

Nivolumab for adjuvant treatment of oesophageal or gastroesophageal junction cancer [ID1676]

Lead team presentation

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Company: Bristol-Myers Squibb Pharmaceuticals

7th September 2021 – virtual meeting

Key clinical issues

- Is nivolumab expected to be equally effective for adenocarcinoma and squamous cell carcinoma?
- Is CheckMate 577 generalisable to NHS practice?
- CheckMate 577 had specific inclusion criteria. Is this reflected in the MA?
 - Completed pre-operative chemo-radiotherapy followed by surgery
 - No residual disease after surgery (with clear margins)
 - Residual pathologic disease in the resected specimen
- Some people have chemotherapy not chemoradiotherapy in clinical practice in the NHS, are they excluded from the MA/this appraisal?
- Some people do not have surgery in clinical practice in the NHS, are they excluded from the MA/this appraisal?
- Only disease-free survival data is available from CheckMate 577, no overall survival data. What does the available evidence show in relation to potential disease cure both on current watch and wait, and with nivolumab?

Disease background

Two main types, diagnosis often at late stage with palliative treatment

Definition: Malignant tumour from cells lining oesophagus

- Squamous cell carcinoma → usually upper/middle oesophagus
- Adenocarcinoma → usually lower oesophagus including gastroesophageal junction

Prevalence

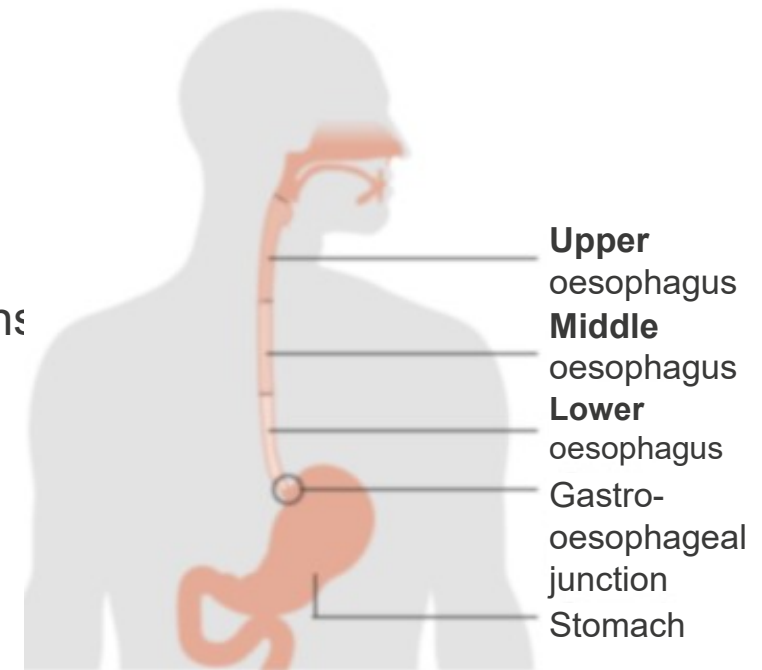
- 7,500 new oesophageal cancer diagnosis (England, 2017)
- Most common type of OC in the UK is adenocarcinoma
- 70-80% diagnosed at stage 3 (locally advanced) or 4 (metastatic)

Symptoms

- Initial symptoms: vague/similar to other stomach conditions leads to late diagnosis due to subtle/missed symptoms
- Advanced symptoms: lack of appetite, weight loss, fluid in abdomen, blood in stool

Survival

- 5 year survival 16.3% for stage 3
- Median survival post-recurrence reported as being less than six months in the Netherlands



Adapted from Cancer Research UK

Is nivolumab likely to be equally effective in both histological types?

Patient organisation perspective

Oesophageal cancer

Early symptoms are vague, leading to late diagnosis and poor prognosis

No treatment to delay/prevent cancer recurrence in this population

Fear around lack of treatment and potential recurrence affects mental wellbeing

Extremely difficult for carers and friends who have concerns about nutrition

What people would like from treatment

Current treatment options affect wellbeing and quality of life

Improved quality and length of life

Preventative option would be beneficial, knowing that treatment may increase outcomes

More time with families

Nivolumab

Significant psychological benefit and health benefits

Well tolerated, but patients to consider, any increased risk with COVID and long-term effects

Treatment can increase own immune system to reduce rates of recurrence

Clinical expert perspective

Unmet need

No recommended maintenance treatments after surgery

Aim of treatment is cure, but 3-year survival only 57.4% after curative surgery

Outcomes poor despite intense chemo/radiotherapy and surgery

CROSS trial: Progression in 32% cases after neoadjuvant chemoradiation and surgery; better outcomes likely in complete response; 50-60% with residual disease likely to progress

Nivolumab clinical trial

CheckMate 577 trial representative of population having treatment in NHS

Smaller eligible population

Clinically meaningful benefit in disease free survival from nivolumab treatment

Overall Survival data not available – but would be most important outcome

Nivolumab in clinical practice

Treatment well tolerated with no adverse effect on quality of life; toxicity is acceptable

