

## Otitis media with effusion in under 12s

NICE guideline: methods

*NICE guideline tbc*

*Methods*

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NICE*



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# 1 Development of the guideline

## 2 Remit

3 The National Institute for Health and Care Excellence (NICE) updated the following  
4 clinical guideline:

5 **Title:** Otitis media with effusion in under 12s: surgery.

6 Surgery was removed from the title of the guideline, because the scope of the update  
7 covers other topics as well as surgery.

## 8 What this guideline covers

### 9 **Groups that will be covered:**

10 All children under 12 years with suspected or confirmed otitis media with effusion  
11 (OME).

### 12 **Settings that will be covered:**

13 All settings where NHS-commissioned care is provided.

### 14 **Key areas that will be covered:**

- 15 1. Risk factors for OME.
- 16 2. Recognition of OME (to help identify when to refer for further investigation).
- 17 3. Natural history of OME (to help identify when intervention and follow-up is  
18 needed).
- 19 4. Interventions for children with OME.
- 20 5. Care during and after surgery.
- 21 6. Information for children, parents and carers.

## 22 What this guideline does not cover

- 23 1. Diagnosing or managing acute otitis media.
  - 24 – This is a different condition and is covered by the NICE guideline on  
25 antimicrobial prescribing for acute otitis media.
- 26 2. Specific methods of assessing hearing in children.
  - 27 – This is not specific to OME.

# 1 Methods

2 This guideline was developed using the methods described in the 2018 NICE  
3 guidelines manual. Declarations of interest were recorded according to the NICE  
4 conflicts of interest policy.

## 5 Developing the review questions and outcomes

6 The review questions developed for this guideline were based on the key areas  
7 identified in the guideline [scope](#). They were drafted by the NICE technical team, and  
8 refined and validated by the guideline committee.

9 The review questions were based on the following frameworks:

- 10 • population, intervention, comparator and outcome (PICO) for reviews of  
11 interventions and epidemiological reviews
- 12 • diagnostic reviews – using population, diagnostic test (index test), reference  
13 standard and target condition (PIRT)
- 14 • prognostic reviews – using population, presence or absence of a prognostic, risk  
15 or predictive factor and outcome (PPO)
- 16 • qualitative reviews – using population, phenomenon of interest and context (PICo)

17 Full literature searches, critical appraisals and evidence reviews were completed for  
18 all review questions.

19 The review questions and evidence reviews corresponding to each question (or  
20 group of questions) are summarised below.

21 **Table 1: Summary of review questions and index to evidence reviews**

Evidence review	Review question	Type of review
[A] Modifiable risk factors for developing OME in children	What are the modifiable risk factors for developing OME in children under 12 years?	Prognostic
[B] Presenting features associated with OME in children	What presenting features are associated with OME in children under 12 years?	Diagnostic
[C] Natural history of OME without hearing loss	What is the progression, resolution and recurrence (natural history) of OME without hearing loss at presentation in children under 12 years?	Epidemiological
[D] Natural history of OME-related hearing loss	What is the progression, resolution and recurrence (natural history) of OME-related hearing loss at presentation in children under 12 years?	Epidemiological

Evidence review	Review question	Type of review
[E] Ventilation tubes for children with OME	What is the effectiveness of ventilation tubes for managing otitis media with effusion (OME) with associated hearing loss in children under 12 years?	Intervention <sup>1</sup>
[F] Adenoidectomy for children with OME	What is the effectiveness of adenoidectomy (with or without ventilation tubes) for managing OME with associated hearing loss in children under 12 years?	Intervention
[G] Antibiotics for children with OME	What is the effectiveness of antibiotics for managing OME in children under 12 years?	Intervention
[H] Non-antimicrobial pharmacological interventions for children with OME	What is the effectiveness of non-antimicrobial pharmacological interventions (such as steroids, antihistamines, leukotriene receptor antagonists, mucolytics and decongestants) for managing OME in children under 12 years?	Intervention
[I] Auto-inflation for children with OME	What is the effectiveness of auto-inflation for managing OME with associated hearing loss in children under 12 years?	Intervention
[J] Hearing aids/devices for hearing loss associated with OME in children under 12 years <sup>1</sup>	What is the effectiveness of air conduction and bone conduction hearing aids/devices for hearing loss associated with OME in children under 12 years?	Intervention
[K] Intraoperative or postoperative interventions for preventing otorrhoea after surgery for hearing loss associated with OME in children	What intraoperative or postoperative interventions are effective at preventing otorrhoea (ear discharge) after surgery for otitis media with effusion (OME)-related hearing loss in children under 12 years?	Intervention
[L] Interventions for treating otorrhoea after surgery for hearing loss	What interventions are effective for treating otorrhoea (ear discharge) after surgery for otitis media with effusion (OME)-related hearing loss in children under 12 years?	Intervention

Evidence review	Review question	Type of review
associated with OME in children		
[M] Follow-up strategy after surgical treatment for OME-related hearing loss	What should the follow-up strategy be after surgical treatment for OME-related hearing loss in children under 12 years?	Intervention
[N] Information for suspected or confirmed OME	What information is valued by children under 12 years with suspected or confirmed otitis media with effusion (OME) and their parents and carers?	Qualitative

1 <sup>1</sup>Original health economic analysis conducted  
2 OME: otitis media with effusion

3 The COMET database was searched for core outcome sets relevant to this guideline  
4 and a core outcome set was identified (Liu 2020) which informed the protocols.

5 Additional information related to development of the guideline is contained in:

- 6 • Supplement 2 (NGA staff list)
- 7 • Supplement 3 (Previous guideline evidence related to Other non-surgical  
8 interventions).

## 9 Searching for evidence

### 10 Scoping search

11 During the scoping phase, searches were conducted for previous guidelines,  
12 economic evaluations, health technology assessments, systematic reviews,  
13 randomised controlled trials, observational studies and qualitative research.

### 14 Systematic literature search

15 Systematic literature searches were undertaken to identify published evidence  
16 relevant to each review question.

17 Databases were searched using subject headings, free-text terms and, where  
18 appropriate, study type filters. Where possible, searches were limited to retrieve  
19 studies published in English. Limits to exclude animal studies, letters, editorials, news  
20 and conferences were applied where possible. All the searches were conducted in  
21 the following databases: Medline, Cochrane Central Register of Controlled Trials  
22 (CENTRAL), Cochrane Database of Systematic Reviews (CDSR), Embase,  
23 Epistemonikos and International Network of Agencies for Health Technology  
24 Assessments (INAHTA) .

25 Searches were run once for all reviews during development. Searches for the  
26 following questions were updated in November 2022, 5 weeks in advance of the final  
27 committee meeting.

- 28 • [A] Modifiable risk factors for developing OME in children



- 1 • [J] Hearing aids/devices for hearing loss associated with OME in children under 12
  - 2 years
  - 3 • [K] Intraoperative or postoperative interventions for preventing otorrhoea after
  - 4 surgery for hearing loss associated with OME in children
  - 5 • [L] Interventions for treating otorrhoea after surgery for hearing loss associated
  - 6 with OME in children
  - 7 • [M] Follow-up strategy after surgical treatment for OME-related hearing loss
  - 8 • [N] Information for suspected or confirmed OME
- 9 Details of the search strategies, including the study-design filters used and
- 10 databases searched, are provided in Appendix B of each evidence review.

## 11 **Economic systematic literature search**

12 Systematic literature searches were also undertaken to identify published economic

13 evidence. Databases were searched using subject headings, free-text terms and,

14 where appropriate, an economic evaluations search filter.

15 A single search, using the population search terms used in the evidence reviews,

16 was conducted to identify economic evidence in the NHS Economic Evaluation

17 Database (NHS EED) and the International Network of Agencies for Health

18 Technology Assessments (INAHTA) database. Another single search, using the

19 population search terms used in the evidence reviews combined with an economic

20 evaluations search filter, was conducted in Medline, Cochrane Central Register of

21 Controlled Trials (CENTRAL), and Embase. Where possible, searches were limited

22 to studies published in English. Limits to exclude animal studies, letters, editorials,

23 news were applied where possible.

