NATIONAL INSTITUTE FOR HEALTH AND CLINICAL EXCELLENCE

QUALITY AND OUTCOMES FRAMEWORK (QOF) INDICATORS EQUALITY IMPACT ASSESSMENT FORMPRIORITISATION AND DEVELOPMENT STAGES (PILOT AND CONSULTATION) AND REVIEW OF EXISTING INDICATORS

As outlined in the QOF process manual NICE has a duty to take reasonable action to avoid unlawful discrimination and promote equality of opportunity. The purpose of this form is to document that equality issues have been considered in **each stage** of indicator development prior to reaching the final output.

The key stages in the process for developing clinical and health improvement indicators for the QOF include:

- Prioritisation of areas for new indicator development
- Piloting of indicators
- Public consultation of piloted indicators
- Review of existing indicators in the clinical domains

Taking into account **each** of the equality characteristics below the form needs to:

- Ensure that the output indicators do not discriminate against any of the equality groups
- Highlight planned action relevant to equality
- Highlight areas where indicators may promote equality

The initial prioritisation may identify equalities associated with a topic area whereas piloting and consultation will assess equalities against specific indicators. For further information on the development of specific indicators please refer to the committee outputs page and the NICE menu of indicators.

EQUALITY CHARACTERISTICS

Sex/gender

- Women
- Men

Ethnicity

- Asian or Asian British
- · Black or black British
- · People of mixed race
- Irish
- White British
- Chinese
- · Other minority ethnic groups not listed
- Travellers

Disability

- Sensory
- Learning disability
- Mental health
- Cognitive
- Mobility
- Other impairment

Age¹

- Older people
- · Children and young people
- Young adults

Sexual orientation & gender identity

- Lesbians
- Gay men
- Bisexual people
- Transgender people

Religion and belief

Socio-economic status

Depending on policy or other context, this may cover factors such as social exclusion and deprivation associated with geographical areas (e.g. the Spearhead Group of local authorities and PCTs, neighbourhood renewal fund areas etc) or inequalities or variations associated with other geographical distinctions (e.g. the North/South divide, urban versus rural).

Other categories²

- · Refugees and asylum seekers
- · Migrant workers
- Looked after children
- Homeless people

^{1.} Definitions of age groups may vary according to policy or other context.

^{2.} This list is <u>illustrative</u> rather than comprehensive.

QOF INDICATORS EQUALITY IMPACT ASSESSMENT FORM: EACH STAGE OF DEVELOPMENT PROCESS

Indicator title: Physical Activity (IND95 to IND96)

Development stage: Prioritisation

1. Have relevant equality issues been identified during this stage of development?

• Please state briefly any relevant issues identified and the plans to tackle them during development

It has been noted that inactivity is more likely in certain ethnic groups and women. There are also variations in age and socioeconomic status.

2. If there are exclusions listed in the indicator clinical or health improvement indicator areas (for example, populations, treatments or settings) are these justified?

- Are the reasons legitimate? (they do not discriminate against a particular group)
- Is the exclusion proportionate or is there another approach?

Not applicable

3. Do any of the indicators make it impossible or unreasonably difficult in practice for a specific group to access a test or intervention?

- Does access to the intervention depend on membership of a specific group?
- Does a test discriminate unlawfully against a group?
- Do people with disabilities find it impossible or unreasonably difficult to receive an intervention?

The NICE recommendations state that primary care practitioners (when using brief interventions for physical activity) should use their judgment to determine when this would be inappropriate (for example, because of medical conditions or personal circumstances).

4. Have relevant bodies and stakeholders been consulted?

- · Have relevant bodies been consulted?
- Have comments from stakeholders that highlight potential for discrimination or promoting equality been considered in the final draft?

Not relevant at this stage

5. Do the indicators promote equality?

Please state if the indicator as described will promote equalities, for example by making access more likely for certain groups, or by tailoring the intervention to certain groups?

QOF incentivisation of physical activity may improve health outcomes in inactive populations with chronic diseases, however there is no evidence to suggest that recommendations presented in this briefing paper can reduce health inequalities in active populations.