Consider additional hospital visits and management of serious adverse events via blood tests and CT scans

Capacity of oncology units to be considered

Nivolumab (Opdivo, Bristol-Myers Squibb)

Positive CHMP opinion EMA (June 21)	Nivolumab as monotherapy is indicated for the adjuvant treatment of adult patients with oesophageal or gastro-oesophageal junction cancer who have residual pathologic disease following prior neoadjuvant chemoradiotherapy
Mechanism of action	Human, monoclonal immunoglobulin antibody (IgG4) that acts as a checkpoint inhibitor of PD-1
Administration	<ul style="list-style-type: none">• 240 mg intravenous (IV) every 2 weeks over 30 mins Or <ul style="list-style-type: none">• 480 mg every 4 weeks over 30 mins for 16 weeks Followed by: <ul style="list-style-type: none">• 480 mg every 4 weeks over 30 mins
Stopping rule	<ul style="list-style-type: none">• For adjuvant therapy, the maximum treatment duration with nivolumab is 12 month
List price	<ul style="list-style-type: none">• £3,159.60 per 240 mg (24 ml) vial; £1,316.40 per 100 mg (10 ml) vial; £526.80 per 40 mg (4 ml) vial• Cost per dose: £3,159.60 per 240 mg; £6,319.20 per 480 mg

Does the MA align precisely with CheckMate 577 inclusion criteria, including requirement for surgical resection?

Decision problem

Population aligned with key trial (CheckMate 577); overall survival excluded

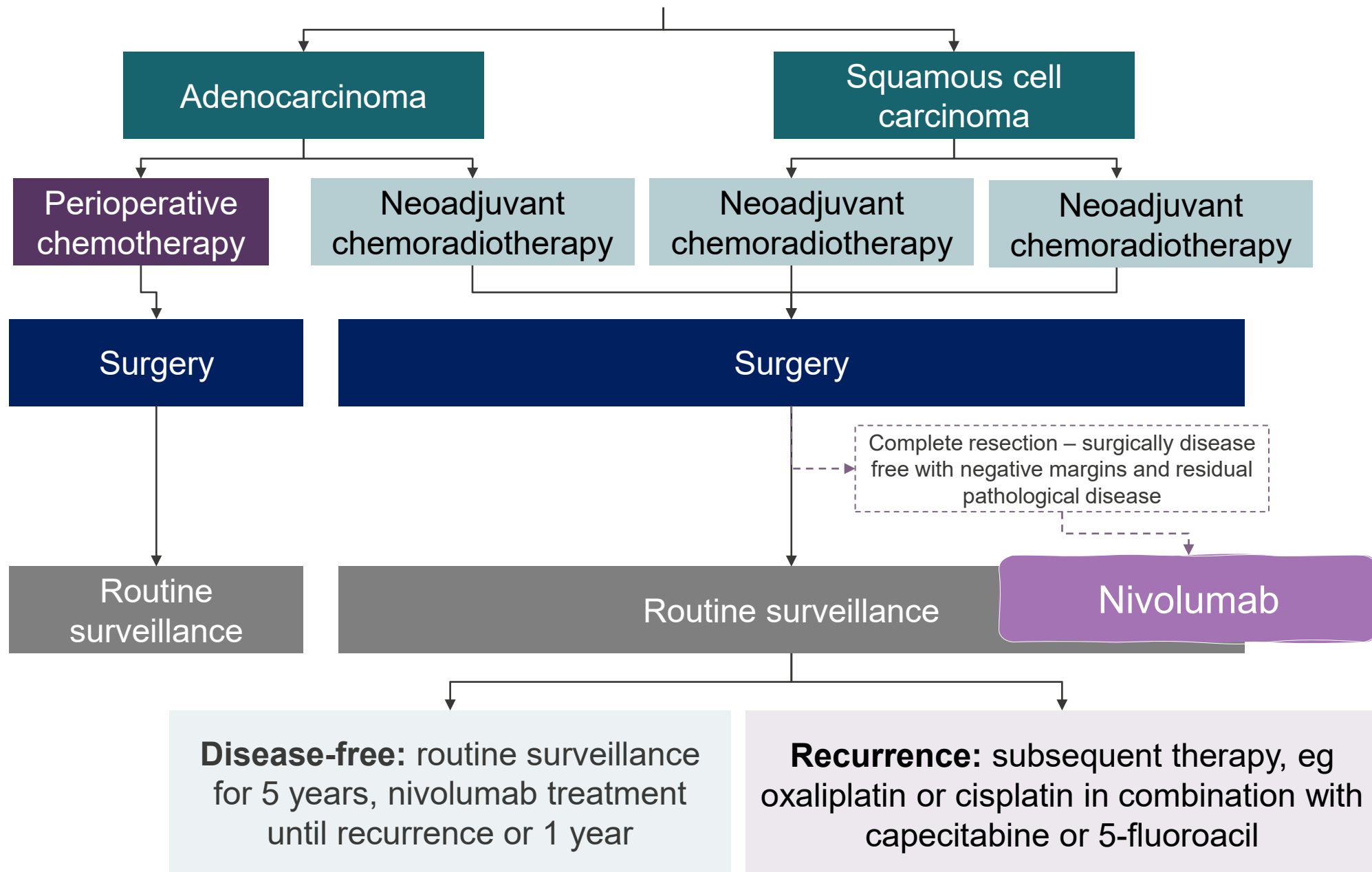
	Final NICE scope	Company approach
Population	Adults with resected oesophageal cancer or gastroesophageal junction cancer	Adjuvant treatment of adult patients with resected oesophageal, or gastro-oesophageal junction cancer with residual pathologic disease following prior neoadjuvant chemoradiotherapy More in line with CheckMate 577
Intervention	Nivolumab	As final scope
Comparators	Routine surveillance	As final scope
Outcomes	<ul style="list-style-type: none">• Overall survival (OS)• Disease free survival (DFS)*• Adverse effects of treatment• Health-related quality of life	All except overall survival ➤ OS data not available at time of submission due to immature data ERG: DFS up to 51 months was accepted as reasonable for modelling purposes
Subgroups	None	As final scope