24 As with the general literature searches, the economic literature searches were

25 updated in November 2022, 5 weeks in advance of the final committee meeting

26 before consultation on the draft guideline.

27 Details of the search strategies, including the study-design filters used and

28 databases searched, are provided in Appendix B of each evidence review.

## 29 **Quality assurance**

30 Search strategies were quality assured by cross-checking reference lists of relevant

31 studies, analysing search strategies from published systematic reviews and asking

32 members of the committee to highlight key studies. The principal search strategies

33 for each search were also quality assured by a second information scientist using an

34 adaptation of the PRESS 2015 Guideline Evidence-Based Checklist

35 (McGowan 2016). In addition, all publications highlighted by stakeholders at the time

36 of the consultation on the draft scope were considered for inclusion.

## 37 **Reviewing research evidence**

### 38 **Systematic review process**

39 The evidence was reviewed in accordance with the following approach.

- 1 • Potentially relevant articles were identified from the search results for each review  
2 question by screening titles and abstracts. Full-text copies of the articles were  
3 then obtained.
- 4 • Full-text articles were reviewed against pre-specified inclusion and exclusion  
5 criteria in the review protocol (see Appendix A of each evidence review).
- 6 • Key information was extracted from each article on study methods and results, in  
7 accordance with factors specified in the review protocol. The information was  
8 presented in a summary table in the corresponding evidence review and in a more  
9 detailed evidence table (see Appendix D of each evidence review).
- 10 • Included studies were critically appraised using an appropriate checklist as  
11 specified in [Developing NICE guidelines: the manual](#). Further detail on appraisal  
12 of the evidence is provided below.
- 13 • Summaries of quantitative evidence by outcome and qualitative evidence by  
14 theme were presented in the corresponding evidence review and discussed by the  
15 committee.
- 16 Internal quality assurance processes included consideration of the outcomes of  
17 screening, study selection and data extraction and the committee reviewed the  
18 results of study selection and data extraction. The review protocol for each question  
19 specifies whether dual screening and study selection was undertaken for that  
20 particular question. Drafts of all evidence reviews were quality assured by a senior  
21 reviewer.

## 22 **Type of studies and inclusion/exclusion criteria**

23 Inclusion and exclusion of studies was based on criteria specified in the  
24 corresponding review protocol. If at least 75% of the population of a study matched  
25 the population specified in the protocol, the evidence was considered to be from a  
26 directly relevant population. If less than 50% of the population of a study matched the  
27 population specified in the protocol and data was not presented separately for the  
28 relevant population, the study was excluded.

29 Systematic reviews with meta-analyses or meta-syntheses were considered to be the  
30 highest quality evidence that could be selected for inclusion.

31 For intervention reviews, systematic reviews of randomised controlled trials (RCTs)  
32 and primary RCTs were prioritised for inclusion because they are considered to be  
33 the most robust type of study design that could produce an unbiased estimate of  
34 intervention effects. Where there was insufficient evidence from RCTs to inform  
35 guideline decision making, non-randomised studies (NRS) were considered for  
36 inclusion. Sufficiency was judged taking into account the number, quality and sample  
37 size of RCTs, as well as outcomes reported and availability of data from subgroups  
38 of interest. When NRS were considered for inclusion, priority was given to controlled  
39 studies, with separate control groups that were not allocated on the basis of the  
40 outcome, that adjusted for relevant confounders or matched participants on important  
41 confounding domains.

42 For epidemiological reviews, observational studies (non-comparative studies or  
43 untreated control arms from comparative studies) were prioritised for inclusion  
44 because they are less likely than experimental studies to have strict eligibility criteria  
45 that could restrict the population of interest. Where there was insufficient evidence  
46 from observational studies to inform guideline decision making, untreated control

1 arms from experimental studies were considered for inclusion; where there was  
2 insufficient evidence from observational and experimental studies, case series were  
3 considered for inclusion. Sufficiency was judged taking into account outcomes  
4 reported and availability of data from subgroups of interest.

5 For diagnostic reviews, cross-sectional diagnostic accuracy studies were prioritised  
6 for inclusion. Studies that used single-gate designs were prioritised.

7 For prognostic reviews, prospective and retrospective cohort studies were  
8 considered for inclusion. Studies that included multivariable analysis were prioritised.

9 For qualitative reviews, systematic reviews of qualitative studies and primary  
10 qualitative studies using focus groups, structured interviews or semi-structured  
11 interviews, observations or surveys with open-ended questions were considered for  
12 inclusion. Where qualitative evidence was sought, data from surveys or other types  
13 of questionnaires were considered for inclusion only if they provided data from open-  
14 ended questions, but not if they reported only quantitative data.

15 The committee was consulted about any uncertainty regarding inclusion or exclusion  
16 of studies. A list of excluded studies for each review question, including reasons for  
17 exclusion is presented in Appendix J of the corresponding evidence review.

18 Narrative reviews, posters, letters, editorials, comment articles, unpublished studies  
19 and studies published in languages other than English were only included in the  
20 reviews done by Cochrane. Conference abstracts were not considered for inclusion  
21 because conference abstracts typically do not have sufficient information to allow for  
22 full critical appraisal.

## 23 **Methods of combining evidence**

24 When planning reviews (through preparation of protocols), the following approaches  
25 for data synthesis were discussed and agreed with the committee.

### 26 **Data synthesis for intervention studies**

#### 27 ***Pairwise meta-analysis***

28 Meta-analysis to pool results from comparative intervention studies was conducted  
29 where possible using Cochrane Review Manager (RevMan5) software.

30 For dichotomous outcomes, such as mortality, the Mantel–Haenszel method with a  
31 fixed effect model was used to calculate risk ratios (RRs). For all outcomes with zero  
32 events in both arms the risk difference was presented. For outcomes in which the  
33 majority of studies had low event rates (<1%), Peto odds ratios (ORs) were  
34 calculated as this method performs well when events are rare (Bradburn 2007).

35 For continuous outcomes, measures of central tendency (mean) and variation  
36 (standard deviation; SD) are required for meta-analysis. Data for continuous  
37 outcomes, such as quality of life, were meta-analysed using an inverse-variance  
38 method for pooling weighted mean differences (WMDs). Where SDs were not  
39 reported for each intervention group, the standard error (SE) of the mean difference  
40 was calculated from other reported statistics (p values or 95% confidence intervals;  
41 CIs) and then meta-analysis was conducted as described above.

1 If a study reported only the summary statistic and 95% CI the generic-inverse  
2 variance method was used to enter data into RevMan5. If the control event rate was  
3 reported this was used to generate the absolute risk difference in GRADEpro. Where  
4 a study reported multiple adjusted estimates for the same outcome, the one that  
5 minimised the risk of bias due to confounding was chosen.

6 When evidence was based on studies that reported descriptive data or medians with  
7 interquartile ranges or p values, this information was included in the corresponding  
8 GRADE tables (see below) without calculating relative or absolute effects.  
9 Consequently, certain aspects of quality assessment such as imprecision of the  
10 effect estimate could not be assessed as per standard methods for this type of  
11 evidence and subjective ratings or ratings based on sample size cut-offs were  
12 considered instead.

13 For some reviews, evidence was either stratified from the outset or separated into  
14 subgroups when heterogeneity was encountered. The stratifications and potential  
15 subgroups were pre-defined at the protocol stage (see the protocols for each review  
16 for further detail). Where evidence was stratified or subgrouped the committee  
17 considered on a case by case basis if separate recommendations should be made  
18 for distinct groups. Separate recommendations may be made where there is  
19 evidence of a differential effect of interventions in distinct groups. If there is a lack of  
20 evidence in one group, the committee considered, based on their experience,  
21 whether it was reasonable to extrapolate and assume the interventions will have  
22 similar effects in that group compared with others.

