference in the percentage of

baseline never smokers who remained never smoking between the four groups (FSE 64.6%, SAM 70.4%, FSE+SAM 69.4%, control 73.9%;  $\chi^2_{adj}$ =6.1, df=3, p=0.1).

The intervention assessed by Gordon et al (1997, -UK) in Cardiff was a combined school and community based intervention, which is further described in section 3.3.2. The school component targeted Year 7 pupils and involved the provision of a booklet and a 'take-home' worksheet developed according to the requirements of the National Curriculum. There was no significant difference between the intervention and control groups in the percentage of non-smoker at 6-month follow-up: intervention 53% (baseline 63%), control 54% (baseline 70%).

Campbell et al (2008, +UK) assessed a school-based intervention among Year 8 (age 12-13) pupils in the west of England & Southeast Wales in the ASSIST (A Stop Smoking In Schools Trial) study. The intervention was led by trained influential students and was carried out over a 10-week period during informal interactions outside the classroom such as on the school bus, during lunchtime, after school and free time. The intervention was effective in reducing smoking uptake (intervention vs control, 1- year OR=0.77, 0.59 to 0.99, p=0.043; 2-year OR=0.85, 0.72-1.01 p=0.067).

Aveyard et al (2001, +UK) evaluated a school-based intervention that focused on both smoking prevention and cessation among Year 9 (age 13-14) pupils in the West Midlands. The intervention was based on the transtheoretical model and included both classroom lessons and computer sessions. The intervention was found to be ineffective in preventing the uptake of smoking (weekly smoking among baseline non-smokers, intervention vs control: 1-year follow-up OR = 1.16, 0.89 to 1.50; 2- year follow-up OR = 1.07, 0.85 to 1.35).

de Vries et al (2006, - Europe) initiated the European Smoking Prevention Framework Approach (ESFA) in six European countries (Denmark, Finland, the Netherlands, Portugal, Spain, UK) in 1997. The programmes under ESFA were developed with the same collective objectives but each country used/developed their own materials and strategies for the intervention. The programmes targeted adolescents in schools, school policies, parents and the communities. Conflicting results were observed between the countries (described in further detail in section 3.6). In the UK no significant difference in the risk of becoming weekly smoker was observed at one-year (intervention vs control, 9.0% vs 9.0% - although the adjusted OR was statistically significant towards the unexpected direction of negative effect, OR=1.27, 1.00 to1.62, p<0.05), two-year (17.7% vs 18.8%, OR 1.00, 0.75 to 1.25, p=0.99) and 30-month (21.2% vs 23.6%, OR=0.91, 0.73 to 1.14, p=0.42).

### Interventions starting from age 14 or later

Seven studies assessed interventions that started after age 14 (equivalent to Key Stage 4 / GCSE onwards).

ES18 Evidence statement: Evidence from seven studies conducted in North America regarding the effectiveness of school-based interventions that start from age 14 or later is inconclusive. One RCT (Sussman et al. 2003 -, USA) reported a significant reduction in the odds of smoking for an educator-led intervention whilst two RCTs (Dent et al. 2001 +, USA; Sun et al. 2006, +, USA) evaluating different versions of the same curriculum reported no significant intervention effect. Four other RCTs reported significant effects either for a specific subgroup (Brown et al. 2000 ++, USA) or for outcomes that may be more relevant to smoking cessation than prevention (Brown et al. 2001 +, USA; Werch et al. 2005 +, USA; Winkleby et al. 2004 +, USA).

**Applicability:** none of the RCTs were conducted in the UK. Some of the studies targeted specific populations such as continuation schools in the USA (Sun et al. 2006; Sussman et al. 2003) and female adolescents who were subject to childhood abuse (Brown et al. 2001). It is unclear whether the evidence is directly applicable to current UK context.

Seven RCTs evaluated school-based interventions that started from age 14 or later (Brown et al. 2002 ++, Canada; Brown et al. 2001 +, USA; Dent et al. 2001 +, USA; Sun et al. 2006 +, USA; Sussman et al. 2003 -, USA; Winkleby et al. 2004 +, USA; Werch et al. 2005 +, USA). Two studies focused on smoking prevention and cessation (Brown et al. 2002; Winkleby et al. 2004). three focused on drug (substance) use prevention (Dent et al. 2001; Sun et al. 2006; Sussman et al. 2003). One study had a broader focus of health promotion incorporating physical activities and alcohol/substance use prevention (Werch et al. 2005) and another focused specifically at reducing negative consequences of childhood abuse (Brown et al. 2001).

#### Smoking prevention and cessation programmes

Brown et al. 2002 (++, Canada) evaluated an extra curricular activity-based intervention that aimed to mobilise staff and students and involve as many students as possible in activities inconsistent with smoking to strengthen non-smoking as a school social norm. The intervention targeted grade 9 students (age 14 to 15) and was implemented during grades 9 and 10. At the end of grade 10 no overall difference in regular smoking was found between the intervention and control groups (24.9% vs 25.7%). Significant lower smoking prevelance was found among male baseline non-smokers (9.8% vs 16.4%, p=0.02) but not female baseline non-smokers (16.7% vs 14.2%, n.s.). It is not clear whether the subgroup analysis was carried out post hoc and no plausible reason for differential effect between male and female was provided.

Winkleby et al. 2004 (+, USA) compared the effectiveness of an advocacy intervention to a modified version of Toward No Drug Abuse curriculum (see Sun et al. 2006) among 11th and 12th grades (age 16 to 18) continuation high school students. Students attended a day-long session for advocacy skills and then developed and implemented their chosen advocacy project relating to smoking prevention in their community. The intervenion significantly reduced the percentage of regular smokers but had no significant effect on the percentage of nonsmokers.

#### Drug use prevention programmes

Three studies evaluated different versions of Towards No Drug Abuse (TND) curriculum (Dent et al. 2001, +; Sun et al. 2006, +; Sussman et al. 2003, -). The TND curriculum adopted a motivation-skills-decision-make model and involved 11 to 15 classroom sessions. One study (Dent et al. 2001) was conducted in regular high schools and two studies (Sun et al. 2006; Sussman et al. 2003) were conducted in continuation high schools. Two of the studies found no statistically significant programme effect on cigarette smoking at follow-ups between one year (Dent et al.

2001; Sun et al. 2006) and up to five years (Sun et al. 2006). Sussman et al. (2003) compared two methods of delivering the curriculum (educator-led versus educator-assisted self-instruction) to a standard care control. The educator-led condition significantly reduced the odds of smoking at 2-year follow-up (OR=0.50, 0.31 to 0.81, p=0.016, one-tailed test and confidence interval) but the self instruction condition did not significantly reduce the odds of smoking (OR=0.88, 95% CI 0.63 to 1.12, one-tailed test and confidence interval).

### Health promotion and other programmes

Werch et al. 2005 (+, USA) assessed the effectiveness of a multihealth behaviour intervention 'Project SPORT', which involved an in-person health behaviour screen, a one-on-one consultation with a trained fitness specialist and a take-home fitness prescription targeting adolescent health promoting behaviours and alcohol use risk and protective factors. At one-year follow-up, youths in the intervention group smoked less frequently than youths in the control group who were given generic alcohol prevention and health promotion booklets and pamphlets (F(1, 509)=8.72, p=0.003), and were less advanced in their stage of initiation cigarette smoking (F(1,423)=12.39, p=0.000).

Brown et al. 2001 (+, USA) evaluated Project Chrysalis, a school-based intervention aiming at reducing the negative consequences (including tobacco use) of childhood abuse among female adolescents with histories of physical, sexual and emotional abuse. At baseline more than 80% of participants already had tried tobacco at least once in their lifetime. The main intervention was school-based support groups run by school counsellors in collaboration with therapists from a community-based child abuse treatment agency. Two years following the programme treatment, 62.5% of participants who attended >11 activities reported less likely to try tobacco compared to 27.3% among those attended <11 activities and 30% among those in the control group (statistical significance not given).

#### 3.8.4 Sex

**ES19** Evidence statement: There is conflicting evidence of differential effect of intervention according to the sex of the target audience. There is moderate evidence that sex is an important predictor of post-test smoking, but direction of effect (either in male or female student) is inconclusive. Furthermore, association of sex with smoking prevalence depends on how the outcome was measured. One recent study (Campbell et al. 2008 +, UK) found no significant difference in effectiveness of school-based intervention among male and female students. Another study (Peterson et al. 2000 ++, USA) provided no evidence of Hutchinson Smoking Prevention Project impact on the prevalence of daily smoking, either for girls or for boys. Three studies (Brown et al. 2002 ++, Canada; Abernathy & Bertrand 1992 +, Canada; and Kellam & Anthony 1998 -, USA) demonstrated that the intervention was more effective among male students; while only one study (Shean et al. 1994 -, Australia) found that both teacher-led and peer-led programmes reduced the taking up of smoking by girls to about the same degree. There was also conflicting evidence from nine studies whether sex was an important predictor of post-test smoking. Only one study (Chatrou et al. 1999 -, The Netherlands) provided evidence that sex was not associated with post-test smoking. Two studies (Johnson et al. 2005 +, USA and Simons-Morton et al. 2005 -, USA) found that female students were more likely than male students to have reported smoking at follow-up and only one study (Schofield, Lynagh, & Mishra 2003 -, Australia) found that boys were less likely than girls to have reported smoking at follow-up. Yet, three studies (Elder et al. 1996 -, USA; Sussman et al. 2003 -, USA; Ausems et al. 2004 -, The Netherlands) revealed that males were more likely to be a smoker than their female counterparts. Another two studies (Elder et al. 2002 +, USA and Gatta et al. 1991 +, Italy), demonstrated that compared to male students, female students were less likely to have used tobacco.

**Applicability:** Most of the studies were conducted in the USA. It is not clear if these findings are directly applicable to the UK since the interventions under investigation are specific to the USA. Furthermore, demographics of the participants are different from those in the UK. Campbell et al. 2008 is likely to be directly applicable.

Several studies discussed sex based differences in the effectiveness of school-based prevention programme. Six studies (two ++, two +, and two -) specifically conducted sub-group analyses to determine whether there is evidence of differential effects according to sex of the target audience. The findings from these studies were not consistent. Three studies found that intervention was more effective among male students. It turned out in another study the exact opposite was the case. Evidence from one recent trial (Campbell et al. 2008 +, UK) provided no evidence of the intervention having a differential effect according to sex of the students (ratio of odds ratio = 0.90; 95% CI 0.72 to 1.13). Yet another study (Peterson et al. 2000 +++, USA) provided no evidence of Hutchinson Smoking Prevention Project impact on the prevalence of daily smoking, either for girls or for boys. In one three-arm study (RCT -, Australia) that randomized students to either peer-led programme, teacher-led programme or control condition suggests that educational programmes had a lasting preventive effect on taking up of smoking by girls (Shean et al. 1994). The study found that both teacher-led and peer-led programmes continued to reduce the taking up of smoking by girls to about the same degree after one and two year follow-up. Whereas in boys, the teacher-led programme appeared to be effective after one year but neither programme was effective after two years.

Three studies (one ++, Canada; one +, Canada; and one -, USA) provided evidence that a school-based programme tended to be effective only for male students. For example (Brown et al. 2002), one study (++, Canada) found that after two years follow-up never smokers and regular smoking rates were significantly lower for males from

intervention schools (9.8% versus 16.2%, p=0.02). There were no significant differences in smoking rates for females. Another study (+, Canada) that evaluated Peer Assisted Learning (PAL) prevention programme suggested that the programme has some use in preventing the uptake of tobacco use among young males and that the efficacy with female was negligible (Abernathy & Bertrand 1992). In this study, students were followed yearly for three consecutive years. Across each of these three follow-ups, males who had been exposed to the complete PAL programme were significantly more likely to report that they had never smoked than were males in the control group (Post-test 1 ( $\chi^2$ =14.06, df=1, p<.001); Post-test 2 ( $\chi^2$ =5.07, df=1, p<.05); and Posttest 3 ( $\chi^2$ =6.36, df=1, p<.05)). However, comparable effects for females were nonsignificant across all testings (Post-test 1 ( $\chi^2$ <1, df=1, ns); Post-test 2 ( $\chi^2$ <1, df=1, ns); and Post-test 3 ( $\chi^2$ <1, df=1, ns)). Yet, another study (Kellam & Anthony 1998 -, US) provided evidence that smoking initiation was reduced for boys assigned to behavioural intervention. Kellam and Anthony conducted a cluster RCT to examine whether The Good Behavioural Game aimed at aggressive/disruptive classroom behaviour and poor academic achievement would reduce the incidence of smoking initiation. Two consecutive cohorts of first grade children were recruited. The study found that boys in Good Behaviour Game classrooms had a lower risk of starting to smoke than boys in control classrooms (estimated relative risk [RR] = 0.62; 95% CI 0.40 to 0.97). The estimated risks for girls in Good Behaviour Game classrooms and control classrooms were essentially the same (RR=0.90; 95% CI 0.57 to 1.42).

Seven studies examined whether sex of the students was an important predictor of post-test prevalence of smoking. Most of the studies provided evidence that female students were less likely to have reported smoking than their male counterparts. Chatrou and colleagues (-, The Netherlands) found regardless of the length of follow-up, there was no significant difference in the prevalence of smoking among boys and girls (Chatrou et al. 1999): immediate post-test (OR=0.94; 99% CI 0.36 to 2.42); 6-month follow-up (OR=0.75; 99% CI 0.39 to 1.45); and 18-month follow-up (OR=0.78; 99% CI

0.44 to 1.35). One study (Johnson et al. 2005 +, USA) provided evidence that sex will affects smoking prevalence depending on how the outcome was measured. This study found that female students were more likely to have reported past-month smoking (OR=1.69; 95% CI 1.03 to 2.77). The association between sex and prevalence of never smokers, however, was not significant (OR=0.88; 95% 0.69 to 1.14). Yet another study (-, USA), analyzed effects of the Going Places Programme on early adolescent substance use and antisocial behaviour using latent growth curve modeling (Simons-Morton et al. 2005). In this study, sex of the study was considered an added growth factor in the model. The study revealed that girls progressed relatively faster than boys in smoking. Another study (Schofield, Lynagh, & Mishra 2003 -, Australia) provided evidence that male students were about 45% less likely to have smoked in the last 7 days (OR=0.55; 95% CI 0.35 to 0.87). Three studies found that male students were more likely to have reported tobacco use: Sussman et al. 2003 (-, USA OR=1.94; 95% CI 1.14 to 3.33); Ausems et al. 2004 (-, The Netherlands OR=1.81; 95% CI 1.26 to 2.59); and Elder 1996 (+, USA OR=1.45; 95% CI 1.14 to 1.85). Similarly, two other studies provided evidence that female students were less likely to have reported tobacco use: Elder et al. 2002 (+, USA OR=0.72; 95% CI 0.55 to 0.95) and Gatta et al. 1991 (+, Italy RR=0.84; p<0.05)

## 3.8.5 Sexual orientation

**ES20 Evidence statement:** There was no evidence about sexual orientation of participants and the impact of the interventions

## 3.8.6 Ethnicity

**ES21 Evidence Statement:** There is moderate evidence that the ethnicity is an important predictor of smoking behaviour, such that white students were less likely to be smokers. Similarly, there is moderate evidence that the observed association between ethnicity and smoking behaviour depend on how the outcome was measured.

Four studies (two +, USA and two - USA) specifically studied whether ethnicity is an important factor in predicting post-test smoking among students exposed to school-based smoking prevention programmes. Only one study (Simons-Morton et al. 2005 (-), USA) demonstrated no association between ethnicity and smoking status. Three studies found that ethnicity was important factor in predicting posttest smoking behaviour. For example, one study (Elder 1996 (+), USA) provided evidence that white students were less likely to be classified as smoker. Two studies (Johnson et al. 2005 (+), USA and Elder et al. 1993 (-), USA) revealed that ethnicity affects smoking prevalence depending on how the outcome was measured. One multi-country study (de Vries et al. 2006 -, EU) in six European countries, provided evidence that in The Netherlands there was differential significant effects for adolescents with a Dutch and non-Dutch origin. The Dutch ESFA programme was effective for non-native adolescents with fewer new weekly smokers compared to new weekly smokers in the control group. An opposite effect was found in native Dutch adolescents with more new weekly smokers in the experimental compared to new smokers in the control group.

**Applicability:** None of the studies were conducted in the UK. It is not clear if the USA/EU findings are directly applicable to the UK since the school-based prevention programmes under investigation are specific to USA. Furthermore, demographics of the participants are different from those in the UK.

Four studies (two +, USA and two - USA) specifically studied whether race or ethnic group is an important factor in predicting post-test smoking among students exposed to a school-based smoking prevention programme. One study (-, USA) using data from the Going Places Programme, that included classroom curricula, parent education, and school environment components, demonstrated that ethnicity was not a significant predictor of growth factor in smoking state (Simons-Morton et al. 2005). Three studies found that ethnicity was an important factor in predicting post-test prevalence of smoking. For example, the CATCH (Child and Adolescent Trial for Cardiovascular Health) study demonstrated a statistically significant association between ethnicity and smoking status (Elder 1996). The CATCH study was a USA multistate field trial that examined the effects of school environment, classroom curriculum, and family intervention components in promoting the cardiovascular health among elementary school students. Using Hispanic students as a referent, Caucasian were less likely to be classified as ever smoker (OR=0.66; 95% CI 0.45 to 0.96). African-American students smoked at slightly (but not significantly) higher rates than other students (OR=1.08; 95% CI 0.69 to 1.67).

Elder and colleagues provided evidence that ethnicity affects smoking prevalence depending on how the outcome was measured (Elder et al. 1993). In a subgroup analysis using past month tobacco as the outcome, this study found that statistically significant results were achieved at an individual level only among White students (OR=0.76; p<0.05), although calculated odds ratios were similar for White and Hispanic students (OR=0.77; ns). At school level, there were no statistically significant results for both White (OR=0.72; ns) and Hispanic (OR=0.62; ns) students. However, when past week tobacco use was used as the outcome, this study found that statistically significant results at individual (OR=0.45, p<0.001) and school (OR=0.40; p<0.05) levels was attained for smoking tobacco use among Hispanics but not among Whites and others. Yet another study (+, USA) (Johnson et al. 2005) confirm that the association between ethnicity and post-test smoking depend on how the outcome was

measured. For example, this study found no association between ethnicity and prevalence of ever smokers. When White students was used as a referent, Hispanic students were more likely to have reported past-month smoking (OR=1.69; 95% CI 2.03 to 2.77) and Asian students were less likely to have reported past-month smoking (OR=0.27; 95% CI 0.07 to 0.98). One multi-country study (de Vries et al. 2006 -, EU) in six European countries, also provided evidence of the intervention having a differential effect according to ethnicity of the students. The European Smoking Prevention Framework Approach (ESFA) provided evidence that, in The Netherlands, there were differential significant effects for adolescents with Dutch and non-Dutch origins. The Dutch ESFA programme was effective for non-native adolescents (N = 289) with 11.4% new weekly smokers compared to 19.9% new weekly smokers in the control group (OR=0.34; 95% CI 0.15 to 0.78). An opposite effect was found in native Dutch adolescents (N = 2012) with 19.0% new weekly smokers in the comparison group compared to 24.0% new smokers in the experimental group (OR=1.29; 95% CI 1.02 to 1.63).

## 3.8.7 Baseline risk factors (high risk groups)

**ES22 Evidence statement:** There is no conclusive evidence about the variability of programme effectiveness in high risk individuals.

Josendal et al. 1997 (++, Norway) showed positive effects of a school-based intervention at six months in certain high risk groups. Snow et al. 1992 (-USA) provided evidence that students from single parent households were less likely to have been positively affected by the intervention than those from two-parent households. The following factors were also found to be associated with post-test smoking: Attitudes and smoking habits of family (Armstrong et al. 1990 -, Australia; Elder 1996 +, USA and Chatrou et al. 1999 , The Netherlands) attitudes and smoking habits of peers, (Armstrong et al. 1990 -, Australia; Chatrou et al. 1999 -, The Netherlands; Elder 1996 +, USA and Schofield, Lynagh, & Mishra 2003 -, Australia) tobacco advertising (Armstrong et al. 1990 -, Australia), availability of cigarettes at home (Elder 1996 +, USA) involvement of students at school (Schofield, Lynagh, & Mishra 2003 -, Australia) baseline smoking status (Chatrou et al. 1999 -, The Netherlands and Schofield, Lynagh, & Mishra 2003 -, Australia) and future smoking intentions (Armstrong et al. 1990 -, Australia).

Campbell et al. 2008 (+, UK) found no evidence that intervention had a more beneficial effect on students who were identified as occasional, experimental or exsmoker at baseline.

**Applicability:** Most of the studies were conducted in the USA. It is not clear if these findings are directly applicable to the UK since the interventions under investigation are specific to the USA. Furthermore, demographics of the participants are different from those in the UK. Only study Campbell and colleagues is likely to be directly applicable.

It may be anticipated that programmes for the prevention of smoking will be less effective in students considered to be 'high risk'. For example, those with parents/siblings/friends that smoke, those of lower educational attainment etc. Many studies provide data on the relationship between smoking risk factors and rates of baseline/follow-up smoking. Attitudes and smoking habits of family (Armstrong et al. 1990 -, Australia; Elder 1996 +, USA and Chatrou et al. 1999 , The Netherlands) attitudes and smoking habits of peers, (Armstrong et al. 1990 -, Australia; Chatrou et al. 1999 -, The Netherlands; Elder 1996 +, USA and Schofield, Lynagh, & Mishra 2003 -, Australia) tobacco advertising (Armstrong et al. 1990 -, Australia), availability of cigarettes at home (Elder 1996 +, USA) involvement of students at school (Schofield, Lynagh, & Mishra 2003 -, Australia) baseline smoking status (Chatrou et al. 1999 -, The Netherlands and Schofield, Lynagh, & Mishra 2003 -, Australia) and future smoking intentions (Armstrong et al. 1990 -, Australia) were related to rates of baseline/follow up smoking. Although these factors may act as influencers of student smoking status at any particular time, they do not necessarily act to influence programme effectiveness i.e. although baseline and end point rates may be higher, programmes may give similar risk reductions in high or low risk children.

A study of secondary school students showed positive effects of a school-based intervention at six months in certain high risk subgroups. (Josendal et al. 1997) The main intervention (there were also 2 other interventions) was significantly effective compared to the control in high sensation seekers (p<0.05), those with high outcome expectancies (did not think smoking would have big negative effects) (p<0.001) and those who reported having at least one parental smoker (p<0.05).(Josendal et al. 1997) For those who might be considered at low risk of smoking (low sensation seekers, low smoking outcome expectancies and no parental smoking) there was no significant intervention effect compared to the control.

Generally, caution should be taken in interpretation of subgroup analysis, however, in this case, subgroup analysis does not appear to have been solely post-hoc since questionnaires relating to sensation seeking, outcome expectancy and parental smoking were administered prior to intervention and analysis. At further follow-up, results for high risk groups for this study were not presented (Josendal et al. 2004) suggesting that these effects may not have been observed in the long-term.

In another study, where schools intervention reduced net smoking prevalence at two years, (Snow 1992) there was a significant interaction between intervention group and parental marital status (F=3.46 (2,1060), p<0.04) i.e. students from single parent households were less likely to have been positively affected by the intervention than those from two-parent households. Authors suggest that students from single parent families may be more likely to be absent from school and may have received less exposure to the intervention. (Snow 1992). At four years, although intervention again reduced rates of smoking compared to control, the interaction between parental marital status (F=4.07(1,623), p<0.03) was in the opposite direction i.e. the intervention was likely to be *more* effective in students from single-parent households. (Snow et al. 1997) In this later paper, authors suggest that the reason for this finding may be that, in this high risk group, where drug use rates may increase more quickly, intervention may have more opportunity to take effect compared to lower risk groups (authors do not cross refer to the previous study). (Snow et al. 1997)

These observations suggest the importance of caution in the interpretation of subgroup analysis and indeed in the interpretation of any individual study. In this case, despite significant trends in specific studies, there does not appear to be conclusive evidence about the variability of programme effectiveness in high risk individuals. Trends may be random, changeable and dependent on many external factors and should be interpreted in the context of wider knowledge and literature review.

### 3.8.8 Socioeconomic status

**ES23 Evidence statement:** One RCT (Campbell et al. 2008; + UK) found no association between the students' socioeconomic status and programme effect.

**Applicability:** This UK RCT is directly applicable to UK context.

The results of study (+, UK) conducted by Campbell and colleagues provided no evidence of the intervention having a differential effect according to the socioeconomic status of the students. The RCT used percentage of students entitled to free school meal as proxy for socioeconomic status. There was no statistically significant difference in the odds of smoking among students from low (OR=0.79; 95% CI 0.60 to 1.03) and high (OR=0.78; 95% CI 0.57 to 1.07) socioeconomic status. Similarly, the difference between these two subgroups was not statistically significant (ratio of odds ratio = 0.99; 95% CI 0.65 to 1.51).

# 3.9. Are there any adverse or unintended effects (negative) of the intervention?

ES24 Evidence statement: There is limited evidence on adverse or unintentional effect of school-based prevention of smoking uptake. No studies specifically examined adverse or unintentional effects of school-based smoking prevention programmes. One multi-country study (de Vries et al. 2006 -, EU) in six European countries found that adolescents in The Netherlands exposed to school-based smoking prevention programme were more likely to be a regular smoker than those in control condition. Piper, Moberg, & King 2000 (+, USA) provided evidence that age-appropriate intervention emerged as marginally harmful over the control condition.

Applicability: It is not clear if findings are directly applicable to UK

No RCTs specifically examined adverse or unintentional effects of school-based smoking prevention programmes. Piper, Moberg, & King 2000 (+, USA) provided evidence that age-appropriate intervention emerged as marginally harmful over the control condition. This programme (The Healthy for Life Project) was designed to positively influence the health behaviours of middle school students in five related areas: tobacco, alcohol, marijuana use, nutrition, and sexuality; and four social networks influencing adolescent behaviour were addressed: school, peers, family, and community. Students were randomized to one of the three experimental conditions: age appropriate, intensive, and control conditions. In unadjusted analysis, those in the schools receiving age-appropriate condition were more likely than other group to smoke (age appropriate (36%), intensive (28%), and control (30%); significantly different at p<0.01). However, with other factors controlled for statistically, age appropriated was marginally harmful over control condition (coefficient (SE) = 0.41(0.20); p<0.10).

Another study (de Vries et al. 2006 -, EU), The European Smoking Prevention Framework Approach (ESFA) provided evidence that school-based smoking prevention could be harmful. In this study, in The Netherlands, more adolescents smoked regularly in experimental condition than in the control condition after 24 (OR=1.39; 95% CI 1.10 to 1.76) and 30 (OR=1.24; 95% CI 1.01 to 1.63) months.

## 3.10. Barriers and facilitators – Quantitative data

#### **Barriers**

**ES25 Evidence statement:** An obvious barrier to interventions may be poor student attendance so that interventions, regardless of their value, will fail to have positive effects. In one RCT, a dose-response relationship was observed between programme participation and changes in smoking status.

#### **Facilitators**

**ES26 Evidence statement:** In one RCT, engagement with the intervention (reported programme interesting/very interesting and useful) was shown to be related to follow-up smoking status; those engaging being less likely to be smokers at 1 year.

Included RCTs had some information relating to potential barriers and facilitators to programme implementation and effectiveness. Student participation and engagement and the fidelity of programme implementation may act as barriers or facilitators to the effectiveness of intervention programmes.

## 3.10.1 Participation

An obvious barrier to these types of interventions may be poor student attendance so that interventions, regardless of their value, will fail to have positive effects. In one study, a dose-response relationship was observed between programme participation and changes in smoking status.(Buller et al. 2008)

If the numbers of students randomised is considered to represent total numbers of eligible students and baseline data collection is taken as a proxy for average class attendance, the approximate proportion of pupils attending intervention classes may be calculated (where data is available). For studies in the current review, this calculation showed that, on average, 81.6% (SD 12.7%) of students can be estimated to have attended intervention classes. This may suggest that low student participation was not a major barrier to programme effectiveness.

Participation may continue to be an issue if the types of students who do not attend classes are those at high risk of smoking initiation/continuation. For example, the study by Aveyard et al.(Aveyard et al. 1999) found that smokers were less likely to attend classes compared to non-smokers. Participation for these children may be particularly important and for consideration in schools-based intervention.

## 3.10.2 Engagement

In one study, (Aveyard et al. 2003) engagement with the intervention (reported programme interesting/very interesting and useful) was shown to be related to follow-up smoking status, those engaging being less likely to be smokers at 1 year (OR 0.74, CI 0.68-0.82, p<0.001) and 2 years (OR 0.81, CI 0.74-0.88, p<0.001). To account for possible confounding effects, investigators adjusted for gender, ethnicity, age, parental, sibling and best friend smoking, socio-economic deprivation, contact with

parents and baseline smoking status and conclude that confounding was unlikely to be an explanation for the observed effect.

Overall, this intervention programme was not effective. (Aveyard et al. 2001) It is therefore unlikely that positive programme effects were related to student engagement with the programme since this would also mean assigning any negative programme effects to non-engagement i.e. non-engaged students were more likely to take up smoking than those not taking part in the programme. What may be more likely is that, in agreement with study investigators, disengagement with the programme was a marker for general disengagement with school and the authors identify school disengagement as a possible independent risk factor for smoking. They suggest that, in these type of pupils, even generally effective programmes may not be successful and a non-didactic, pupil engaging approach may be more effective. (Aveyard et al. 2003)

## 3.10.3 Fidelity of intervention

The degree to which a given intervention is implemented as per the stated protocol may have some impact on programme effectiveness. In one educational intervention study, after adjusting to account for multiple statistical testing, programme integrity was shown to be related to knowledge of resistance skills and to the acceptability of the programme. (Hansen et al. 1991) In a substance abuse prevention intervention, field staff judged that many teachers had not implemented the programme with fidelity to the protocol (Botvin et al. 1990b) and only 37% (372/998) of pupils were considered to have received the intended intervention. In the restricted sample, positive programme effects were observed whereas, in the whole sample there were no significant effects on substance use.

In a study by Abernathy et al., investigators judged that, many teachers had not taught all (six) intervention lessons properly and only 40% (488/1180) of students were assessed to have been exposed to each intervention class.(Abernathy & Bertrand 1992) Analysis was based on only the 40% receiving all intervention lessons and showed positive programme effects in boys but these effects were not observed in those assessed to receive incomplete intervention.

In these studies, sub-group analysis should be interpreted with care, especially since there is no evidence to show that the process of designating 'properly implemented' classes was blinded. However, findings suggest that programme fidelity may be an important factor for programme effectiveness.

A number of studies report process evaluation data, giving details of numbers of classes implemented, the amount of course material covered, attendance levels and the general acceptability of classes. Although some studies suggested that course material was not properly taught (Botvin et al. 1990a; Botvin et al. 2001) in most, the majority of project material was deemed to have been covered in classes (Ausems et al. 2004; Dent et al. 1998; Dijkstra et al. 1999; Longshore et al. 2007; Simons-Morton et al. 2005a) and, in studies reporting the information, classes were thought to have run smoothly.(Ausems et al. 2004; Dent et al. 1998; Simons-Morton et al. 2005a)

The fidelity of programme implementation will inevitably depend on the workload and circumstances of teachers or external staff. Proper support and discernment as to the limitations of teachers' capacity may improve planning and the degree of proper programme implementation.

## 4. Discussion

## 4.1. Summary of results

Sixty-four RCTs (including 53 cluster RCTs) were included, having between 500 and 17,446 participants and follow up between 6 months and 13 years. Additionally, 81 controlled before-and-after studies (non-randomised controlled trials) were found of which 37 met all the selection criteria except for the study design. These studies were not included given the large volume of RCTs available. There was a wide variety of school-based interventions described in the studies; six also included the family, two included the community and three included family, community and mass-media interventions. Ten RCTs had more than one intervention group. All except four RCTs had comparators of usual education. Smoking outcomes reported included weekly or monthly smoking rates, never smoking children becoming occasional or regular smokers, smoking initiation, lifetime involvement in cigarettes and smoking onset rates. The main findings from the systematic review are as follows:

- 1. Are any school-based interventions more effective than usual practice, minimal or no intervention? This category includes aspects of study design that can influence the apparent effectiveness results seen. Findings include the following:
  - There is strong evidence from subgroup analysis that interventions show more pronounced effectiveness in studies with lower quality (as measured by ++, + and – grades).
  - There is no evidence of the intervention having a differential effect according to
    whether a study used biochemical validation or not. Evidence from subgroup
    analysis shows that the intervention does not have a more pronounced effect
    when self-reported smoking behaviour was validated using biochemical

- methods (by saliva thiocyanate or cotinine or expired air carbon monoxide levels) compared to questionnaire completion only.
- There is good evidence about the differential effect according to type of outcome measures (prevalence of regular or experimental smoking). Results from 16 RCTs that used prevalence of regular smokers provided evidence that interventions may be effective in reducing smoking uptake among children. However, pooled result from 10 RCTs that used experimental smoking as the main outcome also found that interventions could be marginally effective in preventing smoking uptake. Programmes that used prevalence of regular smoking tended to produce statistically significant results but the size of combined effect was very similar to that for programmes that used experimental smoking as an outcome measure. The main difference between the two was the width of the confidence intervals, giving one as statistically significant but not the other, so this difference may be a statistical artefact.
- There is good evidence of the intervention having a differential effect according
  to the way the results were presented. It may be that adjusted results tended to
  produce more significant programme effectiveness, i.e. when RCTs adjusted
  for potential confounders such as baseline smoking rates, sex, and
  socioeconomic status. However, many of the studies with adjusted results
  were of low quality.

#### 1a. When appropriate interventions can be compared, which are most effective?

There is conflicting evidence about the effectiveness of different conceptual
models of school-based prevention programmes (social influence, social
competence, information giving and combined interventions) and the
interventions in many RCTs were not effective in preventing or delaying
uptake of smoking in comparison with no programmes or in comparison to
other forms of prevention programmes. Therefore there is no clear evidence to
suggest that any particular conceptual model intervention is more effective

than any other conceptual model intervention compared usual education. There is evidence from 15 RCTs (two ++, Canada; two ++, USA; three +, UK; four +, USA; one -, Norway; one -, The Netherlands; and two -, USA) that social influence curricula may be effective in preventing smoking but the size of effect is small. Four RCTs (three -, The Netherlands and one -, USA) provided evidence that information giving curricula may be effective with a larger effect size. Social competence (one -, UK) and combined (one +, USA and three -, USA) curricula detected no difference in smoking prevalence between those students in experimental and control conditions. These results may be confounded by RCT quality.

- There is moderate evidence indicating that multi-component interventions incorporating both school and community components (with or without an additional family component) are ineffective in preventing the uptake of smoking compared to usual education. Five RCTs provided evidence comparing a multi-component intervention that incorporates both school and community components to usual education: Sun et al. 2006 (+, USA), Piper et al. 2000 (+, USA), Schoinke et al. 2000 (+, USA), Schofield et al. 2003 (-, Australia), Gordon et al. 1997 (-, UK). Four of the studies (Gordon et al. 1997, Schinke et al. 2000, Schofield et al. 2003, Sun et al. 2006) found no significant difference between the multi-component intervention group and the usual education group during a maximum follow-up between 6 months (Gordon et al. 1997) and 5 years (Sun et al. 2006). One study (Piper et al. 2000) found no difference at 3-year follow-up and small, marginally significant positive or negative intervention effects (depending on the school component) at 4-year follow-up.
- There is inconclusive evidence as to the effectiveness of interventions
  incorporating both school and family components in preventing the uptake of
  smoking compared to usual education. Thirteen RCTs provided evidence
  comparing interventions that incorporate both school and family components

to usual education: Storr et al. (-, USA), Elder et al. 1996 (+, USA), Nutbeam et al. 1993 (+, UK), de Vries et al. 2006 (-, EU + UK), Perry et al. 2003 (++, USA), Elder et al. 2002 (+, USA), Spoth et al. 2001 (+, USA), Ary et al. 1990 (-, USA), Spoth et al. 2002 (-, USA), Connell et al. 2007 (-, USA), Simons-Morton et al. 1996 (-, USA), Piper et al. 2000 (+, USA) and Schofield et al. 2003 (-, Australia). Three of the RCTs (Simons-Morton et al. 1996, Storr et al. 2002 and Spoth et al 2001) found a significant positive effect of family and schools intervention compared to usual education. Nine RCTs (Elder et al. 1996, Nutbeam et al. 1993, Piper et al. 2000, Schofield et al. 2003, de Vries et al. 2003, Ary et a. 1990, Connel el a. 2007, Elder et al. 2002 and Spoth et al. 2002) found showed no significant difference between family and schools intervention and usual education. One RCT showed a significant effect in boys but not girls (Perry et al. 2003).

## 1b. Are the interventions delaying rather than preventing the onset of smoking?

• There is conflicting evidence whether school-based smoking prevention programmes are delaying rather than preventing smoking uptake in children. Results from Campbell et al. 2008 (+, UK) and Bond et al. 2004 (+, Australia) RCTs suggested an attenuation of programme effect over time. Crone et al. 2003 (-, The Netherlands) and Sussman et al. 2007 (-, USA) also provided evidence that a smoking prevention programme may be delaying smoking uptake. Evidence from Klepp et al 1994 (-, Norway) suggested that school-based education could have a positive short-term impact on smoking behaviour, but that these effects tended to disappear over time. Dent et al. (-, USA) provided evidence that the intervention may be effective in preventing smoking uptake, and, Elder et al. 1993 (-, USA) provided evidence that their school-based education programme tended to have a long-term impact on smoking behaviour. Nutbeam et al. 1993 (+, UK); Peterson et al. 2000 (++, USA); Eisen et al. 2003 (+, USA); Chatrou et al. 1999 (-, The Netherlands); Ennet et al.

- 1994 (-, USA) and Schinke et al. 2000 (+, USA) showed that school-based prevention was not effective in preventing smoking at all follow-up periods.
- There is no robust evidence indicating that any school-based intervention has long-lasting effects beyond school leaving age. One US study (Peterson 2000, ++) demonstrated that a comprehensive smoking prevention programme that adopted social influences approach, started at age 8-9 and continued through to age 17-18 was ineffective when smoking prevalence was measured at age 20. Another US drug prevention programme (Lynam 1999, +) targeting children aged 12-13 also found no significant effect on smoking at age 20.

## 1c. Does effectiveness depend on status of the person (e.g., peer, teacher or external trainer/researcher) delivering it?

• It is not clear whether effectiveness of school-based smoking prevention programme depend on the status of the person delivering it. There is conflicting evidence whether peer-led programmes produced most effective intervention effects on smoking initiation. It is important to note that a peer-led programme may be differentially effective based on how leaders are selected and how groups are formed, and may be curriculum dependent. There is some evidence that teacher-led, health educator-led, and peer-led programme tend to be equally effective. Eight RCTs examined whether effectiveness of schoolbased smoking prevention programmes depend on the status of the person delivering it. One RCT (Campbell et al. 2008 +, UK) showed that effectiveness of peer-led school-based smoking prevention programme was the same as non-peer led programme. Three other studies (one + and two -) provided evidence that peer-led interventions tend to enhance smoking prevention programmes. For example, results from Telch et al. 1990 (+, USA) showed a marked suppression in the onset of both experimental and regular smoking among those students exposed to the resistance training with peer involvement. Similarly, Botvin et al. 1990 (-, USA) found that a cognitivebehavioural approach when carried out by peer-leaders and when additional

boosters are provided can reduce tobacco use. Yet Valente et al. 2006 (+, USA) provided evidence that a peer-led programme will be differentially effective based on how leaders are selected and how groups are formed, and this effect may be curriculum dependent. In one RCT (Ellickson et. al. 1993 -, USA), there was no statistically significant difference in regular smoking rates among students taught by health educators and those taught by adult teachers assisted by older teens. Similarly, Armstrong et al. 1990 (-, Australia) confirmed non-superiority of peer-led programmes to teacher-led programmes. This result was gender-specific. Both the teacher-led and peerled programmes reduced, to about the same degree, the uptake of smoking by girls while only the teacher-led programme appeared to be effective in boys. Cameron et al. 1999 (++, Canada) provided evidence that teachers and nurses were equally effective providers regardless of delivery method. While, Sussman et al. 2003 (-, USA) reported that students exposed to interactive health educator-led interventions were less likely to use tobacco compared those not exposed to health educator-led instruction.

#### 1d. Does site/setting influence effectiveness?

• Evidence shows that site or setting may influence effectiveness. One UK RCT tended to have had a more significant effect in rural schools. Otherwise, there is conflicting evidence of interventions having a differential effect according to location (rural or urban) or country of the study. Evidence from one RCT (Campbell et al. 2008; +, UK) indicated that students from schools located in the South Wales were less likely to be regular smokers. Another RCT (Sussman et al. 1993 -) conducted in USA found that trial of cigarette smoking use was higher in the rural schools than in the urban schools. Weekly use of tobacco products did not differ by place of residence. Yet another study (Elder 1996; +, USA) found that Louisianans were more likely to be ever smokers than students from Texas State. Noland et al. 1998 (++, USA) provided limited evidence of significant treatment effects for 30-day, 7-day, and 24-hour

smoking for those involved in smoking. One RCT (Ausems et al. 2004 -, The Netherlands) specifically compared in-school and out-of-school smoking prevention. These RCTs found that smoking initiation was lowest in the out-of-school and highest among students in control condition. The European Smoking Prevention Framework Approach (ESFA) found evidence of the intervention differential effect according to the location (country) of the study (de Vries et al. 2006 -, EU). ESFA was effective in prevention uptake of smoking Spain, Finland, and Portugal and ineffective in Denmark and UK. ESFA showed more smoking in the intervention group in The Netherlands. Our planned subgroup analyses provided of evidence of no differential effect according to the country.

## 1e. Does effectiveness depend on the intensity of the intervention?

• There is clear evidence that the addition of booster sessions enhanced effectiveness of main programmes. Four studies (one ++ and three -) analysed effectiveness of booster sessions. Evidence from Perry et. al., 2003 (++, USA) suggests that addition of booster sessions significantly enhanced the effectiveness of the main programme and was more effective than the delayed programme controls. Dijkstra et. al., 1999 (-, USA) found that boosters can be an effective tool for maintaining or increasing the effectiveness of smoking prevention programmes. Botvin et. al., 1990(a) (-, USA) revealed that addition of booster sessions to cognitive-behavioural approach can reduce tobacco use. Another study (Eckhardt et. al., 1997 -, USA) showed that continued intervention students reported significantly less smoking than lapsed intervention and continued control students.

## 1f. How does effectiveness vary according to the age, sex, ethnicity, sexual orientation, baseline risk factors or socioeconomic status of the target audience?

 It is not clear whether the age of the target audience has any impact on effectiveness of school-based prevention of smoking. There is inconclusive evidence whether the effectiveness of interventions depend on the age at which students were recruited and the age of students at maximum follow-up. There is conflicting evidence that age is an important predictor of smoking in school-based prevention programmes. Three studies (Dijkstra et al. 1999 (+, USA); Gatta et al. 1991; (+, Italy) and Ausems et al. 2004; (-,The Netherlands)) found that the risk of smoking increased linearly with increasing age of the participants. Three studies (Johnson et al. 2005; (+, USA); Elder et al. 2002; (+, USA); and Chatrou et al. 1999; (-, The Netherlands)) found no significant association between age and prevalence of smoking. One particular study (Ausems et al. 2004; (-,The Netherlands)), found that this associated diminished and became non-significant with longer duration of follow-up. Contrary to this finding, another study (Chatrou et al. 1999; (-, The Netherlands)) found that age did not predict prevalence of smoking regardless of duration of follow-up. We found inconclusive evidence whether effective of intervention depend on the age at which students were recruited and age of students at maximum follow-up. There is limited evidence from subgroup analyses revealed that intervention may be effective when students were recruited at 11 or 12 years old, and when students were 14 or 16 years at maximum follow-up.

- There is weak evidence (Kellam 1998, USA; Storr 2002, USA) indicating that school-based interventions that start soon after entry into primary schools and that target behaviour management in the classroom, poor academic achievement, and teacher-parent communication regarding behaviour management may be effective in reducing the uptake of smoking up to age of 14. Evidence for the effectiveness of such interventions beyond this age is lacking.
- Evidence regarding the effectiveness of school-based interventions starting between age 7 and 10 is inconclusive. Studies have report either no significant effect or significant effects immediately post-intervention which diminish over

time. Two interventions focusing on smoking prevention demonstrated no significant effects on smoking (Gatta 1991, + Italy; Peterson 2000, ++ USA). Three interventions focusing on drug (substance) use prevention reported either no effect (Ringwalt 1991, + USA); non-significant reduction in smoking prevalence (Schinke 2000, +USA) or significant reduction in smoking prevalence immediately after intervention period that was not sustained at subsequent follow-up (Ennet 1994, -USA). One health promotion program that included a smoking prevention component found no significant effect (Elder 1996, +USA).

- Forty-six RCTs investigated the effectiveness of school-based interventions that started in secondary schools between ages 11 to 14. Quantitative analysis indicated that whilst the observed effect for individual RCTs did not achieve statistical significance in most cases, overall the interventions appear to have modest effect in preventing the uptake of smoking. There is significant heterogeneity in the results between studies, indicating that the findings may be specific to the context of individual studies/interventions.
- Evidence from seven studies conducted in North America regarding the effectiveness of school-based interventions that start from age 14 or later is inconclusive. One RCT (Sussman et al. 2003 -, USA) reported a significant reduction in the odds of smoking for an educator-led intervention whilst two RCTs (Dent et al. 2001 +, USA; Sun et al. 2006, +, USA) evaluating different versions of the same curriculum reported no significant intervention effect. Four other RCTs reported significant effects either for a specific subgroup (Brown et al. 2000 ++, USA) or for outcomes that may be more relevant to smoking cessation than prevention (Brown et al. 2001 +, USA; Werch et al. 2005 +, USA; Winkleby et al. 2004 +, USA).
- There is conflicting evidence of differential effect of intervention according to
  the sex of the target audience. There is moderate evidence that sex is an
  important predictor of post-test smoking, but direction of effect (either in male

or female student) is inconclusive. Furthermore, association of sex with smoking prevalence depends on how the outcome was measured. One recent study (Campbell et al. 2008 +, UK) found no significant difference in effectiveness of school-based intervention among males and females students. Another study (Peterson et al. 2000 ++, USA) provided no evidence of Hutchinson Smoking Prevention Project impact on the prevalence of daily smoking, either for girls or for boys. Three studies (Brown et al. 2002 ++, Canada; Abernathy & Bertrand 1992 +, Canada; and Kellam & Anthony 1998 -, USA) demonstrated that the intervention was more effective among male students; while only one study (Shean et al. 1994 -, Australia) found that both teacher-led and peer-led programmes reduced the taking up of smoking by girls to about the same degree. There was also conflicting evidence from nine studies whether sex was an important predictor of post-test smoking. Only one study (Chatrou et al. 1999 -, The Netherlands) provided evidence that sex was not associated with post-test smoking. Two studies (Johnson et al. 2005 +, USA and Simons-Morton et al. 2005 -, USA) found that female students were more likely than male students to have reported smoking at follow-up and only one study (Schofield, Lynagh, & Mishra 2003 -, Australia) found that boys were less likely than girls to have reported smoking at follow-up. Yet, three studies (Elder et al. 1996 -, USA; Sussman et al. 2003 -, USA; Ausems et al. 2004 -, The Netherlands) revealed that males were more likely to be a smoker than their female counterparts. Another two studies (Elder et al. 2002 +, USA and Gatta et al. 1991 +, Italy), demonstrated that compared to male students, female students were less likely to have used tobacco.

• There is moderate evidence that ethnicity is an important predictor of smoking behaviour, such that white students were less likely to be a smoker. Similarly, there is moderate evidence that the observed association between ethnicity and smoking behaviour depend on how the outcome was measured. Four studies (two +, USA and two - USA) specifically studied whether race or ethnic group is an important factor in predicting post-test smoking among students exposed

to school-based smoking prevention programme. Only one study (Simons-Morton et al. 2005 (-), USA) demonstrated no association between ethnicity and smoking status. Three studies found that ethnicity was important factor in predicting post-test smoking behaviour. For example, one study (Elder 1996 (+), USA) provided evidence that White students were less likely to be classified as ever-smoker. Two studies (Johnson et al. 2005 (+), USA and Elder et al. 1993 (-), USA) revealed that ethnicity affects smoking prevalence depending on how the outcome was measured. One multi-country study (de Vries et al. 2006 -, EU) in six European countries, provided evidence that in The Netherlands there was differential significant effects for adolescents with a Dutch and non-Dutch origin. The Dutch ESFA programme was effective for non-native adolescents with fewer new weekly smokers compared to new weekly smokers in the control group. An opposite effect was found in native Dutch adolescents with more new weekly smokers in the experimental compared to new smokers in the control group.

• There is no conclusive evidence about the variability of programme effectiveness in high risk individuals. Josendal et al. 1997 (++, Norway) showed positive effects of a school-based intervention at six months in certain high risk groups. Snow et al. 1992 (-USA) provided evidence that students from single parent households were less likely to have been positively affected by the intervention than those from two-parent households. The following factors were also found to be associated with post-test smoking: Attitudes and smoking habits of family (Armstrong et al. 1990 -, Australia; Elder 1996 +, USA and Chatrou et al. 1999 , The Netherlands) attitudes and smoking habits of peers, (Armstrong et al. 1990 -, Australia; Chatrou et al. 1999 -, The Netherlands; Elder 1996 +, USA and Schofield, Lynagh, & Mishra 2003 -, Australia) tobacco advertising (Armstrong et al. 1990 -, Australia), availability of cigarettes at home (Elder 1996 +, USA) involvement of students at school (Schofield, Lynagh, & Mishra 2003 -, Australia) baseline smoking status (Chatrou et al. 1999 -, The

- Netherlands and Schofield, Lynagh, & Mishra 2003 -, Australia) and future smoking intentions (Armstrong et al. 1990 -, Australia)
- There was no evidence about sexual orientation of participants and the impact of the interventions
- There is limited evidence that socioeconomic status of the participant had no impact on the effectiveness. There is evidence from one RCT (Campbell et al. 2008; + UK) that there is no evidence association between the students' socioeconomic status and programme effect.

### 1g. Are there any adverse or unintended effects (negative) of the intervention?

• There is limited evidence on adverse or unintentional effect of school-based prevention of smoking uptake. No studies specifically examined adverse or unintentional effects of school-based smoking prevention programmes. One multi-country study (de Vries et al. 2006 -, EU) in six European countries found that adolescents in The Netherlands exposed to school-based smoking prevention programme were more likely to be a regular smoker than those in control condition. Piper, Moberg, & King 2000 (+, USA) provided evidence that age-appropriate intervention emerged as marginally harmful over the control condition.

#### 2. Barriers and facilitators – Quantitative data

- An obvious barrier to interventions may be poor student attendance so that
  interventions, regardless of their value, will fail to have positive effects. In one
  RCT, a dose-response relationship was observed between programme
  participation and changes in smoking status.
- In one RCT, engagement with the intervention (reported programme interesting/very interesting and useful) was shown to be related to follow-up smoking status; those engaging being less likely to be smokers at 1 year.

## 4.2. Strengths limitations and uncertainties

A major strength of this systematic review is that only evidence from RCTs is included. It is acknowledged that there is evidence from non-randomised studies which may have potentially contributed evidence. The volume of RCTs investigating the effectiveness of school-based interventions to prevent smoking with similar outcome measures is considerably larger than most of other public health interventions. Some of the RCTs included in this review were of very high quality and followed up children for over ten years. Non-RCT evidence has a greater potential for bias which would have made accurate interpretation of results far more difficult. It is therefore unlikely that inclusion of non-RCTs in the review would have significantly altered our conclusions.

This evidence review searched and included studies published from 1990 onwards, in accordance with the recommendation from the *Methods for development of NICE public health guidance* (2006). RCTs of school-based interventions that were conducted before or during the 1980s were not included in this review. These studies were carried out more than two decades ago, and thus their generalisability to current situation is questionable. It is also anticipated that the findings and experiences from early RCTs would have been used to inform the design and conduct of later RCTs included in this review. Indeed this was mentioned in the manuscripts of most of the included RCTs. Therefore focusing the review on more recent literature is unlikely to have missed conceptual frameworks and/or important components of school-based interventions that have been shown to be potentially effective. The possibility of missing some evidence regarding what did not work, however, cannot be ruled out.

## 4.2.1 Limitations

The limitations in the conduct of the systematic review need to be distinguished from the limitations in the evidence base.

Approximately half of the RCTs included more than one intervention arms, which potentially allowed direct comparison between the effectiveness of different schoolbased interventions or different ways of delivering/implementing these interventions. Given the relatively small (if any) overall intervention effects observed among individual studies, it is likely that most studies were insufficiently powered to detect potentially important difference in effects between different school-based interventions or different ways of delivery/implementation. Findings based on statistical significance of separate comparisons between intervention and control groups (e.g. concluding two interventions have differing effects based on p<0.05 for one intervention compared to control whilst P>0.05 for another intervention compared to control without confirmation by an appropriate statistical test between the interventions) need to be treated with great caution as chance alone may account for such findings. The same caution applies to differences observed between subgroups defined by study participants' characteristics or other factors. As expected smoking outcomes were measured and presented differently in the RCTs. This has restricted the possibility of graphically summarising study findings for all studies and has also introduced another source of heterogeneity when quantitative data from different studies are compared or combined. For this review which focuses on the prevention of uptake of smoking, further difficulties were encountered as the majority of studies did not analyse/report findings for baseline non-smokers separately. Using overall prevalence to calculate potential uptake by subtracting baseline prevalence from post-intervention involves assumptions that baseline smokers remain as smokers and all increases in prevalence are due to uptake postbaseline. The validity of such an assumption and its impact on the size of estimated effect may need further exploration.

The results section includes subgroup analyses of RCTs. These analyses, particularly when conducted post-hoc, may produce spurious results due to the increased chance of false positive findings (concluding there is a significant difference when in fact there is not). If multiple subgroup analyses are carried out, particular attention is paid to ascertain whether the subgroup analyses were planned in advance, whether

appropriate statistical methods (e.g. 'test for interaction') have been used and where a difference is found, whether the plausibility of the finding is discussed.

One exception to the above is the sub-section where the effectiveness of various interventions is examined in relation to the age of the children when the interventions started. It is generally recognised that an intervention needs to be tailored to suit the age of its target population, therefore few studies have applied the same intervention to different age groups and compared the effectiveness between different age groups. Instead the main question to be answered for that sub-section is whether interventions started at younger ages is more or less effective compared to interventions started at older ages.