Signed:

Colin Hunter, Chair of NICE QOF Advisory Committee

Date: 9 June 2011

Fergus Macbeth, Director - Centre for Clinical Practice

National Institute for Health and Clinical Excellence

Date: 9 June 2011

Approved and signed off:

Nick Baillie, Associate Director - Quality Systems

National Institute for Health and Clinical Excellence

Date: 9 June 2011

Tim Stokes, Consultant Clinical Advisor - Quality Systems

National Institute for Health and Clinical Excellence

Date: 9 June 2011

QOF INDICATORS EQUALITY IMPACT ASSESSMENT FORM: EACH STAGE OF DEVELOPMENT PROCESS

Indicator title: Physical Activity (IND95 to IND96)

Development stage: Pilot

1. Have relevant equality issues been identified during this stage of development?

• Please state briefly any relevant issues identified and the plans to tackle them during development

None identified

2. If there are exclusions listed in the indicator clinical or health improvement indicator areas (for example, populations, treatments or settings) are these justified?

- Are the reasons legitimate? (they do not discriminate against a particular group)
- Is the exclusion proportionate or is there another approach?

None

3. Do any of the indicators make it impossible or unreasonably difficult in practice for a specific group to access a test or intervention?

- Does access to the intervention depend on membership of a specific group?
- Does a test discriminate unlawfully against a group?
- Do people with disabilities find it impossible or unreasonably difficult to receive an intervention?

Wheel chair users and individuals with physical health problems that preclude regular exercise may not benefit as much as the able bodied from these indicators.

4. Have relevant bodies and stakeholders been consulted?

- Have relevant bodies been consulted?
- Have comments from stakeholders that highlight potential for discrimination or promoting equality been considered in the final draft?

Not relevant at this stage

5. Do the indicators promote equality?

Please state if the indicator as described will promote equalities, for example by making access more likely for certain groups, or by tailoring the intervention to certain groups?

GPPAQ is only validated for people aged 16-74 years of age.

Signed:

Colin Hunter, Chair of NICE QOF Advisory Committee

Date: 9 June 2011

Helen Lester, Lead - NICE External Contractor

Date: 9 June 2011

Fergus Macbeth, Director - Centre for Clinical Practice

National Institute for Health and Clinical Excellence

Date: 9 June 2011

Approved and signed off:

Nick Baillie, Associate Director - Quality Systems

National Institute for Health and Clinical Excellence

Date: 9 June 2011

Tim Stokes, Consultant Clinical Advisor - Quality Systems

National Institute for Health and Clinical Excellence

Date: 9 June 2011

QOF INDICATORS EQUALITY IMPACT ASSESSMENT FORM: EACH STAGE OF DEVELOPMENT PROCESS

Indicator title: Physical Activity (IND95 to IND96)

Development stage: Consultation

1. Have relevant equality issues been identified during this stage of development?

• Please state briefly any relevant issues identified and the plans to tackle them during development

Consultation comments highlighted that GPPAQ is only validated for people aged 16-74 years of age should be included in the indicator.

2. If there are exclusions listed in the indicator clinical or health improvement indicator areas (for example, populations, treatments or settings) are these justified?

- Are the reasons legitimate? (they do not discriminate against a particular group)
- Is the exclusion proportionate or is there another approach?

The target population for these indicators as piloted, are for people with hypertension. GPPAQ is validated for people aged 16-74 years of age.

3. Do any of the indicators make it impossible or unreasonably difficult in practice for a specific group to access a test or intervention?

- Does access to the intervention depend on membership of a specific group?
- Does a test discriminate unlawfully against a group?
- Do people with disabilities find it impossible or unreasonably difficult to receive an intervention?

No

4. Have relevant bodies and stakeholders been consulted?

- · Have relevant bodies been consulted?
- Have comments from stakeholders that highlight potential for discrimination or promoting equality been considered in the final draft?

Yes – stakeholders from all 4 countries were encouraged to comment on the 13 potential new indicators as part of the NICE consultation and a wide group of relevant groups and organisations were contacted.

5. Do the indicators promote equality?

Please state if the indicator as described will promote equalities, for example by making access more likely for certain groups, or by tailoring the intervention to certain groups?

No evidence has been identified from the consultation to suggest that the indicators, in themselves, promote equalities.

Signed:

Colin Hunter, Chair of NICE QOF Advisory Committee

Date: 9 June 2011

Fergus Macbeth, Director - Centre for Clinical Practice

National Institute for Health and Clinical Excellence

Date: 9 June 2011

Approved and signed off:

Nick Baillie, Associate Director - Quality Systems

National Institute for Health and Clinical Excellence

Date: 9 June 2011

Tim Stokes, Consultant Clinical Advisor - Quality Systems

National Institute for Health and Clinical Excellence

Date: 9 June 2011