23 Data from RCTs and NRS, or from NRS with substantially different designs (i.e.,  
24 cohort studies and case-control studies), that were theoretically possible to pool were  
25 entered into RevMan5 as subgroups based on study design. This was to take into  
26 account the likelihood of increased heterogeneity from studies with different design  
27 features and different approaches to appraising the quality of evidence based on  
28 study design (see appraising the quality of evidence: intervention studies below).

29 ***When meta-analysis was undertaken, the results were presented visually using***  
30 ***forest plots generated using RevMan5 (see Appendix E of relevant evidence***  
31 ***reviews).Included Cochrane Reviews***

32 During the development of this guideline, 5 registered Cochrane protocols were  
33 identified which matched the committee's intended review questions:

- 34 • [E] Ventilation tubes for children with OME
- 35 • [F] Adenoidectomy for children with OME
- 36 • [G] Antibiotics for children with OME
- 37 • [H] Non-antimicrobial pharmacological interventions for children with OME
- 38 • [I] Auto-inflation for children with OME.

39 The Cochrane review team completed 5 reviews investigating the effectiveness of  
40 ventilation tubes (MacKeith 2023a), adenoidectomy (MacKeith 2023b), antibiotics  
41 (Mulvaney 2023a), steroids (Mulvaney 2023b), and auto-inflation (Webster 2023) for  
42 children with OME during guideline development and presented their results to the  
43 guideline committee, who used them to make recommendations.

44 Cochrane's methods are closely aligned to standard NICE methods, minor deviations  
45 (the use of GRADE only on main outcomes, summary of findings tables instead of full

1 GRADE tables, defining primary and secondary outcomes as opposed to critical and  
2 important, assessing the risk of bias in primary studies using version 1 (as opposed  
3 to version 2) of the Cochrane Risk of Bias tool (Higgins 2011), how clinically  
4 important differences are determined, and including countries from a broader range  
5 of income categories than the majority of the other reviews in the guideline) relevant  
6 to the topic area were highlighted to the committee and taken into account in  
7 discussions of the evidence. Full details of the Cochrane review, including methods,  
8 are available in the above reviews.

## 9 **Data synthesis for epidemiological reviews**

### 10 ***Proportion data***

11 Meta-analysis to pool proportion data was conducted where possible using the  
12 metafor package in R (Viechtbauer 2010), which allows meta-analysing of data from  
13 single group studies.

14 Evidence was stratified based on certain parameters at the outset and further  
15 separated into subgroups when heterogeneity was encountered. The stratifications  
16 and potential subgroups were pre-defined at the protocol stage (see the protocols for  
17 each review for further detail). Where evidence was stratified or subgrouped the  
18 committee considered on a case by case basis if separate recommendations should  
19 be made for distinct groups. Separate recommendations may be made where there  
20 is evidence of differences in natural history in distinct groups. If there is a lack of  
21 evidence in one group, the committee considered, based on their experience,  
22 whether it was reasonable to extrapolate and assume the natural history will be  
23 similar in that group compared with others.

24 When meta-analysis was undertaken, the results were presented visually using forest  
25 plots generated using R (see Appendix E of relevant evidence reviews).

### 26 ***Time-to-event data***

27 The intention was to pool time-to-event data and present the results as summary  
28 survival curves using the metaSurvival package in R (Pandey 2020). However, there  
29 was insufficient time-to-event data available to generate summary survival curves.  
30 Therefore, such data were converted to proportion data to allow for direct  
31 comparison, and where applicable pooling, with the proportion data.

## 32 **Data synthesis for diagnostic test accuracy reviews**

33 When diagnostic test accuracy was measured dichotomously, sensitivity, specificity,  
34 and positive and negative predictive values were used as outcomes. Where possible,  
35 diagnostic accuracy parameters were calculated by the NICE technical team using  
36 data from 2x2 tables reported in the articles; alternatively, parameters were obtained  
37 directly from results reported in the source articles. For sensitivity and specificity,  
38 95% CIs were reported.

39 No meta-analyses of diagnostic test accuracy data were possible due to insufficient  
40 similarities in index tests, populations and reference standards across studies.

## 1 Data synthesis for prognostic reviews

2 ORs or RRs with 95% CIs reported in published studies were extracted or calculated  
3 by the NICE technical team to examine relationships between risk factors and  
4 outcomes of interest. Ideally analyses would have adjusted for key confounders  
5 (such as age or severity of hearing loss) to be considered for inclusion, but studies  
6 with unadjusted analyses were included if data from adjusted analyses was  
7 insufficient for guideline decision making.

8 No meta-analyses of prognostic data were possible due to variation across studies in  
9 terms of risk factors and outcomes.

## 10 Data synthesis for qualitative reviews

11 Where possible, a meta-synthesis was conducted to combine evidence from more  
12 than one study into a theme or sub-theme. Whenever studies identified a qualitative  
13 theme relevant to the protocol, this was extracted and the main characteristics were  
14 summarised. When all themes had been extracted from studies, common concepts  
15 were categorised and tabulated. This included information on how many studies had  
16 contributed to each theme identified by the NICE technical team.

17 The technical team were guided in their data extraction, synthesis and formulation of  
18 review findings, or themes, by a framework of phenomena developed by the  
19 guideline committee. This framework consisted of the themes that the committee  
20 anticipated would be covered by the included studies and these were set out a priori  
21 in the corresponding review protocol. Themes identified from the included studies,  
22 which were not set out in the protocol but which were considered relevant to  
23 answering the review question, were also extracted

24 Themes from individual studies were integrated into a wider context and, when  
25 possible, overarching categories of themes with sub-themes were identified. Themes  
26 were derived from data presented in individual studies and sub-theme and theme  
27 names were assigned by the NICE technical team.

28 Emerging themes were placed into a thematic map representing the relationship  
29 between themes and overarching categories. The purpose of such a map is to show  
30 relationships between overarching categories and associated themes.

## 31 Appraising the quality of evidence

### 32 Intervention studies

#### 33 *Pairwise meta-analysis*

#### 34 **GRADE methodology for intervention reviews**

35 For intervention reviews, the evidence for outcomes from included RCTs and  
36 comparative non-randomised studies was evaluated and presented using the  
37 Grading of Recommendations Assessment, Development and Evaluation (GRADE)  
38 methodology developed by the international GRADE working group.

39 When GRADE was applied, software developed by the GRADE working group  
40 (GRADEpro) was used to assess the quality of each outcome, taking account of

1 individual study quality factors and any meta-analysis results. Results were  
2 presented in GRADE profiles (GRADE tables).

3 The selection of outcomes for each review question was agreed during development  
4 of the associated review protocol in discussion with the committee. The evidence for  
5 each outcome was examined separately for the quality elements summarised in  
6 Table 2. Criteria considered in the rating of these elements are discussed below.  
7 Each element was graded using the quality ratings summarised in Table 3. Footnotes  
8 to GRADE tables were used to record reasons for grading a particular quality  
9 element as having a 'serious' or 'very serious' quality issue. The ratings for each  
10 component were combined to obtain an overall assessment of quality for each  
11 outcome as described in Table 4.

12 The initial quality rating was based on the study design: RCTs and NRS assessed by  
13 ROBINS-I start as 'high' quality evidence, other non-randomised studies start as 'low'  
14 quality evidence. The rating was then modified according to the assessment of each  
15 quality element (Table 2). Each quality element considered to have a 'serious' or  
16 'very serious' quality issue was downgraded by 1 or 2 levels respectively (for  
17 example, evidence starting as 'high' quality was downgraded to 'moderate' or 'low'  
18 quality). In addition, there was a possibility to upgrade evidence from non-  
19 randomised studies (provided the evidence for that outcome had not previously been  
20 downgraded) if there was a large magnitude of effect, a dose–response gradient, or if  
21 all plausible confounding would reduce a demonstrated effect or suggest a spurious  
22 effect when results showed no effect.