ERG:

- Differing evidence supporting DFS as surrogate outcome for OS – more data beneficial
- Some evidence suggests DFS not a good surrogate for OS in neoadjuvant treatment of GEJC, but sufficient follow-up means DFS is appropriate for modelling

Treatment pathway

Locally advanced disease



Current treatments

- Depend on size, location and cancer stage
- Recent technology appraisals: NICE TA707 Nivolumab for previously treated unresectable advanced/recurrent oesophageal cancer (June 2021)
- **NICE clinical guideline [NG83]: Oesophago-gastric cancer: assessment and management in adults:**
 - Localised (gastro)oesophageal adenocarcinoma: chemotherapy before or before & after surgery **or** chemoradiotherapy before surgery
 - Squamous cell oesophageal carcinoma: chemoradiotherapy alone or before surgery
- **Advanced** (gastro)oesophageal cancer treatment aim: mainly palliative, prevent progression, extend survival and relieve symptoms

Common chemotherapy agents: fluorouracil, capecitabine, cisplatin, epirubicine, docetaxel

Professional organisation input: variation in current practice

Trial: very specific patient group – post neoadjuvant chemoradiotherapy, complete resection after surgery, residual pathological disease in the specimen

- Expected in approx. 70% adenocarcinoma, 50% squamous cell carcinoma
- **Squamous:** difference in opinion between NHS professionals whether neoadjuvant chemoradiotherapy followed by surgery or **definitive chemoradiotherapy*** is preferred - broadly considered to be equivalent choices
- **Adenocarcinoma** of gastroesophageal junction: surgical based treatment is considered standard of care. Both neoadjuvant chemoradiotherapy followed by surgery and **peri-operative FLOT chemotherapy*** are considered acceptable

* not included in CheckMate 577

Professional organisation: Non-trial patient eligibility

1) **Excludes:** Patients (SCC) with definitive chemoradiotherapy & no surgery. But suggest if have residual disease on re-staging endoscopy without metastatic disease on imaging could be equivalent

→ **Suggest Include**

2) **Excludes:** Patients with FLOT chemotherapy before surgery (adenocarcinoma gastro-oesophageal junction) instead of chemoradiotherapy – proportion of patients with residual disease post FLOT is slightly higher than chemoradiotherapy. Could be comparable