A major limitation of the systematic review is publication bias. The search strategy agreed with NICE had the requirement to only include English language papers. As a result we do not know if we have missed RCTs published in France, Germany or the Netherlands that would be very generalisable to the UK. The systematic review has included one RCT conducted in Native Americans which has very limited generalisability to the UK context. We also excluded studies with sample size less than 500. Findings from leave-one-out sensitivity analyses demonstrated that the studies with larger sample sizes had no undue influence on the pooled estimates. It is therefore unlikely that exclusion of smaller studies in the review would have significantly altered our conclusion.

# 4.2.2 Uncertainties

Despite the large volume of RCT evidence found, it is still very difficult to provide a firm answer to many of the questions regarding the effectiveness of these interventions due to the nature of their complexity and the context (e.g. time and location) in which these studies were carried out.

RCTs included in this review were carried out over the past three decades, during which there were significant amount of activities and changes in policies related to smoking. The impact of these changes to the evidence-base is uncertain and needs to be taken into account when interpreting the evidence.

Most studies included a control group which received 'no intervention' and/or 'usual education'. Although these are usually not well described, it is likely that the quantity and nature of education/intervention received by control groups varies over time and differs between countries. This increases the 'noise' in estimating effectiveness of school-based interventions in question, and may explain at least partly the heterogeneity in the findings between studies. The lack of significant effect of many tested interventions does not necessarily prove their inefficacy. Rather these findings indicate that these school-based interventions were not significantly more effective than existing school education/intervention already in place, or alternatively were not more effective that other policy/environmental changes happening outside schools It is uncertain why RCTs presenting adjusted results are more likely to show significant differences than those presenting unadjusted results. Similarly, it is uncertain why programmes that used prevalence of regular smoking as an outcome tended to produce statistically significant results whereas those that used prevalence of experimental smoking did not. It is possible that the drivers for experimental smoking are different to those for regular smoking. On the other hand, it could be a statistical artefact.

# 4.3. Conclusion

The systematic review of 62 large RCTs investigating the effectiveness of school-based prevention of smoking has provided few insights into what works and for whom. Most variations in participants, intervention, comparators and outcomes do not appear to have much impact on effectiveness. Apart from quality issues, the main exceptions are weak evidence indicating that school-based interventions starting soon after entry into primary schools may be effective in reducing the uptake of smoking

up to age of 14, and strong evidence that booster sessions enhance effectiveness of main programmes.

# 4.3.1 Further research

The finding that interventions are apparently more effective in RCTs with lower quality suggests that it is essential that new research is done to the highest quality possible and reported well. Otherwise, any apparent effectiveness may be an artefact of the RCT conduct and report rather than the actual effectiveness of the intervention. On the other hand, it does not seem to matter if biochemical validation is used or not. This is surprising because much medical research tends to rely on objective rather than self-report measures. The systematic review assessed research employing a wide variety of different interventions. What would be most useful would be further research to determine exactly which components are the most effective and which are least effective in children at risk of smoking uptake.

# 5. Evidence Tables

Table 5:1 Abernathy et al. 1992

	Population and setting	Method of allocation to intervention/control	Outcomes and methods of analysis	Results	Notes
The Peer	Source population/s:	Method of allocation: Cluster randomisation. Schools	Primary Outcomes: Numbers of students who	Primary outcomes: Numbers of students	Limitations identified by author: None
Assisted Learning (PAL) smoking	Schools in Calgary Country:	stratified into quintiles according to neighbourhood mean income and	Adverse events:	who had never smoked (intervention vs control). NB only	Limitations identified by review team:
prevention programme	Canada	randomly assigned to control or intervention.	None	includes students in classes where	Data were only presented for students who had never smoked.
Authors: Abernathy	Study year: 1988 (began)	Measures to minimise confounding: Not reported	Follow-up periods: 6 months and 1.5 and 2.5 years after the end of	intervention was considered to be properly implemented:	This is only a subset of the data and, for transparency, all data should have been presented. The
Year:	Eligible population:	Intervention/s Based on a social influence approach,	intervention <b>Evaluation:</b>	<u>Males</u>	full data set may not have shown the same effects.
1992	Calgary board & Calgary Catholic	the PAL programme aimed to prevent children ever starting smoking. Intervention provided children with	Health behaviour questionnaires were given	6m: 87.9% vs 77.3% (X <sup>2</sup> =14.06, p<0.001)	Separation of the intervention group into those who were thought
Aim of study: To examine the	board schools (190 schools)	information about the benefits of not smoking and taught interpersonal skills necessary to resist peer pressure to	at baseline and at ~6 months, ~1.5 years and ~2.5 years post	1.5y: 71.5% vs 63.8% (X <sup>2</sup> =5.07, p<0.05) 2.5y:	to have had or not had all 6 intervention lessons may have been subjective. There is no evidence
effectiveness of a smoking prevention	Selected population:	smoke (no further intervention details reported).	intervention by teachers trained in their content and methods for	68.6% vs 59.6% (X <sup>2</sup> =6.36, p<0.05)	that this process was undertaken with investigators 'blinded' and this may give less confidence in the
programme in school children	190 schools  Age:	Intervention category: School based Intervention period:	administration.  Method of analysis:	<u>Females</u> 6m: 78.9% vs 80.7%	findings.  Evidence gaps and/or recommendations for future
	11-12 years at	intervention periou:	Not ITT, analysis only		recommendations for future

	Population and setting	Method of allocation to intervention/control	Outcomes and methods of analysis	Results	Notes
Study design:	start of	~3 months	conducted on students	(X <sup>2</sup> <1, ns)	research:
Cluster RCT  Internal validity <sup>§</sup> :  External  validity <sup>†</sup> : 3	intervention  Female: 49% Race/ethnicity: Not reported  Socioeconomic status: Not reported  Excluded population:  Setting: Classrooms	Comparator/s No intervention Sample sizes: Total n= 190 schools, 7508 students Intervention n= 94 schools Control n= 96 schools  Baseline comparisons: Pre-test smoking rates very similar in intervention/control schools. Other baseline comparisons not reported.  Study sufficiently powered? Not reported	(unit of analysis) who were present for each survey.  Only results for those 'never smoking' at each survey presented.  Students in classes where teachers were thought to have properly implemented each intervention lesson analysed separately from those considered not to have implemented all lessons.  X² tests used to test for significance.	1.5y: 60.7% vs 62.3% (X <sup>2</sup> <1, ns) 2.5y: 50.1%vs 52.9% (X <sup>2</sup> <1, ns)  Secondary outcomes: None  Attrition details: 53% (by posttest 3)	Long-term evaluations of PAL is needed.  Source of funding: National Health Research and Development Programme

#### † Score for external validity:

- 1. Likely to be applicable across a broad range of populations and settings.
- 2. Likely to be applicable across a broad range of populations and settings, assuming it is appropriately adapted.
- 3. Applicable only to populations or settings included in the studies the success of broader application is uncertain.
- 4. Applicable only to settings or populations included in the studies.

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Table 5:2 Armstrong et al. 1990

	Population and	Method of allocation to			
Study details	setting	intervention/control	Outcomes and methods of analysis	Results	Notes
Authors:	Source	Method of allocation:	Primary Outcomes:	Primary	Limitations identified by
Armstrong	population/s:	Each school was assigned at random	Prevalence Smoking	outcomes:	author:
<b>Year:</b> 1990	Schools in Australia  Country: Australia	to one of the three interventional groups: control group (no planed intervention), peer-led programme, and teacher-led programme.	Adverse events: Not reported Secondary outcomes:	gender- specific results (one-year follow-up)	At the time of assessment, the original classes had been 'mixed up' reducing the between-to-within group differences.
Aim of study:	Study year:	<b>Measures to minimise confounding:</b> The schools were stratified by size of	Knowledge attitude	Girls: Control-	Data that identified each child's school were erased
To increase children's knowledge of the effects of smoking, their	July to December 1981	class and regional location before randomization to ensure as far as possible, equal numbers of children	refusal skill  Follow-up periods:	33.1%; teacher led-25.0%; Peer led-	shortly after the initial survey and were not available for analysis
awareness of non- smoking and to provide them with training in	Eligible	and uniformity of socioeconomic status across the three intervention groups.	Follow ups were conducted 12 and 24 months after intervention	23.2% Boys: Control-	Limitations identified by review team:
ways to resist pressures to smoke.	population: Not reported	Intervention/s The intervention consisted of five	Evaluation	29.4%; teacher led-15.4%;	High loss to follow up
Study design: RCT	Selected population:	sessions. Two versions of which were developed; the first was led by peers	Base line survey was conducted in June 1981 and follow up surveys in	Peer led- 34.7%	Evidence gaps and/or recommendations for
Internal validity <sup>§</sup> : -	Students in last year of primary	of the same age while the second was led by the teachers.	December 1982 and 1983. The questionnaire collected information	(Two-year	future research: Further research to
External validity <sup>†</sup> : 3	school, in 45 schools	In the first session, students gave the estimates for children who smoke, listed the negative consequence of	on smoking habits of students, parents, friends and siblings, knowledge of and attitude to	follow-up) Girls: Control-	identify the determinants of peer group influences.
	Age:	smoking and why students smoke.	smoking. Those who conducted the	49.7%; teacher	Source of funding:

	setting	Method of allocation to intervention/control	Outcomes and methods of analysis	Results	Notes
Study details	setting  12-13 years (modal age, 12 years)  Female: 48.9% White  Race/ethnicity: Not reported  Socioeconomic status: Not reported  Excluded population: None  Setting: Not reported	intervention/control  The effect of smoking on the health was also introduced. The second session gave accurate information on the proportion of children who smoked, while the students also gave an account when they experienced social pressure to smoke and refusal techniques were practiced. The third session involved students preparing and discussing arguments in supports of non-smoker's rights and the developments of arguments which that countered the arguments they give. The fourth session examined the family and its role in influencing smoking behaviour. In the fifth session, the students wrote a short essay to give reasons why they remained non-smokers.  Intervention category: School based  Intervention period: Not reported  Comparator/s Control group (no planned intervention)	Outcomes and methods of analysis survey were blind to school treatment status.  Method of analysis: Comparisons among the proportion of students in the different intervention groups who took up smoking were tested using person chi square. The effects of other variables that were collected (uptake of smoking and on the effect of interventions) were examined by logistic regression.  Analysis was carried out separately for boys and girls and for each year of follow-up.	Results led-37.8%; Peer led- 37.8%  Boys: Control- 33.5%; teacher led-25.9%; Peer led- 41.9%  Secondary outcomes: No  Attrition details: 36%	Notes Not reported

Study details	Population and setting	Method of allocation to intervention/control	Outcomes and methods of analysis	Results	Notes
,		Total n= 2366 Intervention n= 1585 Control n= 781			
		Baseline comparisons: Dissimilar gender, intervention group			
		Study sufficiently powered?  Not reported			

- 1. Likely to be applicable across a broad range of populations and settings.
- 2. Likely to be applicable across a broad range of populations and settings, assuming it is appropriately adapted.
- 3. Applicable only to populations or settings included in the studies the success of broader application is uncertain.
- 4. Applicable only to settings or populations included in the studies.

Table 5:3Ary et al. 1990

	Population and	Method of allocation to			
Study details	setting	intervention/control	Outcomes and methods of analysis	Results	Notes
Authors:	Source	Method of allocation:	Primary Outcomes:	Primary outcomes:	Limitations identified by
Ary	population/s:	cluster randomisation	Smoking Prevalence	Proportion of	author:
	schools	Cruster rundomisution		pretest nonsmokers	High level of smoking
<b>Year:</b> 1990		Measures to minimise confounding:	Adverse events:	who reported	curriculum was offered in
	Country: USA	adjusted for demographic factors	Not reported	smoking at follow-	the control schools.
Aim of study:		and the state of t		up: 12% for both	
To evaluate the	Study year: Not	Intervention/s	Secondary outcomes:	intervention and	Relying only on teachers
incremental effect	reported	Programme consisted of curricular	Not reported	control	reports of curricular
of school based	•	materials for five classroom sessions in	T-II.		intervention.
social influence	Eligible	each grade, 6 through 10. Each session was	Follow-up periods:	The group whose	
intervention	population:	taught over one week. Each level of the	12 months	parents received	Inability of the study to
compared to standard care	population	curriculum consisted of four strands 1)		parent message did	have effect on pre-test non
curricula.	schools from 13	awareness of social influences to engage in	Evaluation:	not differ	smokers.
Study design:	districts in	substance abuse 2) refusal skill training 3)		significantly from	
RCT	Oregon	health facts and 4) contracting or other	Questionnaire included items on the	the group whose	Limitations identified by
IC1	0	public commitments not to use cigarettes.	respondent's socioeconomic status,	parents did not	review team:
Internal validity§:	Selected	Programme was taught by class teachers,	smoking history and attitudes	receive parent	Differential attrition in
- validity.	population:	while peer leaders presented some	toward cigarette as well as smoking	message.	participants lost to follow
	22 middle or	activities in two grades. Teachers were	behaviour of parents, siblings and	1	up and those who
External	elementary	taught by project staff in single session	friends. A composite measure of	1-year covariate	remained in the study.
validity <sup>†</sup> :3	schools & 15	lasting 2 to 3 hours while peer leaders	smoking was also developed based	adjusted smoking	F :1
variatey to	high schools	were trained by project staff during a half	on weighted combination of subject's	rate among pre-test smoker:	Evidence gaps and/or recommendations for
		day meeting. The curriculum involves social influences to use tobacco as well	responses regarding the number of cigarettes smoked. Carbon monoxide	intervention vs.	future research:
	Age:	refusal skills training. Information on the	was collected in order to enhance the	control (111.6	Need to report and assess
	11 to 12 years	short and long term effects of smoking	accuracy of self reporting.	cigarettes vs. 76.6	level of tobacco related
		were also highlighted as well as other	accuracy of sen reporting.	cigarettes vs. 76.6	education in both control
	Female: Not	activities designed to prompt students to	Method of analysis:	eigarettes)	and intervention school.
	reported	make explicit commitments not to use the	Analysis of variance was conducted	Secondary	and miles vention school.
	Race/ethnicity:	substances. Parents were also sent	on mean substance use in each	outcomes:	Evaluation should be
	,	brochure to discuss views and rules about	on mean substance use in each	Not reported	based on assessing the
		210 CITATE TO AIDEADO VIEVO AITA TAICO ADOAT		_	suseu on abbedding the

	Population and	Method of allocation to			
Study details	setting	intervention/control	Outcomes and methods of analysis	Results	Notes
Study details	_		Outcomes and methods of analysis school at one year	Results  Attrition details: Treatment =24.4%  Control= 24.6%	incremental effect of the interventions rather than evaluating the effect of intervention versus no intervention.  Source of funding: Grants from the National Cancer Institute and the National Institute of Drug Abuse.
		•			

<sup>§</sup> The internal validity score of a study may vary depending on the reliability and validity of the outcome measures of interest. Score for internal validity: ++ All or most of the criteria have been fulfilled. Where they have not been fulfilled the study conclusions are though **very unlikely** to alter. + Some of the criteria have been fulfilled. Those criteria

	Population and	Method of allocation to			
Study details	setting	intervention/control	Outcomes and methods of analysis	Results	Notes

that have not been fulfilled or not adequately described are thought **unlikely** to alter the study conclusions. – Few or no criteria have been fulfilled. The study conclusion are thought **likely or very likely** to alter.

- 1. Likely to be applicable across a broad range of populations and settings.
- 2. Likely to be applicable across a broad range of populations and settings, assuming it is appropriately adapted.
- 3. Applicable only to populations or settings included in the studies the success of broader application is uncertain.
- 4. Applicable only to settings or populations included in the studies.

Table 5:4 Ausems et al. 2004

Study		Method of allocation to	Outcomes and methods		
details	Population and setting	intervention/control	of analysis	Results	Notes
	Source population/s:	Method of allocation:	Primary Outcomes:	Primary outcomes:	Limitations identified by
(Ausems et al. 2004)	Dutch vocational schools	19 schools already receiving in-school	Smoking initiation amongst non-smokers,	<u>Prevalence data</u> (none significant compared	author: Poor data collection in some
Author:	Country:	programme randomised within regionally defined blocks to	Smoking continuation amongst smokers	to control)	cases.
	Netherlands	intervention 1 or 3. The remaining	amongst smokers	Post test 1	
Ausems	Study year:	17schools were randomly assigned to intervention 2 or control.	Adverse events: Not reported	Prevalence of pre-test never smoker becoming smoker:	Limitations identified by review team: Allocation between in-
2004	Eligible population:	Measures to minimise confounding: Not reported	Secondary outcomes:	1) 27.4% (CI 15.4-39.4) 2) 16.8% (CI 4.9-28.7)	school and out-of-school condition was not random.
Aim of study: To test the	All vocational schools within the six local health	Intervention/s 1) In-school intervention	None Follow-up periods:	3) 17.5% (CI 8.1-26.9) Control: 24.0% (CI 6.8-41.1)	Multiple outcomes were tested and this increased the likelihood of obtaining some
effectiveness of in-school	department regions	2) Out-of-school intervention     3) In and out-of-school intervention	Directly after the end of intervention (posttest 1)	Prevalence of pre-test smokers continuing:	significant results. Attrition rates were
and out-of- school	Selected population: 36 schools	The in-school intervention used the "Healthy Schools and Stimulants	and 6 months after end of intervention (posttest	1) 34.6% (CI 18.8-48.3) 2) 33.8% (CI 25.2-42.3)	different in different intervention groups. In the
School		Programme", designed by the 'Trimbos	2). At 12 months after	,	analysis, those leaving the

Study		Method of allocation to	Outcomes and methods		
			•		
details interventions on smoking prevention in vocational school students  Study design: RCT  Internal validity <sup>§</sup> : -	Population and setting  Age: Average 13.1 years  Female: 47.9%  Race/ethnicity: 73% had both parents Dutch  Socioeconomic status: 68.7% had father with paid job  Excluded population: Not reported	Method of allocation to intervention/control  Institute'. Intervention consisted of 3 lessons (50 min). Each lesson comprised a teacher introduction, class discussion, a workbook task and an additional task that summarised the main lesson points.  The out-of-school intervention consisted of 3 tailored letters with smoking prevention messages, mailed at 3 week intervals. Using the pre-test questionnaire, letters were tailored to students attitudes, self-efficacy, smoking intention etc and boys and girls received different messages. The content of letter messages was based on	of analysis end of intervention, only those receiving out-of- school intervention followed up (posttest 3).  Evaluation: Written surveys were undertaken at baseline, directly after and at 6 and 12 months after intervention to assess attitudes, social influence, self-efficacy, intention to smoke and smoking behaviour. No	Results 3) 26.8% (CI 7.1-36.6) Control: 29.9% (CI 14.4-45.4) Post test 2 (6 months) Prevalence of pre-test never smoker becoming smoker: 1) 28.0% (CI 18.6-37.4) 2) 25.0% (CI 13.6-36.6) 3) 29.4% (CI 20.3-38.5) Control: 40.9% (CI 24.8-56.9) Prevalence of pre-test smokers continuing: 1) 29.4% (CI 16.9-42.0) 2) 37.0% (CI 21 3-52.6)	study were shown to be different in respect to age, number of parents and contact with smokers and differential attrition could have resulted in different types of students remaining in study groups.  Evidence gaps and/or recommendations for future research:  None give
validity <sup>†</sup> : 2	Setting: Classroom and home setting	content of letter messages was based on Social Inoculation Theory, the Theory of Reasoned Action and Bandura's Social Cognitive Theory.  The first letter gave information on beliefs and short term and social consequences. The second letter focused on the effects of the social environment and the third described refusal techniques.  With each letter, a competition was included - to complete "I don't want that cigarette because" (CD prize).  Intervention category:  School based/Out of school  Intervention period:  ~5 months	details of questionnaire procedure here.  Method of analysis: Multilevel regression  Unclear whether schools or individuals were the unit of analysis. Not intention to treat.	2) 37.0% (CI 21.3-52.6) 3) 45.0% (CI 37.0-53.1) Control: 42.2% (CI 35.2-49.2) Post test 3 (12 months) Prevalence of pre-test never smoker becoming smoker: 2) 27.2% (CI 8.8-53.5) 3) 40.0% (CI 29.4-50.6) Control: 47.9% (CI 29.1-66.6) Prevalence of pre-test smokers continuing: 2) 37.0% (CI 22.3-51.7) 3) 40.4% (CI 34.0-46.9) Control: 46.9% (CI 33.7-60.1) MIXOR analysis No significant effects except	Source of funding: European Commission and the Dutch Cancer Foundation.

details	Population and setting	Method of allocation to intervention/control	Outcomes and methods of analysis	Results	Notes
details	Population and setting	intervention/control  Comparator/s  No intervention  Sample sizes:  Total n= 36 schools (2,376 students) Intervention 1 n= 9 (525) Intervention 2 n= 8 (513) Intervention 3 n= 10 (829) Control n= 9 (509)  Baseline comparisons: Children in intervention 2) more often from one-parent families (OR=0.76; 95% CI 0.67-0.97) and older than control (OR=1.27; 95% CI 1.03-1.57)  Study sufficiently powered? Yes	of analysis	Results for: Smoking continuation at posttest 2 intervention 1 vs control: OR=0.49 (0.29-0.84) Smoking initiation at posttest 3 intervention 2 vs control: OR=0.42 (0.18-0.96) Secondary outcomes: None  Attrition details: Posttest 1: Schools 5.6%, students 19% Posttest 2: Schools 8.3% students 27% Posttest 3: Schools 18.5%	Notes

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Study		Method of allocation to	Outcomes and methods		
details	Population and setting	intervention/control	of analysis	Results	Notes
11	ble only to populations or setting	ngs included in the studies – the success of	broader application is uncer	tain.	

<sup>4.</sup> Applicable only to settings or populations included in the studies.

Table 5:5 Aveyard et al. 2001

Study details	Population and setting	Method of allocation to intervention/control	Outcomes and methods of analysis	Results	Notes
Authors: Aveyard et al.(Aveyard et al. 2001)  Year: 2001  Aim of study: To examine whether a year long programme based on the transtheoretical model of behaviour change, incorporating three sessions using an expert system computer programme and three class lessons, could reduce the prevalence of teenage smoking  Study design: RCT	Source population/s: Schools  Country: UK Study year: 1997  Eligible population: 89 schools in the West Midlands region  Selected population: 52 schools (58.4%)  Age: 13 to 14 years Female: 49.7% Race/ethnicity: 82.6% White Socioeconomic	Method of allocation: Clustered randomisation. Measures to minimise confounding: Schools were stratified according to number of students in year 9.  Intervention/s Six sessions of two types: one computer session and one class lesson for each of the three terms of year 9. The computer programme was based on that developed by Prochaska and colleagues, containing questionnaires measuring the key concepts of the transtheoretical model. After each questionnaire students received feedback both through the headphones and on screen of how their temptations, for example, compared to stage based data (normative feedback) and in second and third sessions, what change had occurred since last time (ipsative feedback). The questionnaires	Primary Outcomes: Prevalence of regular smoking (one or more cigarettes per week)  Adverse events: not reported  Secondary outcomes: Regular daily smoking; change of stage  Follow-up periods: 12, 24 months from	Primary outcomes: Weekly smoking Year 1 OR = 1.16 (0.89 to 1.50); Year 2 OR = 1.07 (0.85 to 1.35)  Secondary outcomes: Daily smoking Year 1 OR = 1.21 (0.91 to 1.60) Year 2 OR = 1.07 (0.85 to 1.36)  Positive change of stage: Year 1 OR = 1.35 (0.99 to 1.85); Year 2 OR = 1.30 (0.88 to 1.91)	Limitations identified by author:  The intervention was didactic and lack young people's involvement. Disengagement from the computer programme, which may reflect disengagement from the school in general, predicted smoking uptake.  Limitations identified by review team: Difficult to ascertain what health education was delivered in the control group.  Evidence gaps and/or recommendations for future research:

	Population and	Method of allocation to	Outcomes and methods of		
Study details	setting	intervention/control	analysis	Results	Notes
Internal validity§: +	status: Townsend	were inter-spersed with video clips of	baseline	Attrition details:	Research into school
	score 1.65	young people talking about their thoughts		10.9% for year 1	disengagement.
External validity <sup>†</sup> : 2	(intervention), 0.62	about smoking that were relevant to the	Method of	(intervention 10.7%	
	(control)	stage of change of the student concerned.	analysis:	vs control 11.0%);	Source of funding:
		The other transtheoretical model	Multilevel	18.3% for year 2	Health authorities of the West
	Excluded	intervention was a one hour lesson	analysis. ITT	(intervention 14.0%	Midlands
	population:	delivered by ordinary class teachers. The	and various	vs control 22.6%)	
	37 schools not	teachers attended a two day training course	sensitivity		
	agreeing to	organised by Public Management	analyses were		
	participate; also	Associates, who had developed licensed	carried out.		
	about 8% of	training and lesson plans in consultation			
	registered pupils did	with Prochaska and colleagues. The three			
	not participate in the	lessons developed the young people's			
	randomised schools	understanding of the stages of change and			
		how the pros and cons of smoking would			
	<b>Setting:</b> see above	vary in different stages, and the lessons got			
		young people to use these concepts.			
		Intervention category: single (social			
		influence)			
		Intervention period: autumn 1997 to			
		summer 1998			
		Comparator/s			
		Normal health education on tobacco, which			
		is part of the English national curriculum.			
		As a reward for participation, teachers in			
		control group schools were given three			
		lesson plans and handouts on smoking.			
		These lessons consisted of quizzes on facts			
		about tobacco and one lesson on different			

	Population and	Method of allocation to	Outcomes and methods of		
Study details	_			Results	Notes
Study details	setting	intervention/control  ways of persuading someone to stop smoking. The content of the lessons was all taken from generally available teaching support material. The lesson plans and materials were provided to all control group schools, but teachers in these schools received no training in smoking issues or delivery of the lessons and it was up to the individual schools whether or not they used the materials.  Sample sizes: Total n= 8352 (52 schools) Intervention n= 4125 (26 schools) Control n= 4227 (26 schools)  Baseline comparisons: The intervention group had slightly fewer never smokers (51.8% vs 54.8%), fewer boys (48.4% vs 52.1%) and slightly more children whose parents also smoked compared to the control group.	analysis	Results	Notes
		Study sufficiently powered? Yes			

<sup>§</sup> The internal validity score of a study may vary depending on the reliability and validity of the outcome measures of interest. Score for internal validity: ++ All or most of the criteria have been fulfilled. Where they have not been fulfilled the study conclusions are though **very unlikely** to alter. + Some of the criteria have been fulfilled. Those criteria that have not been fulfilled or not adequately described are thought **unlikely** to alter the study conclusions. – Few or no criteria have been fulfilled. The study conclusion are thought **likely or very likely** to alter.

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<sup>†</sup> Score for external validity:

			Outcomes and		
	Population and	Method of allocation to	methods of		
Study details	setting	intervention/control	analysis	Results	Notes

- 1. Likely to be applicable across a broad range of populations and settings.
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Table 5:6 Biglan et al. 2000

Study details	Population and setting	Method of allocation to intervention/control	Outcomes and methods of analysis	Results	Notes
D : C: T	Source population/s:	Method of allocation:	Primary Outcomes:	Results are for	Limitations identified by
Project SixTeen	Communities in Oregon	Pairs of communities matched	Smoking prevalence	changes from baseline relative to	author: Involved communities were not
Authors:	ranging from 1,700 to	for socioeconomic status and population, one from each	Adverse events:	control community	ethnically diverse and largely
Biglan	13,500 people	pair randomised to	Secondary outcomes:	changes (net)	only rural so not that
Year:	Country:	intervention or control.	None	Primary outcomes: Net adjusted	generalisable.
2000	US Study year:	Measures to minimise confounding:	Follow-up periods:	*decrease in smoking	Limitations identified by review team:
Aim of study:	Not reported	adjusted for parent separation, grade point	~12, 24, 36, 48 months after start of intervention	prevalence at:	Results maybe misleading. Intervention group had a high
To compare the effectiveness of a	Eligible population:	average, parental smoking	Evaluation:	1 yr: 4.5% SE 1.7%	baseline prevalence of smoking
community wide	Communities that share	status	5 annual cross sectional	(p=0.022)	and, because all follow up results are compared with
programme to prevent adolescent tobacco use	no common high schools & at least 20 miles apart	Intervention/s School based intervention	assessments of school students in the 7 <sup>th</sup> and 9 <sup>th</sup>	2 yr:1.8% SE 1.3% (p=0.212)	baseline, this acted to make intervention appear more
in addition to school- based intervention	Selected population:	took place in intervention and control community schools	grades in intervention/control	3 yr: 2.4% SE 1.3% (p=0.077)	favourable. Figure 2 in this paper (showing trends in each
	Students in schools in 16	over 6 years. Students in	communities. First survey	, ,	paper (showing tiends in each

		Method of allocation to	Outcomes and methods of		
Study details	Population and setting	intervention/control	analysis	Results	Notes
Study design: RCT Internal validity <sup>\$</sup> : ++ External validity <sup>†</sup> : 2	communities (8 intervention, 8 control)  Age:  11-18 (grade 6-12) received school based intervention. 12-13 and 14-15 years (grades 7 and 9) took part in programme evaluation  Female:  48% (baseline)  Race/ethnicity:  85% White, 7% Hispanic, 6% native American, 1% African American, 1% Asian (baseline)  Socioeconomic status:  Not reported  Excluded population:  Students not in grades 7 and 9  Setting:  Rural communities, intervention set in communities and schools	intervention/control grades 6-12 received education over a 1 week period each year. Specific components: health facts and smoking effects, refusal skills training, video modelling of refusal skills, public commitment regarding attitude to tobacco use, peer- led discussions. Teachers received 2-3 hour training. Community intervention only took place in intervention communities. Conducted by paid coordinator and youth and adult volunteers. Media publicity of tobacco problem included newspaper articles, presentations, mailings to community leaders, advertisements, radio announcements and billboards. Community activities aimed specifically at youth included sidewalk art, T shirt design, free gifts, games, presentations, quizzes etc. Activities designed to promote parent/youth discussion of tobacco use included pamphlets, letters and a youth-parent quiz. To	analysis acted as baseline. Questionnaires asked about tobacco and other substance use, other behaviours and peer and family relations. Expired carbon monoxide samples taken. Questionnaires also mailed out to random selection of 30% of parents of participating students with \$10 'compensation' for completing the questionnaire.  Method of analysis: Effects assessed with community as the unit of analysis. Intention to treat. Pair-wise comparison of the effect of intervention from baseline to surveys 2, 3, 4 and 5. Comparison of trends in prevalence over time in intervention/control communities.	Results  4yr: 3.8% SE 1.6% (p=0.038)  * NB smoking rates increased in both groups but net rates decreased due to bigger control group increases  Secondary outcomes: none  Attrition details: NA (cross sectional surveys)  Average ~86% response rate for students.  Average 78.6% response rate for parent surveys.	group) appears to show very little difference in intervention vs control effects.  Investigators remove results for the second time point in their comparison of intervention/control prevalence slopes. No proper justification for removal is given and, in the analysis where it is included, net trend was no longer significant.  Evidence gaps and/or recommendations for future research: Findings should be replicated in larger and more ethnically diverse communities.  Source of funding: National Cancer Institute, National Institute on Drug Abuse

Study details	Population and setting	Method of allocation to intervention/control	Outcomes and methods of analysis	Results	Notes
J	<u> </u>	selling tobacco to those			
		underage, activities aimed to			
		mobilise community support,			
		educate vendors, reward ID			
		requesting, give positive			
		publicity for ID requesting			
		and feed back to managers			
		about underage sales.			
		Intervention category:			
		Community/school based			
		Intervention period:			
		Over 6 years.			
		Comparator/s			
		School based intervention			
		programme			
		Sample sizes:			
		<b>Total n=</b> 16 communities			
		(4438, 4515, 4425, 4708, 4165			
		students in surveys 1-5			
		respectively)			
		Intervention n= 8			
		communities			
		Control n= 8 communities			
		Baseline comparisons:			
		Similar at baseline			
		Study sufficiently powered?			
		Not reported			

§ The internal validity score of a study may vary depending on the reliability and validity of the outcome measures of interest. Score for internal validity: ++ All or most of the

		Method of allocation to	Outcomes and methods of		
Study details	Population and setting	intervention/control	analysis	Results	Notes

criteria have been fulfilled. Where they have not been fulfilled the study conclusions are though **very unlikely** to alter. + Some of the criteria have been fulfilled. Those criteria that have not been fulfilled or not adequately described are thought **unlikely** to alter the study conclusions. – Few or no criteria have been fulfilled. The study conclusion are thought **likely or very likely** to alter.

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Table 5:7 Bond et al. 2004

Study details	Population and setting	Method of allocation to intervention/control	Outcomes and methods of analysis	Results	Notes
	Source population/s:	Method of allocation:	Primary Outcomes:	Primary outcomes:	Limitations
The Gatehouse project  Authors:	Metropolitan Melbourne and rural districts	Cluster randomisation of metropolitan education districts to intervention (n=6) or control (n=6). Schools within these 'intervention' or 'control' districts were then	Smoking Prevalence (any smoking or regular smoker)  Adverse events:	Prevalence of any smoking (intervention vs control): Year 1	identified by author:  The small number of schools in the trial limits the
Bond	Country:	randomly selected to take part. Additionally,	Secondary outcomes:	22.0% vs 24.9%; OR 0.89	effectiveness of the
Year: 2004	Australia Study year:	schools from 4 regional districts were sampled for control and intervention 'country' schools.	None Follow-up periods:	(95% CI 0.72-1.12) Year 2	randomisation process.
Aim of study: To examine the	1997 Eligible population:	Measures to minimise confounding: adjusted for baseline substance use,	1, 2 and 3 years from baseline	25.0% vs 28.7%; OR 0.92 (95% CI 0.63-1.33) Year 3	Limitations identified by review team:
effectiveness of a school intervention for wellbeing and	Schools in 12 districts in two education	demographic factors	<b>Evaluation:</b> Using laptop computers,	24.9% vs 28.2%; OR 0.91 (95% CI 0.67-1.24)	Although it is implied that schools

	Population and		Outcomes and methods of		
Study details	setting	Method of allocation to intervention/control	analysis	Results	Notes
health risk behaviours	regions in Melbourne and schools in 4 rural districts	Intervention/s Intervention involved institutional and	students completed questionnaires at baseline (beginning of year 8) and	Prevalence of regular smoking: Year 1	were the unit of randomisation, randomisation was
Study design: Cluster RCT	Selected population: 26 metropolitan	individual-based components based on an understanding of mental health and risk behaviours that derive from social	were followed up at 1 (end of year 8), 2 (end of year 9) and 3 years (end of year 10).	4.9% vs 8.3%; OR 0.66 (95% CI 0.46-0.95)	primarily by district. It is unclear if this was taken into
Internal validity§: +	government, independent and catholic schools and	environments. Priority areas were to build a sense of security, trust and positive regard and to increase skills and opportunities for	Absent students were surveyed at a later date or telephoned (along with	Year 2 7.7% vs 11.9%; OR 0.72 (95% CI 0.47-1.09)	account in the analysis.
External validity <sup>†</sup> :3	country schools  Age:	good communication. On a whole school level, intervention involved establishing an 'adolescent health	students who had left the schools).	Year 3 11.8% vs 15.6%; OR 0.79	Evidence gaps and/or recommendations
	13-14 years (year 8)  Female:	team' to identify effective strategies to address risk issues.	Method of analysis:  Multivariate analysis. Stated	(95% CI 0.58-1.07) NB ORs adjusted for	for future research: Research to
	53.2%	The teaching part of the intervention was delivered over 10 weeks in 2 school years	that analysis was intention to treat but it appears that only students that took part	baseline measurements and gender, family structure, Australian	investigate specific mechanisms that affect change.
	Race/ethnicity: Not reported	(years 8 and 9).  A project 'school liaison team' provided	in each measurement stage were included in the	born and parental structure.	Source of funding:
	Socioeconomic status:	professional development and ongoing support for schools.  Intervention category:	analysis.	Secondary outcomes: None	The Queens Trust for Young Australians, Victorian Health
	Not reported  Excluded population:	School based		Attrition details: Year 1: 3%	Promotion Foundation, National
	Setting: Classrooms in	Intervention period: 10 weeks during 2 years		Year 2: 8% Year 3: 10%	Health and Medical research Council,
	government,	Comparator/s			Department of Human Services,
	independent and catholic metropolitan schools and country	No intervention.  Sample sizes:			Murdoch Children's Research Institute, Sydney Myer Fund
	schools.	Total n= 26 schools, 2678 students Intervention n= 12 Control n= 14			and the Catholic Education Office.

	Population and		Outcomes and methods of		
Study details	setting	Method of allocation to intervention/control	analysis	Results	Notes
		Baseline comparisons:			
		The intervention group reported slightly			
		lower levels of parental smoking and parental			
		separation.			
		Study sufficiently powered?			
		Not reported			

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Table 5:8 Botvin et al. 1990a

6. 1 1. 1	Population and	Method of allocation to	Outcomes and methods	D 16	N
Study details	setting	intervention/control	of analysis	Results	Notes
Authors:	Source	Method of allocation:	Primary Outcomes:	Primary outcomes:	Limitations identified by author:
Botvin <b>Year:</b>	population/s:	Cluster randomisation of schools	Proportions smoking	Proportion smoking weekly at follow up	Unit of assignment was the school
1990a	New York schools  Country: US	Measures to minimise confounding:	monthly, weekly and daily.	(significance verses control):	whilst unit of analysis was the individual, results in possible
Aim of study:	Study year:	adjusted for sex  Intervention/s	Adverse events:	1) 0.22 (NS)	confounding by other school-related factors.
To compare school-based	Not given	The 10 schools were randomised to	Secondary outcomes:	2) 0.16 (NS) 3) 0.05 (lower,	Findings may not be generalisable to
interventions for	Eligible	receive either:	Knowledge and attitudes	p<0.005)	other populations. Sample was
smoking,	population:	<ol> <li>Peer-led prevention programme</li> <li>Teacher-led prevention programme</li> </ol>	Follow-up periods:	4) 0.21 (NS) 5) 0.16 (control)	middle class and predominantly White.
alcohol and	Children in 7th and	3) Peer-lead prevention programme	Immediately post-	Secondary	The majority of teachers did not
Marijuana use.	8 <sup>th</sup> grade of 10	with booster	intervention and 1-2	outcomes:	implement the programme as per the
Study design:	selected schools	4) Teacher-led prevention programme	years after intervention	Smoking knowledge	protocol and it was difficult to
Cluster RCT	Selected	with booster	(depending on which	score. For all	determine which portions of the
Cluster ICC1	population:	5) Control	intervention received).	interventions.	intervention were implemented.
Internal	10 suburban schools	Conditions 1-4 received 20 sessions of peer/teacher led intervention whilst in	Evaluation:	significantly better	Study team did not participate in the
validity <sup>§</sup> : -	Age:	grade 7. In grade 8, those in conditions 3 and 4 received an additional 10 session	Surveys taken at baseline, immediately	compared to control (p<0.0001):	selection of teachers and they may not have been enthusiastic/confident
External	7th and 8th graders	'booster' (similar in nature).	after the intervention	1) 7.95	/adequately trained
validity†: 3	(12-14 years)		and at the end of grade 8	2) 7.36	Limitations identified by review
	Female:	Curriculum included material on: consequences of smoking and its social	(2 years after the main intervention and 1 year	3) 8.50 4) 8.55	team:
	51%	acceptability, decision-making and	after the booster).	5) 6.74 (control)	Self-reported measures of smoking
	Race/ethnicity:	independent thinking, resisting social influences, self-directed behaviour	Method of analysis:	Attitudes to smoking scores. (significance	may be affected by intervention i.e. students may feel more pressure to
	13% black, 80%	change, cognitive behavioural	ttests for significance of	verses control):	report favourable status with more
	White, 2% hispanic,	techniques for coping with anxiety,	different interventions.	<u>'</u>	recent education or depending on
	2% oriental, 4%	communication skills, general	Numbers in main	1) 37.84 (NS) 2) 38.29 (p<0.01)	who delivers the intervention.
	other	interpersonal skills and assertiveness.	analysis not stated.	2) 30.29 (p<0.01)	

Study details	Population and setting	Method of allocation to intervention/control	Outcomes and methods of analysis	Results	Notes
st  M fa ar ec m fa at Ex po Sc C	Gocioeconomic tatus:  Middle class amilies. Mothers and fathers well educated (65% nothers and 70% athers had attended college).  Excluded copulation: NA Getting:  Classrooms in uburban schools	The emphasis of the programme content was on developing general personal and social competence and to develop skills for coping with direct social pressure.  Intervention category: School based Intervention period: Over 1 (conditions 1 and 2) or 2 years (conditions 3 and 4) Comparator/s No intervention Sample sizes: Total n= 1,311 at baseline, 1,185 at grade 7 test, 998 at grade 8 test Intervention n= Not reported Control n= Not reported Baseline comparisons: Not reported Study sufficiently powered? Not reported	'Restricted sample' subgroup analysis of subjects whose teachers 'were judged by field staff to have implemented the intervention with a reasonably high degree of fidelity' (unplanned analysis). For data from end of grade 8 test.  Analysis to assess the impact of attrition.	3) 38.95 (p<0.001) 4) 37.19 (NS) 5) 37.29 (control)  Restricted sample (intervention n=145, control n=227). Proportion smoking weekly: 4) 0.12 (p<0.05) 5) 0.17 (control)  Smoking knowledge score: 4) 7.76 (p<0.0001) 5) 6.76 (control)  Attitudes to smoking scores: 4) 38.56 (p<0.01) 2) 37.08 (control)  Attrition details: End of grade 7 test 10%, end of grade 8 test 24%	Evidence gaps and/or recommendations for future research:  Study of additional follow-up to test durability of findings.  Studies in other, high risk, populations  Source of funding:  National Institute on Drug Abuse

	Population and	Method of allocation to	Outcomes and methods						
Study details	setting	intervention/control	of analysis	Results	Notes				
† Score for external validity:									
1. Likely to be applicable across a broad range of populations and settings.									
0 7 11 1 4 1	1. 1.1	1		1					

<sup>2.</sup> Likely to be applicable across a broad range of populations and settings, assuming it is appropriately adapted.

Table 5:9 Botvin et al. 1990b

Study details	Population and setting	Method of allocation to intervention/control	Outcomes and methods of analysis	Results	Notes
	Source population/s:	Method of allocation:	Primary Outcomes:	Primary outcomes:	Limitations identified by
Authors:	New York state schools	Cluster randomisation. Schools	Smoking index	Smoking index (p for	author:
Botvin	Country: US	within each region were randomised in blocks defined by	Adverse events:	intervention vs control).	The study sample consisted of predominantly white,
Year:		school-wide smoking rates to one	Secondary outcomes:	At 1 year:	middle class, suburban and
1990	Study year:	of the interventions: 1) Workshop	Smoking-related	1) 1.97 (ns) 2) 1.92 (ns)	rural students and may limit
	1985	2) Video, or the control.	knowledge and attitudes	Control= 1.96	generalisability.
Aim of study:	Eligible population:	Measures to minimise	refusal skill	At 3 years (±SE):	There were high rates of
To determine the		confounding:	Follow-up periods:	1) 1.46±0.04 (p<0.001)	attrition amongst substance
effectiveness of	Schools in 3 geographic regions of New York	adjusted for demographic factors	1, 2 and 3 years from	2) 1.50±0.04 (p<0.01)	users. Although this did not
smoking prevention		,	baseline measurement	Control= 1.63±0.03	differ in experimental groups, it may limit external validity.
interventions by	Selected population:	Intervention/s	Evaluation:	Secondary outcomes:	, ,
teachers who had	56 schools	All intervention schools	Project staff	Knowledge of smoking	Limitations identified by review team:
received face to face	Age:	implemented 'The Life Skills	administered	prevalence index.	
training and by those		Training (LST)' program,	questionnaires	At 1 year:	Only data from students in classes where at least 60% of
who had be trained by watching a video	12-13 years at baseline	consisting of ~15 school classes (~2 per week over 1 term) taught	immediately prior to	1) 1.15 (p<0.0001)	intervention activities were

<sup>3.</sup> Applicable only to populations or settings included in the studies – the success of broader application is uncertain.

<sup>4.</sup> Applicable only to settings or populations included in the studies.

Study details	Population and setting	Method of allocation to intervention/control	Outcomes and methods of analysis	Results	Notes
Study details	(grade 7)	in 12 units. Each unit gave	program implementation	2) 1.18 (p<0.0001)	judged to have taken place
Study design: Cluster RCT	Female:	teachers major goals to be achieved, student objectives,	(beginning of grade 7) and at the end of grades	Control= 0.94  At 3 years (+SE):	were included in the 3 year analysis (82%). There is
, ,	Female: 48%  Race/ethnicity: 90% White, 3% black, 2% hispanic  Socioeconomic status: 58% of the fathers attended at least 1 year of college  Excluded population: Not reported  Setting: Class rooms in predominately suburban/rural schools	teachers major goals to be achieved, student objectives, curriculum content and classroom activities.  The major programme purpose was the development of students' personnel and social skills with an emphasis on skills for coping with social influences: cognitive behavioural skills for building self-esteem, resisting advertising pressure, coping with anxiety, communication skills, developing personal relationships and asserting their rights. Rather than teaching on long-term consequences, the programme concentrated on immediate negative consequences and decreasing social acceptability. A combination of demonstration, in	and at the end of grades 7, 8 and 9 during a 40-45 min lesson period. Breath samples taken to increase the validity of self-reported measures.  Method of analysis:  MANCOVA with pretest scores used as covariates. Individual as unit of analysis, not ITT.	At 3 years (±SE):  1) 1.10±0.28 (p<0.0001)  2) 1.16±0.28 (p<0.0001)  Control= 0.93±0.25  Knowledge of smoking consequences index:  At 1 year:  1) 4.70 (p<0.0001)  2) *4.50 (p<0.0001)  Control= 4.03  At 3 years:  1) 4.80±0.04 (p<0.0001)  2) 4.60±0.04 (p<0.0001)  Control= 4.13±0.04  Attitude to smoking index  At 1 year:  1) 41.34 (ns)	analysis (82%). There is nothing to suggest that researchers were blind in these decisions and they could potentially have influenced results.  Evidence gaps and/or recommendations for future research:  Research into the effectiveness of intervention in people at high risk of substance use using more aggressive follow-up techniques.  Research into methods for improving completeness of programme implementation by identifying the
		combination of demonstration, in class practice, feedback and reinforcement and behavioural 'homework' were used.  Booster sessions were provided in grades 8 (10 classes) and 9 (5 classes) to renew and reinforce learning.  Programmes in intervention schools were delivered by teachers trained by either:  1) Workshop - a one-day		1) 41.34 (ns) 2) 41.44 (ns) Control= 41.43 At 3 years: 1) 41.13±0.23 (ns) 2) 41.42±0.23 (p<0.01) Control= 40.63±0.21 No differences between intervention groups except for *(significantly lower than intervention 1).	characteristics of effective programme providers etc Source of funding: National Heart, Lung and Blood Institute, the New York State Division of Substance Abuse Services

0. 1.1.1		Method of allocation to	Outcomes and methods	- 1	
Study details	Population and setting	intervention/control	of analysis	Results	Notes
		workshop where programme		Attrition details:	
		rational explained and unit by		250/ at 2 mag	
		unit review of course material.		25% at 3 years	
		Ongoing project staff			
		consultation.			
		2) Video - a 2 hour training video			
		accompanied by written			
		instructions, similar in content			
		and structure to the workshop.			
		Intervention category:			
		School based			
		Intervention period:			
		~3 months in grade 7, 8 and 9.			
		Comparator/s			
		No intervention			
		Sample sizes:			
		<b>Total n=</b> 56 schools, 5,954			
		Intervention n=			
		Control n=			
		Baseline comparisons:			
		No significant differences in			
		primary behavioural outcomes.			
		Study sufficiently powered?			
		Not reported			
		1			

		Method of allocation to	Outcomes and methods		
Study details	Population and setting	intervention/control	of analysis	Results	Notes

thought likely or very likely to alter.

- † Score for external validity:
- 1. Likely to be applicable across a broad range of populations and settings.
- 2. Likely to be applicable across a broad range of populations and settings, assuming it is appropriately adapted.
- 3. Applicable only to populations or settings included in the studies the success of broader application is uncertain.
- 4. Applicable only to settings or populations included in the studies.

#### Table 5:10 Botvin et al. 2001

Study details	Population and setting	Method of allocation to intervention/control	Outcomes and methods of analysis	Results	Notes
Authors: Botvin	Source population/s: New York city schools Country:	Method of allocation:  Cluster randomisation of schools in blocks according to high/medium/low prevalence of smoking	Primary Outcomes: Smoking frequency index, smoking quantity index Adverse events: Secondary outcomes:	Primary outcomes: Intervention vs control outcomes (±SE) at 1 year follow up. Smoking frequency index:	Limitations identified by author: Follow up was only at 12 months and longerterm follow up would
Year: 2001 Aim of study:	US Study year: Not reported Eligible population:	Measures to minimise confounding: adjusted for demographic factors	Subgroup analysis of girls only and high risk pupils Smoking knowledge, behavioural intention and anti-smoking attitude index	1.73±0.04 vs 1.94±0.05 (p=0.012) Smoking quantity index: 1.19±0.02 vs 1.32±0.02 (p=0.0001)	be desirable to determine durability of prevention effects. All data was self- reported.
To examine the effectiveness of a school-based drug abuse prevention programme.	New York public schools  Selected population: 29 New York public	Intervention/s Intervention used a cognitive behavioural approach and involved 15 sessions in the 7th grade and	Follow-up periods: Immediately after intervention and 12 months from end of intervention Evaluation: Students received a baseline	Secondary outcomes: Smoking knowledge index: 37.4±0.57 vs 32.2±0.71 (p=0.011) Behavioural intention index:	The study focuses on black and Hispanic youth and results may not be generalisable to other minority populations. Different

Study details	Population and setting	Method of allocation to intervention/control	Outcomes and methods of analysis	Results	Notes
Cluster RCT  Internal validity <sup>\$</sup> : +  External validity <sup>†</sup> : 3	schools Age: 12 -13 (7th grade) at baseline Female: 53% Race/ethnicity: 61% African American, 22% Hispanic, 6% Asian, 6% White and 5% mixed/other. Socioeconomic status: Economically disadvantaged, 62% received free lunch Excluded population: Not reported Setting: Urban middle schools	10 booster sessions in the 8th grade (implemented by school teacher).  The programme taught drug resistance skills, antidrug norms and personnel and social skills using group discussion, demonstration, modelling, behavioural rehearsal and assignments for out of class practice.  Minimal information on long term health consequences was conveyed but more emphasis put on immediate negative consequences, decreasing social acceptability and actual prevalence rates.  Intervention category:  School based  Intervention period:  3 months  Comparator/s  The programme that was 'normally in place in New York City schools'  Sample sizes:  Total n= 29 schools, 5,222 pupils	questionnaire immediately prior to the grade 7 intervention and then a survey immediately after intervention (3 months later) and another one year post 7th grade-intervention (end of 8th grade). Questionnaires were administered by project data collectors in classes within school hours. Carbon monoxide in breath was collected at all assessments to enhance validity of self-reports.  Method of analysis:  ANCOVAs used to compare programme effects, adjusting for baseline scores. Gender, race and free school lunch status were included as control variables in all regression analysis.  Subgroup analysis was conducted on girls only and on a 'high risk' sample. These students (21% of full sample) were considered to be more likely to start smoking (had substance using peers or had poor academic performance).	1.62±0.03 vs 1.80±0.03 (p=0.0028) Anti-smoking attitude index: 86.9±0.40 vs 85.7±0.50 (p=0.013) Girls only Prevalence of past month smoking: 8.8% vs 12.3% (p=0.005) Smoking initiation since baseline: 19.6% vs 23.9% (p=0.02) Escalation to monthly smoking since baseline: 6.7% vs 9.9% (p=0.009) Smoking knowledge index: 36.12±0.70 vs 30.19±0.84 (p=0.0001) Anti-smoking attitude index: 87.23±0.51 vs 86.34±0.62 (p=0.34) Drug refusal skills: 76.5 vs 72.40 (p=0.029) High risk Smoking use index at follow-up: 1.79±0.08 vs 2.13±0.09 (p=0.006)	ethnic minority groups may respond differently.  Limitations identified by review team:  Attrition of students was high (31%). It is not possible to tell whether these were lost from intervention or control groups and selective drop out may have influenced study results.  Evidence gaps and/or recommendations for future research:  Research to examine the durability of programme effects and to what extent they are generalisable to other populations  Research examining whether targeted approaches or universal approaches are better for reaching ethnic minority youth.  Research to find the effectiveness of this approach in heavy smokers.

	Population and	Method of allocation to			
Study details	setting	intervention/control	Outcomes and methods of analysis	Results	Notes
		Intervention n= 16 schools		Attrition details:	Source of funding:
		Control n= 13 schools		31% (main sample)	National Institute for
		Baseline comparisons:		_	Drug Abuse
		No difference in baseline			
		use of any substance. No			
		difference in gender but			
		more black students and less			
		Hispanic students in			
		intervention group (p<0.001			
		for both). A higher			
		proportion of control			
		students received free			
		school lunches (p<0.001).			
		Study sufficiently			
		powered?			
		Not reported			
		- Total Paris			

<sup>†</sup> Score for external validity:

<sup>1.</sup> Likely to be applicable across a broad range of populations and settings.

	Population and	Method of allocation to					
Study details	setting	intervention/control	Outcomes and methods of analysis	Results	Notes		
2. Likely to be applicable across a broad range of populations and settings, assuming it is appropriately adapted.							
3 Applicable only	to populations or setting	re included in the studies - the s	success of broader application is uncerta	in			

- 3. Applicable only to populations or settings included in the studies the success of broader application is uncertain.4. Applicable only to settings or populations included in the studies.