23 **Table 2: Summary of quality elements in GRADE for intervention reviews**

Quality element	Description
Risk of bias ('Study limitations')	This refers to limitations in study design or implementation that reduce the internal validity of the evidence
Inconsistency	This refers to unexplained heterogeneity in the results
Indirectness	This refers to differences in study populations, interventions, comparators or outcomes between the available evidence and inclusion criteria specified in the review protocol
Imprecision	This occurs when a study has few participants or few events of interest, resulting in wide confidence intervals that cross minimally important thresholds
Publication bias	This refers to systematic under- or over-estimation of the underlying benefit or harm resulting from selective publication of study results

24 **Table 3: GRADE quality ratings (by quality element)**

Quality issues	Description
None or not serious	No serious issues with the evidence for the quality element under consideration
Serious	Issues with the evidence sufficient to downgrade by 1 level for the quality element under consideration
Very serious	Issues with the evidence sufficient to downgrade by 2 levels for the quality element under consideration

1 **Table 4: Overall quality of the evidence in GRADE (by outcome)**

Overall quality grading	Description
High	Further research is very unlikely to change the level of confidence in the estimate of effect
Moderate	Further research is likely to have an important impact on the level of confidence in the estimate of effect and may change the estimate
Low	Further research is very likely to have an important impact on the level of confidence in the estimate of effect and is likely to change the estimate
Very low	The estimate of effect is very uncertain

2 *Assessing risk of bias in intervention reviews*

3 Bias is a systematic error, or consistent deviation from the truth in results obtained.  
4 When a risk of bias is present the true effect can be either under- or over-estimated.

5 Risk of bias in RCTs was assessed using the Cochrane risk of bias 2.0 tool (see  
6 Appendix H in Developing NICE guidelines: the manual).

7 The Cochrane risk of bias tool assesses the following possible sources of bias:

- 8 • randomisation process
- 9 • deviations from the intended interventions
- 10 • missing outcome data
- 11 • measurement of the outcome
- 12 • selection of the reported result.

13 A study with a poor methodological design does not automatically imply high risk of  
14 bias; the bias is considered individually for each outcome and it is assessed whether  
15 the chosen design and methodology will impact on the estimation of the intervention  
16 effect.

17 More details about the Cochrane risk of bias 2.0 tool can be found in Section 8 of the  
18 Cochrane Handbook for Systematic Reviews of Interventions (Higgins 2011).

19 For systematic reviews the ROBIS checklist was used (see Appendix H in  
20 Developing NICE guidelines: the manual).

21 For non-randomised controlled studies, cohort studies or historical controlled studies  
22 the ROBINS-I checklist was used (see Appendix H in Developing NICE guidelines:  
23 the manual). Assessing inconsistency in intervention reviews

24 Inconsistency refers to unexplained heterogeneity in results of meta-analysis. When  
25 estimates of treatment effect vary widely across studies (that is, there is  
26 heterogeneity or variability in results), this suggests true differences in underlying  
27 effects. Inconsistency is, thus, only truly applicable when statistical meta-analysis is  
28 conducted (that is, results from different studies are pooled). When outcomes were  
29 derived from a single study the rating 'no serious inconsistency' was used when  
30 assessing this domain, as per GRADE methodology (Santesso 2016).

31 Inconsistency was assessed visually by inspecting forest plots and observing  
32 whether there was considerable heterogeneity in the results of the meta-analysis (for



1 example if the point estimates of the individual studies consistently showed benefits  
2 or harms). This was supported by calculating the I-squared statistic for the meta-  
3 analysis with an I-squared value of more than 50% indicating serious heterogeneity,  
4 and more than 80% indicating very serious heterogeneity. When serious or very  
5 serious heterogeneity was observed, possible reasons were explored and subgroup  
6 analyses were performed as pre-specified in the review protocol where possible.

7 When no plausible explanation for the serious or very serious heterogeneity could be  
8 found, the quality of the evidence was downgraded in GRADE for inconsistency and  
9 the meta-analysis was re-run using the Der-Simonian and Laird method with a  
10 random effects model and this was used for the final analysis.

### 11 *Assessing indirectness in intervention reviews*

12 Directness refers to the extent to which populations, interventions, comparisons and  
13 outcomes reported in the evidence are similar to those defined in the inclusion  
14 criteria for the review and was assessed by comparing the PICO elements in the  
15 studies to the PICO defined in the review protocol. Indirectness is important when  
16 such differences are expected to contribute to a difference in effect size, or may  
17 affect the balance of benefits and harms considered for an intervention. Evidence  
18 was considered seriously indirect if 25% to 50% of the evidence for an outcome  
19 differed from one of the PICO elements of the protocol and very seriously indirect if  
20 more than 50% of the evidence for an outcome differed on one of the PICO elements  
21 from the protocol or if 25% to 50% of the evidence for an outcome differed on two or  
22 more of the PICO elements from the protocol.

### 23 *Assessing imprecision and importance in intervention reviews*

24 Imprecision in GRADE methodology refers to uncertainty around the effect estimate  
25 and whether or not there is an important difference between interventions (that is,  
26 whether the evidence clearly supports a particular recommendation or appears to be  
27 consistent with several candidate recommendations). Therefore, imprecision differs  
28 from other aspects of evidence quality because it is not concerned with whether the  
29 point estimate is accurate or correct (has internal or external validity). Instead, it is  
30 concerned with uncertainty about what the point estimate actually represents. This  
31 uncertainty is reflected in the width of the CI.

32 The 95% CI is defined as the range of values within which the population value will  
33 fall on 95% of repeated samples, were the procedure to be repeated. The larger the  
34 study, the smaller the 95% CI will be and the more certain the effect estimate.

35 Imprecision was assessed in the guideline evidence reviews by considering whether  
36 the width of the 95% CI of the effect estimate was relevant to decision making,  
37 considering each outcome independently. This is illustrated in Figure 1, which  
38 considers a positive outcome for the comparison of two treatments. Three decision-  
39 making zones can be differentiated, bounded by the thresholds for minimal  
40 importance (minimally important differences; MIDs) for benefit and harm.

41 When the CI of the effect estimate is wholly contained in 1 of the 3 zones there is no  
42 uncertainty about the size and direction of effect, therefore, the effect estimate is  
43 considered precise; that is, there is no imprecision.

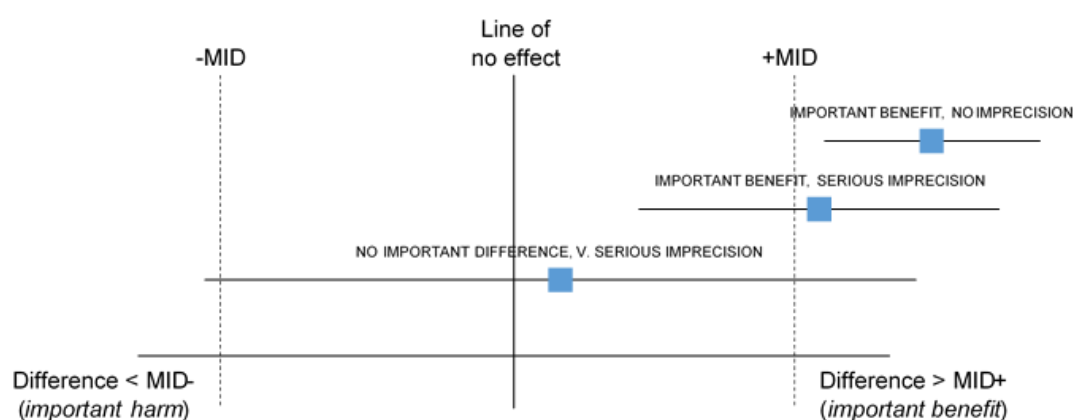
44 When the CI crosses 2 zones, it is uncertain in which zone the true value of the effect  
45 estimate lies and therefore there is uncertainty over which decision to make. The CI

1 is consistent with 2 possible decisions, therefore, the effect estimate is considered to  
 2 be imprecise in the GRADE analysis and the evidence is downgraded by 1 level  
 3 ('serious imprecision').