→ **Suggest Include**

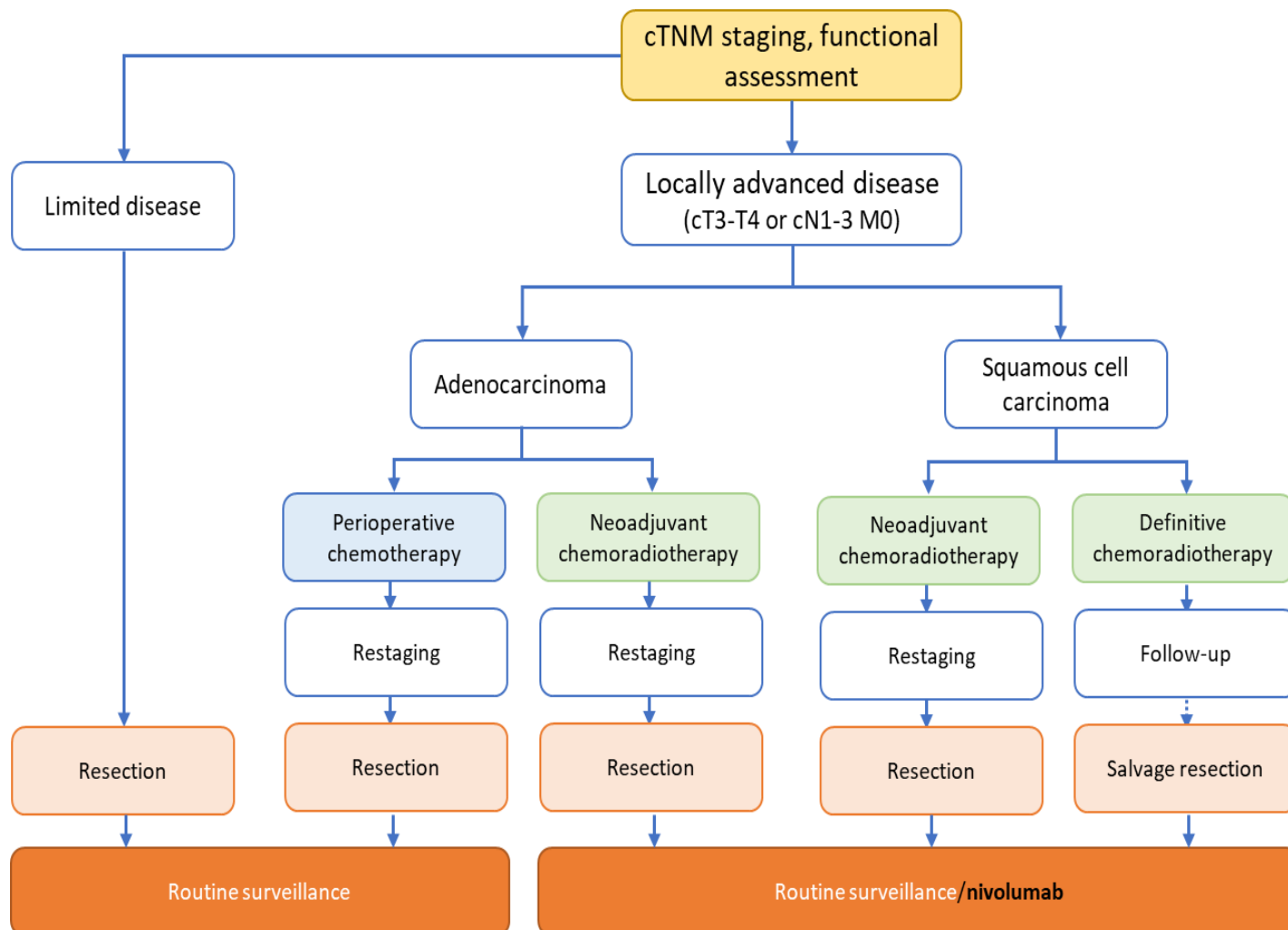
3) **Excludes:** Patients with pre-op chemotherapy (Cisplatin-capecitabine or ECX) – complete path response is <10%, so >90% of the patients will have residual disease → higher than with chemoradiotherapy, so unlikely to be comparable groups (cannot be extrapolated)

→ **Exclude**

SmPC:

- Baseline performance score ≥ 2 , without concurrent chemoradiotherapy prior to surgery, with stage IV resectable disease, active autoimmune disease or medical conditions needing systemic immunosuppression, excluded from clinical study in oesophageal and gastroesophageal junction cancer
- Absence of data: Use nivolumab with caution in these populations after considering individual potential benefit/risk

Company treatment pathway



Clinical experts:

Salvage resection offered if:

1. Persistent disease after chemoradiotherapy (similar to CheckMate 577 cohort)
2. No evidence of disease after chemoradiotherapy but later develop locally recurrent disease (not evaluated in CheckMate 577) – unknown benefit of nivolumab

Eligibility for nivolumab may need to differentiate between (1) and (2)

Clinical effectiveness evidence

Key clinical trial: CheckMate 577

CheckMate 577 identified as only relevant randomised controlled trial

CheckMate 577 (in economic model as direct comparative evidence)

Study design	Phase III, multicentre, randomised, double blind, placebo-controlled study [REDACTED]
Population	Adults with stage II or III oesophageal or gastroesophageal junction carcinoma, after pre-operative chemoradiotherapy followed by surgery ➤ Complete resection with negative margins and <u>residual tumour in the removed specimen</u>
Intervention	Nivolumab monotherapy, 240mg intravenously (IV) every 2 weeks for 16 weeks. Then, 480 mg IV every 4 weeks, for 1 year or until disease recurrence, toxicity, withdrawal ➤ Some people had longer than 1 year treatment duration (due to delayed dose)
Comparator	Placebo
1° outcome	Disease free survival (time between randomisation and recurrence or death)
2° outcomes	OS (time from randomisation to death), OS rates at 1, 2 and 3 years
Exploratory outcomes	Adverse events and safety outcomes Health-related quality of life
Follow up	24.4 months (median), July 2020 primary analysis, further data cut February 2021

ERG: Good methodological quality of trial but only interim analyses available (ongoing trial) with immature overall survival data at time of submission