Table 5:11 Brown et al. 2001

C. 1 1. "	Population and	Method of allocation to	Outcomes and methods of	P 1	N
Study details	setting	intervention/control	analysis	Results	Notes
Authors:	Source	Method of allocation:	Primary Outcomes:	Primary outcomes:	Limitations identified by
Brown	population/s:	randomisation	Percentage	% less likely to try	author:
	schools			tobacco 2 years	Contamination of the control
Year:		Measures to minimise confounding:	Adverse events:	following the	group (who were allowed to
2001	Country:	Not reported	Not reported	programme	access certain components of
	USA			treatment	the intervention) led to
		Intervention/s	Secondary outcomes:	(attended >11	unmeasurable effects on
Aim of study:	Study year:	School-based support groups were the	No	activities vs.	outcomes that ultimately
To demonstrate the	1995	primary intervention. School		attended <11	weakened the effects
effectiveness of a		counsellors in collaboration with	Follow-up periods:	activities) vs	attributable to definable
comprehensive school-	Eligible	therapists from a community-based	12, 24 months	control (62.5% vs.	programme components.
based intervention aimed	population:	child abuse treatment agency delivered		27.3%) vs 30%	
at reducing the negative	1.1.	the curriculum for those support	Evaluation		Limitations identified by
consequences (including	elementary schools	groups. Intervention strategies for the	The research question was	Secondary	review team:
tobacco use) of childhood	with similar	support groups were to (a) impact	addressed by both	outcomes:	The reported smoking
abuse among female	socioeconomic and	cognitive distortions with new	qualitative and	No	outcome was not well
adolescents with histories	racial/ethnic	information gained from exercises and	quantitative methods. The		defined. It is not clear
of physical, sexual and	profiles	discussions, (b) provide a safe,	qualitative method		whether it was a measure of
emotional abuse.	promes	confidential environment for support	provided descriptive	Attrition details:	self-reported smoking or was
Study design:	Selected	group members to tell their life stories	information about the	33%	a measure of attitude.
RCT	population:	O I	programme procedures,		
	1 1	and receive support and validation, (c)	processes and structures.		

	Population and	Method of allocation to	Outcomes and methods of		
Study details	setting	intervention/control	analysis	Results	Notes
Internal validity <sup>§</sup> : -	12 high schools	practice identification and expression of feelings in a nonjudgmental setting, (d)	Research staff interviewed programme participants		Evidence gaps and/or recommendations for future
External validity <sup>†</sup> : 4	Age:	decrease isolation through interaction	and staff and also		research:
	14 to 17 years  Female: 100%  Race/ethnicity: (White) Cohort 1= 74% Cohort 2= 69.6% Cohort 3= 70.9% Cohort 4= 56.9%  Socioeconomic status:	with others, (e) learn differing coping skills and learn to choose from a variety of options, (f) increase self-confidence and trust in others, and (g) raise awareness about the dangers of using alcohol and other drugs, especially by individuals who had suffered early childhood abuse. Students assigned to the programme condition could participate in all Project Chrysalis activities, including the school based support groups, case management, open educational sessions, Girls empowerment and the Challenge Course.	observed programme activities.  Method of analysis: linear stepwise regression analyses and logistic regression analyses		Curriculum to be introduced to abused females in early adolescence, developing strategies to increase programme attendance and defining a more appropriate comparison group.  Source of funding: Centre for substance abuse prevention under the grant programme Substance Abuse Prevention Demonstration for high risk youth populations
	Not reported  Excluded population: Not reported  Setting: Not reported	Intervention category: Single Intervention period: not reported Comparator/s Control condition could choose to attend the open educational sessions and received case management on request.			
		Sample sizes:			

Co. 1. day. Ta	Population and	Method of allocation to	Outcomes and methods of	D 16 .	NT-1
Study details	setting	intervention/control	analysis	Results	Notes
		Total n= 1108			
		Intervention n= Not reported			
		Control n= Not reported			
		Baseline comparisons:			
		No significant baseline differences			
		between the two study groups with			
		regard to history of abuse, number of			
		risk factors, prevalence of suicidal			
		tendencies, tobacco use, or sexual			
		activity			
		Study sufficiently powered?			
		Not reported			

- 1. Likely to be applicable across a broad range of populations and settings.
- 2. Likely to be applicable across a broad range of populations and settings, assuming it is appropriately adapted.
- 3. Applicable only to populations or settings included in the studies the success of broader application is uncertain.
- 4. Applicable only to settings or populations included in the studies.

Table 5:12 Brown et al. 2002

	Population		Outcomes and methods of		
Study details	and setting	Method of allocation to intervention/control	analysis	Results	Notes
Authors: Brown	Source population/s:	Method of allocation: Cluster randomisation of matched pairs	Primary Outcomes: Smoking prevalence	All outcome given for end of intervention (end grade	Limitations identified by author:
<b>Year:</b> 2002	High schools  Country:  Canada	Measures to minimise confounding: Not reported	Adverse events:	10) as intervention vs control  Primary outcomes:	Programme development, implementation and evaluation occurred at the
Aim of study: To evaluate a high school, extracurricular activity-based smoking reduction intervention  Study design:	Study year: Not reported  Eligible population: 35 highs schools  Selected population:	Intervention/s A teacher from each intervention school facilitated students, staff and community participants in planning and implementing prevention and cessation activities. Activities were tailored to each school with research staff providing consultation, workshops (for student and teacher leaders), resources, newsletters and \$1,000 to each school. Intervention occurred when students were in	Secondary outcomes: Subgroup analysis of students who were never smokers or who were smokers at the end of grade 8.  Follow-up periods: Midway through intervention (~12 months) and after intervention (~24	All students: Total: 24.9% vs 25.7%, ns Female: 28.3% vs 24.8%, ns Male: 21.1% vs 26.4%, ns  Secondary outcomes: Subgroup, students who had never smoked:	same time. A more 'mature' programme may have been more effective.  It was not possible to evaluate the relative effect of different intervention activities.  Reliable data on attendance at intervention activities was not available.
Cluster RCT  Internal  validity <sup>§</sup> : ++	30 schools  Age: Grades 9 (14- 15 years)	grades 9 and 10.  Intervention category: School-based (mentioned that community personnel involved in planning)	months)  Evaluation  Surveys taken at the end of	Total: 13.4% vs 15.2%, ns Female: 16.7% vs 14.2%, ns Male: 9.8% vs 16.4%, p=0.02 Sub-group, students who	Limitations identified by review team: It is not stated whether
External validity <sup>†</sup> : 3	Female: 50% Race/ethnicity: Not reported	Intervention period: Not reported	grade 8 (baseline) and the end of grades 9 and 10 (mid-way and after intervention). Students	were smokers pre- intervention: Total: 51.5% vs 50.1%, ns Female: 56.2% vs 53.0%, ns	subgroup analysis was pre- specified although this acts as the main focus for discussion. Appropriate test
	Socioeconomic status: Not reported	Comparator/s "Usual care"  Sample sizes:	asked about initial smoking experiences and current smoking patterns and were classified into smoking	Male: 46.7% vs 47.5%, ns  Attrition details: 4.8% of those who	of interaction for the subgroup effect was not done and no plausible reason for differential effect between
	Excluded population:	Total n=  3028 students eligible, 2,776 agreed to	categories. Surveys undertaken by independent	consented	male and female was provided.

Study details	Population and setting	Method of allocation to intervention/control	Outcomes and methods of analysis	Results	Notes
	None  Setting: High schools	participate (92%)  Intervention n= 1563 Control n= 1465  Baseline comparisons: Similar in grade 8 smoking status, grade 8 social models risk score and level of elementary school risk but intervention schools had slightly greater (NS) proportion of students who had had previous intervention in elementary school (p=0.1).  Study sufficiently powered?  Not reported	data collectors who were blind to school treatment status.  Method of analysis: In analysis, to account for cluster randomisation a variance term was used appropriate to the randomisation of schools (rather than individuals) within pars to intervention or control conditions. Not ITT, analysis on those remaining in the study (95.2%).		Evidence gaps and/or recommendations for future research:  Further research to identify which intervention activities have the greatest impact and to identify if particular activities have direct links with behaviour change.  Research into the impact of gender on programme effectiveness.  Source of funding:  NHLBI, The Canadian Cancer Society, National Cancer Institute of Canada and the Heart and Stroke Foundation of Ontario

- 1. Likely to be applicable across a broad range of populations and settings.
- 2. Likely to be applicable across a broad range of populations and settings, assuming it is appropriately adapted.
- 3. Applicable only to populations or settings included in the studies the success of broader application is uncertain.
- 4. Applicable only to settings or populations included in the studies.

Table 5:13 Brown et al. 2005

Study details Authors: Brown 2005	Population and setting Source population/s:	Method of allocation to intervention/control	Outcomes and methods of analysis Primary	Results Primary outcomes:	Notes Limitations identified by author:
Year: 2005  Aim of study: to test efficacy of Raising Healthy Children intervention on rates of substance use during early-tomiddle adolescence  Study design: RCT	Schools  Country: USA  Study year:  Eligible population: (1) students had to remain in their school throughout the entire 1st of their participation in study and (2) have a parent who spoke English, Spanish, Korean, or Vietnamese	Method of allocation: schools were matched and randomly assigned to either intervention or control condition  Measures to minimise confounding: adjusted for sex and socioeconomic status  Intervention/s: Individual student intervention strategies consisted of volunteer student participation in after-school tutoring sessions and study clubs during Grades 4–6 and individualized booster sessions and group-based workshops during middle and high school years.	Outcomes: Frequency of cigarette use  Adverse events: Not reported Secondary outcomes: Not reported  Follow-up periods: 48 months  Method of analysis: Two-part latent growth model	No significant differences were found in cigarette use growth rates between intervention and controls.  Secondary outcomes: Not reported  Attrition details: 8% lost to follow-up	Generalizability of results are limited by relying solely on adolescent self-reported substance use. The study did not exhaustively examine other explanatory variables.  Limitations identified by review team:  Evidence gaps and/or recommendations for future research: The study did not control for clustering effect at school-level.  Source of funding:
Internal validity <sup>§</sup> : ++ <b>External validity</b> <sup>†</sup> : 3	Selected population: 10 public elementary schools in a suburban school district of Seattle, Washington  Age: 11 to 14  Female: 46%	Family intervention strategies consisted of multiple-session parenting workshops (e.g., "Raising Healthy Children," "How to Help Your Child Succeed in School," and "Preparing for the Drug Free Years") and in-home services for selected families. Family			National Institute on Drug Abuse

Study details	Population and setting	Method of allocation to intervention/control	Outcomes and methods of analysis	Results	Notes
	Race/ethnicity: 82% European American	intervention strategies were delivered to families in group and individual sessions during Grades			
	Socioeconomic status: 28% were from low-income households	1–8.  Intervention category: multi-			
	Excluded population: not reported	component  Intervention period:			
	Setting: not reported	Comparator/s: usual education			
		Sample sizes: Total n= 1040			
		Intervention n= Control n=			
		Baseline comparisons: (similar/dissimilar)			
		Study sufficiently powered? Not reported			

		Method of allocation to	Outcomes and methods of		
Study details	Population and setting	intervention/control	analysis	Results	Notes

thought likely or very likely to alter.

- † Score for external validity:
- 5. Likely to be applicable across a broad range of populations and settings.
- 6. Likely to be applicable across a broad range of populations and settings, assuming it is appropriately adapted.
- 7. Applicable only to populations or settings included in the studies the success of broader application is uncertain.
- 8. Applicable only to settings or populations included in the studies.

# **Table 5:14 Byrne 2005**

		Method of allocation to	Outcomes and methods of		
Study details	Population and setting	intervention/control	analysis	Results	Notes
Authors: Byrne 2005	Source population/s: schools	Method of allocation: whole classes were	Primary Outcomes: Rate of smoking behaviour	<b>Primary outcomes:</b> all interventions strategies were	Limitations identified by
Year: 2005	Country: Australia	randomly assigned	Adverse events: Not reported	significantly better than control cohort in controlling overall smoking behaviour	author: Control group was not
	Study year: not reported	Measures to minimise confounding: None	Secondary outcomes: Not reported	Secondary outcomes: Not reported	specifically collected for this study. High
Aim of study: to examine the relative long-term efficacy of	Eligible population: not	Intervention/s	Follow-up periods: 12 months	Attrition details: 35.4% lost to follow-up	attrition rate may have resulted in
three specifically focussed prevention	reported	Health programme: (a) the biological effects of cigarette smoking, (b) the	Method of analysis: cross-tabulation	ionow up	an under- estimation of adolescent
strategies directed at the onset of adolescent smoking	Selected population: adolescents in grades 7-10 in Canberra high schools	relationships between smoking and various illnesses, (c) the toxicity of cigarette smoke and			smoking rates  Limitations identified by

Study details	Population and setting	Method of allocation to intervention/control	Outcomes and methods of analysis	Results	Notes
Study design:	Age: 11 – 17		ununy 313	Results	review team:
RCT	Female: 48%	its effects, (d) rates of smoking in the			Unit of analysis
Internal validity <sup>§</sup> : -	Race/ethnicity: not reported	Australian community (and worldwide) in			error, class-room was unit
Internal validity*: -  External validity*: 2	Socioeconomic status: not reported  Excluded population: not reported  Setting: not reported				was unit randomisation and analysis was at student level  Evidence gaps and/or recommendations for future research: There is a need for studies with longer follow-up.  Source of funding: DGB and Health Research Foundation of Australia
		(b) smoking as a social behaviour, (c) peer/exemplar pressure			

Study details	Population and setting	Method of allocation to intervention/control	Outcomes and methods of analysis	Results	Notes
Study details	Fopulation and setting	to	anarysis	Results	Notes
		smoke, (d) media			
		influences on smoking,			
		(e) stress and smoking, (f)			
		smoking and social			
		confidence, (g)			
		alternatives to smoking in			
		personal presentation (e.g. dress, conversation,			
		=			
		social confidence) and (h) life skills and resistance			
		to peer pressure, stress			
		management and			
		facilitation of social			
		confidence			
		Intervention category:			
		single-component			
		Intervention period:			
		Comparator/s: usual			
		education			
		Sample sizes:			
		Total n= 9129			
		Intervention n= 2719			
		Control n= 6410			

Study details	Population and setting	Method of allocation to intervention/control	Outcomes and methods of analysis	Results	Notes
		Baseline comparisons: (similar/dissimilar) Age group differs at baseline Study sufficiently powered? Not reported			

- † Score for external validity:
- 9. Likely to be applicable across a broad range of populations and settings.
- 10. Likely to be applicable across a broad range of populations and settings, assuming it is appropriately adapted.
- 11. Applicable only to populations or settings included in the studies the success of broader application is uncertain.
- 12. Applicable only to settings or populations included in the studies.

Table 5:15 Buller et al. 2008

	Population and		Outcomes and		
Study details	setting	Method of allocation to intervention/control	methods of analysis	Results	Notes
Authors:	Source	Method of allocation:	Primary Outcomes:	Primary outcomes:	Limitations identified by author:
Buller Year:	population/s: Schools in America &	pair-matched, group randomized, pretest- posttest controlled design	Prevalence of smoking  Adverse events:	American Trial estimate=0.0247, p=0.122	Matching of schools failed leading to dropout.
2008	Australia  Country:	Measures to minimise confounding: Schools were paired on geographical location; size of school; proportion of female and	Not reported  Secondary outcomes:	Australian Trial Pre-test:	Control school had fewer children who had ever smoked than intervention
Aim of study: To evaluate the effect of Internet based	USA and Australia	minority students. Multi-level analysis.	Knowledge attitude  Refusal skill	Intervention vs. Control= 13.1% vs. 11.2%	schools.  Selection bias introduced
programme to reduce expectations concerning smoking	Study year: 2001	Intervention/s "Consider this programme" contained 73 online activities organized into six modules:	Cope with peer pressure	Post-test:  Intervention vs. Control=	by active consenting procedures employed.
and smoking prevalence among school children. <b>Study design:</b> RCT	Eligible population: Not reported  Selected	Introduction, media literacy, relationships, mind and body, decision making, and resistance strategies. Instructions on resisting influences to smoke was taught strategies for coping with group-conformity pressures and maintaining positive relationships when	Self esteem efficacy  Follow-up periods: Not reported	12.7%% vs. 14.3%  Secondary outcomes: Perception of smoking American Trial Intervention: Pretest	The intervention may not be designed to address issues that promote smoking among early adopters.
Internal validity <sup>§</sup> : America=++ Australia=+	population: 21 schools is Colorado and New Mexico	refusing to smoke as well as traditional skills for refusing direct offers. Programme progression was controlled by the teachers who had ability to release modules for use by	Evaluation The main primary outcome of interest	M=6.84, SD=2.58, posttest M=6.47, SD=2.48; Control: pretest M=6.16, SD=2.45, posttest M=6.32, SD=2.24;	Limitations identified by review team: Nothing to add
External validity†: 2	(USA) 25 schools in	distributing each module's password to students.	was 30-day smoking prevalence. Other questions of interest were potential	estimate =-0.6029, p=0.034 <b>Australian Trial</b> Intervention: Pretest  3.31(0.76), posttest	Evidence gaps and/or recommendations for future research:
	Australia Age:	Intervention category: Single	moderators and mediators of programme effects.	3.36(0.76); Control: pretest 3.34(0.73), posttest 3.33(0.77); group	The content of the intervention was not tested outside of the internet environment so its effect in
	1190.	Intervention period:		difference =0.0498,	changing the mediators of

	Population and		Outcomes and		
Study details	setting	Method of allocation to intervention/control	methods of analysis	Results	Notes
Study details	Population and setting  11 to 13 years  Female: America: 51.2% Australia: 51.5%  Race/ethnicity: (White) America: 51.7% Australia: 72.2%  Socioeconomic status: students who received free or reduced-fee meals  Excluded population: None  Setting: Not reported	Method of allocation to intervention/control  45-60 minutes  Comparator/s Standard health education  Sample sizes:  America Total n= 1004 Intervention n= 640 Control n= 364  Australia Total n= 1510 Intervention n= 754 Control n= 756  Baseline comparisons: Similar demographics, computer/internet use, smoking history  Study sufficiently powered? Not reported	Outcomes and methods of analysis  Method of analysis: bivariate linear mixed models, multivariate analysis, intention to treat analysis	Results p=0.227  Attrition details: American 17.3% of which 8.9% was due to entire class dropping out and 8.4% due to being absent due to individual reasons. Australian 26.9%. 10 % of which was class dropouts while the remaining 16.9% were individual dropouts.	smoking is unknown.  Source of funding: Funded by a grant from the U.S. National Cancer Institute, the Cancer Council of Victoria and the South Australian Department of Human Services.

<sup>§</sup> The internal validity score of a study may vary depending on the reliability and validity of the outcome measures of interest. Score for internal validity: ++ All or most of the criteria have been fulfilled. Where they have not been fulfilled the study conclusions are though **very unlikely** to alter. + Some of the criteria have been fulfilled. Those criteria

	Population and		Outcomes and		
Study details	setting	Method of allocation to intervention/control	methods of analysis	Results	Notes

that have not been fulfilled or not adequately described are thought **unlikely** to alter the study conclusions. – Few or no criteria have been fulfilled. The study conclusion are thought **likely or very likely** to alter.

- 1. Likely to be applicable across a broad range of populations and settings.
- 2. Likely to be applicable across a broad range of populations and settings, assuming it is appropriately adapted.
- 3. Applicable only to populations or settings included in the studies the success of broader application is uncertain.
- 4. Applicable only to settings or populations included in the studies.

Table 5:16 Cameron et al. 1999

Study details	Population and setting	Method of allocation to intervention/control	Outcomes and methods of analysis	Results	Notes
Authors:	Source	Method of	Primary Outcomes:	Primary outcomes:	Limitations identified by author:
Cameron	population/s: Schools	allocation:	Prevalence of smoking	2 years follow-up	None
Year:	Schools	Schools were assigned randomly	Adverse events: Not reported	Intervention reduced smoking rates (experimental + regular)	Limitations identified by review
1999	Country:	to 1 of the 5	Secondary outcomes:	in high-risk schools (16.0% vs	team:
Aim of study: To determine	Canada	experimental conditions	No No	26.9%) but not in low-risk schools. A significant	Differential attrition may bias results.
the effect of provider (nurse or teacher) and	Study year: Not reported	Measures to minimise	Follow-up periods:	interaction between intervention condition and senior smoking rate (which	Evidence gaps and/or recommendations for future
training method		confounding: Not reported	12, 24 months post programme	was used to generate school	research: There is a need for further studies
(workshop or	Eligible population:	Not reported	Evaluation:	risk score) was found (F4,84 = 3.88, p=0.006).	to evaluate long term effect of the
self preparation ) on outcomes	Students from 10	<b>Intervention/s</b> The programme is	A questionnaire to assess smoking behaviour, reasons for smoking, and	The overall difference between	programme

Study details	Population and setting	Method of allocation to intervention/control	Outcomes and methods of analysis	Results	Notes
of a social	elementary school	based on social	other student characteristics was	comined intervention groups	Source of funding:
influence	boards and 5	influences.	administered to the students by trained	and control group was not	National Heart, Lung, and Blood
smoking	health units in 5	Providers: nurses	data collectors who used standardized	statistically significant (17.9%	Institute
prevention	communities in	and teachers	procedures at 3 time points: before the	vs 21.0%).	
programme	southwestern		curriculum was delivered in grade 6, at		
	Ontario	Intervention	the end of grade 7, and at the end of	Secondary outcomes:	
Study design:		category:	grade 8,.	no	
RCT	Selected	Single			
	population:		At the time of data collection,	Attrition details:	
Internal	100 schools	Intervention period:	preannounced breath samples were	10.2% Sex, social models risk	
validity§: ++		The grade 6 unit had	collected to enhance the accuracy of self-	score and smoking status at	
	Age:	six 40-min lessons, 1	reported smoking behaviour. Students	baseline	
Estama1	11 to 14	week apart; the 7	who had left the study schools received		
External validity <sup>†</sup> : 2		unit had three 40-	the questionnaire by mail but did not		
validity: 2	Female:	min lesions 1 week	provide a breath sample.		
	Not reported	apart; and grade 8			
	Race/ethnicity:	unit had 6 weekly 40-min classes	Method of analysis:		
	Not reported	40-min classes	logistic regression analysis		
	Socioeconomic status:	Comparator/s Not described			
	Not reported  Excluded  population:	Sample sizes: Total n=			
	Not reported	4971			
	Setting: Not reported	Intervention n= Not reported Control n= Not reported			
		Baseline			

	Population and	Method of allocation to			
Study details	setting	intervention/control	Outcomes and methods of analysis	Results	Notes
		comparisons: Similar smoking status, gender Study sufficiently powered? Not reported			

## † Score for external validity:

- 1. Likely to be applicable across a broad range of populations and settings.
- 2. Likely to be applicable across a broad range of populations and settings, assuming it is appropriately adapted.
- 3. Applicable only to populations or settings included in the studies the success of broader application is uncertain.
- 4. Applicable only to settings or populations included in the studies.

# Table 5:17 Campbell et al. 2008

		Method of allocation to	Outcomes and methods of		
Study details	Population and setting	intervention/control	analysis	Results	Notes

		Method of allocation to	Outcomes and methods of		
Study details	Population and setting	intervention/control	analysis	Results	Notes
Authors:	Source population/s:	Method of allocation:	Primary Outcomes:	Primary outcomes:	Limitations identified by
Campbell et al	Schools in England &	Stratified block cluster randomisation	Prevalence of weekly	*Odds (OR) of	author:
Year:	Wales		smoking amongst all students and Prevalence of	smoking in the past	None
2008	6 , 177		weekly smoking amongst all	week -	
	Country: UK	Measures to minimise confounding:	students classed at "high-	Post intervention:	Limitations identified by review team:
Aim of study:		multilevel analysis to adjust for	risk" of smoking	0.75 (CI 0.55-1.01)	Some of the students
To test the	Study year: 2001	clustering, stratification by risk group	Adverse events: Not	p=0.058	included in follow-up
effectiveness of		Intervention/s	reported	At 1 year post-	surveys were not at the
peer-led school	Eligible population:	The ASSIST (A stop smoking in schools		intervention:	school at the time of the
intervention for	223 schools in the west of	trial) programme. Not classroom based.	Secondary outcomes:	0.77 (CI 0.59-0.99) p=0.043	intervention.
smoking prevention	England & Southeast	Influential students trained to act as peer	No	2 year follow-up:	
prevention	Wales	supporters during informal interactions	Follow-up periods: Directly	, ,	Evidence gaps and/or
Study design:		outside of the classroom to encourage	after intervention and at 12	0.85 (CI 0.72-1.01) p=0.067	recommendations for future research:
Cluster RCT	Calactal acceptation	peers not to smoke. Influential students	and 24 months	p=0.007	The programme was only
	Selected population:	identified through student questionnaires and asked, with parental consent, to act as		Secondary outcomes:	implemented in one year
Internal	59 schools	peer supporters. Peer supporters received	Method of analysis:	In subgroup of	group in each school and
validity§: +		2-day training by those experienced in	Individual level, multilevel	occasional,	authors suggest that effects
External	<b>Age:</b> 12 to 13	youth work and health promotion	analysis, sub-group analysis	experimental or ex-	on cultural norms could be
validity <sup>†</sup> : 2	Female: 49%	specialists. Training aimed to provide	of students who were	smokers. *Odds (OR)	achieved with intervention
validity . 2	Race/ethnicity: nr	peer supporters with information on	occasional/experimental/ex-	of smoking in the	in all age groups.
		risks, environmental and economic	smokers, intention to treat basis	past week -	Source of funding:
	Socioeconomic status:	benefits of not smoking, communication	Dasis	Post intervention:	Medical Research Council
	Individual-level	and listening skills, expression of feelings and ideas, ways of giving and receiving		0.79 (CI 0.55-1.13) p=0.189	THE WIELD THE SOUTH OF WITCH
	socioeconomic status	information, conflict resolution etc and to		*	
	was measured using	increase their confidence, self-esteem,		At 1 year post- intervention:	
	family affluent score and	empathy and sensitivity, assertiveness,		0.75 (CI 0.56-0.99)	
	family vehicle	decision making and prioritisation skills.		p=0.046	
	ownership. Two or more	Intervention implemented over 10 weeks		2 year follow-up:	
	family cars 57%	with informal conversations outside of		0.85 (CI 0.70-1.02)	
	intervention, 49% control	classroom settings: School bus, lunchtime,		0.00 (C1 0.70-1.02)	

		Method of allocation to	Outcomes and methods of		
Study details	Population and setting	intervention/control	analysis	Results	Notes
		after school and free time. A note of		p=0.087	
		conversations had was recorded by peer			
	Excluded population:	supporters. Four school visits by trainers		*Adjusted for	
		within intervention period to support and		baseline smoking	
	Setting: England and	advise peer supporters.		and stratifying	
	southeast Wales			variables	
		Intervention category:			
		Single		Attrition details:	
				Post intervention:	
		Intervention period:		~6%	
		10 weeks		1 (0/	
		Comparator/s		1 year: ~6%	
		No intervention		2 year:8%	
		Sample sizes:		But, some students	
		Total n= 10730		that were included	
				had not undergone	
		Intervention n= 50% of 10730		the intervention	
		<b>Control n=</b> 50% of 0730		the fitter verition	
		Baseline comparisons:			
		Dissimilar in family affluence score			
		Dissimilar in fairing afficience score			
		Charles and Chiantine and an analysis of the charles and the charles are the charles and the charles are the c			
		Study sufficiently powered? yes			

			Method of allocation to	Outcomes and methods of					
St	udy details	Population and setting	intervention/control	analysis	Results	Notes			
† 5	† Score for external validity:								
1.	1. Likely to be applicable across a broad range of populations and settings.								
2.	2. Likely to be applicable across a broad range of populations and settings, assuming it is appropriately adapted.								
3.	3. Applicable only to populations or settings included in the studies – the success of broader application is uncertain.								
4.	Applicable of	only to settings or population	ns included in the studies.						

# Table 5:18 Chatrou et al. 1999

		Method of allocation to	Outcomes and methods		
Study details	Population and setting	intervention/control	of analysis	Results	Notes
The Brabant smoking prevention	Source population/s:	Method of allocation:	Primary Outcomes:	Primary outcomes:	Limitations identified by author:
programme(Chatrou et al. 1999)	Dutch schools  Country:	Cluster randomisation. Classes were randomised to receive control or one of 2 interventions.	Smoking prevalence  Adverse events:	Prevalence of smoking (p vs	Using a more restrictive level for significance testing (p<0.01) and
Authors:	Netherlands	Measures to minimise confounding:	Secondary outcomes:	control).	the low study power may have been responsible for the lack of
Chatrou	Study year: 1987	adjusted for demographic factors	Intention to smoke  Follow-up periods:	Immediately post-intervention:	apparent intervention effect.  A small number of students
<b>Year:</b> 1999	Eligible population: First and second year	Intervention/s Three lessons were given to first or	Immediately post test and at 6 and 18 months.	1) 8.8% (ns) 2) 13.8% (ns)	overall made a transition from non-smoking to smoking during
Aim of study: To evaluate the effectiveness of a school- based school smoking	classes from 4 schools: 1 junior secondary vocational, 1 junior general secondary, 1 senior general	second year pupils in 3 consecutive weeks. A video presentation was given with class discussions. Adults were trained by research staff to give classes. The 2 interventions were:	Evaluation:  Questionnaires were taken at baseline, just prior to intervention,	Control= 9.6% 6 months: 1) 11.9% (ns) 2) 19.4% (ns) Control= 15.2%	the study period and this may have made it more difficult to detect programme effects.  The intervention might have been more successful if it had
prevention programme  Study design:	secondary and 1 pre- university school.	1) Emotional/self group: Intervention aimed at providing non-smoking adolescents with knowledge on	one week after the 3 week intervention and 6 and 18 months post	18 months: 1) 20.2% (ns)	been peer-led or if it had been longer.  Using an emotion type approach

		Method of allocation to	Outcomes and methods		
Study details	Population and setting	intervention/control	of analysis	Results	Notes
Cluster RCT	Selected population:	smoking effects as well as exploring	intervention.	2) 25.6% (ns)	may not be effective in Dutch
	48 classes from 4	the emotional aspects of risky	Method of analysis:	Control= 20.9%	adolescents.
Internal validity§: -	schools	behaviour. Classes gave students opportunities to discuss their own	One-way analysis of	1) vs control:	Interventions that cover more areas of life, not just school may
External validity <sup>†</sup> : 3	Age:	experiences of smoking.	variance. Logistic	adjusted OR	be more effective.
	12 -14 years Female:	2) Health/technical group: These students were shown the same video as treatment group 1 but discussions	regression used to test for predictors of smoking	(99%CI) for smoking: post-	Limitations identified by review team:
	56% Race/ethnicity:	centred on the health and technical aspects of smoking.	behaviour/intention to smoke. Individuals were the unit of	intervention: 1.49 (0.48 to 4.76) 6 months:	There may have been contamination between
	Not reported	Intervention category: School based	analysis.	0.65 (0.30-1.41) 18 months:	treatment/control classes within the same schools.
	Socioeconomic status:  Not reported	Intervention period:		1.10 (0.58 to 2.08)	There were evident differences between groups at baseline,
	Excluded population:	3 weeks		Secondary outcomes:	although these were adjusted for in the analysis. The analysis did
	Setting: Classroom in junior	Comparator/s Standard information about smoking if		High intention to smoke	not seem to have adjusted for clustering effect.
	secondary vocational/junior	it was included by chance in their regular curriculum.		Immediately post-	Tables 4 and 5 appear to present wrong values for % intention to smoke and smoking prevalence
	general	Sample sizes:		intervention:	(inconsistent with n values given
	secondary/senior general secondary/pre- university schools.	Total n= 48 classes, 949 pupils Intervention 1 n= 13 classes, 284 pupils Intervention 2 n= 15 classes, 315 pupils		1) 11.6% (ns) 2) 19.5% (ns) Control= 20.3%	and %s do not add up to 100%). For this work, %s have been calculated from the presented n
		Control= 20 classes, 350 pupils		6 months:	values.
		Baseline comparisons:  Groups differed significantly at baseline in smoking behaviour,		1) 17.5% (ns) 2) 23.6% (ns) Control= 28.9% 18 months:	Evidence gaps and/or recommendations for future research:
		intention to smoke, friends smoking behaviour, gender age and school type.		1) 26.2% (ns) 2) 37.0% (ns)	None

Study details Pop	pulation and setting	Method of allocation to intervention/control	Outcomes and methods of analysis	Results	Notes
		Study sufficiently powered?  Not reported		Control= 28.9% Attrition details: 5.5%	Source of funding: Netherlands Heart Foundation, Dutch Foundation on Smoking and Health

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Table 5:19 Connell et al. 2007

Study	Population and	Method of allocation to	Outcomes and methods of		
details	setting	intervention/control	analysis	Results	Notes
	Source population/s:	Method of allocation:	Primary Outcomes:	Primary outcomes:	Limitations identified by
	Ethnically diverse	Randomisation (individual families)	Nicotine abuse/dependence	Nicotine abuse/dependence	author:
Authors:	metropolitan	Randomisation (marviada families)		in treatment vs control:	There is reliance on self
Connell	community in the	Measures to minimise confounding:	Adverse events:	X <sup>2</sup> =3.09, p=ns	reported behaviour by
Year:	Northwest region of	adjusted for demographic factors	Not reported	_	youths.
2007	the US			CACE analysis showed	
		Intervention/s	Secondary outcomes:	CACE allarysis showed	Limitations identified by

Aim of Study: rep	setting ountry: US tudy year: not eported	intervention/control  Intervention followed The Adolescent	analysis Uptake of FCU part of	Results	Notes
Aim of Study: rep	tudy year: not		Uptake of FCU part of		
the impact of an adaptive family intervention in public schools on substance use and antisocial behaviour  Study design: RCT  Internal validity*: -  External validity*: 3  External validity*: 3	ligible population:  h grade students (11-2 years) from 3 hiddle schools in forthwest United states  elected population:  28 adolescents and heir families  ge: 11 to 12 years (at art of intervention) and their families  emale: 47.3%  acc/ethnicity: 42.3%  //hite, 29.1% Africanmericans, 6.8%  atinos, 5.2% Asianmerican, 16.4%  ther.  ocioeconomic status: fot reported  xcluded population:  etting: amily resource	Transitions Programme (ATP), a model that tailors the type and intensity of intervention to the needs of a family.  A family resource centre (FRC) was established in each of the participating schools and the parent-centred services were available to all intervention students and their families. The FRC consultant conducted 6 class lessons (the SHAPe curriculum) for students with focus on: school success, health decisions, building positive pier groups, the cycle of respect, coping with stress and anger and solving problems peacefully.  When children were in grades 7 and 8, families were offered a family check-up (FCU) (particularly families of youth considered high risk). The brief 3-session intervention, based on motivational interviewing, consisted of an initial interview, an assessment session and a feedback session. Families were videoed at home to aid assessment.  Discussion with the family was used to identify relevant services on offer at the FRC. Services included brief consultations with parents, telephone consultations, feedback to parents of students school-time behaviour, access to videos and books, group therapy for parents and individual and family therapy.	intervention  Follow-up periods:  12, 24, 36, 60 months  Evaluation  Students surveyed at baseline (beginning of grade 6) and at the beginning of grades 7, 8, 9 and 11 and paid \$20 for each survey.  Method of analysis:  ITT. Main analysis focused on comparison of students taking part in FCU versus those who did not. Lots of correlation analysis of predictors of substance use etc in those taking part and not taking part in an FCU.  Evaluated intervention effect using Complier Average Causal Effect (CACE) analysis between 'engager' families and 'nonengager' families.	that within 'engagers', family participation in the FCU inhibited growth in tobacco use from ages 12 to 17 years.  Secondary outcomes: 115 families (23%) elected to receive the FCU and 88 (18%) received further FRC services.  Attrition details: 20.5%	review team: Little of the analysis was relevant to outcomes of interest  Evidence gaps and/or recommendations for future research: Additional research needed into specific mechanisms by which intervention influences behaviour.  Source of funding: National Institute on Drug Abuse and the National Institute on Alcohol abuse and Alcoholism.

Study	Population and	Method of allocation to	Outcomes and methods of		
details	setting	intervention/control	analysis	Results	Notes
	schools				
		Intervention category:			
		Family			
		Intervention period:			
		Whilst students were in grades 6-8 (11-14 years)			
		Comparator/s			
		None stated			
		Sample sizes:			
		Total n= 998 (agreed to participate)			
		Intervention n= 500 students and their families Control n= 498 students and their families			
		Baseline comparisons:			
		Not reported			
		Study sufficiently powered?			
		Not reported			

<sup>1.</sup> Likely to be applicable across a broad range of populations and settings.

Study	Population and	Method of allocation to	Outcomes and methods of							
details	setting	intervention/control	analysis	Results	Notes					
2. Like	2. Likely to be applicable across a broad range of populations and settings, assuming it is appropriately adapted.									
3. App										
4. App	cable only to settings or pop	pulations included in the studies.								

# Table 5:20 Crone et al. 2003

Study details	Population and setting	Method of allocation to intervention/control	Outcomes and methods of analysis	Results	Notes
Crone Authors:	Source population/s: Schools from 54 (all	Method of allocation: Size stratified cluster randomisation	Primary Outcomes: Smoking prevalence Adverse events:	Primary outcomes: Odds ratio for non- smokers becoming	Limitations identified by author:  Intervention and control were different at baseline, especially
Crone Year: 2003	but 3) community health services in the Netherlands.	Measures to minimise confounding: adjusted for background characteristics Intervention/s	Not reported  Secondary outcomes:	smokers (n=1388) post-intervention: *0.61 (95% CI 0.41- 0.90)	different at baseline, especially regarding gender but adjusted for in analysis.  All information was self-reported
Aim of study:	<b>Country:</b> Netherlands	Intervention was developed and delivered by The National Institute against Smoking (Stivoro) and the	None Follow-up periods:	Odds ratio for smoking (n=1669)	and there may have been information bias.
To assess the effect of an anti smoking intervention in	Study year: 1998 Eligible population:	National Institute on Mental Health. Three lessons on knowledge, attitudes and social influence were given followed by a class agreement not to start, or to	Immediately post- intervention and at 1 year after intervention	post-intervention: *0.62 (95% CI 0.43- 0.90)	Limitations identified by review team:  Competition run con-currently with intervention in intervention
lower secondary school adolescents  Study design: Cluster RCT	Any school in 54 health services that provided lower education  Selected population:	stop, smoking for the next 5 months.  There was an optional extra of two video lessons on smoking and social influence.  Intervention was part of a competition where classes could win 220-450 euro for a photo expressing the idea of a nonsmoking class. Admission to the competition required that <10% of the	Evaluation Anonymous questionnaires were administered immediately before and after intervention on demographics, smoking behaviour, attitudes, perceived social influences,	*Adjusted for smoking at baseline  Secondary outcomes:  At 1 year follow up, differences were no longer significant.  No difference in	schools. The financial incentive for having low rates of smoking may have made people in these schools more likely to report more favourable results than controls. Authors say that "Incentive effect" was not

	Population and	Method of allocation to	Outcomes and methods of		
Study details	setting	intervention/control	analysis	Results	Notes
Internal	26 schools	class were non smokers at 5 months.	self efficacy and intention to	attitudes or self-	controlled for.
validity <sup>§</sup> : - External validity <sup>†</sup> : 2	Age: Average 13 years  Female: ~45%  Race/ethnicity: ~12% non-Dutch  Socioeconomic status: ~79% full-time job  Excluded population: Not reported  Setting: Classroom lessons	Stivoro researchers trained intervention schools, supported in activities checked protocol adherence and collected competition registration forms and pictures.  Intervention category: School-based  Intervention period: 1998-1999 Comparator/s Some schools in both intervention and control continued to use a national drug education programme.  Sample sizes: Total n= 2562 (completed baseline questionnaires) Intervention n= 1444 Control n= 1118  Baseline comparisons: Dissimilar in sex, ethnicity, religion and age at baseline Study sufficiently powered? yes	remain a non-smoker. Smokers defined as those experimenting with smoking or weekly/daily smokers. Another questionnaire was undertaken 1 year after intervention.  Method of analysis: Multilevel analysis to account for clustering with adjustments for baseline demographic differences. Main analysis not ITT (65% of baseline sample) but ITT analysis conducted to asses potential effects of drop-out.	efficacy.  Odds radio for perceived change in social pressure of classmate:  0.42 (95% CI 0.05-0.79)  Attrition details: Post-intervention measurement: intervention 32%, control 39%. 1 year follow-up: intervention 63%, control 64%.	Including those 'experimenting with smoking' as smokers may not have been appropriate as this might be more subjective and affected by whether students are in intervention or control schools.  Evidence gaps and/or recommendations for future research:  Intervention should have been extended to the following school years as booster education may reinforce the message and give longer-term effects.  May use a different approach of focusing on cognitive and social outcomes rather than achievement of specific behavioural outcomes.  Source of funding: None

§ The internal validity score of a study may vary depending on the reliability and validity of the outcome measures of interest. Score for internal validity: ++ All or most of the

	Population and	Method of allocation to	Outcomes and methods of		
Study details	setting	intervention/control	analysis	Results	Notes

criteria have been fulfilled. Where they have not been fulfilled the study conclusions are though **very unlikely** to alter. + Some of the criteria have been fulfilled. Those criteria that have not been fulfilled or not adequately described are thought **unlikely** to alter the study conclusions. – Few or no criteria have been fulfilled. The study conclusion are thought **likely or very likely** to alter.

## † Score for external validity:

- 1. Likely to be applicable across a broad range of populations and settings.
- 2. Likely to be applicable across a broad range of populations and settings, assuming it is appropriately adapted.
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- 4. Applicable only to settings or populations included in the studies.

#### Table 5:21 de Vries et al. 2006

Study details	Population and setting	Method of allocation to intervention/control	Outcomes and methods of analysis	Results	Notes
The European Smoking prevention Framework Approach (ESFA) – Overall and UK specific results  Author/Year: de Vries 2003, de	Source population/s: Schools in Denmark, Finland, The Netherlands, Spain, Portugal and the UK Country: EU (+UK) Study year:	Method of allocation: Cluster randomisation of schools or regions within each country apart from Dutch schools where there was non-random allocation and Spanish schools where controls were matched to previously selected intervention schools.  Measures to minimise confounding: adjustment for demographic factors, attitudes	Primary Outcomes: Proportion of baseline nonsmokers becoming weekly smokers. Adverse events: Secondary outcomes: None	Primary outcomes: Proportion of baseline non-smokers becoming weekly smokers (intervention vs control, adjusted odds ratios, 95% CIs).  1y from baseline Overall:	Limitations identified by author:  Random allocation was impossible in The Netherlands and Spain.  Self-reported smoking could not be validated.  A peer-led approach was not possible.

	Population and		Outcomes and		
Study details	setting	Method of allocation to intervention/control	methods of analysis	Results	Notes
Vries 2006  Aim of study: To evaluate the effectiveness of a policy and school, home and community based intervention for prevention of smoking in adolescents  Study design:	-	Intervention/s With the same collective objectives, each country used/developed their own materials and strategies for intervention. Overall: In the first intervention year, all countries developed a school based programme (predominantly teacher led) including information on social influences and training in refusal skills. Most schools implemented smoke free school policies. Most parents received letters about the project and, in some countries, brochures were sent out. In most counties, posters were displayed in the community.  UK: In the UK, teaching did not include refusal skills		8.5% vs 9.0% OR 0.98 (0.86-1.11, p ns) UK: 9.0% vs 9.0% OR *1.27 (1.00-1.62, p<0.05) *with adjusted OR, counter-productive effect  2y from baseline Overall: 18.4% vs 18.8%	Some teachers had negative attitudes toward implementing the programme.  Teacher training varied widely and poorer training may have been associated with smaller effects.  The development of out-of-school activities was not very successful.  Delays in project
Cluster RCT  Internal validity <sup>§</sup> : -  External validity <sup>†</sup> : 2	Age: Age 12-13 in Spain, grade 7 (mean age 13.8) in Finland at study entry. Not reported for other countries Female: Overall 50.2% Race/ethnicity: Not reported Socioeconomic status: Not reported Excluded population: Not reported	training or discussion of social pressures (as did teaching in other countries), but concentrated on examining the power of advertisement, decision making skills and learning about tobacco and the environment.  Intervention category: School based/home/community/policy Intervention period: 3 years Comparator/s No intervention Sample sizes: Total n= Overall: ~255 schools, 19,034 non-smoking students (baseline) UK: 41 schools, non-smoking UK students at T4: Intervention n= 1,108	Method of analysis: Logistic regression analysis. Covariates included to correct for potential baseline differences. Final models run using multi-level analysis.  Only baseline non- smokers included in the analysis, Not ITT.	OR 0.97 (0.69-1.08, p=0.62) UK: 17.7% vs 18.8% OR 1.00 (0.75-1.25, p=0.99) 2.5y from baseline Overall: 21.9% vs 23.4% OR 0.89 (0.80-0.99, p=0.03) UK: 21.2% vs 23.6% OR 0.91 (0.73-1.14, p=0.42) Secondary outcomes:	funding stalled programme development.  Limitations identified by review team:  Large attrition may have impacted results.  Evidence gaps and/or recommendations for future research:  Research utilising different designs to identify which intervention methods are effective and under which circumstances.

Study details	Population and setting	Method of allocation to intervention/control	Outcomes and methods of analysis	Results	Notes
	Setting: Schools/home/ community	Control n= 959 <b>Baseline comparisons:</b> Overall: No difference in whether lived in disrupted family, amount of pocket money, being religious, weekly alcohol consumption but control slightly older (p<0.05). Intervention students were more likely to be in the lower 3 <sup>rd</sup> of their class and were more likely to be non-native (p<0.001), and more likely to drink alcohol during the week (p<0.001). <b>Study sufficiently powered?</b> Not reported		None Attrition details: Overall: T2 23.5% T3 41.6% T4 43.5% UK: T2 32.3% (T3 and T4 not reported)	Research on the out-of- school access points to reach children e.g. sports clubs, cafes etc. Source of funding: European Commission (The Tobacco and Information Fund)

- 1. Likely to be applicable across a broad range of populations and settings.
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- 3. Applicable only to populations or settings included in the studies the success of broader application is uncertain.
- 4. Applicable only to settings or populations included in the studies.

Table 5:22 Dent et al. 1995

	Population and	Method of allocation to	Outcomes and methods of		
Study details	setting	intervention/control	analysis	Results	Notes

	Population and	Method of allocation to	Outcomes and methods of		
Study details	setting	intervention/control	analysis	Results	Notes
	Source population/s:	Method of allocation: Block randomisation with blocks	<b>Primary Outcomes:</b> Smoking Prevalence	Primary outcomes: Change in prevalence	Limitations identified by author: There may have been many
Project Towards No Tobacco Use (Project TNT)	Californian junior high schools  Country:	balanced for region (urban vs rural) and school type (middle school vs junior high school) Measures to minimise confounding:	Adverse events: Not reported Secondary outcomes: None	of weekly cigarette use over 1 year (significance vs control):	normative social influence type programmes around in the general media at the time and led to lack of engagement with this type of
Dent 1995	US	adjusted for gender, region, school		1) 5.3% (ns)	intervention
Aim of study:	Study year: Not reported	turn over	Follow-up periods: 12 and 24 months after	2) 3.2% (p<0.05)	Limitations identified by review team:
To determine the	1	Intervention/s	intervention	3) 2.6% (p<0.05)	Unclear how study investigators
effectiveness of three particular	Eligible population:	8 schools were assigned to each of the 4 intervention conditions and 16	<b>Evaluation:</b> Self report questionnaires	4) 2.0% (p<0.05)	have dealt with cohort and cross sectional data. Appears to have
components of a social influences programme	Schools in 27 southern	schools to the standard curriculum control. Interventions given in 7th grade:	administered immediately prior to intervention,	Control = 5.6%	been merged.  Teachers were 'least enthusiastic' about teaching the intervention
Study design: RCT	Californian districts	Counteract normative social influence i.e. provision of refusal	immediately after and at 1 and two year follow up. Students in 20 schools	Change in prevalence of weekly cigarette use over 2 years	aimed at counteracting normative social influence. Preferences and
Internal validity§: -	Selected population:	skills so that students are able to resist peer group pressure. 2) Counteract informational social	were followed up as a cohort (4 schools for each	(significance vs control):	perspectives of teachers may influence how well any particular intervention is implemented.
External validity†: 3	48 schools	influence i.e. information given about modelling and advertising influences	intervention). Students in the remaining 28 schools	1) 9% (ns)	Multiple testing between intervention conditions may lead to
	Age:	so that students are best about to	were evaluated using cross sectional surveys (~3	2) 12% (ns)	spurious significant findings (only
	12 to 13 (7 <sup>th</sup> grade)	resist social/cultural influences. 3) Counteract lack of knowledge.	randomly selected classes	3) 8% (ns)	significance compared to control given here).
	Female: 50%	Students taught about physical consequences and misconceptions. 4) A combination of interventions 1-3.	per school evaluated, 4 schools from each intervention).	4) 4% (p<0.05) Control = 9%	Evidence gaps and/or recommendations for future
	Race/ethnicity:	Each intervention group received 10	Method of analysis:	Secondary outcomes:	research: Research on the best methods of
	60% White,27% Hispanic, 7%	lessons that included similar motivational and commitment	One-way analysis of covariance (ANCOVA)	none	implementation as well as the content of intervention
	black, 6% Asian or	elements but other different	used to examine effects of	Attrition details:	programmes.

Study details	Population and setting	Method of allocation to intervention/control	Outcomes and methods of analysis	Results	Notes
Study details	other  Socioeconomic status:  Not reported  Excluded population:  Setting: Half rural, half urban schools, Classroom education	components depending on intervention group.  A booster session was delivered in the 8th grade in line with the original intervention.  Intervention category: School based  Intervention period: 2 weeks Comparator/s Standard curriculum  Sample sizes: Total n= 48 (schools unit of analysis) (6716 pupils immediately post-test, 7219 at 2 year follow up, 65% reported taking part in intervention)  Intervention n= 8 schools (x4 intervention groups) Control n= 16 schools  Baseline comparisons: Not reported Study sufficiently powered? Not reported	intervention on tobacco outcomes with school as the unit of analysis. Intention to treat.	Not reported	Intervention materials should be updated to be applicable to present social situations of high school students.  Source of funding: National Cancer institute and National Institute on Drug Abuse

	Population and	Method of allocation to	Outcomes and methods of		
Study details	setting	intervention/control	analysis	Results	Notes

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Table 5:23 Dent et al. 2001

Study details	Population and setting	Method of allocation to intervention/control	Outcomes and methods of analysis	Results	Notes
	Source	Method of allocation:	Primary Outcomes:	Primary	Limitations identified by
Project towards no	population/s:	Randomisation (of classes)	Cigarette use	outcomes:	author:
drug abuse Authors:	Los Angeles general high schools	Measures to minimise confounding: adjusted for pretest use	Adverse events:	Cigarette use F(1,24)=1.16,	None
Dent	Country:	adjusted for pretest use	None	p=0.64)	Limitations identified by
Year: 2001	US Study year:	Intervention/s 9 session classroom-based programme	Secondary outcomes:	Secondary	review team: There may have been
Aim of study:	Not reported	consisting of three 50 minute sessions per week for 3 consecutive weeks. Week 1:	None	outcomes:	contamination between classes receiving
To examine the	Eligible	Lesson 1: elicited cooperation and instructs	Follow-up periods:	None	intervention/control within
effectiveness of a	population:	on communication and listening skills.	12 months after end of intervention	A 11 - 11	the same schools.
classroom based prevention	78 Los Angeles	Lesson 2: attempted to motivate by	Evaluation	Attrition details:	

	Population and	Method of allocation to			
Study details	setting	intervention/control	Outcomes and methods of analysis	Results	Notes
Study details programme in high chools Study design: Cluster RCT Internal validity <sup>\$</sup> : External validity <sup>†</sup> :3	-	intervention/control  providing stereotype information (that people believe senior high school students are drug abusers) and facilitating disagreement with stereotype.  Lesson 3: information given regarding self-defeating myths about drug use.  Week 2 included teaching on chemical dependency, perspectives over negative consequences and learning how to change behaviour. The third week concentrated on increasing self-control and encouraging students to make a decision and commitment regarding drug use.  Intervention category: School-based  Intervention period: 3 weeks  Comparator/s Standard care  Sample sizes: Total n= 1208 (baseline sample)  Baseline comparisons: Similar demographic factors  Study sufficiently powered?	Outcomes and methods of analysis  Survey conducted at baseline and at 1 year follow up. Pencil and paper surveys administered by project staff (not involved in education of those students). At follow up, students who had moved school (19%) were surveyed by telephone using the same questionnaire.  Method of analysis:  Possible effects of bias from attrition tested for using ttests and X².  Baseline variables tested using X².  ANOVA used to assess programme effects on drug use. Class used as unit of analysis.	Results 37%	Notes  Evidence gaps and/or recommendations for future research:  None  Source of funding:  Not detailed

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	Population and	Method of allocation to			
Study details	setting	intervention/control	Outcomes and methods of analysis	Results	Notes

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Table 5:24 Dijkstra et al. 1999

	Population and	Method of allocation to	Outcomes and methods of		
Study details	setting	intervention/control	analysis	Results	Notes
Authors:	Source	Method of allocation:	Primary Outcomes:	Primary outcomes:	Limitations identified by
Dijkstra	population/s:	Schools were randomly assigned	smoking prevalence (never,	SI approach was effective in	author:
,	schools	to three experimental conditions	initial, occasional, weekly, and	reducing onset of smoking. At	Self-reports on smoking
<b>Year:</b> 1999		F	daily smoker)	short term (6 months after pre-	behaviour were not
	Country:			test) both SI and SI with booster	validated. Another limitation
Aim of study:	Netherlands	Measures to minimise	Adverse events:	programmes were effective for	is that no further long-term
To evaluate two		confounding:	Not reported	the non-smokers [SI vs no	follow-ups could be
smoking	Study year:	adjustment for pre-treatment		treatment OR=0.54; 95% CI 0.35	implemented, precluding
prevention	1990	measures of attitude, social	Secondary outcomes:	to 0.83].	conclusions on the longer-
programmes, a	1770	norms, pressure, perceived	Knowledge, attitude, refusal	-	term effectiveness of the
social influence	Eligible	behaviour, self-efficacy and	skill, self-esteem and efficacy	At 12 months after the pre-test	programmes.
and a social	population:	intention		the SI programme was only	
influence with an	population			effective when boosters were	Limitations identified by
additional	20 districts	Intervention/s	Follow-up	included [SI+Booster vs SI	review team:
decision-making	health centres	Peer-led activities were	periods:	OR=0.44; 95% CI 0.30 to 0.65].	The multiple testing used
component. The	were	conducted in small groups	6, 12, 18 months	_	may have led to an increased
contribution of	approached	consisting of four or five		At 18 months follow-up, only	risk of type I errors. The
boosters was	approuence	students. The peer-leader was a		the SI programme with	authors do not reported

Study details	Population and setting	Method of allocation to intervention/control	Outcomes and methods of analysis	Results	Notes
Study details assessed as well. Study design: RCT Internal validity <sup>§</sup> : - External validity <sup>†</sup> : 2	Population and setting  Selected population: 52 schools from 15 district health centres  Age: 13 to 14 (grade 8)  Female: nr Race/ethnicity: nr  Socioeconomic status: nr  Excluded population: Not reported  Setting: Not reported	Method of allocation to intervention/control  non-smoking student from the same class as the students.  Teachers coordinated the lessons, stimulated students and assisted peer-leaders.  The student manual for the lesson of the social influence and decision making programme discussed five steps towards making a decision. These five steps were based on the five decision-making stages described by Janis and Mann: (1) appraising the challenge, (2) surveying alternatives, (3) weighing alternatives, (4) deliberating about commitment and (5) adhering despite negative feedback.  Intervention category:  Single  Intervention period:  Five lessons, each lasting 45 min were given in weekly sessions in grades 8 and 9	Outcomes and methods of analysis  Evaluation: In the Netherlands, school starts around September and ends in July. The pre-test (T1) was late October 1990, programme implementation took place during November and December (grade 8). The first post-test (T2) was in April 1991. The first booster was given late September 1991 (grade 9). The second post-test (T3) was in October 1991, and the second and third boosters were given beginning January and late March 1992. The boosters were disseminated with a 3 months interval. In April 1992, the third post-test (T4) was conducted. Questionnaires for the effect and process evaluation among students were distributed by teachers.  Method of analysis: multilevel analysis	Results  boosters remained effective [SI+Booster vs no treatment OR=0.62; 95% CI 0.45 to 0.87].  Secondary outcomes: Students with a positive attitude and intention towards smoking, students with important people in their social environment who smoke (perceived behaviour), and students who perceived pressure to smoke had an increased risk of being smokers at 6 months.  Attrition details: 23.5%, differential attrition  Attrition analysis from pre-test to post-test 1 (6 months) suggested that girls, younger students, students in the SI plus decision making programme and in the SI programme compared with control group students were less likely to drop out.  Attrition analysis from pre-test	results of regression diagnostic tests, there may be problem of multicollinearity.  Evidence gaps and/or recommendations for future research: Further research should determine whether boosters with decision-making related issues, e.g. issues based on the five steps to make a decision, contribute to the longer-term preventive effects of the decision-making programme. Additional research is also needed to assess the long-term effects of the SI programme with boosters, and to examine if a more intensive use of them is necessary and even more effective.  Source of funding: Dutch Cancer Foundation
		Comparator/s No treatment		to post-test 2 (12 months) suggested that girls, younger students, non-smokers, second grade students and 4-year	

Study details	Population and setting	Method of allocation to intervention/control	Outcomes and methods of analysis	Results	Notes
		Sample sizes:		education students were less	
		<b>Total n=</b> 4060		likely to drop out at the second	
				post-test.	
		Intervention n= not reported		Attrition from pre-test to post-	
		Control n= not reported		test 3 (18 months) suggested	
		Common neuropemen		that girls, younger students,	
		Baseline comparisons:		non-smokers, students in the	
		-		control group compared with	
		Not reported		students in the SI plus decision	
				making programme students in	
		Study sufficiently powered?		the SI programme compared	
		Not reported		with control group students, 4-	
				year education students and	
				students with a negative	
				intention to start smoking were	
				less likely to drop out at time of	
				the third post-test.	