4 When the CI crosses all 3 zones, the effect estimate is considered to be very  
 5 imprecise because the CI is consistent with 3 possible decisions and there is  
 6 therefore a considerable lack of confidence in the results. The evidence is therefore  
 7 downgraded by 2 levels in the GRADE analysis ('very serious imprecision').

8 Implicitly, assessing whether a CI is in, or partially in, an important zone, requires the  
 9 guideline committee to estimate an MID or to say whether they would make different  
 10 decisions for the 2 confidence limits.

11 **Figure 1: Assessment of imprecision and importance in intervention reviews**  
 12 **using GRADE**



13

14 *MID, minimally important difference*

### 15 *Defining minimally important differences for intervention reviews*

16 The committee was asked whether there were any recognised or acceptable MIDs in  
 17 the published literature and community relevant to the review questions under  
 18 consideration. The committee was not aware of any MIDs that could be used for the  
 19 guideline.

20 In the absence of published or accepted MIDs, the committee agreed to use the  
 21 GRADE default MIDs to assess imprecision. For dichotomous outcomes minimally  
 22 important thresholds for a RR of 0.8 and 1.25 respectively were used as default MIDs  
 23 in the guideline. The committee also chose to use 0.8 and 1.25 as the MIDs for ORs  
 24 in the absence of published or accepted MIDs. ORs were predominantly used in the  
 25 guideline when Peto OR were indicated due to low event rates, at low event rates OR  
 26 are mathematically similar to RR making the extrapolation appropriate.

27 If risk difference was used for meta-analysis, for example if the majority of studies  
 28 had zero events in either arm, imprecision was assessed based on sample size using  
 29 200 and 400 as cut-offs for very serious and serious imprecision respectively. The  
 30 committee used these numbers based on commonly used optimal information size  
 31 thresholds.

1 The same thresholds were used as default MIDs in the guideline for all dichotomous  
2 outcomes considered in intervention evidence reviews. For continuous outcomes  
3 default MIDs are equal to half the SD of the control group at baseline (or at follow-up  
4 if the SD is not available a baseline).

5 MIDs, the line of no effect, and both 95% and 90% confidence intervals (CIs) were  
6 used to assess whether there were important differences in outcomes between  
7 groups. Outcomes were considered to have an important benefit/ harm, possible  
8 important benefit/ harm, no evidence of an important difference, or no important  
9 difference using the following approach:

- 10 • Where the point estimate (PE) is greater than the upper MID and the 95% CI  
11 do not cross line of no effect, an intervention was described as having an  
12 important benefit
- 13 • Where the PE is greater than the upper MID and the 95% CI do cross the line  
14 of no effect, but the 90% CI do not, an intervention was described as having a  
15 possible important benefit
- 16 • Where the PE is greater than the upper MID **or** lower than the lower MID, and  
17 the 90% CI cross the line of no effect, the result was described as no  
18 evidence of an important difference
- 19 • Where the PE is between two MIDs, the result was described as no important  
20 difference
- 21 • Where the PE is lower than the lower MID and the 95% CI do cross the line of  
22 no effect, but the 90% CI do not, an intervention is described as having a  
23 possible important harm
- 24 • Where the PE is lower than the lower MID and the 95% CI do not cross line of  
25 no effect, an intervention was described as having an important harm.

26 This approach was used for all evidence reviews which informed decision making on  
27 the guideline, including when interpreting results from evidence reviews conducted  
28 by the Cochrane Collaboration. Please note that the above descriptions are based on  
29 positive outcomes (where high values indicate better outcomes or events are  
30 positive). If the outcomes were negative (where high values indicate worse outcomes  
31 or events are negative) then whether an intervention is considered to have an  
32 important benefit or important harm would be switched (for example, where the PE is  
33 greater than the upper MID and the 95% CI do not cross line of no effect, an  
34 intervention would be described as having an important harm; where the PE is lower  
35 than the lower MID and the 95% CI do not cross line of no effect, an intervention  
36 would be described as having an important benefit).

37 90% CI are reported in the summary of the evidence section of the evidence reviews  
38 only when they were used to determine a possible importance difference (that is,  
39 when interventions had a possible important benefit/ harm).

#### 40 *Assessing publication bias in intervention reviews*

41 Where 10 or more studies were included as part of a single meta-analysis, a funnel  
42 plot was produced to graphically assess the potential for publication bias. Where  
43 fewer than 10 studies were included for an outcome, the committee subjectively  
44 assessed the likelihood of publication bias based on factors such as the proportion of  
45 trials funded by industry and the propensity for publication bias in the topic area.

## 1 Epidemiological studies

### 2 *Adapted GRADE methodology for prevalence reviews*

3 For prevalence reviews with evidence from comparative studies an adapted GRADE  
4 approach was used. As noted above, GRADE methodology is designed for  
5 intervention reviews, but the quality assessment elements were adapted for  
6 epidemiological reviews.

7 The evidence for each outcome in the epidemiological reviews was examined  
8 separately for the quality elements listed and defined in Table 6. The criteria  
9 considered in the rating of these elements are discussed below. Each element was  
10 graded using the quality levels summarised in Table 3. Footnotes to GRADE tables  
11 were used to record reasons for grading a particular quality element as having  
12 'serious' or 'very serious' quality issues. The ratings for each component were  
13 combined to obtain an overall assessment of quality for each outcome as described  
14 in Table 4.

### 15 **Table 5: Adaptation of GRADE quality elements for epidemiological reviews**

Quality element	Description
Risk of bias ('Study limitations')	Limitations in study design and implementation may bias estimates and interpretation of the effect of the prognostic/risk factor. High risk of bias for the majority of the evidence reduces confidence in the estimated effect. Epidemiological studies are not usually randomised and therefore would not be downgraded for study design from the outset (they start as high quality)
Inconsistency	This refers to unexplained heterogeneity between studies looking at the same outcome, resulting in wide variability in the epidemiological estimates, with little or no overlap in confidence intervals
Indirectness	This refers to any departure from inclusion criteria listed in the review protocol (such as differences in study populations or measurement of outcomes), that may affect the generalisability of results
Imprecision	This occurs when a study has relatively few participants or events of interest, resulting in wide confidence intervals

### 16 *Assessing risk of bias in prevalence reviews*

17 The Joanna Briggs Institute (JBI) checklist for prevalence studies developed by Munn  
18 2015 was used to assess risk of bias in studies included in epidemiological reviews  
19 (see Appendix H in the Developing NICE guidelines: the manual). The risk of bias in  
20 each study was determined by assessing the following domains:

- 21 • appropriate sample frame
- 22 • appropriate participant sampling
- 23 • adequate sample size
- 24 • detailed description of study subjects and setting
- 25 • sufficient coverage of identified sample
- 26 • valid methods for identifying the condition
- 27 • standardised and reliable measurement of the condition

- 1 • appropriate statistical analysis
- 2 • adequate response rate.

### 3 *Assessing inconsistency in prevalence reviews*

4 Where multiple results were deemed appropriate to meta-analyse (that is, there was  
5 sufficient similarity between populations, outcome under investigations and unit of  
6 analysis) inconsistency was assessed by visually inspecting forest plots and  
7 observing whether there was considerable heterogeneity in the results of the meta-  
8 analysis. This was assessed by calculating the I-squared statistic for the meta-  
9 analysis with an I-squared value of more than 50% indicating serious heterogeneity,  
10 and more than 80% indicating very serious heterogeneity. When serious or very  
11 serious heterogeneity was observed, possible reasons were explored and subgroup  
12 analyses were performed as pre-specified in the review protocol where possible.

13 When no plausible explanation for the heterogeneity could be found, the quality of  
14 the evidence was downgraded in GRADE for inconsistency.

### 15 *Assessing indirectness in prevalence reviews*

16 Indirectness in prevalence reviews was assessed by comparing the populations,  
17 prognostic factors and outcomes in the evidence to those defined in the review  
18 protocol. Evidence was considered seriously indirect if 25% to 50% of the evidence  
19 for an outcome differed from one of the elements of the protocol and very seriously  
20 indirect if more than 50% of the evidence for an outcome differed on one of the  
21 elements from the protocol or if 25% to 50% of the evidence for an outcome differed  
22 on two or more of the elements from the protocol.