CheckMate 577 baseline characteristics

Generalisable to UK population with likely differences in age, sex and ethnicity

Baseline characteristic		Nivolumab	Placebo
Cohort size, n		532	262
Median age (range), years		62 (26-82)	61 (26-86)
Sex, n (%)	Male	449 (84.4)	222 (84.7)
Ethnicity, n (%)	White	432 (81.2)	216 (82.4)
	Asian	83 (15.6)	34 (13)
Location, n (%)	US/Canada, Europe	369 (69.4)	189 (72.1)
	Asia	77 (14.5)	29 (11.1)
	Rest of world	86 (16.2)	44 (16.8)
Histology, n (%)	Adenocarcinoma	376 (70.7)	187 (71.4)
	Squamous cell	155 (29.1)	75 (28.6)
Initial diagnosis, n (%)	Oesophageal	320 (60.2)	155 (59.2)
	Gastroesophageal	212 (39.8)	107 (40.8)
Baseline PD-L1, n (%)	≥ 1%	89 (16.7)	40 (15.3)
	< 1%	374 (70.3)	196 (74.8)

ERG: CheckMate 577 generalisability to UK:

- UK population may be older
- Lower percentage of males in UK eligible population
- Ethnic balance unlikely to affect clinical efficacy

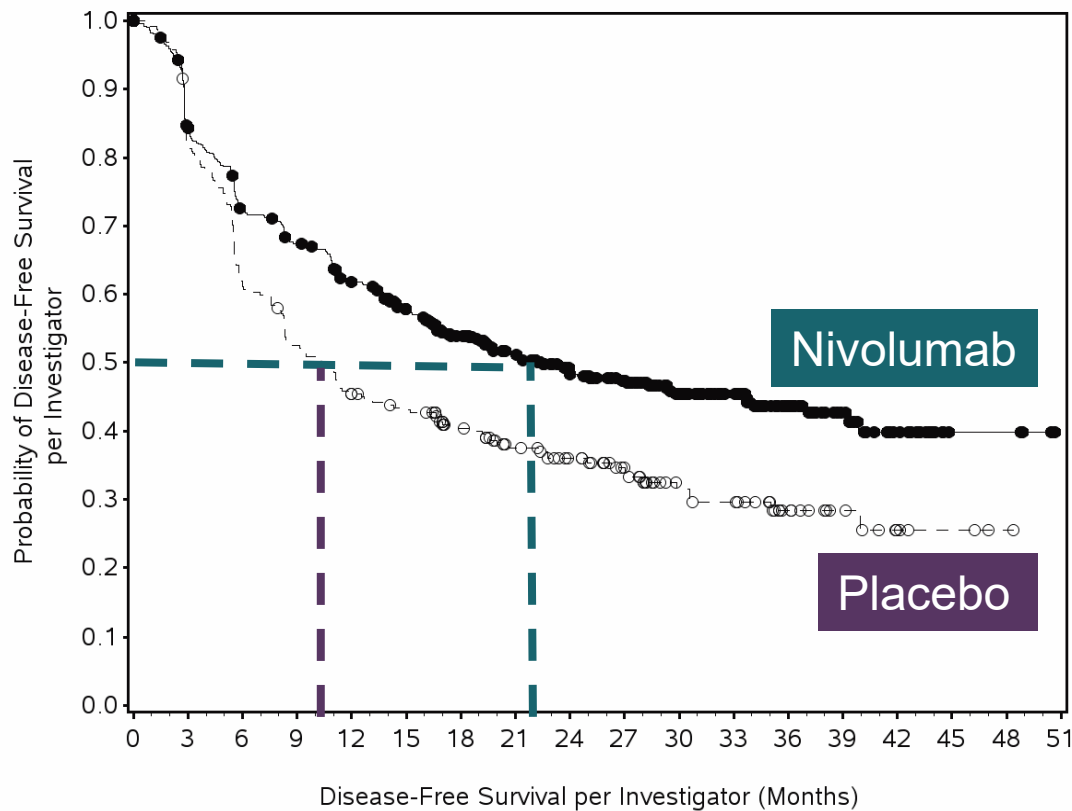
Is the balance of people with adenocarcinoma/squamous cell cancer reflective of NHS population?

- Does the committee think CheckMate 577 is generalisable to population seen in NHS?

CheckMate 577 Disease-free survival Kaplan-Meier plot

Updated base case use new disease-free survival data with greater survival in nivolumab

- Company updated base case to recently available Feb 2021 data – ERG agrees with change



Sustained plateau from July 2020 data

	Nivolumab	Placebo
Event, n (%)	268 (50.4)	171 (65.3)
Median, months (95% CI)	22.41 (16.95, 33.64)	10.35 (8.31, 13.93)
HR (95% CI)	0.67 (0.55, 0.81)	

Clinical experts:

- At curve plateau, more patients are disease-free in nivolumab compared to placebo
- Some patients cured with lifelong benefit expected (maximum 26% according to 1 clinical expert)
- Most recurrences occur within 3 years.

What is committee's view on the clinical efficacy of nivolumab for adjuvant treatment of oesophageal or gastroesophageal junction cancer?

CheckMate 577 subgroup DFS results

Hazard ratios <1 for pre-specified subgroups

Hazard ratio (95% CI) calculated using the stratified Cox method with treatment, subgroup, and treatment*subgroup interaction.