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Table 5:25 Eisen et al. 2003

Study details	Population and setting	Method of allocation to intervention/control	Outcomes and methods of analysis	Results	Notes
Authors:	Source population/s:	Method of allocation:	Primary Outcomes:	Primary outcomes:	Limitations identified by
Eisen	schools	Schools were randomised to two experimental conditions	30-day smoking prevalence	30-day cigarette smoking among baseline nonuser was	author: Self-report bias, schools were
<b>Year:</b> 2003	Country: US	Measures to minimise	Adverse events:	significantly lower in experimental schools	reluctant to implement individualized drug use detection procedure (CO
Aim of study: To compare the effectiveness of skills	Study year: 1997	confounding: Adjustment was made for study site, demographic covariates,	Secondary outcomes: knowledge attitude refusal skill	than control school after 1-year follow-up (2.9% vs 3.9%, p<.05).	testing). Those students whose parents failed to return the consent form or denied consent
for adolescent against "standard" drug	Eligible population:	and baseline use of other relevant substances	cope with peer pressure self esteem efficacy	No significant main effect was found after two use 2-years follow-	cannot be assumed to be the same as those students with more compliant parents.
prevention in	Middle schools from four	Intervention/s	Follow-up periods:	up.	
preventing or delaying the onset of students'	school districts in three major metropolitan areas	SFA utilizes a comprehensive array of strategies to teach social	12, 24 months	Secondary outcomes:	Limitations identified by review team:
tobacco, alcohol, and	that met the following	competency and refusal skills.	<b>Evaluation:</b>	There were no	Differential attrition, not
illegal substance use	eligibility criteria: (1) contained Grades 6	SFA programme elements and processes utilize social influence	All consented students were surveyed annually	significant effects on students' smoking	random
Study design: RCT	through 8 or 7 through 9; (2) had an enrolment of at least 200 students by the	and social cognitive approaches to teach cognitive-behavioural skills for building self-esteem	from the sixth through the eighth grade; all seventh-grade students	behaviour intention [3.49 (0.03) vs 3.48 (0.03), p=.877];	Evidence gaps and/or recommendations for future research:
Internal validity <sup>§</sup> : +	end of the eighth or ninth grade; and (3) were not	and personal responsibility, communicating effectively,	attending the experimental schools	perceived harm [12.72 (0.12) vs 12.76 (0.13),	There is a need for studies with longer follow-up.
External validity <sup>†</sup> : 2	using SFA at that time.  Selected population: 34 schools	making better decisions, resisting social influences and asserting rights, and increasing drug use knowledge and consequences	were offered the SFA curriculum regardless of their evaluation consent status. Annual surveys were group administered in	p=.842]; refusal self- efficacy [4.28 (0.06) vs 4.39 (0.06), p=.842]; perceived peer use [4.02 (0.06) vs 4.08 (0.06), p=.443]	Source of funding: National Institute on Drug Abuse
	<b>Age:</b> 11 to 12	Intervention category:	classrooms by trained interviewers using	Attrition details:	

Study details Population and setting	Method of allocation to intervention/control	Outcomes and methods of analysis	Results	Notes
Female: 52% Race/ethnicity: 41%  Socioeconomic status: Not reported  Excluded population: Not reported  Setting: Not reported	Single  Intervention period: 40 sessions (35 – 45 min per session)  Comparator/s Standard education  Sample sizes: Total n= 7426  Intervention n= Not reported  Control n= Not reported  Baseline comparisons: Similar in age, sex, drug use  Study sufficiently powered?  Not reported	standard protocols and questionnaires adapted or developed for this evaluation. Additional in-school make-up sessions were conducted for students who were absent initially.  Tobacco, alcohol, and illegal drug use prevalence rates were assessed through a set of standard items that was modified, when necessary, following pretesting with the target population.  Method of analysis: multivariate analysis, mixed method	16% and 23% after 1 and 2-years follow-up respectively  Multivariate attrition analysis revealed that more of those who had not recently used marijuana remained in the study than those who had (85% vs. 72%, P<.001). Being non-Hispanic American; coming from a two-parent household; not having taken a make-up survey; and reporting fewer friends who smoke cigarettes were also associated with study retention.	Notes

<sup>§</sup> The internal validity score of a study may vary depending on the reliability and validity of the outcome measures of interest. Score for internal validity: ++ All or most of the criteria have been fulfilled. Where they have not been fulfilled the study conclusions are though **very unlikely** to alter. + Some of the criteria have been fulfilled. Those criteria that have not been fulfilled or not adequately described are thought **unlikely** to alter the study conclusions. – Few or no criteria have been fulfilled. The study conclusion are thought **likely or very likely** to alter.

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		Method of allocation to	Outcomes and methods		
Study details	Population and setting	intervention/control	of analysis	Results	Notes

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**Table 5:26 Elder et al. 1993** 

	Population and	Method of allocation to	Outcomes and methods		
Study details	setting	intervention/control	of analysis	Results	Notes
	Source	Method of allocation:	Primary Outcomes:	Primary outcomes:	Limitations identified by author:
Project SHOUT	population/s:	Cluster randomisation. Schools matched	Smoking Prevalence	At T4 follow-up	In the 11 <sup>th</sup> grade assessment, there
(Students helping	Junior high	for tobacco use (in past week) and school		Odds of past month	was a low response rate. There may
others understand	schools	size and, within each pair, randomised to	Adverse events:	smoking -	also have been self selection bias in
tobacco)	Country	control or intervention.	Secondary outcomes:	School level	responding to that survey as there was less response from those who
Authors/Year:	Country:	Measures to minimise confounding:	Smoking refusal score	analysis:	had been smokers in 9th grade.
Elder 1993	US	adjusted for demographics and parental		OR=0.77, p<0.05	The 11 <sup>th</sup> grade intervention may have
	Study year:	smoking	ronow-up penious:	Individual level analysis:	been too short.
Aim of study: To evaluate the	1988 (started)		1 (T2), 2 (T3), 3 (T4) and	OR=0.79, p<0.05	Validity of the 'smoking refusal score'
effectiveness of a		Intervention/s	~5 (T5) years from	•	used in the high risk subsample
	Eligible	Undergraduate college students recruited	baseline.	Odds of past week	maybe questioned.
long-term, peer- led tobacco	population:	to be 'change agents'. They were trained	Evaluation:	smoking -	Limitations identified by review
prevention		and then delivered the intervention of 6	Students were surveyed	School level	team:
programme	Junior high	autumn term lessons (once a week) and 4 spring term lessons (once a month) during	at the beginning of grade	analysis:	Results are presented in the main
	schools	the 7 <sup>th</sup> grade, 8 lessons (once a month) in 8 <sup>th</sup>	7 (baseline-T1) and at the	OR=0.69, ns Individual level	paper at the 3 year final follow up but
Study design:	Selected	grade, a telephone/mail intervention in 9th	end of grades 7 (T2), 8	analysis:	not the 1 or 2 year follow up although

Study details	Population and	Method of allocation to	Outcomes and methods	Results	Notes
Study details RCT Internal validity <sup>\$</sup> : - External validity <sup>†</sup> : 3	population: 22 schools  Age: Average age 12 years at baseline (grade 7)  Female: ~50%  Race/ethnicity: 57% White, 24% Hispanic, 19% other  Socioeconomic status: Not reported  Excluded population: Not reported  Setting: School class rooms and at home	intervention/control  grade and a booster intervention in half the students in the 11th grade:  7th grade: video on health consequences, reading celebrity endorsements of non-use, discussions of social consequences, addiction and cessation, methods for resisting peer pressure, practiced decision making, writing letters to tobacco companies and performing a skit to classmates on refusing tobacco, received free tshirts and given opportunity to publically declare they would not smoke.  8th grade: Demonstration and rehearsal of refusal skills, estimation of own health risk, writing letters to magazine etc protesting against advertising, participating in community action projects, leaning assertive communication skills and debating issues.  9th grade: Tailored tobacco prevention messages communicated by telephone/mail by 'change agents'. 5 newsletters sent giving information regarding events, legislation, research, cessation tips etc and 2 newsletters sent to parents of intervention students. Each student received 2 phone calls (average 5 min) per semester where newsletter used to promote discussion and gave plug for local cessation helpline.  11th grade: A shortened version of the 9th grade intervention consisting of 2 newsletters and 1 phone call to only half of	of analysis  (T3) and 9 (T4). Surveys conducted in the class room and, in cases of absence, surveys mailed to students homes.  The final survey, around the start of grade 11, was mailed to participants homes. If the survey was not returned, attempts were made to collect information by telephone.  Method of analysis: Individual-level (not intention to treat) and school-level logistic regression analysis Chisquare analysis for 11th grade results.	Results  OR=0.75, p<0.05  At T5 In students receiving continued 11th grade booster, prevalence of past month smoking vs control: 7% vs 12.6% (p<0.05) Vs those without 11th grade booster: 7% vs 10.8% (ns)  Secondary outcomes: Subgroup analysis of high risk group (experimenters or those thought likely to experiment): Smoking refusal score vs control: 1.81 (SD 0.40) vs 1.73 (SD 0.35) (p=0.04).  Attrition details: Main sample: 27% (at T4)	these surveys were undertaken. Authors commented that 'at the end of the eight grade, no statistically significant treatment effects between conditions were detected'. Selective reporting of only 3 year follow up data may be misleading.  Evidence gaps and/or recommendations for future research: Comparison of the effectiveness of interventions using recruited college 'change agents' with teachers and similar age peers.  The central theoretical role of peer pressure resistance in smoking should be re-examined.  Source of funding: National Cancer Institute

	Population and	Method of allocation to	Outcomes and methods		
Study details	setting	intervention/control	of analysis	Results	Notes
		the intervention students.		11 <sup>th</sup> grade sample:	
		Intervention category:		25% (T5)	
		School based/home			
		Intervention period:			
		3 years			
		Comparator/s			
		No intervention			
		Sample sizes:			
		Total n= 22 schools, 3,655 students			
		Intervention n= 11 schools			
		Control n= 11 schools			
		11th grade total n= 2,051 students			
		High risk sub sample n= 389			
		Baseline comparisons:			
		Groups differed only in respect to ethnicity			
		(p<0.001)			
		Study sufficiently powered?			
		Not reported			
		Not reported			

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Î		Population and	Method of allocation to	Outcomes and methods				
	Study details	setting	intervention/control	of analysis	Results	Notes		
	4. Applicable only to settings or populations included in the studies.							

# **Table 5:27 Elder et al. 1996**

Ctudy dataile	Population and satting	Method of allocation to intervention/control	Outcomes and methods of	Pogulto	Notes
Study details  The Childhood and Adolescent Trial for Cardiovascular health (CATCH)  Authors/year: Elder 1996  Aim of study: To examine the effectiveness of a cardiovascular disease programme, with a classroom/home based smoking prevention strategy component, on tobacco use  Study design: Cluster RCT  Internal validity <sup>§</sup> : +	Population and setting Source population/s: Schools in 4 US states Country: US Study year: 1994 Eligible population: All schools in 4 states with grades 3-5, a food service programme, on- site food preparation and that were publically funded. Selected population: 96 schools Age: 8-9 years (grade 3) at study entry, 10-11 years	Method of allocation: Cluster randomisation. In each of the 4 states, 10 schools randomly assigned to control and 14 to intervention.  Measures to minimise confounding: adjusted for demographic, parental, sibling, & friend smoking status  Intervention/s  Based on principles derived from Social Learning Theory and Organizational change, intervention addressed heart-healthy diets, physical activity levels and the prevention of smoking.  The smoking prevention intervention started in grade 5, later than the other CVD intervention, and included the promotion of smoke-free schools, a classroom teaching curriculum and home-based intervention.  The classroom intervention, Facts and Activities about Chewing Tobacco and Smoking (FACTS), consisted of four 50 min	analysis  Primary Outcomes:  Smoking Prevalence  Adverse events:  Not reported  Secondary outcomes:  None  Follow-up periods:  Baseline and 1, 2 and 3 years post intervention.  Evaluation  Questionnaires were conducted in grade 5 (smoking baseline) and in grade 6, 7 and 8. At baseline, smoking was assessed as: 'I have started to smoke a little' and, at follow up, as 'I have smoked on 2 or more of the last 30 days'.	Results Primary outcomes: Prevalence of smoking (intervention vs control)  Baseline: 6.2% vs 6.3% 1 year: 4.5% vs 5.9% 2 years: 11.2% vs 10.2% 3 years: 16.2% vs 15.6% No significant differences between intervention and control group.  Secondary outcomes:	Notes  Limitations identified by author:  None  Limitations identified by review team:  Different questions were used to asses smoking prevalence at baseline and during follow up.  Evidence gaps and/or recommendations for future research:  None  Source of funding:  National Heart, Lung and Blood Institute

Study details	Population and setting	Method of allocation to intervention/control	Outcomes and methods of analysis	Results	Notes
External validity <sup>†</sup> : 3	at start of smoking intervention (grade 5)  Female: 51%  Race/ethnicity: 71% Caucasian, 15% Hispanic, 14% African Americans  Socioeconomic status: Not reported  Excluded population: Setting: Publically funded schools/Home	lessons focussing on dangers, cost, benefits of not using and poor acceptability. Students were encouraged to make a commitment to remain tobacco-free.  The home-based intervention was 4 sessions to complement school lessons. It used 'The Unpuffables'; stories about adolescent characters were the basis for games/ activities to be done with parents.  The policy-level intervention included monitoring for compliance for schools in school smoke free states and other schools encouraged to be tobacco-free.  Intervention category:  School based/Home/Policy  Intervention period: 1 year (the smoking part of curriculum only began in the 5th grade although CVD/physical activity intervention had already been running for 2 years)  Comparator/s  No intervention  Sample sizes:  Total n= 96 schools, 5,106 grade 3 students (only grade 3 followed up 3 years after intervention)  Intervention n= 56 schools  Control n= 40 schools  Baseline comparisons:  Not reported	Method of analysis:  Multivariable logistic regression with school included as a random effect. Analysis was not ITT.	None Attrition details: 27% at 3 year follow up	

Study details	Population and setting	Method of allocation to intervention/control	Outcomes and methods of analysis	Results	Notes
		Study sufficiently powered?			
		Not reported			

### † Score for external validity:

- 1. Likely to be applicable across a broad range of populations and settings.
- 2. Likely to be applicable across a broad range of populations and settings, assuming it is appropriately adapted.
- 3. Applicable only to populations or settings included in the studies the success of broader application is uncertain.
- 4. Applicable only to settings or populations included in the studies.

# Table 5:28 Elder et al. 2002

Study details	Population and setting	Method of allocation to intervention/control	Outcomes and methods of analysis	Results	Notes
Authors:	Source population/s:	Method of allocation:	Primary Outcomes:	Primary outcomes:	Limitations
Elder <b>Year:</b> 2002	Schools	Schools were randomly assigned to experimental conditions	Prevalence of 30-day smoking	There was no statistically significant between	identified by author:
	Country: US	experimental conditions	Adverse events:	intervention and control in reduction 30-day	Poverty of participants and
Aim of study:	Study year: 1996	Measures to minimise confounding:	Secondary outcomes:	cigarette use from post- test to final follow-up.	families limits the purchase and
To evaluate community- based tobacco and alcohol use–prevention		Adjusted for age, gender and baseline acculturation	susceptibility to smoke	Secondary outcomes:	consumption of alcohol and

		Method of allocation to	Outcomes and methods		
Study details	Population and setting	intervention/control	of analysis	Results	Notes
programme that targets	Eligible population:	T	Follow-up periods:	The intervention was not	tobacco
high-risk migrant adolescents who typically are not exposed to cancer-prevention programmes.	17 schools districts in San Diego County whose students were predominant oriented toward the Mexican culture	Intervention/s Through presentation of information, modelling, and behavioural rehearsal, the adolescents were exposed to how	post-test, 12, 24 months  Evaluation: Prior to implementing the programme at a given	successful in preventing susceptibility to smoking (OR=0.87; 95% CI 0.66 to 1.14).	Limitations identified by review team: Findings may not
Study design: RCT	Selected population: Students from 22 schools	problems could be identified and analyzed; solutions generated; and decisions made, implemented, and evaluated. The other unique	school, all baseline surveys were completed within a 2- to 6-week time period, depending on the	Attrition details: 18.6%	be easily replicated  Evidence gaps
Internal validity <sup>§</sup> : +  External validity <sup>†</sup> : 4	Age: Adolescent	component of this programme was the specific focus on developing parental support for the healthy	number of participating families. Post-assessments (M2) were conducted		and/or recommendations for future
	Female: 49%	decisions and behaviours of the adolescents through enhanced parent–child communication.	using the baseline survey on 637 participating families following		research: None
	Race/ethnicity: Not reported	Parental communication skills, such as listening (e.g., verbal and	completion of the group educational sessions, and		Source of funding: National Cancer
	Socioeconomic status: Not reported	nonverbal attention); confirmation (e.g., accepting messages); and re- assurance (e.g., expressing care and concern), were developed and	to 587 and 537 participants who could be located at the 1- and 2-year follow- ups (M3 and M4). The		Institute
	<b>Excluded population:</b> Not reported	reinforced through behavioural methods of modelling, role playing, and behaviour rehearsal.	surveys were administered in person at M1 and M2 and via phone		
	Setting: Not reported	After the intervention sessions, the participants received three "booster" telephone calls at <1 month and at 14 months after the last group session; calls were designed to reinforce the information taught in the sessions. Each telephone booster lasted	at M3 and M4. At M2, evaluation assistants again scheduled appointments at the school or at the participants? homes to conduct the survey, with a 2-month window in which to complete all surveys at a given school.		

Study details	Population and setting	Method of allocation to intervention/control	Outcomes and methods of analysis	Results	Notes
Study details	1 opulation and setting	approximately 5 to 10 minutes and	Method of analysis:	Nesuits	110165
		contained specific information	Generalised estimating		
		taught at the group sessions.	equations were used to		
			account for repeated		
		Intervention category:	measure		
		Multimodal			
		Intervention period:			
		A total of seventy 8-week			
		intervention groups (37 tobacco and alcohol, and 33 first aid/home			
		safety)			
		salety)			
		Comparator/s			
		Attention control			
		Sample sizes:			
		Total n= 660			
		Intervention n= Not reported			
		Control n= Not reported			
		Control n= 1vot reported			
		Baseline comparisons:			
		_			
		Not reported			
		Study sufficiently powered?			
		Not reported			
		Tiotreponeu			

		Method of allocation to	Outcomes and methods		
Study details	Population and setting	intervention/control	of analysis	Results	Notes

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Table 5:29 Ellickson et al. 1993

Study details	Population and setting	Method of allocation to intervention/control	Outcomes and methods of analysis	Results	Notes
Authors:	Source	Method of allocation:	Primary Outcomes:	Primary	Limitations identified
Ellickson	population/s:	cluster randomisation	Smoking Prevalence	outcomes:	by author:
	schools		A 1 consequents	Weekly	The programme's
V		Measures to minimise confounding:	Adverse events:	smoking:	impact on drug use
Year:	Country:	adjusted for demographic factors	Not reported		stopped once the
1993	USA		Constitution and a series	Teen-leader	lessons stopped.
			Secondary outcomes:	effect vs.	
A * C . 1 1	Study year:	Intervention/s	Knowledge attitude	control=	Limitations identified
Aim of study:	Not reported	Eight lessons during grade 7 and three additional		8.3% vs. 9.7%	by review team:
Long term effects	-	lessons in grade 8 designed to build the			High loss to follow up
of drug use	Eligible	motivation and skills needed for effective	Follow-up periods:	Adult- only	
prevention	population:	resistance to prodrug pressures. Thee highly	3, 12, 15, 24, 36, 60 months	effect vs.	Evidence gaps and/or
programmes	• •	participatory classroom lessons allowed teachers		control=	

	Population and				
Study details	setting	Method of allocation to intervention/control	Outcomes and methods of analysis	Results	Notes
targeted at young adolescents <b>Study design:</b> RCT	8 high diverse west coast communities	to adjust programme content to classrooms with diverse drug experience and knowledge while ensuring that all students received the essential motivational and skill-building activities.	Evaluation  Questionnaire about drug use and related attitudes and behaviour. Seven surveys were conducted: before and	9.1% vs. 9.7%  Secondary outcomes: No	recommendations for future research: Need for different approaches and to assess how well they
Internal validity <sup>§</sup> : -	Selected population: 30 schools	Intervention category: Single	after the 7 <sup>th</sup> curriculum, before and after the 8 <sup>th</sup> grade booster lessons and once each during grades 9, 10, and 12.	Attrition details:	work with older teens.  Source of funding:
External validity <sup>†</sup> : 3	Age: 12 to 13 years	Intervention period: Not reported Comparator/s	The questionnaire collected data related to use and frequency of use of cigarette, as well as cognitive risk factors.	Grades 10= 47%	Grant from the Conrad N. Hilton Foundation.
	Female: 48%	Sample sizes: Total n=	Method of analysis:	Grades 12= 43%	
	Race/ethnicity: 67%	6527  Intervention n= Not reported  Control n= Not reported	Multivariable logistic regression to assess the impact of the programme on drug use for binary outcomes while linear regression was used for non		
	Socioeconomic status:	Baseline comparisons:	binary cognitive outcomes.		
	Father not a high school graduate (24%)	Not reported  Study sufficiently powered?  Not reported			
	Excluded population: Not reported				
	<b>Setting:</b> schools from urban,				

Study details	Population and setting	Method of allocation to intervention/control	Outcomes and methods of analysis	Results	Notes
	suburban and rural communities				

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### Table 5:30 Ellickson et al. 2003

	Population and	Method of allocation to			
Study details	setting	intervention/control	Outcomes and methods of analysis	Results	Notes
Authors:	Source	Method of allocation:	Primary Outcomes:	Primary outcomes:	Limitations identified
Ellickson	population/s:	Cluster randomisation: blocking by	Smoking Prevalence	Revised Project	by author:
Year: 2003	schools	geographical region and community size was used. While when randomly	Adverse events: Not reported	ALERT reduced the proportion of new	Long term effectiveness of ALERT not reported.
Aim of study:	Country: USA	assigning schools to the treatment condition, restricted assignment was		smoker:	Limitations identified
To evaluate the		used.	Secondary outcomes:	Baseline non-	by review team:
revised project ALERT drug	Study year:		No	smokers, 18-month	Nothing to add
prevention		Measures to minimise confounding:	Follow-up periods:	follow-up, weekly	

Ct., J., J., L.	Population and	Method of allocation to	Outcome and mathede of analysis	Passilta	Natas
Study details	setting	intervention/control	Outcomes and methods of analysis	Results	Notes
programme across a	Not reported	Adjusted for demographic factors and	18 months	smoking: intervention	Evidence gaps and/or
wide variety of Mid		other multiple baseline covariates		4.0% vs control 6.6%	recommendations for
western schools and	Eligible	including prior use of cigarette, intentions	Evaluation	(P<0.05)	future research:
communities.	population:	and belief about use, perceived peer and			If high school booster
	Not reported	adult use, parental monitoring.	Surveys were conducted before the	Ever smoking	lessons help maintain
Study design:		_	intervention and 18 months later.	(initiated post-	and enhance the middle
RCT			The questionnaire asked about use	baseline):	school reductions in
	Selected	Intervention/s	and frequency of use of cigarette	intervention 25.5% vs	use.
Internal validity§: +	population:	Two intervention arms: adult health	within the past month and past	control 31.6%; relative	
	48 schools	educators in the first arm, while older	year, as well as cognitive risk factors	reduction 19% (p<.01)	Source of funding:
External validity <sup>†</sup> :2		teens assisted the adult teachers in half of	for use. Validity of self reported use		National Institute on
	Age:	the 7 <sup>th</sup> grade lessons in the second arm.	was evaluated using physiological		Drug Abuse, The BEST
	12 to 13 years	Three theories of behavioural change 1)	tests and consistency of self reports	Secondary outcomes:	foundation for a Drug-
	, , , , , , , , , , , , , , , , , , ,	health belief model: focuses on cognitive	within and across data collection	No	Free Tomorrow and The
	Female:	factors that motivate healthy behaviour.	waves. Teachers were trained to		Conrad N. Hilton
	50%	2.) The social learning model: emphasizes	deliver the curriculum across the	Attrition details:	foundation.
	50%	social norms and significant others as key	state. Additional post training	8.81%	
	D / - th : - : t	determinants of behaviour. 3) Self-	materials were provided by the		
	Race/ethnicity:	efficacy theory of behaviour change:	teacher's manual and videotaped		
	87.5% White	belief that one can accomplish a task as	lessons.		
		essential to effective action. The			
	Socioeconomic	curriculum is made of 11 lessons in 7 <sup>th</sup>	Method of analysis:		
	status:	grade and three lessons in 8th grade. The	Generalized estimating equation.		
	Not reported	first treatment group benefit from revised	Generalized estimating equation.		
	1	project ALERT in the 7th and 8th grade			
		while the second treatment group receive			
	Excluded	the revised middle school curriculum in			
	population: Not	the 7 <sup>th</sup> and 8 <sup>th</sup> grades as well as booster			
	reported	sessions in the 9 <sup>th</sup> and 10 <sup>th</sup> grades.			
		Intervention category:			
	Setting: urban,	<b>5</b> ,			
	suburban and	Single			
	rural.	Intervention period:			

Study details	Population and setting	Method of allocation to intervention/control	Outcomes and methods of analysis	Results	Notes
Study details	Setting	Not reported	Cuttonies and methods of analysis	Results	140165
		Comparator/s			
		Control schools continued other			
		prevention curricula already in place.			
		Sample sizes:			
		Total n= 4689			
		Intervention n= 2810			
		Control n= 1879			
		Control II- 1079			
		Baseline comparisons:			
		Similar demographic factors and baseline			
		substance use			
		substance use			
		Study sufficiently powered?			
		Not reported			

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**Table 5:31 Ennet et al. 1994** 

	Population and	Method of allocation to	Outcomes and methods of		
Study details	setting	intervention/control	analysis	Results	Notes
Authors:	Source population/s:	Method of allocation:	Primary Outcomes:	Primary	Limitations identified by
Ennet	schools	Cluster randomisation: Stratification by	Smoking Prevalence	outcomes:	author:
Year: 1994 Aim of study: DARE's impact on adolescent drug use, as well as on related social and psychological factors	Country: USA Study year: 1990 Eligible population: Elementary schools in	metropolitan status. School pairs were then matched by ethnic composition, number of students with limited English proficiency and percentage from lowincome families within strata. Six pairs of schools in urban and suburan areas were randomly assigned to DARE or control, but six pairs of schools in rural areas were assigned using a nonrandom procedure because of the travel times	Adverse events: Not reported  Secondary outcomes: Yes  Follow-up periods: Immediate post intervention, 12 months and, 24 months	Cigarettes initiation  Immediate Post intervention: OR=0.63, 0.38 to 1.04  At 12 months: OR=0.93, 0.63 to	The sample used was not a nationally representative sample of schools.  The programme was not randomly assigned to schools in the rural areas.  Limitations identified by review team:
immediately 1 year, and 2 years after completion of programme.	northern and central Illinois  Selected population:	and scheduling requirement of the DARE officers in the more geographically dispersed districts.	Evaluation  Pre test survey was conducted	1.36  At 24 Months: OR=0.99, 0.67 to	High level of contamination from the mixture of intervention
Study design: RCT	36 schools  Age:	Measures to minimise confounding: adjusted for demographic factors	before the implementation of DARE curriculum. Post test survey took place immediately	1.47 Secondary	and control students after completion of elementary school.
Internal validity <sup>§</sup> : -	10 to 12 years	Intervention/s	after curriculum, one year after pre testing and two years after pre	outcomes: Peer resistance	Evidence gaps and/or recommendations for
External validity <sup>†</sup> :3	Female: 49%	Consisted of 17 lessons offered once a week for 45 to 60 minutes. It is based on social influence approach to drug use	testing. The questionnaire was self-administered and was read orally by the study staff.	skills  Regression coefficients(SE)= 0.086(0.062)  Attrition details: Overall- 26%	future research: Need for continued evaluation to test the
	Race/ethnicity: 54% Socioeconomic status:	Intervention category: Single	Questions captured were on drug use behaviours and social and psychological variables  Method of analysis:		effectiveness of the programme based on the recent modification to the core curriculum.
	Low-income families: percentage of students in school who were	Intervention period: 45-60 minutes	logistic regression		Source of funding: Grant R01 DA07037-01

	Population and	Method of allocation to	Outcomes and methods of		
Study details	setting	intervention/control	analysis	Results	Notes
	eligible to for free or				from the National
	reduced-price lunch	Comparator/s			Institute on drug Abuse
	programme of US	No intervention			of the U.S Department of
	Department of				Health and Human
	Agriculture	Sample sizes:			Services and a grant from
		Total n=1334			the Illinois State Police.
	F 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	Intervention n= Not reported			
	Excluded population: Not reported	Control n= Not reported			
	Setting: urban, suburban and rural.	Baseline comparisons: Dissimilar sociodemographic characteristics			
		Study sufficiently powered?			
		Not reported			

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Table 5:32 Flay et al. 1995

Study	Population and				
details	setting	Method of allocation to intervention/control	Outcomes and methods of analysis	Results	Notes
Authors:	Source	Method of allocation:	Primary Outcomes:	Primary	Limitations
Flay	population/s:	randomised multiattribute blocking	Smoking Prevalence	outcomes:	identified by
	Schools in	, and the second	A.1 Statement of	LOS ANGELES:	author:
Year:	South	Measures to minimise confounding:	Adverse events: Not reported	Information	Lack of effects on
	California	multilevel analysis to adjust for clustering	Secondary outcomes:	based control	intentions and
1995			, and the second se	(mean,	behaviour.
Aim of	Country:	Intervention/s	Knowledge attitude	SD)(19.68,6.41),	Floor effect
study: To	USA	The three study factors are classroom curriculum, media	Refusal skill	control group	minimizes the
report the	Study year:	intervention and site (San Diego or Los Angeles). The central study design investigates the relative effectiveness of	Kerusai skiii	(20.04, 6.70), social resistance	chances of finding
results of a		social-resistance classroom curriculum, media intervention,	Cope with peer pressure	(18.35,6.96), TV	programme effects
large-scale	1986	health information-based attention-control curriculum,	cope with peer pressure	(20.30, 6.44),	on intentions and
smoking	Eligible	social resistance classroom curriculum combined with a	Follow-up periods:	TV+social	behaviours.
prevention	population:	mass-media intervention	12, 24 months	resistance (19.39,	High subject
and	population.			6.85). SAN	attrition among
cessation		Intervention category:	Evaluation	DIEGO- control	youth at higher risk
trial, the	Selected	Multimodal		group (18.36,	may have
Television,	population:		Questionnaire was used to collect	6.04), social	attenuated any
school, and family	340 classrooms	Intervention period: Not reported	information on tobacco and health	resistance(18.01,	beneficial effects
Project, on	within 35 Los		information, social		among those
student	Angeles and	Comparator/s	influences/resistance information,	Secondary	subjects for whom
smoking	San Diego	No treatment control group	coping effort, refusal self efficacy, prevalence estimates and	outcomes:	the programme
and	Schools within	Sample sizes:	behavioural intentions.	Refusal/self	could have its
mediating	6 schools	Total n= 7351 (340 classrooms within 47 schools)	Questionnaire was administered by	efficacy scale	greatest impact.
variables.	Age:		trained data collectors. Expired air	LOS ANGELES:	
	12 to 13 years	Intervention n= Not reported	samples were collected to increase	Information	Limitations
Study	12 to 15 years	Control n= Not reported	the validity of self-reports of	based control	identified by
design:	Female: 50.9%	Common Notreported	cigarette use.	(mean, SD)(7.83,	review team:
RCT	Race/ethnicity:	Baseline comparisons:	_	1.64), control	
	33.3% White	No significant differences in smoking rates across any of the	Method of analysis:	group (7.47,	Evidence gaps
Internal	55.5 /6 WILLE	Two significant differences in smoking rates across any of the	1) Differences across the five	1.91), social	

Study	Population and				
details	setting	Method of allocation to intervention/control	Outcomes and methods of analysis	Results	Notes
validity§: +	Socioeconomic	seven conditions.	conditions in Los Angeles were	resistance (7.70,	and/or
	status:	Study sufficiently powered?	tested and results were replicated in	1.71), TV (7.68,	recommendations
External		Not reported	San Diego.	1.84), TV+social	for future research:
validity†: 3	father's	Not reported	2) In the presence of significant	resistance (7.65,	Need to be explicit
	occupational		condition-related effect, priori	1.71). SAN	whether they are
	status		comparisons across treatment	DIEGO- control	testing
			conditions were made: four priori	group (7.80,	experimental
	Excluded		comparisons for Los Angeles and	1.64), social	interventions
	population:		two for San Diego	resistance(7.53,	against attention
	None		3) Analysis was based on statistical	1.88)	controls, treatment
			problems encountered:		as usual, or the best
	Setting: Not		a. Differential effectiveness of	Attrition details:	available treatment.
	reported		treatment for different	Not reported	
			subpopulations- treatment		Need for larger
			interaction was tested for		sample studies (to
			statistically using likelihood ratio.		ensure statistical
			b. Inferences of individual change		power) and longer
			when random assignment is at		follow-ups (to
			school level: multilevel analysis.		reduce the chances
			c. Models for categorical and non		of floor effect).
			normally distributed data:		
			d. Redundancy in measure:		Source of funding:
			examined correlations between all		National Institute
			outcome variables		of Drug Abuse
			e. Attrition: pretest		grant
			means/percentages for subjects with		
			complete data at two waves were		
			compared with the		
			means/percentages for subjects with		
			data only at pretest.		

<sup>§</sup> The internal validity score of a study may vary depending on the reliability and validity of the outcome measures of interest. Score for internal validity: ++ All or most of the

Study	Population and				
details	setting	Method of allocation to intervention/control	Outcomes and methods of analysis	Results	Notes

criteria have been fulfilled. Where they have not been fulfilled the study conclusions are though **very unlikely** to alter. + Some of the criteria have been fulfilled. Those criteria that have not been fulfilled or not adequately described are thought **unlikely** to alter the study conclusions. – Few or no criteria have been fulfilled. The study conclusion are thought **likely or very likely** to alter.

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**Table 5:33 Gatta et al. 1991** 

		Method of allocation to	Outcomes and		
Study details	Population and setting	intervention/control	methods of analysis	Results	Notes
Authors:	Source population/s:	Method of allocation:	Primary Outcomes:	Primary outcomes:	Limitations
Gatta	Schools	Schools were randomised to three	Prevalence of ever	Sporadic education	identified by
		conditions	smoking	intervention has no	author:
1001	Country:			impact in preventing	Prevalence of
<b>Year:</b> 1991	ITALY	Measures to minimise	Adverse events:	cigarettes smoking in	smoking could be
	IIALI	confounding:	Not reported	teenagers (RR=0.92; 95%	underestimated
		Not reported		CI 0.79 to 1.06)	when using a
	Charles was		Secondary outcomes:		questionnaire to
Aim of study:	Study year:	Intervention/s	No		collect habit.
To evaluate brief	1982	One-day lesson carried out by the		Secondary outcomes:	
informative antismoking		Italian League against Cancer			Limitations
campaign for 4th year	Eligible population:	(Milan Division). Education	Follow-up periods:	No	identified by
primary school children		intervention consisted of a single	48 months	Attrition details:	review team:
	Milan states schools	day lesson and focused on simple		41%	High lost to
Study design:	Colostod manufation.	notions of physiology and	Evaluation:		follow-up
RCT	Selected population:	pathology of the human	The effect of the		
		respiratory tract and on the	education intervention		Evidence gaps

<sup>§</sup> The internal validity score of a study may vary depending on the reliability and validity of the outcome measures of interest. Score for internal validity: ++ All or most of the criteria have been fulfilled. Where they have not been fulfilled the study conclusions are though **very unlikely** to alter. + Some of the criteria have been fulfilled. Those criteria that have not been fulfilled or not adequately described are thought **unlikely** to alter the study conclusions. – Few or no criteria have been fulfilled. The study conclusion are

		Method of allocation to	Outcomes and		
Study details	Population and setting	intervention/control	methods of analysis	Results	Notes

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Table 5:34 Gordon et al. 1997

Study details	Population and setting	Method of allocation to intervention/control	Outcomes and methods of analysis	Results	Notes
Authors: Gordon	Source population/s:	Method of allocation:	Primary Outcomes:	Primary outcomes:	Limitations
	Schools	Schools were randomly selected and	Smoking prevalence	There was no significant	identified by
<b>Year:</b> 1997		assigned to control and intervention	Adverse events:	differences in prevalence rates	author:
	Country:	groups.	nuverse events.	over the six months: odds of	The study period
	UK	Measures to minimise confounding:	Secondary outcomes:	non-smoker starting smoking OR=1.04(0.78 to 1.40)	was insufficient to allow conclusive
Aim of study:	Study year:	None, unadjusted results were	intention	, ,	statement about
To evaluate programme aimed to	1994	presented	Follow-up periods:	Secondary outcomes:	the effects of the community
delay the onset of	Eligible population:	Intervention/s	6 months after pre-test	The number of students who	initiatives to be
smoking through combination of school-		School-based and community intervention	survey	indicated they did not intent to try smoking fell by 8% in the	made
based education and	23 LEA secondary schools	intervention	Evaluation:	intervention group and by 17%	Limitations
community	in Cardiff county	The 'Stopping them Start' project	Questionnaires were	in the control group (p=0.01)	identified by
intervention	Selected population:	provided educational materials for	administered to pupils		review team:
Ct. I. I.	19 schools	schools and support of a health-	prior to intervention and	Attrition details:	Inadequate
Study design: RCT		promotion specialist to encourage	following a six-month intervention period. The	Not reported	description of
INC I	Age:	community-based activities and remind retailers of their obligations	questionnaire was		baseline variables. Attrition rate was

		Method of allocation to	Outcomes and methods		
Study details	Population and setting	intervention/control	of analysis	Results	Notes
Internal validity§: -	11 to 12	with regard to under-age sales of	designed to ascertain		not reported.
		cigarettes.	smoking behaviours,		
External validity†: 1	Female: not reported		knowledge of facts about		Evidence gaps
			smoking, and attitudes		and/or
		Intervention category:	to smoking		recommendations
	Race/ethnicity: not	Multimodal	Mathedate		for future
	reported		Method of analysis:		research:
			Cross-tabulation		There is a need
		Intervention period:			for study with a longer follow-up
		not reported			period
	Socioeconomic status: not				period
	reported	Comparator/s			Source of
		Control groups not described			funding:
	<b>Excluded population:</b> not				Not reported
	reported	Sample sizes:			rotreported
		Total n=			
	Setting: not reported	787			
		<b>Intervention n=</b> Not reported			
		Control n= Not reported			
		Baseline comparisons:			
		Not reported			
		rvot reported			
		Study sufficiently powered?			
		Not reported			
		1 vot reported			

		Method of allocation to	Outcomes and methods		
Study details	Population and setting	intervention/control	of analysis	Results	Notes

- 1. Likely to be applicable across a broad range of populations and settings.
- 2. Likely to be applicable across a broad range of populations and settings, assuming it is appropriately adapted.
- 3. Applicable only to populations or settings included in the studies the success of broader application is uncertain.
- 4. Applicable only to settings or populations included in the studies.

Table 5:35 Hansen & Graham 1991

Study details	Population and setting	Method of allocation to intervention/control	Outcomes and methods of analysis	Results	Notes
Authors:	Source population/s:	Method of allocation:	Primary Outcomes:	Primary outcomes:	Limitations identified by
Hansen	Schools in Los	Schools were stratified by	Prevalence of 30-day cigarette use	Normative education	author:
<b>Year:</b> 1991	Angeles and Orange Counties, California (USA)	size, test scores, and ethnic composition and then	Adverse events:	classes had lower rates of ever smoking cigarettes (8.1% vs	The sample was not equivalent across groups
Aim of study:	Country: USA	randomly assigned to receive one of four intervention programmes	Secondary outcomes: No	10.3%, p=0.0311)	Limitations identified by review team:
To evaluate two strategies for	Study year:	Measures to minimise		Secondary outcomes: No	Reliance on self-reported substance use
preventing the onset of alcohol abuse, marijuana, and	1987	confounding: Adjusted for sex, ethnicity,	Follow-up periods:	Attrition details:	Evidence gaps and/or

	Population and	Method of allocation to			
Study details	setting	intervention/control	Outcomes and methods of analysis	Results	Notes
cigarette use	Eligible population:	and family income	12 months	20%	recommendations for
					future research:
Study design:		Intervention/s	Evaluation:		There is a need for further
RCT	Selected population:	The first programme	Students were pretested using a		studies to study the long-
	12 Junior high	(Information) consisted of	questionnaire that assessed their use of		term effect of the
Internal validity <sup>§</sup> :	Schools in Los	four 45-min lessons about	alcohol, marijuana, and cigarettes. For		programme
T . 1 1111 1 2	Angeles and	the social and health	each of these substances, subjects were		
External validity <sup>†</sup> : 3	California	consequences of using	asked to report on cumulative lifetime		Source of funding:
	<b>A</b>	alcohol and other drugs.	consumption and use during the 30		National Institute on
	Age:		days prior to the survey. Cigarette responses were framed in terms of		Alcohol Abuse and Alcoholism
	12 to 13	The second programme	numbers of cigarettes.		Alcoholisin
		(Resistance Training)	numbers of cigarettes.		
	Female:	consisted of four lessons	Method of analysis:		
	55.4%	about the consequences of	*		
		using substances plus five	general linear model analysis of covariance		
	Race/ethnicity:	lessons that taught students	Covariance		
	38.8%	to identify and resist peer			
	C	and advertising pressure to			
	Socioeconomic status:	use alcohol and other			
		substances.			
	family income				
		The third programme			
	Excluded population:	(Normative Education)			
	Not reported	included four information			
	rtot reported	lessons plus five lessons			
	Setting: Not reported	that corrected erroneous			
	8 1	perceptions of the			
		prevalence and			
		acceptability of alcohol and			
		drug use among peers and			
		established a conservative			
		normative school climate			

	Population and	Method of allocation to			
Study details	setting	intervention/control	Outcomes and methods of analysis	Results	Notes
		regarding substance use.			
		The fourth programme			
		(Combined) included three			
		lessons about information,			
		three and one-half lessons			
		teaching resistance skills,			
		and three and one-half			
		lessons establishing			
		conservative norms.			
		Intervention category:			
		Single			
		Intervention period:			
		Not reported			
		Comparator/s			
		Usual education			
		Sample sizes:			
		Total n= 3011			
		Intervention n= Not			
		reported			
		Control n= Not reported			
		Baseline comparisons:			
		Dissimilar in ethnicity			
		Study sufficiently			
		powered?			

Study details	Population and setting	Method of allocation to intervention/control	Outcomes and methods of analysis	Results	Notes
		Not reported			

## † Score for external validity:

- 1. Likely to be applicable across a broad range of populations and settings.
- 2. Likely to be applicable across a broad range of populations and settings, assuming it is appropriately adapted.
- 3. Applicable only to populations or settings included in the studies the success of broader application is uncertain.
- 4. Applicable only to settings or populations included in the studies.

### Table 5:36 Johnson et al. 2005

		Method of allocation to	Outcomes and		
Study details	Population and setting	intervention/control	methods of analysis	Results	Notes
Authors:	Source population/s:	Method of allocation:	Primary Outcomes:	Primary outcomes:	Limitations identified by
Johnson Year: 2005 Aim of study:	schools  Country: US Study year: 2000	Clusters of public schools were identified and grouped together based on similar ethnic composition and geographic location. Schools within each	Prevalence of past month smoking  Adverse events:  Secondary outcomes:	1-year follow-up  Overall, the programme effect was not significant	author: Self-reported reports not validated by biochemical measures.

		Method of allocation to	Outcomes and		
Study details	Population and setting	intervention/control	methods of analysis	Results	Notes
To evaluate a	Eligible population:	cluster were randomly selected to	No	(OR=0.75; 95% CI 0.48	Limitations identified by
multicultural smoking		receive the experimental		to 1.18). The	review team:
prevention curriculum	Schools were eligible to	conditions	Follow-up periods:	multicultural	Differential attrition
in ethnically diverse	participate if their student	Measures to minimise	12, 24 months	curriculum prevented	
Southern California	population was at least 25%	confounding:		smoking initiation	Evidence gaps and/or
middle schools	Hispanic and/or at least		Evaluation:	among Hispanic boys	recommendations for future
	25% Asian American	Adjusted for age, gender, ethnicity,	Students completed	(OR=0.49; 95% CI 0.27	research:
Study design: RCT	Selected population:	generation in the United States, school-level smoking prevalence,	self-administered paper-and-pencil	to 0.88)	Further research is needed to determine the independent
	36 public and private	composite SES score, parents'	surveys in their	2-years follow-up	effects of the socioeconomic
Internal validity§: +	schools in Los Angeles	smoking, parental monitoring,	classrooms during a		context and the ethnic
	metropolitan area	communication with parents, best	single class period.	Multicultural	context on the effectiveness
External validity <sup>†</sup> : 4	_	friends' smoking, perceived access	Students completed a	programme was	of prevention curricula
	Age:	to tobacco, prevalence estimate of	follow-up survey	effective only among	
	11 to 12	peer smoking, cognitive	using the same	Hispanic students in	Source of funding:
		susceptibility, and depressive	procedure used in the	Hispanic schools	National Institutes of Health
	Female:	symptoms.	baseline survey.	(OR=0.63; 0.50 to 0.79);	and the California Tobacco-
	52.4%			and standard	Related Disease Research
		Intervention/s	Method of analysis:	programme was	Programme
	Race/ethnicity:	Two curricula were based on social	multilevel logistic	effective only among	
	0%	influences models of prevention,	regression analysis	Asian students in	
		which have been effective in		Asian/ multicultural	
	Socioeconomic status:	preventing smoking in multiple		schools (OR=0.60; 95%	
	Median household income	studies. The multicultural		CI 0.48 to 0.75).	
	was US\$44,590	curriculum was called Project			
	was 0344,370	FLAVOR (Fun Learning About		Secondary outcomes:	
		Vitality, Origins, and Respect).		No	
	Excluded population:	Project FLAVOR was developed		110	
	Not reported	with consultation from an		Attrition details:	
		advisory group of cultural experts		24% lost to follow-up	
		and multiple rounds of pilot		after two years.	
	Setting:	testing of activities and lessons.		Attrition did differ	
		Lessons addressed acculturative		significantly by	

Ct. 1. 1. t. 1	D 14 1 44	Method of allocation to	Outcomes and	D. It	N
Study details	Population and setting	intervention/control	methods of analysis	Results	Notes
	Not reported	stress, discrimination, and family		smoking status	
		conflicts that can occur during the			
		acculturation process. Project FLAVOR also incorporated images			
		of multicultural characters and			
		referenced the history of multiple			
		Pacific Rim nations.			
		The standard curriculum was			
		called Project CHIPS (Choosing			
		Healthy Influences for a Positive			
		Self). Projecct CHIPS was a			
		version of Project SMART			
		Intervention category:			
		Single			
		Totamentian made de			
		Intervention period:			
		eight classroom activities			
		Comparator/s			
		Wait-list control			
		vvait list control			
		Sample sizes:			
		Total n= 3157			
		<b>Intervention n=</b> Not reported			
		Control n= Not reported			
		Baseline comparisons:			
		similar in demographic factors,			
		socioeconomic status, smoking			

Study details	Population and setting	Method of allocation to intervention/control	Outcomes and methods of analysis	Results	Notes
		prevalence			
		Study sufficiently powered?  Not reported			

- 1. Likely to be applicable across a broad range of populations and settings.
- 2. Likely to be applicable across a broad range of populations and settings, assuming it is appropriately adapted.
- 3. Applicable only to populations or settings included in the studies the success of broader application is uncertain.
- 4. Applicable only to settings or populations included in the studies.

Table 5:37 Jøsendal et al. 1998

Study details	Population and setting	Method of allocation to intervention/control	Outcomes and methods of analysis	Results	Notes
BE smokeFREE (Jøsendal et	Source population/s: Nationally	Method of allocation:  Cluster allocation. Schools were selected and assigned to 1 of 3 intervention groups	Primary Outcomes: Weekly smoking prevalence Adverse events: Not	Primary outcomes: Prevalence of weekly smoking (adjusted odds ratios and 95% CIs for 1	Limitations identified by author:  Circumstances in Norway may be favourable for school-based
al. 1998; Jøsendal et al. 2005)	representative sample of schools in	or control using a post-code ranked list of all Norwegian schools. Process appears semi-random but some investigator	reported Secondary outcomes:	vs control) 6 months:	intervention because teachers are familiar with educational approaches etc and the results may not be fully applicable to other

Study	Population	Method of allocation to	Outcomes and methods of		
details	and setting	intervention/control	analysis	Results	Notes
details  Authors: Jøsendal  Year: 1998  Aim of study: To examine the effectiveness of a school based smoking prevention intervention  Study design: Cluster RCT  Internal	-		analysis  None  Follow-up periods:  6, 18 and 30 months from baseline  Evaluation:  Anonymous surveys were conducted during 45 min classroom sessions at baseline and at 6 months and 1.5 and 2.5 years from baseline. Blood samples were taken from a random sample of non-smoking students (n=89) for validation of self-reported smoking.  Method of analysis:  Cross-tabulation with X² to test for significance, corrected by design effect.  Multivariate multiple logistic	Results  1) 1.5% 2) 1.1% 3) 2.6% Control= 3.1% OR 0.32 (0.17-0.59)  18 months: 1) 5.9% 2) 8.2% 3) 6.8% Control 9.3% OR 0.53 (0.36-0.77)  30 months: 1) 4.1% 2) 5.9% 3) 5.4% Control 6.2% OR 0.65 (0.46-0.91)  Secondary outcomes: None Attrition details:	countries.  Limitations identified by review team:  The method of allocation is semirandomised but there was some subjective input (schools approximately the same size allocated to each group) and no evidence that investigators were blind to study groups when undertaking the process.  Attrition was greater in the intervention group and smokers were more likely to leave the study. This differential drop-out could have favoured the intervention group.  Evidence gaps and/or recommendations for future research:  More aggressive follow-up
Internal validity <sup>§</sup> : ++ External validity <sup>†</sup> : 3	,0	given a detailed program manual	corrected by design effect.		More aggressive follow-up techniques should be used to ensure smokers do not leave studies so that the external validity is maintained.  Analysis of mediating factors towards programme effectiveness.  Source of funding:  Norwegian Cancer Society

Study details	Population and setting	Method of allocation to intervention/control	Outcomes and methods of analysis	Results	Notes
	Not reported  Excluded population:  Not reported  Setting:  Classrooms in nationally representative schools	Intervention period: Over 3 years in grades 7 (8 hours), 8 (5 hours) and 9 (6 hours).  Comparator/s  Normal smoking and health teaching (~half the hours of the intervention groups).  Sample sizes: Total n= 99 schools, 4441 students Intervention n= Not reported Control n= Not reported Baseline comparisons: Similar in baseline smoking status, demographics not reported.  Study sufficiently powered? Yes			

- 1. Likely to be applicable across a broad range of populations and settings.
- 2. Likely to be applicable across a broad range of populations and settings, assuming it is appropriately adapted.
- 3. Applicable only to populations or settings included in the studies the success of broader application is uncertain.
- 4. Applicable only to settings or populations included in the studies.