### 23 *Assessing imprecision and importance in prevalence reviews*

24 Minimally important differences are not appropriate for non-comparative data.  
25 Therefore, imprecision was judged based on optimal information size criteria.  
26 Evidence was considered seriously imprecise if there were less than 300 events,  
27 based on the rule-of-thumb specified in version 3.2 of the GRADE handbook  
28 (Schünemann 2009), and very seriously imprecise if there were less than 150 events.  
29 The threshold for very serious imprecision was a pragmatic decision, in the absence  
30 of a rule-of-thumb being available, based on the fact that this is half the number  
31 required for serious imprecision, which would be consistent with approach suggested  
32 for continuous outcomes.

33 The importance of outcomes was assessed qualitatively during committee  
34 discussions and documented in the committee's discussion and interpretation of the  
35 evidence.

## 36 **Prognostic studies**

### 37 *Adapted GRADE methodology for prognostic reviews*

38 For prognostic reviews with evidence from comparative studies an adapted GRADE  
39 approach was used. As noted above, GRADE methodology is designed for  
40 intervention reviews but the quality assessment elements were adapted for  
41 prognostic reviews.

1 The evidence for each outcome in the prognostic reviews was examined separately  
 2 for the quality elements listed and defined in Table 6. The criteria considered in the  
 3 rating of these elements are discussed below. Each element was graded using the  
 4 quality levels summarised in Table 3. Footnotes to GRADE tables were used to  
 5 record reasons for grading a particular quality element as having 'serious' or 'very  
 6 serious' quality issues. The ratings for each component were combined to obtain an  
 7 overall assessment of quality for each outcome as described in Table 4.

8 **Table 6: Adaptation of GRADE quality elements for prognostic reviews**

Quality element	Description
Risk of bias ('Study limitations')	Limitations in study design and implementation may bias estimates and interpretation of the effect of the prognostic/risk factor. High risk of bias for the majority of the evidence reduces confidence in the estimated effect.
Inconsistency	This refers to unexplained heterogeneity between studies looking at the same prognostic/risk factor, resulting in wide variability in estimates of association (such as RRs or ORs), with little or no overlap in confidence intervals
Indirectness	This refers to any departure from inclusion criteria listed in the review protocol (such as differences in study populations or prognostic/risk factors), that may affect the generalisability of results
Imprecision	This occurs when a study has relatively few participants or events of interest, resulting in wide confidence intervals

9 *RR, relative risk; OR, odds ratio*

### 10 *Assessing risk of bias in prognostic reviews*

11 The Quality in Prognosis Studies (QUIPS) tool developed by Hayden 2013 was used  
 12 to assess risk of bias in studies included in prognostic reviews (see Appendix H in  
 13 the Developing NICE guidelines: the manual). The risk of bias in each study was  
 14 determined by assessing the following domains:

- 15 • selection bias
- 16 • attrition bias
- 17 • prognostic factor bias
- 18 • outcome measurement bias
- 19 • control for confounders
- 20 • appropriate statistical analysis.

### 21 *Assessing inconsistency in prognostic reviews*

22 Where multiple results were deemed appropriate to meta-analyse (that is, there was  
 23 sufficient similarity between risk factor and outcome under investigation)  
 24 inconsistency was assessed by visually inspecting forest plots and observing  
 25 whether there was considerable heterogeneity in the results of the meta-analysis.  
 26 This was assessed by calculating the I-squared statistic for the meta-analysis with an  
 27 I-squared value of more than 50% indicating serious heterogeneity, and more than  
 28 80% indicating very serious heterogeneity. When serious or very serious  
 29 heterogeneity was observed, possible reasons were explored and subgroup analyses  
 30 were performed as pre-specified in the review protocol where possible.

1 When no plausible explanation for the heterogeneity could be found, the quality of  
2 the evidence was downgraded in GRADE for inconsistency.

### 3 *Assessing indirectness in prognostic reviews*

4 Indirectness in prognostic reviews was assessed by comparing the populations,  
5 prognostic factors and outcomes in the evidence to those defined in the review  
6 protocol. Evidence was considered seriously indirect if 25% to 50% of the evidence  
7 for an outcome differed from one of the elements of the protocol and very seriously  
8 indirect if more than 50% of the evidence for an outcome differed on one of the  
9 elements from the protocol or if 25% to 50% of the evidence for an outcome differed  
10 on two or more of the elements from the protocol.

### 11 *Assessing imprecision and importance in prognostic reviews*

12 Prognostic studies may have a variety of purposes, for example, establishing typical  
13 prognosis in a broad population, establishing the effect of patient characteristics on  
14 prognosis, and developing a prognostic model. While by convention MIDs relate to  
15 intervention effects, the committee agreed to use GRADE default MIDs for risk ratios  
16 as a starting point from which to assess whether the size of an outcome effect  
17 (association) in a prognostic study would be large enough to be meaningful in  
18 practice. Specifically, the committee agreed that these values would correspond to a  
19 moderate association between the prognostic factor and the outcome, with any  
20 statistically significant association being considered a small association, and risk  
21 ratios <0.5 and >2.00 being considered a strong association between the factor and  
22 the outcome. The latter threshold was selected for consistency with estimated effect  
23 sizes where it is possible to consider upgrading non-RCT evidence in GRADE.  
24 Imprecision was judged based on optimal information size criteria. Evidence was  
25 considered seriously imprecise if there were less than 300 events, based on the rule-  
26 of-thumb specified in version 3.2 of the GRADE handbook (Schünemann 2009), and  
27 very seriously imprecise if there were less than 150 events. The threshold for very  
28 serious imprecision was a pragmatic decision, in the absence of a rule-of-thumb  
29 being available, based on the fact that this is half the number required for serious  
30 imprecision, which would be consistent with the approach suggested for continuous  
31 outcomes.

32 MIDs, the line of no effect, and both 95% and 90% confidence intervals (CIs) were  
33 used to assess whether there were important differences in outcomes between  
34 groups, as described above.

## 35 **Diagnostic studies**

### 36 *Adapted GRADE methodology for diagnostic reviews*

37 For diagnostic reviews, an adapted GRADE approach was used. GRADE  
38 methodology is designed for intervention reviews but the quality assessment  
39 elements and outcome presentation were adapted by the NICE technical team for  
40 diagnostic test accuracy reviews and prediction models. For example, GRADE tables  
41 were modified to include diagnostic test accuracy measures (sensitivity, specificity  
42 and positive and negative predictive values).

43 The evidence for each outcome in the diagnostic reviews and prediction models was  
44 examined separately for the quality elements listed and defined in Table 7. The

1 criteria considered in the rating of these elements are discussed below. Each  
 2 element was graded using the quality levels summarised in Table 3. Footnotes to  
 3 GRADE tables were used to record reasons for grading a particular quality element  
 4 as having a 'serious' or 'very serious' quality issue. The ratings for each component  
 5 were combined to obtain an overall assessment of quality for each outcome as  
 6 described in Table 4.

7 The initial quality rating was based on the study design: cross-sectional or cohort  
 8 studies start as 'high' quality and case-control studies start as 'low' quality.

9 **Table 7: Adaptation of GRADE quality elements for diagnostic reviews**

Quality element	Description
Risk of bias ('Study limitations')	Limitations in study design and implementation may bias estimates of diagnostic accuracy. High risk of bias for the majority of the evidence reduces confidence in the estimated effect. Diagnostic accuracy studies are not usually randomised and therefore would not be downgraded for study design from the outset (they start as high quality)
Inconsistency	This refers to unexplained heterogeneity in test accuracy measures (such as sensitivity and specificity) between studies
Indirectness	This refers to differences in study populations, index tests, reference standards or outcomes between the available evidence and inclusion criteria specified in the review protocol
Imprecision	This occurs when a study has relatively few participants and the probability of a correct diagnosis is low. Accuracy measures would therefore have wide confidence intervals around the estimated effect

#### 10 *Assessing risk of bias in diagnostic reviews and prediction models*

11 Risk of bias in diagnostic reviews and prediction models was assessed using the  
 12 Quality Assessment of Diagnostic Accuracy Studies version 2 (QUADAS-2) checklist  
 13 (see Appendix H in Developing NICE guidelines: the manual).