		Hazard Ratio (95% CI) nivolumab vs placebo
Histology	Squamous cell carcinoma	██████████
	Adenocarcinoma	██████████
Pathologic lymph node status	Positive	██████████
	Negative	██████████
PD-L1 status	PD-L1 ≥ 1%	██████████
	PD-L1 < 1%	██████████
	Indeterminate/non-evaluable	██████████

ERG:

Although not powered to test for an interaction between treatment and subgroups, subgroup analyses unadjusted for randomisation stratification factors showed a hazard ratio (HR) <1 for almost all pre-specified subgroups

Adverse events

Similar frequency of overall adverse events and total serious adverse events in nivolumab and placebo

		Nivolumab (N=532)	Placebo (N=260)
Safety event: Any grade, n (%)			
Adverse events	Overall all-cause	510 (95.9)	243 (93.5)
	Treatment-related	376 (70.7)	119 (45.8)
Serious adverse events	Total	158 (29.7)	78 (30.0)
	Treatment-related	40 (7.5)	7 (2.7)
Discontinuation	All-cause events	68 (12.8)	20 (7.7)
	Treatment-related	48 (9.0)	8 (3.1)

Most frequent adverse events (any grade):

- Nivolumab and placebo: diarrhoea, fatigue, nausea, cough, vomiting

Serious adverse event: (fatal, life-threatening, hospitalisation, disability, birth defect, infection)

- Nivolumab: pneumonia, malignant neoplasm progression, pneumonia aspiration, pneumonitis, dysphagia
- Placebo: malignant neoplasm progression, pneumonia, dysphagia, pleural effusion, pneumothorax, dyspnoea, diaphragmatic hernia, oesophageal stenosis

Key clinical issues

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Cost-effectiveness evidence

Key cost issues

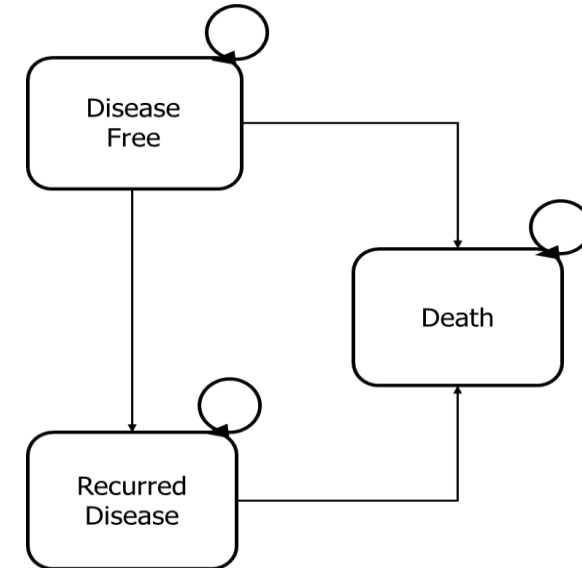
- Is the model appropriate for decision making?
- At what duration of DFS can a 'cure' be assumed?
- Does cure imply, not just no recurrence of the cancer, but QoL and life expectancy equivalent to the whole population despite previous chemotherapy and surgery?
- Is the replacement of a stopping rule at 12 months by dose modifier appropriate?

Company's economic model

Semi-Markov model with 3 health states

Model type	Semi-Markov model – 3 health states <ul style="list-style-type: none"> Allows dependency between events rather than priori assumptions
Time horizon	Lifetime (up to 40 years)
Model cycle	Weekly
Population	CheckMate 577 patient-level data
Intervention	Adjuvant nivolumab treatment
Comparators	Routine surveillance (CheckMate 577 placebo arm)
Utility values	<ul style="list-style-type: none"> EQ-5D-3L data from CheckMate 577 Utility post-recurrence from TA707: revised post TE to use age adjusted utilities from Ara and Brazier (2010) as data missing from CheckMate 577 Disutilities associated with nivolumab included
Stopping rule	1 year → removed post TE: dose modifier added, agreed by ERG

Company: Partitioned survival model not possible because unavailable overall survival data



ERG: No half-cycle correction is not a limitation because of weekly time cycles

Does the committee think that the model is appropriate?

Outstanding issues after technical engagement

Key issues	Company	ERG	Updated company base case
Data used in fitting Disease-free survival (DFS)	DFS data used at submission (June 2020)	More recently available DFS data (Feb 2021)	Yes – More recently available DFS data (Feb 2021)
Distribution used to model DFS	Lognormal 1 knot spline distribution	Generalised F-distribution	Yes – Generalised F-distribution
'Cure' point during DFS	3 years*	5 years*	No – 3 years*
Average age of patients treated in UK	60.5 years (CheckMate 577)	Audit data	Yes – 62.7 years used from adjusted trial data
Utility data	Source: Szende et al.	Source: Ara and Brazier	Yes – Ara and Brazier and factor age-related utility
Underestimation of costs	12 months duration of treatment	63 weeks duration of treatment (CheckMate 577)	Yes – No stopping rule but dose modifier added