Table 5:38 Kellam and Graham 1998

		Method of allocation to	Outcomes and methods		
Study details	Population and setting	intervention/control	of analysis	Results	Notes
Good Behaviour Game & Master	Source population/s:	Method of allocation:	Primary Outcomes:	Primary outcomes:	Limitations
Learning	schools	Teacher/classrooms were randomly assigned to	Smoking Prevalence Adverse events:	Boys in interventions group had a lower risk of	identified by author:
Authors: Kellam	Country: US	experimental conditions	Secondary outcomes:	starting to smoke than boys in control group	Reliance on self- reported data
<b>Year:</b> 1998	Study year: 1985	Measures to minimise confounding: Adjusted for age, sex, level of aggression in first grade	No Follow-up periods: 12, 24, 36, 48, 60	(RR=0.62, 0.40 to 0.97, p=.04); no programme effect was observed	Limitations identified by review team:
Aim of study: To examine whether interventions aimed at aggressive/disruptive classroom behaviour and poor	Eligible population:  Students from five large urban areas of Baltimore City elementary schools	Intervention/s The programme focused on social context of the classroom, to socialize children into the	Months  Evaluation: At the end of the first	among girls (RR=0.90, 0.57 to 1.42, p=.66)] Secondary outcomes: No	High attrition rate and differential attrition  Evidence gaps
academic achievement would reduce the incidence of initiation of smoking	Selected population: 19 schools	role of student and to teach them to regulate their own and their classmates' behaviour through a process of	quarter of first grade, prior to implementation of the interventions, each teacher rated each	Attrition details: 26.9%, control classrooms had significantly higher	and/or recommendations for future research:
Study design: RCT	<b>Age:</b> 6 to 7	interdependent team behaviour-contingent reinforcement	child in the classroom in a standardized 2-hour interview using the	rates of missing data	Source of funding:
Internal validity <sup>§</sup> : -  External validity <sup>†</sup> :	Female: 49%	Intervention category: Single	Teacher Observation of Classroom Adaptation- Revised. Outcome measured were assessed		National Institute of Mental Health and National Institute on Drug
	Race/ethnicity: Not reported	Intervention period:	using a 90-min long telephone interview.		Abuse
	Socioeconomic status:	2 years exposure to the intervention	Method of analysis:		

		Method of allocation to	Outcomes and methods		
Study details	Population and setting	intervention/control	of analysis	Results	Notes
Study details	Population and setting  Not reported  Excluded population: Not reported  Setting: Not reported	intervention/control  Comparator/s Standard care  Sample sizes: Total n= 2311  Intervention n= Not reported Control n= Not reported  Baseline comparisons: Depression symptoms more common among control group  Study sufficiently powered? Not reported	of analysis  standard life table and survival analysis	Results	Notes

<sup>1.</sup> Likely to be applicable across a broad range of populations and settings.

		Method of allocation to	Outcomes and methods				
Study details	Population and setting	intervention/control	of analysis	Results	Notes		
2. Likely to be applicable across a broad range of populations and settings, assuming it is appropriately adapted.							

- Likely to be applicable across a broad range of populations and settings, assuming it is appropriately adapted.
   Applicable only to populations or settings included in the studies the success of broader application is uncertain.
- 4. Applicable only to settings or populations included in the studies.

Table 5:39 Klepp et al. 1994

	Population and	Method of allocation to	Outcomes and methods of		
Study details	setting	intervention/control	analysis	Results	Notes
Authors:	Source	Method of allocation:	Primary Outcomes:	Primary outcomes:	Limitations identified by author:
Klepp Year: 1994	population/s: schools	Schools were randomly assigned t experimental conditions	Prevalence of daily smoking  Adverse events:  Not reported	Overall  Smoking onset was significantly	The study took place in inner-city setting with particular high smoking rates. Need for booster
Aim of study:	Country: Norway Study year: 1979		Secondary outcomes:	lower in the intervention group compared to control group at 2-	sessions. Recall bias, some participants had problems
To evaluate the	Eligible	Measures to minimise confounding:	Follow-up periods:	year follow-up: 16.5% vs 26.9%, p<0.01. The significant difference	remembering whether or not they had participated in the
impact of a school-based	population:	Adjusted for demographic variables, baseline	2-, 10-, and 12- years after pre-test survey	was not maintained at 10-year follow-up (onset of weekly	programme.
health education programme	Not reported	measures		smoking, 44.5% vs 51.2%, n.s.)	Limitations identified by review team:
targeting students' eating	Selected	Intervention/s The health education	Evaluation:	Subgroup by gender	Allocation was not completely random – one school was
patterns, physical activity levels and cigarette	population: 6 schools in Oslo	programme focused on cigarettes smoking,	Smoking-related measures were collected at baseline, 2-	Adjusting for baseline differences, baseline non-smoking	assigned to intervention group 'due to the existing relationship
smoking Study design: RCT	Age:	nutrition, and physical activity. The programme was led, in part, by older	, 10-, and 12-years. These include, questions regarding knowledge about smoking	men who had participated in the programme reported less smoking than did baseline non-smoking men from the	between the school and study staff'. Study cohorts were defined according to participation in a

Study details	Population and setting	Method of allocation to intervention/control	Outcomes and methods of analysis	Results	Notes
Internal validity <sup>§</sup> : - External validity <sup>†</sup> : 4	10 to 15 years (range; predominantly 11 to 14 years, grades 5-7)  Female: 51.6%  Race/ethnicity: Not reported  Socioeconomic	students and included training to resist social pressures to smoke, personalized role models, public commitment to remain a non-smoker and discussions on the social, political and health aspects of smoking.  Intervention category: Single Intervention period:	and health, acceptability of smoking, parental involvement in health issues, and friends' and siblings' smoking behaviour.  Method of analysis: Cross tabulation and analysis of covariance	comparison group after 10 years (ever smoking, 41.6% vs 55.8%, p<0.05) and 12 years (daily somoking, 31% vs 45%, p<0.06).  No similar long term effect was seen for women (baseline nonsmokers, daily smoking at 12-year follow-up, intervention vs control: 47% vs 42%).  Secondary outcomes: no	series of baseline and follow-up surveys. It is not clear whether eligible students who did not participate in the baseline survey (213/1040, 20.5%) were exposed to the school-based intervention / counted as controls. Only respondents to the surveys were included in the analyses, hence these were not 'intention-to-treat'. This, combined with differential attrition at follow-ups, reduces the validity of the conclusion.
	status: Not reported	10 sessions over 15 months		Attrition details: 4% at 10 years, 14% at 12 years  Greater attrition among reference schools compared to intervention	Differential effects between men and womean was unexpected and was not observed at 2-year follow- up. A hypothesis was generated at 10-year follow-up that women's
	Excluded population: Not reported	Comparator/s Usual education  Sample sizes: Total n= 827 Intervention n= not		schools. Greater attrition among males than among females.	smoking behaviour might have been associated with attempts to lose weight. Results from 12-year follow-up found no difference in attempts to lose weight between treatment and control groups and
	Setting: Not reported	reported Control n= not reported  Baseline comparisons: Not reported			no association between smoking status and attempts to lose weight.  Evidence gaps and/or
		Study sufficiently			recommendations for future research: There is a need for further

	Population and	Method of allocation to	Outcomes and methods of		
Study details	setting	intervention/control	analysis	Results	Notes
		powered?			development and refinement of
		Not reported			school-based smoking prevention
		r			programmes and for supportive
					community-based activities.
					Source of funding:
					Norwegian Cancer Society and
					Research Centre for Health
					Promotion, University of Bergen

#### † Score for external validity:

- 1. Likely to be applicable across a broad range of populations and settings.
- 2. Likely to be applicable across a broad range of populations and settings, assuming it is appropriately adapted.
- 3. Applicable only to populations or settings included in the studies the success of broader application is uncertain.
- 4. Applicable only to settings or populations included in the studies.

## Table 5:40 Lynam et al. 1999

	Population and	Method of allocation to	Outcomes and methods of		
Study details	setting	intervention/control	analysis	Results	Notes
Authors:	Source	Method of allocation:	Primary Outcomes:	Primary outcomes:	Limitations identified by author:
Lynam	population/s:	cluster randomisation	Smoking Prevalence	frequency of past-	The Police officers responsible for
<b>Year:</b> 1999	schools  Country:	Measures to minimise confounding:	Adverse events: Not reported	month cigarette use (DARE status	delivering the programme failed to execute it as intended.
1999	Country:	confounding:	riotroportou	beta=0.101, ns)	There was no comparison with a

	Population and	Method of allocation to	Outcomes and methods of		
Study details	setting	intervention/control	analysis	Results	Notes
Aim of study:	USA	adjusted for demographic and	Secondary outcomes:	Secondary outcomes:	no-intervention condition
To evaluate the		school-level factors	Knowledge attitude	positive expectancies	Limitations identified by review
impact of project	Study year:			toward cigarettes	team:
DARE, 10 years after	1987	Intervention/s	Refusal skill	(b=0.053, ns); negative	The large differences between the
administration		Data were collected before and after the administration of DARE and		expectancies toward	sample size of initial participants
Study design:	Eligible	follow up questionnaire data at six	Cope with peer pressure	cigarettes (b=-0.152,	and those used for the 10 year
RCT	population:	different times as indicated in the	Follow-up periods:	ns)	follow up.
ite:	Lexington-Fayette	follow-up periods.	12, 24, 36, 48, 60, 120 months	Attrition details:	_
Internal validity§: +	county public	Intervention was delivered by	12, 24, 30, 40, 00, 120 months	51.6%	Evidence gaps and/or
-	schools	police officers. The curriculum	Evaluation	01.070	recommendations for future
External validity <sup>†</sup> : 3		focused on teaching students the			research:
	Selected	skills needed to recognize and resist	Questions about alcohol,		Not reported
	population:	social pressures to use drugs	tobacco, marijuana and illegal		Source of funding:
	31 elementary	Intervention category:	drug use were asked.		Grant DA05312-10 from the
	schools	Single	Questions on positive and negative consequences of use		National Institute on Drug Abuse
	Age:		of the drugs were also asked		and by General Clinical research
		Intervention period:	Method of analysis:		Centre Grant M01 RR026202 from
	11 to 12 years.	Not reported	multilevel analysis		the National Institute of health.
	Female:	Comparator/s	mumever anarysis		
	49%	Standard drug education			
	49 /0	curriculum			
	Race/ethnicity:				
	75% White	Sample sizes:			
	75% <b>vv</b> iiite	Total n=2071			
	Socioeconomic	<b>Intervention n=</b> Not reported			
	status:	Control n= not reported			
	46% eligible for				
	free lunch	Baseline comparisons:			
		Similar demographic factors,			
	Excluded	baseline substance use			
	population: None				

Study details	Population and setting	Method of allocation to intervention/control	Outcomes and methods of analysis	Results	Notes
	Setting: Urban and suburban population	Study sufficiently powered?  Not reported			

- 1. Likely to be applicable across a broad range of populations and settings.
- 2. Likely to be applicable across a broad range of populations and settings, assuming it is appropriately adapted.
- 3. Applicable only to populations or settings included in the studies the success of broader application is uncertain.
- 4. Applicable only to settings or populations included in the studies.

Table 5:41 Murray et al. 1992

	Population and		Outcomes and methods of		
Study details	setting	Method of allocation to intervention/control	analysis	Results	Notes
Authors:	Source	Method of allocation:	Primary Outcomes:	Primary	Limitations identified
Murray	population/s:	cluster randomisation	Smoking Prevalence	outcomes:	by author:
	Schools in America			Self-reported	Inadequate
Year:		Measures to minimise confounding:	Adverse events:	weekly smoking	implementation of the
	Country:		Not reported	Prevalence:	interventions.

	Population and		Outcomes and methods of		
Study details	setting	Method of allocation to intervention/control	analysis	Results	Notes
1992	USA	adjusted for baseline covariates	Secondary outcomes:	MSPP;13.8,	
			knowledge attitude	SFG;13,	Combination of
Aim of study:	Study year:	Intervention/s		MDEG;11.6,	contamination or
To compare the	1986	The three interventions are: 1) Minnesota	refusal skill	EC;10.6 Incidence:	compensatory rivalry
incidence of and		Smoking Prevention programme (MSPP): a six-		MSPP;10.5,	in the existing
prevalence of adolescent	Eligible	lesson curriculum based on the social influence	cope with peer pressure	SFG;10.1,	curriculum control
tobacco use in schools	population: 478	model 2) The Smoke Free Generation (SFG)		MDEG;9.5, EC;7.9	condition.
randomised to the three	public schools were	programme is a three-lesson curriculum	self esteem efficacy	Secondary	
middle school	combined into	patterned after the Minnesota Smoking		outcomes:	Limitations identified
interventions that were	sampling units of	Prevention programme but in a shorter form 3)	Follow-up periods:	Not reported	by review team:
most widely adopted as	390	The Minnesota Department of Education's	12months, 24months and 36	rvot reported	High loss to follow up
a result of the legislation	Selected	Guidelines (MDEG) were developed by the	months	Attrition details:	during the final
or to an existing	population:	department of Education and provide written guidelines and a workshop to help teachers	Evaluation:		survey.
curriculum control group	81 schools	adapt existing programmes to incorporate	Surveys were conducted in	1988: MSPP	Evidence gaps and/or
Study design:	comprised of 48	components of the social influences model.	April and May of each of	(5.5%), SFG	recommendations for
RCT	sampling units.	Separate teacher training workshops were held	the follow-up years.	(3.7%), MDEG	future research:
IC1	sumpling units.	for the seventh grade teachers from Schools	Questionnaire assessed	(3%), EC (5%)	Need for studies that
Internal validity§: +	Age:	assigned to the MSPP and MDEG. Teachers in	subject's demographics,		are properly
	12 to 13 years	schools assigned to the SFG received a 2 hour	cigarette smoking use, and	1989: MSPP	implemented and with
External validity <sup>†</sup> : 3	12 to 13 years	instructional videotape along with their	exposure to educational	(16.4%), SFG	long follow up.
	Female:	curricular materials.	programmes. Information	(14.5%), MDEG	8
			on perceptions of smoking	(11.6%), EC	Source of funding:
	Approximately 50%	Intervention category:	by models was also	(14.5%)	Grant from the
	Race/ethnicity:	School based	collected.		National Cancer
	1	School Bused		1990: MSPP	Institute.
	Not reported	Intervention period:	Method of analysis:	(22.4%), SFG	
		Not reported	Tabulations (adjusted for	(20.2%), MDEG	
	Socioeconomic	Comparator/s	demographic factors and	(18.6%), EC	
	status:	Existing curriculum	smoking pattern) and	(18.9%)	
	Not reported		ANCOVA		
	Excluded				
	population:	Sample sizes:			
	r - r		ļ		

	Population and		Outcomes and methods of		
Study details	setting	Method of allocation to intervention/control	analysis	Results	Notes
	None	<b>Total n=</b> 7180			
		Intervention n= 5344			
	Setting:	Control n= 1836			
	Not reported				
		Baseline comparisons:			
		Similar baseline demographic characteristics			
		and smoking status			
		Study sufficiently powered?			
		Not reported			
		Not reported			

- 1. Likely to be applicable across a broad range of populations and settings.
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Table 5:42 Noland et al. 1998

		Method of allocation to	Outcomes and methods		
Study details	Population and setting	intervention/control	of analysis	Results	Notes
Authors:	Source population/s:	Method of allocation:	Primary Outcomes:	Primary outcomes:	Limitations
Noland	schools	Random allocation of entire schools to study conditions	smoking prevalence	1-year follow-up:	identified by author:
	Country:	study conditions	Adverse events:	Programme effects were	None
Year:	US	Measures to minimise confounding:		found for the prevalence of	
1998		Adjusted for baseline smoking status	Secondary outcomes:	eighth-grade students who	Limitations
	Study year:		no	had smoked in the previous	identified by
	1992	Intervention/s	Follow-up periods:	7 days (22% vs 28%, p<0.05)	review team:
Aim of study:		The social-influences prevention	12, 24 months	and in the previous 30 days	It may be difficult
To investigate efficacy	Eligible population:	programme included skills training		(28% vs 33%, p<0.05). No	to replicate the
of a social-influences		(specifically, learning to recognize	Evaluation:	significant effects were	findings from this
tobacco prevention	Students living in rural,	types of peer pressure, refusal skills,		found for 24-hour smoking	study.
programme	tobacco raising areas from	and assertiveness), recognizing and	Surveys on tobacco use	or lifetime smoking.	
conducted with	14 counties	countering advertising appeals,	(smokeless tobacco and		Evidence gaps
adolescents living in a		trained peer leaders, student pledges	cigarettes) and other		and/or
high tobacco production area	Selected population:	not to use tobacco, and negative	variables were	2-years follow-up:	recommendations
production area	Students from 19 schools	consequences for using tobacco (with	administered in the		for future
Study decion		a specific focus on undesirable social	classroom by trained	Significant effects were	research:
Study design: RCT	Age:	consequences and immediate physical	project staff. A test for	found for 24-hour (22% vs	There is a need
IC I	Mean age = 12.4	consequences). Undesirable social	carbon monoxide in	28%, p<0.05), 7-day (30% vs	for studies with
Internal validity§: ++		consequences included stained teeth,	expired air was also	38%, p<0.01), and 30-day	longer follow-up
internal variancy.	Female:	bad breath, and holes burned in	administered	(34% vs 44%, p<0.01)	period
External validity†: 4	50.8%	clothes.	individually to students	smoking but not for lifetime	
External variancy . 4		Total and the section of	at a private location.	smoking.	Source of
	Race/ethnicity:	Intervention category:			funding:
	92%	Single			National Cancer
			Mathada Canalani		Institute
	Socioeconomic status:	Intervention period:	Method of analysis:	Secondary outcomes:	

Study details	Population and setting	Method of allocation to intervention/control	Outcomes and methods of analysis	Results	Notes
	Not reported  Excluded population: Not reported  Setting: Not reported			Results  no  Attrition details:  14.4% after 2 years with no differential attrition between the control and experimental groups.	Notes

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- 3. Applicable only to populations or settings included in the studies the success of broader application is uncertain.
- 4. Applicable only to settings or populations included in the studies.

Table 5:43 Nutbeam et al. 1993

	Population and	Method of allocation to			
Study details	setting	intervention/control	Outcomes and methods of analysis	Results	Notes
Authors:	Source population/s:	Method of allocation:	Primary Outcomes:	Primary outcomes:	Limitations identified
Nutbeam	schools	cluster randomisation	smoking prevalence Adverse events:	Never smoker: school	by author:
<b>Year:</b> 1993	Country: UK	Measures to minimise confounding:	Secondary outcomes:	vs. control (70.4% vs. 73.4%, p=.1), family vs. control (64.4% vs.	Projects passed through limited field testing to examine teacher and
Aim of study: To assess the	Study year: 1988	Adjusted for potential confounders	knowledge attitude	73.9%, p=.1), school+family vs.	pupil acceptability before widespread
effectiveness of two schools based smoking	Eligible population:	Intervention/s	self esteem efficacy	control (69.4% vs. 73.9%)	dissemination.
education projects in delaying onset of	Not reported	Three arm intervention group: Family smoking	health values		Limitations identified by review team:
smoking behaviour and in improving health knowledge,	Selected population: 39 mixed sex state	education programme only, smoking and me project	Follow-up periods: post-intervention, 12 months	Secondary outcomes: No	Exposure of the control schools to some
beliefs, and values.	comprehensive schools in four	only and both projects in sequence.	<b>Evaluation</b> A self administered questionnaire was	Attrition details: 16.6%	incidental and unplanned smoking education.
Study design: RCT	different education authorities in Wales and England	Intervention category: Multimodal	completed on three occasions: before the commencement of smoking intervention in February to March 1988, while the first		Some schools in two of the areas were not
Internal validity <sup>§</sup> : +	A co. 11 to 12 years	Intervention period:	and second follow up took place in March 1989 and March 1990 respectively.		selected randomly
External validity†: 2	<b>Age:</b> 11 to 12 years <b>Female:</b> 52.8%	Comparator/s Control- No planned intervention	The questionnaires addressed smoking behaviour, educational objectives and knowledge elements of the projects. In order to improve the accuracy of self		Evidence gaps and/or recommendations for future research: Need for formally field
	Race/ethnicity: Not reported	Sample sizes: Total n= 4538	reported smoking status, bogus pipeline technique was used. The teachers		tested effective school based health education
	Socioeconomic	Intervention n= 3309 Control n= 1229	supervised the completion of questionnaire.		project before widespread dissemination.
	status: Unemployed father	Baseline comparisons:	Method of analysis:		

Study details	Population and setting	Method of allocation to intervention/control	Outcomes and methods of analysis	Results	Notes
	(7.4% to 8.2%)  Excluded population: Not reported  Setting: Not reported	dissimilar baseline smoking status  Study sufficiently powered?  Not reported	logistic regression (cluster analysis)		Need for British based research into the ways of influencing smoking among school children.  Source of funding: Not reported

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Table 5:44 Perry et al. 2003

		Method of allocation to			
Study details	Population and setting	intervention/control	Outcomes and methods of analysis	Results	Notes
Authors:	Source population/s:	Method of allocation:	Primary Outcomes:	Primary outcomes:	Limitations identified
Perry	schools	cluster randomisation	smoking prevalence	current smoker - boys :	by author:
Year:		cluster randomisation	Adverse events:	school vs. control (ns);	Intervention was more
2003	Country: USA	Measures to minimise		school+family vs. control	effective in boys.
		confounding:	Secondary outcomes:	(0.18 vs. 0.31, p=.02);	
	Study year: 1999	adjusted for	intention	school+family vs. school	Limitations identified
Aim of study: To evaluate the effect	Eligible population:	demographic factors, stratification by sex	Follow-up periods:	(ns); girls school vs. control (ns);	<b>by review team</b> : Nothing to add
of the middle and	zaga ze population	Stratification by sex	12, 24 months	school+family vs. control	Ü
junior high school	schools in Minnesota that			(ns); school+family vs.	Evidence gaps and/or
drug abuse	had middle and junior high	Intervention/s	Evaluation:	school (ns)	recommendations for
resistance education	schools with 7th-grade of at	Intervention was made			future research:
(DARE) and DARE	least 200	of two arms: 1) DARE	Surveys were conducted in fall 1999	C 1	Need for study of a
plus programmes on drug use and		only: a 10 session	(baseline) and spring 2000 (first	Secondary outcomes: intention - boys : school	longer intervention and
violence.	Selected population:	curriculum which	follow up) among all seventh grade	vs. control (ns);	follow-up.
Study design:	24 schools	provided skills in	students, and in spring 2001(final	school+family vs. control	
RCT	A 10 to 12	resisting influences to	follow-up) among eight grade students in the same school. The	(0.68 vs. 0.96, p=.04);	The effect of the
	<b>Age:</b> 12 to 13 years	drug use and handling violent situations. 2)	police officers who taught the	school+family vs. school	programme on those who were lost to follow-up
Internal validity§: ++	Female: 48.4%	DARE curriculum and	middle and junior high school	(ns); girls school vs.	were lost to follow-up would be useful to
	Race/ethnicity: 67.3%	DARE Curriculum and DARE Plus programme.	programme received training	control (ns);	examine the larger results
External validity <sup>†</sup> : 2		First component is a	according to the DARE requirement.	school+family vs. control	of an intervention.
	Socioeconomic status:	classroom based, peer	Questionnaire collected information	(ns); school+family vs.	or arr intervention.
	Not reported	led, parental	on cigarette, alcohol and marijuana	school (ns)	A study with an
	1vot reported	involvement programme	use and violent conduct among		additional intervention
		focused on influencing	students	Attrition details:	arm "DARE plus
	Excluded population:	skills related to peers,		16%	components alone" to
	None	social groups, media and	Method of analysis:		understand the effect of
		role models. The second	multilevel, growth curve analysis		the added intervention
	Setting: Not reported	component involved			components.
		extracurricular activities			

		Method of allocation to			
Study details	Population and setting	intervention/control	Outcomes and methods of analysis	Results	Notes
		for students. The third			Further research to
		component involved			understand why DARE
		neighbourhood action			plus was more effective
		teams, formed to			in boys.
		address neighbourhood			Source of funding:
		and school wide issues			Grant DA11994-02 from
		related to drug use and			the National Institute on
		violent behaviour.			Drug Abuse.
		Intervention category:			
		Multimodal			
		Intervention period: Not reported			
		Comparator/s			
		Delayed programme			
		control conditions			
		Sample sizes:			
		Total n= 6237			
		Intervention n			
		DARE=2226 DARE			
		Plus= 2221			
		<b>Control n</b> =1790			
		Baseline comparisons:			
		similar in demographic			
		factors			
		Study sufficiently			
		powered?			

Study details	Population and setting	Method of allocation to intervention/control	Outcomes and methods of analysis	Results	Notes
		Yes			

### † Score for external validity:

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#### Table 5:45 Peterson et al. 2000

		Method of allocation to	Outcomes and		
Study details	Population and setting	intervention/control	methods of analysis	Results	Notes
Authors: Peterson et al	Source population/s:	Method of allocation:	Primary Outcomes:	Primary	Limitations identified
	Schools	Matched-pair randomisation by	smoking prevalence	outcomes:	by author:
Year: 2000	Country: US	computerised coin flip that was	(daily smoking and	at least weekly	Substantial variation in
		performed openly, witnessed and	other smoking	smoking,	smoking prevalence
Aim of study:	Study year: 1984	recorded.	measures) at grade 12	intervention vs	between school districts
To attain the most			and 2 years after that.	control, 10 year	at follow-up.
rigorous randomised	Eligible population:	Measures to minimise confounding:		follow-up: 0.2% (-	r
trial possible to	school districts within 200 miles	school districts were matched on	Adverse events: not	3.1% to 3.9%); 12	Limitations identified
determine the long-term	of the Fred Hutchinson Cancer	prevalence of high school tobacco use,	reported	year follow-up: -	

		Method of allocation to	Outcomes and		
Study details	Population and setting	intervention/control	methods of analysis	Results	Notes
impact of a theory-	Research Center, with 50-250	school district size, and location (i.e.		1.3% (-4.1% to	by review team: this
based, social-influences,	students per grade level, with a	east or west of Washington's Cascade	Secondary outcomes:	2.0%)	trial was exceptionally
smoking prevention	self-contained feeder system	Mountains).	None		well designed and
intervention that spans	consisting of at least one			Secondary	conducted. The internal
the elementary, junior	elementary and at least one	Intervention/s	Follow-up periods:	outcomes:	validity should be high.
high and high school	junior high/middle school and	Hutchinson Smoking Prevention	120, 144 months	None	
grades on smoking	only one high school, and with	Project (HSPP) uses an enhanced social-			Evidence gaps and/or
prevalence among youth	a pre-trial grade 3–7 attrition of	influences approach that includes the	Method of analysis:	Attrition details:	recommendations for
	less than 35%	15 "essential elements" for school-	group-randomisation-	10 year follow-up:	future research:
Study design:		based tobacco prevention	based permutation	intervention 6.9%,	Search of new models
RCT	Selected population:	recommended by a national Expert	inference that accounts	control 7.1%; 12	and approach
	Two consecutive, entire 3 <sup>rd</sup>	Advisory Panel convened by the	for intraclass	year follow-up:	
Internal validity <sup>§</sup> : ++	grade enrolments in 40 (out of	National Cancer Institute. In	correlation of endpoint	intervention 6.2%,	Source of funding:
	41 invited) Washington school	accordance with the social-influences	between individuals	control 6.3%	National Cancer
External validity <sup>†</sup> : 2	districts	approach, the intervention's	within school district;		Institute, and the
		behavioural components feature 1)	intention to treat		Northern Life Insurance
	<b>Age:</b> 8 to 9	skills for identifying social influences to	analysis		Company
		smoke; 2) skills for resisting influences			
	Female: 49.2%	to smoke; and 3) information for			
	Race/ethnicity: 89.8% White	correcting erroneous normative			
		perceptions regarding smoking and for			
	Socioeconomic status: 8%	promoting tobacco-free social norms.			
	below poverty level, 25%	Three additional components extend			
	household rentals	the standard social influences			
		approach: 1) motivating students to			
	Excluded population:	want to be smoke free as a precursor to			
	42 children considered by their	skills training and distinguishing			
	schools to be developmentally	between what the adolescent "wants to			
	unable to learn	do" and what he/she is "able to do"; 2)			
		promoting self-confidence in one's own			
	Setting:	abilities to refuse pressures or			
	Small to medium communities	influences to smoke (i.e., self-efficacy);			
	located in rural or suburban	and 3) enlisting positive family			

		Method of allocation to	Outcomes and		
Study details	Population and setting	intervention/control	methods of analysis	Results	Notes
	setting throughout Washington	influences.			
	State	The intervention's theoretical design			
		The intervention's theoretical design			
		incorporates multiple social learning			
		constructs and the concept, from			
		attribution theory These theories			
		guided all intervention development,			
		including the teacher-training			
		programme designed to enhance			
		teacher motivation, compliance, and			
		fidelity.			
		The intervention is a teacher-led, grade			
		3–10 tobacco use prevention curriculum			
		together with unit-specific teacher			
		training. There are a total of 65			
		classroom lessons in the curriculum:			
		nine lessons in each of grades 3 - 5, 10			
		lessons in each of grades 6 and 7, eight			
		lessons in grade 8, and five lessons in			
		each of grades 9 and 10. (There are no			
		classroom lessons in grades 11 and 12.)			
		The length of the classroom lessons			
		varies with the lesson and the grade,			
		ranging from 30 to 50 minutes; the total			
		classroom minutes in grades 3 -10 is			
		2805 (46.75 hours). The curriculum is			
		supplemented by two additional high			
		school components: 1) self-help tobacco			
		use cessation materials to help motivate			
		smokers in grades 9 - 12 to think about			
		quitting and to make attempts to quit			
		and 2) biannual newsletters informing			
		high school teachers about tobacco			
		education resources and tobacco			

Study details	Population and setting	Method of allocation to intervention/control	Outcomes and methods of analysis	Results	Notes
	1 of minion min sering	current events as well as about ways to	21100110010 02 01111119020	1100 4110	11000
		incorporate these resources into various			
		course subjects in high school.			
		The intervention targets each of the			
		stages of the smoking acquisition			
		process and addresses age-specific			
		interests and developmental			
		capabilities of students. The curriculum			
		is designed for all students, not just			
		students at high risk for smoking.			
		Nonetheless, some content is designed			
		to influence high-risk youth.			
		To engage students, address varied			
		learning styles, and encourage students			
		to express their own opinions and			
		feelings about tobacco, lessons rely on a			
		variety of creative communication			
		methods and direct student			
		involvement.			
		Intervention category:			
		Single			
		<b>Intervention period:</b> 10 years spanning			
		across grades 3-12			
		Comparator/s			
		health curricula normally offered in			
		schools. During the implementation			
		period of the trial (1984 through 1997),			
		tobacco control in Washington State			
		consisted primarily of the following: 1)			

		Method of allocation to	Outcomes and		
Study details	Population and setting	intervention/control	methods of analysis	Results	Notes
		tobacco-free school grounds,			
		implemented in 1991; 2) statewide			
		compliance checks to educate cigarette			
		retailers about avoiding sales to minors,			
		begun in 1989; and 3) local health			
		department sponsorship of community-			
		based activities (e.g., youth peer			
		leadership training) using funds from			
		the American Stop Smoking			
		Intervention Study. The state did not			
		require schools to teach tobacco use			
		prevention			
		Sample sizes:			
		Total n= 8388			
		10tal II- 0500			
		Intervention n= 4177 (20 school			
		districts)			
		Control n= 4211 (20 school districts)			
		Baseline comparisons:			
		Similar in experimentation prior to 3rd			
		grade, parental smoking rate, single-			
		parent household, ongoing non-HSPP			
		tobacco prevention efforts			
		Charden cartificiantles morrouned?			
		Study sufficiently powered?			
		yes			

<sup>§</sup> The internal validity score of a study may vary depending on the reliability and validity of the outcome measures of interest. Score for internal validity: ++ All or most of the criteria have been fulfilled. Where they have not been fulfilled the study conclusions are though **very unlikely** to alter. + Some of the criteria have been fulfilled. Those criteria that have not been fulfilled or not adequately described are thought **unlikely** to alter the study conclusions. – Few or no criteria have been fulfilled. The study conclusion are

		Method of allocation to	Outcomes and		
Study details	Population and setting	intervention/control	methods of analysis	Results	Notes

thought likely or very likely to alter.

- † Score for external validity:
- 1. Likely to be applicable across a broad range of populations and settings.
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# **Table 5:46 Piper et al. 2000**

Study details	Population and setting	Method of allocation to intervention/control	Outcomes and methods of analysis	Results	Notes
Study details  Authors: Piper 2000  Year: 2000  Aim of study: To evaluate efficacy of the healthy for life health promotion programme  Study design: RCT	Population and setting Source population/s: schools  Country: US Study year: 1988 Eligible population: middle schools in suburbs, small cities and towns in Wisconsin  Selected population: 21 schools	intervention/control  Method of allocation: Stratified random assignment of schools to one of the three conditions  Measures to minimise confounding: Adjusted for parental education, two-parent family, ethnicity  Intervention/s The design of Healthy For Life (HFL) was derived from the		Results  Primary outcomes: The Intensive condition significantly reduced the likelihood of smoking in 10 <sup>th</sup> grade (3-year follow-up, beta (SE) = -0.38 (0.17); p<.05), but this effect did not reach statistically significance in 9 <sup>th</sup> grade (3-year follow-up, beta (SE) = -0.30(0.17); p=ns).  The age appropriate condition emerged as marginally (p<.10) harmful over the control condition.	Notes  Limitations identified by author:  Quantitative experimental designs may not be appropriate in evaluating multifaceted programme's impact on complex constellations of social behaviours.
Internal validity <sup>§</sup> : +  External validity <sup>†</sup> : 3	Age: 11 to 12 Female:	social influences model, a theoretical perspective that views adolescent health behaviour as determined within	was an annual self-report survey. Self-reports behaviour were validated	Secondary outcomes:	The results may not be generalizable to people of colour

Study details	Population and setting	Method of allocation to intervention/control	Outcomes and methods of analysis	Results	Notes
Study details	•	the context of social	by assessing carbon	Nesuits	or urban centres
	Race/ethnicity: 94%		monoxide levels in	Attrition details:	or divari certires
	Socioeconomic status:		expired air samples given	20%	Limitations
	Socioeconomic status:  Percent with mothers with college education (control =30%, age approp = 27%, intensive = 35%)  Percent with fathers with college education (control =35%, age approp = 30%, intensive = 40%)  Percent with fathers working full time (control =74%, age approp = 73%, intensive = 78%)  Percent with mothers working full time (control =37%, age approp = 40%, intensive = 48%)  Excluded population:  Not reported  Setting:  Not reported	interactions.  The HFL School Component utilized eight teaching strategies considered most effective in the health promotion field for young adolescents and in addressing their unique developmental and learning needs These include: (1) social inoculation in which youth are provided exposure to social settings which carry heavy pressure to engage in high-risk health behaviours, with practice of refusal skills; (2) the use of elected peer leaders to deliver HFL messages; (3) use of parent-adult interviews as homework to facilitate sharing of family values and ground rules; (4) health advocacy including the selection, planning and implementation of a health promotion event which targets the entire community as part of the HFL programme; (5) an emphasis on			Limitations identified by review team: High attrition rate  Evidence gaps and/or recommendations for future research:  Source of funding: National Institute on Drug Abuse and Robert Wood Johnson Foundation
		short-term effects associated			
		with the five targeted			
		behaviours as opposed to the			
		long-term health effects; (6)			

		Method of allocation to	Outcomes and methods		
Study details	Population and setting	intervention/control	of analysis	Results	Notes
		analyzing the influences of			
		advertising and media on			
		student behaviours; (7)			
		encouraging students to make			
		public commitments to behave			
		in healthy ways and create a			
		classroom climate of positive			
		reinforcement and peer			
		support; and (8) an emphasis on			
		peer norms by providing			
		accurate data on health			
		behaviours in each school.			
		The Family Component			
		enhanced and strengthened the			
		curriculum by facilitating			
		communication between the			
		young teens and one significant			
		family member or other adult.			
		This component included a			
		Parent Orientation Session at			
		each school prior to the			
		beginning of the programme;			
		Home Mailings three times			
		during the programme; and the			
		Parent/Adult Interviews which			
		were "homework assignments."			
		The HFL Community			
		Component ran simultaneously			
		with the other components.			
		The Community Component			

		Method of allocation to	Outcomes and methods		
Study details	Population and setting	intervention/control	of analysis	Results	Notes
		had two goals: (1) to create a			
		highly visible cadre of			
		community people actively			
		working to reinforce the			
		behavioural messages of the			
		school Component and, in so			
		doing, (2) launch an attack on			
		the pervasive double messages			
		about the target behaviours			
		which most communities			
		transmit to young people via			
		overt and subtle cultural norms			
		and values.			
		Intervention category:			
		Multimodal			
		Intervention period:			
		The Age-Appropriate Version:			
		The HFL Age-Appropriate			
		curriculum provided 20 lessons			
		to one cohort of 6th graders, 19			
		lessons to that same cohort of			
		students as 7th graders, and 19			
		lessons to that same cohort as			
		8th graders. The lessons were			
		delivered in sequence everyday			
		for four weeks to the entire			
		grade in a 43 minute class			
		period.			
		The Interest Name of The			
		The Intensive Version: The			
		Intensive curriculum took the			
		Age-Appropriate version,			

		Method of allocation to	Outcomes and methods		
Study details	Population and setting	intervention/control	of analysis	Results	Notes
		minus the 7th and 8th grade			
		introductory lessons, and			
		delivered it in one sequential			
		twelve week block to an entire			
		cohort of 7th graders.			
		Comparator/s			
		Usual education			
		Sample sizes:			
		Total n= 2483			
		Intervention n=			
		(age appropriate =827) /			
		(Intensive = 758)			
		Control n= 898			
		Baseline comparisons:			
		Similar baseline characteristics			
		Study sufficiently powered?			
		Yes			
		103			

		Method of allocation to	Outcomes and methods				
Study details	Population and setting	intervention/control	of analysis	Results	Notes		
1. Likely to be applied	cable across a broad range of popu	ross a broad range of populations and settings.					
2. Likely to be applied	cable across a broad range of popu	lations and settings, assuming it is	appropriately adapted.				
3. Applicable only to populations or settings included in the studies – the success of broader application is uncertain.							
4. Applicable only to	o settings or populations included	in the studies.					

# Table 5:47 Ringwalt et al. 1991

		Method of allocation to	Outcomes and methods of		
Study details	Population and setting	intervention/control	analysis	Results	Notes
Authors:	Source population/s:	Method of allocation:	Primary Outcomes:	Primary outcomes:	Limitations
Ringwalt	schools	Schools were randomly assigned to experimental	prevalence of lifetime cigarette use	No effect on adolescents' use of cigarettes (4.10% vs	identified by author:
Year:	Country:	conditions	Adverse events:	6.10%, p=ns)	The study may
1991	US	Measures to minimise	Secondary outcomes:	Secondary outcomes:	not be adequately powered to detect
	Study year: 1988	confounding:	Knowledge and attitude	No effect on adolescents'	any difference.
Aim of study: To evaluate effects of Drug Abuse	Eligible population:	School and baseline differences were covariates	Follow-up periods:	future intention to use cigarette	Use of only self- reported measures of
Resistance Education (DARE) project in fifth and sixth graders in	North Carolina elementary schools	Intervention/s Project DARE focused primarily on training students	Evaluation: Pre- and post-test were orally	Attrition details: 9.4%, no evidence of differential attrition	substance abuse
North Carolina	Selected population:	to resist social pressures to use	administered to students.		identified by
Study design:	20 schools	drugs. Through discussion, role playing, behavioural modelling	Teachers read aloud all questions and each set of		review team: Baseline
RCT	Age:	and extended practice, students	response options at least once.		dissimilarities
Internal validity <sup>§</sup> : +	10 to 12	are taught to recognize and resist pressures that can lead to experimentation with drugs	Teachers remained at the head of the classroom to avoid the risk that they might observe		Evidence gaps
External validity <sup>†</sup> : 2	Female:	experimentation with drugs	student responses.		anu/or

		Method of allocation to	Outcomes and methods of		
Study details	Population and setting	intervention/control	analysis	Results	Notes
	52%				recommendations
		Intervention category:	Method of analysis:		for future
	Race/ethnicity:	Single	Multivariate analysis of		research:
	40%		covariance		There is a need
		Intervention period:			for further studies
	Socioeconomic status:	Intervention was delivered			with longer
	Not reported	from August 1988 through			follow-up period
	rtot reported	December 1988 by a uniformed			C
	Excluded population:	law officer in 17 weekly			Source of
	Not reported	sessions of 45-60 min			funding: Alcohol and Drug
					Defense
	Setting:	Comparator/s			Programme of the
	Not reported	No intervention			North Carolina
					Department of
		Sample sizes:			Education
		Total n= 1402			Laucation
		<b>Intervention n=</b> Not reported			
		Control n= Not reported			
		Baseline comparisons:			
		Students exposed to DARE			
		reported greater lifetime			
		involvement with alcohol,			
		although they did not differ on			
		current alcohol use or either			
		current or lifetime use of			
		cigarettes or inhalants. DARE			
		students also reported a more			
		positive general attitude			
		toward drugs and perceived			
		peers as having a more positive			
		attitude toward drug use. They			

Study details	Population and setting	Method of allocation to intervention/control	Outcomes and methods of analysis	Results	Notes
		reported fewer costs of using			
		alcohol and did not believe the			
		media portrayed beer drinking.			
		In addition, students receiving			
		DARE were more likely to be			
		older and blacks were			
		disproportionately represented.			
		Study sufficiently powered?			
		Not reported			

#### † Score for external validity:

- 1. Likely to be applicable across a broad range of populations and settings.
- 2. Likely to be applicable across a broad range of populations and settings, assuming it is appropriately adapted.
- 3. Applicable only to populations or settings included in the studies the success of broader application is uncertain.
- 4. Applicable only to settings or populations included in the studies.

#### Table 5:48 Schinke et al. 2000

	Population and	Method of allocation to	Outcomes and methods of		
Study details	setting	intervention/control	analysis	Results	Notes

	Population and	Method of allocation to	Outcomes and methods of		
Study details	setting	intervention/control	analysis	Results	Notes
Authors:	Source	Method of allocation:	Primary Outcomes:	Primary outcomes:	Limitations identified by
Schinke	population/s:	Cluster randomisation	Smoking prevalence	cigarette smoking @42	author:
	Schools	Craster randomisation		months: school vs.	The analysis used may
Year:2000		Measures to minimise	Adverse events: Not	control (24.4% vs.	not account for school and
	Country:	confounding:	reported	28.6%,ns);	other nested group effect.
Aim of study:	USA	Not reported		school+community vs.	
To determine whether			Secondary outcomes:	control (26.4% vs.	Limitations identified by
the skills approach with	Study year:	Intervention/s	No	28.6%,ns); school vs.	review team:
and without	Not reported	Was a conventional theoretical	F 11 ' 1	community (24.4% vs.	Differential sample size
community	•	model of life skills training tailored	Follow-up periods:	26.4%,ns)	not reported.
mobilization approach	Eligible	towards the young native	6, 18, 30, 42 months	0 1	
had a demonstrable	population:	Americans in the target area. Two		Secondary outcomes:	Evidence gaps and/or
long term impact on		interventional arms made of 15	Evaluation	No	recommendations for
smoked and smokeless	Socioeconomically	sessions each delivered weekly.			future research:
tobacco consumption, alcohol drinking and	comparable native	Each session last about 50 minutes	Data on cigarette smoking	Attrition details:	Use of analytical methods
marijuana smoking	American	each. Delivered during the spring	was collected before the		that can be used to
among native American	communities	term and each session involved	intervention and at each post	14.1%	calculate intra class
youth.		instruction, modelling, and	intervention period (6		correlation coefficient
Study design:	Selected	rehearsal in cognitive-behavioural	months, 18 months, 30		which can then be used to
RCT	population:	skills associated with substance	months and 42 months).		analyse study outcomes
KC1	Native American	abuse prevention. The second	Saliva sample was also		through random effects
Internal validity§: +	youths from 10	intervention arm also involves	collected at each point to		modelling or random
Internal variancy.	reservations	community component in addition	provide evidence of accuracy		coefficient modelling.
External validity <sup>†</sup> : 3		to the skills component. The	for the self reported use of tobacco use. Cigarette		Charles and Comment
External variatey 10	Age:	community component involves	smoking was defined as		Studies to confirm or refute the use of
	8 to 11 years	mobilizing Native American	seven or more cigarettes		intervention tailored
		constituents in youth communities to support substance abuse	smoked in the week prior to		
	Female:	prevention. The people involved are	each outcome measurement.		towards specific ethnic
	49%	youths' families, teachers and school	each outcome measurement.		group.
		guidance counsellors,	Method of analysis:		Source of funding:
	Race/ethnicity:	neighbourhood residents, law	One-way ANOVA tests		National Cancer Institute
	Not reported	enforcement officials and	among the interventional		and National Institute on
	r	chorecinent officials and			and rational montate off

Study details	Population and setting	Method of allocation to intervention/control	Outcomes and methods of analysis	Results	Notes
study ucums	Socioeconomic status:	commercial establishments frequented by youths	arms, with individual youths as the analytic unit.	Results	Drug Abuse.
	Not reported	Intervention category:			
	Excluded population: None	Multimodal			
	Setting: Not reported	Intervention period: 50 minutes weekly sessions Comparator/s Control Sample sizes: Total n= 1396			
		Intervention n= Not reported Control n= Not reported			
		Baseline comparisons: Not reported			
		Study sufficiently powered?  Not reported			

<sup>1.</sup> Likely to be applicable across a broad range of populations and settings.

	Population and	Method of allocation to	Outcomes and methods of		
Study details	setting	intervention/control	analysis	Results	Notes
0 7 11 1 1 1		A 1 .1 1 1	1.1 1.1 1.1		

- 2. Likely to be applicable across a broad range of populations and settings, assuming it is appropriately adapted.
- 3. Applicable only to populations or settings included in the studies the success of broader application is uncertain.
- 4. Applicable only to settings or populations included in the studies.

## Table 5:49 Schofiel et al. 2003

		Method of allocation to	Outcomes and methods of		
Study details	Population and setting	intervention/control	analysis	Results	Notes
Authors:	Source population/s:	Method of allocation:	Primary Outcomes:	Primary outcomes:	Limitations
Schofield	schools	Schools were randomly allocated to control and intervention group	smoking prevalence	There was no pre-post difference in the	identified by author:
	Country:	8 11	Adverse events:	proportion of students	Intervention needs
Year: 2003	Australia	Measures to minimise confounding:	Secondary outcomes:	who had smoked in the last week by	to occur earlier before students
		adjustment for school, age, gender,	knowledge, attitudes	experimental group	reach high,
Aim of study:	Study year: 1997	country of birth of mother and father, father's occupation, parents'	Follow-up periods:	(9.7% vs 10.0% increase in intervention and	attitudes may have developed by the
To evaluate the effectiveness of a 2-year	Eligible population:	risk status, sibling risk status, peer risk status, family rules about risk	24 months (pre-test to post-test)	control groups, respectively)	beginning of year 8 to 9. The time
collaborative community-based	31 schools in the Hunter and Taree school districts in New	behaviours, knowledge and attitudes, expectations about future		Secondary outcomes:	frame of the pre- post evaluations
Health Promoting Schools (HPS) in	South Wales	smoking, and attitudes towards school	Evaluation:	Intervention resulted in increase of smoking	was considered minimal in terms of
improving health knowledge, attitudes and practice among a	Selected population: 22 schools	Intervention/s	A pre-test survey of all consenting Year 7±8	knowledge (pre to post-test increase of	influencing smoking behaviour.
cohort of young adolescents in New	<b>Age:</b> 12 to 14	Key interventions included development of a minimum set of health promotion actions for	students was conducted in November 1995, and a post-test survey of the	12% in intervention groups versus 7% in the control group,	The use of randomised controlled study in
South Wales secondary schools	Female:	schools which targeted knowledge and skills, availability of products,	same cohort in November 1997 when they were in Years 9± 10. Surveys were	p=0.001). There was no	evaluating the effectiveness of the HPS programme is
Study design:		the environment, and role models.	- 13	significant differences	questionable.

Study details	Population and setting	Method of allocation to intervention/control	Outcomes and methods of analysis	Results	Notes
RCT Internal validity <sup>§</sup> : - External validity <sup>†</sup> : 2	Socioeconomic status: The occupational status of fathers was: lower status (61%), middle status (35%) and high status (7%)  Excluded population: None  Setting: None	Strategies included ensuring that a formal school curriculum adequately addressed health risks associated with smoking, information leaflets and biweekly school newsletters for parents, letters to tobacco retailers, smokefree school policy development, encouragement of non-smoking parents, peers and teachers as role models, peer influence programmes, and incentive programmes.  A four-stage model was developed: (1) establishing baseline health risk behaviours and gaining schoolwide commitment to HPS, (2) identifying key individuals and the optimal HPS structure for each school, (3) planning, implementing and monitoring HPS strategies, and (4) ongoing support and maintenance of HPS structures and activities.  Intervention category: Single  Intervention period: Not reported  Comparator/s	completed in classrooms under supervision of teaching and research staff. Surveys were anonymous, and matched on gender, age, school, school year and first three letters of first name. A similar procedure was implemented at post-test.  Method of analysis: Multivariable logistic regression was used to estimate the independent effects of explanatory variables after adjustment for all other factors.	at either pre- or post- test for the perceived positives or negatives of smoking  Attrition details: 62% of the pre-test sample	Limitations identified by review team: Low baseline consent rate (60%) and high lost to follow up (62%). Higher baseline smoking rates among intervention group.  Evidence gaps and/or recommendations for future research: Further research is needed to examine the effect of varying the intensity of the intervention package and the components addressing peer influence, as well as exploring measurement issues.  Source of funding: The National Health and Medical

Control schools were not offered any of the resources or actions to reduce smoking; however, if they requested assistance, then the		Research Council (Australia) and the
project team offered support for other health-related issues and promised smoking-specific support at the completion of the study period.  Sample sizes: Total n= 4841 Intervention n= 2573 Control n=2268  Baseline comparisons: Difference in baseline smoking rates, control group had higher smoking rate than the intervention group (10.5% versus 7.8%)  Study sufficiently powered? Not reported		Hunter Centre for Health Advancement.

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		Method of allocation to	Outcomes and methods of		
Study details	Population and setting	intervention/control	analysis	Results	Notes

## † Score for external validity:

- 1. Likely to be applicable across a broad range of populations and settings.
- 2. Likely to be applicable across a broad range of populations and settings, assuming it is appropriately adapted.
- 3. Applicable only to populations or settings included in the studies the success of broader application is uncertain.
- 4. Applicable only to settings or populations included in the studies.

# Table 5:50 Schulze et al. 2006

Study details	Population and setting	Method of allocation to intervention/control	Outcomes and methods of analysis	Results	Notes
Authors: Schulze 2006	Source population/s: schools	Method of allocation: Matched pairs of schools were formed and	Primary Outcomes: Smoking prevalence	<b>Primary outcomes:</b> no statistically	Limitations identified by author:
Year: 2006	Country: Germany	randomly assigned to intervention and control group	Adverse events: not reported	difference between intervention and control groups (OR=0.98; 95% CI	(1) Selection bias: some systematic differences between intervention group and control group in relation to age and
Aim of study: examines	Study year: 1998	Measures to minimise confounding: Adjusted for sex, age and school-type	Secondary outcomes: not reported	0.81 to 1.20) Secondary	smoking status could be detected at the baseline; (2) Attrition bias: overall attrition
the effectiveness of the school-based campaign "Smoke-Free Class Competition" as a	Eligible population: (1) the school classes decide to be a non-smoking class for a	Intervention/s: information about the health effects of smoking, how to quit smoking, how to deal with	Follow-up periods: 18 months	outcomes: Not reported  Attrition details:	rate of 54% from the baseline to the follow-up measurement; (3) Cluster effects: as the intervention is a class-based
means of preventing young non-smokers from taking up smoking	period of six months; (2) the school classes monitor their (non)smoking behaviour and	peer pressure and the strategies of the tobacco industry.	Method of analysis: Logistic regression	54% were lost to follow-up	program, the random assignment to control and intervention group had to be
Study design: RCT	report it regularly to the organizers of the competition; (3) less than	Intervention category: Single			made on the class level; (4) Social desirability bias: Self- reported smoking behaviour may be biased by social

Study details	Population and setting	Method of allocation to intervention/control	Outcomes and methods of analysis	Results	Notes
Internal validity <sup>§</sup> : +	10% of its pupil are smoking	Intervention period:			desirability
External validity <sup>†</sup> : 2	Selected population: students enrolled in Heidelberg Children's Panel Study  Age: 11 – 15 years Female: 48.0% Race/ethnicity: not reported  Socioeconomic status: not reported  Excluded population: not reported  Setting: not reported	Comparator/s: no intervention  Sample sizes: Total n= 4048 Intervention n= 2168 Control n= 1880  Baseline comparisons: (similar/dissimilar) At baseline, control group were more likely to be smoker than intervention group  Study sufficiently powered? Not reported			Limitations identified by review team: There is a need for studies with longer follow-up periods  Evidence gaps and/or recommendations for future research: There is a need for studies with longer follow-up  Source of funding: German Cancer Research Center and Child Health Foundation

- † Score for external validity:
- 13. Likely to be applicable across a broad range of populations and settings.
- 14. Likely to be applicable across a broad range of populations and settings, assuming it is appropriately adapted.
- 15. Applicable only to populations or settings included in the studies the success of broader application is uncertain.