14 Risk of bias in primary diagnostic accuracy reviews or prediction models in QUADAS-  
 15 2 consists of 4 domains:

- 16 • participant selection
- 17 • index test
- 18 • reference standard
- 19 • flow and timing.

20 More details about the QUADAS-2 tool can be found on the developer's website.

#### 21 *Assessing inconsistency in diagnostic reviews and prediction models*

22 Inconsistency refers to the unexplained heterogeneity of the results in meta-analysis.  
 23 When estimates of diagnostic accuracy and prediction model parameters vary widely  
 24 across studies (that is, there is heterogeneity or variability in results), this suggests  
 25 true differences in underlying effects. Inconsistency is, thus, only truly applicable  
 26 when statistical meta-analysis is conducted (that is, results from different studies are  
 27 pooled).



1 Inconsistency for diagnostic reviews was assessed based on visual inspection of the  
2 point estimates and confidence intervals of the included studies. If these varied  
3 widely (for example, point estimates for some studies lying outside the CIs of other  
4 studies) the evidence was downgraded for inconsistency.

#### 5 *Assessing indirectness in diagnostic reviews and prediction models*

6 Indirectness in diagnostic reviews and prediction models was assessed using the  
7 QUADAS-2 checklist by assessing the applicability of the studies in relation to the  
8 review question in the following domains:

- 9 • participant selection
- 10 • index test
- 11 • reference standard.

12 Evidence was considered seriously indirect if 25% to 50% of the evidence for an  
13 outcome differed from one of the domains and very seriously indirect if more than  
14 50% of the evidence for an outcome differed on one of the domains or if 25% to 50%  
15 of the evidence for an outcome differed on two or more of the domains.

16 More details about the QUADAS-2 tool can be found on the developer's website.

#### 17 *Assessing imprecision and importance in diagnostic reviews and prediction models*

18 The judgement of precision for diagnostic and prediction model evidence was based  
19 on the CIs of the sensitivity and specificity. The committee defined 2 decision  
20 thresholds for each measure, a value above which the test could be recommended  
21 and a value below which the test would be considered of no use. These thresholds  
22 were based on the committee's experience and consensus.

23 The thresholds were:

- 24 • sensitivity: low threshold 60%, high threshold 90%
- 25 • specificity: low threshold 60%, low threshold 90%.

26 Outcomes were downgraded for imprecision when their 95% CI crossed at least 1  
27 threshold. If the CI crossed 1 threshold, the outcome was downgraded once for  
28 imprecision. If the CI crossed 2 thresholds, the outcome was downgraded twice for  
29 imprecision. These assessments were made on the meta-analysed outcomes where  
30 applicable or if outcomes were not meta-analysed, on the individual study results  
31 themselves.

32 Decision making thresholds for positive and negative predictive values have not been  
33 defined a priori. Imprecision in and importance of positive and negative predictive  
34 values will be assessed qualitatively during committee discussions and documented  
35 in the committee's discussion and interpretation of the evidence.

## 36 **Qualitative studies**

### 37 ***GRADE-CERQual methodology for qualitative reviews***

38 For qualitative reviews an adapted GRADE Confidence in the Evidence from  
39 Reviews of Qualitative research (GRADE-CERQual) approach (Lewin 2018) was  
40 used. In this approach the confidence in the evidence is considered according to  
41 themes in the evidence. The themes may have been identified in the primary studies

1 or they may have been identified by considering the reports of a number of studies.  
 2 Confidence elements assessed using GRADE-CERQual are listed and defined in  
 3 Table 8. Each element was graded using the levels of concern summarised in Table  
 4 9.

5 The ratings for each component were combined (as with other types of evidence) to  
 6 obtain an overall assessment of confidence for each theme as described in Table 10.  
 7 'Confidence' in this context refers to the extent to which the review finding is a  
 8 reasonable representation of the phenomenon of interest set out in the protocol.  
 9 Similar to other types of evidence all review findings start off with 'high confidence'  
 10 and are rated down by one or more levels if there are concerns about any of the  
 11 individual CERQual components. In line with advice from the CERQual developers,  
 12 the overall assessment does not involve numerical scoring for each component but in  
 13 order to ensure consistency across and between guidelines, the NGA established  
 14 some guiding principles for overall ratings. For example, a review finding would not  
 15 be downgraded (and therefore would be assessed with 'high' confidence) if at least 2  
 16 of the individual components were rated as 'no or very minor'; and none of the  
 17 components were rated as having moderate or serious concerns.

18 At the other extreme, a review finding would be downgraded 3 times (to 'very low') if  
 19 at least 2 components had serious concerns or 3 had moderate concerns (as long as  
 20 the 4<sup>th</sup> component was rated 'serious') or if all components had moderate concerns.  
 21 A basic principle was that if any components had any serious concerns then overall  
 22 confidence in the review finding would be downgraded at least twice, to low.  
 23 Transparency about overall judgements is provided in the CERQual tables, with  
 24 explanations for downgrading given in the individual domain cells.

25 **Table 8: Adaptation of GRADE quality elements for qualitative reviews**

Quality element	Description
Methodological limitations	Limitations in study design and implementation may bias interpretation of qualitative themes identified. High risk of bias for the majority of the evidence reduces our confidence that the review findings reflect the phenomena of interest. Qualitative studies are not usually randomised and therefore would not be downgraded for study design from the outset (they start as high confidence)
Relevance (or applicability) of evidence	This refers to the extent to which the context of the studies supporting the review findings is applicable to the context specified in the review question
Coherence of findings	This refers to the extent to which review findings are well grounded in data from the contributing primary studies and provide a credible explanation for patterns identified in the evidence. If the data from the underlying studies are ambiguous or contradict the review finding this would reduce our confidence in the finding.
Adequacy of data (theme saturation or sufficiency)	This corresponds to a similar concept in primary qualitative research, that is, whether a theoretical point of theme saturation was achieved, at which point no further citations or observations would provide more insight or suggest a different interpretation of the particular theme. Judgements are not based on the number of studies but do take account of the quantity and also richness of data underpinning a finding. The more complex the finding, the more detailed the supporting data need to be. For simple findings, relatively superficial data would be considered adequate to explain and explore the phenomenon being described.

1 **Table 9: CERQual levels of concern (by quality element)**

Level of concern	Definition
None or very minor concerns	Unlikely to reduce confidence in the review finding
Minor concerns	May reduce confidence in the review finding
Moderate concerns	Will probably reduce confidence in the review finding
Serious concerns	Very likely to reduce confidence in the review finding

2 **Table 10: Overall confidence in the evidence in CERQual (by review finding)**

Overall confidence level	Definition
High	It is highly likely that the review finding is a reasonable representation of the phenomenon of interest
Moderate	It is likely that the review finding is a reasonable representation of the phenomenon of interest
Low	It is possible that the review finding is a reasonable representation of the phenomenon of interest
Very low	It is unclear whether the review finding is a reasonable representation of the phenomenon of interest

3 *Assessing methodological limitations in qualitative reviews*

4 Methodological limitations in qualitative studies were assessed using the Critical  
5 Appraisal Skills Programme (CASP) checklist for qualitative studies (see appendix H  
6 in Developing NICE guidelines: the manual). Overall methodological limitations were  
7 derived by assessing the methodological limitations across the 6 domains  
8 summarised in Table 11.