Disease-free survival 'cure' point

Company maintain 3 year 'cure' point, considered appropriate by clinical experts

'Cure' point: patients in disease-free state have mortality risk associated with general population

Company:

- Cure point at **3 years DFS appropriate**
- Low risk of DFS events after ~2 years
- Feb 2021 data showed ■ events after 36m – from ■ patients at risk in nivolumab arm and ■ in placebo
- Clinical advice: all patients considered disease-free after resection but hazard may not converge to general population for 3-5 years
- Provided scenario analysis up to 3 years

ERG:

- Cure points at **5 years DFS preferred**
- Some DFS events occur after 3 years
- Longer duration can better estimate timepoint of no further events
- Cured patients means death only due to background mortality – data from company indicate rates greater than general population aged around 66 years
- Limited impact on ICER

Clinical experts: 3 years is an appropriate cure point

- Most events occur within 3 years
- Studies including CROSS trial (basis for current standard of care) and CheckMate 577 show flattening of curve after 3 years.
- This patient group is relatively worse off where events are expected earlier
- Few relapses occur between 3-5 years, some can occur after 5 years but 3 years is reasonable

Which 'cure' point does the committee prefer?

Return to general population mortality estimates after 'cure' point

Company:

- Assume that all patients who were alive at 3 years and did not have progressed disease were 'cured', and returned to general population mortality rates from this time point

'Cure'

- No recurrence
- Quality of life and life expectancy aligned to a person who has not had the disease

People who had Nivolumab would have received: chemoradiotherapy, surgery and immunotherapy

Risk factors for this condition may pre-dispose for increased background mortality

ERG:

- ERG provide a scenario where after 5 years, the mortality rate of 'cured' patients was higher than that of the general population.
- Modelled survival using an uplifted general population mortality rate (standardised mortality ratio of 1.1). Probability of death was increased by an arbitrary 10% for all patients aged 68 years and over.
- Resulted in a deterministic ICER of £17,105 (+£500)
- Indicates the results robust to assumptions regarding increased mortality compared with the general population after 'cure'.

Is the mortality of 'cured' patients likely to be higher than the general population?

Underestimation of costs

Stopping rule removed, dose modifier included which results in decrease in ICER

Company:

- Capped the duration of Nivolumab treatment at 12 months
- Longer treatment due to dose delays not incorporated in model

ERG:

- Patients in CheckMate 577 had treatment for up to 63 weeks
- Benefit may be incorporated in disease-free survival, costs should reflect benefits

After Technical Engagement:

- Company removed 12 month stopping
- Dose modifier included for dose delays (with updated CheckMate 577 data)
- ICER largely unchanged from base case.

ERG:

- Agree with adding dose modifier

Clinical experts:

- Dose delays can be due to toxicity/scheduling
- Total intended infusions in trial = ■ – suggest as cap instead of 63 weeks

Potential overestimation of costs:

- Not all patients will complete 1 year treatment, eligible population limited to residual disease after resection (not all resection cases)
- Cap of 63 weeks for patient not delayed leads to 5 further infusions and added costs

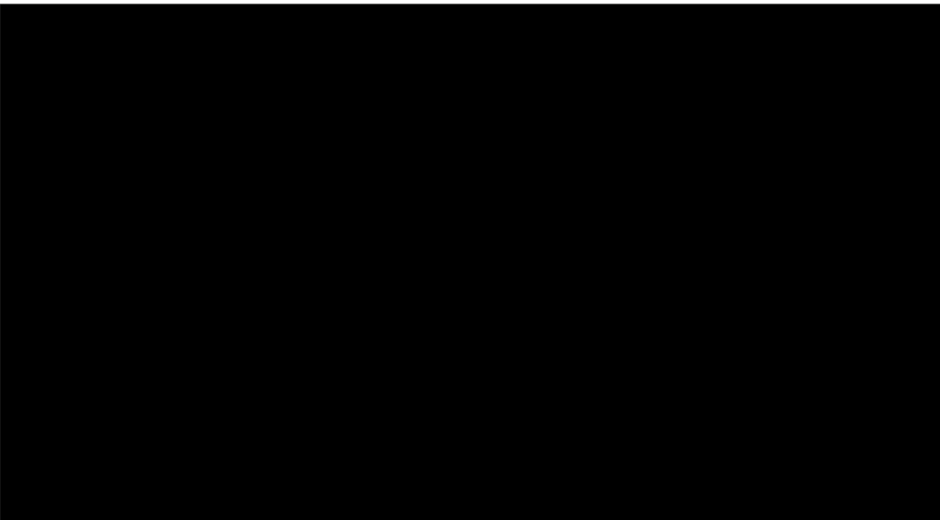
What is committee's view on the dose modifier and removal of stopping rule?