Study details	Population and setting	Method of allocation to intervention/control	Outcomes and methods of analysis	Results	Notes				
16. Applicable only to se									

Table 5:51 Shean et al. 1994

Study	Population and	Method of allocation to	Outcomes and methods		
details	setting	intervention/control	of analysis	Results	Notes
Authors: Shean	Source population/s: school	Method of allocation: Schools were randomly assigned to the	<b>Primary Outcomes:</b> smoking prevalence	Primary outcomes:  Peer-led intervention appeared	Limitations identified by author:
<b>Year:</b> 1994	Country: Australia Study year:	three experimental conditions  Measures to minimise confounding:  Stratification by gender	Adverse events: Not reported Secondary outcomes:	to have preventive effect on taking up smoking by girls who were initially non-smokers (OR=0.50, 0.26 to 0.98); while teacher-led was not effective	Poor response and tracing rate. Differential response bias could create an apparent beneficial effect of the intervention.
Aim of	1981	Intervention/s The programme was based on the social	no Follow-up periods:	(OR=0.53, 0.27 to 1.01)	Limitations identified by
study: To describe	Eligible population:	consequences curriculum, designed to increase children's knowledge of the	1-, 2-, and 7- years post- intervention	There was no effect of either intervention on boys who were	review team: High loss to follow-up and
long-term follow-up of	Not reported	effects of smoking and their awareness of the benefits of not smoking. The	Evaluation:	initially non-smokers [(teacher led OR=0.87, 0.39 to 1.96), peer-	differential attrition.
smoking education programme	<b>Selected population:</b> 45 school	programme also trained students how to resist pressures to smoke	In June 1982, all students were surveyed by questionnaire to	led OR=1.10, 0.53 to 2.28]  Secondary outcomes:	Evidence gaps and/or recommendations for
for children in western Australia	<b>Age:</b> Modal age, 12 years	Intervention category: Single	collect baseline information on smoking habits. In 1988, a third	no Attrition details:	future research: Future studies should confirm this gender- specific effect of
Study	Female:	Intervention period:	follow-up was carried out when subjects were	32% lost to follow-up after 7 years. Multivariable attrition	educational programme
design: RCT	52%	Five sessions conducted over 6 months period	18 or 19 years old.	analysis revealed that being male, being control group,	Source of funding: Not reported
Internal validity§: -	Race/ethnicity: Not reported	Comparator/s No intervention	Method of analysis: logistic regression	positive intention to smoke, thinking most adults smoked, their mother smoker and a	

Study	Population and	Method of allocation to	Outcomes and methods	Roculto	Notes
Study details  External validity†: 2	Population and setting  Socioeconomic status: Not reported  Excluded population: Not reported  Setting: Not reported	Method of allocation to intervention/control  Sample sizes: Total n= 2366 Intervention n= Control n=  Baseline comparisons: similar in demographic, baseline smoking status  Study sufficiently powered? Not reported	Outcomes and methods of analysis	Results brother smoked were statistically significant with non-response	Notes

- 1. Likely to be applicable across a broad range of populations and settings.
- 2. Likely to be applicable across a broad range of populations and settings, assuming it is appropriately adapted.
- 3. Applicable only to populations or settings included in the studies the success of broader application is uncertain.
- 4. Applicable only to settings or populations included in the studies.

Table 5:52 Simons-Morton et al. 2005

		Method of allocation to	Outcomes and		
Study details	Population and setting	intervention/control	methods of analysis	Results	Notes
Authors: Simons-Morton	Source population/s:	Method of allocation:	<b>Primary Outcomes:</b> Prevalence of 30-day	Primary outcomes: There was additional	Limitations identified by
Year: 2005	Court IIC	Schools were randomised to experimental conditions	smoking	decrease in the rate of smoking progression for	author:
	Country: US	Measures to minimise confounding: Adjusted for gender and ethnicity	Adverse events: Not reported	the treatment group relative to the control	Limitations
Aim of study:	Study year:	Intervention/s	rtot reporteu	group (added growth factor=-0.124, p <0.05)	identified by review team:
To evaluate the effects of a school-based	Eligible population:	School plus community plus family	Secondary outcomes:	Secondary outcomes:	Low long-term follow-up rate
intervention on growth trajectories of smoking, drinking, and antisocial behaviour among early adolescents.	Students from middle schools in one Maryland school district	The Going Places programme includes a social skills curriculum, parent education, and school environment enhancement designed to increase academic engagement and commitment to school;	Follow-up periods: 12, 24, 36 months	No Attrition details: 21%	Evidence gaps and/or recommendations for future
Study design: RCT	Selected population: 7 schools	alter perceptions, attitudes, and expectations about substance use and antisocial behaviour; and reduce multiple	Method of analysis:		research: Not reported
Internal validity <sup>§</sup> : -	<b>Age:</b> 11 to 12	problem behaviours. The foci of the curriculum sessions are problem solving, self-control, communication, and conflict	modelling		
External validity <sup>†</sup> : 2	Female: 57%	resolution skills.  The enhanced school environment			Source of funding:
	Race/ethnicity:	component included social marketing strategies to improve school climate,			Not reported
	71% White	establish pro-social norms, establish a positive image for the school, reinforce			
	Socioeconomic status: Not reported	student achievement, and extend exposure to Going Places curriculum concepts. Activities designed to extend			
	1	the classroom lessons to the larger school			

		Method of allocation to	Outcomes and		
Study details	Population and setting	intervention/control	methods of analysis	Results	Notes
	Excluded population:	environment included informational			
	Not reported	"roll-outs" preceding each unit; posters			
		and short video segments presented in			
	Setting:	the cafeteria and display areas;			
	Not reported	"travellers' checks" awarded to students			
		by teachers for applying skills learned in			
		a Going Places lesson; and special			
		activities, such as assemblies and a year-			
		end field trip, which students could earn			
		the right to attend by participating in			
		class activities and demonstrating skills			
		outside the classroom, which were			
		systematically rewarded.			
		The parent education component was			
		designed to increase parental monitoring,			
		involvement, and expectations regarding			
		academic engagement and problem			
		behaviour. Parents received in the mail a			
		20-min instructional video on			
		authoritative parenting and a 20-page			
		booklet entitled Attentive Parenting: The			
		Going Places Guide for Parents of Middle			
		School Students and periodic newsletters.			
		Intervention category:			
		Multimodal			
		Intervention period: 18 sessions were			
		offered in sixth grade, 12 in the seventh			
		grade, and six in the eight grade			
		Comparator/s			

Study details	Population and setting	Method of allocation to intervention/control	Outcomes and methods of analysis	Results	Notes
j	1	Not reported	,		
		Sample sizes:			
		<b>Total n=</b> 2651			
		Intervention n= Not reported			
		Control n= Not reported			
		Baseline comparisons:			
		Not reported			
		Study sufficiently powered?			
		Not reported			

- 1. Likely to be applicable across a broad range of populations and settings.
- 2. Likely to be applicable across a broad range of populations and settings, assuming it is appropriately adapted.
- 3. Applicable only to populations or settings included in the studies the success of broader application is uncertain.
- 4. Applicable only to settings or populations included in the studies.

Table 5:53 Snow et al. 1992

		Method of allocation to	Outcomes and		
Study details	Population and setting	intervention/control	methods of analysis	Results	Notes
Authors:	Source population/s:	Method of allocation:	Primary Outcomes:	Primary outcomes:	Limitations identified by
Snow	Schools	Class rooms were randomly	Prevalence of smoking	Students who had	author: Attrition limited internal and
<b>Year:</b> 1992	Country: US	assigned to experimental conditions	Adverse events: Not reported	participated in the intervention had a lower mean use of	external validity of the study. Reliance on self-reported data.
<b>Aim of study:</b> To evaluate a two-	Study year:	Measures to minimise confounding: Not reported	Secondary outcomes:	tobacco after 2-year follow-up (F=2.87 (11073), p<0.09).	Limitations identified by review team:
year follow-up of a social-cognitive	1980	Intervention/s	No	(110,0), p (0.05).	Low follow-up rate and differential attritions
intervention to prevent substance use	Eligible population: Students from public	Adolescent Decision-Making programme is based on a social-	Follow-up periods:	Secondary outcomes: No	Evidence gaps and/or
Study design: RCT	schools of two southern New England towns	cognitive approach to substance use which involves teaching (1)	2, 4 years  Evaluation:	Attrition details: 21% lost to follow-up,	recommendations for future research: Not reported
Internal validity <sup>§</sup> : - External validity <sup>†</sup> : 2	Selected population:	process skills, and (3) social network utilization skills	process skills, and (3) social network utilization skills  Drug surveys were administered to all reported higher	control dropouts reported higher mean use of tobacco than	Source of funding:
	Age: Intervention I consisted of 12 forty minute weekly classroom sessions. Intervention II also consisted of 12 forty-minute weekly classroom	The substance use survey assessed level of substance use by respondents' rate of the frequency with	control stayers, programme dropouts and programme stayers.	National Institute on Drug Abuse and National Institute of Mental Health	
	Female: 48.5%	sessions which reinforced and extended the social-cognitive skill domains taught in grade 6.	which they had used, during the past year.		
	Race/ethnicity: Not reported	Intervention category: school-based	Method of analysis: Multivariate analysis of variance		
	Socioeconomic status:	Intervention period: Interventions were successively			

		Method of allocation to	Outcomes and		
Study details	Population and setting	intervention/control	methods of analysis	Results	Notes
	Not reported	delivered at: (I) grade 6; (II) grade 8/9; (III) grade 10/11.			
	Excluded population:	0/2/ (III) grade 10/11.			
	Not reported	Comparator/s			
	Setting:	Sample sizes:			
	Not reported	Total n= 1360			
		Intervention n= Not reported			
		Control n= Not reported			
		Baseline comparisons:			
		Not reported			
		Study sufficiently powered?			
		Not reported			

- 1. Likely to be applicable across a broad range of populations and settings.
- 2. Likely to be applicable across a broad range of populations and settings, assuming it is appropriately adapted.
- 3. Applicable only to populations or settings included in the studies the success of broader application is uncertain.
- 4. Applicable only to settings or populations included in the studies.

Table 5:54 Spoth et al. 2001

		Method of allocation to	Outcomes and methods		
Study details	Population and setting	intervention/control	of analysis	Results	Notes
Authors:	Source population/s:	Method of allocation:	Primary Outcomes:	Primary outcomes:	Limitations
Spoth	Schools	Schools were randomly assigned to experimental conditions	Prevalence of past month smoking	4-years follow-up	identified by author:
Year:		- · · · · · · · · · · · · · · · · · · ·		New user proportions were	Reliance on self-
2001	Country:	Measures to minimise	Adverse events: Not	significantly lower for ISFP	reported data.
	USA	confounding:	reported	(33 vs 50, p<.01) and PDFY	Degree to which
Aim of study:		Not reported		(44 vs 50, p<.01) conditions	the study findings
To examine brief family	Study year:	Intervention/s	Secondary outcomes:	adolescents than for control group adolescents for	generalize to more diverse or
focused interventions on the trajectories of	Not recorded	Interventions were designed to	No	cigarette use	urban populations is
substance initiation	Eligible population:	strengthen parent and child skills that have been found to delay the	Follow-up periods:	6-years follow-up	unclear
Study design:	Families of sixth graders	onset or to reduce the use of	18, 30, 48 and 72 months	There were statistically significant slower overall	
RCT	enrolled in 33 rural schools	substances	Evaluation:	growths in lifetime	Limitations identified by
	in 19 contiguous counties in		Data were collected	cigarette use among ISFP-	review team:
Internal validity <sup>§</sup> : +	a Midwestern state. Schools	The Preparing for the Drug Free	through a written	(growth rate = -2.95, p<.01)	High attrition rate
	were selected on the basis of	Years (PDFY) intervention was	questionnaire	and PDFY- (growth rate = -	O
External validity <sup>†</sup> : 3	school lunch programme eligibility(15% or more	delivered in five 2-hour training	administered to family	2.94, p<.01) condition	Evidence gaps
	eligible for free meals) and	sessions held on weekday evenings	members in their homes	adolescents relative to controls.	and/or
	community size	once per week for 5 consecutive weeks. Four sessions were for	by trained interviewers.	controls.	recommendations
	(populations of 8500 or	parents only; children and parents	Method of analysis:	The statistically significant	for future
	fewer)	both attended a session focusing on	multilevel analysis	program effect became	research: None reported
		peer-resistance skills. Fifteen 2-	marine ver anarysis	non-significant when other	reported
	Selected population:	person group leader teams		factors were controlled for	Source of
	33 rural schools	conducted 19 groups in the 11		statistically.	funding:
	55 Tural schools	PDFY-condition schools.			National Institute
				Secondary outcomes:	on Mental Health
	Age:	The Iowa Strengthening Families		No	and National
		Programme (ISFP) included seven		Attrition details:	Institute on Drug

		Method of allocation to	Outcomes and methods		
Study details	Population and setting	intervention/control	of analysis	Results	Notes
	11 to 12	sets of sessions offered once per		33%	Abuse
	Female: 55% Race/ethnicity: 99	week over a period of 7 consecutive weeks in the evenings. ISFP included separate, concurrent 1- hour training sessions for parents and children, followed by a joint 1- hour family session			
	Socioeconomic status:				
	median annual income (\$34,000)	Intervention category: Multimodal			
	Excluded population: Not reported	Intervention period:			
	Setting: Not reported	Comparator/s Minimal contact-control			
		Sample sizes: Total n= 667			
		Intervention n= Not reported			
		Control n= Not reported			
		Baseline comparisons: Similar baseline characteristics			
		Study sufficiently powered? Yes			

Study details	Population and setting	Method of allocation to intervention/control	Outcomes and methods of analysis	Results	Notes

### † Score for external validity:

- 1. Likely to be applicable across a broad range of populations and settings.
- 2. Likely to be applicable across a broad range of populations and settings, assuming it is appropriately adapted.
- 3. Applicable only to populations or settings included in the studies the success of broader application is uncertain.
- 4. Applicable only to settings or populations included in the studies.

### Table 5:55 Spoth et al. 2002

		Method of allocation to	Outcomes and		
Study details	Population and setting	intervention/control	methods of analysis	Results	Notes
Authors:	Source population/s:	Method of allocation:	Primary Outcomes:	Primary outcomes:	Limitations identified by
Spoth	Schools	Schools were matched and	Self-reported lifetime	There was no statistically	author:
		randomly assigned	use of cigarettes	significant difference in the	Low base rates in substance use
Year:	Country:	, , , , , , ,		percentage of users initiated	in all study conditions.
2002				between the post-test and	Generalizations to populations
	US	Measures to minimise	Adverse events: Not	follow-up 12 months later	with different demographic
		confounding:	reported	between interventions and	compositions should be made
Aim of study:	Study year:	Adjusted for dual biological	_	control groups : school vs	with caution. Missing should be
To evaluate	Not reported	parent families		control (13.9% vs 16.7%, ns);	considered and all measures
effects of	_	-	Secondary outcomes:	school+family vs control	were self-reported.
intervention	Eligible population:	Intervention/s	No	(12.1% vs 16.7%, ns); school vs	1
combing family		With two intervention arms:		school+family (13.9% vs 12.1%,	Limitations identified by
and school-based	20% or more of				

C. 1 1 . 7	B 14 1 44	Method of allocation to	Outcomes and	D 1	N
Study details	Population and setting	intervention/control	methods of analysis	Results	Notes
competence-	household in the school	combined school and family-	Follow-up periods:	ns)	review team:
training on	district with 185% of the	based / school-based only.	12 months (pre- to post-	Secondary outcomes:	Significant difference between
substance	federal poverty level,		test)		intervention and control groups
initiation	school district enrolment	School-component: Life Skills		No	at baseline. Use post-
	under 1200, and all	Training (LST) was a universal	Evaluation:	Attacks of the St.	intervention data as baseline in
Study design:	middle school grades (6-	preventive intervention based	The in-school data	Attrition details:	the analysis, which ignores
RCT	8) taught at one location	on social learning theory and	collection conducted in	17.6%, no evidence of	possible effects during
		problem behaviour theory.	classrooms required 40-	differential attrition	intervention. The reported
Internal	Selected population:		45 min to complete.		outcome is difficult to interpret
validity§:-	36 rural schools in 22	Family-component:	Two forms of the		as post-test prevalence was not
	contiguous counties in a	Strengthening Families	questionnaires with		stated.
External validity <sup>†</sup> :	midwestern state	Programme For Parents and	identical questions in		
4	-	Youth 10-14 (SFP 10-14) was	varying order were		Evidence gaps and/or
	Age:	based on the biopsychological	administered in each		recommendations for future
	12 to 13	model and other empirically	classroom to enhance		research:
		based family risk and	the privacy of the		Future studies should follow-up
	Female:	protective factor models.	respondents. In		youths for longer period
	46.7%		addition, each student		
		Intervention category:	exhaled into a balloon		Source of funding:
	Race/ethnicity:	Multimodal	that was then connected		National Institute on Drug
	96.8%	Waltimodal	to a carbon monoxide		Abuse and National Institute of
	90.0%	Intervention period:	meter to provide a		Mental Health
	Socioeconomic status:	School: 15-session conducted	carbon monoxide		
		during 40 to 45 min classroom	reading. The same data		
	24% eligible for free	period during 7th grade.	collection procedures		
	meal	Additional five booster	were used across all		
	F. d. L. L. L	sessions in the 8 <sup>th</sup> grade.	data collection points.		
	Excluded population:	sessions in the o grade.	Method of analysis:		
	Not reported	Family: sessions were	multilevel (mixed		
	Setting:	conducted in the evening once	model) analysis of		
	Not reported	each week for 7 consecutive	covariance		
			COvariance		
		weeks when the youths were			
		in the second semester of grade			
<u> </u>					

Study details	Population and setting	Method of allocation to intervention/control	Outcomes and methods of analysis	Results	Notes
<u> </u>	1 3	7. Four booster sessions were	,		
		organized for the families			
		while the youths were in the			
		eighth grade.			
		Comparator/s			
		Control condition not			
		described			
		Sample sizes:			
		<b>Total n=</b> 1664			
		Intervention n=			
		school+family (549); school			
		only (621)			
		Control n= 494			
		Baseline comparisons:			
		Control group tend to live with			
		both parents			
		Study sufficiently negroes 42			
		Study sufficiently powered?			
		Not reported			

		Method of allocation to Outcomes and						
Study	y details	Population and setting	intervention/control	methods of analysis	Results	Notes		
1. Lil	1. Likely to be applicable across a broad range of populations and settings.							
2. Lil	kely to be ap	plicable across a broad rang	ge of populations and settings, assu	uming it is appropriately ac	lapted.			
3. Ap	3. Applicable only to populations or settings included in the studies – the success of broader application is uncertain.							
4. Ap	4. Applicable only to settings or populations included in the studies.							

## Table 5:56 Storr et al. 2002

Study details Authors: Storr	Population and setting Source population/s: Schools	Method of allocation to intervention/control  Method of allocation: A randomized block design	Outcomes and methods of analysis Primary Outcomes:	Results Primary outcomes: Relative to control, a modest	Notes Limitations identified by author:
Year: 2002  Aim of study: To assess impact of two universal grade 1 preventive interventions on the onset of tobacco smoking  Study design: RCT  Internal validity <sup>§</sup> : -	Country: US Study year: 1993 Eligible population: Baltimore city public primary schools Selected population: 9 schools Age:	with schools serving as a blocking factor, within each school, children and teachers were randomly assigned to one of two intervention or control classrooms.  Measures to minimise confounding: Adjusted for age, race, parental monitoring and supervision, family tobacco use, and teacher rating of the Teacher Observation of Classroom Adaptation-Revised score	Prevalence of ever smoking  Adverse events:  Secondary outcomes: No  Follow-up periods: 6 years after the end of	attenuation in the risk of smoking initiation was found for students assigned to either school-based (RR=0.57; 95% CI 0.34 to 0.96) or family based (RR=0.69; 95% CI 0.50 to 0.97) intervention classrooms.  Secondary outcomes: None  Attrition details: 19% was lost to follow-up after six years. No evidence of differential attrition	Not certain if these results can be replicated elsewhere. Community-based randomized preventive trials represent an especially difficult form of biomedical and public health research. The combination of the full classroom-based and family partnership Grade 1 interventions described in this paper might lead to additive or synergistic effects in terms of later socially adaptive behaviours. In turn, one also might find greater impact in

Study details	Population and setting	Method of allocation to intervention/control	Outcomes and methods of analysis	Results	Notes
	6 to 7	Intervention/s	intervention		terms of the primary
External validity†: 3	Female: 49%  Race/ethnicity: 11.4%  Socioeconomic status: 62% received free or reduced lunch  Excluded population: Not reported  Setting: Not reported	Intervention/s  The classroom-centred (CC) intervention consisted of three components: (1) curriculum enhancements; (2) enhanced behaviour management practices; and (3) back-up strategies for children not performing adequately.  The Family School Partnership intervention was designed to improve achievement and reduce early aggression, shy behaviour and concentration problems by enhancing parent-teacher communication and providing parents' with effective teaching and child behaviour management strategies. The major mechanisms for achieving those aims were: (1) training for teachers and other relevant school staff in parent-teacher communication and partnership building; (2) weekly homeschool learning and communication activities; and (3) a series of nine workshops	Evaluation: Youth's self- reported use of tobacco was assessed 6 years after the end of the intervention year when they were 12 years old on average. Audio computer- assisted self interview (ACASI) method was used to collect information from youths. Method of analysis: Cox		terms of the primary prevention or delay of onset of first use of tobacco.  Limitations identified by review team: High attrition rate  Evidence gaps and/or recommendations for future research: Future research will be needed replicate the findings from this study, and future research can clarify whether larger impact is gained by strengthening these Grade 1 interventions (e.g. via booster sessions). Additional follow-up is warranted in several more years after the entire cohort has passed their peak onset incidence years.  Source of funding: National Institute on Drug Abuse and National Institute of Mental Health
		for parents lead by the first	regression models for		

			Outcomes and		
		Method of allocation to	methods of		
Study details	Population and setting	intervention/control	analysis	Results	Notes
		grade teacher and the school	time-to-		
		psychologist or social worker.	event data		
		Intervention category:			
		Multimodal			
		Intervention period:			
		One year, through grade 1 academic year			
		Comparator/s			
		Usual education			
		Sample sizes:			
		Total n= 678			
		<b>Intervention n=</b> Not reported			
		Control n= Not reported			
		Baseline comparisons:			
		Children in the control group			
		were somewhat less likely to be			
		male and to be of African-			
		American heritage, as compared			
		with children in the			
		intervention conditions. They			
		were somewhat more likely to			
		come from two-parent			
		households. The teacher ratings			

		Method of allocation to	Outcomes and methods of		
Study details	Population and setting	intervention/control	analysis	Results	Notes
		of problem behaviour (TOCA-R summary score) is modestly larger for CC children.  Study sufficiently powered? yes			

- 1. Likely to be applicable across a broad range of populations and settings.
- 2. Likely to be applicable across a broad range of populations and settings, assuming it is appropriately adapted.
- 3. Applicable only to populations or settings included in the studies the success of broader application is uncertain.
- 4. Applicable only to settings or populations included in the studies.

Table 5:57 Sun et al. 2006

		Method of allocation to	Outcomes and methods of		
Study details	Population and setting	intervention/control	analysis	Results	Notes
<b>Authors:</b> Sun	Source population/s:	Method of allocation: Schools were randomised to three	Primary Outcomes: Prevalence of 30-day	Primary outcomes: There were no	Limitations identified by
Year: 2006	Country: US	experimental conditions	smoking  Adverse events: Not	statistically significant programme effect at short-, middle-, and	author: Boosters may be a necessary component for
Aim of study: To test long-term impact of Project Towards No Drug (TND) Abuse among continuation high schools youths	Study year: 1994 Eligible population: 29 schools districts from a five-county region of	Measures to minimise confounding: Adjusted for propensity score  Intervention/s The project TND curriculum provides a health motivation-social-skills decision making approach to drug abuse prevention	reported  Secondary outcomes:  No  Follow-up periods:  12, 24, 36, 48, 60 months post-programme	Secondary outcomes: No  Attrition details: 32%, 33%, and 54% lost to follow-up after	effective programmes. Problem with interval validity, post-tests were assessed on the basis of telephone interviews.
Study design: RCT Internal validity <sup>§</sup> : + External validity <sup>†</sup> : 3	Selected population: 21 continuation high schools  Age: 14 to 19	The school-as-community was derived from several theories that suggest that preventive effects can be obtained through encouraging students to engage in more healthful interconnections with others at the school and beyond its borders.	Evaluation: The pretest data collection involved the collection of paper-and-pencil questionnaires and breath samples analyzed for carbon monoxide content. One-year follow-up surveys were administered. Telephone	1-, 3-, and 5-years follow-up, with no evidence of difference attrition	Limitations identified by review team: Low long-term follow-up rate  Evidence gaps
	Female: 38% Race/ethnicity: 37%	Intervention category: Multimodal	surveys were used because research with groups including high-risk youth.		and/or recommendations for future
	Socioeconomic status:  Modal occupations among mothers were minor professionals or small	Intervention period: Nine-session, which consisted of three 50-min sessions per week for 3-consecutive weeks	Method of analysis: multilevel analysis		source of funding: National Institute

		Method of allocation to	Outcomes and methods of		
Study details	Population and setting	intervention/control	analysis	Results	Notes
	business owners (31%) and				on Drug Abuse
	unskilled labourers or	Comparator/s			
	house workers (25%).	Standard care			
	Modal occupations among				
	fathers were skilled or	Sample sizes:			
	semiskilled labourers (42%)	Total n= 1578			
	and minor professionals or	Intervention n= Not reported			
	small business owners	_			
	(34%).	Control n= Not reported			
	Excluded population: Not reported  Setting: Continuation high school (youth transferred out of regular school system due to functional problems (e.g. lack of credits, drug use)	Baseline comparisons: Similar baseline characteristics  Study sufficiently powered?  Not reported			

- 1. Likely to be applicable across a broad range of populations and settings.
- 2. Likely to be applicable across a broad range of populations and settings, assuming it is appropriately adapted.

	Study details	Population and setting	Method of allocation to intervention/control	Outcomes and methods of analysis	Results	Notes	
3.	- · · · <b>J</b> · · · · ·	1 0	n the studies – the success of broader app	· · <b>J</b> · ·	resures	riotes	
4	Applicable only to settings or populations included in the studies						

<sup>4.</sup> Applicable only to settings or populations included in the studies.

Table 5:58 Sun et al. 2008

		Method of allocation to	Outcomes and methods		
Study details	Population and setting	intervention/control	of analysis	Results	Notes
Authors:	Source population/s:	Method of allocation:	Primary Outcomes:	Primary	Limitations identified by
Sun	schools	Schools were randomly assigned to one of	Prevalence and frequency	outcomes:	author:
Year: 2008		the three experimental conditions. Prior	of 30-day use of cigarettes	The programme	Various substance use
	Country:	assignment, schools were blocked by		failed to	prevention programmes
	US	estimates of drug use prevalence, ethnic	Adverse events:	statistically	are being delivered to
A * C . ( 1		compositions, student enrolment, school	Not reported	reduce the	students in elementary,
<b>Aim of study:</b> To examine the	Study year:	type and size.	Conomidante outromos.	prevalence of 30-	middle, and high schools
relative	1997		Secondary outcomes:	day cigarette use:	throughout California
effectiveness of		Measures to minimise confounding:	No	cognitive vs	and this mix of
two curricula	Eligible population:	Adjusted for age, gender, ethnicity, school	Follow-up periods:	control (OR=1.35,	interventions might have
comprised of		type, and a propensity score for attrition.		0.93 to 1.95);	induced a reduction in
different theory-	A total of nine schools districts	T	12 months	combined vs	substance use overall.
based	from two counties in southern	Intervention/s	Evaluation:	control (OR=0.91;	
components of	California (Los Angeles and	Two curricula, one that included the cognitive misperception information	Pretest and one-year	0.60 to 1.37); combined vs	Changes in
project TND-4	Ventura).	component only and one that combined the	follow-up measures were	combined vs	organizational climate in
(Towards No	Only districts that contained at	cognitive misperception and behavioural	collected from students	(OR=0.68, 0.46 to	senior high schools might
Drug abuse)	Only districts that contained at least one continuation high	skills components.	using a standardized, self-	0.98)	have caused students and
	school, and only schools that		report, close-ended		staff to be less receptive
Study design:	included a minimum of 50	Intervention category:	response, written		to school-based
RCT	students and a maximum of	Single	questionnaire which were	Secondary	prevention
	2000 students were included.	onigic	administered over one	outcomes:	programming. In
Internal	2000 statems were netated.		class period. Those absent	No	addition, exam

Study details	Population and setting	Method of allocation to intervention/control	Outcomes and methods of analysis	Results	Notes
validity <sup>§</sup> : - External validity <sup>†</sup> : 2	Selected population: Students from nine regular and nine continuation high schools  Age: 13 to 19  Female: 47.9%  Race/ethnicity: 18.2% White  Socioeconomic status: 50% of youths' fathers and 56youths' mothers completed high school  Excluded population: Not reported Setting: Not reported	Intervention period: Four-week period  Comparator/s Standard care  Sample sizes: Total n= 2734 Intervention n= not reported Control n= not reported  Baseline comparisons: Dissimilar: ethnicity and school type ethnicity, baseline smoking status  Study sufficiently powered? Not reported	from the classroom on testing days were left absentee packets containing the questionnaire and instructions. At the one-year follow-up, students who failed to return the absentee survey were contacted by telephone for survey administration.  Method of analysis:  Two level random coefficients generalized mixed-linear modeling using school districts as a random factor was used for analysis. The analysis with dichotomous outcomes was converted to linear models with logit link function. The analysis with ordinal count measures was completed by using a zero-inflated negative binomial distribution modeling procedure	Attrition details: 24.5%, differential attrition: the retained sample was slightly younger, less likely to smoke cigarettes, less likely to be male, less likely to be African American and more likely to be Latino, and more likely to live with both parents	preparation worries may make schools less receptive to consideration of other types of programming.  The items used to assess programme-specific knowledge may have been too difficult for students, these items may have lacked validity, or the instruction might have been deficient.  There was a lack of statistical power to detect the main effect.  Data used in this study were generated from self-report surveys, the accuracy of which could not be independently verified. Thus, it is impossible to assess the extent to which such data may be biased.  Limitations identified by review team:  Evidence gaps and/or

Study details	Population and setting	Method of allocation to intervention/control	Outcomes and methods of analysis	Results	Notes
					recommendations for future research: Future studies should examine the effects of an extended behavioural skills-only programme and use of booster programmes  Source of funding:
					National Institute on Drug Abuse

- 1. Likely to be applicable across a broad range of populations and settings.
- 2. Likely to be applicable across a broad range of populations and settings, assuming it is appropriately adapted.
- 3. Applicable only to populations or settings included in the studies the success of broader application is uncertain.
- 4. Applicable only to settings or populations included in the studies.

Table 5:59 Sussman et al. 2003

Study details Authors: Sussman	Population and setting Source population/s:	Method of allocation to intervention/cont rol  Method of allocation:	Outcomes and methods of analysis  Primary Outcomes: Prevalence of 3-day smoking	Results Primary outcomes: In one-tailed significance tests,	Notes Limitations identified by author: None
<b>Year:</b> 2003	schools  Country: US	cluster randomisation  Measures to minimise	Adverse events:  Secondary outcomes:	health educator-led condition significantly reduced the odds of smoking (OR=0.50; 95% CI 0.31 to 0.81); while self instruction condition did not	Limitations identified by review team: High attrition rate. The reported 95% CIs and p- values for intervention conditions were from one-
Aim of study: To describe 2- year follow-up of drug abuse prevention programme	Study year: 1997 Eligible population: Continuation high	confounding: Adjusted for sex, pre-test use, and propensity score  Intervention/s	No  Follow-up periods: 24 months	significantly reduced the odds of smoking (OR=0.88; 95% CI 0.63 to 1.12)  Secondary outcomes:	tailed tests (rather than the usual two-tailed tests).  . Evidence gaps and/or recommendations for future research: There is a need for more studies with longer follow-up period
Study design: RCT  Internal validity <sup>§</sup> : -	schools in southern California  Selected population: 18 schools  Age: 14 to 19	Project Towards No Drug Abuse (TND) was a finite session classroom programme to provide preventive	Evaluation: Pretest data collection took place between October 1997 and June 1998. Questionnaires at each time point took approximately 40 minutes to complete. The pretest	No  Attrition details: 45% was lost to follow-up after 2-years with no evidence of differential attrition	Source of funding: National Institute on Drug Abuse
validity†: 3	Female: 46% Race/ethnicity: 45%	intervention on drug use among continuation (alternative) high school youth. The two treatment conditions consisted of an	data collection involved the collection of paper and pencil questionnaires and breath samples analyzed for carbon monoxide (CO) content, by staff that did not instruct students at that school. Pretest		

		Method of			
	Population and		Outcomes and methods of		
Study details				Results	Notes
Study details	Population and setting  Socioeconomic status:  Modal occupations among mothers were minor professionals or small business owners (30%) and unskilled labourers or house workers (25%). Modal occupations among fathers were skilled or semiskilled labourers (40%) and minor professionals or small business owners (34%).  Excluded population:	efficacy condition (health educator- led classroom programme) and a treatment effectiveness condition (health educator-assisted self-instruction programme). The self- instruction materials were designed to reflect key aspects of self- instructional programmed learning. At the beginning of each session a list of	Outcomes and methods of analysis  measures were collected during single classroom sessions during regular school hours.  Two-year follow-up surveys were administered only by telephone or by mail because, by that time, fewer than 5% of the targeted students were still enrolled at the continuation high school. All collection efforts were stopped after 4 months of attempting to follow up subjects from a given school, utilizing classroom, mail-out, and telephone tracking protocols.  Method of analysis:	Results	Notes
	Not reported	goals for the session was	multilevel analysis		
	Setting: Not reported	stated. At regular intervals review questions were placed to encourage approximations of the desired behaviour.			

Study details	Population and setting	Method of allocation to intervention/cont rol	Outcomes and methods of analysis	Results	Notes
	-				
		Intervention			
		category:			
		Single			
		Intervention			
		period:			
		12 sessions			
		Camananatanla			
		Comparator/s 'Standard care			
		control condition'			
		Sample sizes: Total n=			
		1037			
		Intervention n=			
		Not reported			
		Control n= Not reported			
		reported			
		Baseline			
		comparisons:			
		Not reported			
		Study			
		sufficiently powered?			

Study details	Population and setting	Method of allocation to intervention/cont rol	Outcomes and methods of analysis	Results	Notes
Study details	seung	Not reported	unury 010	Results	11010
		1			

- 1. Likely to be applicable across a broad range of populations and settings.
- 2. Likely to be applicable across a broad range of populations and settings, assuming it is appropriately adapted.
- 3. Applicable only to populations or settings included in the studies the success of broader application is uncertain.
- 4. Applicable only to settings or populations included in the studies.

Table 5:60 Sussman et al. 2007

		Method of allocation			
Study	Population and	to	Outcomes and methods of		
details	setting	intervention/control	analysis	Results	Notes
Authors:	Source	Method of allocation:	Primary Outcomes:	Primary outcomes:	Limitations identified by author:
Sussman	population/s:	The schools were	Prevalence of weekly use of	Intervention statistically reduced	The classroom adaptation has not been
	schools	randomly assigned to	cigarettes	weekly smoking at immediate	attempted in regular high school settings.
<b>Year:</b> 2007		one of two		post-test (beta (SE)=-6.6(2.9),	
		experimental	Adverse events:	p=0.038), 6 months [OR=0.33,	Limitations identified by review team:
	Country: US	conditions.	Not reported	0.13 to 0.84), and 1-year (OR=	Differential attrition. One-sided tests
Aim of	·			0.59, 0.36 to 0.97)	(rather than the usual two-sided tests)
study:	Study year: n/r	Schools were blocked	Secondary outcomes:		were used. The results of weekly
study:	, , , , , , , , , , , , , , , , , , ,	prior to assignment		Secondary outcomes:	smoking at 6-month and 1-year would
Study	Eligible	by school size,	knowledge and smoking intention	The programme was found to be	have just failed to reach statistical
design:	population:	ethnicity		statistically significant in	significance if two-sided tests were used.
RCT	population.	composition, average social economic	Follow-up periods:	reducing smoking intention (beta	Total and a second design of the second stime.
	three counties in	status, and % of	1.5, 6, 12 months after pre-test	(SE)=-0.21(0.10), p=0.023) and	Evidence gaps and/or recommendations for future research:
Internal	southern	students in classes	survey	increasing knowledge (beta	Future studies should follow-up youths
validity§: -	California	with English as	survey	(SE)=5.5(1.1), p=0.0003)	for longer period.
		second language.	Evaluation:	immediate post-test	for foriger period.
External		Second minguage.	Pretest and three posttest		Source of funding:
validity†: 2	Selected	Measures to	(immediate, 6 month, and 1 year)	Attrition details: 21% and 35%	Tobacco-Related Disease Research
	population:	minimise	measures were collected from	after 6 months and 1- year	Programme
	12 continuation	confounding:	students using a self-report, closed-	respectively.	
	high school	adjusted for in the	ended and fill-in-the-blank		
		analyses included	response questionnaire.	Retained sample differed from	
	<b>Age:</b> 13 to 19	age, gender, ethnicity,	Questionnaires were administered	the lost-to-follow-up sample at	
	1160. 10 10 17	and modified	over one class period. A pipeline	the six-month survey on age,	
	Female: 37.3%	Fagerstrom nicotine	assessment protocol was	ethnicity, gender, living	
	remaie: 37.3/0	dependence level	conducted, where self-reported weekly and monthly cigarette use	situation, parents' education level, and cigarette smoking	
			weekly and monthly digarette use were measured together with CO	prevalence.	
	Race/ethnicity:	Intervention/s	level, which was assessed with the	prevalence.	
	16.4%	The first four sessions	iever, withen was assessed with the		

		Method of allocation			
Study	Population and	to	Outcomes and methods of		
details	setting	intervention/control	analysis	Results	Notes
	Socioeconomic status: mother's occupation: 39.% semi-skilled worker  Excluded population: Not reported  Setting: Not reported	are held in a two- week period. During that time, students are prepared to strengthen their resolve not to use tobacco in the future. The second four sessions are held approximately once per week during the following month and are focused on intentions not to use tobacco, or quit- attempts.  Intervention category: Single  Intervention period: eight sessions delivered over six- week period  Comparator/s Usual education  Sample sizes: Total n= 1097	use of a vitalograph  Method of analysis: generalized mixed-linear model		

Study details	Population and setting	Method of allocation to intervention/control	Outcomes and methods of analysis	Results	Notes
		Intervention n= Not reported  Control n= Not			
		reported  Baseline comparisons: dissimilar in ethnicity			
		Study sufficiently powered?  Not reported			

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- 2. Likely to be applicable across a broad range of populations and settings, assuming it is appropriately adapted.
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- 4. Applicable only to settings or populations included in the studies.

**Table 5:61 Telch et al. 1990** 

Study details	Population and setting	Method of allocation to intervention/control	Outcomes and methods of analysis	Results	Notes
Authors:	Source	Method of allocation:	Primary	Primary outcomes:	Limitations identified
Telch	population/s:	Students in social studies classes in one of the schools	Outcomes:	tape programme with	by author:
	Schools in South	were randomly assigned by classroom across three	Smoking	peers (2.3%), tape	Interventions were
Year: 1990	California	conditions.	Prevalence	programme without peers (9.5%), control	implemented in only one school.
Aim of study:	Country:	Measures to minimise confounding:	Adverse	1 (11%), control 2	The short term follow
Cigarette smoking	USA	Not reported	events:	(11.1) p<0.001)	up
adoption among	0011		Not reported		αP
adolescents cold be	Study year:	Intervention/s	-	Secondary outcomes:	
suppressed by providing	1984	1) Videotape social pressure resistance training alone	Secondary	No	Limitations identified
school-based videotape		Videotape. It consisted of five-session interactive	outcomes:		by review team:
instruction for resisting	Eligible population:	videotape programme. In The first session students	Not reported		Nothing to add
social influences to		learned of the negative consequences of smoking and on		Attrition details:	
smoke	Not reported	the social pressure s which influences smoking. The	Follow-up	19%	Evidence gaps and/or
0, 1, 1, 1	Selected	second session showed video of examples of pressure to	periods:		recommendations for
Study design:	population:	smoke from peers, media and older role models. The	7 months		future research:
RCT	Seventh grade	students were then made to rehearse their own counter			Need to establish the
Internal validity <sup>§</sup> : +	students in two	arguments to various inducements to smoke. In the third	Method of		effect of the
internal validitys: +	junior high schools	session students were presented with three types of	analysis:		intervention in a long
External validity <sup>†</sup> : 3	in southern	counter arguing strategies for resisting smoking appeals	cross-		term follow up
External validity", 5	California	from peers and were made to enact the three types of the	tabulation		
		strategies in front of the class. In the fourth session,			Source of funding:
		students learned about pressures from cigarette			Not reported
	Age:	advertisements and what they could do to resist media			
	12 to 13 years	appeals. While in the fifth session, the principles from the			
		previous four lessons were reviewed. 2) Social pressure			
	<b>Female:</b> 46.8%	resistance training with peer leader involvement: Same			
		as the first intervention but in addition, students same			
	Race/ethnicity:	age peer leaders were used to provide popular role			
	race/elimieity.	models advocating a non smoking position.			

	Population and		Outcomes and methods		
Study details	setting	Method of allocation to intervention/control	of analysis	Results	Notes
	24.1%	Subjects were administered a 13 page questionnaire to			
	Socioeconomic status: parent's education	asses their tobacco, alcohol and marijuana use. It also included question on demographic information, peer or parental smoking, beliefs concerning tobacco and self efficacy for resisting pressures to use tobacco.			
		Intervention category:			
	Excluded population:	Single			
	Not reported	<b>Intervention period:</b> October 1984 to May 1985			
	Setting: Not reported	Comparator/s  1) Intervention school control: students in this category were those enrolled in the randomly selected social studies classes within the treatment school. They completed the questionnaire and the carbon monoxide and saliva test but did not receive the prevention curriculum  2) Survey only control school: Students in the second secondary school in which the smoking prevention survey was not offered. They also completed the questionnaire and carbon monoxide and saliva test Sample sizes:  Total n=  572			
		Intervention n= 237 Control n= 335 Baseline comparisons:			
		Race (White): Tape programme with peers (18.2) vs. Tape programme without peers (22.4) vs. control 1 (24) vs.			

Study details	Population and setting	Method of allocation to intervention/control	Outcomes and methods of analysis	Results	Notes
Study details	Population and setting	Method of allocation to intervention/control control 2 (31.9)  Father's education: Tape programme with peers (35.5%) vs. Tape programme without peers (37.9%) vs. control 1 (39.5%) vs. control 2 (26.7%)  Mother's education: Tape programme with peers (38%) vs. Tape programme without peers (46%) vs. control 1 (40.5%) vs. control 2 (36.3%)  No significance difference in age and sex across the intervention groups.  Study sufficiently powered?	and methods of analysis	Results	Notes
		Not reported			

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Table 5:62 Werch et al. 2005

		Method of allocation to	Outcomes and		
Study details	Population and setting	intervention/control	methods of analysis	Results	Notes
Authors: Werch	Source population/s: Schools	Method of allocation:  Participating students randomly assigned by computer to either the	Primary Outcomes: 30-day frequency of cigarette smoking	Primary outcomes: Youth who received SPORT smoked less frequently than	Limitations identified by author:
Year: 2005 Aim of study:	Country: US  Study year: 2002	intervention or control group.  Measures to minimise confounding: Adjustment for baseline substance use scores	Adverse events: Secondary outcomes: No	control youth (F(1, 509)=8.72, p=0.003); and were less advanced in their stage of initiation cigarette smoking (F(1,423)=12.39, p=0.000)	Only suburban students included in the study. Lack of measures of factors mediating cigarette use.
To test the efficacy of a brief, multi-health	Eligible population:	Intervention/s	Follow-up periods:	Secondary outcomes:	Limitations
behaviour intervention integrating physical activity and alcohol use prevention messages for high school aged adolescents.  Study design: RCT Internal validity§: +	Suburban high school in the northeast Florida region  Selected population: Not reported  Age: 12 to 13  Female: 56%	The Project SPORT consultation consisted of an in-person health behaviour screen, a one-on-one consultation, a take-home fitness prescription targeting adolescent health promoting behaviours and alcohol use risk and protective factors, and a flyer reinforcing key content provided during the consultation mailed to the home. These brief prevention technologies and strategies are based on the Integrative	3, 12 months  Evaluation: The Youth Alcohol and Health Survey was used to collect data on alcohol and drug consumption. Drug use behaviours measured included 30-day frequency of cigarette smoking.	no  Attrition details: 15% lost to follow-up after one year, with no evidence of differential attrition	identified by review team: Short duration of follow-up  Evidence gaps and/or recommendations for future research: Further research is needed to validate these
External validity <sup>†</sup> : 3	Race/ethnicity: 51%  Socioeconomic status: free meal - 13%  Excluded population:	Behaviour-Image Model (BIM), which asserts that positive personal and social images, serve as both key motivators for health development, and the glue for unifying health promoting and health risk habits within single	Multiple procedures were used to ensure the most reliable and valid data possible  Method of analysis: Multiple analysis of		results. Longer- term follow-up study to evaluate delayed effects of the brief intervention.

		Method of allocation to	Outcomes and		
Study details	Population and setting	intervention/control	methods of analysis	Results	Notes
	Not reported	interventions.	covariance		There is also need
					for further study
	Setting:	The brief, seven-item Health and			to study effects of
	Not reported	Fitness Screen was developed to			addition of
		provide tailored feedback on six			booster sessions
		health behaviour related areas,			or re-intervention
		and was administered to			to extend the effects of brief
		participants individually during			intervention.
		regularly scheduled school hours			intervention.
		just prior to implementing the			Future studies
		fitness consultation.			should include
					students from
		Intervention category:			both urban and
		Single			rural areas
		Intervention period:			Source of
		12-min one-one consultation			funding:
					National Institute
		Comparator/s			on Alcohol Abuse
		Minimal Intervention Control:			and Alcoholism
		consisted of two commercially			
		prepared generic alcohol			
		prevention and health promotion			
		print materials. The first was a			
		booklet titled: "What Everyone			
		Should Know ABOUT			
		WELLNESS," which included information about wellness,			
		smoking, alcohol, exercise,			
		nutrition, and stress management.			
		nation, and stress management.			
		The second material contained six-			

		Method of allocation to	Outcomes and		
Study details	Population and setting	intervention/control	methods of analysis	Results	Notes
		panel pamphlet titled: "For Teens			
		Only: Staying Healthy and Fit,"			
		included information about fitness,			
		nutrition, stress management,			
		alcohol and drugs, and changing			
		unhealthy habits.			
		Sample sizes:			
		Total n=			
		604			
		<b>Intervention n=</b> Not reported			
		Control n= Not reported			
		1			
		Baseline comparisons:			
		Similar demographic, baseline			
		substance use			
		Study sufficiently powered?			
		Not reported			

		Method of allocation to Outcomes and					
Study details	Population and setting	intervention/control	methods of analysis	Results	Notes		
1. Likely to be applicable across a broad range of populations and settings							

- 1. Likely to be applicable across a broad range of populations and settings.
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## Table 5:63 Winkleby et al. 2004

Study	Population		Outcomes and methods		
details	and setting	Method of allocation to intervention/control	of analysis	Results	Notes
(Winkleby	Source	Method of allocation:	Primary Outcomes:	Net changes i.e. change in	Limitations identified by
et al. 2004)	population/s:	Cluster randomisation. Schools randomised to	Prevalence of light and	intervention – change in control used to assess	author:
,	Continuation	intervention (n=5) or control (n=5). From each	regular smoking and non-	intervention effect.	Results are for students that
Authors:	high Schools in	school, 25 students, volunteering to take part,	smoking.		specifically volunteered to
Winkleby	North	received intervention/control intervention	Adverse events:	Primary outcomes:	take part in this study and
	California	depending on their school.	Secondary outcomes:	Prevalence of regular	results may therefore not be generalisable to other
Year: 2004	Country:	Intervention and control classes were given once		smokers:	students.
1ear: 2004	US	a week by research staff at the research centre.	None	Baseline to post-	T
	Study year:	Measures to minimise confounding:	Follow-up periods:	intervention:	Limitations identified by review team:
Aim of		Not reported	Post-intervention and 6	Intervention:	
study:	2000	•	months after the end of	Before 25.1% (7.3) After 21.3% (7.5)	The validity of self-reported
То	Eligible	Intervention/s	intervention.	, ,	measures of smoking in the
determine	population:	The advocacy intervention used individual and	Evaluation:	Control: Before 25.2% (5.5)	intervention group may be particularly questioned
the effectiveness	10	group actions to write and/or speak in support of		After 26.7% (4.8)	since, the nature of the
of	continuation	issues. The curriculum was based on Social	Questionnaires were	Net change -5.3% (p<0.001)	intervention required
community	high schools in	Learning and the empowerment theory and aimed to impact social influences, to build	administered by support staff, not affiliated with		students to take an anti-
activity	San Francisco -	awareness of environmental issues such as	the curriculum, at	Post-intervention to 6 month	smoking stance.
intervention	San Jose area	tobacco advertising and to engage youth in	baseline, directly after the	follow-up:	Evidence gaps and/or

Study	Population		Outcomes and methods		
details	and setting	Method of allocation to intervention/control	of analysis	Results	Notes
for reducing	of northern	devising strategies to modify environmental	intervention semester and	Intervention:	recommendations for
smoking	California	influences.		After 20.3% (5.7)	future research:
for reducing	of northern	devising strategies to modify environmental	•	Intervention:	recommendations for
	father with	Sample sizes:		Secondary outcomes:	
	education level	Total n= 10 schools, 813 pupils		None	
	less than high	Intervention n= 5 schools			
	school	Control n= 5 schools		Attrition details:	
	Excluded	Baseline comparisons:			

Study	Population		Outcomes and methods		
details	and setting	Method of allocation to intervention/control	of analysis	Results	Notes
	population: Not reported	There were differences in baseline rates of smoking and in socio demographics but details are not given.		16%	
	Setting:	Study sufficiently powered?			
	Schools and communities in San Jose, northern California	Not reported			

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- 4. Applicable only to settings or populations included in the studies.

# 6. References

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### **Appendix 1 Search strategies**

### Cochrane Library (Wiley) 2008 Issue 4

```
young next people*
#1
#2
     young next person*
#3
     young next adult*
#4
     adolescent*
     youth*
#5
     teenage*
#6
     girl*
#7
#8
     boy*
#9
     MeSH descriptor Adolescent explode all trees
#10
    child*
#11
    (#1 OR #2 OR #3 OR #4 OR #5 OR #6 OR #7 OR #8 OR #9 OR #10)
#12 school*
#13 academy
#14
    academies
#15 city next technology
#16 sixth next form*
#17 education next centre*
#18
    secure next unit*
#19 training next unit*
#20
    secure next training
    referral next unit*
#21
#22 offender near/1 institute*
```

- #23 further next education
- #24 MeSH descriptor Schools explode all trees
- #25 (#12 OR #13 OR #14 OR #15 OR #16 OR #17 OR #18 OR #19 OR #20 OR #21 OR #22 OR #23 OR #24)
- #26 health next promotion
- #27 health next education
- #28 primary next prevention
- #29 MeSH descriptor Health Education explode all trees
- #30 MeSH descriptor Health Promotion explode all trees
- #31 MeSH descriptor Primary Prevention explode all trees
- #32 campaign\* or teach\* or advis\* or counsel\* or promot\* or encourag\*
- #33 programme\* or lectur\* or train\* or workshop\* or seminar\* or lesson\* or learn\* or curricul\* or course\* or educat\*
- #34 (#26 OR #27 OR #28 OR #29 OR #30 OR #31 OR #32 OR #33)
- #35 (#11 AND #25 AND #34)
- #36 smoking
- #37 MeSH descriptor Smoking explode all trees
- #38 smok\*
- #39 tobacco\*
- #40 cigarette\*
- #41 nicotine\*
- #42 (prevent\* or abstain\* or abstin\* or stop\* or discourag\* or anti\* or no or non) near/2 (smok\*)
- #43 (#36 OR #37 OR #38 OR #39 OR #40 OR #41 OR #42)
- #44 (#35 AND #43)
- #45 <nothing>, from 1990 to 2008
- #46 (#44 AND #45)

### Database: Ovid MEDLINE(R) <1950 to November Week 1 2008>

### Search Strategy:

-----

- 1 young people.mp.
- 2 young person\$.mp.
- 3 young adult\$.mp.
- 4 adolescent\$.mp.
- 5 youth\$.mp.
- 6 teenage\$.mp.
- 7 girl\$.mp.
- 8 boy\$.mp.
- 9 exp Adolescent/
- 10 Child/
- 11 child\$.mp.
- 12 or/1-11
- 13 exp Schools/
- 14 academy.mp.
- 15 academies.mp.
- 16 city technology.mp.
- 17 sixth form\$.mp.
- 18 education centre\$.mp.
- 19 secure unit\$.mp.
- 20 training unit\$.mp.
- 21 secure training.mp.
- 22 referral unit\$.mp.
- 23 school\$.mp.
- 24 (offender\$ adj institute\$).mp.
- 25 further education.mp.

26	or/13-25
27	26 and 12
28	health promotion.mp. or exp Health Promotion/
29	health education.mp. or exp Health Education/
30	primary prevention.mp. or exp Primary Prevention/
31	(campaign or teach\$ or advis\$ or counsel\$ or promot\$ or encourag\$).mp.
32 or cu	(programme\$ or lectur\$ or train\$ or workshop\$ or seminar\$ or lesson\$ or learn\$ arricul\$ or course\$ or educat\$).mp.
33	or/28-32
34	27 and 33
35	exp Smoking/ or smoking.mp.
36	smok\$.mp.
37	tobacco\$.mp.
38	cigarette\$.mp.
39	nicotine\$.mp.
40 adj2	((prevent\$ or abstain\$ or abstin\$ or stop\$ or discourag\$ or anti\$ or no or non) smok\$).mp.
41	or/35-40
42	34 and 41
43	limit 42 to (english language and yr="1990 - 2008")

### Database: Ovid MEDLINE(R) In-Process & Other Non-Indexed Citations <November 12, 2008>

	0,		
1	young people.mp.		

- 2 young person\$.mp.
- 3 young adult\$.mp.

Search Strategy:

4 adolescent\$.mp.

- 5 youth\$.mp.
- 6 teenage\$.mp.
- 7 girl\$.mp.
- 8 boy\$.mp.
- 9 child\$.mp.
- 10 or/1-9
- 11 school\$.mp.
- 12 academy.mp.
- 13 academies.mp.
- 14 city technology.mp.
- 15 sixth form\$.mp.
- 16 education centre\$.mp.
- 17 secure unit\$.mp.
- 18 training unit\$.mp.
- 19 secure training.mp.
- 20 referral unit\$.mp.
- 21 (offender\$ adj institute\$).mp.
- 22 further education.mp.
- 23 or/11-22
- 24 health promotion.mp.
- 25 health education.mp.
- 26 primary prevention.mp.
- 27 (campaign or teach\$ or advis\$ or counsel\$ or promot\$ or encourag\$).mp.
- 28 (programme\$ or lectur\$ or train\$ or workshop\$ or seminar\$ or lesson\$ or learn\$ or curricul\$ or course\$ or educat\$).mp.
- 29 or/24-28
- 30 23 and 10 and 29
- 31 smoking.mp.
- 32 smok\$.mp.

- 33 tobacco\$.mp.
- 34 cigarette\$.mp.
- 35 nicotine\$.mp.
- 36 ((prevent\$ or abstain\$ or abstin\$ or stop\$ or discourag\$ or anti\$ or no or non) adj2 smok\$).mp.
- 37 or/31-36
- 38 37 and 30
- 39 limit 38 to (english language and yr="1990 2008")

Database: EMBASE <1980 to 2008 Week 45>

Search Strategy:

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- 1 young people.mp.
- 2 young person\$.mp.
- 3 young adult\$.mp.
- 4 Adolescent/
- 5 adolescent\$.mp.
- 6 youth\$.mp. or exp Juvenile/
- 7 teenage\$.mp.
- 8 girl\$.mp. or exp GIRL/
- 9 boy\$.mp. or exp BOY/
- 10 Child/
- 11 child\$.mp.
- 12 or/1-11
- 13 school\$.mp.
- 14 academy.mp.
- 15 academies.mp.
- 16 city technology.mp.