9 **Table 11: Methodological limitations in qualitative studies**

Aim and appropriateness of qualitative evidence	This domain assesses whether the aims and relevance of the study were described clearly and whether qualitative research methods were appropriate for investigating the research question
Rigour in study design or validity of theoretical approach	This domain assesses whether the study approach was documented clearly and whether it was based on a theoretical framework (such as ethnography or grounded theory). This does not necessarily mean that the framework has to be stated explicitly, but a detailed description ensuring transparency and reproducibility should be provided
Sample selection	This domain assesses the background, the procedure and reasons for the method of

	selecting participants. The assessment should include consideration of any relationship between the researcher and the participants, and how this might have influenced the findings
Data collection	This domain assesses the documentation of the method of data collection (in-depth interviews, semi-structured interviews, focus groups or observations). It also assesses who conducted any interviews, how long they lasted and where they took place
Data analysis	This domain assesses whether sufficient detail was documented for the analytical process and whether it was in accordance with the theoretical approach. For example, if a thematic analysis was used, the assessment would focus on the description of the approach used to generate themes. Consideration of data saturation would also form part of this assessment (it could be reported directly or it might be inferred from the citations documented that more themes could be found)
Results	This domain assesses any reasoning accompanying reporting of results (for example, whether a theoretical proposal or framework is provided)

1 *Assessing relevance of evidence in qualitative reviews*

2 Relevance (applicability) of findings in qualitative research is the equivalent of  
3 indirectness for quantitative outcomes, and refers to how closely the aims and  
4 context of studies contributing to a theme reflect the objectives outlined in the  
5 guideline review protocol.

6 *Assessing coherence of findings in qualitative reviews*

7 For qualitative research, a similar concept to inconsistency is coherence, which  
8 refers to the way findings within themes are described and whether they make sense.  
9 This concept was used in the quality assessment across studies for individual  
10 themes. This does not mean that contradictory evidence was automatically  
11 downgraded, but that it was highlighted and presented, and that reasoning was  
12 provided. Provided the themes, or components of themes, from individual studies fit  
13 into a theoretical framework, they do not necessarily have to reflect the same  
14 perspective. It should, however, be possible to explain these by differences in context  
15 (for example, the views of health or social care professionals might not be the same  
16 as those of family members, but they could contribute to the same overarching  
17 themes).

18 *Assessing adequacy of data in qualitative reviews*

19 Adequacy of data (theme saturation or sufficiency) corresponds to a similar concept  
20 in primary qualitative research in which consideration is made of whether a

1 theoretical point of theme saturation was achieved, meaning that no further citations  
 2 or observations would provide more insight or suggest a different interpretation of the  
 3 theme concerned. As noted above, it is not equivalent to the number of studies  
 4 contributing to a theme, but it does take account of the quantity of data supporting a  
 5 review finding (for instance whether sufficient quotations or observations were  
 6 provided to underpin the findings) and in particular the degree of 'richness' of  
 7 supporting data. Concerns about richness arise when insufficient details are provided  
 8 by the data to enable an understanding of the phenomenon being described.  
 9 Generally, if a review finding is fairly simple then relatively superficial data will be  
 10 needed to understand it. Data underpinning a more complex finding would need to  
 11 offer greater detail, allowing for interpretation and exploration of the phenomenon  
 12 being described. Therefore in assessing adequacy downgrading involved weighing  
 13 up the complexity of the review finding against the explanatory contribution of the  
 14 supporting data.

## 15 **Reviewing economic evidence**

16 Titles and abstracts of articles identified through the economic literature searches  
 17 were independently assessed for inclusion using the predefined eligibility criteria  
 18 listed in Table 12.

19 **Table 12: Inclusion and exclusion criteria for systematic reviews of economic**  
 20 **evaluations**

Inclusion criteria
Intervention or comparators in accordance with the guideline scope
Study population in accordance with the guideline scope
Full economic evaluations (cost-utility, cost effectiveness, cost-benefit or cost-consequence analyses) assessing both costs and outcomes associated with interventions of interest
Exclusion criteria
Abstracts containing insufficient methodological details
Cost-of-illness type studies

21 Once the screening of titles and abstracts was completed, full-text copies of  
 22 potentially relevant articles were requested for detailed assessment. Inclusion and  
 23 exclusion criteria were applied to articles obtained as full-text copies.

24 Details of economic evidence study selection, lists of excluded studies and,  
 25 economic evidence tables are presented in appendices G, H and J of the evidence  
 26 report. The results of quality assessment of economic evidence (see below) and  
 27 health economic profiles are provided in the main body of the evidence review.

## 28 **Appraising the quality of economic evidence**

29 The quality of economic evidence was assessed using the economic evaluations  
 30 checklist specified in [Developing NICE guidelines: the manual](#).

## 31 **Economic modelling**

32 The aims of the economic input to the guideline were to inform the guideline  
 33 committee of potential economic issues to ensure that recommendations represented

1 a cost effective use of healthcare resources. Economic evaluations aim to integrate  
2 data on healthcare benefits (ideally in terms of quality-adjusted life-years; QALYs)  
3 with the costs of different options. In addition, the economic input aimed to identify  
4 areas of high resource impact; these are recommendations which (while cost  
5 effective) might have a large impact on Clinical Commissioning Group or Trust  
6 finances and so need special attention.

7 The guideline committee prioritised the following review questions for economic  
8 modelling where it was thought that economic considerations would be particularly  
9 important in formulating recommendations.

- 10 • What is the effectiveness of ventilation tubes for OME in children under 12 years?
- 11 • What is the effectiveness of adenoidectomy (with or without ventilation tubes) for  
12 OME in children under 12 years?
- 13 • What is the effectiveness of air and bone conduction hearing aids for children with  
14 OME under 12 years?

15 The methods and results of the de novo economic analyses is reported in Appendix I  
16 of the Evidence review E. When new economic analysis was not prioritised, the  
17 committee made a qualitative judgement regarding cost effectiveness by considering  
18 expected differences in resource and cost use between options, alongside clinical  
19 effectiveness evidence identified from the clinical evidence review.

## 20 **Cost effectiveness criteria**

21 NICE sets out the [principles](#) that committees should consider when judging whether  
22 an intervention offers good value for money. In general, an intervention was  
23 considered to be cost effective if any of the following criteria applied (provided that  
24 the estimate was considered plausible):

- 25 • the intervention dominated other relevant strategies (that is, it was both less costly  
26 in terms of resource use and more effective compared with all the other relevant  
27 alternative strategies)
- 28 • the intervention cost less than £20,000 per QALY gained compared with the next  
29 best strategy
- 30 • the intervention provided important benefits at an acceptable additional cost when  
31 compared with the next best strategy.

32 The committee's considerations of cost effectiveness are discussed explicitly under  
33 the heading 'cost-effectiveness and resource use' in the relevant evidence reviews.

## 34 **Developing recommendations**

### 35 **Guideline recommendations**

36 Recommendations were drafted on the basis of the committee's interpretation of the  
37 available evidence, taking account of the balance of benefits, harms and costs  
38 between different courses of action. When effectiveness, qualitative and economic  
39 evidence was of poor quality, conflicting or absent, the committee drafted  
40 recommendations based on their expert opinion. The considerations for making  
41 consensus-based recommendations include the balance between potential benefits  
42 and harms, the economic costs or implications compared with the economic benefits,

1 current practices, recommendations made in other relevant guidelines, person's  
2 preferences and equality issues.

3 The main considerations specific to each recommendation are outlined under the  
4 heading 'The committee's discussion of the evidence' within each evidence review.

5 For further details refer to Developing NICE guidelines: the manual.

## 6 **Research recommendations**

7 When areas were identified for which evidence was lacking, the committee  
8 considered making recommendations for future research. For further details refer to  
9 Developing NICE guidelines: the manual and NICE's Research recommendations  
10 process and methods guide.

## 11 **Validation process**

12 This guideline was subject to a 6-week public consultation and feedback process. All  
13 comments received from registered stakeholders were responded to in writing and  
14 posted on the NICE website at publication. For further details refer to Developing  
15 NICE guidelines: the manual.

## 16 **Updating the guideline**

17 Following publication, NICE will undertake a surveillance review to determine  
18 whether the evidence base has progressed sufficiently to consider altering the  
19 guideline recommendations and warrant an update. For further details refer to  
20 Developing NICE guidelines: the manual.

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