Issues resolved after Technical Engagement

Disease-free survival distributions: agreed

Generalised F-distribution and lognormal are appropriate, with generalised F-distribution giving the lowest AIC and BIC values, accepted by company post TE

Nivolumab



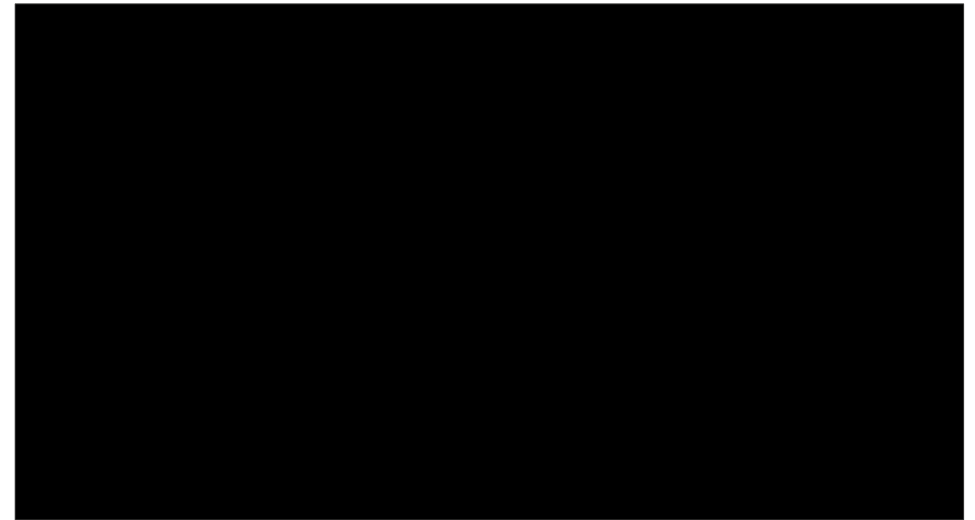
Lognormal:

AIC: [REDACTED], BIC: [REDACTED], Median: [REDACTED]

Generalised F-distribution:

AIC: [REDACTED], BIC: [REDACTED], Median: [REDACTED]

Placebo



Lognormal:

AIC: [REDACTED], BIC: [REDACTED], Median: [REDACTED]

Generalised F-distribution:

AIC: [REDACTED], BIC: [REDACTED], Median: [REDACTED]

Company:

- Only generalised F-distribution and log-normal splines with 1 or 2 knots were appropriate
- Log-normal splines with 1 knot led to a high mean survival, but in the model, after 3 years mortality risk is associated with average age- and sex-matched member of the general population

Model inputs agreed post TE

Utilities:

- Company updated model to use **Ara and Brazier (2010) data and factor age-related utility**
- Amendment decreases original ICER from £22,766 to £22,112

Age:

- Company original submission: mean age 60.5 years from CheckMate 577
- ERG noted UK population expected to be older than CheckMate 577 trial population and ICER sensitive to average age
- After TE company present adjusted CheckMate 577 age using NCRAS/CRUK data, average age **62.66 years used as model input**
- Clinical experts noted trial likely to represent UK population and reflects audit data from NHS clinical trust (average age 63 years)
- Amendment increases original ICER from £22,766 to £24,714
- 62.66 agreed by ERG

Cost effectiveness results

Summary of assumptions in updated base case post TE

	Company	ERG
Data source	Feb 2021 data cut	Feb 2021 data cut
Age	62.66 years (Adjusted from trial)	62.66 years (Adjusted from trial)
Extrapolation of DFS	Generalised F-distribution	Generalised F-distribution
Cure	3 years	5 years
Utilities	Age adjusted Ara and Brazier (2010)	Age adjusted Ara and Brazier (2010)
Stopping rule	No stopping rule, but with dose modification	No stopping rule, but with dose modification

Cost-effectiveness results post TE

	Treatment	Costs (£)	QALYs	Incremental costs (£)	Incremental QALYs	ICER (£/QALY)
Company base case (probabilistic)	Nivolumab	████	████	████	████	£17,511
	Routine surveillance	████	████			
Company base case (deterministic)	Nivolumab	████	████	████	████	£16,668
	Routine surveillance	████	████			
ERG base case (probabilistic)	Nivolumab	████	████	████	████	£17,613
	Routine surveillance	████	████			

Scenario analyses

Scenario	Source base case	Incremental costs (£)	Incremental QALYS	ICER (£/QALY)
Company base case (deterministic)		████	████	£16,668
ERG base case (deterministic)		████	████	£16,611
Mean age 65 years	ERG	████	████	£18,574
Mortality rate of 'cured' patients higher than general population (standard mortality rate [SMR] 1.1)	ERG	████	████	£17,105
Mean age 65 years with SMR 1.1 for 'cured' patients	ERG	████	████	£19,169

Equalities

No equality issues raised during scoping, submission or technical engagement

Key cost issues

- Is the model appropriate for decision making?
- At what duration of DFS can a 'cure' be assumed?
- Does cure imply, not just no recurrence of the cancer, but QoL and life expectancy equivalent to the whole population despite previous chemotherapy and surgery?
- Is the replacement of a stopping rule at 12 months by dose modifier appropriate?