- 17 sixth form\$.mp.
- 18 education centre\$.mp.
- 19 secure unit\$.mp.
- 20 training unit\$.mp.
- 21 secure training.mp.
- 22 referral unit\$.mp.
- 23 (offender\$ adj institute\$).mp.
- 24 further education.mp.
- 25 or/13-24
- 26 health promotion.mp. or exp Health Promotion/
- 27 health education.mp. or exp Health Education/
- 28 primary prevention.mp. or exp Primary Prevention/
- 29 (campaign\$ or teach\$ or advis\$ or counsel\$ or promot\$ or encourag\$).mp.
- 30 (programme\$ or lectur\$ or train\$ or workshop\$ or seminar\$ or lesson\$ or learn\$ or curricul\$ or course\$ or educat\$).mp.
- 31 or/26-30
- 32 25 and 31 and 12
- 33 exp SMOKING/ or smoking.mp.
- 34 smok\$.mp.
- 35 tobacco\$.mp.
- 36 cigarette\$.mp.
- 37 nicotine\$.mp.
- 38 ((prevent\$ or abstain\$ or abstin\$ or stop\$ or discourag\$ or anti or no or non) adj2 smok\$).mp.
- 39 or/33-38
- 40 32 and 39
- 41 limit 40 to (english language and yr="1990 2008")

### Database: PsycINFO <1987 to November Week 2 2008>

### Search Strategy:

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- 1 young people\$.mp.
- 2 young person\$.mp.
- 3 young adult\$.mp.
- 4 adolescent\$.mp.
- 5 youth.mp.
- 6 teenage\$.mp.
- 7 girl\$.mp.
- 8 boy\$.mp.
- 9 child\$.mp.
- 10 or/1-9
- 11 school\$.mp. or exp Schools/
- 12 academy.mp.
- 13 academies.mp.
- 14 city technology.mp.
- 15 sixth form\$.mp.
- 16 education centre\$.mp.
- 17 secure unit\$.mp.
- 18 training unit\$.mp.
- 19 secure training.mp.
- 20 referral unit\$.mp.
- 21 or/11-20
- 22 21 and 10
- 23 health promotion.mp. or exp Health Promotion/
- 24 health education.mp. or exp Health Education/

- 25 primary prevention.mp.
- 26 (campaign\$ or teach\$ or advis\$ or counsel\$ or promot\$ or encourag\$).mp.
- 27 (programme\$ or lectur\$ or train\$ or workshop\$ or seminar\$ or lesson\$ or learn\$ or curricul\$ or cours\$ or educat\$).mp.
- 28 or/23-27
- 29 22 and 28
- 30 smoking.mp. or exp Tobacco Smoking/
- 31 smok\$.mp.
- 32 tobacco\$.mp.
- 33 cigarette\$.mp.
- 34 exp Nicotine/ or nicotine\$.mp.
- 35 ((prevent\$ or abstain\$ or abstin\$ or stop\$ or discourag\$ or anti or no or non) adj2 smok\$).mp.
- 36 or/30-35
- 37 36 and 29
- 38 limit 37 to (english language and yr="1990 2008")

### Database: HMIC Health Management Information Consortium < October 2008 >

Search Strategy:

\_\_\_\_\_\_

- 1 young people\$.mp. or exp YOUNG PEOPLE/
- 2 young person\$.mp.
- 3 young adult\$.mp. or exp YOUNG ADULTS/
- 4 adolescent\$.mp.
- 5 youth.mp.
- 6 teenage\$.mp.
- 7 girl\$.mp. or exp GIRLS/
- 8 boy\$.mp. or exp BOYS/

9 child\$.mp. 10 or/1-9 11 exp schools/ 12 school\$.mp. 13 academy.mp. 14 academies.mp. 15 city technology.mp. 16 sixth form\$.mp. 17 education centre\$.mp. 18 secure unit\$.mp. 19 training unit\$.mp. secure training.mp. 20 21 referral unit\$.mp. 22 or/11-21 23 22 and 10 24 health promotion.mp. or exp HEALTH PROMOTION/ 25 health education.mp. or exp HEALTH EDUCATION/ 26 primary prevention.mp. 27 (campaign\$ or teach\$ or advis\$ or counsel\$ or promot\$ or encourag\$).mp. (programme\$ or lectur\$ or train\$ or workshop\$ or seminar\$ or lesson\$ or learn\$ 28 or curricul\$ or course\$ or educat\$).mp. 29 or/24-28 30 22 and 29 31 exp ANTI SMOKING CAMPAIGNS/ or exp SMOKING/ or smoking.mp. 32 smok\$.mp.

33

34

35

tobacco\$.mp.

cigarette\$.mp.

nicotine\$.mp.

374

36 ((prevent\$ or abstain\$ or abstin\$ or stop\$ or discourag\$ or anti or no or non) adj2

smok\$).mp.

or/31-36 37

38 37 and 30

limit 38 to yr="1990 - 2008" 39

Wed Nov 12 6:21:52 EST 2008

**CSA** 

**Database: ERIC** 

Query: (young people or young person\* or young adult\* or adolescent\* or

youth or teenage\* or girl\* or boy\* or child\*) and (school\* or academy or

academies or city technology or sixth form\* or education centre\* or

secure unit\* or training unit\* or secure training or training unit\* or

secure training or referral unit\*) and (health promotion or health

education or primary prevention or campaign\* or teach\* or advis\* or

counsel\* or promot\* or encourag\* or programme\* or lecture\* or train\* or

workshop\* or seminar\* or lesson\* or learn\* or curricul\* or course\* or

educat\*) and (smok\* or smoking or tobaccco\* or cigarette\* or nicotine\*)

or (prevent\* or abstin\* or stop\* or discourag\* or anti or no or non) and

(smok\*)

Limit to: English language and yr= 1990-2008

375

Fri Nov 14 7:54:50 EST 2008

**CSA** 

Database: ASSIA: Applied Social Sciences Index and Abstracts

Query: ((young people) or (young person\*) or (young adult\*) or adolescent\* or youth or teenage\* or girl\* or boy\* or child\*) and (school\* or academy or academies or (city technology) or (sixth form\*) or (education centre\*) or (secure unit\*) or (training unit\*) or (referral unit\*)) and ((health promotion) or (health education) or (primary prevention) or campaign\* or teach\* or advis\* or counsel\* or promot\* or encourag\* or programme\* or lecture\* or train\* or workshop\* or seminar\* or lesson\* or learn\* or curricul\* or course\* or educat\*) and (smok\* or smoking or tobacco or cigarette\* or nicotine\*) or (prevent\* or abstin\* or stop\* or discourag\* or anti or no or non) and smok\*

Limit to: English language and yr= 1990-2008

# Appendix 2 Sifting checklist – criteria for identifying potentially relevant articles using title/abstract

School-based intervention to prevent smoking sifting criteria – applied to title and abstract of search results

Q1	Is the full paper in English and published from 1990 onwards?	YES / UNCLEAR	Go to Q2	Reference Manager labelling
		NO	Exclude	
Q2	Does the study address prevention of uptake of smoking in children?	YES / UNCLEAR	Go to Q3	
		NO	Exclude	
Q3	Was the study carried out in an OECD country?	YES / UNCLEAR	Go to Q4	
		NO	Exclude	
Q4	Is it a school-based intervention or is there a school-based component within a combined intervention?	YES / UNCLEAR	Go to Q5	
		NO	Exclude	
		1	<u>'</u>	
Q5	Is there reporting of outcomes (quantitative or qualitative)?	YES / UNCLEAR	RELEVANT (TAG)	USER DEF 2= get paper
		NO	Exclude	

Member countries of the Organisation for Economic Co-operation and Development (OECD

Australia Austria

Belgium Canada

Czech Republic Denmark

Finland France

Germany Greece

Hungary Iceland

Ireland Italy

Japan Korea

Luxembourg Mexico

Netherlands New Zealand

Norway Poland

Portugal Slovak Republic

Spain Sweden

Switzerland Turkey

United Kingdom United States

Source: http://www.oecd.org/

# Appendix 3 Full paper checklist for tagging potentially relevant primary studies

				Reference Manager labelling			
Q1	Is the full text in English?	Yes	go to Q2				
				UD <sup>1</sup> 2 = EXCLUDED			
		No	Exclude	UD 3 = LANGUAGE			
Q2	Was the paper published <b>1990</b>	Yes	go to Q3				
	onwards?			UD 2 = EXCLUDED			
		No	Exclude	UD 3 = DATE			
Q3	Was the location an OECD <sup>2</sup> country?	Yes	go to Q4				
		Unclear <sup>3</sup>	go to Q4	UD 4 = LOC			
				UD 2 = EXCLUDED			
		No	Exclude	UD 3 = LOC			
		T	T				
Q4	<b>Population:</b> is the study concerning children <b>under 19 years</b> of age?	Only children under 19	go to Q5				
		Not restricted to children under 19 <sup>4</sup>	go to Q5	UD 4 = AGE			
		Unclear <sup>3</sup>	go to Q5	UD 4 = AGE			
		No	Exclude	UD 2 = EXCLUDED			

<sup>&</sup>lt;sup>1</sup> UD – User Defined field

<sup>&</sup>lt;sup>2</sup> The list provided with the title and abstract screening checklist also applies here

<sup>&</sup>lt;sup>3</sup> If a study meets all inclusion criteria except that information is unclear for one or more criteria, the study will be provisionally included and further information obtained

				UD 3 = AGE			
Q5	Is it a <b>school-based intervention</b> or is there a school-based component within	School-based only	go to Q6				
	a combined intervention?	School-based + others <sup>4</sup>	go to Q6	UD 4 = SCH			
		Unclear <sup>3</sup>	go to Q6	UD 4 = SCH			
		No-school component	Exclude	UD 2 = EXCLUDED  UD 3 = SCH			
Q6	Intervention: does the study address	Yes, only uptake	go to Q7				
	prevention of uptake of smoking?	Yes, uptake + others (e.g. cessation) <sup>4</sup>	go to Q7	UD 4 = PREV			
		Unclear <sup>3</sup>	go to Q7	UD 4 = PREV			
				UD 2 = EXCLUDED			
		Cessation only	Exclude	UD 3 = QUIT			
		Anything else not addressing prevention of uptake	Exclude	UD 2 = EXCLUDED  UD 3 = PREV			
Is the intervention exclusively one of No go to Q8							
Q7	the following:	Unclear	go to Q8	UD 4 = INT			
	<ul> <li>Interventions to discourage or reduce the uptake of tobacco chewing and the use of smokeless tobacco by children</li> <li>Tobacco pricing policies or measures to control tobacco smuggling</li> <li>Interventions to alter the prevalence of smoking substances other than tobacco</li> </ul>	Yes	Exclude	UD 2 = EXCLUDED  UD 3 = INT			
Q8	The <b>comparator</b> was <sup>5</sup> :	Described	go to Q9				

\_

<sup>&</sup>lt;sup>4</sup> If a study meets all inclusion criteria except that it is unclear if the mixed population, intervention and/or comparator can be disaggregated, the study will be provisionally included and further assessed

		Unclear <sup>3</sup>	go to Q9	UD 4 = COM
				UD 2 = EXCLUDED
		Not described	Exclude	UD 3 = COM
Q9	Is there reporting of <b>outcomes</b>	Yes	go to Q10	
	(quantitative or qualitative)?	Unclear <sup>3</sup>	go to Q10	UD 4 = OUT
		No	Exclude	UD 2 = EXCLUDED  UD 3 = OUT
		1		
Q10	Study type <sup>5</sup>	RCT	Include	UD 2 = INCLUDED UD 3 = RCT
		Controlled before and after	TBD <sup>6</sup>	UD 2 = TBD UD 3 = CBA
		Before and after	TBD6	UD 2 = TBD UD 3 = BA
		Cohort study	TBD <sup>6</sup>	UD 2 = TBD UD 3 = CH
		Case control	TBD <sup>6</sup>	UD 2 = TBD UD 3 = CC
		Interrupted time series	TBD <sup>6</sup>	UD 2 = TBD UD 3 = ITS
		Other longitudinal <sup>7</sup>	TBD <sup>6</sup>	UD 2 = TBD UD 3 = OTHER
		Systematic review	Tag for reviews	UD 2 = TAG UD 3 = SR
		Economic	Tag for economics	UD 2 = TAG UD 3 = ECON
		Qualitative	Tag for Review 2	UD 2 = TAG UD 3 = QUA

 $<sup>^{\</sup>rm 5}$  Tentative inclusion criteria which will be discussed with CPHE at a later stage

<sup>&</sup>lt;sup>6</sup> To be discussed with CPHE

 $<sup>^{7}</sup>$  There is at least one follow up measure after baseline and not covered by any of the designs above

Other <sup>3</sup>	TBD <sup>6</sup>	UD 2 = TBD
		UD 4 = DES

## Appendix 4 List of excluded studies and reasons for exclusion

### Articles excluded based on design

(these articles did not describe a randomised controlled trial or a non-randomised controlled trial; for example, before-and-after studies without control groups, cross-sectional surveys, qualitative studies, systematic reviews)

- 1. 1993, California Program To Prevent and Reduce Drug, Alcohol, and Tobacco Use among In-School Youth: An Interim Report about Tobacco Use. Report No. 2.
- 2. 1994, "Guidelines for School Health Programs to Prevent Tobacco Use and Addiction", *Journal of School Health*, vol. 64, no. 9, pp. 353-360.
- 3. 1999, Best Practices for Comprehensive Tobacco Control Programs, August 1999.
- 4. Alter, R. J., Mi, K. J., & McKyer, E. L. 2007, "Atod prevention programing in the non-school hours and adolescent substance use", *Journal of drug education*, vol. 37, no. 4, pp. 365-377.
- 5. Barr, J. E., Tubman, J. G., Montgomery, M. J., Soza-Vento, R. M., Barr, J. E., Tubman, J. G., Montgomery, M. J., & Soza-Vento, R. M. 2002, "Amenability and implementation in secondary school antitobacco program", *American journal of health behaviour*, vol. 26, no. 1, pp. 3-15.
- 6. Baska, T., Straka, S., Baskova, M., & Mad'ar, R. 2004, "Effectiveness of school program in tobacco control", *Central European Journal of Public Health*, vol. 12, no. 4, pp. 184-186.
- 7. Black, D. R., Tobler, N. S., Sciacca, J. P., Black, D. R., Tobler, N. S., & Sciacca, J. P. 1998, "Peer helping/involvement: an efficacious way to meet the challenge of reducing alcohol, tobacco, and other drug use among youth?", *Journal of School Health*, vol. 68, no. 3, pp. 87-93.
- 8. Botvin, G. J. & Griffin, K. W. 2007, "School-based programmes to prevent alcohol, tobacco and other drug use", *International Review of Psychiatry*, vol. 19, no. 6, pp. 607-615.

- 9. Bruvold, W. H. & Bruvold, W. H. 1990, "A meta-analysis of the California schoolbased risk reduction program", *Journal of drug education*, vol. 20, no. 2, pp. 139-152.
- 10. Bruvold, W. H. 1993, "A meta-analysis of adolescent smoking prevention programs", *American journal of public health*, vol. 83, no. 6, pp. 872-880.
- 11. Challener, J. 1990, "Health education in secondary schools Is it working? A study of 1,418 Cambridgeshire pupils", *Public health*, vol. 104, no. 3, pp. 195-205.
- 12. Collins, D., Johnson, K., & Becker, B. J. 2007, "A Meta-Analysis of Direct and Mediating Effects of Community Coalitions That Implemented Science-Based Substance Abuse Prevention Interventions", *Substance Use & Misuse*, vol. 42, no. 6, pp. 985-1007.
- 13. Cuijpers, P. 2002, "Peer-led and adult-led school drug prevention: a meta-analytic comparison (DARE structured abstract)", *Journal of drug education*, vol. 32, pp. 107-119.
- 14. Cuijpers, P. 2003, "Three Decades of Drug Prevention Research", *Drugs: Education* pp. 7-20.
- 15. DiFranza, J. R., Wellman, R. J., Sargent, J. D., Weitzman, M., Hipple, B. J., & Winickoff, J. P. 2006, "Tobacco promotion and the initiation of tobacco use: Assessing the evidence for causality", *Pediatrics*, vol. 117, no. 6, p. e1237-e1248.
- 16. Distefan, J. M., Gilpin, E. A., Pierce, J. P., Distefan, J. M., Gilpin, E. A., & Pierce, J. P. 2000, "The effectiveness of tobacco control in California schools", *Journal of School Health*, vol. 70, no. 1, pp. 28-30.
- 17. Dobbins, M., DeCorby, K., Manske, S., Goldblatt, E., Dobbins, M., DeCorby, K., Manske, S., & Goldblatt, E. 2008, "Effective practices for school-based tobacco use prevention. [Review] [48 refs]", *Preventive medicine*, vol. 46, no. 4, pp. 289-297.
- 18. Dusenbury, L., Falco, M., Lake, A., Dusenbury, L., Falco, M., & Lake, A. 1997, "A review of the evaluation of 47 drug abuse prevention curricula available nationally. [Review] [38 refs]", *Journal of School Health*, vol. 67, no. 4, pp. 127-132.
- 19. Evans-Whipp, T., Beyers, J. M., Lloyd, S., Lafazia, A. N., Toumbourou, J. W., Arthur, M. W., & Catalano, R. F. 2004, "A review of school drug policies and their impact on youth substance use", *Health Promotion International*, vol. 19, no. 2, pp. 227-234.
- 20. Faggiano, F., Vigna-Taglianti, F., Versino, E., Zambon, A., Borraccino, A., & Lemma, P. 2005, "School-based prevention for illicit drugs' use. Cochrane Database of Systematic Reviews: Reviews," in *Cochrane Database of Systematic Reviews* 2005 *Issue* 2, John Wiley & Sons, Ltd, Chichester (UK).

- 21. Fichtenberg, C. M. & Glantz, S. A. 2002, "Youth access interventions do not affect youth smoking (DARE structured abstract)", *Pediatrics*, vol. 109, pp. 1088-1092.
- 22. Fletcher, A., Bonell, C., & Hargreaves, J. 2008, "School effects on young people's drug use: a systematic review of intervention and observational studies (DARE provisional record)", *Journal of Adolescent Health*, vol. 42, pp. 209-220.
- 23. Gottfredson, D. C. & Wilson, D. B. 2003, "Characteristics of effective school-based substance abuse prevention (DARE structured abstract)", *Prevention Science*, vol. 4, pp. 27-38.
- 24. Hamilton, G., Cross, D., Lower, T., Resnicow, K., & Williams, P. 2003, "School policy: What helps to reduce teenage smoking?", *Nicotine and Tobacco Research*, vol. 5, no. 4, pp. 507-513.
- 25. Harden, A., Weston, R., & Oakley, A. 1999, "A review of the effectiveness and appropriateness of peer-delivered health promotion interventions for young people (DARE structured abstract)", *Evaluation of Health Promotion and Social Interventions*. p. 180.
- 26. Harmon, M. A. 1993, "Reducing the risk of drug involvement among early adolescents an evaluation of drug abuse resistance education (dare)", *Evaluation Review*, vol. 17, no. 2, pp. 221-239.
- 27. Health Education Authority 1993, Smoking policies in schools: guidelines for policy development.
- 28. Herrmann, D. S. & McWhirter, J. J. 1997, "Refusal and Resistance Skills for Children and Adolescents: A Selected Review", *Journal of Counseling & Development*, vol. 75, no. 3, pp. 177-187.
- 29. Hwang, M. S., Yeagley, K. L., Petosa, R. E.-M. A., & Hwang, M. S. s. 2003, "A Meta-Analysis of Adolescent Psychosocial Smoking Prevention Programs Published Between 1978 and 1997 in the United States. [References]", *Health Education & Behaviour*, vol. 31, no. 6, p. Dec-719.
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- 31. Krowchuk, H. V. & Krowchuk, H. V. 2005, "Effectiveness of adolescent smoking prevention strategies. [Review] [31 refs]", MCN, American Journal of Maternal Child Nursing, vol. 30, no. 6, pp. 366-372.

- 32. La Torre, G. & La Torre, G. 2006, "School-based smoking prevention in children and adolescents: Review of the scientific literature. [References]", *Journal of Public Health*, vol. 13, no. 6, p. Nov-290.
- 33. La, T. G., Chiaradia, G., & Ricciardi, G. 2005, "School-based smoking prevention in children and adolescents: Review of the scientific literature", *Journal of Public Health*, vol. 13, no. 6, pp. 285-290.
- 34. Lantz, P. M., Jacobson, P. D., Warner, K. E., Wasserman, J., Pollack, H. A., Berson, J., Ahlstrom, A., Lantz, P. M., Jacobson, P. D., Warner, K. E., Wasserman, J., Pollack, H. A., Berson, J., & Ahlstrom, A. 2000, "Investing in youth tobacco control: a review of smoking prevention and control strategies.[see comment]. [Review] [142 refs]", *Tobacco control*, vol. 9, no. 1, pp. 47-63.
- 35. Lister-Sharp, D., Chapman, S., Stewart-Brown, S., & Sowden, A. 1999, "Health promoting schools and health promotion in schools: two systematic reviews (DARE structured abstract)", *Health Technology Assessment*, vol. 3, pp. 1-207.
- 36. Lynagh, M., Schofield, M. J., & Sanson-Fisher, R. W. 1997, "School health promotion programs over the past decade: A review of the smoking, alcohol and solar protection literature", *Health Promotion International*, vol. 12, no. 1, pp. 43-60.
- 37. McCambridge, J. & Jenkins, R. J. 2008, "Do brief interventions which target alcohol consumption also reduce cigarette smoking? Systematic review and meta-analysis", *Drug and Alcohol Dependence*, vol. 96, no. 3, pp. 263-270.
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- 40. Murnaghan, D. A., Sihvonen, M., Leatherdale, S. T., Kekki, P., Murnaghan, D. A., Sihvonen, M., Leatherdale, S. T., & Kekki, P. 2007, "The relationship between school-based smoking policies and prevention programs on smoking behaviour among grade 12 students in Prince Edward Island: a multilevel analysis", *Preventive medicine*, vol. 44, no. 4, pp. 317-322.
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- 42. Norman, E. & Turner, S. 1993, "Adolescent substance abuse prevention programs: Theories, models, and research in the encouraging 80's", *Journal of Primary Prevention*, vol. 14, no. 1, pp. 3-20.
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(these studies did not aim at children and younger people under 19 years of age attending educational institutions, for example young people aged 19 and older)

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#### Articles excluded based on sample size:

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### **Articles excluded based on outcome(s):**

(These articles did not include outcome(s) relevant to this review, for example reporting only the prevalence of using substances other than tobacco such as alcohol and cannabis; reporting combined use of tobacco and other substances without separating individual substances; reporting only study design and methods)

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## **Appendix 6 Overview of Controlled Before and After studies**

### Table 6:1 Overview of controlled before and after studies

Author/year	Project name	Target age	Focus of	Year of	Longest	N	Components	Comment/additional
of publication		(age covered	intervention	intervention	follow	sample		information
Country		by intervention)			up	size		
Lennox 2008 US	The NARCONON drug education	14 - 20	Drug prevention	2004	6 months	995		
Slater 2006 US		11 – 18	Drug prevention	1999	2 years	4216		with three treatment arms: 1) school+media, 2) media-only, 3) school-only
Cote 2006 Canada		10 to 12	Smoking (tobacco) prevention	1997	26	1173		

Author/year	Project name	Target age	Focus of	Year of	Longest	N	Components	Comment/additional
of publication		(age covered	intervention	intervention	follow	sample		information
Country		by			up	size		
		intervention)						
Josendal 2004 Norway	BE smokeFREE	12 – 13	smoking prevention	1994	3 years	4441	social influence	with three treatment arms: (1) classroom curriculum+teacher course+parental involvement, (2) classroom curriculum+parental involvement, and (3)classroom curriculum+teacher course
Hanewinkel 2004 Germany		10 – 12	smoking prevention	1999	15 months	1858		
Zollinger 2003 US	The Marion County (Indiana) Tobacco-Free Youth Initiative	13 – 14	Smoking (tobacco) prevention	1997	4 years	1598		Components of the intervention: school-based, community-based, and multimedia public education

Author/year	Project name	Target age	Focus of	Year of	Longest	N	Components	Comment/additional
of publication		(age covered	intervention	intervention	follow	sample		information
Country		by			up	size		
		intervention)						
Cuijpers	Healthy	12	Drug		3 years	1930		
2002	School Drug		prevention					
Netherlands								
Edvardsson	A Non-	9 to 12	smoking	1994	2 years	2015	school-	
2000	Smoking Generation		prevention				only	
Sweden	Generation							
Svoen 1999		12 – 15	smoking	1992	3 years	551		
Norway			prevention					
Moon 1999	The Wessex	11 to 16	health	1995	3 years		school-	
UK	Healthy		promotion:				only	
	Schools Award		smoking, diet, and sport					
Vartianen	North Karelia	12 – 13	Health	1978	15	903	social	Components of the
1997	Youth Project		promotion:		years		influence	intervention: school-
Finland			smoking, diet, and					based, community- based, and mass
			cardiovascular					communication

Author/year	Project name	Target age	Focus of	Year of	Longest	N	Components	Comment/additional
of publication		(age covered	intervention	intervention	follow	sample		information
Country		by			up	size		
		intervention)						
Tang 1997		10 – 12	smoking	1994	1 year	5270	school-	Components of the
Australia			prevention				only	intervention: school- based, community-
								based, and parental support
Flynn 1997		9 to 12	smoking	1985	4 years	2860		media intervention
US			prevention					added to school-based versus school-only
Donaldson	Adolescent	10 – 13	Drug		2 years	3077		
1994	Alcohol		prevention					
US	Prevention Trial							
Perry 1992	Minnesota	11 – 18	Cardiovascular	1983	6 years	2401		Components of the
US	Heart and		disease					intervention: school-
	Health		prevention					based, community-
	Program							based, and mass communication

Author/year	Project name	Target age	Focus of	Year of	Longest	N	Components	Comment/additional
of publication		(age covered	intervention	intervention	follow	sample		information
Country		by			up	size		
		intervention)						
Pentz 1990	The	11 – 13	Drug	1984	2 years	5378		Intervention
US	Midwestern		prevention					components: school-
	Prevention Program							based, parent program, community
	8							organization, health
								policy change, and
								mass media coverage
Johnson	The	11 – 13	Drug	1984	3 years	1607		Intervention
1990	Midwestern		prevention					components: school-
US	Prevention							based, parent program,
	Program							community organization, and mass
								media. Control
								components:
								community
								organization, and mass
								media
Johnson		11 – 14	smoking	2000	2 years	3358	school-	
2007			prevention				only	
US								

Author/year	Project name	Target age	Focus of	Year of	Longest	N	Components	Comment/additional
of publication		(age covered	intervention	intervention	follow	sample		information
Country		by			up	size		
		intervention)						
Griffin 2006		12 – 13	Drug		2 years	1538	school-	
US			prevention				only	
Robinson		14 – 17	Drug		2 years	2114	school-	
2003			prevention				only	
US								
Vartiainen	No Smoking	13 – 14	smoking	1989	1 year	23012	school-	
1996	Class'		prevention				only	
Finland	competition							
Hawthorne	Life Education	11 to 12	Drug	1992	5 years	1721	school-	
1996			prevention				only	
Australia								
De Vries			smoking	1986	1 year	1529	school-	
1994			prevention				only	
Netherlands								

Author/year of publication	Project name	Target age (age covered	Focus of intervention	Year of intervention	Longest follow	N sample	Components	Comment/additional information
Country		by intervention)			up	size		
Hecht 2006 US	Keepin' it REAL	12 – 13	Drug prevention	1998	14	6298	school- only	
MMWR 2001 US	Tobacco Prevention and Education Program	12 – 13	Smoking (tobacco) prevention	1997	2 years	5556	school- only	
Wilborg 2002 Germany	Be Smart - Don't Start	Av age = 12.9	smoking prevention	1998	1 year	2142	school- only	
Gonzales 2004 US		17 to 18	Smoking (tobacco) prevention	2000	1 year	609	social influence	media literacy school- based health education

Author/year	Project name	Target age	Focus of	Year of	Longest	N	Components	Comment/additional
of publication		(age covered	intervention	intervention	follow	sample		information
Country		by			up	size		
		intervention)						
Meshack 2004 US		11 – 12	Smoking (tobacco) prevention	2000	6 months	3618		Intervention components: school-based, parent program, community organization. Control
								components: mass media
Fraguela 2003		14 - 16	Drug prevention		4 years	1029	school- only	
Spain								
Sussman 1993	Project SHOUT	12 – 13	Smoking (tobacco) prevention		5 years	4852	social influence	
Flynn 1995 US		10 – 15	smoking prevention		6 years	5458		school-based plus mass media versus school- based

Author/year	Project name	Target age	Focus of	Year of	Longest	N	Components	Comment/additional
of publication		(age covered	intervention	intervention	follow	sample		information
Country		by			up	size		
		intervention)						
Lammers	The Growing	13 – 14	health	1994	4 years	7237	school-	
1996	Healthy		education				only	
US	Curriculum							
Shope 1998		11 – 13	Drug	1993	5 years	1057	school-	
US			prevention				only	
MacKinnon	School	11 – 13	Drug	1991	1 year	5065		First year transition
et al. 1991	component of		prevention					from elementary school
	the							to middle or junior high
US (Kansas)	Midwestern							school
	Prevention							
	Project							

# Follow-up less than 6 months

Beltramini 2001

Brown 2007

Chen 2001

Hamm 1994

Doi 1993

Amtman 1990

Kalke 2004

Harmon 1993

### No relevant outcome

Yoffe 1992

Yoffe 1994

Pinkleton 2007

Botvin 1995

Stevens 1996

Elias 1991

Langlois 1999

# Sample size less than 500

Zaveli 2004

Webster 2002

Webster 2002

Webster 2002

Perry 1999

Price 1998

Shope 1996

Prince 1995

Morgan 1994

Elder 1993

Wiist 1991

Morberg 1990

Kishcuk 1990

Perry 1990

Lee 2007

Aslan 2007

Tatchel 2004

Austin 2005

Reinert 2003

Reinert 2004

Cherry 1997

Price 1998

Prilli 2000

# Non-OECD

Nihiokan 2005

Table 6:2 Evidence table: UK – CBA study – Wessex Healthy Schools Award

		Method of allocation to	Outcomes and		
Study details	Population and setting	intervention/control	methods of analysis	Results	Notes
Wessex Healthy Schools Award (WHSA)	Source population/s: secondary schools	Method of allocation: Quasi-experimental, 11	Primary Outcomes: Smoking prevalence (current)	Primary outcomes: Change in current smokers from baseline MD(SD): Males	Limitations identified by author:
Authors: Moon et al Year: 1999	Country: UK  Study year: Autumn 1995	intervention and 5 control schools  Measures to minimise confounding: Not reported	Adverse events: not reported  Secondary outcomes: Knowledge scores,	[intervention = -4.4(12.9); control=2.6(8.7) ] and Females [intervention=-5.8(21.3); control=5.5(6.7)] Secondary outcomes: Not	Difficulty in recruiting control schools and low power to detect statistically
		Intervention/s Curriculum covers: a smoke-free	school performance	reported	significant difference.
Aim of study: To examine effectiveness of the	Eligible population: 11 – 16 year-old age	school, healthy food choices, physical activity, responsibility for health, health promoting	Follow-up periods: 15 months	Attrition details:	The research did not specifically
WHSA intervention in changing health promotion policy & practice in school, and	Selected population: students from secondary school	workplace, environment and equal opportunities and access to health.	Method of analysis: Mean difference	76% lost to follow-up	explore extent to which the intervention schools achieved
in influencing health- related knowledge, attitudes and behaviour	Age: 11 - 16 Female:	Intervention category: school-based			a whole school approach to health promotion.
of pupils  Study design: CBA	Race/ethnicity: 1 to 20% Asian; 1 to 2% Afro- Caribbean	Intervention period: Not reported			The observation tool did not prove to be a reliable
External validity†:	Socioeconomic status: 4 to 49% received free school meals	Comparator/s No intervention			indicator of change.
	Excluded population: Not reported	Sample sizes: Total n= 2286			

		Method of allocation to	Outcomes and		
Study details P	Population and setting	intervention/control	methods of analysis	Results	Notes
Sett	Population and setting  tting: bt reported	Intervention n= 942 Control n= 1344  Baseline comparisons: (similar/dissimilar) Study sufficiently powered?	methods of analysis	Results	Limitations identified by review team: Short follow-up period  Evidence gaps and/or recommendations for future research: Need for further investigation of evaluation methodology issues for healthy environments  Source of funding: South West Regional Research and Development Directorate

§ The internal validity score of a study may vary depending on the reliability and validity of the outcome measures of interest. Score for internal validity: ++ All or most of the criteria have been fulfilled. Where they have not been fulfilled the study conclusions are though **very unlikely** to alter. + Some of the criteria have been fulfilled. Those criteria that have not been fulfilled or not adequately described are thought **unlikely** to alter the study conclusions. – Few or no criteria have been fulfilled. The study conclusion are thought **likely or very likely** to alter.

† Score for external validity:

439

Study details	details Population and setting intervention/control methods of analysis Results Not							
17. Likely to be applicab	17. Likely to be applicable across a broad range of populations and settings.							
18. Likely to be applicab	le across a broad range of popu	lations and settings, assuming it is a	appropriately adapted.					
19. Applicable only to populations or settings included in the studies – the success of broader application is uncertain.								
20. Applicable only to settings or populations included in the studies.								

# Appendix 7 Quality assessment of included randomised controlled trials

authors	Appropriate and clearly focused question	Random assignm ent	Adequate concealment method	Blind subjects and investigat ors about interventi on allocation	Groups similar at baseline	The only difference between groups is the intervention	Relevant outcomes measured in a standard, valid and reliable way	Drop out rate <20% and similar drop out between groups?	Intention- to-treat analysis	Results are comparable for all sites	Contaminatio n between groups acceptably low	Internal validity
Abernathy 1992	yes	yes	ct	no	ct	yes	yes	yes	no	yes	yes	+
Armstrong 1990	yes	yes	ct	no	no	yes	yes	no	no	yes	no	-
Ary 1990	yes	yes	ct	no	ct	yes	yes	no	no	yes	yes	-
Ausems 2002	yes	yes	ct	no	yes	yes	yes	yes	no	yes	yes	++
Ausems 2004	yes	partly	ct	ct	no	yes	yes	no	no	yes	yes	-
Aveyard 2001	yes	yes	ct	no	no	yes	yes	yes	yes	yes	yes	+
Biglan 2000	yes	yes	ct	ct	yes	yes	yes	yes	no	ct	yes	++
Bond 2004	yes	yes	ct	no	no	yes	yes	yes	yes	yes	Yes	+
Botvin 1990a	yes	yes	ct	no	no	yes	yes	no	ct	yes	Yes	-
Botvin 1990b	yes	yes	ct	ct	ct	yes	yes	no	no	yes	Yes	-

Botvin 2001	yes	yes	ct	ct	yes	yes	yes	no	ct	yes	Yes	+
Brown 2001	yes	yes	ct	no	yes	yes	yes	no	ct	yes	No	+
Brown 2002	yes	yes	ct	ct	yes	yes	yes	yes	ct	yes	Yes	++
Brown 2005	Yes	Yes	ct	No	Ct	yes	Yes	Yes	No	Yes	Yes	++
Buller 2008a	yes	yes	ct	no	yes	yes	yes	yes	ct	yes	Yes	++
Buller 2008b	yes	yes	ct	no	yes	yes	yes	no	ct	yes	Yes	+
Byrne 2005	Yes	Yes	Ct	No	No	Yes	Es	No	No	Yes	No	-
Cameron 1999	yes	yes	ct	no	yes	yes	yes	yes	yes	ct	Yes	++
Campbell 2008	yes	yes	yes	no	no	yes	yes	yes	yes	ct	Yes	+
Chatrou 1999	yes	yes	ct	no	no	yes	yes	yes	no	yes	No	-
Connell 2007	yes	yes	ct	no	no	yes	yes	no	ct	ct	Yes	-
Crone 2003	yes	yes	ct	no	no	yes	yes	no	yes	yes	Yes	-
de Vries 2006	yes	yes	ct	ct	ct	yes	yes	no	no	ct	Yes	-
Dent 1995	yes	yes	ct	ct	ct	yes	yes	ct	ct	ct	Yes	-
Dent 2001	yes	yes	ct	ct	yes	yes	yes	no	yes	yes	yes	+
Dijkstra 1999	yes	yes	ct	ct	ct	yes	yes	no	no	ct	yes	-
Eisen 2003	yes	yes	ct	no	yes	yes	yes	no	no	yes	yes	+
Elder 1993	yes	yes	ct	ct	no	yes	yes	no	ct	yes	yes	-
Elder 1996	yes	yes	ct	ct	ct	yes	yes	yes	yes	yes	yes	+
Elder 2002	yes	yes	ct	yes	ct	yes	yes	yes	yes	yes	yes	+
Ellickson 1993	yes	yes	ct	no	ct	yes	yes	no	no	yes	yes	-
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Ellickson 2003	yes	yes	ct	no	no	yes	yes	yes	no	ct	yes	+
Ennet 1994	yes	partly	ct	no	no	yes	yes	no	no	yes	yes	-
Flay 1995	yes	yes	ct	no	yes	yes	yes	no	ct	yes	yes	+
Gatta 1991	yes	yes	ct	no	yes	yes	yes	no	ct	yes	yes	+
Gordon 1997	yes	yes	ct	no	ct	yes	yes	ct	ct	yes	yes	-
Hansen & Graham 1991	yes	yes	ct	no	no	yes	yes	yes	ct	yes	no	+
Johnson 2005	yes	yes	ct	no	yes	yes	yes	no	yes	ct	no	+
Jøsendal 1998	yes	yes	ct	ct	yes	yes	yes	yes	ct	yes	yes	++
Kellam 1998	yes	yes	ct	ct	no	yes	yes	no	no	yes	no	-
Klepp 1994	yes	partly	no	no	no	yes	yes	no	no	ct	yes	-
Lynam 1999	yes	yes	ct	no	yes	yes	yes	no	no	yes	yes	+
Murray 1992	yes	yes	ct	no	yes	yes	yes	no	ct	yes	yes	+
Noland 1998	yes	yes	ct	ct	yes	yes	yes	yes	no	ct	yes	++
Nutbeam 1993	yes	yes	ct	ct	no	yes	yes	yes	ct	ct	yes	+
Perry 2003	yes	yes	ct	no	yes	yes	yes	yes	ct	yes	yes	++
Peterson 2000	yes	yes	no	no	yes	yes	yes	yes	yes	no	yes	++
Piper 2000	yes	yes	ct	no	yes	yes	yes	no	no	ct	yes	+
Ringwalt 1991	yes	yes	ct	ct	no	yes	yes	yes	no	yes	yes	+
Schinke 2000	yes	yes	ct	ct	ct	yes	yes	yes	ct	ct	yes	+
Schofield 2003	yes	yes	ct	no	ct	yes	yes	no	ct	ct	yes	-
Schulze 2006	Yes	Yes	Ct	No	No	Yes	Yes	No	No	Yes	Yes	+
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Shean 1994	yes	yes	ct	ct	ct	yes	yes	no	ct	ct	yes	-
Simons-Morton 2005	yes	yes	ct	no	ct	yes	yes	no	no	ct	yes	-
Snow 1992	yes	yes	ct	no	ct	yes	yes	no	ct	yes	yes	-
Spoth 2001	yes	yes	ct	no	yes	yes	yes	no	ct	yes	yes	+
Spoth 2002	yes	yes	ct	no	no	yes	no	yes	yes	yes	yes	-
Storr 2002	yes	yes	ct	no	no	yes	yes	yes	ct	yes	no	-
Sun 2006	yes	yes	ct	ct	yes	yes	yes	no	no	yes	yes	+
Sun 2008	yes	yes	ct	no	no	yes	yes	no	no	yes	yes	-
Susanne 2003	yes	yes	ct	ct	ct	yes	yes	no	no	yes	yes	-
Sussman 2007	yes	yes	ct	no	no	yes	yes	no	no	ct	yes	-
Telch 1990	yes	yes	ct	no	no	yes	yes	yes	ct	yes	no	+
Werch 2005	yes	yes	ct	no	yes	yes	yes	yes	no	yes	no	+
Winkleby 2004	yes	yes	ct	no	no	yes	yes	yes	no	yes	yes	+

# Appendix 8 Index of interventions, reference publications and related publications

School-based interventions evaluated in included RCTs are listed alphabetically according to the name/acronym of the programme/trial. (Please note that the word 'Project' may be omitted for the purpose of indexing, for example, Project Towards No Drug Abuse (TND) is indexed under T rather than P).

#### Adolescent Alcohol Prevention Trial (AAPT)

Study name (if available)	Author year	Ref ID	Inclusion/exclusion	Comment
AAPT	(Hansen & Graham 1991)	629	Included	Main report, results for the 7 <sup>th</sup> grade students
	(Hansen et al. 1991)	10657	Included	Program effectiveness based on the quality of the program delivery: results based on the 5 <sup>th</sup> grade students
	(Taylor et al. 2000)	10658	Included	Further analysis (5-year follow up) based on growth curve modelling approach
	(Donaldson et al. 2000)	2172	Included	Further analysis using reciprocal best friend reports for verifying self-reported substance use
	(Palmer et al. 1998)	498	Included	Methodological paper applying multilevel analytic strategies using the trial data (first

		and second year follow up measures taken in the fall of 1988 and 1989)

Study name	Population	Intervention	Outcome
Adolescent Alcohol Prevention Trial (Hansen & Graham 1991)	-12 junior high schools in Los Angeles and California -students in grade 7 (12 to 13 years)	-social influence  -The first program (information) consisted of four 45-min lessons about social and health consequences of using alcohol and other drugs.	Normative education classes had lower rates of ever smoking cigarettes (8.1% vs. 10.3%, p=0.0311
	- 55.4% female and 38.8% white	The second program (Resistance Training) consisted of four lessons about the consequences of using substances plus five lessons that taught students to identify and resist peer and advertising pressure to use alcohol and other substances.  The third program (Normative Education) included four information lessons plus five lessons that corrected erroneous perceptions of the prevalence and acceptability of alcohol and drug use among peers and established a	
		conservative normative school climate regarding substance use.  The fourth program (Combined) included three lessons about information, three and one-half lessons teaching resistance skills, and three and one-half lessons establishing conservative norms.	

### Adolescent Decision-Making (ADM) Program

Study name (if available)	Author year	Ref ID	Inclusion/exclusion	Comment

ADM Program	(Snow 1992)	10393	Included	2-year follow-up, intervention I (grade 6)
	(Snow et al. 1997)	10656	Included	4-year follow-up assessing both intervention I and intervention II (grade 8/9)

Study name	Population	Intervention	Outcome
Adolescent Decision-Making (ADM) Program (Snow 1992)	-schools in grade six (11 to 12 years) -48.5% female	-based on social cognitive approach to substance use -intervention 1) 12 forty minutes weekly classroom session 2) 12 forty minutes weekly classroom sessions to reinforce and extend social-cognitive skills domain taught in grade six	-intervention was effective: those in intervention group had lower use of tobacco after 2-year follow-up (F=2.87 (11073), p<0.09)

#### Adolescent Transition Program (ATP)

Study name (if available)	Author year	Ref ID	Inclusion/exclusion	Comment
ATP Incorporating the Family Check-up (FCU) and SHAPe curriculum, a reduced version of Life Skills Training	(Connell et al. 2007)	204	Included	Main report, 5 year-follow-up

Study name	Population	Intervention	Outcome
Adolescent Transition Program (ATP) Incorporating the Family Check-up (FCU) and SHAPe curriculum, a reduced version of Life Skills Training	-3 middle schools -students in 6 <sup>th</sup> grade (11 to 12 years) - 47.3% female and 42.3%	- the intervention followed the adolescent transition program (ATP)  -family resource centre (FRC) was made available for the students in school while the parent centered service were made available to	- students whose parents engaged in family check up exhibited less growth in tobacco

(Connell 2007)	white	all intervention students and their families	
		-the SHApe curriculum is made of 6 class lessons	
		-families were offered family check up when students were in grade 7 and 8: brief 3-session intervention	

#### ALERT

Study name (if available)	Author year	Ref ID	Inclusion/exclusion	Comment
Project ALERT	(Ellickson et al. 1993b)	601	Included	5-year follow-up
	(Ellickson 1998)	8571	Included	Book chapter summarising lessons learnt from the project
	(Bell et al. 1993)	595	Included	24-month follow-up
	(Ellickson et al. 1993a)	3189	Included	Multivariate analysis using 15-month data
	(Ellickson & Bell 1990)	2895, 9538	Included	15-month follow-up
Revised Project ALERT/ ALERT Plus	(Ellickson et al. 2003)	370	Included	18-month follow-up
	(Orlando et al. 2005)	293	Included	18-month follow-up, analysis of mediation variables (secondary outcomes)
	(Ghosh-Dastidar et al. 2004)	333	Included	18-month follow-up, subgroup analysis (high, moderate, low risk)
	(Longshore et al. 2007)	222	Included	30-month follow-up (from the baseline of the Revised Project ALERT)

Study name	Population	Intervention	Outcome
Project ALERT (Ellickson 1993)	-30 California and Oregon schools from rural, suburban and urban communities -Grade 7 (12 to 13 years) students -48% female and 67% white	-Social influence model  - one treatment groups treated by adult health educators while older teens assisted adult teacher  -students received eight lessons in grade seven and three additional in grade eight	Program was effective in reducing uptake of smoking.  Teen-leader effect vs. control=8.3% vs. 9.7%.  Adult- only effect vs. control= 9.1% vs. 9.7%
Revised Project ALERT (Ellickson 2003)	- 55 South Dakota middle schools -Grade 7 (12 to 13 years) students -50% female and 87.5% white	- Same as Ellickson 1993  - In addition students received three additional lessons to the eight lessons in grade seven  -the first treatment groups did not receive booster sessions in grades nine and ten while the second treatment group did receive booster sessions	Project ALERT reduced the proportion of new smoker (ever use) by 19% (p<.01). It also held down both current and regular smoking by 23% (p<.01)

#### ASSIST (A Stop Smoking In Schools Trial)

Study name (if available)	Author year	Ref ID	Inclusion/exclusion	Comment
ASSIST	(Campbell et al. 2008)	187	Included	Main report, 2 year-follow-up

Study name	Population	Intervention	Outcome
ASSIST (A Stop Smoking In	- 59 secondary schools in	-social influence	- Odds (OR) of smoking in the past week -At
Schools Trial)	England and Wales		1 year post-intervention:
	_	-training influential students to act as peer	

	(Campbell 2008)	- (students in year 8) 12 to 13	supporters during informal interactions outside	0.77 (CI 0.59-0.99) p=0.043
		years	the classroom to encourage peers not to smoke	-2 year follow-up:
_		- 49% female	-10-week intervention period during which peer supporters undertook informal conversation about smoking with peers	0.85 (CI 0.72-1.01) p=0.067

#### BE smokeFREE

Study name (if available)	Author year	Ref ID	Inclusion/exclusion	Comment
BE smokeFREE	(Josendal et al. 1997)	8603	Included	Main report, 3 year follow up
	(Josendal et al. 2004)	8171	Included	

Study name	Population	Intervention	Outcome
BE smokeFREE	-99 schools in Norway	-intervention based on social influence	-intervention was effective
(Josendal 1998)	-students in grade 7 (12 to 13 years)	-consisted of eight sessions for the school year	-control=6.2%, p<0.05
		-themes were personal freedom, freedom to	-school classes, teacher training, parent
	-49.4% female	choose, freedom from addiction, to make own	involvement=(4.1%, p<0.05)
		decision, training social skills to resist smoking pressure and short term consequences of smoking	- school classes, parent involvement=(5.9%, p<0.05)
		- The intervention were 1) School classes, teacher training, parent involvement 2) school classes, parent involvement 3) school classes, teacher training	-school classes, teacher training =(5.4%, p<0.05)

### The Brabant smoking prevention programme

Study name (if available)	Author year	Ref ID	Inclusion/exclusion	Comment
The Brabant smoking prevention programme	(Chatrou et al. 1999)	10649	Included	Main report, 1 year follow-up

Study name	Population	Intervention	Outcome
The Brabant smoking prevention programme (Chatrou 1999)	-48 schools  -Ages 12 to 14 years (transition between elementary and high schools)  -56% female	-information giving  -three lessons given to first or second year pupils in three consecutive weeks  -the two intervention were  1) emotional/self group: intervention aimed at providing non-smoking adolescents with knowledge on smoking effects as well as exploring the emotional aspects of risky behaviour  2) Health/technical group: students shown same video as treatment group 1 but discussions centred on the health and technical aspects of	-Program was not effective through out the follow up period.  -6 months:  1) 11.9% (ns) 2) 19.4% (ns) Control= 15.2%  -18 months:  1) 20.2% (ns) 2) 25.6% (ns) Control= 20.9%
		smoking.	

#### Child and Adolescent Trial for Cardiovascular Health (CATCH)

Study name (if available)	Author year	Ref ID	Inclusion/exclusion	Comment
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САТСН	(Elder 1996)	8667	Included	2.5 year follow-up
	(Johnson et al. 2003)	10655	Included	7-year follow-up (survey of teachers) regarding use of the CATCH materials; did not report smoking outcomes
	(Johnson et al. 2002)	9836	Include	5-year follow-up
	(Nader et al. 1999)	494	Included	3-year follow-up
	(Nader et al. 1996)	548	Included	2.5 year follow-up; did not report smoking outcomes
	(Edmundson et al. 1996)	550	Included	1.5 year follow-up; did not report smoking outcomes

Study name	Population	Intervention	Outcome
Child and Adolescent Trial for Cardiovascular Health (CATCH) (Elder 1996)	-96 elementary schools - students in grade 5 (10 to 11 years) - 51% female and 71% Caucasians	-based on principle derived from Social Learning theory and Organizational change  -intervention started in grade five: it included promotion of smoke-free schools, classroom teaching curriculum, and home based intervention  - class room intervention: four 50minutes lessons focusing on dangers, cost, benefits of not using and poor acceptability  -home based intervention: 4 sessions to complement school lessons  -Policy-level intervention: to promote the adoption of a formal policy to be tobacco free within the intervention schools.	No significant differences between intervention and control group.
(Elder 1996)	- 51% female and 71%	promotion of smoke-free schools, classroom teaching curriculum, and home based intervention  - class room intervention: four 50minutes lessons focusing on dangers, cost, benefits of not using and poor acceptability  -home based intervention: 4 sessions to complement school lessons  -Policy-level intervention: to promote the	

#### **Project Chrysalis**

Study name (if available)	Author year	Ref ID	Inclusion/exclusion	Comment
Project Chrysalis	(Brown 2001)	8468	Included	2 year follow-up

Study name	Population	Intervention	Outcome
Project Chrysalis (Brown 2001)	-12 elementary high schools -ages 14 to 17 years -100% female	-social influence -school based support groups were the primary intervention -school counselors in collaboration with therapists from a community-based child abuse treatment agency delivered the curriculum for those support groups	% less likely to try tobacco 2 years following the programme treatment (attended >11 activities vs. attended <11 activities ) vs. control (62.5% vs. 27.3%) vs. 30%

### Classroom Centred (CC) intervention incorporating the 'Good Behavior Game' & Family-School Partnership (FSP) intervention

Study name (if available)	Author year	Ref ID	Inclusion/exclusion	Comment
Classroom Centred (CC) intervention incorporating the 'Good Behavior Game' & Family- School Partnership (FSP) intervention	(Storr et al. 2002)	409, 4212, 8381	Included	6-year follow-up
	(Furr-Holden et al. 2004)	1824	Included	7-year follow-up

Study name	Population	Intervention	Outcome
Classroom Centered (CC) intervention incorporating the 'Good Behavior Game' & Family- School Partnership (FSP) intervention (Storr 2002)	-9 primary schools in Baltimore - 6 to 7 years -49% female and 11.4 5 white	-social competence  -Classroom centered intervention consisted of three components: Curriculum enhancements, enhanced behaviour management practices, back-up strategies for children not performing adequately  - Family school partnership intervention was designed to improve achievement and reduce early aggression, shy behaviour and concentration problems by enhancing parentteacher communication and providing parents' with effective teaching and child behaviour management strategies.	- reduction in the risk of smoking initiation for students in the two intervention compared to the control:  School based: (RR=0.57; 95% CI 0.34 to 0.96)  Family based: (RR=0.69; 95% CI 0.50 to 0.97)

### **Project CLASP**

CLASP	(Telch et al. 1990)	649	Included	Preliminary result, 7 months follow-up

Study name	Population	Intervention	Outcome
Project CLASP	-2 junior high schools	-social influence	-program was effective
(Telch 1990)	-students in 7th grade (14 to 17 years) -46.8% female and 24.1% white	-social resistance training: five-session interactive videotape program over three week period -social resistance training with same age peer leaders	-tape program with peers (2.3%), tape program without peers (9.5%), control 1 (11%), control 2 (11.1) p<0.001)

#### **Consider This Program**

Study name (if available)	Author year	Ref ID	Inclusion/exclusion	Comment
Consider This Program	(Buller et al. 2008)	3365	Included	Internet delivered smoking prevention program in two countries (Australia and USA)

Study name	Population	Intervention	Outcome
Constitute This Program	21 - 1 - 1 : 110 4 - 125 :	Code to the control of the control o	The same and offering Associate
Consider This Program	-21 schools in USA and 25 in	Social competence model.	-The program was not effective in America
(Buller 2008)	Australia	73 online activities organized into six modules:	while it was effective in Australia.
	- Students in grade six to	Introduction, media literacy, relationships, mind	-American Trial estimate=0.0247, p=0.122
	nine (11 to 13 years)	and body, decision making, and resistance	-
		strategies.	-Australian Trial Pre-
	-51.2% female in America		test: Intervention vs. Control= 13.1% vs.
	and 51.5% in Australia	Each session lasted 45 to 60 minutes	11.2% Post-
			test: Intervention vs. Control= 12.7%% vs.
	-51.7% white in America and	Students in control group received standard	14.3%
	72.2% in Australia	health education.	

#### DARE

Study name (if available)	Author year	Ref ID	Inclusion/exclusion	Comment
Project DARE (Drug Abuse Resistance Education)	(Lynam et al. 1999)	2316	Included	10 year follow-up
	(Dukes et al. 1997)	2915	Included	6 year follow-up
	(Clayton et al. 1996)	549	Included	5 year follow-up
	(Wysong & Wright 1995)	10651	Included	General overview

Project DARE	(Ringwalt et al. 1991)	2848	Included	Main report
Illinois DARE Study	(Ennett et al. 1994)	2713	Included	2-year follow up
	(Rosenbaum et al. 1994)	574	Included	Main report, 1-year follow-up
Project DARE and DARE plus	(Perry et al. 2003)	1923	Included	Main report, 2 year-follow-up
	(Perry et al. 2000)	10661	Included	Overview of the study

Study name	Population	Intervention	Outcome
Project DARE	- 31 elementary schools	- same as Ringwalt 1991	DARE had no effect on cigarette use after 10 years of follow up.
(Lynam 1999)	<ul> <li>- Lexington-Fayette county public schools</li> <li>- grade six (11 to 12 years)</li> <li>- 49% female and 75% white</li> </ul>	-information about drugs, teaching decision-making skills, building self esteem & alternatives to drug use  - Delivered by police officers in 1-hour sessions over 17 weeks after going through 80 hour training course  -control group received drug education delivered by health teachers  -focused on identification and harmful effects of drugs, peer pressure and videos often shown	years of follow up.

Project DARE	-20 North Carolina	-based on social influence model	DARE had no statistically significant effect
(Ringwalt 1991)	elementary schools  -Grades five and six (10 to 12 years)  -52% female and 40% white	- 17 weekly lessons offered once a week for 45 to 60 minutes -officers received 2 weeks intensive training	on cigarette use.  Pre-test: DARE vs. control= 18.2% vs. 16%  Post-test: DARE vs. control=22.3% vs. 22.1%
Illinois DARE (Ennett 1994)	-36 elementary schools in Northern and central Illinois stratified by metropolitan status (Urban, suburban and rural) - grades five or six (11 to 12 year old students) -49% female and 54% white	- same as Ringwalt 1991	DARE had no statistically significant effect immediately after DARE, 1 year or 2 years later.  Immediate Post DARE:OR=0.63, 0.38 to 1.04  At 12 months: OR=0.93, 0.63 to 1.36  At 24 Months: OR=0.99, 0.67 to 1.47
Project DARE and DARE plus (Perry 2003)	-24 middle and junior high schools in Minnesota -Grade seven students -48.4% female and 67.3% white	1)DARE middle and junior high school  -focused on skills in resisting influences to drug use, handling violent situations, character building and citizenship skills  -10 session curriculum delivered by police officers  -Officers to have received training in elementary school DARE curriculum, taught at least 2 semesters of DARE, received training in middle and junior high school curriculum  2)DARE Plus:  First Component: classroom based, peer-led,	-Only DARE plus intervention was effective -DARE plus vs. control= 0.18 vs. 0.31, p=0.02

parental involvement program	
-4 session program implemented by trained teachers once a week for 4 weeks	
-focused on influences and skills related to peers, social groups, media and role models	
-includes classroom activities led by trained peer leaders and home team activities for students to complete with their parents.	
Second components: extra curricular activities for students	
Third components: Neighborhood action teams to address neighborhood and school wide issues related to drug use and violent behaviour	

### **European Smoking Prevention Framework Approach (ESFA)**

Study name (if available)	Author year	Ref ID	Inclusion/exclusion	Comment
ESFA	(DeVries et al. 2006)	8086, 267	Included	30 months follow-up
	(De et al. 2003)	8901, 1831	Included	Main report, short term effects (1 year follow-up)
	(Ariza et al. 2008)	798	Included	Further analysis on a subset (Spain) of the sample population
	(Vartiainen et al. 2007)	228	Included	Further analysis of 3 year follow up on a subset (Finland) of the sample population.

Study name	Population	Intervention	Outcome
ESFA- European Smoking prevention Framework Approach (De Vries 2006)	-Schools within designated countries: Finland (27), Denmark (30), UK (43), Portugal (25), Netherland (33), Spain (47) -The average age of students was between 12 and 13 years	-Social influence approach  - With the same collective objectives, each country used/developed their own materials/strategies for intervention.  - All countries developed a school based programme (predominantly teacher led) including information on social influences and training in refusal skills.  - The interventions were developed for four levels: the individual adolescent level, the school level, the parental level and out-of-school level (community)	Overall, no statistically significant result at 24 months but there was significant overall effect at 30 months: (Proportion of baseline non smokers becoming weekly smokers) 21.9% new smokers in experimental group vs. 23.4% new smokers in the control group.

#### **Project EX-4**

Project EX-4	(Sussman et al. 2007)	225	Included	6-month and 1-year follow-up
	(Sun et al. 2007)	224	Included	6-week follow-up immediately after the program

Study name Population Intervention Outcome	
Project EX-4  (Sussman 2007)  -12 continuation high schools in South California  -13 to 19 years  -13 to 19 years  -37.3% female and 14.4% white  -information giving  -adapted from project EX clinic program  -intervention effective in reduct smoking at immediate post-test smokin	0.84

the following month and focused on intentions not to use or quit attempts.
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### Extracurricular activities approach

Study name (if available)	Author year	Ref ID	Inclusion/exclusion	Comment
Extracurricular activities approach	(Brown et al. 2002)	407	Included	Main report, 2 year-follow-up

Study name Pop	opulation	Intervention	Outcome
(Brown 2002) -Stu	30 elementary schools 6tudents in grade 9 (14 to 5 years) 50% female	-Social influence model  -the approach focused on extracurricular interventions and treated the student body of each school as a community  -mobilizing staff and students, and involving as many students as possible in activities inconsistent with smoking, to build commitment to nonsmoking and to strengthen nonsmoking as a school social norm.	The effect of the intervention at the end of the follow up period was not statistically significant

### Family Smoking Education (FSE) Project & Smoking and Me (SAM) Project

Study name (if available)	Author year	Ref ID	Inclusion/exclusion	Comment
FSE & SAM Project	(Nutbeam et al. 1993)	598	Included	Main report, 1-year follow-up
	(Newman et al. 1991)	7751	Excluded	Qualitative study of teachers' views of the

			SAM project
(Newman & Nutbeam 1989)	10672	Excluded	Pre-1990. Qualitative study of teachers' views of the FSE project

Study name	Population	Intervention	Outcome
Family Smoking Education (FSE)	-39 comprehensive schools	-Social influence	Percentage of never smokers (12 months
Project & Smoking and Me (SAM)	in England and Wales		follow-up):
Project		-schools allocated to one of four groups: 1) FSE 2)	
	- 11 to 12 years	SAM 3) FSE and SAM 4) no intervention	1) 64.6%
(Nutbeam 1993)			2) 70.4%
	- 52.8% female	-average of three hours of lessons over a series of	3) 69.4%
		classroom lessons	4) 73.9%
		-lessons reinforced by booklet for students and a separate leaflet for parents	

### FLAVOR (Fun, Learning About Vitality, Origins and Respect) & CHIPS (Choosing Healthy Influences for a Positive Self)

Study name (if available)	Author year	Ref ID	Inclusion/exclusion	Comment
FLAVOR & CHIPS	(Johnson et al. 2005)	303	Included	Main report, 2-year follow-up. No mention of comparison of three implementation methods
	(Carolan et al. 2007)	9371	Included	Further analysis of 1-year follow-up data examining interaction between group assignment method and program
	(Valente et al. 2006)	270	Included	1-year follow-up examining both the effect of program (FLAVOR vs. CHIPS) and the effect of implementation (random vs. network vs. teacher)

(Unger et al. 2004)	340	Included	1-year follow-up. No mention of comparison of three implementation methods
(Valente et al. 2003)	363	Included	Preliminary results examining networking effect

Study name	Population	Intervention	Outcome
FLAVOR (Fun, Learning About Vitality, Origins and Respect) & CHIPS (Choosing Healthy Influences for a Positive Self) (Johnson 2005)	-24 middle schools in California -students in grade 6 (11 to 12 years) -52.4% female	-social influence model  -two curricula each consisting of eight classroom activities  - multicultural curriculum (FLAVOR); designed to include cultural values from Hispanic and Asian cultures  -standard curriculum (CHIPS); a version of project SMART, modified to focus on tobacco use rather than multiple substance use.	1 year follow-up: Overall, program effect was not significant.  2 year follow-up: Multicultural program was effective only among Hispanic students in Hispanic schools (OR=0.63; 0.50 to 0.79) and standard program was effective only among Asian students in Asian/multicultural schools (OR=0.60; 95% CI 0.48 to 0.75).

#### Gatehouse project

Study name (if available)	Author year	Ref ID	Inclusion/exclusion	Comment
Gatehouse project	(Bond et al. 2004)	353	Included	Main report, 3 year-follow-up

Gatehouse project	-26 secondary schools	-Primary prevention program including both	Prevalence of regular smoking:
(Bond 2004)	-13 to 14 years of age (year	institutional and individual focused components	Year 1: 4.9% vs. 8.3%; OR 0.66 (95% CI 0.46-
(	8)	-intervention was based on an understanding of	0.95)
		risk processes for adolescent mental health and	
		risk behaviours that derive from social	

-53.2% female	environments	Not statistically significant at 2 <sup>nd</sup> and 3 <sup>rd</sup>
		year follow-up

#### **Going Places Program**

Study name (if available)	Author year	Ref ID	Inclusion/exclusion	Comment
Going Places Program	(Simons-Morton et al. 2005b)	305	Included	Main report, 3-year follow-up
	(Simons-Morton et al. 2005a)	291	Included	Impact analysis and medication of outcomes, 3-year follow-up

Study name	Population	Intervention	Outcome
Going Places Program (Simons-Morton 2005)	-7 middle schools  - students in 6 <sup>th</sup> grade (11 to 12 years)  -57% female and 71% white	-social competence  -includes social skills curriculum, parent education and school environment enhancement designed to increase academic engagement and commitment to school  -the foci of curriculum are problem solving, self control, communication and conflict resolution skills  -Consisted of 18 session in the sixth grade, 12 in the seventh grade, and six in the eight grade  -The enhanced school environment included social marketing strategies to improve school	- decrease in the rate of smoking progression for the treatment group relative to the control group (added growth factor=-0.124, p <0.05)
		climate, establish pro-social norms, positive	

image for se	chool, reinforce students achievement	
to increase and expecta	education component was designed parental monitoring, involvement, ations regarding academic t and problem behaviour.	

#### Good Behavior Game & Mastery Learning

Study name (if available)	Author year	Ref ID	Inclusion/exclusion	Comment
Good Behavior Game & Mastery Learning	(Kellam & Anthony 1998)	7236	Included	7-year follow-up
	(Kellam et al. 2008)	895	Included	13-year follow-up

Study name	Population	Intervention	Outcome
Good Behavior Game & Mastery	-19 elementary schools	-social competence	- Program was effective in boys only.
Learning	-students in 1st grade (6 to 7	- program focused on social context of the	-boys in intervention school had lower risk
(Kellam 1998)	years)	classroom, to socialize children into the role of	of initiating smoking than control group
		student and to teach them to regulate their own	(RR=0.62, 0.40 to 0.97, p=.04
	-49% female	and their classmates' behaviour through a	
		process of interdependent team behaviour-	- female: (RR=0.90, 0.57 to 1.42, p=.66)]
		contingent reinforcement	
		-control school received customary school	
		programs	

### Healthy for Life (HFL) health promotion program

Study name (if available)	Author year	Ref ID	Inclusion/exclusion	Comment
HFL health promotion program	(Piper et al. 2000)	466	Included	Main report, four year old follow-up

Study name	Population	Intervention	Outcome
Healthy for Life (HFL) health promotion program (Piper 2000)	-21middle schools -11 to 12 years -94% white	-social influence model -four social networks influencing adolescent behaviour were addressed:  1) school component; 54-lesson curriculum delivered in one sequential 12-week block or three four-week segments  2) Peer component: three peer leaders who involved in one third of the curriculum  3) family component: enhanced and	The Intensive condition significantly reduced the likelihood of smoking in 10 <sup>th</sup> grade (3-year follow-up, beta (SE) = -0.38 (0.17); p<.05), but this effect did not reach statistically significance in 9 <sup>th</sup> grade (3-year follow-up, beta (SE) = -0.30(0.17); p=ns).  The age appropriate condition emerged as marginally (p<.10) harmful over the control condition

strengthened the curriculum by facilitating communication between students and adult family member
4) the community component:
-two versions of the HFL school based curriculum were designed:
1) Age appropriate version: 20 lessons at grade 6, 19 lessons at grade 7 and 8. Delivered in sequence every day for four weeks.
2) Intensive version: took the age appropriate version minus the 7 <sup>th</sup> and 8 <sup>th</sup> grade introductory lessons, and delivered it in one sequential 12 week block to an entire cohort of 7 <sup>th</sup> graders.

### Healthy Schools and Stimulants Program/In-School and Out-of-School Interventions.

Study name (if available)	Author year	Ref ID	Inclusion/exclusion	Comment
Healthy Schools and Stimulants Program/In-School and Out-of- School Interventions.	(Ausems et al. 2004)	6519	Included	Main report
	(Ausems et al. 2002)	411	Excluded	Follow up less than 6 months

Study name	Population	Intervention	Outcome
Healthy Schools and Stimulants	-36 1st grade vocational	-information giving	-program was effective.
Program/In-School and Out-of-School Interventions.	schools -Average age of 13.1 years	- interventions giving were	- in-school intervention was successful after 12 months: OR= 0.49; 0.29 to 0.84
	Treinge age of 10.1 years	1) In-school intervention- it used the "healthy	12 monais. 21. 3.13, 3.23 to 0.01

(Ausems 2004)	-47.9% female and 73%	schools and stimulants program". Consisted of 3	-out-of-school was effective after 18 months :
	Dutch	lessons (50mins) 2) Out-of-school intervention-	OR= 0.42; 0.18 to 0.96
		consisted of three tailored letters with smoking	
		prevention messages mailed at 3-week intervals	
		based on social inoculation theory 3) In and out-	
		of-school intervention-	

### The Hunter Region Health Promoting Schools (HPS)

Study name (if available)	Author year	Ref ID	Inclusion/exclusion	Comment
HPS	(Schofield et al. 2003)	366	Included	Main report, 2-year follow-up
	(Lynagh et al. 1999)	484	Excluded	Qualitative study of the trial and its pilot

Study name	Population	Intervention	Outcome
The Hunter Region Health	-22 secondary schools in	-social influence	- No statistical difference between
Promoting Schools (HPS)	Australia		intervention and control group at the end of
		-A four-stage model was developed: (1)	the study.
(Schofield 2003)	-12 to 14 years	establishing baseline health risk behaviors and	
		gaining school-wide commitment to HPS, (2)	
	-55% female	identifying key individuals and the optimal HPS	
		structure for each school, (3) planning,	
		implementing and monitoring HPS strategies,	
		and (4) ongoing support and maintenance of	
		HPS structures and activities	
		-strategies included ensuring formal school	
		curriculum adequately addressed health risks	
		associated smoking, information leaflets and	
		biweekly school newsletters for parents letters to	
		tobacco retailers, smoke-free school policy	
		development, encouragement of non-smoking	

	parents, peers and teachers as role models, peer	
	influence programs, and incentive programs.	

#### **Hutchinson Smoking Prevention Project (HSPP)**

Study name (if available)	Author year	Ref ID	Inclusion/exclusion	Comment
HSPP	(Peterson et al. 2000a)	455	Included	Main report; 12-year follow-up
	(Mann et al. 2000)	467	Excluded (but accessed for baseline characteristics)	Design and baseline characteristics – did not report outcomes
	(Peterson et al. 2000b)	453	Excluded	Design and methods – did not report outcomes

Study name	Population	Intervention	Outcome
Hutchinson Smoking Prevention	-40 Washington school	-social influence approach	at least weekly smoking, intervention vs.
Project (HSPP)	district	15	control,
		-15 essential elements for school based tobacco	
(Peterson 2000)	-Students in grade 3 (8 to 9	prevention	10 year follow-up: 0.2% (-3.1% to 3.9%); 12
	years)		year follow-up: -1.3% (-4.1% to 2.0%)
		-a teacher-led, grade 3-10 tobacco use prevention	
	-49.2% female and 89.9%	curriculum together with unit-specific teacher	
	white	training	
		-a total of 65 classroom lessons in the curriculum:	
		nine lessons in each of grades 3 to 5, 10 in each of	
		grades 6 and 7, eight lessons in grade 8, and five	
		lessons in each of grades 9 and 10	
		lessons in each of graces 7 and 10	
		-curriculum is supplemented by two additional	
		high school components : self help tobacco use	
		cessation materials and biannual news letters	

	informing teachers about tobacco education	
	resources	

# Kentucky Adolescent Tobacco Prevention project

Study name (if available)	Author year	Ref ID	Inclusion/exclusion	Comment
Kentucky Adolescent Tobacco Prevention project	(Noland et al. 1998)	512	Included	Main report, 2 year follow-up

Study name	Population	Intervention	Outcome
Kentucky Adolescent Tobacco Prevention project (Noland 1998)	-19 schools -Students in 7th grade (mean age 12.4 years) -female 50.8% and white 92%	-6-session social influences prevention programme  -included skills training, recognizing and countering advertising appeals, trained peer leaders, student pledges not too use tobacco and negative consequences for using it  -sessions lasted 45 to 50 minutes and delivered by trained classroom teachers  -eight grade curriculum was a 3-session booster program included similar concepts and delivered by trained educators that were part of project staff	-program was effective after 2 years of follow-up  -Significant effects were found for 24-hour (22% vs. 28%, p<0.05), 7-day (30% vs. 38%, p<0.01), and 30-day (34% vs. 44%, p<0.01) smoking but not for lifetime smoking.
(Noland 1998)	age 12.4 years) -female 50.8% and white	countering advertising appeals, trained peer leaders, student pledges not too use tobacco and negative consequences for using it -sessions lasted 45 to 50 minutes and delivered by trained classroom teachers -eight grade curriculum was a 3-session booster program included similar concepts and	(22% vs. 28%, p<0.05), 7-day (30% vs. 38% p<0.01), and 30-day (34% vs. 44%, p<0.01

# Life Skills Training (LST) Program

Study name (if available)	Author year	Ref ID	Inclusion/exclusion	Comment

LST (Botvin et al. 1990a)	(Botvin et al. 1990b)	2893	Included	Main report
LST (Botvin et al. 1990b)	(Botvin et al. 1990a)	3287	Included	3 year follow-up
	(Botvin 1990)	8747	Included	1 year follow-up
	(Scheier et al. 2001)	429	Included	Further analysis of the effect of preventive intervention on drug use
LST tailored to Native American young people	(Schinke et al. 2000)	460	Included	3.5-year follow-up
	(Moncher & Schinke 1994)	10650	Included	2-year follow-up
LST	(Botvin et al. 2001)	4268	Included	Main report, 1-year-follow-up
	(Botvin et al. 1999)	488	Included	Further analysis on a subset of sample population (girls)
	(Griffin et al. 2003)	362, 8340	Included	Further analysis on a subsample of youth (21%) identified as high risks for substance use initiation

Study name	Population	Intervention	Outcome
Life Skills Training Program	-10 suburban New York	-Schools randomized into 4 treatment condition	-there was significantly lower proportion of
Botvin 1990a	high schools -Students in grade 7 and 8 (12 to 14 years)	1) Peer-led prevention programme 2) Teacher-led prevention program 3) Peer-lead prevention program with booster 4) Teacher-led prevention program with booster and a control condition.	smokers in the peer-led booster condition when compared to the control condition for the weekly measure Proportion smoking weekly at follow up

	-51% female and 80% white	-Conditions 1-4 received 20 sessions of peer/teacher led intervention whilst in grade 7. In grade 8, those in conditions 3 and 4 received an additional 10 session 'booster' (similar in nature).	(significance verses control): 1) 0.22 (NS) 2) 0.16 (NS) 3) 0.05 (lower, p<0.005) 4) 0.21 (NS) 5) 0.16 (control)
Life Skills Training Program  Botvin 1990b	-56 schools from three geographical regions of New York -students in grade 7 (12 to 13 years) -48% female and 90% white	- Life skills training programme  -facilitate development of personal and social skills with particular emphasis on the development of skills for coping with social influences to smoke, drink or use drugs  -12 curriculum units to be taught in 15 class periods  -Booster sessions in grade 8 (10 classes) and 9 (5 classes) to renew and reinforce learning.  -2 arm intervention group 1) 1-day teacher workshop with implementation feedback by project staff and 2) teacher training provided by videotape with no implementation feedback)  -1 comparison control group	Intervention effective only at 3-years follow-up:  Smoking index (p for intervention vs. control).  At 1 year: 1) 1.97 (ns)  2) 1.92 (ns) Control= 1.96 At 3 years (±SE): 1) 1.46±0.04 (p<0.001) 2) 1.50±0.04 (p<0.01) Control= 1.63±0.03
life skills training tailored to Native American young people (Schinke et al. 2000)	-students in 3 <sup>rd</sup> , 4 <sup>th</sup> and 5 <sup>th</sup> grade (8 to 11 years) -49% female	-social influence model  -a conventional theoretical model of life skills training tailored towards the native Americans  -Two interventional arms made of 15 sessions each delivered weeklyeach session last about	- The intervention was not effective at the end of follow up

		50 minutes each. Delivered during the spring term and each session involved instruction, modelling, and rehearsal in cognitive-behavioural skills associated with substance abuse prevention.  -the second intervention arm also involves community component in addition to the skills component.  -the community component involves mobilizing Native American constituents in youth communities to support substance abuse prevention.	
Life Skills Training Program  Botvin 2001	-29 New York public schools -students in grade 7 (12 to 13 years) -53% female and 6% white	- cognitive-behavioural approach to drug abuse prevention  -intervention group received 15 sessions in the seventh grade and 10 booster sessions in the eight grade  -intervention was taught by the classroom teacher  -Intervention was not provided to the control group	The intervention had a significant effect on substance use after 1-year follow-up.  Intervention vs. control outcomes (±SE) at 1 year follow up.  Smoking frequency index:  1.73±0.04 vs. 1.94±0.05 (p=0.012)  Smoking quantity index:  1.19±0.02 vs. 1.32

## Lions-Quest Skills for Adolescence

Study name (if available)	Author year	Ref ID	Inclusion/exclusion	Comment
Lions-Quest Skills for Adolescence	(Eisen et al. 2003)	365	Included	Main report, 2 year-follow-up

	(Eisen et al. 2002)	399	Included	1 year follow-up

Study name	Population	Intervention	Outcome
Lions-Quest Skills for Adolescence (Eisen 2002)	-34 middle schools  - students in grade 6 (11 to 12 years)  -52% female and 41% white	-utilize social influence and social cognitive approaches to teach cognitive-behaviour skills -a condensed , 40-session (35-45 minutes per session) version of the comprehensive curriculum	30 day cigarette smoking:  1 year-follow-up; (2.9% vs. 3.9%, p<0.05)  2 year-follow-up; no significant main effect
		-included three sessions on the challenges involved in entering the teen years, four on building self-confidence and communication skills, five on managing emotions in positive ways, eight on improving peer relationships and 20 on living healthy and drug-free.	

# The Minnesota Smoking Prevention Program (MSPP); The smoke Free generation (SFG); The Minnesota Department of Education's Guidelines (MDEG)

Study name (if available)	Author year	Ref ID	Inclusion/exclusion	Comment
MSPP; SFG; MDEG	(Murray et al. 1992)	618	Included	Main paper, Six year follow-up

Study name	Population	Intervention	Outcome
The Minnesota Smoking	-81 schools	-social influence	No statistical significant difference between
Prevention Program (MSPP); The smoke Free generation (SFG); The Minnesota Department of Education's Guidelines (MDEG)	-students in grade six (12 to 13 years) - 50% female	-the three interventions : 1) Minnesota Smoking Prevention program (MSPP): a six-lesson curriculum based on the social influence model 2) The Smoke Free Generation (SFG) program: a	intervention groups.
		three-lesson curriculum patterned after the	

(Murray 1992)	Minnesota Smok	ring Prevention program but in a
	shorter form 3) T	The Minnesota Department of
	Education's Guid	delines (MDEG): to help teachers
	adapt existing pr	rograms to incorporate
	components of th	he social influence model

## Modified from the Minnesota smoking prevention programme

	ef ID	Inclusion/exclusion	Comment
al. 1994) 584	34	Included	Main report, seven year old-follow-up
	al. 1994) 58	al. 1994) 584	al. 1994) 584 Included

Study name	Population	Intervention	Outcome
Modified from the Minnesota smoking prevention programme (Shean 1994)	-45 schools  - Year seven students (modal age of 12 years)  - 52% female	-social influence  -consisted of five sessions  -based on social consequences curriculum  -designed to increase students knowledge of the effects of smoking and awareness of the benefits of not smoking  -trained students how to resist pressures not to smoke  -two versions developed: peer led and teacher led	-Only the peer led intervention was effective among girls alone -preventive effect on smoking uptake by girls: (OR=0.50, 0.26 to 0.98) -teacher led: (OR=0.53, 0.27 to 1.01) Boys; [(teacher led OR=0.87, 0.39 to 1.96), peer-led OR=1.10, 0.53 to 2.28]

# One-day lesson; developed by the Italian league against Cancer

Study name (if available)	Author year	Ref ID	Inclusion/exclusion	Comment
One-day lesson; developed by the Italian league against Cancer (Milan Division)	(Gatta et al. 1991)	631	Included	Main report, four year follow-up

Study name	Population	Intervention	Outcome
One-day lesson; developed by the	-163 state schools	-information giving	-Intervention had no impact on teenagers
Italian league against Cancer (Milan Division)	- ages 9 to 10 years	-education intervention consisted of a single day lesson and focused on pathology/physiology of	-RR=0.92; 95% CI 0.79 to 1.06
(Gatta 1991)	-50% female	human respiratory tract and on the harmful effects of cigarettes smoking	
		effects of cigarettes smoking	

## Oslo Youth Study

Study name (if available)	Author year	Ref ID	Inclusion/exclusion	Comment
Oslo Youth Study – part of the WHO Collaborative Study on Health Promotion in Youth	(Klepp et al. 1994)	592	Included	12-year follow-up
	(Oygard et al. 1995)	2647	Excluded	Further analysis of 10 year follow-up data to determine parental and peer influences on smoking
	(Klepp et al. 1993)	2746	Included	10-year follow-up
	(Tell et al. 1984)	10673	Excluded	Accessed for information regarding methods

Study name	Population	Intervention	Outcome
Oslo Youth Study – part of the WHO Collaborative Study on Health Promotion in Youth (Klepp 1994)	-6 schools elementary and junior high schools -students in 5 <sup>th</sup> , 6 <sup>th</sup> and 7 <sup>th</sup> grade (10 to 15 years) - 51.6% female	-social influence  -10 session smoking education programme  - health education focused on three areas; cigarette smoking, nutrition and physical activity  - The programme was led, in part, by older students and included training to resist social pressures to smoke, personalized role models, public commitment to remain a non-smoker and discussions on the social, political and health aspects of smoking.	-No significant difference in daily smoking rate between intervention and comparison group. However, program was only effective in men only after adjusting for baseline differences.  -Men who participated reported less daily smoking than the comparison group after 10 years (41.6% vs. 55.8%, p<0.05) and 12 years (36% vs. 49%, p<0.05).

# The Peer Assisted Learning (PAL) smoking prevention programme

Study name (if available)	Author year	Ref ID	Inclusion/exclusion	Comment
The PAL smoking prevention programme	(Abernathy & Bertrand 1992)	2804	Included	Main report, 4-year-evaluation
	(Bertrand & Abernathy 1993)	9281	Included	Further analysis using cross-sectional and longitudinal approaches.

Study name	Population	Intervention	Outcome
The Peer Assisted Learning (PAL)	-190 schools	-based on social influence approach	-intervention was only effective among boys
smoking prevention programme (Abernathy 1992)	-students in grade six (11 to 12 years)	-provided information about benefits of not smoking and taught intervention skills to resist	-follow-up after 2.5 years (numbers who had never smoked) intervention vs. control=
	-49% female	peer pressure to smoke	68.6% vs. 59.6% (X <sup>2</sup> =6.36, p<0.05)

# Sembrando Salud (Sowing the seeds of health)

Study name (if available)	Author year	Ref ID	Inclusion/exclusion	Comment
Sembrando Salud (Sowing the seeds of health)	(Elder et al. 2002)	414	Included	2 year-follow-up

Study name	Population	Intervention	Outcome
Sembrando Salud (Sowing the seeds of health)	-22 schools	-involves presentation of information, modeling and behavioural rehearsal	- intervention was not effective in reducing 30-day cigarette use
seeds of fleatill)	-average age of 13 years	and benavioural renearsal	30-day eigarette use
(Elder 2002)	-49% female	-focus on developing parental support for healthy decisions and behaviours of the adolescents through enhanced parent-child communication	
		-participants received three booster telephone calls lasting 5 to 10 minutes, at least one month and at 14 months after last group session	

# Project SixTeen (based on Project /Programs to Advance teen health (PATH)

Study name (if available)	Author year	Ref ID	Inclusion/exclusion	Comment
Project SixTeen (based on Project PATH	(Biglan et al. 2000)	442	Included	Main report, 4 year-follow-up
	(Elder et al. 1993a)	608	Included	1-year follow-up

Study name	Population	Intervention	Outcome
Project SixTeen (based on Project /Programs to Advance teen health (PATH)) (Biglan 2000)	- high schools -students in grade 7 and 9 (12 to 15 years) -48% female and 85% white	-social influence -school based intervention took place in intervention and control community schools -students in grade 6 to 12 received education over a 1 week period each year -community intervention only took place in intervention communities	- Net adjusted *decrease in smoking prevalence at:  1 yr: 4.5% SE 1.7% (p=0.022)  2 yr:1.8% SE 1.3% (p=0.212)  3 yr: 2.4% SE 1.3% (p=0.077)  4yr: 3.8% SE 1.6% (p=0.038)  - *NB smoking rates increased in both groups but net rates decreased due to bigger control group increases

#### Smoking and Me (SAM) Project

See Family Smoking Education project (Nutbeam et al 1993). Also the Smoking and Me project is a British version of the Minnesota smoking prevention programme, Murray et al (1992).

#### Social Influence (SI) program, with a decision making component (SIDM)

Study name (if available)	Author year	Ref ID	Inclusion/exclusion	Comment
SI with a decision making component (SI <sup>DM</sup> )	(Dijkstra et al. 1999)	478	Included	Main report, 18 months follow-up

	Population	Intervention	Outcome
Study name			
Social Influence (SI) program, with a decision making component (SI <sup>DM</sup> )	-52 schools - Students in grades 8 and 9	-social influence -schools randomized to either social influence	- SI program was effective in significantly lowering increase in smoking rate.
(Dijkstra 1999)	(13 to 15 years)	program, or social influence with additional decision-making component or a control group.	-At 12 months and 18months SI program was only effective when booster were included: 12 months: [SI+Booster vs. SI
		-programs consisted of five lessons, each lasting 45 minutes, give weekly in grades 8 and 9.	OR=0.44; 95% CI 0.30 to 0.65].  18 months: [SI+Booster vs. no treatment
		-half of the treatment schools were assigned to the booster condition while the other did not	OR=0.62; 95% CI 0.45 to 0.87].

# **Project SPORT**

Study name (if available)	Author year	Ref ID	Inclusion/exclusion	Comment
Project SPORT	(Werch et al. 2005)	275	Included	Main report, 1 year old, follow-up

Study name	Population	Intervention	Outcome
Project SPORT	-a suburban high school	- the prevention technologies and strategies are	- Youth who received SPORT, smoked less
(Werch 2005)	-students aged 12 to 13 years	based on the Integrative Behavior-Image Model (BIM),	frequently than control youth (F(1, 509)=8.72, p=0.003);
	-56% female and 51% white	-consisted of an in-person health behaviour screen, a one-on-one consultation, a take-home	
		fitness prescription targeting adolescent health promoting behaviours and alcohol use risk and	
		protective factors, and a flyer reinforcing key	

	content provided during the consultation mailed to the home	
		ı

# **Stopping them Starting**

Study name (if available)	Author year	Ref ID	Inclusion/exclusion	Comment
Stopping them Starting	(Gordon et al. 1997)	7355	Included	Main report, 6months follow-up

Study name	Population	Intervention	Outcome
Stopping them Starting (Gordon 1997)	-19 secondary schools - students in year 7 (11 to 12 years)	-provided educational material for schools and support of a health-promotion specialist to encourage community-based activities -remind retailers of their obligations with regard to under-age sales of cigarette	- No significant difference between intervention and control group after six months : odds of non-smoker starting smoking OR=1.04(0.78 to 1.40)

# **Strengthening Families Program (SFP)**

Study name (if available)	Author year	Ref ID	Inclusion/exclusion	Comment
The Iowa SFP (ISFP)/ Preparing for the Drug Free Years (PDFY)	(Spoth et al. 2001)	433, 8443	Included	Main report, 4-year follow-up. Compared ISFP to Preparing for the Drug Free Years (PDFY)
	(Guyll et al. 2004)	327	Included	Further analysis of 4-year follow-up data
	(Zuckerman 1991)	10662	Included	6-year follow-up

SFP + Life Skills Training (LST)	(Spoth et al. 2002)	405	Included	Main report, 1 year-follow-up

Study name	Population	Intervention	Outcome
The Iowa Strengthening Families Program (ISFP) (Spoth 2001)	-33 schools -students in 6 <sup>th</sup> grade (11 to 12 years) -55% female and 99% white	- PDFY- social development model: an integration of social control theory and social learning theory; ISFP - biopsychsocial model and other empirically based family risk and protective factor models (resiliency model and social ecology model of adolescent substance use)  -The Iowa Strengthening Families Program (ISFP) included seven sets of sessions offered once per week over a period of 7 consecutive weeks in the evenings. ISFP included separate, concurrent 1-hour training sessions for parents and children, followed by a joint 1-hour family session  -PDFY intervention was delivered in five 2-hour training sessions held on weekday evenings once per week for 5 consecutive weeks. Four sessions were for parents only; children and parents both attended a session focusing on peer-resistance skills. Fifteen 2-person group leader teams conducted 19 groups in the 11 PDFY-condition schools	-programme was effective -There were statistically significant slower overall growths in lifetime cigarette use among ISFP- (growth rate = -2.95, p<.01) and PDFY- (growth rate = -2.94, p<.01) condition adolescents relative to controls after six years of follow up.
Strengthening Families Program (SFP) + Life Skills Training (LST) (Spoth 2002)	-36 middle schools -students in seventh grade (12 to 13 years) -46.7% female and 96.8%	-SFP: based on biopsychosocial model and other empirically based family risk and protective factor models -LST: based on social learning and problem behaviour theory.	- No statistical significant results after 12-months follow-up
	white	- assignments to 1) LST + SFP 2) LST only 3) control condition	

## Student Helping Others Understand Tobacco (Project SHOUT)

Study name (if available)	Author year	Ref ID	Inclusion/exclusion	Comment
Project SHOUT	(Elder et al. 1993b)	607	Included	Main report, 3 year follow-up
	(Elder et al. 1994)	577	Included	Further analysis on a subset of sample population (high risks adolescents)
	(Eckhardt et al. 1997)	527 (9526)	Included	Further analysis based on continued, lapsed and delayed smoking prevention intervention

Study name	Population	Intervention	Outcome
Student Helping Others Understand Tobacco (Project SHOUT) (Elder 1993)	-22 junior high schools -students in grade 7 (12 years) -50% female and 57% white	- Social influence - six fall lessons (once a week) and four spring lessons (once a month) during the seventh grade year - eight lessons scheduled once a month in the eight grade	- Odds of past week tobacco use at final follow-up:  School level analysis: OR=0.69, ns  Individual level analysis: OR=0.75, p<0.05
		<ul> <li>tailored tobacco prevention message communicated by telephone/mail in the ninth grade</li> <li>a shortened version of the ninth grade was delivered in the 11th grade.</li> </ul>	In students receiving continued 11 <sup>th</sup> grade booster, prevalence of past month smoking vs. control: 7% vs. 12.6% (p<0.05) Vs those without 11 <sup>th</sup> grade booster: 7% vs. 10.8% (ns)

Television, School, and Family project (TVSFP)

Study name (if available)	Author year	Ref ID	Inclusion/exclusion	Comment
TVSFP	(Flay et al. 1995)	567	Included	Main report, 2-year-follow-up

Study name	Population	Intervention	Outcome
Television, School, and Family project (TVSFP) (Flay 1995)	-47 schools in Los Angeles and San Diego -students in grade seven (12 to 13 years) -50% female and 33.3% white	-social influence  -the three study factors are classroom curriculum, media intervention and site (San Diego or Los Angeles).  -study design investigates the relative effectiveness of social-resistance classroom curriculum, media intervention, health information based attention control curriculum, social resistance classroom curriculum combined with a mass-media intervention	-programme was effective up till 2 year follow in Los Angeles while it was only effective only at immediate post intervention in San Diego.  -Los Angeles; 2 years post test: N=1246; X²=14.5; df=1;p<0.00014)

#### PROJECT TOWARDS ~

Project Towards No Tobacco Use (Project TNT)	(Dent et al. 1995)	568	Included	Main report, 5-year follow-up
	(Sussman et al. 1993b)	602	Included	1-year-follow-up
	(Sussman et al. 1993a)	603	Included	Implementation, process and post-test knowledge evaluation
Towards No Drug Abuse – First Curriculum Version – Regular	(Dent et al. 2001)	431	Included	1-year follow-up

High School Trial (TND-1 RHS)				
Towards No Drug Abuse – Second Curriculum Version – Continuation High School Trial (TND-2 CHS)	(Sussman et al. 2003)	376, 8279	Included	2-year follow-up
	(Sussman et al. 2002a)	10666	Included	Development of self-instruction curriculum and immediate outcomes
	(Doll & HILL 1950)	10667	Included for information only	Quantitative evaluation of activities and selection of lessons (separately from the trial)
Project Towards No Drug Abuse (Project TND – First Curriculum Version – Continuation High School Trial (TND-1 CHS)	(Sun et al. 2006)	261, 8082	Included	5-year follow-up
	(Breslau & Peterson 1996)	10664	Included	1-year follow-up; only reported outcomes on violence-related behaviour
	(Sussman et al. 2002b)	4164, 8373	Included	Overview of findings of three TND trials (TND-1 CHS, TND-1 RHS, TND-2 CHS)
	(Dent et al. 1998)	506	Included	Implementation, process and immediate outcomes of the classroom curriculum.
	(Sussman et al. 1998)	510	Included	1-year follow-up
	(Sussman et al. 1997b)	10668	Included	Implementation and process evaluation of the school-as-community component

	(Sussman et al. 1997a)	10665	Included for information only	Development (activity selection) of the school-as-community component
	(Dent et al. 1996)	10663	Included for information only	Use of 'theme study' for curriculum development
	(Sussman et al. 1995)	10669	Included for information only	Interview of staff and students for developing the curriculum
Project Towards No Drug Use Abuse (TND 4)	(Sun et al. 2008)	789	Included	1-year-follow-up

Study name	Population	Intervention	Outcome
Project Towards No Tobacco Use	-48 junior high schools	-social influence program	Change in prevalence of weekly cigarette
(Project TNT) (Dent 1995)	- 7 <sup>th</sup> grade students (12 to 13 years)	-schools assigned to each of the 4 intervention conditions	use over 2 years was significant in the combined intervention group: 4% <0.05
	-50% female and 60% white	1) Normative social influence	
		2) Informational social influence	
		3) Misperceptions regarding physical consequences	
		4) A combination of the three interventions	
		- Curriculum were delivered to 7 <sup>th</sup> grade students	
		-Booster session with contents tied to the original curriculum delivered at 8th grade	

Towards no Drug Abuse- First Curriculum Version- Regular High School Trial. TND-1 RHS (Dent 2001)	-26 classes from 3 randomly selected general public senior high schools -Students in grades 9, 10 and 11 (14 to 17 years) -53% female and 34% white	Same as Sun 2006	No significant effect of the program on cigarette use.  F(1,24)=1.16, p=0.64)
Towards No Drug Abuse – Second Curriculum Version – Continuation High School Trial. TND-2 CHS (Sussman 2003)	-18 continuation (alternative) high schools in southern California - 14 to 19 years of age -46% female and 45% white	-Same as Sun 2006  In addition  - Three additional sessions were added to focus on marijuana use prevention, tobacco use cessation and providing additional material on self-control for drug abuse and violence prevention.  - Two treatment arm of efficacy condition (health educator-led classroom program) and a treatment effectiveness condition (health educator-assisted self-instruction program) with a standard care control program.	Efficacy condition arm significantly reduced the odds of smoking (OR=0.50; 95% CI 0.31 to 0.81), while the treatment effectiveness arm did not.
Project Towards No Drug Abuse (Project TND) - First Curriculum version- Continuation High School Trial. TND-1 CHS (Sun 2006)	-21 continuation (alternative) high school from Southern California 14 to 19 years of age -38% female and 37% white	- provides a health motivation-social-skills decision making approach to drug abuse prevention - School wide implementation of a Nine-session classroom based drug abuse prevention program, which consisted of three 50-min sessions per week for 3-consecutive weeks - The first trio session motivates to develop effective listening skills - The second trio session is on chemical dependency issues and alternative coping skills	- There were no statistically significant program effect at short-, middle-, and long (5 years)-term follow-up

		-The third trio sessions encourages making non-drug-use choices  - Two treatment arm (classroom only and classroom plus a semester-long school-ascommunity component) and a control arm (standard care).	
Project Towards No Drug Use Abuse (TND 4) (Sun 2008)	-18 high schools (regular and continuation) in South California -13 to 19 years of age -47.9% female and 18.2% white	-Each curriculum included 12 sessions  -Three arm trial: cognitive perception information curriculum, cognitive perception information+ behavioural skills curriculum or standard care control program.	The program failed to statistically reduce the prevalence of 30-day cigarette use:  Cognitive vs. control (OR=1.35, 0.93 to 1.95); combined vs. control (OR=0.91; 0.60 to 1.37); combined vs. cognitive (OR=0.68, 0.46 to 0.98)

#### Transtheoretical model

Study name (if available)	Author year	Ref ID	Inclusion/exclusion	Comment
TTM	(Aveyard et al. 2001)	437	Included	Updated result, 2 year follow-up
	(Aveyard et al. 1999)	477	Included	Main report, 1 year follow-up
	(Aveyard et al. 2003)	385	Included	Further analysis of 2 year follow-up data.
	(Markham et al. 2008)	987	Included	Further analysis of 2 year follow-up data to show the effect of higher examination pass and lower truancy rates on smoking.

Study name	Population	Intervention	Outcome

Transtheoretical model (TTM)	-52 schools in West	-social influence	-The intervention was not effective.
(Aveyard 2001)	midlands -students in year 9 (13 to 14 years) -49.7% female and 82.6% white	-All students received smoking related education as part of science at key stage 2 (ages 7-11) and key stage 4 (ages 14-16) controlled by the Government in England. In addition, all states schools provide personal, social and health education throughout all key stages.  -The intervention group in addition to the above received six sessions of two types: one computer session and one class lesson for each of the three	- Weekly smoking Year 1 OR = 1.16 (0.89 to 1.50); Year 2 OR = 1.07 (0.85 to 1.35)
		terms of year 9.	

## Waterloo Smoking Project

Study name (if available)	Author year	Ref ID	Inclusion/exclusion	Comment
Waterloo Smoking Project	(Cameron et al. 1999)	479	Included	Main report,
	(Sashegyi et al. 2000)	444	Included	Methodological paper demonstrating a generalised random effects regression model using the trial data
	(Santi et al. 1992)	10653	Included	Detailed methods (not yet assessed)

Study name	Population	Intervention	Outcome
Waterloo Smoking Project	-100 elementary schools	-social influence model	-Intervention effective only in the high risk
(Cameron 1999)	-students in grade 6, 7 and 8	-study compared program outcome as a function	schools.
	(11 to 14 years)	of 2 training methods: 1) an intensive workshop	-After two years, Intervention reduced
		and 2) self-directed learning kit for providers	smoking rates in high-risk schools (16% vs.
		the decima involved 2/may idea transcular care	26%)
		-the design involved 2(provider type: classroom	

type: workshop vs. self preparation) experimental study, with a fifth usual care control group	
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# Appendix 9 Numeric results from non-meta-analysed RCTs

Study	Numeric results
Abernathy 1992	<u>Males</u>
	6m: 87.9% vs 77.3% (X <sup>2</sup> =14.06, p<0.001)
	1.5y: 71.5% vs 63.8% (X <sup>2</sup> =5.07, p<0.05)
	2.5y:
	68.6% vs 59.6% (X <sup>2</sup> =6.36, p<0.05)
	<u>Females</u>
	6m: 78.9% vs 80.7% (X²<1, ns)
	1.5y: 60.7% vs 62.3% (X²<1, ns)
	2.5y: 50.1%vs 52.9% (X²<1, ns)
Armstrong 1990	(one-year follow-up)
	Girls: Control- 33.1%; teacher led-25.0%; Peer led- 23.2%
	Boys: Control- 29.4%; teacher led-15.4%; Peer led- 34.7%
	(Two-year follow-up)
	Girls: Control- 49.7%; teacher led-37.8%; Peer led- 37.8%
	Boys: Control- 33.5%; teacher led-25.9%; Peer led- 41.9%
Ary 1990	1-year covariate adjusted smoking rate among pre-test smoker:
	intervention vs. control (111.6 cigarettes vs. 76.6 cigarettes)
Ausems 2004	Post test 1
	Prevalence of pre-test never smoker becoming smoker:
	1) 27.4% (CI 15.4-39.4)
	2) 16.8% (CI 4.9-28.7)

<u> </u>	
	3) 17.5% (CI 8.1-26.9)
	Control: 24.0% (CI 6.8-41.1)
	Prevalence of pre-test smokers continuing:
	1) 34.6% (CI 18.8-48.3)
	2) 33.8% (CI 25.2-42.3)
	3) 26.8% (CI 7.1-36.6)
	Control: 29.9% (CI 14.4-45.4)
	Post test 2 (6 months)
	Prevalence of pre-test never smoker becoming smoker:
	1) 28.0% (CI 18.6-37.4)
	2) 25.0% (CI 13.6-36.6)
	3) 29.4% (CI 20.3-38.5)
	Control: 40.9% (CI 24.8-56.9)
	Prevalence of pre-test smokers continuing:
	1) 29.4% (CI 16.9-42.0)
	2) 37.0% (CI 21.3-52.6)
	3) 45.0% (CI 37.0-53.1)
	Control: 42.2% (CI 35.2-49.2)
	Post test 3 (12 months)
	Prevalence of pre-test never smoker becoming smoker:
	2) 27.2% (CI 8.8-53.5)
	3) 40.0% (CI 29.4-50.6)
	Control: 47.9% (CI 29.1-66.6)
	Prevalence of pre-test smokers continuing:
	2) 37.0% (CI 22.3-51.7)
	3) 40.4% (CI 34.0-46.9)
	Control: 46.9% (CI 33.7-60.1)
Biglan 2000	Net adjusted decrease in smoking prevalence at:
	1 yr: 4.5% SE 1.7% (p=0.022)
	2 yr:1.8% SE 1.3% (p=0.212)
	3 yr: 2.4% SE 1.3% (p=0.077)
	* '

	4yr: 3.8% SE 1.6% (p=0.038)
Botvin 1990a	Proportion smoking weekly at follow up (significance verses
	control):
	1) 0.22 (NS)
	2) 0.16 (NS)
	3) 0.05 (lower, p<0.005)
	4) 0.21 (NS)
	5) 0.16 (control
Botvin 1990b	Smoking index (p for intervention vs control).
	At 1 year:
	1) 1.97 (ns)
	2) 1.92 (ns)
	Control= 1.96
	At 3 years (±SE):
	1) 1.46±0.04 (p<0.001)
	2) 1.50±0.04 (p<0.01)
	Control= 1.63±0.03
Botvin 2001	Intervention vs control outcomes (±SE) at 1 year follow up.
	Smoking frequency index:
	1.73±0.04 vs 1.94±0.05 (p=0.012)
	Smoking quantity index:
	1.19±0.02 vs 1.32±0.02 (p=0.0001)
P 2001	•
Brown 2001	Percent less likely to try tobacco 2 years following the programme
	treatment (attended >11 activities vs. attended <11 activities) vs
	control (62.5% vs. 27.3%) vs 30%
Brown 2005	No significant differences were found in cigarette use growth rates
	between intervention and controls (variances = 0.576 and 0.048; SEs =
	0.306 and 0.041; ps > .05; for model Parts 1 and
	2, respectively).
Buller 2008a	Pre-test: Intervention vs. Control= 13.1% vs. 11.2%
	Post-test: Intervention vs. Control= 12.7%% vs. 14.3%

Buller 2008b	estimate=0.0247, p=0.122
Byrne 2005	interventions strategies were significantly better than control cohort
	in controlling overall smoking behaviour:
	1. control vs health: <i>X</i> <sup>2</sup> =13.21,df=1, p<.001
	2. Control vs fitness: X <sup>2</sup> =15.26,df=1, p<.001
	3. Control vs. social skills: <i>X</i> <sup>2</sup> =11.20,df=1, p<.001
Connell 2007	Nicotine abuse/dependence
	in treatment vs control:
	X <sup>2</sup> =3.09, p=ns
Dent 2001	Change in prevalence of weekly cigarette use over 1 year
	(significance vs control):
	1) 5.3% (ns)
	2) 3.2% (p<0.05)
	3) 2.6% (p<0.05)
	4) 2.0% (p<0.05)
	Control = 5.6%
Elder 2002	There was no statistically significant between intervention and
	control in reduction 30-day cigarette use from post-test to final
	follow-up (OR=0.87; 95% CI 0.66 to 1.14).
Ellickson 1993	Weekly smoking:
	Teen-leader effect vs. control= 8.3% vs. 9.7%
	Adult- only effect vs. control= 9.1% vs. 9.7%
Flay 1995	LOS ANGELES: Information based control (mean , SD)(19.68,6.41),
	control group (20.04, 6.70), social resistance (18.35,6.96), TV (20.30,
	6.44), TV+social resistance (19.39, 6.85). SAN DIEGO- control group
	(18.36, 6.04), social resistance(18.01, 6.15)
Gatta 1991	Sporadic education intervention has no impact in preventing
	cigarettes smoking in teenagers (RR=0.92; 95% CI 0.79 to 1.06)
Hansen & Graham 1991	Normative education classes had lower rates of ever smoking
	cigarettes (8.1% vs 10.3%, p=0.0311)

Jøsendal 1998	Prevalence of weekly smoking (adjusted odds ratios and 95% CIs for
	1 vs control)
	6 months: 1) 1.5%, 2) 1.1%, 3) 2.6%, Control= 3.1%
Kellam and Graham 1998	Boys in interventions group had a lower risk of starting to smoke
	than boys in control group (RR=0.62, 0.40 to 0.97, p=.04); no
	programme effect was observed among girls (RR=0.90, 0.57 to 1.42, p=.66)]
	p .co/j
Lynam 1999	frequency of past-month cigarette use (DARE status beta=0.101, ns)
Murray 1992	Self-reported weekly smoking Prevalence: MSPP;13.8, SFG;13,
	MDEG;11.6, EC;10.6 Incidence: MSPP;10.5, SFG;10.1, MDEG;9.5,
	EC;7.9
Perry 2003	current smoker - boys : school vs. control (ns); school+family vs.
	control (0.18 vs. 0.31, p=.02); school+family vs. school (ns); girls
	school vs. control (ns); school+family vs. control (ns); school+family
	vs. school (ns)
Piper 2000	The Intensive condition significantly reduced the likelihood of
	smoking in 10 <sup>th</sup> grade (3-year follow-up, beta (SE) = -0.38 (0.17);
	p<.05), but this effect did not reach statistically significance in 9th
	grade (3-year follow-up, beta (SE) = -0.30(0.17); p=ns).
Schofield 2003	There was no pre-post difference in the proportion of students who
	had smoked in the last week by experimental group (9.7% vs 10.0%
	increase in intervention and control groups, respectively)
Shean 1994	Peer-led intervention appeared to have preventive effect on taking
	up smoking by girls who were initially non-smokers (OR=0.50, 0.26
	to 0.98); while teacher-led was not effective (OR=0.53, 0.27 to 1.01)
	There was no effect of either intervention on boys who were initially
	non-smokers [(teacher led OR=0.87, 0.39 to 1.96), peer-led OR=1.10,
	0.53 to 2.28]
Simons-Morton 2005	There was additional decrease in the rate of smoking progression for
	the treatment group relative to the control group (added growth

	factor=-0.124, p <0.05)
Snow 1992	Students who had participated in the intervention had a lower mean use of tobacco after 2-year follow-up (F=2.87 (11073), p<0.09).
Spoth 2001	4-years follow-up
	New user proportions were significantly lower for ISFP (33 vs 50, p<.01) and PDFY (44 vs 50, p<.01) conditions adolescents than for control group adolescents for cigarette use
	6-years follow-up  There were statistically significant slower overall growths in lifetime cigarette use among ISFP- (growth rate = -2.95, p<.01) and PDFY- (growth rate = -2.94, p<.01) condition adolescents relative to controls.
Spoth 2002	There was no statistically significant difference in the percentage of users initiated between the post-test and follow-up 12 months later between interventions and control groups: school vs control (13.9% vs 16.7%, ns); school+family vs control (12.1% vs 16.7%, ns); school vs school+family (13.9% vs 12.1%, ns)
Storr 2002	Relative to control, a modest attenuation in the risk of smoking initiation was found for students assigned to either school-based (RR=0.57; 95% CI 0.34 to 0.96) or family based (RR=0.69; 95% CI 0.50 to 0.97) intervention classrooms.
Sun 2006	There were no statistically significant programme effect at short-, middle-, and long-term follow-up:  30-day cigarettes use  1. Year 1 follow-up: control (48.6) vs class (51.7) vs SAC (55.7)  2. Year 2 or 3 follow-up: control (53.2) vs class (55.1) vs SAC (53.5)  3. Year 4 or 5 follow-up: control (68.9) vs class (52.3) vs SAC (68.3)
Telch 1990	tape programme with peers (2.3%), tape programme without peers (9.5%), control 1 (11%), control 2 (11.1) p<0.001)
Werch 2005	Youth who received SPORT smoked less frequently than control youth (F(1, 509)=8.72, p=0.003); and were less advanced in their stage of initiation cigarette smoking (F(1,423)=12.39, p=0.000)
Winkleby 2004	Prevalence of regular smokers:

Baseline to post- intervention:
Intervention:
Before 25.1% (7.3)
After 21.3% (7.5)
Control:
Before 25.2% (5.5)
After 26.7% (4.8)
Net change -5.3% (p<0.001)